


ORIGINAL



# Validating quantitative pupillometry thresholds for neuroprognostication after out-of-hospital cardiac arrest. A predefined substudy of the Blood Pressure and Oxygenations Targets After Cardiac Arrest (BOX)-trial

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## Abstract

**Purpose:** Out-of-hospital cardiac arrest (OHCA) survivors face significant risks of complications and death from hypoxic–ischemic brain injury leading to withdrawal of life-sustaining treatment (WLST). Accurate multimodal neuroprognostication, including automated pupillometry, is essential to avoid inappropriate WLST. However, inconsistent study results hinder standardized threshold recommendations. We aimed to validate proposed pupillometry thresholds with no false predictions of unfavorable outcomes in comatose OHCA survivors.

**Methods:** In the multi-center BOX-trial, quantitative measurements of automated pupillometry (quantitatively assessed pupillary light reflex [qPLR] and Neurological Pupil index [NPI]) were obtained at admission (0 h) and after 24, 48, and 72 h in comatose patients resuscitated from OHCA. We aimed to validate qPLR < 4% and NPI ≤ 2, predicting unfavorable neurological conditions defined as Cerebral Performance Category 3–5 at follow-up. Combined with 48-h neuron-specific enolase (NSE) > 60 µg/L, pupillometry was evaluated for multimodal neuroprognostication in comatose patients with Glasgow Motor Score (M) ≤ 3 at ≥ 72 h.

**Results:** From March 2017 to December 2021, we consecutively enrolled 710 OHCA survivors (mean age: 63 ± 14 years; 82% males), and 266 (37%) patients had unfavorable neurological outcomes. An NPI ≤ 2 predicted outcome with 0% false-positive rate (FPR) at all time points (0–72 h), and qPLR < 4% at 24–72 h. In patients with M ≤ 3 at ≥ 72 h, pupillometry thresholds significantly increased the sensitivity of NSE, from 42% (35–51%) to 55% (47–63%) for qPLR and 50% (42–58%) for NPI, maintaining 0% (0–0%) FPR.

**Conclusion:** Quantitative pupillometry thresholds predict unfavorable neurological outcomes in comatose OHCA survivors and increase the sensitivity of NSE in a multimodal approach at ≥ 72 h.

**Keywords:** Out-of-hospital cardiac arrest, Intensive cardiovascular care, Pupillometry, Neuroprognostication, Outcome prediction

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## Introduction

Despite hospitalization, out-of-hospital cardiac arrest (OHCA) in Europe averages a 26% survival-to-discharge rate (41% in Denmark, rising to 81% if bystander-witnessed with initial shockable rhythm), reaching 35% for those admitted with return of spontaneous circulation (ROSC) [1]. Most die from hypoxic–ischemic brain injury, leading to prolonged coma and active withdrawal of life-sustaining treatment (WLST) [2, 3].

European countries practicing WLST report over 90% favorable long-term neurological outcomes in survivors, contrasting a 50% unfavorable outcome, with 33% in a persistent vegetative state, in countries where WLST is not implemented [4]. To avoid futile treatment and prevent inappropriate WLST, the European Resuscitation Council (ERC) and the European Society of Intensive Care Medicine (ESICM) recommend a multimodal neuroprognostication strategy in survivors remaining comatose at  $\geq 72$  h after ROSC [5]. This strategy involves clinical, electrophysiological, biomarker, and neuroimaging predictors, with an unfavorable outcome being likely with at least two positive indicators.

Assessment of pupillary reflexes is a crucial predictor in patients with acute brain injury [6, 7]. Due to significant inter-observer variability when manually assessing pupillary reflexes with a penlight, guidelines recommend automated pupillometers for neuroprognostication [5]. The automated pupillometer quantitatively measures pupil reaction, including the percentage of pupillary constriction (quantitatively assessed pupillary light reflex, qPLR) and several other reactivity variables. These can be integrated into an algorithm-based composite risk score, such as the Neurological Pupil index (NPI), ranging from 0 to 5 [8, 9].

Several studies have confirmed the significant prognostic value of qPLR and NPI and proposed specific thresholds predicting neurological outcomes with no false predictions [10–18]. However, using different devices and unaligned assessment and analyzing methods, results on absolute values differ across studies. Further, as no studies have reproduced and validated findings in a uniform methodology, guidelines have been unable to provide standardized threshold recommendations [19].

We aimed to perform an external validation with a similar methodology of the previous studies proposing pupillometry thresholds of  $qPLR < 4\%$  and  $NPI \leq 2$ , shown to predict unfavorable outcomes from admission to 72 h with zero-percent false-positive rate (FPR) in comatose OHCA survivors [10, 13]. As the recommended multimodal approach demands at least two positive predictors in unconscious patients at  $\geq 72$  h, we further aimed to investigate the prognostic effect of pupillometry

## Take-home message

Prespecified thresholds of quantitatively assessed pupillary light reflex  $< 4\%$  and the Neurological Pupil index  $\leq 2$  predict unfavorable neurological outcomes with zero-percent false-positive rate in comatose out-of-hospital cardiac arrest survivors. Combined with neuron-specific enolase, pupillometry thresholds offer a robust multimodal neuroprognostication strategy, especially relevant for centers facing challenges in obtaining neurophysiological data.

combined neuron-specific enolase (NSE)  $> 60 \mu\text{g/L}$ , a biochemical marker of neurologic damage (with higher levels associated with more extensive brain injury) [20].

Early preliminary results from this substudy have previously been presented [21, 22].

