

## Some consequences of Rado’s selection lemma

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**Abstract** We prove in set theory without the Axiom of Choice, that Rado’s selection lemma (**RL**) implies the Hahn-Banach axiom. We also prove that **RL** is equivalent to several consequences of the Tychonov theorem for compact Hausdorff spaces: in particular, **RL** implies that every filter on a well orderable set is included in a ultrafilter. In set theory with atoms, the “Multiple Choice” axiom implies **RL**.

**Keywords** Axiom of choice · Product topology · Compactness · Rado’s selection lemma · Hahn-Banach

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### 1 Introduction

We work in set theory without the Axiom of Choice **ZF**. For every set  $I$  we denote by  $\text{fin}(I)$  the set of finite subsets of  $I$ . The following statement was introduced by Rado ([9]):

**RL:** (Rado’s selection lemma, form 99 of [3]) “Given an infinite family of non-empty finite sets  $(X_i)_{i \in I}$ , and given any family  $(\sigma_J)_{J \in \text{fin}(I)}$  such that for every  $J \in \text{fin}(I)$ ,  $\sigma_J \in \prod_{i \in J} X_i$ , there exists  $f \in \prod_{i \in I} X_i$  such that for every  $J \in \text{fin}(I)$ , there exists  $L \in \text{fin}(I)$  satisfying  $J \subseteq L$  and  $f \upharpoonright J = \sigma_L \upharpoonright J$ .”

*Remark 1* Under the hypotheses of Rado’s lemma, the set  $X := \prod_{i \in I} X_i$  is non-empty (in **ZF**): indeed, for every  $i \in I$ ,  $\sigma_{\{i\}}(i) \in X_i$ .

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Rado used this statement and the Axiom of Choice (**AC**) to prove (see ([9]) that two bases of an (infinite) finitary matroid are equipotent. Rado’s proof of **RL** relied on **AC** but **RL** is a consequence (see [1, 10]) of the following “Tychonov axiom”, a consequence of **AC** which does not imply **AC** ([2]):

**T<sub>2</sub>**: “Every family of compact Hausdorff topological spaces has a compact product.”

Notice that **T<sub>2</sub>** has the two following consequences (which are not provable in **ZF**, see [3]):

**AC<sup>fin</sup>**: (Finite Axiom of Choice, form 62 of [3]) “Given an infinite family  $(X_i)_{i \in I}$  of non-empty finite sets, the set  $\prod_{i \in I} X_i$  is non-empty.”

**AC<sup>2</sup>**: (Axiom of Choice for pairs, form 88 of [3]) “Given an infinite family  $(X_i)_{i \in I}$  of two-element sets, the set  $\prod_{i \in I} X_i$  is non-empty.”

In this paper, we prove (see Sect. 2) that **RL** implies the following statement, which is equivalent (see [6]) to the Hahn-Banach axiom **HB** (form 52 of [3]):

**M**: (Measure axiom, form 52A of [3]) “For every non trivial boolean algebra  $\mathbb{B}$ , there exists a measure  $m : \mathbb{B} \rightarrow [0, 1]$  such that  $m(1_{\mathbb{B}}) = 1$ .”

Here, a *boolean algebra* is a (commutative) unitary ring  $(\mathbb{B}, \oplus, \times, 0_{\mathbb{B}}, 1_{\mathbb{B}})$  which is *idempotent* (for every  $x \in \mathbb{B}, x \cdot x = x$ ). If  $0_{\mathbb{B}} = 1_{\mathbb{B}}$ , then the boolean algebra  $\mathbb{B}$  is said to be *trivial*. A (real valued) *measure* on  $\mathbb{B}$  is a mapping  $m : \mathbb{B} \rightarrow [0, 1]$  which is *finitely additive* (for every  $x, y \in \mathbb{B}$  satisfying  $x \cdot y = 0_{\mathbb{B}}, m(x \oplus y) = m(x) + m(y)$ ). A measure  $m$  on  $\mathbb{B}$  is *unitary* if  $m(1_{\mathbb{B}}) = 1$ . Recall (see [3]) that the Tychonov axiom **T<sub>2</sub>** is equivalent to the *Boolean Prime Ideal*:

(**BPI**, form 14 of [3]): “Every non trivial boolean algebra has a prime ideal.”

*Remark 2* The statement **HB** is a consequence of **T<sub>2</sub>** (see [3]) which does not imply **T<sub>2</sub>** (see [7]).

In Sect. 3, we prove in **ZF<sup>0</sup>** (set-theory with atoms, a theory weaker than **ZF**—see [3, pp. 1–2]—), that the following “Multiple Choice” axiom implies **RL**:

**MC**: (form 67 of [3]) “Given an infinite family  $(X_i)_{i \in I}$  of infinite sets, there exists a family of non-empty finite sets  $(F_i)_{i \in I}$  such that for every  $i \in I, F_i \subseteq X_i$ .”

In **ZF**, the statement **MC** is equivalent to **AC**, but in **ZF<sup>0</sup>**, **MC** does not imply **AC<sup>2</sup>** (see [5]). Thus our result implies that every model of **ZF<sup>0</sup>+MC** satisfies **RL**: this enlightens a result due to Howard (see [4]) who built a model of **ZF<sup>0</sup>+MC+¬AC<sup>2</sup>** in which he proved **RL**. However, the following questions seem to stay open:

*Question 1* Does **RL** imply **T<sub>2</sub>**?

*Remark 3* Blass (see [3, Note 33]) noticed that  $(\mathbf{RL} + \mathbf{AC}^{\text{fin}}) \Leftrightarrow \mathbf{T}_2$ , so Question 1 is equivalent to ask whether **RL** implies **AC<sup>fin</sup>**.

Even the following Question seems to be open:

**Question 2** Does **RL** imply **AC**<sup>2</sup>?

We finally provide various equivalents of **RL** in **ZF** (see the recapitulating Diagram in Sect. 4).

