




# Intra-articular injection of culture-expanded mesenchymal stem cells with or without addition of platelet-rich plasma is effective in decreasing pain and symptoms in knee osteoarthritis: a controlled, double-blind clinical trial

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## Abstract

**Purpose** To compare the clinical and laboratory outcomes of intra-articular injections of culture-expanded bone-derived mesenchymal stem cells (MSCs) with or without platelet-rich plasma (PRP) to intra-articular corticosteroid injections for the treatment of knee osteoarthritis (OA).

**Methods** Forty-seven patients with radiographic and symptomatic knee OA were randomized into three groups for intra-articular injections: autologous bone marrow-derived culture-expanded MSCs ( $n = 16$ ); autologous bone marrow-derived culture-expanded MSCs + PRP ( $n = 14$ ); and corticosteroid ( $n = 17$ ). The outcomes were assessed by the Knee Injury and Osteoarthritis Outcome Score (KOOS) and range of motion (ROM) at baseline, 1, 2, 3, 6, 9 and 12 months and intra-articular cytokines analysis at baseline, 6 and 12 months postoperatively.

**Results** The three groups showed significant improvement in most KOOS domains and global score at 1st month and all domains and global score at 12-month follow-up ( $p < 0.05$ ). At the 1st month, only the MSCs group showed significant differences in KOOS symptoms domain ( $p = 0.003$ ). The MSCs and MSCs + PRP groups showed the highest percentage of improvement in most KOOS domains and global score compared to the corticosteroid group. All three groups showed a significant reduction in intra-articular levels of human interleukin-10 cytokine, from baseline to 12 months ( $p < 0.05$ ).

**Conclusion** An intra-articular injection of bone marrow-derived culture-expanded MSCs with or without the addition of PRP is effective in improving the function and decreasing symptoms caused by knee OA at 12-month follow-up.

**Level of evidence** II.

**Keywords** Osteoarthritis · Mesenchymal stem cells · Intra-articular injection · Platelet-rich plasma

## Introduction

Progressive ageing and a more active population increase the challenge to treat osteoarthritis (OA), which has led to the development of tissue engineering and cell-based therapies. The role of mesenchymal stem cells (MSCs) and

platelet-rich plasma (PRP) therapies in knee OA is still controversial [7, 15, 18, 37, 39].

The therapeutic use of MSCs is traditionally related to both their anti-inflammatory activity and the multilineage differentiation, including the chondrogenic potential [22]. Recent studies emphasize the paracrine effects of implanted cells, i.e., the MSCs secretion of cytokines, growth factors and extracellular vesicles capable of inducing tissue repair and modulating inflammation [41]. As MSCs are an extremely rare population within the bone marrow (0.001–0.01%) [42], an optimized culture and in vitro expansion standardized protocol could be beneficial for MSCs regenerative success in the clinics [16]. Several growth

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factors involved in MSCs chondrogenic differentiation are found in PRP, such as transforming growth factor beta (TGF- $\beta$ ), basic fibroblast growth factor (bFGF) and platelet-derived growth factor (PDGF) [2]. The PRP, being an autologous source of growth factors, can be easily obtained from peripheral blood but has showed conflicting results for knee OA treatment when compared to hyaluronic acid or corticosteroid intra-articular injections [7, 8, 10, 12, 13, 17, 23, 33, 37].

There is still insufficient evidence to recommend the use of intra-articular injections of MSCs due to the heterogeneity in preparation protocols and high risk of bias [18, 39, 43], but recent systematic reviews reported beneficial effects of stem cell intra-articular injections for knee OA [36, 39]. This randomized, controlled, double-blind clinical trial has the objective to compare the clinical and laboratory outcomes of intra-articular injection of expanded MSCs with or without PRP to corticosteroid intra-articular injection for the treatment of knee OA. The main hypothesis was that culture-expanded MSCs can lead to better outcomes as compared to corticosteroid treatment. In addition, it was hypothesized that expanded MSCs enhanced with PRP will result in better clinical outcomes as compared to MSCs alone and corticosteroid intra-articular injections.

## Materials and methods

All participants were submitted to an initial screening visit including history taking, physical examination, laboratory testing pre-operative, electrocardiogram, chest radiography, knee radiography (standing anterior–posterior and lateral views), knee magnetic resonance imaging (MRI) and a survey of recently used medications and supplements. All patients were examined by a cardiologist for surgical risk stratification. The inclusion criteria was patients over age 35 with knee OA (based on American College of Rheumatology criteria) and confirmatory radiographs (Kellgren–Lawrence grade 1–4). Exclusion criteria were history of untreated diabetes mellitus, glaucoma, immunodeficiency, chronic use of oral corticosteroid or immunosuppressive therapies, history or presence of malignant disorders and/or use of chemotherapy, infection or active wound in the knee area, history of severe trauma to the knee (post-traumatic OA), presence of systemic inflammation, body mass index (BMI) higher than 40 kg/m<sup>2</sup>, pregnancy and any other comorbidity that prevented the bone marrow aspiration surgical procedure.

