## RESEARCH PAPER

# **Crashworthiness optimization of foam-filled tapered thin-walled structure using multiple surrogate models**

**Xueguan Song · Guangyong Sun · Guangyao Li · Weizhao Gao · Qing Li**

Received: 18 January 2012 / Revised: 15 April 2012 / Accepted: 25 May 2012 / Published online: 19 July 2012 -c Springer-Verlag 2012

**Abstract** Despite the rapid growth of computing power and continuing advancements in numerical techniques, significant complexity exists when applying traditional sensitivity based optimization to such highly nonlinear problems as crashworthiness design. As a major alternative, surrogate modeling techniques have proven considerably effective. However the challenge remains how to determine the most suitable surrogate scheme for modeling nonlinear responses and conducting optimization. This paper presents a comparative study on the different surrogate models, such as polynomial response surface (PRS), Kriging (KRG), support vector regression (SVR) and radial basis function (RBF), which have been widely used for a variety of engineering problems, thereby gaining insights into their relative performance and features in computational modeling and design. In this study, a foam-filled tapered thin-walled structure is exemplified. Both the gradient and non-gradient algorithms, specifically sequential quadratic programming (SQP) and particle swarm optimization (PSO), are used for

X. Song  $\cdot$  G. Sun  $(\boxtimes) \cdot$  G. Li  $\cdot$  W. Gao State Key Laboratory of Advanced Design and Manufacture for Vehicle Body, Hunan University, Changsha, 410082, China e-mail: sgy800@126.com

## X. Song

Department of Mechanical Engineering, Dong-A University, Busan, 604-714, South Korea

#### G. Sun

State Key Laboratory of Vehicle NVH and Safety Technology, China Automotive Engineering Research Institute Co., Chongqing, 400039, China

#### Q. Li

School of Aerospace, Mechanical and Mechatronic Engineering, The University of Sydney, Sydney, NSW 2006, Australia

these abovementioned four surrogate models, respectively. The design results demonstrate that simultaneous use of different surrogate models can be essential for both gradient and non-gradient optimization algorithms because they may generate different outcomes in the crashworthiness design.

**Keywords** Foam-filled · Crashworthiness · Design optimization · Multiple surrogate models

#### **1 Introduction**

Computational analysis and design of structural crashworthiness often involve multiple materials, strong nonlinearity and complicated contact, which require significant numerical effort and high computational cost. In this scenario, the conventional sensitivity-based optimization that typically necessitates a large number of analysis iterations to converge to an optimal design may be of limited practical value. In many cases it is extremely (if not impossible) to formulate a mathematical relationship between design objectives/constraints and design variables, making the sensitivity based approaches less feasible. To ease the mathematical and computational burden, one of effective alternatives is to construct a surrogate model based on a limited number of finite element analyses, which enables to formulate an explicit relationship between the objective/constraint functions and design variables with relatively simple expressions for optimization.

To date, substantial efforts have been devoted to apply specific surrogate models for different design problems (Hussain et al[.](#page-9-0) [2002;](#page-9-0) Simpson et al[.](#page-10-0) [2004](#page-10-0); Lee and Kan[g](#page-9-1) [2007](#page-9-1); Marzbanrad and Ebrahim[i](#page-10-1) [2011;](#page-10-1) Lanzi et al[.](#page-9-2) [2004;](#page-9-2) Acar et al[.](#page-9-3) [2011;](#page-9-3) Kaya and Oztur[k](#page-9-4) [2010](#page-9-4); Jin et al[.](#page-9-5) [2001;](#page-9-5) Yang et al. [2000](#page-10-2), [2005a](#page-10-3), [b;](#page-10-4) Yang and G[u](#page-10-5) [2004](#page-10-5); Viana et al[.](#page-10-6) [2009;](#page-10-6) Song et al[.](#page-10-7) [2010](#page-10-7); Liao et al[.](#page-10-8) [2008a,](#page-10-8) [b\)](#page-10-9). It must be pointed out that these different surrogate models often provide rather different modeling accuracy (Viana et al[.](#page-10-6) [2009](#page-10-6)), gradient information and design outcomes (Wang et al[.](#page-10-10) [2011\)](#page-10-10). It is unclear which surrogate model is most suitable for a particular case. Sometimes justification of a surrogate model itself may not be sound without comparing it with other models. Often researchers must make use of their past experience and/or literature data in order to choose a suitable surrogate model. To account for uncertainties in predictions, a simple and preferable way is to attempt different surrogate models, from which the best can be selected based upon the relative fitting accuracy (Viana et al[.](#page-10-6) [2009;](#page-10-6) Wang et al[.](#page-10-10) [2011\)](#page-10-10). Nevertheless, it may not guarantee that such a surrogate model with the best global fitness (or the lowest modeling errors) would necessarily lead to the best optimal results. Hence a comparative study on different surrogate techniques for both modeling accuracy and design optimization often becomes essential. Unfortunately, systematic studies on this issue, particularly across different types of surrogate modeling techniques, have been rather limited thus far (Viana et al[.](#page-10-6) [2009](#page-10-6); Wang et al[.](#page-10-10) [2011;](#page-10-10) Zhao and Xu[e](#page-10-11) [2010](#page-10-11); Yang and G[u](#page-10-5) [2004](#page-10-5)).

As one of most typical energy absorbers, thin-walled structures have been widely used in automobile, aerospace and transportation engineering for their high ratio of energy absorption induced by progressive axial folding to structural weight. The early investigations of thin-walled structures were concentrated on the straight columns with circular, square, rectangular and/or multi-corner cross-sections using analytical, numerical (Li[u](#page-10-12) [2008](#page-10-12)) and experimental methods (Abramowicz and Jone[s](#page-9-6) [1986](#page-9-6)). In addition to straight columns, experimental (Alghamd[i](#page-9-7) [2002\)](#page-9-7) and numerical (Nagel and Thambiratna[m](#page-10-13) [2004a](#page-10-13)) studies were also conducted for tapered structures under axial or oblique loads (Ahmad et al[.](#page-9-8) [2010](#page-9-8)). Compared with straight tubes, taper tubes have been considered more preferable because they can more likely provide a desired constant mean loaddeflection response and are capable of withstanding oblique and axial loads (Nagel and Thambiratna[m](#page-10-14) [2004b](#page-10-14)). Furthermore, tapered tubes are less likely to fail in a global buckling, thereby avoiding an undesirable crushing (Hou et al[.](#page-9-9) [2011\)](#page-9-9).

