ORIGINAL ARTICLE

Yeou-Yih Lin · Ship-Peng Lo

A study on the stress and nonuniformity of the wafer surface for the chemical-mechanical polishing process

Received: 25 September 2002 / Accepted: 30 September 2002 / Published online: 25 June 2003 Springer-Verlag London Limited 2003

Abstract In this paper, a two-dimensional axisymmetric quasic-static model for the chemical-mechanical polishing process (CMP) was established. Based on the principle of minimum total potential energy, a finite element model for CMP was thus established. In this model, the four-layer structures including the wafer carrier, the carrier film, the wafer and the pad are involved. The von Mises stress distributions on the wafer surface were analysed, and the effects of characteristics of the pad and the carrier film and the load of the carrier on the von Mises stress and nonuniformity on the wafer surface were investigated. The findings indicate that the profile of the von Mises stress distributions correlates with the removal rate profile. The elastic modulus and thickness of pad and carrier load would significantly affect the von Mises stress and nonuniformity, but those of the film did not affect very much.

Keywords Chemical-mechanical polishing \cdot Finite element method · von Mises stress · Nonuniformity

1 Introduction

The chemical-mechanical polishing (CMP) mechanism consists of the wafer carrier, the carrier film, the pad and the platen as shown in Fig. 1. The carrier is attached to the wafer back by means of a vacuum. The wafer surface, i.e., the IC part to be planarised, is placed on the platen with one or more layers of pads. Slurry is sprayed continuously through a tube and uniformly scattered on the pad. The wafer is placed between the carrier and pad. The relative motion generated by the carrier and

Department of Mechanical Engineering, De Lin Institute of Technology, 1, 380 LN Chin-Yung Road, Tucheng, Taipei Hsien, 376, Taiwan R.O.C. E-mail: loulin@ms17.hinet.net

platen brings the wafer in contact with particles in the slurry, which generates multiple actions including the mechanical friction, the chemical reaction and the removal of the chemical solvent to accomplish the highly efficient material removal.

The CMP mechanism in Fig. 1 is intrinsically very complicated and not yet understood very clearly, and the polishing mechanism is extremely difficult to analyse. Therefore, it is necessary to simplify the CMP model. Runnels and Renteln [1] assumed no force transmission between the pad and wafer and an elastic pad, and ignored the effect of slurry on establishing an axisymmetric model. They simulated the stress distribution on the wafer surface and rewrote Preston's equation to infer the correlation between the stress and material removal rate. The result indicated that the normal stress had a significant effect on the removal rate. Runnels and Eyman [2] described the action of the chemical solvent by applying fluid dynamics. The model is satisfied simultaneously with the slurry transport model and the physical erosion model, but the latter is much closer to the experimental result. Kaanta and Landis [3] designed a wafer carrier composed of two different materials. The result showed that the upward deflection of the carrier caused by the two different expansion coefficients compensates the polishing effect produced by the irregular abrasive distributions, but it seems difficult to precisely control the deflection of the carrier. Warnock [4] presented a phenomenological erosion model. The pad compressibility was used to predict the polishing rate for different patterns. However, the behaviour of the wafer edge was unpredictable. Sivaram et al. [5] tried to use the deflection of the pad to predict the polishing rate and planarisation. The model became a more complex mathematical problem if predicting the global planarisation because the model only considered the local wafer surface. Wang et al. [6] established a two-dimensional axisymmetric elastic model for CMP by using an I-DEAS package ignoring the effect of slurry and assuming that the shear stress of the wafer surface was uniformly distributed on the surface. The von Mises

Y.-Y. Lin $(\boxtimes) \cdot$ S.-P. Lo

Fig. 1 An illustration of CMP

stress distribution on the wafer surface was used to explore the wafer nonuniformity. The results confirmed that the von Mises stress distribution did have an effect on the surface nonuniformity. Srinivasa-Murthy et al. [7] developed a three-dimensional elastic model by using an ANSYS package to study the stress variation on the wafer surface when sustaining force during the CMP process. The result showed that the von Mises stress peaks at the edge. The profile of the stress distribution is similar to that described by Wang et al. [6], but the site of the peak stress is somewhat different. Lin and Lo [8] established a two-dimensional axisymmetric quasicstatic model for CMP and developed a two-dimensional axisymmetric quasic-static finite element model for CMP. They found that the profile of the simulated von Mises stress distributions is similar to that of the