Initially, a total of 50 patients were assessed for eligibility. Three were excluded before randomization because they did not meet the inclusion criteria (leg infection, erysipelas;  $n = 1$ ) or refused to participate ( $n = 2$ ). A homogenous sample of 47 patients (47 knees; 24 males and 23 females; 57.3  $\pm$  10.7 years old) with radiographic and symptomatic

knee OA met the inclusion criteria and were included in this study.

As MSCs therapy with cultured expanded cells is an innovative treatment, there are possible undesirable effects. For this reason, the ethical committee authorized to analyse the results of the first patients treated with MSCs therapy who finished their 12-month follow-up aiming to identify possible complications due to the MSCs injections. The safety analysis for the patients that had completed the 12-month follow-up was only performed after the last enrolled patient received the allocated injection. Thus, the randomization and the blinding of patients were not disrupted. This analysis was published in a preliminary paper published (phase 1 study), which comprised a partial sample of patients (18 patients) that are included in this study. The current study shows different results, with the complete sample of patients and including the comparison with the control group and cytokines analyses.

## Patient baseline characteristics

Baseline sociodemographic and clinical characteristics of the three groups are summarized in Table 1. At baseline, the sample was homogeneous regarding gender, age, BMI, knee ROM and KOOS subscales and global scores ( $p > 0.05$ ). One patient deceased at 9 months and was lost to follow-up, and another required total knee replacement at 6 months and was considered as a treatment failure (Fig. 1).

## Randomization and blinding procedure

Patients were randomized just after the bone marrow aspirate and before the scheduled intra-articular injection procedure. Using the Excel software, the included patients were randomly divided into three groups, in a 1:1 ratio using central and permuted-blocks randomization.

1. Autologous transplantation of culture-expanded bone marrow stromal MSCs intra-articular injection;
2. Autologous transplantation of expanded stromal bone marrow MSCs combined with PRP intra-articular injection;
3. Corticosteroid intra-articular injection.

The biologist who prepared the material to be injected had restricted access to the randomization schedule, which specified the allocated treatment group. The orthopaedic surgeon, patients, and staff directly involved in the study were blinded to group assignment. Only the biologist involved with the preparation of the material to be injected knew the patient allocation. During treatment preparation, the therapeutic solutions were packed in a standard syringe and covered with a blank protector to ensure blinding. Solutions

**Table 1** Baseline sociodemographic and clinical characteristics

	MSCs ( <i>n</i> = 16)	MSCs + PRP ( <i>n</i> = 14)	Corticosteroid ( <i>n</i> = 17)	<i>p</i> value
Gender				
Female	6 (37.5%)	9 (64.3%)	8 (47.1%)	n.s.*
Male	10 (62.5%)	5 (35.7%)	9 (52.9%)	
Age, mean ± SD	55.7 ± 7.8	60.8 ± 9.9	55.9 ± 13.4	n.s.**
BMI, mean ± SD	30.6 ± 4.5	28.9 ± 4.9	31.0 ± 4.7	n.s.**
< 30 kg/m <sup>2</sup>	43%	64%	47%	
≥ 30 kg/m <sup>2</sup>	57%	36%	53%	
Alignment, no. (%)				
Normal alignment	3 (18.8%)	6 (42.9%)	7 (41.2%)	n.s.*
Varus	13 (81.3%)	5 (35.7%)	4 (23.5%)	
Valgus	0 (0.0%)	3 (21.4%)	6 (35.3%)	
OA grade, no. (%)				
Grade I	1 (6.3%)	1 (7.1%)	1 (5.8%)	
Grade II	7 (43.8%)	3 (21.4%)	4 (23.5%)	
Grade III	5 (31.3%)	6 (42.9%)	7 (41.1%)	
Grade IV	3 (18.8%)	4 (28.6%)	5 (29.4%)	
ROM, mean ± SD (95% CI)				
Hyperextension	2.2 ± 4.1 (0.0, 4.4)	0.7 ± 2.7 (−0.8, 2.3)	1.0 ± 2.1 (−0.1, 2.1)	n.s.***
Flexum	2.5 ± 5.8 (−0.6, 5.6)	3.2 ± 4.6 (0.5, 5.9)	3.9 ± 6.3 (0.4, 7.3)	n.s.***
Total flexion	104.4 ± 39.4 (83.4, 125.4)	115.2 ± 26.6 (99.9, 130.6)	118.3 ± 18.3 (108.2, 128.5)	n.s.***
KOOS, mean ± SD (95% CI)				
Symptoms	41.5 ± 18.4 (31.7, 51.3)	44.6 ± 14.9 (36.1, 53.2)	47.4 ± 17.9 (38.2, 56.6)	n.s.**
Pain	34.5 ± 11.4 (28.5, 40.6)	42.3 ± 17.2 (32.3, 52.2)	40.5 ± 19.6 (30.4, 50.6)	n.s.**
Function, daily living	31.7 ± 19.1 (21.5, 41.9)	41.2 ± 18.2 (30.7, 51.7)	40.7 ± 21.0 (29.9, 51.4)	n.s.**
Sports/recreation	13.1 ± 21.0 (2.0, 24.3)	18.9 ± 23.5 (5.4, 32.5)	18.2 ± 28.3 (3.7, 32.8)	n.s.***
Quality of life	16.8 ± 12.4 (10.1, 23.4)	19.2 ± 20.3 (7.5, 30.9)	16.5 ± 16.5 (8.0, 25.0)	n.s.**
Global KOOS score	30.3 ± 13.1 (23.3, 37.3)	37.3 ± 16.4 (27.8, 46.7)	36.9 ± 17.8 (27.7, 46.0)	n.s.**

