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A non-greedy systematic neighbourhood search heuristic for solving facility layout problem

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Abstract This paper presents a new heuristic for solving a facility layout problem. The proposed heuristic works on the non-greedy systematic pairwise exchange of two facilities, that is 2-exchange neighbourhood search based on nongreedy strategy. The proposed heuristic is applied on a large number of test problems provided by different authors in the quadratic assignment problem (QAP) library (Burkard, Karisch, Rendl, J Glob Optim 10(1):391–403, [1997](#page-9-0)) with problem size ranging from 12 to 256. Out of the 135 test problems available in the QAP library, the proposed heuristic reached optimal solutions for 64 test problems and matched the best known available solution for the other 15 test problems. For the remaining 56 test problems, the proposed approach reports highly encouraging solutions for 44 test problems (within the 2 % of deviation from the optimal/best known solutions), and for the remaining 12 problems, the proposed approach provides fair solution in reasonable time. Comparison with other meta-heuristic approaches (Ro-TS, RE-TS, GEN and SA) shows the effectiveness of the proposed heuristic.

Keywords Facility layout problem . Quadratic assignment problem . Heuristic . Neighbourhood search

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1 Introduction

Arrangement of facilities in both manufacturing and service environments plays an important role in delivering products and services in an efficient and effective manner. The facility layout problem (FLP) is of crucial importance to industrial engineers and a well researched problem in academics. FLP was first formulated as quadratic assignment problem (QAP) by Koopmans and Beckman [\[30](#page-9-0)]. Later, Sahni and Gonzalez [[50\]](#page-10-0) showed QAP is a NP-complete problem. To achieve global optimum for QAP branch and bound, cutting planes or combinations of these methods, like branch-andcut and dynamic programming, are used. However, results by these exact algorithms are modest. Diponegoro and Sarker [\[14](#page-9-0)] reported that instances of the QAP of sizes larger than 20 cannot be solved optimally in a reasonable computational time. Therefore, the interest of researchers and practitioners lies in the application of heuristics and metaheuristic approaches to solve QAP. Some of the well known heuristic approaches applied in the past and available in literature are CRAFT, HC-66, ALDEP, CORELAP, SABLE, etc. But the performance of these heuristics is good only for small- or moderate-sized problems. As the problem size increases, the solution quality decreases. In addition to applying heuristics, nowadays, meta-heuristic approaches like simulated annealing (SA), Tabu Search (TS), ant colony algorithm and genetic algorithm (GA) are also widely applied to solve FLP. But again, the computational time of these meta-heuristic-based approach increases as the problem size increases. A good amount of work on FLP (single and multiobjective) can be found in O'Brien and Abdel Barr [\[45](#page-10-0)], Tompkins and Reed [\[60](#page-10-0)], Kusiak and Heragu [[32\]](#page-9-0), Rosenblatt and Lee [\[49](#page-10-0)], Heragu [\[25](#page-9-0)], Heragu and Kusiak [\[24](#page-9-0)], Meller and Gau [[39,](#page-10-0) [40\]](#page-10-0), Kochhar and Heragu [[31\]](#page-9-0), Singh and Sharma [\[54](#page-10-0)], Singh and Singh [[56,](#page-10-0) [57\]](#page-10-0) and Matai et al. [[37\]](#page-10-0). Here, an attempt is made to propose a novel heuristic approach for FLP modelled as QAP.

The paper proposes a systematic pairwise exchange heuristic based on non-greedy strategy for solving the FLP having flow as a single objective. The literature relevant to the various approaches for solving the FLP is reviewed in Section 2. The mathematical formulation of FLP modelled as QAP is given in Section 3. A non-greedy systematic neighbourhood search heuristic is described in Section [4.](#page-2-0) Procedural steps of the proposed heuristic are discussed in Section [5](#page-2-0). Section [6](#page-4-0) presents performance of the proposed heuristic followed by the conclusions and future research directions in Section [7](#page-6-0).

2 Literature review

Papers that deal with the research work related to the solution approaches in connection with FLP are reviewed here. In literature, the solution techniques for solving FLP are classified as exact algorithms, heuristics and metaheuristics. We briefly review the past work in the following three subsections.

2.1 Exact approaches

There are three main exact methods used to find the global optimal solution for QAP: dynamic programming, cutting plane techniques, and branch and bound procedures. Research has shown that the branch and bound is the most successful among exact algorithms for solving QAP. The first two branch and bound algorithms were developed by Gilmore [[19\]](#page-9-0) and Lawler [[34\]](#page-9-0). In addition to these two algorithms, two other algorithms were developed by Land [\[33](#page-9-0)] and Gavett and Plyter [\[18\]](#page-9-0). Kaku and Thompson [[28\]](#page-9-0) proposed another branch and bound algorithm which performs better than Lawler's [[34\]](#page-9-0) algorithm, particularly for problems of bigger size. Bazaraa and Sherali [\[3](#page-9-0)] developed a cutting plane algorithm based on Bender's partitioning scheme. Burkard and Bonninger [[8\]](#page-9-0) also developed a cutting plane method to solve QAP. Dynamic programming is a technique used for QAP special cases where the flow matrix is the adjacency matrix of a tree. Christofides and Benavent [[12\]](#page-9-0) studied this case using a MILP approach to the relaxed problem. All the exact algorithms discussed in the literature have high memory and computational requirements [[9\]](#page-9-0).