To increase the energy absorption without sacrificing too much weight, recent attention has been paid to cellular materials such as sawdust, honeycomb, foam, et al., filled in straight (Santosa et al[.](#page-10-15) [2000](#page-10-15); Seitzberger et al[.](#page-10-16) [1997](#page-10-16); Borvik et al[.](#page-9-10) [2003\)](#page-9-10) and tapered (Mirfendereski et al[.](#page-10-17) [2008](#page-10-17); Ah[m](#page-9-11)ad and Thambiratnam [2009a,](#page-9-11) [b\)](#page-9-12) thin-walled structures. Of these cellular filler materials, foam has proven particularly ideal for its large deformation at nearly constant load (Hou et al[.](#page-9-13) [2009\)](#page-9-13). To gain further insights into the effects of foam filling on the energy absorption characteristics of thinwalled tubes, the substantial experimental (e.g. Mamalis et al[.](#page-10-18) [2008](#page-10-18)) and numerical studies (e.g. Bi et al[.](#page-9-14) [2010\)](#page-9-14) were conducted. New interest has been given to taper structures filled with foams for its superior balance of crashing stability and energy absorption capacity (Hou et al[.](#page-9-9) [2011\)](#page-9-9). From design perspective, foam-filled taper structures are more sophisticated and a single response model may not be adequate. But how to more properly relate the design variables to crashworthiness criteria remains questionable.

Unlike most of traditional crashworthiness designs involving single response model, the present study concerns four typical surrogate models, namely polynomial response surface (PRS), Kriging (KRG), support vector regression (SVR) and radial basis function (RBF), for optimizing foam-filled taper column. Any of these four surrogate models, as long as its modeling errors meet the accuracy requirements, will be used for the design optimization using both gradient based and non-gradient based algorithms. The final optimum will be selected through a comparative assessment of all the optimal solutions. This case study is expected to shed some light in advocating a more proper way of justifying adoption of surrogate models.

## **2 Numerical analysis of foam-filled taper structure**

## 2.1 Crashworthiness criteria

To systematically study the crashworthiness of foam-filled tapered thin-walled structures and optimize the performance, it is essential to define the crashworthiness criteria. There have been many different indicators available to evaluate the crush characteristics and energy absorption capabilities of different structures (Sun et al[.](#page-10-19) [2010b](#page-10-19); Guler et al[.](#page-9-15) [2010](#page-9-15)). Of these indicators, specific energy absorption (*SEA*) is widely used to estimate the energy absorption capabilities of absorbers with different materials and weights, and will be used in this study. *SEA* denotes the specific energy absorbed per unit mass of the absorber, as formulated below:

$$
SEA = \frac{E_{absorbed}}{M} \tag{1}
$$

where *M* is the total mass of foam-filler and thin-walled structure, and *Eabsorbed* is the total absorbed energy during crashing, which can be calculated as:

$$
E_{absorbed} = \int_0^\delta F(\mathbf{x}) dx
$$
 (2)

where  $F$  is the crushing force in axial direction and  $\delta$ the crashing displacement. Obviously, a higher *SEA* value indicates a higher capability of energy absorption.

<span id="page-2-0"></span>

**Fig. 1** Axial impact force *vs* deformation in thin-walled structure. **a** Typical hollow thin-walled structure. **b** Foam-filled tapered thin-walled structure

Another indictor to energy absorption capacity is mean crash force  $F_{avg}$  which is defined as the total energy absorption divided by the corresponding crushing displacement, given as:

$$
F_{avg} = \frac{E_{absorbed}}{\delta} \tag{3}
$$

In automotive applications, the peak impact force represents other critical indicator to the occupant survival rate when impact occurs. A large peak impact force often leads to a high deceleration and may cause severe injury or even death of occupant (Liao et al[.](#page-10-9) [2008b](#page-10-9)). In typical thin-walled structures, the initial peak force *Fpeak* is usually the same as the global peak force *Fglobal* (Fig. [1a](#page-2-0)). However, in a foam-filled tapered thin-walled structure they can be different (Fig. [1b](#page-2-0)). Therefore, both *Fpeak* and *Fglobal* can be used as the force indicators to evaluate the crashworthiness characteristics of the foam-filled thin-walled structures. In this study, we constrain *Fpeak* and *Fglobal* to the predefined levels.

#### 2.2 Finite element modeling

The structure analyzed herein is a foam-filled tapered thinwall column with square cross-section subjected to an axial impact load, as shown in Fig. [2a](#page-2-1). The length of the column is  $h = 300$  mm, the small end of the tapered tube remains unchanged as a square of  $a \times a = 50$  mm  $\times$  50 mm. The dimension of the big square end of the tapered tube is obtained by varying taper angle  $\theta$ . The foam-filled taper column impacts onto the rigid wall from the smaller end at an initial velocity of  $v = 15$  m/s. To generate enough kinetic energy as applied in vehicle crashing, an additional mass block of 400 kg is attached to the big end (Fig. [2a](#page-2-1)).

In this study, finite element models were developed using commercial code LS-DYNA. The Belytschko-Lin-Tsay reduced integration shell elements were employed to model the column wall. To model the foam materials, the eight-node brick elements with one integration point were adopted. Stiffness-based hourglass control was employed to

avoid spurious zero energy deformation modes and reduced integration was used to avoid volumetric locking.