SD standard deviation, CI confidence interval, OA osteoarthritis, ROM range of movement, KOOS Knee Injury and Osteoarthritis Outcome Score, n.s. non-significant

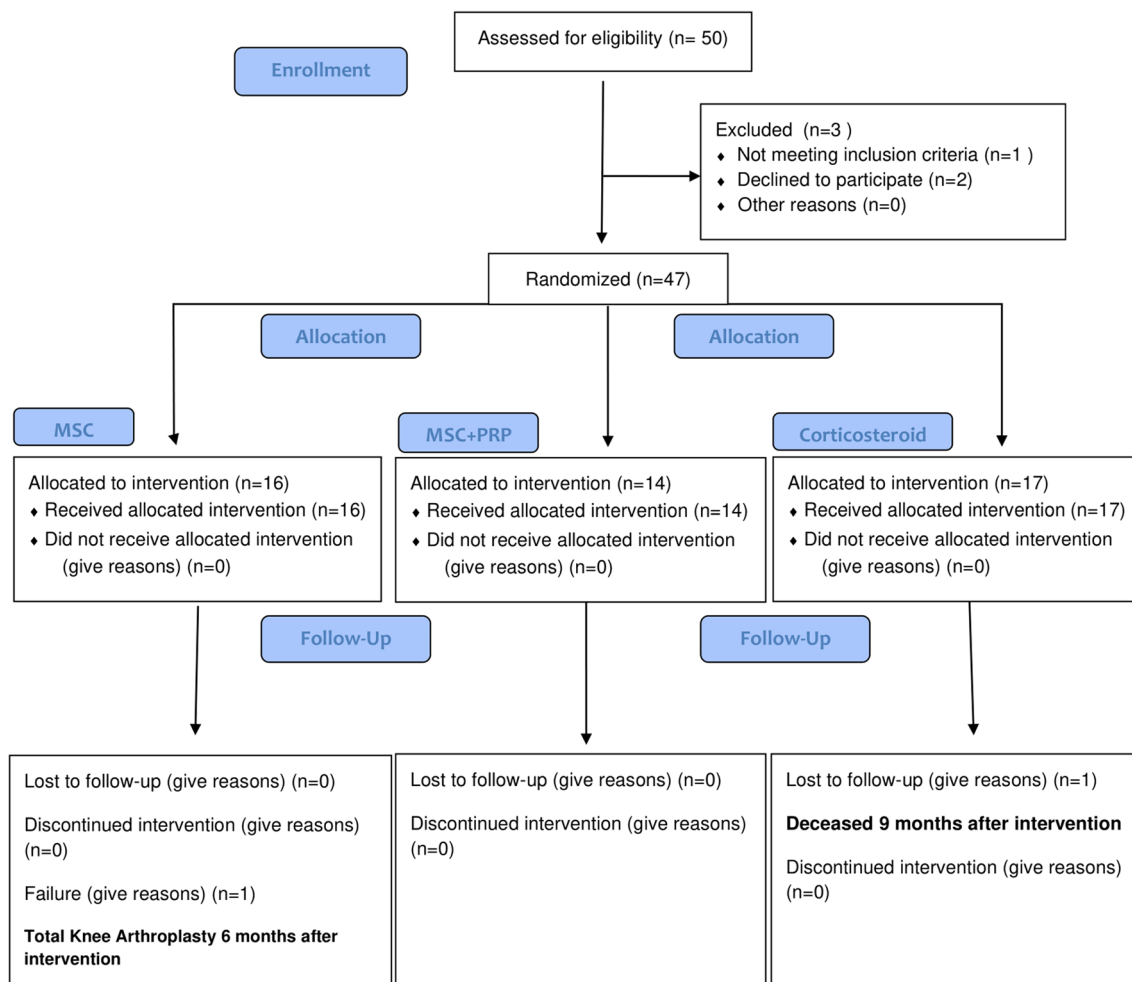
\*Qui square test; \*\*ANOVA; \*\*\*Kruskall–Wallis

were prepared to the same final volume and weight to prevent identification of the different treatments.