**2 Rado’s selection lemma implies Hahn-Banach**

2.1 Boolean algebras

Given a boolean algebra  $(\mathbb{B}, \oplus, \times, 0_{\mathbb{B}}, 1_{\mathbb{B}})$ , the binary relation  $\leq$  on  $\mathbb{B}$  defined for every  $x, y \in \mathbb{B}$  by the formula “ $x \leq y$  if and only if  $x \cdot y = x$ ” is a partial order; the *poset*  $(\mathbb{B}, \leq)$  is a complemented distributive lattice with smallest element  $0_{\mathbb{B}}$  and greatest element  $1_{\mathbb{B}}$ : notice that the *infimum law*  $\wedge$  is the multiplicative law of the ring  $(\mathbb{B}, \oplus, \times, 0_{\mathbb{B}}, 1_{\mathbb{B}})$ , the *supremum law*  $\vee$  is defined for every  $x, y \in \mathbb{B}$  by  $x \vee y := (x \oplus y) \oplus x \cdot y$ , and for every  $x \in \mathbb{B}$ , the complement of  $x$  (i.e., the unique element  $y$  of  $\mathbb{B}$  satisfying  $x \wedge y = 0_{\mathbb{B}}$  and  $x \vee y = 1_{\mathbb{B}}$ ) is  $x \oplus 1$ .

*Remark 4* Conversely, one checks (in **ZF**) that given a complemented distributive lattice  $(\mathbb{B}, \vee, \wedge)$  with first element  $0_{\mathbb{B}}$ , last element  $1_{\mathbb{B}}$  and complementation function  $\cdot^c$ , then one can define the binary law  $\oplus$  on  $\mathbb{B}$  by  $x \oplus y := (x \wedge y^c) \vee (y \wedge x^c)$  for every  $x, y \in \mathbb{B}$ , and the structure  $(\mathbb{B}, \oplus, \wedge, 0_{\mathbb{B}}, 1_{\mathbb{B}})$  is a (commutative) unitary idempotent ring.

Given a boolean algebra  $\mathbb{B}$ , an *atom* of  $\mathbb{B}$  is a non-null element  $a$  of  $\mathbb{B}$  which is minimal in the *poset*  $(\mathbb{B} \setminus \{0_{\mathbb{B}}\}, \leq)$ : for every  $x \in \mathbb{B}$ , if  $x \leq a$  then  $(x = 0_{\mathbb{B}}$  or  $x = a)$ . If  $\mathbb{B}$  is a *finite* boolean algebra, if  $A$  is the set of atoms of  $\mathbb{B}$ , then the canonical mapping  $can_{\mathbb{B}} : \mathbb{B} \rightarrow \mathcal{P}(A)$  associating to each  $x \in \mathbb{B}$  the set of atoms minorating  $x$  is an isomorphism of boolean algebras.

Recall that a *measure* (see Sect. 1) on a boolean algebra  $\mathbb{B}$  is a mapping  $m : \mathbb{B} \rightarrow [0, 1]$  satisfying  $(x \wedge y = 0_{\mathbb{B}} \Rightarrow m(x \vee y) = m(x) + m(y))$  for every  $x, y \in \mathbb{B}$ . Notice that every measure  $m : \mathbb{B} \rightarrow [0, 1]$  is *ascending*: given  $x, y \in \mathbb{B}$  satisfying  $x \leq y$  then  $m(x) \leq m(y)$ .

For every *finite* set  $F$  we denote by  $|F|$  the number of elements of  $F$  (i.e., the cardinal of the finite set  $F$ ).

**Proposition 1 (uniform probability on a finite boolean algebra)** *If  $\mathbb{B}$  is a finite non-trivial boolean algebra, there exists a unique unitary measure  $\mathcal{U}$  on  $\mathbb{B}$  associating the same real number to all the atoms of  $\mathbb{B}$ . This measure is called the uniform probability on the finite boolean algebra  $\mathbb{B}$ .*

*Proof* Let  $A$  be the (finite) set of atoms of  $\mathbb{B}$ . The uniform probability on  $\mathcal{P}(A)$  is the unique measure on  $\mathcal{P}(A)$  associating to each subset  $B$  of  $A$  the (rational) number  $\frac{|B|}{|A|}$ . This unitary measure can be carried into a unitary measure  $\mathcal{U} : \mathbb{B} \rightarrow [0, 1]$  using the canonical isomorphism between the boolean algebras  $\mathbb{B}$  and  $\mathcal{P}(A)$ . Notice that for every  $x \in \mathbb{B}$ ,  $\mathcal{U}(x) = \frac{| \{a \in A : a \leq x\} |}{|A|}$ .

2.2 **RL** implies Hahn-Banach

**Definition 1** Let  $(X_i)_{i \in I}$  an infinite family of non-empty finite sets. Let  $\mathcal{C}$  be a subset of  $\text{fin}(I)$ . Assume that  $(\sigma_F)_{F \in \mathcal{C}}$  is a family such that for every  $J \in \mathcal{C}$ ,  $\sigma_J \in \prod_{i \in J} X_i$ . Say that an element  $f \in \prod_{i \in I} X_i$  is *Rado-compatible* with  $(\sigma_F)_{F \in \mathcal{C}}$  if for every  $F \in \text{fin}(I)$ , there exists  $G \in \mathcal{C}$  such that  $F \subseteq G$  and  $f \upharpoonright F = \sigma_G \upharpoonright F$ .

Rado’s lemma says that given an infinite family  $(X_i)_{i \in I}$  of non-empty finite sets, and given a family  $(\sigma_F)_{F \in \text{fin}(I)}$  such that for every  $J \in \text{fin}(I)$ ,  $\sigma_J \in \prod_{i \in J} X_i$ , there exists a mapping  $f \in \prod_{i \in I} X_i$  which is Rado-compatible with  $(\sigma_F)_{F \in \text{fin}(I)}$ .