Fig. 2 An illustration of the relative motion between the wafer and pad

experimental removal rate. The axial stress and the strain are the dominant factors of the von Mises stress distributions on the wafer surface and the wafer deformation, respectively. Baker [9] presented a pressure profile model and derived the deformation and the pressure distributions between the wafer and the pad while regarding the pad as an elastic plane. The result was that the pressure variation in the edge region matched the pressure variation in the nonuniform material removal.

2 Theoretical foundations

2.1 A two-dimensional axisymmetric quasi-static model

CMP is used mainly for the material removal of the wafer surface. The material removal rate (MRR) during the CMP process can be considered as a function of the applied normal pressure and the relative velocity. It is usually expressed by Preston's equation [10], i.e.:

$$
MRR = C_p * P * V \tag{1}
$$

where P is the normal pressure, V is the relative velocity and C_p is the Preston's constant.

The normal pressure can be controlled during the polishing process and the relative velocity can be deduced from the relationship between the wafer revolution and the pad revolution in Eq. 1. Fig. 2 illustrates the relative motion between the wafer and the pad. For point A on the wafer, its relative velocity to the pad can be expressed as:

$$
\vec{V} = \vec{V}_w - \vec{V}_p \tag{2}
$$

where \vec{V} is the relative velocity of point A on the wafer to the pad, V_w is the absolute velocity of point A on the wafer and \overline{V}_p is the absolute velocity of point A on the pad.

The absolute velocity quantities in Eq. 2 are given by:

$$
\vec{V}_w = \vec{R}_w * \vec{\omega}_w \n\vec{V}_p = \vec{R}_p * \vec{\omega}_p
$$
\n(3)

where \vec{R}_w is the distance from point A to the wafer centre, \hat{O} ; $\vec{\omega}_w$ is the revolution of the wafer, \vec{R}_p is the distance from point A to the pad centre and O; $\vec{\omega}_p$ is the revolution of the pad.

By incorporating Eq. 3 into Eq. 2, we get:

$$
\vec{V} = \vec{R}_w * \vec{\omega}_w - \vec{R}_p * \vec{\omega}_p \n= \vec{R}_w * (\vec{\omega}_w - \vec{\omega}_p) - \vec{R}_{wp} * \vec{\omega}_p
$$
\n(4)

where \vec{R}_{wp} is the distance between the pad centre and the wafer centre, OO'.

Yu et al. [11] showed that stresses on the wafer surface arise mainly from two sources, namely the pressure exerted by the carrier and the shear stress due to the relative motion between the wafer and the pad. If both the wafer revolution and pad revolution are assumed to be the same, i.e., $\vec{\omega}_w = \vec{\omega}_P$, the relative velocity of point A on the wafer to the pad, \overline{V} in Eq. 4 can be simplified as $-\vec{R}_{wp} * \vec{\omega}_p$. It is obviously a constant value, and it results in a constant shear stress uniformly distributed on the wafer surface-pad interface; therefore, the effect of the shear stress can be neglected and a quasi-static model is established. Furthermore, since the force is axisymmetrically distributed and the axisymmetric geometry of the pad can be achieved by assuming that it possesses a huge smooth surface, the CMP model in this paper can be simplified into a two-dimensional axisymmetric quasistatic model, as shown in Fig. 3.

2.2 Two-dimensional axisymmetric finite element formulations

While an elastic body is exerted by a body force and a surface force, its total potential energy can be defined as:

Fig. 3 A two-dimensional axisymmetric quasic-static model for CMP

$$
\Pi = U_P - V_P \tag{5}
$$

where Π is the total potential energy, U_p is the strain energy and V_p is the work done on the body by the applied load.