## Methods

### Study design and patients

We conducted a protocolized [23], prospective, multicenter prognostic substudy within the Blood Pressure and Oxygenation Targets after Cardiac Arrest (BOX) trial. The BOX-trial, an investigator-initiated, multicenter, randomized trial, compared blood pressure, oxygen, and temperature targets [24–26]. From March 2017 to December 2021, we consecutively included 789 comatose OHCA survivors ( $\geq 18$  years) of presumed cardiac origin from two Danish tertiary cardiac arrest centers. The BOX-trial design, data collection, management, and informed consent handling have been previously published [27]. This substudy adhered to the overall protocolized parameters of the main BOX-trial [23, 24, 27].

Subjects from the BOX-trial were eligible (criteria outlined in supplementary Table S1), however, excluded if pre-existing ophthalmic conditions affecting pupil movement were present (e.g., cataract and eye surgery) [28, 29]. Pre-hospital data were systematically collected according to Utstein-style guidelines [30] and reported in accordance with the STARD and TRIPOD statements.

### Post-cardiac arrest care and study procedures

All patients received protocolized guideline-suggested post-resuscitation care [23, 24, 27]. This included blood-pressure management with vasoactive agents (primarily with norepinephrine and dopamine) and oxygenation during mechanical ventilation (primarily by  $FiO_2$  and positive end-expiratory pressure [PEEP] adjustments). Sedation was achieved with propofol and fentanyl to a Richmond agitation sedation scale of  $-4$  or less, and temperature control targets were managed with active cooling devices. After 24 h of temperature control, core temperature was gradually increased to normothermia at

a rewarming rate of  $<0.5$  °C per hour, and sedation was tapered.

Pupillometry assessments were conducted at admission (t0) and at 24 (t24), 48 (t48), and 72 h (t72), approximated to within  $\pm 6$  h as part of clinical practice. Clinical nursing staff used NPi<sup>®</sup>-200 pupillometers (NeuroOptics<sup>®</sup>, Irvine, CA, USA) until awakening or death. Plasma NSE was measured at t48 using a COBAS analyzer system (Roche Diagnostics).

We included continuously comatose patients with Glasgow Motor Score ( $M$ )  $\leq 3$  at  $\geq 72$  h after admission in the analysis for the neuroprognostication strategy algorithm with combined predictors of 48-h plasma NSE  $> 60$   $\mu\text{g/L}$  and pupillometry [5].

Automatic pupillometry assessments were performed with the same device for each eye, and measurements were automatically imported into the electronic database through SmartGuard<sup>®</sup> devices, which were unviewed until post-trial analysis, blinded to outcome assessors. The lowest value of the two eyes defined threshold values associated with an unfavorable outcome, as in similar studies [10, 16, 18].

This study used qPLR (%) and NPi to predict outcomes. qPLR corresponds to the percentage of pupillary constriction to a calibrated light stimulus (1000 Lux) from the NPi-200. The NPi, a composite score from 0 to 5, integrates multiple pupillary variables from the device (size, latency, constriction, and dilation velocity), with lower values indicating less reactivity and greater pathology [14, 18, 31, 32]. NPi values  $\geq 3$  are considered normal, while  $< 3$  indicates abnormal reactivity. An NPi value of 0 indicates a non-reactive/absent response.

### Outcome measures

The primary outcome was neurological function defined by Cerebral Performance Category (CPC) score [33, 34] assessed at a follow-up visit no earlier than 90 days post-randomization. We invited patients to the follow-up that included neurological evaluation by CPC (ranging from 1 to 5, increasing with more significant disability; 3 or 4 being severe disability, coma, or vegetative state, and 5 being death), modified Rankin scale (mRS) score [35, 36] (range 0–6, with 0 indicating no symptoms, 1 no clinically significant disability, 2 slight disability, 3 moderate disability, 4 moderately severe disability, 5 severe disability, and 6 death), and Montreal Cognitive Assessment (MOCA) score (range, 0 to 30, with a score of 26 or higher being normal), performed by trained personnel blinded to the pupillometry results [35–37]. A CPC or mRS score of  $\geq 3$  defines an unfavorable neurological outcome.

For non-participating outpatients, we conducted telephone interviews or reviewed medical records, excluding

MOCA scoring. Some visits were delayed due to the coronavirus disease 2019 (COVID-19) pandemic hospital restrictions [24].

Secondary outcomes included death from any cause within 365 days, median 48-h plasma NSE, and follow-up mRS and MOCA. In addition to this, we evaluated the primary outcome, with all extracerebral deaths (no sign of severe brain injury in neuroprognostication and hemodynamic, multi-organ failure, sepsis, or other causes more likely) occurring during initial admission excluded.

### Prognostication and withdrawal of life-sustaining treatment

The protocolized prognostication from the BOX-trial [24, 27] is referenced in the supplementary appendix. Decision on WLST was made no earlier than 72 h after ending sedation, based on criteria including brain death due to cerebral herniation, severe myoclonus status  $\leq 24$  h with bilateral absence of N20-peak on median nerve somatosensory-evoked potentials (SSEP), persisting  $M \leq 3$  with bilateral absence of N20-peak on median nerve SSEP  $< 72$  h, and persisting  $M \leq 3$  with treatment-refractory status epilepticus  $< 72$  h. Prior to prognostication, electroencephalographs (EEGs) were performed on all patients who were persistently comatose 24 h after sedation and analgesics had been tapered. If inconclusive, subsequent EEGs were performed.

Automated pupillometry was used for research only (unutilized by treating physicians or included in clinical neuroprognostication/WLST), as it was only recently recommended in guidelines [5].