For every  $n \in \mathbb{N}$ , we denote by  $D_n$  the set  $\{\frac{k}{2^n} : k \in \mathbb{N}\} \cap [0, 1]$ . Given some  $n \in \mathbb{N}$ , for every  $x \in [0, 1]$ , there is a unique  $k \in \{0, \dots, 2^n\}$  such that  $\frac{k}{2^n} \leq x < \frac{k+1}{2^n}$ ; call the number  $\frac{k}{2^n}$  the (default) *n-approximation* of  $x$ . Notice that  $\cup_{n \in \mathbb{N}} D_n$  is countable and dense in  $[0, 1]$ .

Given a subset  $A$  of a boolean algebra  $\mathbb{B}$ , we denote by  $\text{bool}_{\mathbb{B}}(A)$  the boolean algebra generated by  $A$  in  $\mathbb{B}$ .

**Theorem 1 RL implies HB.**

*Proof* Let  $\mathbb{B}$  be an infinite boolean algebra. For every  $n \in \mathbb{N}$ , let  $\mathbb{B}_n := \mathbb{B} \times \{n\}$ , and let  $\mathbb{B}_\omega := \cup_{n \in \mathbb{N}} \mathbb{B}_n$ . Thus  $\mathbb{B}_\omega$  is the union of  $\omega$  pairwise disjoint copies of  $\mathbb{B}$ . For every non-empty finite subset  $F$  of  $\mathbb{B}_\omega$ , we define  $\sigma_F$  as follows: since  $F$  is of the form  $\cup_{0 \leq i \leq n} (F_i \times \{i\})$  where  $n \in \mathbb{N}$  and  $F_n$  is non-empty, consider the uniform probability  $P_F$  on  $\text{bool}_{\mathbb{B}}(\cup_{0 \leq i \leq n} F_i)$ , and, for every  $(x, i) \in F_i \times \{i\}$ , let  $\sigma_F((x, i))$  be the  $i$ -approximation of  $P_F(x)$ : thus  $|\sigma_F((x, i)) - P_F(x)| \leq \frac{1}{2^i}$ . For every  $F \in \text{fin}(\mathbb{B}_\omega)$ , for every  $x, y \in \mathbb{B}$  and every  $i, j, k, l \in \mathbb{N}$ :

1.  $(1_{\mathbb{B}}, i) \in F \Rightarrow \sigma_F((1_{\mathbb{B}}, i)) = 1 - \frac{1}{2^i}$
2.  $(0_{\mathbb{B}}, i) \in F \Rightarrow \sigma_F((0_{\mathbb{B}}, i)) = 0$
3. If  $(x, i), (x, j) \in F$  then  $|\sigma_F((x, i)) - \sigma_F((x, j))| \leq \frac{1}{2^i} + \frac{1}{2^j}$
4. If  $x \wedge y = 0_{\mathbb{B}}$  and if  $(x, i), (y, k), (x \vee y, l) \in F$  then:  
 $|\sigma_F((x \vee y, l)) - \sigma_F((x, i)) - \sigma_F((y, k))| \leq \frac{1}{2^l} + \frac{1}{2^i} + \frac{1}{2^k}$ .

Using **RL**, let  $f = (f_n)_{n \in \mathbb{N}} \in \prod_{n \in \mathbb{N}} D_n^{\mathbb{B}_n}$  be a mapping which is Rado-compatible with the family  $(\sigma_F)_{F \in \text{fin}(\mathbb{B}_\omega)}$ . Given  $x \in \mathbb{B}$ , Condition (3) implies that the sequence  $(f_n(x))_{n \in \mathbb{N}}$  is Cauchy: indeed, given some real number  $\varepsilon > 0$ , let  $N \in \mathbb{N}$  such that  $\frac{1}{2^N} < \frac{\varepsilon}{2}$ ; given  $p, q \in \mathbb{N}$  such that  $p, q \geq N$ , let  $F := \{(p, x), (q, x)\}$  and let  $G \in \text{fin}(\mathbb{B}_\omega)$  such that  $F \subseteq G$  and  $f \upharpoonright F = \sigma_G \upharpoonright F$ ; then  $|f_p(x) - f_q(x)| = |\sigma_G(p, x) - \sigma_G(q, x)| \leq \frac{1}{2^p} + \frac{1}{2^q} \leq \frac{2}{2^N} < \varepsilon$ . Thus the Cauchy sequence  $(f_n(x))_{n \in \mathbb{N}}$  of real numbers converges to a real number  $m(x) \in [0, 1]$ . Conditions (1) and (2) imply that  $m(1_{\mathbb{B}}) = 1$  and  $m(0_{\mathbb{B}}) = 0$ . Condition (4) implies that  $m$  is finitely additive: let  $x, y \in \mathbb{B}$  such that  $x \wedge y = 0_{\mathbb{B}}$  and let  $\varepsilon > 0$ . Let  $N \in \mathbb{N}$  satisfying  $\frac{3}{2^N} < \frac{\varepsilon}{2}$  such that  $|m(x) - f_N(x)|, |m(y) - f_N(y)|$  and  $|m(x \vee y) - f_N(x \vee y)|$  are all less than  $\frac{\varepsilon}{6}$ ; let  $F := \{(x, N), (y, N), (x \vee y, N)\}$ ; let  $G$  be a finite subset of  $\mathbb{B}_\omega$  such that  $F \subseteq G$  and  $f \upharpoonright F = \sigma_G \upharpoonright F$ ; then  $|m(x) + m(y) - m(x \vee y)| \leq |m(x) - \sigma_G(x, N)| + |m(y) - \sigma_G(y, N)| + |m(x \vee y) - \sigma_G(N, x \vee y)| + |\sigma_G(x, N) + \sigma_G(y, N) - \sigma_G(x \vee y, N)| = |m(x) - f_N(x)| + |m(y) - f_N(y)| + |m(x \vee y) - f_N(x \vee y)| +$

$|\sigma_G(x, N) + \sigma_G(y, N) - \sigma_G(x \vee y, N)| \leq \frac{3}{2^N} + 3\frac{\varepsilon}{6} \leq \varepsilon$  (using 4); this is true for every  $\varepsilon > 0$  so  $|m(x) + m(y) - m(x \vee y)| = 0$ . It follows that the mapping  $m : \mathbb{B} \rightarrow [0, 1]$  is a unitary measure on  $\mathbb{B}$ .