The strain energy and the work done by the applied load in Eq. 5 can be expressed, respectively, as:

$$
U_P = \frac{1}{2} \iiint\limits_V \{ \varepsilon \}^T \{ \sigma \} dV \tag{6}
$$

where $\{\epsilon\}$ is the strain row vector, $\{\sigma\}$ is the stress row vector and V is the volume.

$$
V_P = \iiint_V \{d\}^T \{F_b\} dV + \iint_S \{d\}^T \{T_d\} dS \tag{7}
$$

where d is the displacement, $\{F_b\}$ is the body force, $\{T_d\}$ is the surface tractions and S is the surface of the body on which the surface tractions are prescribed.

The principle of minimum potential energy can be stated as that of all possible displacement states $(u \text{ and } v)$ for a two-dimensional case); it assumes that a body satisfies a compatibility and a given kinematic or displacement boundary condition, and in the meanwhile, the state which satisfies the equilibrium equations makes the potential energy be a minimum value. [12]

If the potential energy, Π is expressed in terms of the displacements u and v , the principle of minimum potential energy gives, at the equilibrium state:

$$
\delta \Pi(u, v) = \delta U_p(u, v) - \delta V_p(u, v) = 0 \tag{8}
$$

A displacement function of an arbitrary point in an element is defined as:

$$
\{d\} = [N]\{\delta\} \tag{9}
$$

where $\{d\}$ is the displacement function, [N] is the shape function matrix and σ is the nodal displacement vector.

By substituting Hooke's law, $\{\sigma\} = [D^e]\{\varepsilon\}$ and straindisplacement relations $\{\varepsilon\} = [B]\{\delta\}$ into Eq. 9, we get:

$$
\{\sigma\} = [D^e][B]\{\delta\} \tag{10}
$$

where $[D^e]$ is the elastic stress-strain relation matrix and [*B*] is the strain-displacement matrix.

By incorporating Eq. 10 into Eq. 6 and Eq. 9 into Eq. 7, the strain energy and the work done by the applied load become, respectively:

$$
U_P(u,v) = \frac{1}{2} \iiint\limits_V \{\delta\}^T [B]^T [D^e][B]\{\delta\} dV
$$
 (11)

$$
V_P(u,v) = \iiint_V \{\delta\}^T [N]^T \{F_b\} dV + \iint_S \{\delta\}^T [N]^T \{T_d\} dS
$$
\n(12)

By substituting Eqs. 11 and 12 into Eq. 5, the total potential energy of an elastic body becomes:

$$
\Pi(u, v) = \frac{1}{2} \iiint\limits_{V} {\delta}^{T} [B]^T [D^e][B] {\delta} dV
$$

$$
- \iiint\limits_{V} {\delta}^{T} [N]^T \{F_b\} dV
$$

$$
- \iiint\limits_{S} {\delta}^{T} [N]^T \{T_d\} dS
$$
(13)

By incorporating Eq. 13 into Eq. 8 and by taking a first variation with respect to the displacement, we get:

$$
[K]_e\{\delta\} = \{F\}_e \tag{14}
$$

where

$$
[\mathbf{k}]_{\mathbf{e}} = \iiint_{V} [B]^T [D^e][B]dV
$$

$$
\{F\}_{e} = \iiint_{V} [N]^T \{F_b\}dV + \iiint_{S} [N]^T \{T_d\}dS
$$

By expressing this step by step in terms of the whole domain, a governing equation for a two-dimensional finite element model is given by:

$$
[K]\{\delta\} = \{F\} \tag{15}
$$

where [K] is the elastic stiffness matrix, i.e., $[K] = \sum_{n=1}^{n}$ $\sum\limits_{1}^{\infty}$ $[K]_{e}$ and $\{F\}$ is the nodal force, i.e., $\{F\} = \sum_{n=1}^{n}$ $\sum_{1} \{F\}_{e}.$

3 A finite element model

The CMP model in Fig. 3 is divided into a total of 6800 triangular elements and 3661 nodes. The basic assumptions are: (1) The surfaces of the carrier, the carrier film, the wafer and the pad are smooth. (2) The materials, including the carrier, the carrier film, the wafer and the pad are all isotropic. (3) All materials are tightly stacked. In addition, the boundary conditions are: (1) Only a uniformly distributed down pressure is considered, and it is applied on the top surface of the carrier (2) The bottom surface of the pad sustains a fixed support, while the nodes at the bottom are subject to a complete limitation in all directions (3) The left side is a symmetric boundary condition and enjoys a roller support, while the nodes on this side have an r direction limitation and are able to move freely in the z direction.