Since the foam-filled taper tube involves two materials, different contact algorithms in LS-DYNA can be used. The interface between the foam and inner wall of column was modeled as an "automatic surface to surface" contact. While "automatic single surface" contact was applied to the column wall itself to avoid interpenetration of folding generated during axial collapse. To account for contact between the rigid bodies, foam and tube, "node to surface" and "automatic surface to surface" contacts were defined with static and dynamic coefficients of friction of 0.2 and 0.3, respectively (Seitzberger et al[.](#page-10-16) [1997](#page-10-16)). These two kinds of stiffness were defined such that neither interpenetration nor instability commonly resulted from inappropriate stiffness is encountered.

The materials of the thin wall column used here is aluminum alloy AA6061-T4 with density  $\rho = 2700 \text{ kg/m}^3$ , Poisson's ratio  $v = 0.28$ , Young's modulus  $E = 64.75$  GPa, and yielding stress  $\sigma_y$  = 71 MPa. The post collapse response of column was defined using the true static stressstrain curve of the aluminum that was obtained from a standard tensile test (Ki[m](#page-9-16) [2002](#page-9-16)). As the aluminum is insensitive to the strain rate, the rate-dependent effect is neglected in the FE modeling (Hou et al[.](#page-9-13) [2009\)](#page-9-13).

<span id="page-2-1"></span>

**Fig. 2 a** Schematic of the tapered square column with foam-filler. **b** finite element model

The foam was modeled as proposed by Deshpande and Flec[k](#page-9-17) [\(2000\)](#page-9-17), in which the yield criterion of foam material is defined as follows:

$$
\Phi = \hat{\sigma} - \sigma_y \le 0 \tag{4}
$$

where  $\sigma_y$  is the yield stress and the equivalent stress  $\hat{\sigma}$  is given as:

$$
\hat{\sigma}^2 = \frac{1}{\left[1 + (\alpha/3)^2\right]} \left[\sigma_e^2 + \alpha^2 \sigma_m^2\right]
$$
\n(5)

where  $\sigma_e$  is the von Mises effective stress and  $\sigma_m$  the mean stress. Parameter  $\alpha$  controlling the shape of the yield surface is a function of the plastic Poisson's ratio  $v_p$  given as:

$$
\alpha^2 = \frac{9(1 - 2v_p)}{2(1 + v_p)}\tag{6}
$$

It is easily derived from [\(6\)](#page-3-0) that  $\alpha = 2.12$  when  $v_p = 0$ .

The strain hardening rule is implemented in this material model as:

$$
\sigma_y = \sigma_p + \gamma \frac{\hat{\varepsilon}}{\varepsilon_D} + \alpha_2 \ln \left[ \frac{1}{1 - (\hat{\varepsilon}/\varepsilon_D)^{\beta}} \right]
$$
(7)

where  $\hat{\varepsilon}$  is equivalent strain,  $\sigma_p$ ,  $\alpha_2$ ,  $\gamma$ ,  $\beta$  and  $\varepsilon_p$  are the material parameters and can be related to the foam density as

$$
\begin{cases}\n\left(\sigma_p, \alpha_2, \gamma, \frac{1}{\beta}, E_p\right) = C_0 + C_1 \left(\frac{\rho_f}{\rho_{f0}}\right)^{\kappa} \\
\varepsilon_D = -\ln\left(\frac{\rho_f}{\rho_{f0}}\right)\n\end{cases}
$$
\n(8)

where  $\rho_f$  is the foam density and  $\rho_{f0}$  the density of the base material.  $C_0$ ,  $C_1$  and  $\kappa$  are the constants as listed in Table [1.](#page-3-1) Note that the Young's modulus of foam material  $E_p$  is also a function of  $\rho_f$  as shown in [\(8\)](#page-3-2) (Hanssen et al[.](#page-9-18) [2002\)](#page-9-18).

In order to decide the size of elements, a convergence test was carried out to minimize the effect of mesh refinement on the accuracy of the numerical results. It is found that the optimal mesh sizes for the tube and foam are  $5 \times 5$  mm<sup>2</sup> and

<span id="page-3-1"></span>**Table 1** Material constants for aluminum foam (Hanssen et al[.](#page-9-18) [2002;](#page-9-18) Reyes et al[.](#page-10-20) [2003](#page-10-20))

		$\sigma_p$ (MPa) $\alpha_2$ (MPa) $1/\beta$ $\gamma$ (MPa) $E_p$ (MPa)			
$C_0$ (MPa) 0		$\theta$	$0.22 \ 0$		$\theta$
$C_1$ (MPa)	720	140	320	42	0.33e6
$\kappa$	2.33	0.45		4.66 1.42	2.45

<span id="page-3-3"></span>

**Fig. 3** Kinetic, internal, total and hourglass energy of the foam-filled column

<span id="page-3-0"></span> $3 \times 3 \times 3$  mm<sup>3</sup>, respectively, as in Fig. [2b](#page-2-1), which was used throughout the study.

Figure [3](#page-3-3) plots the kinetic, internal, total and hourglass energies during the deformation process of a foam-filled taper column under dynamic loading. It is easily seen that the decrease in kinetic energy is almost equal to the increase of the internal energy, and the total energy remains nearly unchanged. The hourglass energy is less than 1% of the system internal energy. Note that the amount of hourglass energy is also a good indicator to estimate the mesh quality, which typically should be less than 5% of the internal energy of the system to overcome the hourglass problem (Mirfendereski et al[.](#page-10-17) [2008](#page-10-17)). Therefore, the mesh sizes used here are considered adequate to capture the crashing details of the foam filled thin-walled column. Figure [4](#page-3-4) plots the force versus time of the structure ( $\rho = 0.32$  g/cm<sup>3</sup>,  $\theta =$  $6.6^\circ$ , t = 1.5 mm) as well as its deformation at five different time frames.