### 3 In $ZF^0$ , MC implies RL

#### 3.1 Rado-compatibility and compactness

*Remark 5* Let  $(X_i)_{i \in I}$  an infinite family of non-empty finite sets. Let  $\mathcal{C}$  be a cofinal subset of the poset  $(fin(I), \subseteq)$ . If  $f \in \prod_{i \in I} X_i$  is Rado-compatible with  $(\sigma_F)_{F \in \mathcal{C}}$ , then  $f$  is also Rado-compatible with  $(\sigma_F)_{F \in fin(I)}$ .

Given a family  $(X_i)_{i \in I}$  of topological spaces, and denoting by  $X$  the product set  $\prod_{i \in I} X_i$ , for every nonempty subset  $J$  of  $I$  we denote by  $p_J : X \rightarrow \prod_{i \in J} X_i$  the canonical mapping  $(x_i)_{i \in I} \mapsto (x_i)_{i \in J}$ . The product topology on the set  $X := \prod_{i \in I} X_i$  is the coarsest topology for which all canonical projections  $p_{\{i\}} : X \rightarrow X_i$  are continuous. Let  $\mathcal{L}_X$  be the lattice generated by closed subsets of the form  $p_{\{i\}}^{-1}[F]$  where  $i \in I$  and  $F$  is a closed subset of  $X_i$ : elements of  $\mathcal{L}_X$  are called elementary closed subsets of  $X$ . Since every closed subset of  $X$  is the intersection of elements of  $\mathcal{L}_X$ , the product space  $X$  is compact if and only if every filter of the lattice  $\mathcal{L}_X$  has a non-empty intersection. More generally, the following (easy) Proposition holds:

**Proposition 2** *Let  $X$  be a topological space. Let  $\mathcal{C}$  be a family of closed subsets of  $X$ , such that every closed subset of  $X$  is an intersection of elements of  $\mathcal{C}$ . If every sub-family of  $\mathcal{C}$  satisfying the finite intersection property has a non-empty intersection, then  $X$  is compact.*

Given a set  $\mathcal{F}$  of non-empty subsets of a set  $X$ , we say that  $\mathcal{F}$  is *inf-directed* if for every  $F_1, \dots, F_n \in \mathcal{F}$ , there exists  $F \in \mathcal{F}$  such that  $F \subseteq \cap_{1 \leq i \leq n} F_i$ .

**Theorem 2** *Consider an infinite family  $(X_i)_{i \in I}$  of finite sets and assume that  $\mathcal{C}$  is a cofinal subset of  $fin(I)$ , and that  $(\sigma_F)_{F \in \mathcal{C}}$  is a family such that for every  $F \in \mathcal{C}$ ,  $\sigma_F \in \prod_{i \in F} X_i$ . For every finite subset  $J$  of  $I$ , consider the following set:*

$$F_J^{\mathcal{C}} := \left\{ \sigma \in \prod_{i \in J} X_i : \forall K \in fin(I) \exists L \in \mathcal{C} \ K \cup J \subseteq L \text{ and } \sigma_L \upharpoonright J = \sigma \right\}$$

1. For each  $J \in fin(I)$ ,  $F_J^{\mathcal{C}}$  is non-empty;
2. If  $\mathcal{C}$  admits a well order  $\preceq$ , then there is a mapping associating to each  $J \in fin(I)$  and each non-empty subset  $A$  of  $F_J^{\mathcal{C}}$  an element  $z_J^A$  of  $A$ : such a choice function is definable from  $(\sigma_F)_{F \in \mathcal{C}}$  and the well order  $\preceq$  (and in particular the product  $X := \prod_{i \in I} X_i$  is non-empty);
3. If  $X \neq \emptyset$ , then for every  $J \in fin(I)$ , the (closed) subset  $\tilde{F}_J^{\mathcal{C}} := F_J^{\mathcal{C}} \times \prod_{i \in I \setminus J} X_i$  of  $X$  is non-empty, and for every finite subsets  $J, K$  of  $I$  satisfying  $J \subseteq K$ , then  $\tilde{F}_K^{\mathcal{C}} \subseteq \tilde{F}_J^{\mathcal{C}}$ ; in particular, the family of non-empty sets  $\{\tilde{F}_J^{\mathcal{C}} : J \in fin(I)\}$  is inf-directed: in this case we denote by  $\mathcal{F}^{\mathcal{C}}$  the filter of the lattice  $\mathcal{L}_X$  generated by this family;

4. Given an element  $f$  of  $X$ , the following statements are equivalent:
  - (a)  $f$  is Rado-compatible with  $(\sigma_J)_{J \in \mathcal{C}}$ ;
  - (b)  $f \in \bigcap_{J \in \mathcal{C}} \tilde{F}_J^{\mathcal{C}}$ ;
5. If  $\mathcal{C}$  admits a well order  $\preceq$  then there exists  $f \in X$  which is Rado-compatible with  $(\sigma_F)_{F \in \mathcal{C}}$  and which is definable from  $\preceq$  and  $(\sigma_F)_{F \in \mathcal{C}}$ .

*Proof* (1) Given some  $J \in \text{fin}(I)$ , the set  $F_J^{\mathcal{C}}$  is non-empty: seeking for a contradiction, assume that for each  $\sigma \in \prod_{i \in J} X_i$ , there exists  $K_\sigma \in \text{fin}(I)$  such that for every  $L \in \mathcal{C}$ ,  $(K_\sigma \cup J \subseteq L \Rightarrow \sigma_L \upharpoonright J \neq \sigma)$ ; then consider the finite set  $K := \bigcup_{\sigma \in \prod_{i \in J} X_i} K_\sigma$ ; since  $\mathcal{C}$  is cofinal in  $\text{fin}(I)$ , consider some element  $L \in \mathcal{C}$  such that  $(J \cup K) \subseteq L$ ; then for every  $\sigma \in \prod_{i \in J} X_i$ ,  $\sigma_L \upharpoonright J \neq \sigma$ : since  $\sigma_L \upharpoonright J \in \prod_{i \in J} X_i$  this is contradictory!