4 A verification of the model

Von Mises proposed in 1913 that yielding occurs when a combination of stresses, i.e., the von Mises stress, exceeds the yield strength of the material. The von Mises stress applied in a two-dimensional axisymmetric quasistatic CMP model can be simplified as:

$$
\bar{\sigma} = \frac{1}{\sqrt{2}} \left[\left(\sigma_{rr} - \sigma_{zz} \right)^2 + \left(\sigma_{zz} - \sigma_{\theta\theta} \right)^2 + \left(\sigma_{rr} - \sigma_{\theta\theta} \right)^2 + 6\tau_{rz}^2 \right]^{\frac{1}{2}}
$$
\n(16)

where $\bar{\sigma}$ is the von Mises stress, and σ_{rr} , $\sigma_{\theta\theta}$, σ_{zz} and τ_{rz} are the radial, hoop, axial and shear stresses, respectively.

With the condition that the effect of the slurry was ignored and the initial material properties and geometries for the carrier, the carrier film, the wafer and the pad listed in Table 1 [7] were used, a 0.0689655 MPa down pressure was applied on the top surface of the carrier and the von Mises stress distributions on the wafer surface were simulated by using the developed finite element model. Fig. 4a shows the correlation between the calculated von Mises stress distributions and the distance from the wafer centre. [8] To understand much more about the variations of the lower and flatter parts of the von Mises stress distributions in Fig.4a, the scale in the y axis of this part is magnified and plotted in Fig.4b. Figs. 4a, b show that near the wafer centre, the von Mises stress distribution was almost uniform, and then increased a small amount gradually. However, near the wafer edge, it decreased in a large range. Finally, it increased dramatically and peaked significantly at the edge. This result was similar to that of Srinivasa-Murthy et al. [7].

Figure 4 von Mises distribution on the wafer surface is an experimental diagram for material removal rate variations by using two different carrier films. [6]

Table 1 Initial material properties and geometries [7]

	Elastic modulus	Poisson's	Radius	Thickness
	(MPa)	ratio	(mm)	(mm)
Wafer carrier	193054.4	0.3	100.33	7.62
Carrier film	0.26544	0.1	100.33	0.635
Wafer	193054.4	0.3	100.33	0.706
Pad	2.2891	01	558.8	1.397

Fig. 5 The experimental material removal rates on the wafer surface [6]

The curves in Fig. 5 are oxide-polishing results obtained with two carrier films, R200T3 and DF200, which have an elastic modulus of 0.69 MPa and 0.407 MPa, respectively. It shows that there is an obvious variation in the material removal rate from the average values at the edges, for the two films. In comparing Figs. 4a,b and Fig. 5, although the simulated conditions in this paper are different from that of Fig. 5, the profile of the von Mises stress distributions in Figs. 4a,b is similar to the removal rate profile, i.e., the characteristics of the curves in Figs. 4a,b and Fig. 5 match qualitatively. It verifies that the proposed CMP model is acceptable.

5 Results and discussion

5.1 The effect of compressibility for the pad and carrier film on wafer surface stress and nonuniformity

The different elastic modulus for both the pad and carrier film were then used to simulate the von Mises stress distribution and nonuniformity on the wafer surface. Four types of elastic modulus for the pad and carrier film are listed in Table 2. The 'standard' elastic modulus of the pad and carrier film in Table 2 means the initial elastic modulus in Table 1.

Figure 6 shows the von Mises stress distribution on the wafer surface for a different compressibility of the pad. From the simulated results, it can be seen that the larger the elastic modulus of the pad, i.e., the smaller the compressibility, the wafer which was in contact with a harder pad would result in a larger von Mises stress.

Figure 7 shows the von Mises stress distribution on the wafer surface for a different compressibility of the carrier film. According to Fig. 7, when the elastic modulus of the carrier film was larger (which meant that the compressibility was smaller) the von Mises stress would also be larger. However, the changes of the elastic modulus of the carrier film did not affect the von Mises stress distribution much, because the carrier film only came into contact with the wafer back, and its elastic modulus was significantly smaller than that of the pad.