#### <span id="page-3-2"></span>**3 Optimization with multiple surrogate models**

#### 3.1 Surrogate models

The surrogate models provide an effective alternative to mathematical formulation of the relation between the design

<span id="page-3-4"></span>

**Fig. 4** Axial crushing behaviour of foam-filled taper tube



<span id="page-4-0"></span>

Surrogate model	Approximate function $\hat{v}(\bf{x})$	Correlation function (Radial basic, kernel)
<b>PRS</b>	$b_0 + \sum_{i=1}^n b_i x_i + \sum_{i=1}^n \sum_{j=1}^n b_{ij} x_i x_j + \sum_{i=1}^n \sum_{j=1}^n \sum_{k=1}^n b_{ijk} x_i x_j x_k$	N <sub>0</sub>
<b>KRG</b>	$\hat{\beta} + \mathbf{r}^T$ (x) $\mathbf{R}^{-1}$ $\left(\mathbf{y} - \mathbf{f}\hat{\beta}\right)$	$R(\mathbf{x}^i, \mathbf{x}^j) = \exp\left(-\sum_{k=1}^{\text{n}_{\text{dv}}} \theta_k \left x_k^i - x_k^j\right ^2\right)$ $0.05 < \theta_k < 100$
<b>RBF</b>	$\sum_{i=1}^{n_s} \lambda_i \varphi(r(\mathbf{x}_i, \mathbf{x}))$	$\varphi(r(\mathbf{x}_i, \mathbf{x})) = \sqrt{\ \mathbf{x} - \mathbf{x}_i\ ^2 + c^2}$ $c = 1.0$
<b>SVR</b>	$\sum_{i=1}^{n_s} (a_i - a_i^*) k({\bf x}_i \cdot {\bf x}) + b$	$k(\mathbf{x}, \mathbf{x}') = \exp\left(-\frac{\ \mathbf{x} - \mathbf{x}'\ ^2}{2\sigma^2}\right)$ $\sigma = 2.0$

**Table 2** Parameters and functions of four surrogate models

variables and sophisticated responses, which facilitate design optimization with relatively low computational cost. In this study, four different surrogate models, namely, cubic polynomial response surface method (PRS) (Myers and Montgomer[y](#page-10-21) [1995\)](#page-10-21), Kriging (KRG) (Sacks et al[.](#page-10-22) [1989](#page-10-22)), support vector regression (SVR) (Smola and Scholkop[f](#page-10-23) [2004](#page-10-23)), and radial basis function (RBF) (Gutman[n](#page-9-19) [2001;](#page-9-19) Sun et al[.](#page-10-24) [2010c,](#page-10-24) [2011](#page-10-25); Regis and Shoemake[r](#page-10-26) [2005;](#page-10-26) Mullur and Messa[c](#page-10-27) [2006;](#page-10-27) Zhao and Xu[e](#page-10-11) [2010](#page-10-11)) are considered herein to approximate functional responses. There have been substantial studies on the key characteristics of these individual surrogate models (Hussain et al[.](#page-9-0) [2002](#page-9-0)), and a brief list about the parameters and functions used in this study is provided in Table [2.](#page-4-0)

## 3.2 Optimization formulation

As aforementioned, a high SEA is expected for crashworthiness design because it indicates high capacity of energy absorption for the same weight of materials. Therefore, maximizing SEA is considered as the design objective herein. Different constraints have been prescribed in literature. In this study characteristic forces in terms of *Favg*, *Fpeak* and *Fglobal* are constrained to avoid severe damage.

Wall thickness *t*, taper angle  $\theta$  and the foam density  $\rho$ (Fig. [2a](#page-2-1)) are taken as the design variables herein, which range from 0.5 mm to 2 mm for *t*, from 0 $\degree$  to 12 $\degree$  for  $\theta$ , and from 0.2 g/cm<sup>3</sup> to 0.6 g/cm<sup>3</sup> for  $\rho$ , respectively.

As a result, the optimization problem can be formulated mathematically as follows:

$$
\begin{cases}\nMaximize & SEA = f(t, \theta, \rho) \\
\begin{cases}\nF_{global}(t, \theta, \rho) \le 240 \text{ kN} \\
F_{peak}(t, \theta, \rho) \le 80 \text{ kN} \\
F_{avg}(t, \theta, \rho) \ge 120 \text{ kN} \\
0.5 \text{ mm} \le t \le 2 \text{ mm} \\
0^{\circ} \le \theta \le 12^{\circ} \\
0.2 \text{ g/cm}^3 \le \rho \le 0.6 \text{ g/cm}^3\n\end{cases}\n\end{cases}\n\tag{9}
$$

#### 3.3 Design of Experiments (DOE)

To formulate the objective and constraint functions, sample points are needed to explore the design space. A typical way to generate sample points is to adopt design of experiments (DOE). Of many different DOE methods available, Latin hypercube sampling (LHS) is considered rather effective on reducing computational cost (Olsson et al[.](#page-10-28) [2003\)](#page-10-28). In this study, totally 30 sample points are generated using LHS approach, which are considered adequate to evaluate 20 coefficients of a cubic PRS involving the three design variables. Figure [5](#page-4-1) exhibits the sample points and validation points (for the following sections) in the 3D design domain.