### MSC harvest, cell culturing and syringe preparation

All patients were submitted to bone marrow aspiration procedure independently of the group allocated, including the corticosteroid group. Bone marrow aspirate was obtained percutaneously from both posterior iliac crests with a Jamshidi needle (11G × 10 cm; Ecomed, Rio de Janeiro, Brazil) after spinal nerve block and sedation. Approximately 80–100 mL of bone marrow was aspirated through 10 mL EDTA-coated syringes (ten syringes used on the left posterior iliac crest side and ten syringes used on the right side). Each syringe was filled with 4–5 mL of bone marrow aspiration and the Jamshidi needle was introduced to different iliac crest sites to prevent the aspiration of venous blood. The syringes were previously rinsed with anticoagulant

(EDTA). Mononuclear cells from bone marrow samples were separated using the Sepax automated closed system (Biosafe, Eysins, Switzerland), according to manufacturer's instructions. After isolation, cells were seeded at a density of  $4 \times 10^5$  cells/cm<sup>2</sup> in minimum essential medium eagle alpha modification (alpha MEM) (Cultilab, Campinas, SP, Brazil) supplemented with 10% foetal bovine serum (FBS) (Gibco) and incubated for 5 days in a humidified atmosphere of 5% CO<sub>2</sub> at 37 °C. Non-adherent cells were then discarded after cell culture medium exchange. Medium exchange was performed every 3–4 days. Once the cells reached 70–80% confluence, they were detached from culture flasks using 0.05% trypsin solution (Gibco, Thermo Fisher Scientific) and re-seeded onto new culture flasks (Corning Incorporated, Corning, NY), characterizing one passage. Cultures were maintained for no more than two passages. The cells met the criteria for mesenchymal stromal cells (MSC) based on colony-forming unit-fibroblast (CFU-F) assay and flow



**Fig. 1** Consort flow chart of participants

cytometry as previously described [5]. When reaching the desired number of  $40 \times 10^6$ , cells were suspended in 10 mL PBS supplemented with 2% human albumin in the MSC group or in PRP in the MSC + PRP group.

### PRP preparation

White blood cell-depleted PRP was produced as previously described, with minor modifications [2]. Only autologous PRP was used. Peripheral blood (54 mL) was collected by venipuncture from each donor using six blood collection tubes containing anticoagulant citrate dextrose (ACD) solution A (Vacutainer, Ref: 364606; BD Biosciences). Under sterile conditions, the blood collected in one ACD tube was divided into three polypropylene tubes containing no anticoagulant (Falcon™, Ref: 352063; BD Biosciences), to enhance platelet recovery. After two centrifugation steps, platelets were re-suspended in phosphate buffer solution (PBS) at a concentration of  $10^6/\mu\text{L}$ , namely PRP, of which

10 mL was used to resuspend MSCs in the MSC + PRP group.

### Intra-articular injection

Two to three weeks after the bone marrow aspiration, an intra-articular injection of MSCs, MSCs enriched with PRP, or corticosteroid (4 mg of dexamethasone) was given. Specific intra-articular injection procedures have been previously described [5].

### Post-operative and post-injection care

Neither functional restrictions nor any special care was recommended or required following the bone marrow aspiration. Immediately after injection, patients were instructed to initiate daily prophylactic exercises for preventing deep venous thrombosis, following the same protocol in all study groups. All patients were instructed to remain non-weight bearing with two Canadian-type crutches for 2 weeks to

favour cell adhesion to chondral lesions. Patients were generally recommended to continue their mild to moderate level of activities and to increase their activity level gradually as tolerated. All activities including moderate sports were allowed after 2 weeks. During the 12 months of follow-up, other therapies such as anti-inflammatory medications (steroid or non-steroidal), physical therapy, or acupuncture were not allowed. The use of dipyron 1 g every 6 h (analgesic non-anti-inflammatory) was allowed in case of severe pain.

## Outcome measures

Medical visits occurred before the bone marrow aspiration procedure (screening visit) and at 1, 2, 3, 6, 9 and 12 months after the experimental treatment by the orthopaedic team.

A clinical and functional assessment, as performed by Portuguese version of the Knee Injury and Osteoarthritis Outcome Score (KOOS) [14], was the primary outcome and was assessed at 1, 2, 3, 6, 9 and 12 months. Using the KOOS subscales and global score, the expected improvement ( $IE = 100 - \text{initial score}$ ), the improvement observed ( $IO = \text{final score} - \text{initial score}$ ) and the percentage achieved of the improvement expected ( $CIE = IO/IE$ ) from baseline to 12-month follow-up was calculated for each patient.

Knee range of motion (ROM) was measured (total active flexion) using Goniômetro Pro for iPhone software and compared to the contralateral knee. Synovial fluid aspiration for cytokine analysis was performed via a superolateral approach at baseline, 6 and 12 months after treatment. Cytokines IL-17A, IFN-gamma, human-TNF, human-IL10, human-IL6, human-IL4, and human-IL2 were analysed when an effusion was present and synovial fluid aspiration was possible. In cases of absence of synovial fluid, the result was considered negative.

## Ethical approval

The research was approved by the Comissão Nacional de Ética em Pesquisa (Brazilian National Ethics in Research Committee)—CONEP, under number 14878813.4.0000.5533 and was conducted in accordance with the principles of the Declaration of Helsinki. All the participants signed the written consent form before inclusion in the study.