Figure 8 shows the relationship between the compressibility of both pad and carrier films and nonuniformity. In this study, according to the definition of nonuniformity of the wafer surface by Wang et al. [6], the relationship can be defined as follows:

Table 2 The elastic modulus of the pad and the carrier film

Elastic modulus (MPa)	Pad	Carrier film	
Soft Standard Hard Harder	0.34474 2.2891 6.89655 20.6897	0.10345 0.26544 0.55172 1.10345	

Fig. 6 The von Mises stress distributions on the wafer surface for a different pad compressibility

Fig. 7 The von Mises stress distributions on the wafer surface for a different carrier film compressibility

Fig. 8 The relationship between the pad and the carrier film compressibility and nonuniformity

$$
R = \frac{\sigma_{\text{max}}}{\sigma_c} \tag{17}
$$

where R is the nonuniformity of the wafer surface, σ_{max} is the maximum von Mises stress on the wafer surface and σ_c is the von Mises stress on the wafer centre.

In Fig. 8, when a down pressure is applied on the top surface of the wafer carrier, the larger the elastic modulus of the pad, the harder the material of the pad, and this would result in the smaller axial deformation on the wafer surface to decrease the nonuniformity of the wafer surface. Furthermore, since the carrier film only came into contact with the wafer back and its elastic modulus was significantly smaller than that of the pad, its elastic modulus variations did not affect the deformation very much, and the nonuniformity of wafer surface did not change much as well.

5.2 The effect of thickness for the pad and carrier film on wafer surface stress and nonuniformity

The different thicknesses of both the pad and the carrier film were then used to simulate the von Mises stress distribution and nonuniformity on the wafer surface. 4 kinds of thicknesses for the pad and the carrier films are listed in Table 3. The 'standard' thickness of the pad and

Table 3 Thicknesses of the pad and the carrier film

Thickness (mm)	Pad	Carrier film	
Thin	0.6985	0.254	
Standard	1.397	0.635	
Thick	2.0955	1.016	
Thicker	2.794	1.397	

carrier film in Table 3 means the initial thickness in Table 1.

Figure 9 shows the von Mises stress distributions on the wafer surface for different pad thicknesses. Since the elastic modulus of the pad was far less than that of the wafer, its elastic modulus effect on the wafer was more obvious when its thickness was increasing. It made the von Mises stress on the wafer surface reduce, but it made the axial deformation increase to result in a gradual increasing of the nonuniformity of the wafer surface, as shown in Fig. 10.

Figure 11 shows the von Mises stress distributions on the wafer surface for different carrier film thicknesses. Although the elastic modulus of the carrier film was also far less than that of the wafer, its thickness change did not affect either the von Mises stress distribution or the deformation on the wafer surface very much, because the carrier film only came into contact with the wafer back, and its thickness and the thickness change were smaller

Fig. 9 The von Mises stress distributions on the wafer surface for a different pad thickness

Fig. 10 The von Mises stress distributions on the wafer surface for a different carrier film thickness

than those of the pad. As a result, the nonuniformity of the wafer surface seldom changed with different thicknesses for the wafer film as shown in Fig. 11.

5.3 The effect of carrier loads on the wafer surface stress and nonuniformity

The different carrier loads were then used to simulate the von Mises stress distribution and nonuniformity on wafer surface. 5 kinds of carrier loads are listed in Table 4. The 'standard' carrier load in Table 4 indicates the initial pressure exerted on the top surface of the wafer, discussed in the previous section.

Fig. 12 shows the von Mises stress distributions on the wafer surface for different carrier loads. As seen in Fig. 12, the von Mises stress on the wafer surface became larger when the load of the carrier was increasing. Meanwhile, the axial deformation of the wafer surface became larger to result in a gradual increasing of nonuniformity on the wafer surface as shown in Fig. 13. In other words, the magnitude of the load is proportional to the load of the von Mises stress and the nonuniformity on wafer surface.