#### 3.4 Error analysis of the surrogate models

To assess the modeling accuracy of these four different surrogate schemes, use of the sampling points themselves may not be appropriate. Especially, KRG and RBF can go through the sample point themselves, making the tested values at the sampling points meaningless. For this reason, new validation points are needed to evaluate the models against the performance metrics. In this study, additional 20 validation points (see Fig. [5\)](#page-4-1) are generated to

<span id="page-4-1"></span>

<span id="page-4-2"></span>**Fig. 5** Sampling points (30) and validation points (20)

verify the accuracy of surrogate models. Three numerical estimators, namely R-square  $(R^2)$ , Root-Mean-Square-Error (RMSE) and Maximum-Absolute-Percentage-Error (MAPE) are used to validate the accuracy of these surrogate models, as given in  $(10)$ – $(12)$ , respectively.

$$
R^{2} = 1 - \frac{\sum_{i=1}^{m} (y_{i} - \hat{y}_{i})^{2}}{\sum_{i=1}^{m} (y_{i} - \overline{y}_{i})^{2}}
$$
(10)

RMSE = 
$$
\sqrt{\frac{1}{m} \sum_{i=1}^{m} (\hat{y}_i - y_i)}
$$
(11)

$$
MAPE = \max\left(\frac{|\hat{y}_i - y_i|}{y_i}\right) \tag{12}
$$

where  $m = 20$  is the number of newly created validation points,  $y_i$  is the true FEA values on the validation points,  $\hat{y}_i$ the corresponding approximate surrogate values, and  $\overline{y}_i$  the mean of function over these *m* validation points. The values of these performance metrics show the prediction accuracy

and capability of the surrogate models at the new points. In general, the  $R^2$  and RMSE metrics indicate the overall accuracy of an approximation model, while the MAPE metrics indicate a local metrics, which describes the error in a sub-region of the design space. Overall, the closer the value of  $\mathbb{R}^2$  to unity and/or the smaller the values of RMSE and MAPE, the better the accuracy.

## <span id="page-5-3"></span><span id="page-5-0"></span>**4 Results**

## 4.1 Error analysis of surrogate models

To compare the performance of these surrogate models explicitly, multiple bar-charts are provided in Fig. [6](#page-5-2) together with Table [3.](#page-6-0) It can be seen clearly that in general these four models approximate four different responses rather well. Specifically, the SVR model performs best in the approximation of SEA and  $F_{\text{ave}}$ , while the RBF model presents the best for *Fpeak*, and the PRS model the best for *Fglobal*.

<span id="page-5-1"></span>In Fig. [7,](#page-6-1) the 3D contours of SEA are plotted to gain more insights into these four models. In each plot the density is set to be in between 0.4 g/cm<sup>3</sup> and 0.5 g/cm<sup>3</sup> only. It can be seen that the contours with PRS, KRG and RBF models are rather similar and change almost monotonically, while that

<span id="page-5-2"></span>

**Fig. 6** Error comparison of the four different surrogate models. **a** Error for SEA. **b** Error for *Fpeak*. **c** Error for *Favg*. **d** Error for *Fglobal*



<span id="page-6-0"></span>**Table 3** Error assessment of the four surrogate models

from SVR differs somewhat and appears more complicated. The overall consistency indicates the minimum errors given in Table [3](#page-6-0) and Fig. [6.](#page-5-2)

The comparison actually shows that each of these four models is sufficiently accurate for the prediction of SEA,  $F_{avg}$ ,  $F_{peak}$  and  $F_{global}$  (e.g. all the  $\mathbb{R}^2$  values are fairly close to 1.0). Nevertheless, there is no clear best yet of these four surrogate models for this particular goal. Each surrogate model has its cons and pros in practical applications. Besides, a best or satisfactory error estimate and surface contour may not necessarily imply a best surrogate for the design process because optimization typically relies on the gradient information to search an optimum. Thus we will use all of them for solving the optimization problem defined in [\(9\)](#page-4-2), respectively, and then compare which might provide the best solution to this case.

## 4.2 Optimization and validation

To obtain the optimal design of the foam-filled tapered square column, both the Sequential Quadratic Program (SQP) and Particle Swarm Optimization (PSO) (Sun et al[.](#page-10-29) [2010a\)](#page-10-29) are used herein. Since SQP is a gradient-based approach and searching for the global optimum may rely on the initial point, the optimization was solved through 40 random initial design points and the best solution will be chosen as a quasi-global optimum. On contrary, PSO is a derivative-free global optimum algorithm and one run could lead to a global optimum.

<span id="page-6-1"></span>

**Fig. 7** Surface plots of SEA responses for the four different surrogate models. **a** PRS model. **b** KRG model. **c** RBF model. **d** SVR model

<span id="page-7-0"></span>

**Fig. 8** Optimum design with different surrogate models ( $\rho = 0.6$  g/cm<sup>3</sup>). **a** Optimization based on the PRS model. **b** Optimization based on the KRG model. **c** Optimization based on the RBF model. **d** Optimization based on the SRV model

The final optimization results are plotted in Fig. [8](#page-7-0) for these four different surrogate models, respectively, in which the contours show the distribution of SEA. Note that the three color lines in each plot represent these three constraints, respectively. In each plot, the small triangular region enclosed by these three constrains defines the feasible domain for the optimization. The red dot is the minimum point obtained from the SQP and PSO procedures for each surrogate model, which implies that these two optimum search algorithms result in the same global optimum.

In addition to the difference of the SEA contours approximated by these four surrogate models, it can be seen that the constraint functions  $F_{avg}$  and  $F_{global}$  play an active role in the optimization when using the PRS model. Specifically, the optimum point is found at their intersection. While constraints *Favg* and *Fpeak* play predominant role in the optimization when using other three surrogate models and the optimum points appear at the intersection of *Favg* and *Fpeak*.