(2) Assume that  $\mathcal{C}$  is well-orderable. Given  $J \in \text{fin}(I)$  and some non-empty subset  $A$  of  $F_J^{\mathcal{C}}$ , let  $C$  be the first element of  $\mathcal{C}$  (w.r.t. this well-order of  $\mathcal{C}$ ) such that  $z_J^A := \sigma_C \upharpoonright J \in A$ : then  $(z_J^A)_{J \in \text{fin}(I), \emptyset \neq A \subseteq F_J^{\mathcal{C}}}$  is a choice function satisfying the required conditions.

(3) and (4): the proofs are easy.

(5) Since  $\mathcal{C}$  is well-orderable,  $X$  is non-empty: we shall show that there is an element of  $\bigcap \mathcal{F}^{\mathcal{C}}$  which is definable from  $(\sigma_F)_{F \in \mathcal{C}}$  and the well-order  $\preceq$  on  $\mathcal{C}$ . Let  $J \in \text{fin}(I)$ . Since  $J$  is finite,  $\prod_{i \in J} X_i$  is compact thus the set  $A_J := \bigcap_{Z \in \mathcal{F}^{\mathcal{C}}} p_J[Z]$  is non-empty. We shall define by recursion on the well order  $\preceq$  a family  $(\tau_J)_{J \in \mathcal{C}}$  of pairwise compatible finite functions, such that for every  $J \in \mathcal{C}$ ,  $\tau_J \in A_J$ : it will follow that  $\tau := \bigcup_{J \in \mathcal{C}} \tau_J$  is a mapping defined on  $I$  and that  $\tau \in \bigcap \mathcal{F}^{\mathcal{C}}$ . Given  $J \in \mathcal{C}$ ,  $\tau_J$  is defined from  $(\tau_F)_{F \prec J}$  as follows: consider the filter  $\mathcal{G}_J$  of  $\mathcal{L}_X$  generated by  $\mathcal{F}^{\mathcal{C}}$  and the elementary closed sets  $p_F^{-1}[\{\tau_F\}]$  for  $F \prec J$ ; then  $A := \bigcap_{Z \in \mathcal{G}_J} p_J[Z]$  is a non-empty subset of  $A_J$ ; we define  $\tau_J := z_J^A$  (see point (2)). The finite functions  $\tau_J$  are pairwise compatible because given distinct elements  $J, K \in \mathcal{C}$  such that  $J \preceq K$ ,  $\tau_K \in p_K[\{f \in X : f \upharpoonright J = \tau_J\}]$ . Then  $\tau := \bigcup_{J \in \mathcal{C}} \tau_J \in \bigcap \mathcal{F}^{\mathcal{C}}$ .

### 3.2 RL implies a weak form of T<sub>2</sub>

Consider the following consequence of T<sub>2</sub>:

**RL<sub>0</sub>**: “Given a set  $I$  and a non-empty inf-directed set  $\mathcal{F}$  of non-empty elementary closed subsets of  $\{0, 1\}^I$ , if  $\mathcal{F}$  has a choice function then  $\bigcap \mathcal{F}$  is non-empty.”

**Proposition 3** RL  $\Rightarrow$  RL<sub>0</sub>.

*Proof* Let  $I$  be an infinite set. Let  $X$  be the topological product  $\{0, 1\}^I$ . Let  $\mathcal{F}$  be a non-empty inf-directed set of non-empty elementary closed subsets of  $X$ , having a choice function  $(\tau_Z)_{Z \in \mathcal{F}}$ . Without loss of generality, we may assume that  $\{0, 1\}^I \in \mathcal{F}$ . Since  $\mathcal{F}$  is inf-directed, for every finite subset  $F$  of  $I$ , the set of subsets  $A$  of  $\{0, 1\}^F$  such that  $A \times \{0, 1\}^{I \setminus F} \in \mathcal{F}$  has a smallest element, that we denote by  $A_F$ . For every  $F \in \text{fin}(I)$ , let  $\sigma_F := \tau_{A_F \times \{0, 1\}^{I \setminus F}}$ . Using **RL**, let  $f \in \{0, 1\}^I$  which is Rado-compatible with  $(\sigma_F)_{F \in \text{fin}(I)}$ . Let us show that  $f \in \bigcap \mathcal{F}$ . Given some  $Z \in \mathcal{F}$ , let  $F \in \text{fin}(I)$  and let  $A \subseteq \{0, 1\}^F$  such that  $A \times \{0, 1\}^{I \setminus F} = Z$ ; since  $f$  is Rado-compatible with  $(\sigma_F)_{F \in \text{fin}(I)}$ , let  $G \in \text{fin}(I)$  such that  $F \subseteq G$  and  $f \upharpoonright F = (\sigma_G) \upharpoonright F$ ; then  $A_G \subseteq A_F \times \{0, 1\}^{G \setminus F}$  thus  $(\sigma_G) \upharpoonright F \in A_F \subseteq A$  so  $f \upharpoonright F \in A$  i.e.,  $f \in Z$ .

### 3.3 A statement which is intermediate between $\mathbf{T}_2$ and $\mathbf{RL}$

It is known (see [3]) that  $\mathbf{T}_2$  is equivalent to the fact that for every set  $I$ , the product space  $\{0, 1\}^I$  is compact, or, equivalently, to the following fact: “Given a set  $I$  and a non-empty set  $\mathcal{F}$  of non-empty elementary closed subsets of  $\{0, 1\}^I$  satisfying the finite intersection property,  $\cap \mathcal{F}$  is non-empty.” Consider now the following statement:

**RLT**: “Given a set  $I$  and a non-empty set  $\mathcal{F}$  of non-empty elementary closed subsets of  $\{0, 1\}^I$  satisfying the finite intersection property, if  $\mathcal{F}$  has a choice function then  $\cap \mathcal{F}$  is non-empty.”