### Statistical methods

Categorical variables are expressed as counts (percentage), and continuous variables as mean ( $\pm$  standard deviation [SD]) or median (interquartile range [IQR]). We assessed differences using Chi-square or Fisher's exact test for categorical variables and t tests for continuous variables. We assumed a linear mixed-effects model with an unstructured covariance for repeated measures to analyze mean value differences over time and between primary outcome groups of unfavorable outcomes at follow-up. We incorporated outcome group, time-point, and their interaction term as fixed effects, with random intercepts for each patient. We employed logistic regression models to estimate the performance of quantitative pupillometry in predicting the outcomes of CPC3-5 and mRS3-6 at follow-up after 90 days, and death from any cause within 365 days. The analysis involved evaluating the predictive accuracy using Receiver-Operating Characteristic (ROC) curves and Area Under the Curve (AUC) statistics. Difference in AUCs was tested with the De Long method [38]. Models were adjusted for age,

sex, time-to-ROSC, lactate level at admission, witnessed arrest, NSE, bystander cardiopulmonary resuscitation (CPR), shockable primary rhythm, and randomization site.

We calculated specificity (%), sensitivity (%), FPR (%), positive predictive value (PPV, %), negative predictive value (NPV, %), Youden Index, and area under the curve (AUC) and presented results with 95% confidence intervals (95% CI) when appropriate. The combination of pupillometry and NSE thresholds was performed sequentially with a conditional subsequent retest ( $qPLR < 4$  or  $NPi \leq 2$ ) if the first test was negative ( $NSE \leq 60 \mu\text{g/L}$ ). The overall test was positive if either test was positive. As a sensitivity analysis, we performed interaction analyses and assessed the characteristics of patients with missing quantitative pupillometry data. If at random, we conducted multiple imputations by chained equations.

As per protocol [23], we calculated sample size using the Jones et al.'s method, similar to that of Oddo et al. [10, 39]. Based on a specificity of 95%, a 95% CI of 3%, and a prevalence of unfavorable outcomes of 38%, we needed at least 534 patients. We used R statistical software (version 4.2.2) for all analyses, with a two-sided significance level of 5%.

This trial is registered with ClinicalTrials.gov, NCT03141099.

## Results

### Baseline demographic and characteristics of patients

Of the 789 comatose OHCA survivors in the BOX-trial [24], 71 lacked pupillometry data, and 8 were excluded due to a history of cataract surgery. The final study population included 710 patients (mean age:  $63 \pm 14$  years; 82% males). The mean time-to-ROSC was  $21 \pm 14$  min, 91% had a primary shockable rhythm, 85% had witnessed OHCA, and 89% received bystander CPR (Table 1). Patients with  $qPLR < 4\%$  or  $NPi \leq 2$  at t48 had fewer occurrences of shockable rhythm, longer time-to-ROSC, significantly lower pH, and higher lactate levels on admission (supplementary Table S2). Patient flow and exclusions are summarized in supplementary Fig. S1.

The 71 patients lacking pupillometry were older, had fewer primary shockable rhythms, and instances of bystander CPR. However, there were no differences in outcome data, and multiple imputations yielded prognostic results similar to the complete cases.

### Outcomes

As the 710 (100%) patients at t0 gradually woke up or died, we obtained pupillometry at t24 ( $n = 682$ , 96%), t48 ( $n = 543$ , 76%), and t72 ( $n = 301$ , 42%). After 72 h, 39 (5%) patients had died, and 187 (26%) were still unconscious with  $M \leq 3$ .

At follow-up (median time of 134 days), we estimated unfavorable neurological outcomes with median values (IQR) in 266 (37%) patients with 1 (1–5) for CPC, and in 280 (39%) patients with 1 (0–6) for mRS, similar to other Danish studies [13, 15]. Median MOCA was 27 (24–29), and plasma NSE level at 48 h was 18 (11–37)  $\mu\text{g/L}$ . With a median lifetime of 7 (4–21) days from admission, 257 (35%) patients died within 365 days (extracerebral deaths,  $n = 63$ ). Patients with  $qPLR < 4\%$  or  $NPi \leq 2$  at t48 had significantly higher incidents of primary and secondary outcomes than for thresholds above (Table 2).

### Pupillometry

Values for favorable outcome patients were initially significantly lower, clustering tightly at admission and t24 compared to t48 and t72. Unfavorable outcome patients showed no significant  $NPi$  increase between time points, creating a wider gap to favorable outcome patients later. Even without statistical significance, we observed similar trends for  $qPLR$ . However, median values for both predictors remained significantly lower in patients with unfavorable outcomes at all time points. We have illustrated the distribution of  $qPLR$  and  $NPi$  according to time points by outcome at follow-up in Fig. 1.

Prognostic performance, assessed by AUC under the ROC curve, peaked at t48 for  $qPLR$  (0.81 [0.77–0.85]) and  $NPi$  (0.83 [0.80–0.87]) predicting primary outcome (supplementary Table S3), with consistent findings for secondary outcomes (supplementary Table S4). Adjusted for clinical predictors, the highest AUC occurred at t24 for  $qPLR$  (0.93 [0.91–0.96,  $p < 0.001$ ]) and at t48 for  $NPi$  (0.93 [0.90–0.95,  $p < 0.001$ ]). Both  $qPLR$  and  $NPi$  had similar significant contributions to AUC at all time points in the multivariable model. When excluding extracerebral causes of death, the increased AUC was insignificant in either predictor compared to the total population.

An  $NPi \leq 2$  from t0 to t72 predicted unfavorable neurological outcomes at follow-up and death within 365 days, with 0% (0–0%) FPR. Similarly, a  $qPLR < 4\%$  from t24 to t72 predicted outcomes with a 0% (0–0% to 0–1%) FPR (Table 3). The sensitivity was highest at 26% for  $qPLR$  and 12% for  $NPi$  at t24. Exploring thresholds predicting outcome with maximum sensitivity and 0% FPR,  $qPLR < 3$  (t0),  $< 4$  (t24–t48), and  $< 6$  (t72) showed a corresponding sensitivity of 16–26%. Similar  $NPi < 2.8$  (t0),  $< 2.9$  (t24),  $< 3.3$  (t48), and  $< 3.1$  (t72) resulted in a sensitivity of 10–18% (supplementary Table S5a + b).