## Statistical analysis

The statistical analysis was performed using the Statistical Package for Social Science version 22<sup>®</sup> (IBM SPSS, Inc., Chicago). The level of significance for all hypothesis tests ( $p$ ) was set at 0.05. Continuous variables were presented as mean and standard deviation. Absolute ( $n$ ) and relative (%) frequencies were computed for the categorical

variables. The normality of the distribution of quantitative variables was evaluated by Kolmogorov–Smirnov's test and Shapiro–Wilk's test, but due to the small sample size, the non-parametric tests were performed in all evaluations. The association between baseline categorical and continuous variables was determined using Chi square and ANOVA or Kruskal–Wallis tests, respectively. The comparison of knee ROM and KOOS global and sub-scores during follow-up endpoints between the three groups was made with Kruskal–Wallis test. The Wilcoxon signed-rank test was used to compute the improvement of KOOS global and sub-scores between each endpoint for each treatment group and the Friedman test to calculate the improvement throughout the entire follow-up. The KOOS improvement from baseline to 12-month follow-up endpoint with the 95% confidence intervals (CIs) was computed using Wilcoxon signed-rank test. For the cytokine analysis, the Wilcoxon signed-rank test was used to compare the baseline values with the 6-month and 12-month follow-up periods, and the Kruskal–Wallis test to compare the three groups.

## Results

### Clinical and functional outcome measures

#### KOOS score

Accounting for all interventions, there was a significant improvement from baseline to 1 month in most KOOS domains and global score ( $p < 0.05$ ) and from baseline to last follow-up for most KOOS domains ( $p < 0.05$ ; Supplement 1). The results for each treatment from baseline to 12-month follow-up period are depicted in Table 2 and the changes between all assessments are presented in Supplement 1.

The corticosteroid group had highest percentages for score worsening for KOOS domains and global score and, in turn, the MSCs and MSCs + PRP groups showed the highest percentages of improvement in KOOS domains and global score (Supplement 2).

The MSCs and MSCs + PRP groups achieved higher percentages of expected improvement as compared to the corticosteroid group for most KOOS domains and global score (Fig. 2). When comparing both MSCs groups, the MSCs + PRP group showed higher percentage of expected improvement for the KOOS pain domain, and the MSCs group for the KOOS-QoL domain.

#### Knee ROM

There were no significant differences between groups in knee ROM (hyperextension, flexion, and total flexion) at the different follow-up endpoints.

**Table 2** KOOS improvement from baseline to 12-month follow-up

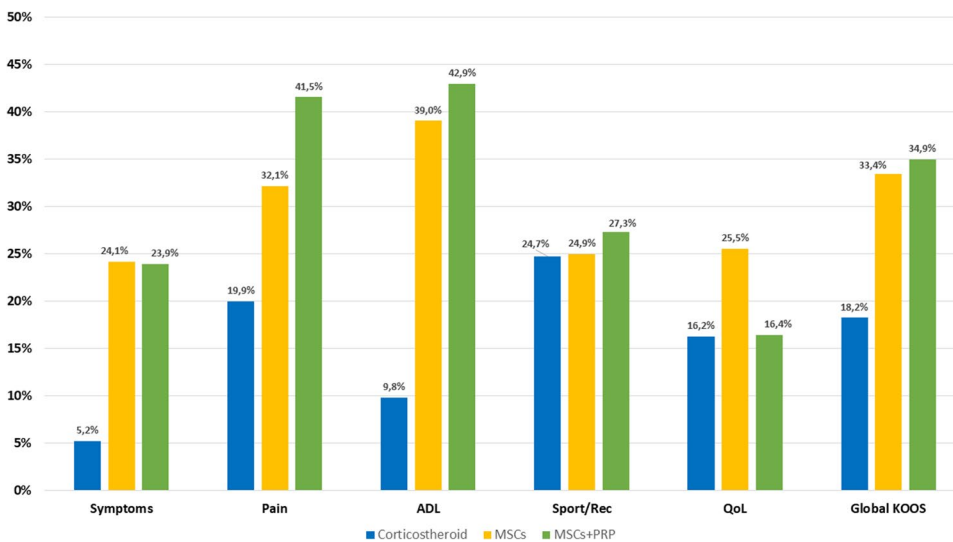
KOOS	Baseline, mean ± SD	12 months, mean ± SD	Mean difference (95% CI)	<i>p</i> value*
<b>Corticosteroid</b>				
Symptoms	47.4 ± 17.9	56.1 ± 22.3	8.7 (− 4.9–22.3)	n.s.
Pain	40.5 ± 19.6	59.5 ± 22.2	19.0 (4.9–33.1)	<b>0.029</b>
Function, daily living	40.7 ± 21.0	61.6 ± 24.4	20.9 (5.6–36.2)	<b>0.030</b>
Sports/recreation	18.0 ± 28.0	36.2 ± 31.7	17.9 (− 2.2–38.0)	n.s.
Quality of life	16.5 ± 16.5	32.0 ± 29.3	15.4 (− 0.6–31.4)	n.s.
Global KOOS score	36.9 ± 17.8	54.4 ± 22.7	17.5 (3.8–31.2)	n.s.
<b>MSCs</b>				
Symptoms	41.5 ± 18.4	61.6 ± 22.5	20.1 (5.9–34.3)	<b>0.010</b>
Pain	34.6 ± 11.4	56.8 ± 26.5	22.2 (8.1–36.3)	<b>0.008</b>
Function, daily living	31.7 ± 19.1	58.4 ± 27.5	26.7 (10.3–43.1)	<b>0.003</b>
Sports/recreation	13.0 ± 21.0	36.6 ± 29.5	23.4 (5.7–41.1)	<b>0.001</b>
Quality of life	16.8 ± 12.4	40.2 ± 25.9	23.5 (9.4–37.6)	<b>0.009</b>
Global KOOS score	30.3 ± 13.1	54.2 ± 24.7	24.0 (10.3–37.7)	<b>0.002</b>
<b>MSCs + PRP</b>				
Symptoms	44.6 ± 14.9	60.5 ± 17.6	15.8 (3.7–27.9)	<b>0.025</b>
Pain	42.3 ± 17.2	65.5 ± 26.3	23.2 (6.7–39.7)	<b>&lt;0.001</b>
Function, daily living	41.2 ± 18.2	66.3 ± 27.4	25.1 (7.9–42.3)	<b>0.004</b>
Sports/recreation	19.0 ± 23.0	47.1 ± 34.5	28.2 (6.5–49.9)	<b>0.026</b>
Quality of life	19.2 ± 20.3	35.7 ± 25.6	16.5 (− 0.6–33.6)	n.s.
Global KOOS score	37.3 ± 16.4	59.9 ± 24.8	22.7 (7.1–38.3)	<b>0.005</b>