2.2 Approximate approaches

Kusiak and Heragu [\[32](#page-9-0)] concluded that all the exact algorithms discussed in literature have the disadvantage of high memory and computational requirements, and the largest problem solved optimally is the problem with 15 facilities. This led researchers to focus on heuristics for solving FLP,

which do not provide optimal solution but gives good quality solutions in reasonable computational time. Kusiak and Heragu [\[32\]](#page-9-0) classified approximate approaches (or heuristics) for FLP as: construction algorithms, improvement algorithms, hybrid algorithms and graph theoretic algorithms.

Construction algorithms In construction algorithms, facilities are assigned to a site, one at a time, until the complete layout is obtained. Some of the popular construction algorithms available in literature are: HC66 proposed by Hillier and Connors [[27\]](#page-9-0), ALDEP due to Seehof and Evans [[52\]](#page-10-0), CORELAP given by Lee and Moore [\[35](#page-9-0)], RMA Comp I [\[41](#page-10-0)], MAT [\[15](#page-9-0)], PLANET [[13\]](#page-9-0), LSP [[62\]](#page-10-0), linear placement algorithm [[42\]](#page-10-0), FATE [[5\]](#page-9-0), INLAYT [[45\]](#page-10-0), SHAPE [\[21](#page-9-0)], and QLAARP [\[2](#page-9-0)]. The simplicity of construction algorithm is often associated with a poor quality of the resulting solutions. But these construction algorithms can be used to provide initial solutions for improvement algorithms. Improvement algorithms can be meta-heuristic such as SA and TS which require one feasible solution as starting solution for the execution of these algorithms.

Improvement algorithms In improvement algorithms, there is always an initial solution, which is often randomly generated. Based on this initial solution, systematic exchanges between facilities are made and the results are evaluated. The exchange which produces the best solution is retained, and the procedure continues until the solution cannot be improved any further. Hence, the solution quality of improvement algorithms depends upon the initial layout evaluated. Armour and Buffa [[1](#page-9-0)] developed Computerized Relative Allocation of Facilities Technique (CRAFT) which is believed to be the first computerized technique used for the FLP. CRAFT begins by determining the cost of the initial layout. It then evaluates all possible location exchanges between pairs of facilities which either are adjacent to each other or are of the same area. The location exchange, which results in the highest cost reduction, is made. This procedure continues until there is no location exchange which results in a lesser cost than that of the current layout. CRAFT can handle only 40 facilities and does not perform well when the facilities are of unequal areas [[17,](#page-9-0) [51](#page-10-0)]. Some more improvement algorithms for the FLP are: H63 [[26\]](#page-9-0), H63-66 [[27\]](#page-9-0), COL [[61\]](#page-10-0), Sampling algorithms [\[43](#page-10-0)], FRAT [\[29](#page-9-0)], COFAD [[60\]](#page-10-0), Revised Hillier algorithm [\[46](#page-10-0)], LOGIC [\[59](#page-10-0)], MULTIPLE [\[6](#page-9-0), [14\]](#page-9-0), SABLE [[38\]](#page-10-0) and MSA [\[55](#page-10-0)]. It is found that improvement algorithm greatly depends on the initial layout provided and the systematic procedure of the location exchange. The greedy nature of the pairwise exchange makes it susceptible to converge to a local optimum. Therefore, the shortcomings of improvement algorithms originate not only from the initial solution provided but also from the greedy nature of the systematic exchange

procedure. The greedy nature of the procedure is exposed because only the location exchanges, which result in the greatest cost reduction, are accepted. Hence, the nature of the exchange procedure often impedes the algorithm from finding the global optimum and causes the algorithm to converge to a local optimum.

Hybrid algorithms Hybrid algorithms have the characteristics of exact and heuristic algorithms or use the principles of construction and improvement algorithms. Examples of such algorithms can be found in Burkard and Stratman [\[7](#page-9-0)], Bazaraa and Sherali [\[3](#page-9-0)] and Bazaraa and Kirca [[4\]](#page-9-0).

Graph theoretic algorithms Seppannen and Moore [[53\]](#page-10-0) introduced the graph theoretic concept for layout design. Graph theoretic algorithms identify maximal planar subgraphs of a weighted graph which show the relationships between the facilities. The dual of a maximal planar subgraph determines the layout of the facilities. Goetschalckx [\[20](#page-9-0)] and Hassan and Hogg [\[23](#page-9-0)] also used graph theoretic algorithms. Hassan and Hogg [[22\]](#page-9-0) reviewed graph theoretic algorithms for FLP.