With an AUC of 0.81 (0.80–0.82), 48-h plasma NSE  $> 60 \mu\text{g/L}$  predicted the primary outcome with 2% (0–3%) FPR and 39% (33–46%) sensitivity. When combined, pupillometry significantly improved the prognostic performance of NSE (from t0 to t48 for  $qPLR$  and

**Table 1 Demographic and clinical characteristics of the patients**

	All, <i>n</i> = 710	Outcome at follow-up		<i>P</i> value
		Favorable, <i>n</i> = 444	Unfavorable, <i>n</i> = 266	
<b>Demographic characteristics</b>				
Age—years	63 ± 14	60 ± 14	67 ± 12	< 0.001
Male sex— <i>n</i> (%)	580 (82)	372 (84)	208 (78)	0.062
<b>Medical history—<i>n</i> (%)</b>				
Hypertension, medically treated	320 (45)	186 (42)	134 (51)	0.024
Diabetes	93 (13)	46 (10)	47 (18)	0.005
Myocardial infarction	150 (21)	89 (20)	61 (23)	0.332
Atrial fibrillation	117 (17)	57 (13)	60 (23)	< 0.001
Heart failure	126 (18)	60 (14)	66 (25)	< 0.001
Chronic obstructive pulmonary disease	55 (8)	24 (5)	31 (12)	0.002
Stroke	51 (7)	28 (6)	23 (9)	0.241
Chronic kidney disease	33 (5)	14 (3)	19 (7)	0.014
<b>Characteristics of the cardiac arrest—<i>n</i> (%)</b>				
Shockable rhythm	643 (91)	420 (95)	223 (84)	< 0.001
Pulseless electrical activity	28 (4)	7 (2)	21 (8)	< 0.001
Witnessed arrest	604 (85)	386 (87)	218 (82)	0.060
Bystander cardiopulmonary resuscitation	619 (89)	406 (93)	213 (81)	< 0.001
First defibrillation by automated external defibrillator	158 (23)	110 (25)	48 (18)	0.033
Time to return of spontaneous circulation—minutes	21 ± 14	17 ± 11	27 ± 16	< 0.001
<b>Findings and procedures on arrival at hospital</b>				
ST-segment elevation ECG— <i>n</i> (%)	316 (45)	200 (46)	116 (44)	0.643
Coronary angiogram obtained— <i>n</i> (%)	644 (91)	397 (89)	247 (93)	0.126
PCI performed— <i>n</i> (%)	298 (46)	189 (48)	109 (44)	0.358
pH level	7.21 ± 0.13	7.23 ± 0.12	7.18 ± 0.13	< 0.001
Lactate level—mmol/liter	5.8 ± 3.8	5.2 ± 3.7	6.9 ± 3.8	< 0.001

Plus–minus values are means ± SD. Chronic kidney disease was defined as an estimated glomerular filtration rate of less than 30 ml per minute per 1.73 m<sup>2</sup> of body-surface area

*N* number, *ECG* electrocardiogram, *PCI* percutaneous coronary intervention

from *t*<sub>0</sub> to *t*<sub>72</sub> for NP<sub>i</sub>) (Fig. 2). In the multimodal neuroprognostication strategy for comatose patients, with  $M \leq 3$ , at  $\geq 72$  h, NSE > 60 µg/L predicted an unfavorable outcome with 0% (0–0%) FPR and sensitivity of 42% (35–51%). When *t*<sub>48</sub> pupillometry was implemented as the second predictor, it significantly increased sensitivity to 55% (47–63%) for qPLR and 50% (42–58%) for NP<sub>i</sub>. When exploring the performance of other pupillometry thresholds, qPLR < 9% and NP<sub>i</sub> < 3.3 maintained predictions with 0% FPR and improved sensitivity to 70% (62–77%) and 57% (49–65%), respectively.

## Discussion

In this prospective multi-center study of 710 OHCA survivors, we present the largest cohort to investigate the prognostic efficacy of automated quantitative pupillometry.

We successfully validated proposed pupillometry thresholds for predicting unfavorable neurological outcomes at follow-up with 0% FPR. Assessments from admission (NP<sub>i</sub> ≤ 2) and from day 1 (qPLR < 4%) were 100% specific for outcome at all remaining time points. Notably, both parameters remained robust as independent predictors, and thresholds of qPLR < 3 and NP<sub>i</sub> < 2.8 achieved a 0% false-positive rate immediately after admission.

These findings align with the previous studies [10, 13] and the recent ORANGE study [18], an extensive, international, multicentre, prospective, observational study that demonstrated clinically and statistically significant prognostic value of quantitative pupillometry for neurological outcomes and mortality in 514 patients with traumatic brain injury, aneurysmal subarachnoid hemorrhage, and intracerebral hemorrhage. This study emphasizes the importance of NP<sub>i</sub> as a very early predictor, as proposed by Oddo et al. [10].