Then  $\mathbf{T}_2 \Rightarrow \mathbf{RLT} \Rightarrow \mathbf{RL}_0$ .

*Question 3* Is **RLT** equivalent to  $\mathbf{T}_2$  or to  $\mathbf{RL}_0$ ?

### 3.4 $\mathbf{RL}_0$ implies $\mathbf{RL}$ , and in $\mathbf{ZF}_0$ , $\mathbf{MC}$ implies $\mathbf{RL}$

In this Section, we shall show that conversely,  $\mathbf{RL}_0$  implies  $\mathbf{RL}$ ; the same idea allows to prove (in  $\mathbf{ZF}^0$ ) that  $\mathbf{MC}$  implies  $\mathbf{RL}$ .

**Corollary 1** 1.  $\mathbf{RL}_0 \Leftrightarrow \mathbf{RL}$ .

2. In  $\mathbf{ZF}^0$ ,  $\mathbf{MC}$  implies  $\mathbf{RL}$ .

*Proof* The implication  $\mathbf{RL} \Rightarrow \mathbf{RL}_0$  has been proved in Proposition 3. We now prove  $\mathbf{RL}_0 \Rightarrow \mathbf{RL}$  and  $\mathbf{MC} \Rightarrow \mathbf{RL}$ : in both cases, we consider an infinite family  $(X_i)_{i \in I}$  of non-empty finite sets, and we assume that for every finite subset  $J$  of  $I$ ,  $\sigma_J \in \prod_{i \in J} X_i$ . In particular,  $X := \prod_{i \in I} X_i$  is non-empty: let  $a = (a_i)_{i \in I} \in X$ . As in Theorem 2, for each  $J \in \text{fin}(I)$  we define the non-empty set  $F_J := \{\sigma \in \prod_{i \in J} X_i : \forall K \in \text{fin}(I) \exists L \in \text{fin}(I) (K \cup J \subseteq L \text{ and } \sigma_L \upharpoonright J = \sigma)\}$ , and the closed subset  $\tilde{F}_J := F_J \times \prod_{i \in I \setminus J} X_i$  of  $X$ . The family  $(\tilde{F}_J)_{J \in \text{fin}(I)}$  of non-empty elementary closed subsets of  $\{0, 1\}^I$  is inf-directed; moreover,  $(\tilde{F}_J)_{J \in \text{fin}(I)}$  has a choice function: indeed for every  $J \in \text{fin}(I)$ ,  $\sigma_J \frown (a_i)_{i \in I \setminus J} \in \tilde{F}_J$ .

$\mathbf{RL}_0 \Rightarrow \mathbf{RL}$ : using  $\mathbf{RL}_0$ , consider some  $f \in \cap_{J \in \text{fin}(I)} \tilde{F}_J$ . Using Theorem 2–(4), the mapping  $f$  is Rado-compatible with  $(\sigma_J)_{J \in \text{fin}(I)}$ .

$\mathbf{MC} \Rightarrow \mathbf{RL}$ : using  $\mathbf{MC}$ , consider an ordinal  $\alpha$  and a family  $(I_\lambda)_{\lambda \in \alpha}$  of non-empty pairwise disjoint finite sets such that  $I = \cup_{\lambda \in \alpha} I_\lambda$ . Let  $\mathcal{C}$  be the set of elements  $F \in \text{fin}(I)$  of the form  $\cup_{t \in Z} I_t$  where  $Z \in \text{fin}(\alpha)$ : the subset  $\mathcal{C}$  of  $\text{fin}(I)$  is cofinal in the poset  $(\text{fin}(I), \subseteq)$ , and  $\mathcal{C}$  is well-orderable (because there is a one-to-one mapping from  $\mathcal{C}$  into the well-orderable set  $\text{fin}(\alpha)$ ). Thus Theorem 2–(5) implies that there exists  $f \in \prod_{i \in I} X_i$  which is Rado-compatible with  $(\sigma_J)_{J \in \text{fin}(I)}$  since  $\mathcal{C}$  is cofinal in  $(\text{fin}(I), \subseteq)$  (see Remark 5).

## 4 Some equivalent forms of $\mathbf{RL}$

Given set  $I$ , consider the following statement:

$\mathbf{AC}^{\text{fin}(I)}$ : “Every infinite family of finite non-empty subsets of  $I$  has a non-empty product.”

Every mapping  $\phi$  associating to each non-empty finite subset  $F$  of  $I$ , an element  $\phi(F)$  of  $F$  is called a *witness of  $\mathbf{AC}^{fin(I)}$* .

*Remark 6* Given a set  $I$ , if  $\mathbf{AC}^{fin(I)}$  holds, then there is a mapping associating to each  $F \in fin(I)$  a linear order  $\leq_F$  on  $F$  (and thus also a linear order on  $\{0, 1\}^F$ ).

We shall now show that the two following consequences of  $\mathbf{T}_2$  (or the equivalent statement  $\mathbf{BPI}$ ) are equivalent to  $\mathbf{RL}$ :

**RL<sub>1</sub>**: “For every set  $I$  satisfying  $\mathbf{AC}^{fin(I)}$ , the topological product space  $\{0, 1\}^I$  is compact.”

**RL<sub>2</sub>**: “Given a boolean algebra  $\mathbb{B}$  satisfying  $\mathbf{AC}^{fin(\mathbb{B})}$ , every proper filter of  $\mathbb{B}$  is included in a ultrafilter.”