Besides these approximate approaches, Ramkumar et al. [\[47](#page-10-0)] presented iterated fast local search (IFLS) algorithm for solving QAP. Authors proposed a genetic algorithm-based local search approach for solving QAP. Ramkumar et al. [\[48](#page-10-0)] proposed a modification to IFLS with a new recombination crossover operator.

2.3 Meta-heuristics

The development of meta-heuristic has greatly influenced the performance of improvement algorithm and uses a general strategy like pairwise exchange heuristic. There are three widely used meta-heuristics in layout problem, i.e. SA, TS and GA. Although performance of meta-heuristic is faster and better than simple heuristic approach, but the quality of solution still depends on the initial solution as in the case of SA or TS. A survey of meta-heuristics solution methods for QAP can be found in Nehi and Gelareh [[44\]](#page-10-0).

3 Problem formulation

Consider the problem of locating *n* facilities in *n* given locations. Each location can be assigned to only one facility, and each facility can be assigned to only one location. There is material flow between the different departments and cost (material handling) associated with the unit flow per unit distance. Thus, different layouts have different total material handling costs depending on the relative location of the facilities. F_{ik} is the flow between facilities i and k, and D_{jl} is the distance between locations i and l . The FLP has been formulated as follows:

QAP:

Min TF =
$$
\sum_{\substack{i=1 \ i \neq k}}^n \sum_{\substack{j=1 \ j \neq l}}^n \sum_{k=1}^n \sum_{l=1}^n F_{ik} \times D_{jl} \times X_{ij} \times X_{kl}
$$
 (1)

$$
\sum_{i=1}^{n} X_{ij} = 1 \quad \forall \quad j = 1, \dots, n
$$
 (2)

$$
\sum_{j=1}^{n} X_{ij} = 1 \quad \forall \quad i = 1, \dots, n
$$
\n(3)

$$
X_{ij} \in \{0, 1\} \quad \forall \quad i, j = 1, \dots, n \tag{4}
$$

 X_{ii} =1 if facility *i* is located/assigned to location *j* and X_{ii} = 0 if facility *i* is not located/assigned to location *j*, where *n* is the number of facilities. Equation (1) seeks to minimize the sum of flow multiplied by the distance for all pairs of facilities in a given layout. Equation (2) ensures that each location contains only one facility while Eq. (3) ensures that each facility is assigned to only one location. Solving the QAP optimally in a reasonable computational time is very hard, and therefore, the heuristics and meta-heuristics approach are designed to solve it optimally or near to optimal in reasonable CPU time. Authors propose a new approach to solve QAP optimally or near to optimal in a reasonable time.

4 Non-greedy systematic neighbourhood search heuristic

The proposed heuristic works on non-greedy systematic pairwise exchange of two facilities in the neighbourhood locations rather than exchange of facilities randomly. The neighbourhood NB(r) is defined as all assignments that can be reached from a given assignment when r elements are exchanged. For pairwise exchange algorithms, the size of a neighbourhood is $[NB(2)] = \frac{1}{2}n(n-1)$. Two alternatives exist for neighbourhood search process. First, choose the next potential facility for exchange randomly. Second,

Table 1 Decision rule comparison of greedy descent and SA with the proposed heuristic

Algorithm	Rule (conditions)
Greedy descent Simulated annealing	$\Delta Z \leq 0$ ΔZ <0 or random [0, 1] < e ^{-$\Delta Z/t$}
Non-greedy systematic neighbourhood search	$\Delta Z < 0$ or $Z < \left(\frac{\sum Z^+}{C}\right)/\varepsilon$

Input: *n, P_i*, *K, Iterations,* ε

/*n*- number of facility or problem size/

 ℓ *P_i* – initial random solution for proposed heuristic/

/*K*- maximum number of trials of the size of neighbourhood /

/*Iterations*- number of times heuristic is run/

 $\ell \varepsilon$ - initial value of the intensity factor, ε [0, 1] /

$$
P_b = P_i / \text{best solution treated so far/}
$$

$$
h_c = \frac{\varepsilon}{\max\left(1, \frac{Kn(n-1)}{2} - 1\right)} \quad \text{intensity quantum/}
$$

 $Sum = 0$ /sum of the positive differences of the objective function value/ $C = 0$ /counter of the positive differences of the objective function value/ $t = 0$ /current trial number/

for (m=1, m<*Iterations*, m++) /for loop for number of Iterations/

for $(k=1, k\lt K, k++)$ /for loop for the number of trials of the size of neighbourhood **for** (i=1, i<*n*-1, i++) /for loop for pair wise exchange of facilities/

for $(i=i+1, j\leq n, i++)$ /for loop for pair wise exchange of facilities/

$$
P_n = N_2(P_i, i, j) / P_n
$$
 - new solution of pair wise exchange

$$
\Delta Z = Z(P_n) - Z(P_i)
$$
 /difference of objective function value/

if
$$
\Delta Z < 0
$$
 then exchange = TRUE /start if loop/
else $Sum = Sum + \Delta Z$. $C = C + 1$

$$
\varepsilon_c = \varepsilon - (t - 1)h_c
$$
 /current intensity level/

$$
\text{if } \Delta Z < \left(\frac{\text{Sum}}{C}\right) \bigg/ \varepsilon_c \quad \text{then exchange} = \text{TRUE}
$$

else exchange **= FALSE**

end /end of if loop/ $t = t + 1$ /next trial number

end /end of for loop for the pair wise exchange of facilities/

end /end of the for loop for the K number of trials of size of neighbourhood search/ **return** P_b / return best solution value after each Iteration/

end /end of for loop for number of Iterations

return P_b / return best solution value after all Iterations

Fig. 1 Pseudocode for non-greedy systematic neighbourhood search heuristic

explore the neighbourhood in a systematic way having all the possible exchange elements ordered ('shuffled'). The precise order is irrelevant; it is only essential that the