**Table 2 Primary and secondary outcomes according to t48 pupillometry thresholds**

	qPLR $\geq$ 4%, n = 494	qPLR < 4%, n = 46	P value	NPi > 2, n = 523	NPi $\leq$ 2, n = 20	P value
<b>Primary outcome</b>						
CPC 3–5, unfavorable outcome at follow-up, n (%) <sup>a</sup>	172/494 (35)	45/46 (98)	< 0.001	200/523 (38)	20/20 (100)	< 0.001
Median CPC at follow-up (IQR) <sup>a</sup>	1 (1–5)	5 (5–5)	< 0.001	1 (1–5)	5 (5–5)	< 0.001
CPC 3–5, unfavorable outcome at follow-up (extracerebral deaths excluded), n (%) <sup>a</sup>	136/451 (30)	42/43 (98)	< 0.001	164/480 (34)	17/17 (100)	< 0.001
<b>Secondary outcomes</b>						
Death from any cause within 365 days, n (%)	160/494 (33)	43/46 (93)	< 0.001	186/523 (36)	20/20 (100)	< 0.001
mRS 3–6, unfavorable outcome at follow-up, n (%) <sup>b</sup>	182/494 (37)	45/46 (98)	< 0.001	210/523 (40)	20/20 (100)	< 0.001
Median mRS score at follow-up (IQR) <sup>b</sup>	1 (0–6)	6 (6–6)	< 0.001	1 (0–6)	6 (6–6)	< 0.001
Median MOCA score at follow-up (IQR) <sup>c</sup>	27 (24–29)	NA <sup>d</sup>	NA <sup>d</sup>	27 (24–29)	NA <sup>d</sup>	NA <sup>d</sup>
Median 48-h plasma NSE level (IQR), $\mu$ g/liter	18 (11–34)	56 (34–127)	< 0.001	19 (11–39)	45 (29–70)	0.004

Occurrences of primary and secondary outcomes according to the specific qPLR and NPi thresholds measured at t48. Follow-up was after 90 days according to the detailed description in the methods section

qPLR percentage pupillary constriction in response to a calibrated light stimulus indicated as quantitatively assessed pupillary light reflex, NPi Neurological Pupil index, AUC area under the curve, CPC Cerebral Performance Category, mRS modified Rankin scale score, MOCA Montreal Cognitive Assessment, NSE neuron-specific enolase, IQR Interquartile range

<sup>a</sup> CPC ranges from 1 (no symptoms) to 5 (death); a category of 3 or 4 indicates severe disability or a coma or vegetative state

<sup>b</sup> mRS scores range from 0 to 6, with 0 indicating no symptoms, 1 no clinically significant disability, 2 slight disability, 3 moderate disability, 4 moderately severe disability, 5 severe disability, and 6 death

<sup>c</sup> MOCA scores range from 0 to 30, with a score of 26 or higher being normal. For the score at 3 months (per protocol), the lowest score found in the trial population was assigned to patients unavailable for follow-up, including deceased patients

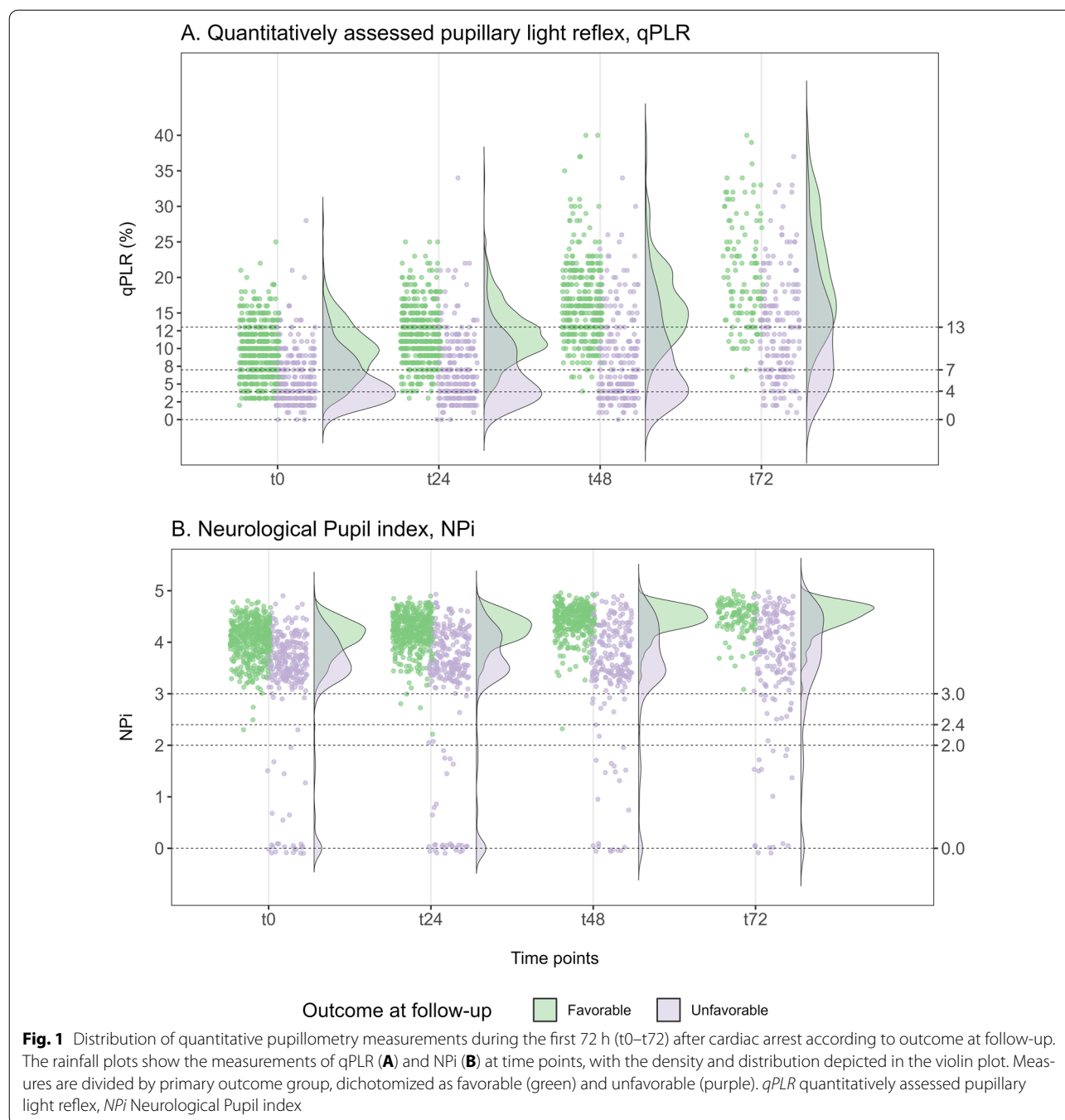
<sup>d</sup> Only one patient with qPLR < 4, and no patients NPi  $\leq$  2, had MOCA score available