Table [4](#page-7-1) summarizes the optimized design variables together with the values of objective function. For design variables  $t$  and  $\theta$ , it shows that the RBF model and SVR model lead to the fairly close results, while the PRS and

KRG models result in different values. Interestingly, the optimal value of density  $\rho$  (0.6 g/cm<sup>3</sup>) is confirmed no matter what kind of surrogate model is used. This is to say that a higher density of foam will absorb more crashing energy under the same condition, which is due to the higher foam resistance to the inward buckle of the thin wall during plastic deformation and densification. Note that the optimal density of 0.6 g/cm<sup>3</sup> is just the best within the given range, which may not be the best for the foam within a lower (e.g. Hou et al.  $2009$  from 0.027 to 0.27 g/cm<sup>3</sup>) or a higher range (e.g. Bi et al. [2010](#page-9-14) from 0.34 g/cm<sup>3</sup> to 0.82 g/cm<sup>3</sup>). The

<span id="page-7-1"></span>**Table 4** Results of constrained optimization for the four surrogate models

	$t$ (mm)	$\theta$ (deg)	$\rho$ (g/cm <sup>3</sup> )	<b>SEA</b>	Constraints
<b>PRS</b>	0.63	4.2	0.6	13.94	Satisfied
<b>KRG</b>	1.06	4.4	0.6	14.87	Satisfied
<b>RBF</b>	0.95	3.5	0.6	15.23	Satisfied
<b>SVR</b>	0.96	3.3	0.6	15.55	Satisfied

<span id="page-8-0"></span>**Table 5** Comparison of predicted optimum design and validation FEAs

		<b>PRS</b>	<b>KRG</b>	<b>RBF</b>	<b>SVR</b>
SEA	Predict	13.94	14.87	15.23	15.55
	FEA	15.94	15.04	15.63	15.69
	Error $\%$	6.71	7.31	2.56	0.89
$F_{avg}$	Predict	120.00	120.00	120.00	120.00
	FEA	130.15	135.32	117.24	121.11
	Error $\%$	7.80	11.32	$-2.36$	0.92
$F_{\text{peak}}$	Predict	73.90	80.00	80.00	80.00
	<b>FEA</b>	73.35	83.37	79.72	79.44
	Error $\%$	0.75	$-4.04$	0.35	0.71
$F_{global}$	Predict	240.00	185.16	178.78	169.86
	FEA	196.50	204.98	174.40	167.78
	Error $%$	22.14	9.67	2.51	1.24

previous studies have exhibited that the SEA dependency on density may not necessarily be monotonic (Hou et al[.](#page-9-13) [2009](#page-9-13)). An overly high foam density may sacrifice SEA and significantly increase the peak force (Hou et al[.](#page-9-9) [2011\)](#page-9-9).

Obviously, from the perspective of predicting optimum results, SVR is the best model that leads to the greatest SEA value, while PRS appears the worst. Note that the difference in the optimized SEA is over 10% between the best and worst surrogate models.

In addition, the optimum results without these three constraints (i.e.  $F_{global} \leq 240$  kN,  $F_{peak} \leq 80$  kN, and  $F_{avg} \geq$ 120 kN) are also shown in Fig. [8](#page-7-0) for comparison. The blue dots in Fig. [8](#page-7-0) represent the optimum subject to the unconstrained optimization, where the optimal density is equal to the maximum value of 0.6  $g/cm<sup>3</sup>$ . Interestingly, the optimum wall thickness *t* varies significantly with the different surrogate models, and taper angle  $\theta$  of  $0°$  is found to be the optimum in the other models than SVR. The unconstrained results indicate that SEA can be improved by adjusting

<span id="page-8-1"></span>**Fig. 9** Errors between optimized results and re-calculated FEA results

the wall thickness appropriately, and decreasing the taper angle to  $0^\circ$ . In other words, the wall of column should be neither too thin nor too thick, and straight foam-filled structures have higher energy absorption capability per unit mass than tapered structures under the same crushing conditions.

Table [5](#page-8-0) and Fig. [9](#page-8-1) compare the predicted optimum designs and the real FEA results based on the optimal design variables obtained in Table [3.](#page-6-0) The errors of the PRS model for SEA, *Favg*, *Fpeak* and *Fglobal* are 6.71%, 7.8%, 0.75% and 22.14%, respectively. The errors of the KRG model are 7.31%, 11.32%, −4.04% and 9.67%, respectively, the negative value −4.04% herein implies the violation of constraint *Fpeak*. The errors of the RBF model are 2.56%, −2.36%, 0.35% and 2.51%, respectively, again the negative value of −2.36% implies the violation of constraint *Favg*. The errors of the SVR model are 0.89%, 0.92%, 0.71% and 1.24%, respectively.

As far as the design error is concerned, the RBF model and SVR model perform better than the other two models, which is consistent with the results in the previous primary error analysis (Section [4.1\)](#page-5-3). Note that from the FE re-analysis of optimized variables, the PRS model generates the highest SEA value of 15.94 kJ/kg with all satisfied constraints even the error is slightly higher than those of the SVR and RBF models. Following this, the SVR model yields the second best SEA of 15.69 kJ/kg with all satisfied constraints. It is found that the KRG and RBF models predict infeasible solutions due to the violation of constraints. The results once again prove that the surrogate model with the best primary fitness (e.g. SVR was the best for SEA in this case from Table [3\)](#page-6-0) may not necessarily be the best model for the design optimization (Table [5\)](#page-8-0). Therefore, simultaneous tests of multiple surrogate models are important to find the real optimum design, especially for computationally expensive simulation such as the design of foam-filled tapered thin-walled structure.



It should be noticed that many factors, such as the sampling scheme, the number of sample points and the response itself as well as many parameters used in each surrogate model, contribute on the accuracy of the constructed surrogate model. However, a generalized comparison of these four surrogate models for complex engineering problems appears to be difficult and depends on the nature of problems. In other words, the effort made in this study was not aimed to verify which surrogate model is best for any crashworthiness optimization problem of foam-filled thinwalled structure in terms of the error analysis. On contrary, it attempted to reveal how these different surrogate models could lead to different optimal designs, thereby demonstrating how to improve the accuracy of design optimization by using different surrogate models simultaneously instead of optimizing a single model. The comparative study indicated that use of multiple surrogate models should be advocated for more precise design optimization, which may avoid the possibility that an overall accurate surrogate model may lead to the non best optimum.