Bold values indicate significant *p* value (*p* < 0.05)

SD standard deviation, CI confidence interval, KOOS Knee Injury and Osteoarthritis Outcome Score, n.s. non-significant

\*Wilcoxon signed-rank test

**Fig. 2** Mean percentage achieved of expected improvement at the 12 months follow-up



**Cytokine analysis**

At baseline, one patient from the MSCs + PRP group did not present synovial fluid to proceed with the cytokine analysis. Eight patients did not present synovial fluid to allow the cytokine analysis at 6 months (*n* = 3, MSCs group;

*n* = 1, MSCs + PRP group; *n* = 4, corticosteroid group;) and 11 patients at 12 months (*n* = 3, MSCs group; *n* = 5 MSCs + PRP group; *n* = 3, corticosteroid group). Thus, these patients were excluded for the final cytokine analysis.

All three groups showed a significant reduction in levels of human-IL10 from baseline to 12 months (*p* < 0.05). The

corticosteroid group also showed a significant reduction in IL-17A at 12 months ( $p=0.033$ ). All other studied cytokines did not show significant differences between baseline and 6- and 12-month follow-up endpoints (n.s.; Table 3).

## Discussion

The main finding of this study was that intra-articular injection of expanded MSCs with or without the addition of PRP resulted in a significant improvement in most of KOOS domains and global score after a 12-month follow-up.

Corticosteroid injections have been used for conservative treatment of OA but show short-term efficacy and several local and systemic undesirable effects have been reported

[3, 24, 35]. Despite the similarity of the three groups of treatment during the 1st and 2nd months of treatment, the corticosteroid group show an inferior number of improved KOOS domains from baseline to 12 months and the highest percentage of score worsening for all KOOS domains and global score, excepting KOOS-QoL domain. This finding reinforces the known short-term effect of corticosteroid intra-articular injections. All groups surpassed the minimal clinically important difference for all the KOOS subscales [11, 38], but the MSCs and MSCs + PRP groups demonstrate the highest percentage of improvement at 12 months in all KOOS domains and global score, with the exception of MSCs + PRP group in the KOOS -sports-rec and KOOS-QoL domains. Pain, symptoms and function subscales are the primary reasons for patients with knee OA seeking