Sedatives/analgesics (especially propofol and fentanyl used in this study) minimally affect NPi, whereas deep sedation may decrease qPLR [40, 41]. This may explain NPi's consistent predictability across all time points, with qPLR achieving 100% specificity only after day 1.

A recent systematic review and meta-analysis by Wang et al. [12] proposed thresholds of qPLR  $\leq$  7% and NPi  $\leq$  2 derived from pooled data median values. The NPi threshold was consistent with the validated data from this study, however, both Wang et al. and another recent systematic review [11, 12], identified variability in proposed qPLR thresholds ranging from 0% at 72 h to < 7% and < 13% at 48 h [10, 14, 17]. Studies using a Neurolight® (IDMED, Marseille, France) pupillometer reported qPLR thresholds of < 7–13% but also higher median values, with previous research indicating an approximately 10% variance between the Neurolight and the NPi-200 used in this study [42]. Oddo et al. [10], using an NPi-200, reported median qPLR values similar to this study ( $\pm$  0–1%) but with a even more restricted threshold of qPLR = 0% for predicting outcomes with 0% FPR at day 3. Their population included cardiac and non-cardiac causes for arrest, and patients with favorable outcomes had longer time-to-ROSC and a higher rate of non-shockable primary rhythm compared to the general Danish OHCA population [1, 43]. This could have yielded more false-positive qPLR measurements due to extended “recovery period”

from transient brain stem dysfunction after OHCA [44, 45]. As qPLR can be decreased when influenced by anesthetics [40], a lower qPLR threshold predicting outcome would be expected when pupillometry was performed regardless of sedation [10].

The sensitivity corresponding to the extreme FPR found in this study (NPi 9–12% and qPLR 8–26%) was lower than previously reported [11]. However, exploratory analyses for optimal thresholds showed increased sensitivity (NPi 10–18% and qPLR 16–26%). Slightly lower FPR at 1–2%, increased sensitivity significantly (NPi at 25–46% and qPLR at 41–50%). The lowest sensitivity was observed at t72, possibly influenced by the change in population composition (most patients with true-positive favorable outcomes were awake) and recovery from transient brain stem dysfunction. The ORANGE study confirmed that an abnormal NPi (< 3) is strongly associated with long-term mortality and poor neurological outcomes in acute brain injury patients [18]. While ORANGE included non-anoxic acute brain injury of a more heterogeneous composition, our results confirm the prognostic value of NPi < 3 in hypoxic–ischemic brain injury. At 48–72 h post-OHCA, NPi < 3 predicted unfavorable outcomes with 100% specificity. The pathology of non-anoxic brain injuries warrants repeated measurements for predicting outcomes. Dynamic changes in our



results indicate that this could be beneficial for OHCA survivors as well and should be further investigated.

As pupillometry is still dichotomized in “present” versus “absent,” it was not included in the recent systematic review of predictors of a good outcome by Sandroni et al. [46]. Our prognostic performance results (Table 3) indicate that qPLR/NPi also has the potential to predict favorable outcomes. By accepting specific thresholds in

prognostication guidelines, future studies could confirm this prognostic ability.

The ERC/ESICM neuroprognostication strategy incorporates a multimodal approach with at least two predictors to enhance sensitivity without increasing false predictions. Adding either  $qPLR < 4\%$  or  $NPi \leq 2$  to  $NSE > 60 \mu\text{g/L}$  significantly increased performance (AUC under the ROC curve) and sensitivity to 50% (NPi) and

**Table 3 Prognostic performance of pupillometry thresholds and NSE**

	Sample size, n (%)	FPR, % (95% CI)	Sensitivity, % (95% CI)	PPV, % (95% CI)	NPV, % (95% CI)
qPLR < 4%					
t0	710 (100)	5 (3–7)	31 (25–36)	78 (70–86)	70 (68–71)
t24	677 (95)	0 (0–1)	26 (21–32)	97 (92–100)	71 (69–72)
t48	540 (76)	0 (0–1)	21 (16–26)	98 (93–100)	65 (64–67)
t72	298 (42)	0 (0–0)	8 (4–12)	100 (100–100)	43 (42–44)
NPi ≤ 2					
t0	710 (100)	0 (0–0)	10 (6–13)	100 (100–100)	65 (64–66)
t24	682 (96)	0 (0–0)	12 (8–17)	100 (100–100)	67 (66–67)
t48	543 (76)	0 (0–0)	9 (5–13)	100 (100–100)	62 (61–63)
t72	301 (42)	0 (0–0)	9 (5–13)	100 (100–100)	43 (42–44)
NSE > 60 µg/L					
48-h	625 (88)	2 (0–3)	39 (33–46)	95 (91–100)	70 (67–72)
48-h plasma NSE and pupillometry predicting outcome in unconscious patients, <i>M</i> < 3, at ≥ 72 h					
NSE > 60 µg/L	187 (26)	0 (0–0)	42 (35–51)	100 (100–100)	76 (70–82)
NSE > 60 µg/L + qPLR < 4.0	187 (26)	0 (0–0)	55 (47–63)	100 (100–100)	73 (66–79)
NSE > 60 µg/L + NPi ≤ 2.0	187 (26)	0 (0–0)	50 (42–58)	100 (100–100)	74 (68–81)
48-h plasma NSE and pupillometry predicting outcome in unconscious patients, <i>M</i> < 3, at ≥ 72 h (with extracerebral causes of death excluded)					
NSE > 60 µg/L	172 (24)	0 (0–0)	45 (37–53)	100 (100–100)	76 (69–82)
NSE > 60 µg/L + qPLR < 4.0	172 (24)	0 (0–0)	58 (50–67)	100 (100–100)	72 (65–79)
NSE > 60 µg/L + NPi ≤ 2.0	172 (24)	0 (0–0)	51 (43–60)	100 (100–100)	75 (68–82)