Fig. 2 Objective function value of the proposed heuristic for different values of K

neighbourhood is explored thoroughly. Proposed heuristic uses the later approach that is systematic (ordered) neighbourhood search, and this systematic neighbourhood search is based on the non-greedy strategy. The key idea is, pairwise exchanges are accepted if the objective function value after the exchange is lowered or smaller than the average objective function increment divided by an intensity factor. This is in contrast to the greedy improvement approaches where only the exchange, which lowers the objective function value, is allowed. The average objective function increment divided by an intensity factor is called threshold value and evaluated from Eq. (5) given below.

$$
\tau = \left(\frac{\sum \Delta Z^{+}}{C}\right) / \varepsilon \tag{5}
$$

$$
\Delta Z = Z(P_c) - Z(P_i) \tag{6}
$$

$$
\Delta Z^{+} = \Delta Z \text{ if } \Delta Z > 0 \tag{7}
$$

where P_i is the initial random solution, P_c is current solution after pairwise exchange of two facilities and ΔZ is the current difference of the objective function value of the current solution $(Z(P_c))$ and initial random solution $(Z(P_i))$. ε is the intensity factor which lies in the interval of [0,1]. C is the total number of increments of objective function value (i.e. when $\Delta Z > 0$) or number of times when objective function value of current solution is more than old solution after pairwise exchange. From Eq. (5), it can be seen that τ is the average objective function increment (in C number of increments) divided by the factor (ε) . The acceptance rule can be finally defined as: the solution in the heuristic during pairwise exchange is accepted if and only if Eq. (8) does hold.

$$
\Delta Z < 0 \text{ or } \Delta Z < \left(\frac{\sum \Delta Z^+}{C}\right) / \varepsilon \tag{8}
$$

Table [1](#page-2-0) shows few other acceptance rules used and available in the literature. From Table [1](#page-2-0) it can be seen that the proposed heuristic approach possesses some features similar to those of SA. The main feature of SA is its ability to escape from local

Fig. 3 Objective function value of the proposed heuristic for different values of iterations

0 500 1000 1500

 $.........$

No. of Iterations

6100 6200 6300

Obj. Values

optimum based on the acceptance rule of a candidate solution. If the current solution (P_c) has an objective function value smaller (supposing minimization) than that of the initial solution (P_i) ; then, the current solution is accepted. Otherwise, the current solution can also be accepted if the value given by the Boltzmann distribution:

$$
e^{-\Delta Z/t} \tag{9}
$$

is greater than a uniform random number in $[0,1]$, where t is the 'temperature' control parameter. The solution in the SA during pairwise exchange is accepted if and only if Eq. (10) does hold.

$$
\Delta Z < 0 \text{ or random } [0, 1] < e^{-\Delta Z/t} \tag{10}
$$

The proposed heuristic also tries to escape from local optimum as in SA using non-greedy approach; however, the acceptance rule of the candidate solution in proposed heuristic is different (Eq. [8](#page-3-0)) than SA (Eq. 10). In SA, 'temperature' is the control parameter; however, in the proposed non-greedy systematic neighbourhood search (NGSNS) heuristic, 'intensity factor' is the control parameter.

We present a pseudo code in Fig. [1](#page-3-0) to better understand the proposed heuristic approach. In the pseudo code, K is the number of trials of the size of a neighbourhood. Since the size of a neighbourhood is $[NB(2)] = \frac{1}{2}n(n-1)$, therefore, in each iteration, the total number of swaps examined is $K \frac{n(n-1)}{2}$. The value of K can influence the quality of results considerably and also computational time. If the value of K is small, then no guarantee exists that the quality of the solution is good. On the other side, if the value of K is large, then the quality of solution is good enough in many cases but at the cost of computational time.

To determine the default value of K , we applied NGSNS on Nug30 problem for 500 iterations with the value of K ranging from 1 to 50, with an increment of 5 each time. For each value of K, objective function values are noted. Figure [2](#page-3-0) shows the results on a graph. It can be seen in graph at $K=10$, objective function value is minimum. Therefore, we set the default value of K equal to 10 for NGSNS heuristic.