In order to investigate more about the effect of carrier loads, the von Mises stress on the wafer centre, σ_c and the maximum von Mises stress, σ_{max} for each curve in Fig. 12 and the nonuniformity, R , in Fig. 13 were extracted and are listed in Table 5. However, since the units of σ_c and σ_{max} are different from that of R, there is no way to compare them. Therefore, we defined four dimensionless quantities, that is, the load ratio, the σ_c ratio, the σ_{max} ratio and the R ratio as the the 'standard'

Fig. 11 The relationship between the pad and the carrier film thickness and nonuniformity

Table 4 The carrier loads

Fig. 12 The von Mises stress distributions on the wafer surface for different carrier loads

load condition exerted on the top surface of the carrier as a basis. These four ratios mean that the values of the load σ_c , σ_{max} and R are divided by those under the the condition of 'standard' load. All of them are listed in Table 6 and plotted in Fig. 14. Three dimensionless curves including the σ_c ratio, the σ_{max} ratio and the R ratio under the condition of different load ratios are depicted in Fig. 14. Finally, we used the least square method [13] to search for a regressive linear equation for each curve which goes through one of the three simulated ratios, namely, the σ_c ratio, the σ_{max} ratio or R ratio, and found that the square summation of distance from each simulated ratio to its average value is minimum. The slopes can be acquired from all of these ratios, and they can be used to determine and compare how much the load affects the von Mises stress and nonuniformity.

Fig. 13 The relationship between the carrier loads and nonuniformity

Table 5 σ_c , σ_{max} and R for different carrier loads

Load	Psi	MPa	Load	σ_c (MPa)	σ_{max} (MPa)	R
Lightest		0.0275862	Lightest	0.0206079	0.0381753	1.8524550
Lighter		0.0413793	Lighter	0.0283532	0.0532827	1.8792443
Light	8	0.0551724	Light	0.0349143	0.0664274	1.9025797
Standard	10	0.0689655	Standard	0.0405367	0.0779550	1.9230700
Heavy	\mathcal{D} ໋	0.0827586	Heavy	0.0454262	0.0881850	1.9412792

Table 6 Dimensionless quantities for the load ratio, the σ_c ratio, the σ_{max} ratio and the R ratio

Load ratio	σ_c ratio	σ_{max} ratio	R ratio
0.4	0.5083763	0.4897094	0.9632800
0.6	0.6994451	0.6835058	0.9772105
0.8	0.8613009	0.8521249	0.9893450
1.0	1.0	1.0	1.0
12	1.1206190	1.1312295	1.0094688

Fig. 14 The relationships for the load ratio-stress ratio and the load ratio-nonuniformity ratio

The mathematical form for a regressive linear equation can be expressed as:

$$
y = a * x + b \tag{18}
$$

where x denotes the load ratio, y is the σ_c ratio, σ_{max} ratio or R ratio and a and b are the parameters.

In Eq. 18, a and b can be derived as:

$$
a = \frac{\sum (x - \bar{x})(y - \bar{y})}{\sum (x - \bar{x})^2} = \frac{n\sum (xy) - (\sum x)(\sum y)}{n\sum x^2 - (\sum x)^2}
$$

$$
b = \bar{y} - a\bar{x}
$$
 (19)

where \bar{x} is the average load ratio and \bar{y} is the average σ_c ratio, average σ_{max} ratio or average R ratio.

By incorporating the data in Table 6 into Eq. 19, the quantities of a and b can be acquired. Then, by incorporating these into Eq. 18, a specified linear regressive equation for each curve and its slope can be obtained and listed in Table 7. Fig. 14 and Table 7 showed that the two curves of σ_c ratio and σ_{max} ratio approach each other and their slopes from the linear regressive equations are steeper and greater than that of the R ratio curve. The result indicated that the effect of the carrier load on the von Mises stress was more significant and obvious than that of the effect of the carrier load on the nonuniformity.