The prognostic probabilities of quantitative pupillometry thresholds, 48-h plasma neuron-specific enolase, and the combination in unconscious patients, *M* < 3, at ≥ 72 h, predicting unfavorable outcomes at follow-up

qPLR quantitatively assessed pupillary light reflex, NPi Neurological Pupil index, *M* Glasgow motor scale, FPR false-positive rate, PPV positive predictive value, NPV negative predictive value

55% (qPLR) while maintaining a 0% (0–0%) FPR. The results of NSE combined with pupillometry at values above the proposed thresholds indicate that when used in a multimodal approach, even more, liberal cut-off values could achieve similar specificity with increased sensitivity.

Combining 48-h NSE and pupillometry with validated thresholds for evaluating persistently comatose OHCA survivors with *M* ≤ 3 at ≥ 72 h provides a highly reliable (specificity) and useful (sensitivity) multimodal neuroprognostication strategy, especially for centers where neurophysiology is challenging to obtain.

### Study limitations

The protocolized aim of this study was to validate and investigate the qPLR and NPi parameters, and therefore, the remaining quantitative parameters (CV, MCV, DV, and LAT) have not been included in this study. Due to the clinical design of the main trial, it was not possible to standardize ambient light conditions, diverge from the use of sedation or vasopressors in standard care, or test measurements in different conditions. Thus,

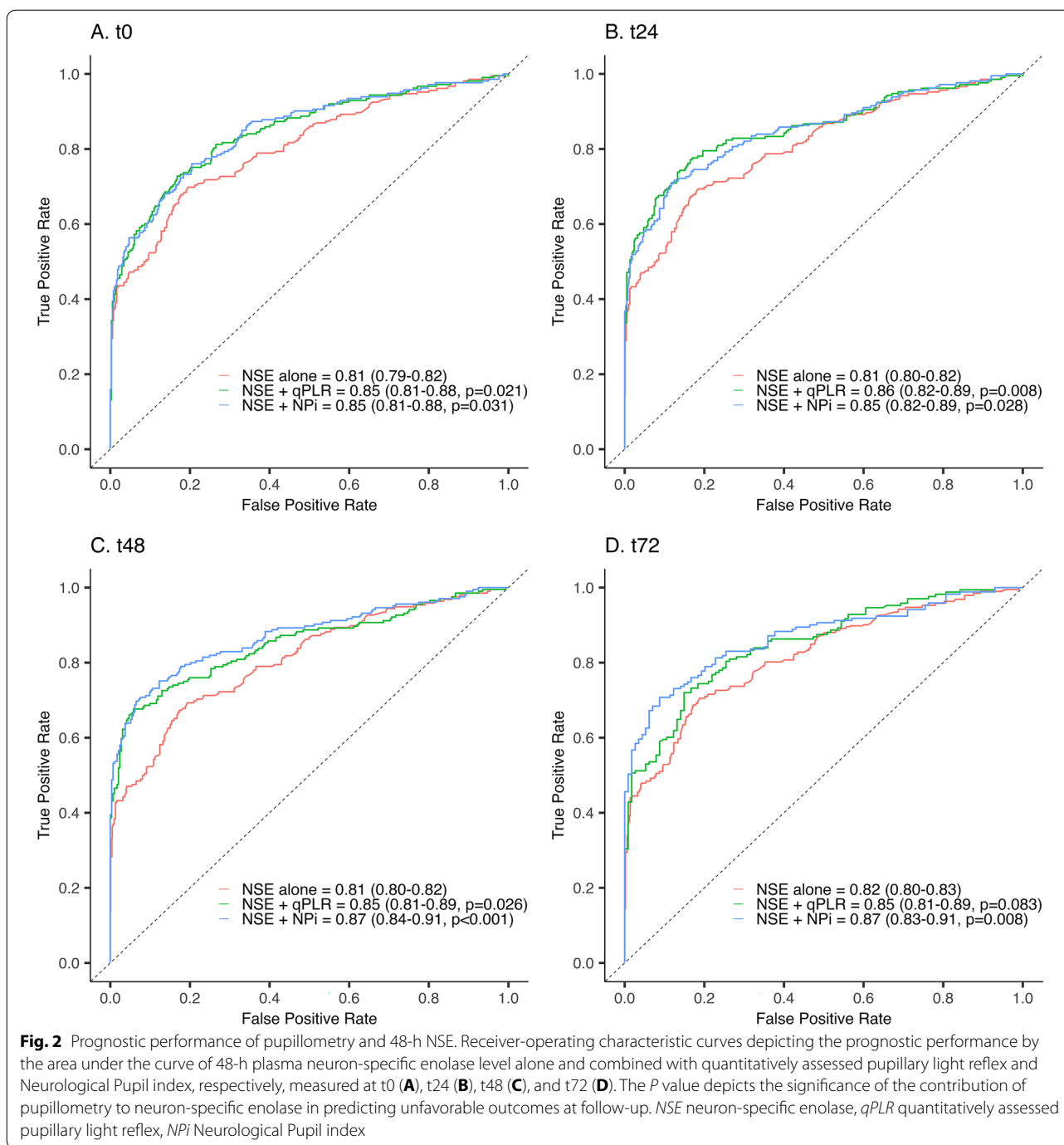
the results of this study reflect the clinical setting for neuroprognostication.