The next question arises what should be the number of iterations, since the number of iterations can also influence quality of solution and computational time. To answer this question, we again applied NGSNS on the Nug30 problem taking the default value of $K=10$; however, the number of iterations varied from 100 to 1,000. Again Fig. 3 shows results on a graph. It can be seen easily in the graph that objective function values converge at 500 or more number of iterations. Therefore, we decided the number of iterations to be equal to 500 for the proposed NGSNS.

5 Procedural steps of the proposed NGSNS heuristic

- Step 1: Randomly generate solution P_i and calculate the corresponding objective value Z using Eq. ([1](#page-2-0)) Initialize: Iterations (specify total number of iterations), sum=0, C (counter)=0 and t (trial number) =0, K (size of neighbourhood)= $n(n-1)/2$ where n is the number of facilities and intensity factor $\varepsilon = 1$.
- Step 2: Randomly select two facilities and check whether they are the same facilities. If yes, go to step 5. Other interchange facilities to get new solution P_n and calculate new Z and change in objective value ΔZ=new Z–old Z.
- Step 3: If $\Delta Z < 0$ is true, accept new solution and new Z= old $Z + \Delta Z$. Otherwise, go to step 4.

Table 2 Distance matrix for example problem

Locations from/to	Locations					
		$\mathfrak{D}_{\mathfrak{p}}$	3		5	
Location						
			2		2	3
2		0				2
3	2			3	2	
4		2	3			2
	2		2			
6						

◆ Iterations vs. Obj. Values (Nug

30)

5 1 2 0 5 0 10 6 0 2 0 2 10 0

Table 3 Flow matrix for example problem

Step 4: Sum=sum+ ΔZ , increment counter $C = C + 1$. Calculate intensity quantum

$$
h_{\varepsilon} = \frac{\varepsilon}{\max\left(1, \frac{Kn(n-1)}{2} - 1\right)}
$$

Update current intensity level $\varepsilon_c = \varepsilon - (t-1)h_{\varepsilon}$. If $\Delta Z < \left(\frac{\text{Sum}}{C}\right)/\varepsilon_c$ is true, accept new solution, i.e. new Z=old $Z+\Delta Z$. Else, go to step 5.

- Step 5: Increment trial number $t=t+1$.
- Step 6: If $t \le K$ is true, repeat steps 2 to 5. Otherwise current iteration is over and return best solution P_b of current iteration and go to step 7.
- Step 7: If the specified number of iterations are performed, terminate and select the minimum Z value from all

Table 4 Solution of problem instances with series Nugxx taken from Burkard et al. [\[11](#page-9-0)]

S. no.	Instance	Optimal/ BKS	Proposed heuristic solution	$\frac{0}{0}$ deviation	CPU
1	Nug12	578	578	0.00	0.702
2	Nug14	1,014	1,014	0.00	0.812
3	Nug15	1,150	1,150	0.00	2.671
$\overline{4}$	Nug16a	1,610	1,610	0.00	3.406
5	Nug16b	1,240	1,240	0.00	3.406
6	Nug17	1,732	1,732	0.00	1.781
7	Nug18	1,930	1,930	0.00	5.484
8	Nug20	2,570	2,570	0.00	8.375
9	Nug21	2,438	2,438	0.00	20.171
10	Nug22	3,596	3,596	0.00	12.281
11	Nug ₂₄	3,488	3,488	0.00	70.218
12	Nug25	3,744	3,744	0.00	202.921
13	Nug27	5,234	5,234	0.00	227.625
14	Nug ₂₈	5,166	5,166	0.00	637.562
15	Nug30	6,124	6,154	0.48	646.937

iterations and return corresponding best solution P_b ; otherwise, repeat steps 1 to 6.

5.1 Numerical illustration

The working of the proposed heuristic is explained using the data presented in Tables [2](#page-4-0) and 3. In Table [2,](#page-4-0) the distance matrix based on the distance between facilities is presented, and Table 3 shows the flow of materials between facilities for problem size $n=6$.

- Step 1: Randomly generate solution P_i P_i 2 3 4 1 6 5 old Z=110 Initialize iterations=10, sum=0, $t=0$, $C=0$, $K=$ $n(n-1)/2=6\times5/2=15$ and $\varepsilon=1$ Step 2: Randomly, two facilities 2 and 6 are interchanged.
	- P_n 6 3 4 1 2 5 new Z=142 and ΔZ =142–110= 32.
- Step 3: Since $\Delta Z > 0$, go to step 4.

Table 5 Solution of problem instances with series Taixxx taken from Burkard et al. [\[11](#page-9-0)]