#### **5 Conclusions**

In this paper, the comparative study on these four surrogate models, namely polynomial response surface (PRS), Kriging (KRG), radial basis function (RBF) models and support vector regression (SVR) was conducted for the design optimization of foam-filled taper structure. To understand the performance of different surrogate models a comparative study was conducted to assess the primary modeling accuracy of objective and constraint functions as well as their performance in design optimization. Firstly, it is confirmed that all these four models can yield good primary fitness of the real functions based on the error analysis with the validation FEAs, though there is no single best available to approximate all functions consistently in this problem. Secondly, the optimization was conducted by means of a gradient approach of sequential quadratic program (SQP) method and a non-gradient approach of Particle Swarm Optimization (PSO) method for each surrogate model. In the SQP method, the multiple initial points could lead to a quasi-global optimum that is consistent with PSO approach. It is found that the optimal results are affected by the characteristics of specific surrogate functions and the difference of optimal objective can be over 10% in this design problem.

**Acknowledgments** This work was supported from National 973 Project of China (2010CB328005), The Open Fund of Key Laboratory for Automotive Transportation Safety Enhancement Technology of the Ministry of Communication, PRC (CHD2011SY008), The Open Fund of The State Key Laboratory of Vehicle NVH and Safety Technology (NVHSKL-201002), The Open Fund of the Key Laboratory of Manufacture and Test Techniques for Automobile Parts (Chongqing

University of Technology), Ministry of Education (2011KLMT06), The Open Fund of the State Key Laboratory of Automotive Simulation and Control (20111113) and Research Funds from Dong-A University, Korea.