Even though automated quantitative pupillometry was unavailable for personnel involved in outcome assessments and decision-making, clinicians involved in patient care were not blinded to the standard manual pupillometry performed. As there was no set order for the manual and quantitative pupillometry assessments, it is possible that manual measurements could have been recorded by the same personnel also performing automated assessments. Despite this, no formal training was provided for interpreting qPLR and NPi, and WLST decisions were never based on a single predictor.

Some patients were excluded if quantitative pupillometry data were missing. However, there was no difference in baseline characteristics or outcomes between the patients with missing and the present data, and we consider that missingness was at random and thus did not infer the generalizability of results.

The individual components and calculation of the NPi algorithm, developed by NeuroOptics®, have never been fully published. However, the prognostic value of the





index has been thoroughly verified through numerous studies [9, 10, 13, 15, 16, 18].

Though all analyses of the primary and secondary outcomes (Table 3) met the Bonferroni corrected  $\alpha$

( $0.05/7=0.0071$ ), this was not protocolized and thus performed post hoc.

## Conclusion

We successfully validated the robust prognostic value of quantitative pupillometry after OHCA, with specific thresholds of  $qPLR < 4\%$  and the  $NPi \leq 2$  predicting unfavorable neurological outcomes with zero-percent FPR. Combining the thresholds with  $NSE > 60 \mu\text{g/L}$  increased sensitivity without raising false positives, suggesting its potential as a substitute for absent pupil reflex in multimodal neuroprognostication.

## Supplementary Information

The online version contains supplementary material available at <https://doi.org/10.1007/s00134-024-07574-6>.

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## Acknowledgements

First and foremost, the authors extend thanks to the patients who participated in the BOX-trial and their relatives. The authors would like to thank all the staff who participated in the inclusion process and the staff of the intensive care units at both participating sites. In particular, the authors would also like to thank the intensive care unit nursing staff for their overall commitment and meticulous performance of every pupillometry assessment included in this trial. The authors further thank Mie Christa Larsen and Áslaug Karlsdóttir from the Clinical Research Unit, Rigshospitalet; Mette Krefeld Bentzen (Department of Clinical Biochemistry, Copenhagen University Hospital Rigshospitalet) for the technical assistance in analyzing the biobank samples for neuron-specific enolase; Matilde Winther-Jensen and Sebastian Topalian for methodological and statistical guidance; and Jesper Nyvold Larsen for contributing a system for proxy consent that substantially reduced the time to randomization in the trial.

## Author contributions

Benjamin Nyholm: conceptualization, methodology, writing—review & editing, visualization. Johannes Grand: investigation, methodology, review & editing, visualization. Laust E.R. Obling: investigation, methodology, review & editing, visualization. Christian Hassager: conceptualization, methodology, investigation, supervision, review & editing. Jacob E. Møller: investigation, methodology, review & editing, visualization. Henrik Schmidt: investigation, methodology, review & editing, visualization. Marwan Othman: investigation, review & editing, visualization. Daniel Kondziella: investigation, review & editing, visualization. Janneke Horn: investigation, methodology, review & editing, visualization. Jesper Kjaergaard: conceptualization, methodology, resources, investigation, supervision, writing original draft, review & editing.

## Funding

Open access funding provided by National Hospital. The study was supported by funding from Novo Nordisk Foundation (NNF20OC0064043). The funder of the study had no role in study design, data collection, data analysis, data interpretation, or writing of the manuscript.

## Data availability

The data supporting this study's findings are available upon reasonable request to the corresponding author.

## Declarations

### Conflicts of interest

The study is supported by a grant from the Novo Nordisk Foundation (NNF20OC0064043) supporting the salary of BN and JK. JEM received institutional research grants from Abiomed and Novo Nordic Foundation outside submitted work. JG is supported by a research grant from the Danish Cardiovascular Academy, funded by the Novo Nordisk Foundation (NNF20SA0067242) and The Danish Heart Foundation. CH received grants from the Lundbeck Foundation (R186-2015–2132), Novo Nordisk Foundation (NNF20OC0064043), and The Danish Heart Foundation (21-R151-A10091-22200). On behalf of all authors, the corresponding author states that there is no conflict of interest for the remaining authors.

### Ethical approval

Per protocol, permissions were obtained from the Regional Ethics Committee (ID: H-16033436) before initiating the main BOX-trial.

### Consent to participate

The trial was conducted in accordance with the Declaration of Helsinki. Danish law allows for the immediate inclusion of patients in non-drug trials, requiring consent to be obtained as soon as possible after enrollment. Written informed consent was secured from a legal guardian and collected by a departmental doctor at the earliest opportunity following admission to the hospital. Once conscious, consent was obtained from patients through the same medical staff.

### Role of the sponsor

This study is sponsor–investigator-initiated with no funding or involvement from pharmaceutical companies. The sponsor–investigator maintains authority over all aspects of the trial, including design, management, interpretation of results, and publication.

### Name and contact information for the trial sponsor

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Received: 23 March 2024 Accepted: 26 July 2024

Published: 20 August 2024

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