		heuristic solution	deviation	
Tai12a	224,416	224,416	0.00	11.312
Tai12b	39,464,925	39,464,925	0.00	11.234
Tai15a	388,214	388,214	0.00	27.109
Tai15b	51,765,268	51,765,268	0.00	26.937
Tai17a	491,812	491,812	0.00	17.578
Tai20a	703,482	705,622	0.304	24.984
Tai20b	122,455,319	122,455,319	0.00	24.782
Tai25a	1,167,256	1,187,088	1.69	42.796
Tai25b	344,355,646	344,355,646	0.00	41.453
Tai30a	1,818,146	1,855,734	2.06	64.218
Tai30b	637, 117, 113	637,137,951	0.003	63.484
Tai35a	2,422,002	2,471,980	2.06	81.921
Tai35b	283,315,445	284,350,938	0.36	81.875
Tai40a	3,139,370	3,222,972	2.66	138.853
Tai40b	637,250,948	637,250,948	0.00	138.156
Tai50a	4,938,796	5,069,742	2.65	337.046
Tai50b	458,821,517	460,718,405	0.41	339.343
Tai60a	7,205,962	7,424,858	3.03	704.703
Tai60b	608,215,054	609,491,668	0.2	678.515
Tai64c	1,855,928	1,855,928	0.00	776.76
Tai ₈₀ a	13,499,184	13,871,316	2.75	882.5
Tai ₈₀ b	818,415,043	833,128,227	1.79	883.67
Tai100a	21,052,466	21,664,160	2.9	1,423.56
Tai100b	1,185,996,137	1,201,244,218	1.28	1,425.87
Tai150b	498,896,643	508,987,944	2.02	2,232.76
Tai256c	44,759,294	44,891,576	0.295	4,234.78

Table 6 Solution of problem instances with series Stexxx taken from Burkard et al. [\[11](#page-9-0)]

S. no.			Instance Optimal/BKS Proposed heuristic % solution	deviation	CPU
	Ste36a	9.526	9,648	1.28	176.437
	Ste36b	15,852	16,054	1.27	176.484
3	Ste36c	8.239.110	8,318,780	0.97	176.593

Step 4: Sum=0+32=32, increment counter $C=0+1=1$.

$$
h_{\varepsilon} = \frac{\varepsilon}{\max\left(1, \frac{Kn(n-1)}{2} - 1\right)} = \frac{1}{\max\left(1, \frac{15.6.5}{2} - 1\right)}
$$

$$
= \frac{1}{224} = 0.004464
$$

Update current intensity level, $\varepsilon_c = \varepsilon - (t-1)h_c =$ 1−(0−1)×0.004464=1.004464

$$
\left(\frac{\text{Sum}}{C}\right)/\varepsilon_c = 32/1.004464 = 31.857
$$

Since ΔZ (32) > 31.857, go to step 5.

- Step 5: Increment trial number $t=t+1=0+1=1$.
- Step 6: Since trial number $t(1) \le K(15)$, go to step 2 (repeat steps 2 to 5). When $t=K$ trials are performed, the current iteration is over, and return the best solution P_b of the current iteration and go to step 7.
- Step 7: If iterations=10 are performed, terminate and select the minimum Z value from all iterations and return the corresponding best solution P_b ; otherwise, repeat steps 1 to 6.

Table 7 Solution of problem instances with series skoxx, skoxxxx taken from Burkard et al. [[11\]](#page-9-0)

S. no.	Instance	Optimal/ BKS	Proposed heuristic % deviation solution		CPU
1	sko42	15,812	15,882	0.44	161.828
2	sko49	23,386	23,536	0.64	306.343
3	sko56	34,458	34,736	0.8	518.39
4	sko64	48,498	48,878	0.78	892.89
5	sko72	66,256	67,176	1.38	944.45
6	sko81	90,998	92,004	1.1	970.56
7	sko90	115,534	116,932	1.21	1,002.89
8	sko100a	152,002	153,528	1.004	1,076.86
9	sko100b	153,890	155,556	1.08	1,065.675
10	sko100c	147,862	149,316	0.98	1,071.77
11	sko100d	149,576	150,940	0.91	1,085.67
12	sko100e	149,150	150,566	0.94	1,077.36
13	sko100f	149,036	150,708	1.12	1,080.39

Table 8 Solution of problem instances with series Wilxx, Wilxxx taken from Burkard et al. [[11\]](#page-9-0)

		BKS	S. no. Instance Optimal/ Proposed heuristic % solution	deviation	CPU
1	Wil50	48,816	48,968	0.31	330.968
2	Wil100	273,038 274,390		0.49	2,170.73

6 Performance of non-greedy systematic neighbourhood search heuristic

The proposed heuristic has been coded in C and tested on a Core2duo machine having a processor speed 2.2 GHz with RAM of 2.96 GB. The experiments were conducted in two stages. Stage one is designed to calibrate parameter K to fix the default value of the parameter. Using the default value identified in stage 1, experiments were conducted using benchmark problems from the QAP library [[11](#page-9-0)]. The proposed heuristic is executed for 500 number of iterations keeping the default value of K equal to 10. In each iteration, a new random solution is generated which is improved by pairwise exchanges. Heuristic performs K times $n(n-1)/2$ swaps in each iteration. Different solutions are produced in each iteration, and one best solution is selected from all iterations as final solution.