6 Conclusions

From the simulation and analysis of the CMP model developed in this paper for the different elastic modulus

Table 7 Regressive equations for the σ_c ratio, the σ_{max} ratio and the R ratio and their slopes under the different load ratios by the least square method

Curves	Regressive equations	
σ_c ratio σ_{max} ratio R ratio	$v = 0.76252015*x + 0.22793214$ $y=0.7997672*x+0.19150016$ $v = 0.05758355*x + 0.94179402$	0.76252015 0.7997672 0.05758355
$N_{\alpha+\alpha}$		

Notes:

(1) σ_c ratio curve: y is σ_c ratio, x is load ratio

(2) σ_{max} ratio curve: y is σ_{max} ratio, x is load ratio

(3) R ratio curve: y is R ratio, x is load ratio

of the the pad and carrier film, the different thicknesses of the pad and carrier film and the different carrier loads, the following conclusions can be drawn:

- (1) A two-dimensional axisymmetric quasi-static finite element model for CMP can be developed.
- (2) The calculated von Mises stress distributions near the wafer centre are almost uniform, and then increase gradually with a small amount. However, the stress distributions decreased by a great deal near the wafer edge. Finally, the stress distributions increased dramatically and peaked significantly at the edge.
- (3) The larger the elastic modulus of the pad, i.e., the smaller the compressibility, the larger the von Mises stress and the smaller the axial deformation on the wafer surface to reduce the nonuniformity of the wafer surface. Because the carrier film only came into contact with the wafer back and its elastic modulus was significantly smaller than that of the pad, its elastic modulus changes did not have much of an affect on either the von Mises stress distribution or the nonuniformity of the wafer surface.
- (4) When the thickness of the pad increased gradually, the von Mises stress on wafer surface was reduced, but the deformation increased to make the nonuniformity of the wafer surface larger. However, the thickness changes of the wafer film did not affect the von Mises stress distribution very much and seldom changed the nonuniformity of the wafer surface because it only came into contact with the wafer back and its thickness and thickness change were smaller than those of the pad.
- (5) The magnitude of the carrier load is proportional to the magnitude of the von Mises stress and the nonuniformity on the wafer surface, but the effect of the carrier load on the von Mises stress was more significant and obvious than that on the nonuniformity, when using the least square method with a dimensionless form.

Acknowledgements It is gratefully acknowledged that the National Science Council of the Republic of China provided funds (Grant No. NSC 90-2212-E-237-001) for the financial support of this work.

- 1. Runnels SR, Renteln P (1993) Modeling the effect of polish pad deformation on wafer surface stress distributions during chemical-mechanical polishing. Dielect Sci Technol 6:110–121
- 2. Runnels SR, Eyman LM (1994) Tribology analysis of chemicalmechanical polishing. J Electrochem Soc 141(6):1698–1701
- 3. Kaanta CW, Landis HS (1991) Radial uniformity control of semiconductor wafer polishing. US Patent 5,036,630, 6 August 1991
- 4. Warnock J (1991) A two-dimensional process model for CMP planarization. J Electrochem Soc 138(8):2398–2402
- 5. Sivaram S, Tolles R, Bath H, Lee E, Leggett R (1992) Chemical mechanical polishing of inter-level dielectrics: models for removal rate and planarity. Technical Report, SEMATECH, Austin, TX
- 6. Wang D, Lee J, Holland K, Bibby T, Beaudoin S, Cale T (1997) Von Mises stress in chemical-mechanical polishing processes. J Electrochem Soc 144(3):1122–1127
- 7. Srinivasa-Murthy C, Wang D, Beaudoin SP, Bibby T, Holland K, Cale TS (1997) Stress distribution in chemical-mechanical polishing. Thin Solid Film 308:533–537
- 8. Lin YY, Lo SP (2003) Study on finite element model for chemical mechanical polishing process. Int J Adv Manufact Technol (in press)
- 9. Baker AR (1997) The origin of the edge effects in CMP. Proc Electrochem Soc 96(22):228–237
- 10. Preston F (1927) Optimization of computer controlled polishing. Glass Tech 11:214–219
- 11. Yu TK, Yu CC, Orlowski M (1993) A statistical polishing pad model for chemical-mechanical polishing. IEDM Tech Dig pp 865–868
- 12. Rao SS (1989) The finite element method in engineering. Pergamon, Oxford, UK
- 13. Galyer JFW, Shotbolt CR (1993) Metrology for engineers. Cassell, London