**Table 3** Cytokine analysis at baseline and 6- and 12-month follow-up

Cytokine	Treatment group	Baseline mean $\pm$ SD	6-month follow-up mean $\pm$ SD	$p^*$	12-month follow-up mean $\pm$ SD	$p^{**}$
IL-17A, ng/mL	MSCs ( $n=12$ )	54.9 $\pm$ 38.4	70.6 $\pm$ 38.3	n.s.	71.6 $\pm$ 38.9	n.s.
	MSCs + PRP ( $n=10$ )	65.7 $\pm$ 53.0	93.7 $\pm$ 59.4	n.s.	75.1 $\pm$ 37.9	n.s.
	Corticosteroid ( $n=13$ )	78.9 $\pm$ 54.9	89.2 $\pm$ 63.6	n.s.	41.6 $\pm$ 34.8	<b>0.033</b>
	Intergroup sig***	n.s.	n.s.		n.s.	
IFN-GAMA, ng/mL	MSCs ( $n=12$ )	0.06 $\pm$ 0.12	0.26 $\pm$ 0.40	n.s.	0.05 $\pm$ 0.16	n.s.
	MSCs + PRP ( $n=10$ )	0.95 $\pm$ 1.81	0.54 $\pm$ 1.11	n.s.	0.17 $\pm$ 0.54	n.s.
	Corticosteroid ( $n=13$ )	0.16 $\pm$ 0.20	0.36 $\pm$ 0.59	n.s.	0.14 $\pm$ 0.34	n.s.
	Intergroup sig***	n.s.	n.s.		n.s.	
Human-TNF, ng/mL	MSCs ( $n=12$ )	0.00 $\pm$ 0.01	0.32 $\pm$ 0.75	n.s.	0.07 $\pm$ 0.25	n.s.
	MSCs + PRP ( $n=10$ )	2.23 $\pm$ 4.00	1.11 $\pm$ 2.28	n.s.	0.41 $\pm$ 1.00	n.s.
	Corticosteroid ( $n=13$ )	0.26 $\pm$ 0.35	0.43 $\pm$ 1.07	n.s.	0.30 $\pm$ 0.74	n.s.
	Intergroup sig***	<b>0.004</b>	n.s.		n.s.	
Human-IL10, ng/mL	MSCs ( $n=12$ )	1.25 $\pm$ 1.17	0.66 $\pm$ 0.90	n.s.	0.26 $\pm$ 0.51	<b>0.023</b>
	MSCs + PRP ( $n=10$ )	3.33 $\pm$ 3.28	1.99 $\pm$ 2.99	n.s.	0.52 $\pm$ 0.99	<b>0.013</b>
	Corticosteroid ( $n=13$ )	1.32 $\pm$ 0.68	1.24 $\pm$ 1.37	n.s.	0.64 $\pm$ 1.32	<b>0.041</b>
	Intergroup sig***	n.s.	n.s.		n.s.	
Human-IL6, ng/mL	MSCs ( $n=12$ )	183.6 $\pm$ 243.9	194.5 $\pm$ 276.5	n.s.	110.6 $\pm$ 249.4	n.s.
	MSCs + PRP ( $n=10$ )	525.4 $\pm$ 1064.5	524.3 $\pm$ 819.4	n.s.	121.1 $\pm$ 107.3	n.s.
	Corticosteroid ( $n=13$ )	82.8 $\pm$ 89.4	123.7 $\pm$ 164.3	n.s.	80.7 $\pm$ 82.2	n.s.
	Intergroup sig***	n.s.	n.s.		n.s.	
Human-IL4, ng/mL	MSCs ( $n=12$ )	0.09 $\pm$ 0.22	0.20 $\pm$ 0.40	n.s.	0.04 $\pm$ 0.11	n.s.
	MSCs + PRP ( $n=10$ )	1.15 $\pm$ 2.12	0.82 $\pm$ 1.61	n.s.	0.19 $\pm$ 0.41	n.s.
	Corticosteroid ( $n=13$ )	0.22 $\pm$ 0.45	0.37 $\pm$ 0.91	n.s.	0.17 $\pm$ 0.42	n.s.
	Intergroup sig***	n.s.	n.s.		n.s.	
Human-IL2, ng/mL	MSCs ( $n=12$ )	0.21 $\pm$ 0.34	0.35 $\pm$ 0.62	n.s.	0.08 $\pm$ 0.29	n.s.
	MSCs + PRP ( $n=10$ )	1.38 $\pm$ 3.13	1.45 $\pm$ 2.68	n.s.	0.30 $\pm$ 0.77	n.s.
	Corticosteroid ( $n=13$ )	0.31 $\pm$ 0.58	0.32 $\pm$ 0.71	n.s.	0.19 $\pm$ 0.48	n.s.
	Intergroup sig***	n.s.	n.s.		n.s.	

Bold values indicate significant  $p$  value ( $p < 0.05$ )

n.s. non-significant

\*Wilcoxon's test comparing baseline and 6-month follow-up measurements; \*\*Wilcoxon's test comparing baseline and 12-month follow-up measurements; \*\*\*Kruskall–Wallis test

medical treatment and thus this superiority is clinically relevant.

Several studies evaluate the benefits of MSCs therapy in association with other surgical procedures such as arthroscopic debridement [29] and osteotomies [28, 30] with or without concomitant microfracture [48]. Controlled clinical trials are scarce and the real influence of the use of biological injections is poorly established [18, 39]. This study aimed to evaluate the effect of the MSCs therapy alone, without any confounding surgical procedures, anti-inflammatory medication or physiotherapy protocol associated with treatment of OA. Anti-inflammatory medication was not allowed due to its potential effect on the influence of MSCs and PRP in joint inflammation [6, 26, 40]. The exercise regimen followed by all patients consisted of simple self-executed exercises for prevention of deep venous thrombosis and the only active treatment intervention was the intra-articular injection.