**Proposition 4 (support of an elementary closed subset of a product space)** *Let  $I$  be an infinite set. For every non-empty elementary closed subset  $Z$  of  $\{0, 1\}^I$ , there exists a smallest finite subset  $S$  of  $I$ , such that  $Z$  is of the form  $A \times \{0, 1\}^{I \setminus S}$  where  $A \subseteq \{0, 1\}^S$ : we call this set  $S$  the support of  $Z$ , and we denote it by  $supp(Z)$ .*

*Proof* Let  $Z$  be a non-empty elementary closed subset of  $\{0, 1\}^I$ . For every  $f \in \{0, 1\}^I$ , every  $i_0 \in I$  and every  $\varepsilon \in \{0, 1\}$ , denote by  $f_{i_0 \rightarrow \varepsilon}$  the mapping  $g : I \rightarrow \{0, 1\}$  such that  $g(i_0) = \varepsilon$  and  $g(i) = f(i)$  for every  $i \in I \setminus \{i_0\}$ . Let  $S := \{i \in I : \exists f \in Z (f_{i \rightarrow 0} \notin Z \text{ or } f_{i \rightarrow 1} \notin Z)\}$ . Then  $S$  is the smallest subset  $T$  of  $I$  satisfying  $Z = p_T[Z] \times \{0, 1\}^{I \setminus T}$  so  $S$  is finite.

**Theorem 3** *The following statements are equivalent:  $\mathbf{RL}$ ,  $\mathbf{RL}_1$  and  $\mathbf{RL}_2$ .*

*Proof* The equivalence  $\mathbf{RL}_0 \Leftrightarrow \mathbf{RL}$  has been proved in Sect. 3.4.

**RL**  $\Rightarrow$  **RL<sub>1</sub>**. Let  $I$  be an infinite set satisfying  $\mathbf{AC}^{fin(I)}$ : then one can choose some linear order on every  $F \in fin(I)$ . Let  $\mathcal{L}_X$  be the set of elementary closed subsets of  $X := \{0, 1\}^I$  (i.e., sets of the form  $A \times \prod_{i \in I \setminus F} X_i$  where  $F \in fin(I)$  and  $A \subseteq \{0, 1\}^F$ ). Let  $\mathcal{F}$  be a filter of the lattice  $\mathcal{L}_X$ : let us show that  $\cap \mathcal{F}$  is non-empty. Using  $\mathbf{AC}^{fin(I)}$ , for every  $F \in fin(I)$ , choose some element  $\sigma_F$  in the non-empty subset  $\cap_{Z \in \mathcal{F}} p_F[Z]$  of  $\{0, 1\}^F$ . Using  $\mathbf{RL}$ , consider some  $f \in X$  which is Rado-compatible with  $(\sigma_F)_{F \in fin(I)}$ ; then for every  $F \in fin(I)$ , there exists  $G \in fin(I)$  such that  $F \subseteq G$  and  $f \upharpoonright F = \sigma_G \upharpoonright F$ ; this implies that  $f \upharpoonright F = p_F(\sigma_G) \in \cap_{Z \in \mathcal{F}} p_F[p_G[Z]] = \cap_{Z \in \mathcal{F}} p_F[Z]$ , so  $f \in \cap \mathcal{F}$ .

**RL<sub>1</sub>**  $\Rightarrow$  **RL**. Let  $(X_i)_{i \in I}$  be an infinite family of finite sets and assume that  $(\sigma_F)_{F \in fin(I)}$  is a family such that for every  $F \in fin(I)$ ,  $\sigma_F \in \prod_{i \in F} X_i$ . Then  $(\sigma_F)_{F \in fin(I)}$  is a witness of  $\mathbf{AC}^{fin(I)}$ , so by **RL<sub>1</sub>**, the (non-empty) space  $X := \prod_{i \in I} X_i$  is compact so  $\cap_{J \in fin(I)} \tilde{F}_J$  is non-empty—see the notation used in Theorem 2–(3)—; now any element  $f$  of  $\cap_{J \in fin(I)} \tilde{F}_J$  is Rado-compatible with  $(\sigma_J)_{J \in fin(I)}$ —see Theorem 2–(4)—.

**RL<sub>1</sub>**  $\Rightarrow$  **RL<sub>2</sub>**. Let  $\mathbb{B}$  be a boolean algebra satisfying  $\mathbf{AC}^{fin(\mathbb{B})}$  and let  $G$  be a proper filter of  $\mathbb{B}$ . For every elements  $x, y \in \mathbb{B}$ , consider the following closed subsets of  $X := \{0, 1\}^{\mathbb{B}}$ :



$$\begin{aligned}
 F_x &:= \{h \in X : (x \in \mathcal{G} \Rightarrow h(x) = 1)\} \\
 G_{x,y} &:= \{h \in X : (x \wedge y = 0_{\mathbb{B}} \Rightarrow h(x \vee y) = \max(h(x), h(y)))\} \\
 H_{x,y} &:= \{h \in X : h(x \wedge y) = \min(h(x), h(y))\}
 \end{aligned}$$

The following set of closed subsets of  $X$  satisfies the finite intersection property:  $\mathcal{F} := \{F_x : x \in \mathbb{B}\} \cup \{G_{x,y} : x, y \in \mathbb{B}\} \cup \{H_{x,y} : x, y \in \mathbb{B}\}$ ; using  $\mathbf{RL}_1$ ,  $X$  is compact so there exists some element  $h \in \cap \mathcal{F}$ : such an element  $h$  is a boolean morphism  $h : \mathbb{B} \rightarrow \{0, 1\}$  and  $\mathcal{U} := h^{-1}(\{1\})$  is a ultrafilter of  $\mathbb{B}$  including  $\mathcal{G}$ .