To validate the performance of the proposed heuristic, all problem instances available in the QAPLIB, an online version of Burkard et al. [\[11](#page-9-0)] available at [http://www.seas.](http://www.seas.upenn.edu/qaplib) [upenn.edu/qaplib,](http://www.seas.upenn.edu/qaplib) are tested in the paper. Problem instances of all authors available at QAPLIB are solved, and results are presented in various tables according to the author's names (refer to Tables [4,](#page-5-0) [5](#page-5-0), 6, 7, 8, 9, [10,](#page-7-0) [11](#page-7-0), [12,](#page-7-0) [13,](#page-7-0) [14,](#page-8-0)

Table 9 Solution of problem instances with series Chrxxx taken from Burkard et al. [\[11](#page-9-0)]

S. no.	Instance	Optimal/ BKS	Proposed	% deviation heuristic solution	CPU
1	Chr12a	9,552	9,552	0.00	1.14
$\overline{2}$	Chr12b	9,742	9,742	0.00	1.156
3	Chr12c	11,156	11,156	0.00	1.156
$\overline{4}$	Chr15a	9,896	9,896	0.00	5.265
5	Chr15b	7,990	7,990	0.00	5.265
6	Chr15c	9,504	9,504	0.00	8.156
7	Chr18a	11,098	11,098	0.00	5.515
8	Chr18b	1,534	1,534	0.00	5.518
9	Chr20a	2,192	2,232	1.82	8.406
10	Chr20b	2,298	2,432	5.83	16.796
11	Chr20c	14,142	14,142	0.00	16.921
12	Chr22a	6,156	6,210	0.87	24.546
13	Chr22b	6,194	6,268	1.19	24.937
14	Chr25a	3,796	4,106	8.16	30.265

	S. no. Instance Optimal/	BKS	Proposed heuristic % solution	deviation	CPU
	Tho30	149,936	149,936	0.00	62.703
	Tho40	240.516	242,720	0.92	335.678
$\mathbf 3$		Tho150 8,133,398 8,222,574		1.09	1,638.78

Table 10 Solution of problem instances with series Thoxx, Thoxxx taken from Burkard et al. [[11\]](#page-9-0)

[15](#page-8-0), [16](#page-8-0), [17](#page-8-0), and [18\)](#page-8-0). A comparative analysis of the solutions obtained from the proposed heuristic approach with the optimal and best known solutions available in literature is also tabulated along with the percentage deviation from optimal/best known solutions. In addition to this, computational time of the proposed heuristic approach (in CPU seconds) is also reported for each problem instance tested in the paper.

Out of the 135 test problems available in QAPLIB, 86 were solved to optimality as of June 2011 (optimal values are shown bold in various authors' tables). The remaining 49 had best known solutions reported by various heuristics. In this paper, out of the 135 test problems proposed, heuristic has obtained optimal solutions for 64 problems and matched the best known solution value for 15 problems.

Table 11 Solution of problem instances with series Escxxx taken from Burkard et al. [\[11](#page-9-0)]

S. no.	Instance	Optimal/ BKS	Proposed heuristic solution	$\frac{0}{0}$ deviation	CPU
1	Esc16a	68	68	0.00	1.39
2	Esc16b	292	292	0.00	1.468
3	Esc16c	160	160	0.00	1.421
$\overline{4}$	Esc16d	16	16	0.00	1.406
5	Esc16e	28	28	0.00	1.39
6	Escf16f	$\bf{0}$	$\bf{0}$	0.00	1.384
7	Esc16g	26	26	0.00	1.375
8	Esc16h	996	996	0.00	1.515
9	Esf16i	14	14	0.00	1.406
10	Esc16i	8	8	0.00	1.421
11	Esc32a	130	134	3.08	22.343
12	Esc32b	168	168	0.00	22.093
13	Esc32c	642	642	0.00	22.218
14	Esc32d	200	200	0.00	22.171
15	Esc32e	$\overline{2}$	$\overline{2}$	0.00	22.125
16	Esc32f	$\overline{2}$	$\overline{2}$	0.00	22.343
17	Esc32g	6	6	0.00	22.281
18	Esc32h	438	438	0.00	22.171
19	Esc64a	116	116	0.00	360.75
20	Esc128	64	64	0.00	1,658.732

Table 12 Solution of problem instances with series burxxx taken from Burkard et al. [\[11](#page-9-0)]

S. no.	Instance	Optimal/ BKS	Proposed heuristic solution	$\frac{0}{0}$ deviation	CPU
1	bur26a	5,426,670	5,426,670	0.00	24.093
\mathcal{L}	bur26h	3,817,852	3,817,852	0.00	19.234
$\mathbf{3}$	bur26c	5,426,795	5,426,795	0.00	23.953
$\overline{4}$	bur26d	3,821,225	3,821,225	0.00	23.984
5	bur26e	5,386,879	5,386,879	0.00	23.984
6	bur26f	3,782,044	3,782,044	0.00	23.984
7	bur26g	10,117,172	10,117,172	0.00	24.312
8	bur26h	7,098,658	7,098,658	0.00	23.984

In QAPLIB, the best known solution to the non-optimal problems is provided by many different heuristic and metaheuristic approaches. Of these meta-heuristic approaches, Robust TS [\[58](#page-10-0)], Genetic Hybrid [\[16\]](#page-9-0), GRASP [\[36](#page-9-0)] and SA [\[10](#page-9-0)] appear more often as the first heuristic to reach the best known solution. Other heuristics may reach the same solution as well, but QAPLIB only recognizes the first such heuristic. It must be noted that no known heuristic reportedly produced uniformly better solutions for all problems.