Isolated closed cell separation systems are insufficient to obtain the desired therapeutic cell concentration. Laboratory-based cell sorting and in vitro culture expansion are crucial for MSCs regenerative success [9]. During the laboratory phase of the study, MSCs obtained from bone marrow were isolated and expanded until a concentration of the desired number of  $4.0 \times 10^7$  cells. A recent systematic review of MSC preparation protocols and composition reported a mean number of  $8.7 \times 10^7$  (range,  $8.5 \times 10^6$  to  $10 \times 10^8$ ) cells after culture of bone marrow-derived cells [43]. Previous studies suggest that a higher dose of cells could be important to achieve efficacy and better clinical outcomes [21, 31]. Conversely, scar tissue formation, different from cartilage, following treatment with doses as low as  $1.0 \times 10^7$  cells was reported in preclinical setting [1]. In this study, a final number of  $4.0 \times 10^7$  cells were pre-determined. Prolonged in vitro expansion may lead to MSC genomic instability and phenotypic changes. Of note, an early passage MSCs (no more than two passages) was used to assure safety for clinical applications [27, 45].

Few studies were developed to evaluate the effect of the combination of MSCs and PRP on the treatment of osteoarticular conditions, and the interaction between MSCs and PRP is yet not well understood. Studies show conflicting evidence on intra-articular injection of isolated PRP for the treatment of OA [7, 8, 10, 12, 13, 17, 23, 32, 33, 37]. The likely mechanism for a positive effect of PRP on symptom reduction is the production of anti-inflammatory mediators [44]. Another potential mechanism for PRP effect is the stimulation of endogenous progenitor cells, based on the intra-articular delivery of a large pool of growth factors and proteins stored in the alpha-granules of platelets. Several growth factors that participate in MSCs chondrogenic differentiation are in PRP, such as TGF- $\beta$ , basic fibroblast growth factor bFGF and PDGF [2], and the addition of PRP to MSCs may improve the reparative properties of the cells.

This study showed similar results between MSC alone and MSCs + PRP groups in terms of clinical outcomes.

Several cytokines have been associated with OA pathophysiology [25]. This study evidenced changes particularly in IL-17A, TNF $\alpha$  and IL-10. Levels of IL-17 are significantly higher in OA patients [34]. These are correlated with increased pain [4] and its injection can induce OA in rabbit knees [46]. Corticosteroid treatment significantly reduced IL-17A levels, but this cytokine was not affected in MSC or MSC + PRP treatment. There was also a decrease in IL-10 levels in all groups. IL-10 is commonly associated with a chondroprotective and anti-inflammatory role in OA [47]. Positive effects of exercise in patients with knee OA were correlated with increase in IL-10 levels. There is also evidence that serum levels of IL-10 is higher in OA patients than in healthy patients and could be associated with pain and function scores [20]. The IL-10 mRNA expression was also shown to be higher in osteoarthritic than in normal chondrocytes [19]. It is possible that high levels of IL-10 are normally present in OA as a compensatory attempt to decrease the inflammatory environment, and that the decrease in IL-10 levels here observed might be interpreted as a tendency towards the resolution of the inflammation. Although some cytokines showed relevant changes (IL 17A and TNF $\alpha$ ), the small sample size and heterogeneity in cytokine levels among donors may be a reason for the lack of statistical significance (risk of type II error).

The results of this study are of clinical relevance as they show a significant functional and symptomatic benefit in the use of MSCs and MSCs + PRP in patients with symptomatic knee OA. The improvement in most KOOS domains and global score during 12-month follow-up is likely explained by the anti-inflammatory action of MSCs and PRP, ameliorating symptoms caused by synovitis. However, there is no data to suggest that one injection of culture-expanded MSCs with or without PRP is sufficient to promote cartilage growth to repair the chondral damage caused by OA. Further research with high-powered and high-quality randomized controlled trials is warranted to establish the effectiveness of intra-articular injections of MSCs and MSCs with PRP for knee osteoarthritis.

This study has several limitations. A placebo group was not included and the potential of placebo effect in any of the included groups could not be evaluated. The influence of the interventions on cartilage regeneration could not be evaluated and cartilage biopsy could not be performed for ethical reasons. These analyses would have been important to identify the factors associated with pain relief, either from an anti-inflammatory effect or from cartilage tissue regeneration. Physiological assessment of the hyaline cartilage by means of MRI could not be performed due to the absence of specific MRI cartilage evaluation software at the time that this study was conducted. At 12-month follow-up, there were



no significant changes in radiographic degree of arthritis, but radiographic analyses are not the ideal method to analyse cartilage changes. The small sample size may have led to type II error and different degrees of knee OA, i.e., from early to severe stages were included, which may confound the analyses. The permuted-block randomization resulted in unbalanced baseline group samples.

## Conclusion

An intra-articular injection of bone marrow-derived, culture-expanded MSCs with or without the addition of PRP is effective in improving function and decreasing symptoms at 12-month follow-up. Corticosteroid group only show significant improvement in pain and function KOOS subscores at 12-month follow-up. At the 1st month, all the three therapies showed significant improvement in most of KOOS subscores compared to baseline.

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## Compliance with ethical standards

**Conflict of interest** No financial or non-financial competing interest exists for this manuscript. The manuscript was not influenced by personal or financial relationship with other people or organizations.

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