$\mathbf{RL}_2 \Rightarrow \mathbf{RL}_1$ . Given an infinite set  $I$  satisfying  $\mathbf{AC}^{fin(I)}$ , consider the topological product space  $X := \{0, 1\}^I$ . Denote by  $\mathbb{B}$  the set of elementary closed subsets of  $X$ :  $\mathbb{B}$  is a boolean sub-algebra of  $\mathcal{P}(I) = \{0, 1\}^I$ . Using  $\mathbf{AC}^{fin(I)}$ ,  $\mathbf{AC}^{fin(\mathbb{B})}$  also holds: indeed, given a non-empty finite subset  $F$  of  $\mathbb{B}$ , then  $Z := \cup_{x \in F} \text{supp}(x)$  is a finite subset of  $I$ ; using  $\mathbf{AC}^{fin(I)}$ , we choose a linear order on  $\{0, 1\}^Z$ , which implies a linear order on  $F$ , which allows to choose an element in  $F$ . Assume that  $\mathcal{F}$  is a family of elementary closed subsets of  $X$  satisfying the finite intersection property; using  $\mathbf{RL}_2$ , consider a ultrafilter  $\mathcal{U}$  of  $\mathbb{B}$  including  $\mathcal{F}$ ; then for every  $i \in I$ , the projection of  $\mathcal{U}$  on the  $i$ th factor is a singleton  $\{\varepsilon_i\}$ ; and  $\varepsilon := (\varepsilon_i)_{i \in I}$  belongs to  $\cap \mathcal{U} \subseteq \cap \mathcal{F}$ .

*Remark 7* The equivalence  $\mathbf{RL} \Leftrightarrow \mathbf{RL}_1$  is a slight generalization of Blass’s Remark about the equivalence  $\mathbf{T}_2 \Leftrightarrow (\mathbf{RL} + \mathbf{AC}^{fin})$  (see Remark 3).

Consider the following statements:

$\mathbf{UF}_{10}$ : “Every proper filter on a linearly orderable boolean algebra is included in a ultrafilter.”

$\mathbf{UF}_{w0}$ : “Every filter on a well-orderable set is included in a ultrafilter.”

$\mathbf{UF}_\omega$ : “Every filter on  $\omega$  is included in a ultrafilter.”

$\mathbf{U}_\omega$ : “There exists a non-trivial ultrafilter on  $\omega$ .”

**Corollary 2**  $\mathbf{RL} \Rightarrow \mathbf{UF}_{10} \Rightarrow \mathbf{UF}_{w0} \Rightarrow \mathbf{UF}_\omega \Rightarrow \mathbf{U}_\omega$ .

*Proof*  $\mathbf{RL} \Rightarrow \mathbf{UF}_{10}$ : Given a boolean algebra  $\mathbb{B}$  which is linearly orderable, then  $\mathbf{AC}^{fin(\mathbb{B})}$  holds thus  $\mathbf{RL}_2$  implies that every proper filter of  $\mathbb{B}$  is contained in a ultrafilter.

$\mathbf{UF}_{10} \Rightarrow \mathbf{UF}_{w0}$ : Given a well-ordered set  $(X, \leq)$ , the set  $\mathcal{P}(X) = \{0, 1\}^X$  is linearly orderable (with the lexicographic order) thus  $\mathbf{UF}_{10}$  implies that every filter of the linearly orderable boolean algebra  $\mathcal{P}(X)$  is included in a ultrafilter.

The implications  $\mathbf{UF}_{w0} \Rightarrow \mathbf{UF}_\omega \Rightarrow \mathbf{U}_\omega$  are trivial.

*Question 4* Does  $\mathbf{UF}_{10}$  imply  $\mathbf{RL}$ ? Does  $\mathbf{UF}_{w0}$  imply  $\mathbf{UF}_{10}$  or  $\mathbf{RL}$ ?

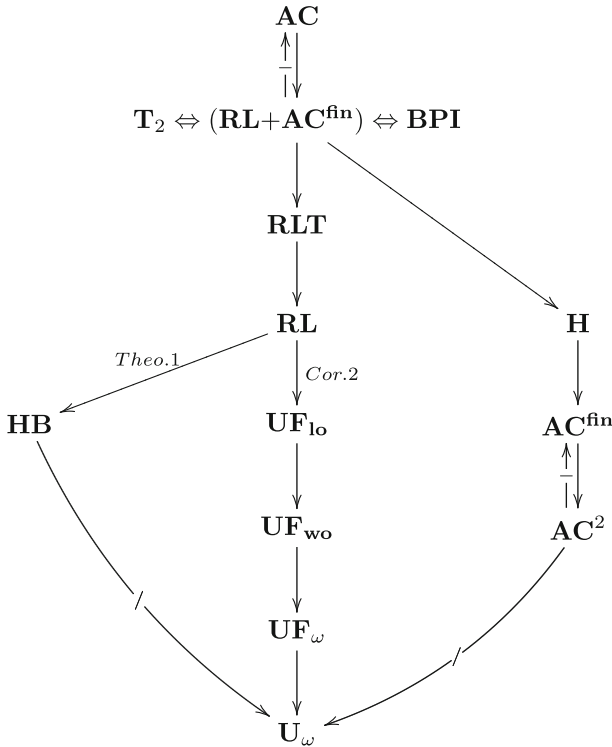
*Remark 8* In  $\mathbf{ZF}^0$  (set theory with atoms described in [3,5]),  $\mathbf{UF}_{w0}$  does not imply  $\mathbf{HB}$  (and thus does not imply  $\mathbf{RL}$ ): in a Fraenkel-Mostowski model of  $\mathbf{ZF}^0$ , for every well-orderable set  $X$ , the set  $\mathcal{P}(X)$  is also well-orderable thus  $\mathbf{UF}_{w0}$  holds, however some of these models do not satisfy the Hahn-Banach axiom (see the model  $\mathcal{N}51$  described in [3]).

*Remark 9* In **ZF**, **HB** does not imply  $U_\omega$  (see [8]) and thus **HB** does not imply **RL**.

Consider the following statement, which is intermediate between  $T_2$  and  $AC^{fin}$ :

**H** (Hall’s marriage theorem, form 107 of [3]): “Let  $I$  be an infinite set of finite sets such that for each finite subset  $F$  of  $I$ , there is an injective choice function on  $F$ . Then there is an injective choice function on  $I$ .”

The following implications hold:



The following questions seem to be open:

*Question 5* Does **HB+H** imply **BPI**? Does **HB+H+UF<sub>wo</sub>** imply **BPI**?

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