This raises the question how proposed non-greedy systematic neighbourhood search heuristic compare to the four meta-heuristics mentioned above in the instances not proven to be optimal. Table [19](#page-8-0) gives a comparison of these metaheuristics and proposed heuristic in these non-optimal instances. A checkmark in the first four columns indicates which method first reported the best known solution to that

Table 13 Solution of problem instances with series lipaxxx taken from Burkard et al. [\[11](#page-9-0)]

Instance	Optimal/ BKS	Proposed heuristic solution	$\frac{0}{0}$ deviation	CPU
lipa20a	3,683	3,683	0.00	3.39
lipa20b	27,076	27,076	0.00	3.406
lipa30a	13,178	13,178	0.00	125.484
lipa30b	151,426	151,426	0.00	42.531
lipa40a	31,538	31,870	1.05	138.062
lipa ₄₀ b	476,581	476,581	0.00	137.562
lipa50a	62,093	62,739	1.04	132.781
lipa50b	1,210,244	1,210,244	0.00	132.671
lipa60a	107,218	108,225	0.93	292.375
lipa ₆₀ b	2,520,135	2,520,135	0.00	290.953
lipa70a	169,755	171,092	0.79	514.375
lipa70b	4,603,200	5,501,669	19.51	516.015
lipa80a	253,195	254,958	0.69	876.734
lipa80b	7,763,962	9,359,096	20.54	884.265
lipa90a	360,630	363,005	0.65	1,411.65
lipa90b	12,490,441	15,087,930	20.79	1,412.88

Table 14 Solution of problem instances with series Kraxx taken from Burkard et al. [[11\]](#page-9-0)

	S. no. Instance Optimal/	BKS	Proposed heuristic solution	$\frac{0}{2}$ deviation	CPU
1	Kra30a	88,900	89,800	1.01	16.843
2	Kra30b	91.420	91,590	0.18	84.078
3	Kra32	88,700	90,320	1.82	109.703

Table 15 Solution of problem instances with series Hadxx taken from Burkard et al. [\[11](#page-9-0)]

	S. no. Instance Optimal/	BKS	Proposed heuristic % deviation CPU solution		
1	Had12	1,652	1,652	0.00	0.468
2	Had14	2.724	2,724	0.00	0.812
3	Had16	3,720	3,720	0.00	1.359
$\overline{4}$	Had18	5,358	5,358	0.00	2.234
\sim	Had ₂₀	6.922	6,922	0.00	3.421

Table 16 Solution of problem instances with series Rouxx taken from Burkard et al. [\[11](#page-9-0)]

S. no.	Instance	Optimal/ BKS	Proposed heuristic solution	$\%$ deviation	CPU
-1	Rou12	235,528	235,528	0.00	1.14
2	Rou15	354,210	354,210	0.00	2.687
3	Rou ₂₀	725,522	725,522	0.00	25.203

Table 17 Solution of problem instances with series Scrxx taken from Burkard et al. [\[11](#page-9-0)]

Table 18 Solution of problem instances with series Elsxx taken from Burkard et al. [\[11](#page-9-0)]

S. no.		Instance Optimal/ BKS	Proposed heuristic solution	$\frac{0}{0}$ deviation	CPU
	Els19		17,212,548 17,212,548	0.00	2.718

Table 19 Comparative analysis of past meta-heuristics and proposed

heuristic for QAPLIB instances

 $\sqrt{\ }$ indicates which heuristic first time reported the best known solution; Y indicates which heuristic matches the best known solution; N indicates which heuristic does not match the best known solution

problem as recognized by QAPLIB. A 'Y' or 'N' indicates whether the heuristic matches the best known solution. Blank entries indicate that the authors did not report a solution in their paper.

7 Conclusions and future research direction

In this paper, a non-greedy systematic neighbourhood search heuristic for finding a quality solution in reasonable computational time for the FLP is presented. Heuristic is applied on a large number of instances provided by different authors in QAPLIB with problem sizes ranging from 12 to 256. Out of the 135 test problems in QALIB, the proposed heuristic has obtained optimal solutions for 64 problems and matched the best known solution value for 15 problems. For the remaining 56 problems, the proposed heuristic has given very good quality solutions (44 problem solutions within 0– 2 % deviation from the optimal/best known values) as shown in various tables. It must be noted that no known heuristic reportedly produced uniformly better solutions for all problem instances of QAPLIB. The proposed heuristic tries to fill the above gap and performs reasonably well on all instances of QAPLIB. A comparative analysis with past meta-heuristic approaches (Table [19](#page-8-0)) shows the effectiveness of the proposed NGSNS heuristic. It is also proposed as a future research direction to incorporate some GA parameters such as crossover and mutation in the proposed heuristic approach for further improvement in the performance of the proposed solution methodology.

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