## **References**

- <span id="page-9-6"></span>Abramowicz W, Jones N (1986) Dynamic progressive buckling of circular and square tubes. Int J Impact Eng 4(4):243–270
- <span id="page-9-3"></span>Acar E, Guler MA, Gerceker B, Cerit ME, Bayram B (2011) Multi-objective crashworthiness optimization of tapered thinwalled tubes with axisymmetric indentations. Thin-Walled Struct 49(1):94–105
- <span id="page-9-11"></span>Ahmad Z, Thambiratnam DP (2009a) Dynamic computer simulation and energy absorption of foam-filled conical tubes under axial impact loading. Comput Struct 87(3–4):186–197
- <span id="page-9-12"></span>Ahmad Z, Thambiratnam DP (2009b) Crushing response of foamfilled conical tubes under quasi-static axial loading. Mater Des 30(7):2393–2403
- <span id="page-9-8"></span>Ahmad Z, Thambiratnam DP, Tan ACC (2010) Dynamic energy absorption characteristic of foam-filled conical tubes under oblique impact loading. Int J Impact Eng 37(5):475–488
- <span id="page-9-7"></span>Alghamdi AAA (2002) Reinversion of aluminium frustra. Thin-Walled Struct 40(12):1037–1049
- <span id="page-9-14"></span>Bi J, Fang HB, Wang Q, Ren XC (2010) Modeling and optimization of foam-filled thin-walled columns for crashworthiness designs. Finite Elem Anal Des 46(9):698–709
- <span id="page-9-10"></span>Borvik T, Hopperstad OS, Reyes A, Langseth M, Solomos G, Dyngeland T (2003) Empty and foam-filled circular aluminium tubes subjected to axial and oblique quasi-static loading. Int J Crashworthiness 8(3):481–494
- <span id="page-9-17"></span>Deshpande VS, Fleck NA (2000) Isotropic constitutive models for metallic foams. J Mech Phys Solids 48(6–7):1253–1283
- <span id="page-9-15"></span>Guler MA, Cerit ME, Bayram B, Gerceker B, Karakaya E (2010) The effect of geometrical parameters on the energy absorption characteristics of thin-walled structures under axial impact loading. Int J Crashworthiness 15(4):377–390
- <span id="page-9-19"></span>Gutmann HM (2001) A radial basis function method for global optimization. J Glob Optim 19(3):201–227
- <span id="page-9-18"></span>Hanssen AG, Hopperstad OS, Langseth M, IIstad H (2002) Validation of constitutive models applicable to aluminium foams. Int J Mech Sci 44(2):359–406
- <span id="page-9-13"></span>Hou SJ, Li Q, Long SY, Yang XJ, Li W (2009) Crashworthiness design for foam filled thin-wall structures. Mater Des 30:2024–2032
- <span id="page-9-9"></span>Hou SJ, Han X, Sun GY, Long SY, Yang XJ, Li W, Li Q (2011) Multiobjective optimization for tapered circular tubes. Thin-Walled Struct 49(7):855–863
- <span id="page-9-0"></span>Hussain MF, Barton RR, Joshi SB (2002) Metamodeling: radial basis functions, versus polynomials. Eur J Oper Res 138(1):142–154
- <span id="page-9-5"></span>Jin R, Chen W, Simpson, TW (2001) Comparative studies of metamodeling techniques under multiple modelling criteria. Struct Multidisc Optim 23(1):1–13
- <span id="page-9-4"></span>Kaya N, Ozturk F (2010) Multi-objective crashworthiness design optimization of thin-walled tubes. Int J Veh Des 52(1–4):54–63
- <span id="page-9-16"></span>Kim HS (2002) New extruded multi-cell aluminum profile for maximum crash energy absorption and weight efficiency. Thin-Walled Struct 40(4):311–327
- <span id="page-9-2"></span>Lanzi L, Castelletti LML, Anghileri M (2004) Multi-objective optimization of composite absorber shape under crashworthiness requirements. Compos Struct 65(3–4):433–441
- <span id="page-9-1"></span>Lee KH, Kang DH (2007) Structural optimization of an automotive door using the kriging interpolation method. J Automob Eng 221(12):1525–1534
- <span id="page-10-8"></span>Liao XT, Li Q, Yang XJ, Zhang WG, Li W (2008a) Multiobjective optimization for crash safety design of vehicle using stepwise regression model. Struct Multidisc Optim 35(6):561–569
- <span id="page-10-9"></span>Liao XT, Li Q, Yang XJ, Li W, Zhang WG (2008b) Two-Stage multiobjective optimization of vehicle crashworthiness under frontal impact. Int J Crashworthiness 13(3):279–288
- <span id="page-10-12"></span>Liu YC (2008) Crashworthiness design of multi-corner thin-walled columns. Thin-Walled Struct 46(12):1329–1337
- <span id="page-10-18"></span>Mamalis AG, Manolakos DE, Ioannidis MB, Spentzas KN, Koutroubakis S (2008) Static axial collapse of foam-filled steel thin-walled rectangular tubes: experimental and numerical simulation. Int J Crashworthiness 13(2):117–126
- <span id="page-10-1"></span>Marzbanrad J, Ebrahimi MR (2011) Multi-objective optimization of aluminum hollow tubes for vehicle crash energy absorption using a genetic algorithm and neural networks. Thin-Walled Struct 49(12):1605–1615
- <span id="page-10-17"></span>Mirfendereski L, Salimi M, Ziaei-Rad S (2008) Parametric study and numerical analysis of empty and foam-filled thin-walled tubes under static and dynamic loadings. Int J Mech Sci 50(6):1042– 1057
- <span id="page-10-27"></span>Mullur AA, Messac A (2006) Metamodeling using extended radial basis functions: a comparative approach. Engineering with Computers 21(3):203–217
- <span id="page-10-21"></span>Myers RH, Montgomery DC (1995) Response Surface methodology: process and product optimization using designed experiments. Wiley, New York
- <span id="page-10-13"></span>Nagel GM, Thambiratnam DP (2004a) Dynamic simulation and energy absorption of tapered tubes under impact loading. International Journal of Crashworthiness 9(4):389–399
- <span id="page-10-14"></span>Nagel GM, Thambiratnam DP (2004b) A numerical study on the impact response and energy absorption of tapered thin-walled tubes. International Journal of Mechanical Science 46(2):201–206
- <span id="page-10-28"></span>Olsson A, Sandberg G, Dahlblom O (2003) On latin hypercube sampling for structural reliability analysis. Struct Saf 25(1):47–68
- <span id="page-10-26"></span>Regis RG, Shoemaker CA (2005) Constrained global optimization of expensive black box Functions using radial basis functions. J Glob Optim 31(1):153–171
- <span id="page-10-20"></span>Reyes A, Hopperstad OS, Berstad T, Hanssen AG, Langseth M (2003) Constitutive modeling of aluminum foam including fracture and statistical variation of density. European Journal of Mechanics A-Solid, 22(6):815–835
- <span id="page-10-22"></span>Sacks J, Welch WJ, Mitchell TJ, Wynn HP (1989) Design and analysis of computer experiments. Stat Sci 4(4):409–435
- <span id="page-10-15"></span>Santosa SP, Wierzbicki T, Hanssen AG (2000) Experimental and numerical studies of foam-filled sections. International Journal of Impacting Engineering 24(5):509–534
- <span id="page-10-16"></span>Seitzberger M, Rammerstorfer FG, Degischer HP, Gradinger R (1997) Crushing of axially compressed steel tubes filled with aluminium foam. Acta Mechanica 125(1–4):93–105
- <span id="page-10-0"></span>Simpson TW, Booker AJ, Ghosh D, Giunta AA, Koch PN, Yang RJ (2004) Approximation methods in multidisciplinary analysis and optimization: a panel discussion. Struct Multidisc Optim 27(5):302–313
- <span id="page-10-23"></span>Smola AJ, Scholkopf B (2004) A tutorial on support vector regression. Stat Comput 14(3):199–222
- <span id="page-10-7"></span>Song XG, Jung JH, Son HJ, Park JH, Lee KH, Park YC (2010) Metamodel-based optimization of a control arm considering strength and durability performance. Comput Math Appl 60(4):976–980
- <span id="page-10-29"></span>Sun GY, Li GY, Gong ZH, Cui XY, Yang XJ, Li Q (2010a) Multiobjective robust optimization method for drawbead design in sheet metal forming. Materials & Design 31(4):1917–1929
- <span id="page-10-19"></span>Sun GY, Li GY, Hou SJ, Zhou SW, Li W, Li Q (2010b) Crashworthiness design for functionally graded foam-filled thin-walled structures. Mater Sci Eng A 527(7–8):1911–1919
- <span id="page-10-24"></span>Sun GY, Li GY, Stone M, Li Q (2010c) A two-stage multi-fidelity optimization procedure for honeycomb-type cellular materials. Comput Mater Sci 49(3):500–511
- <span id="page-10-25"></span>Sun GY, Li GY, Gong ZH, He GQ, Li Q (2011) Radial basis functional model for multiobjective sheet metal forming optimization. Eng Optim 12(43):1351–1366
- <span id="page-10-6"></span>Viana FAC, Haftka RT, Steffen V (2009) Multiple surrogates: how cross-validation errors can help us to obtain the best predictor. Struct Multidisc Optim 39(4):439–457
- <span id="page-10-10"></span>Wang H, Li EY, Li GY (2011) Probability-based least square support vector regression metamodeling technique for crashworthiness optimization problems. Comput Mech 47(3):251–263
- <span id="page-10-5"></span>Yang RJ, Gu L (2004) Experience with approximate reliabilitybased optimization methods. Struct Multidisc Optim 26(1–2): 152–159
- <span id="page-10-2"></span>Yang RJ, Akkerman A, Anderson DF, Faruque OM, Gu L (2000) Robustness optimization for vehicular crash simulations. Comput Sci Eng 2(6):8–13
- <span id="page-10-3"></span>Yang RJ, Chuang C, Gu L, Li G (2005a) Experience with approximate reliability-based optimization method II: an exhaust system problem. Struct Multidisc Optim 29(6):488–497
- <span id="page-10-4"></span>Yang RJ, Wang N, Tho CH, Bohineau JP, Wang BP (2005b) Metamodeling development for vehicle frontal impact simulation. J Mech Des 127(5):1014–1020
- <span id="page-10-11"></span>Zhao D, Xue DY (2010) A comparative study of metamodeling methods considering sample quality merits. Struct Multidisc Optim 42(6):923–938