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# Severe hypercapnia and outcome of mechanically ventilated patients with moderate or severe acute respiratory distress syndrome

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## Abstract

**Purpose:** To analyze the relationship between hypercapnia developing within the first 48 h after the start of mechanical ventilation and outcome in patients with acute respiratory distress syndrome (ARDS).

**Patients and methods:** We performed a secondary analysis of three prospective non-interventional cohort studies focusing on ARDS patients from 927 intensive care units (ICUs) in 40 countries. These patients received mechanical ventilation for more than 12 h during 1-month periods in 1998, 2004, and 2010. We used multivariable logistic regression and a propensity score analysis to examine the association between hypercapnia and ICU mortality.

**Main outcomes:** We included 1899 patients with ARDS in this study. The relationship between maximum PaCO<sub>2</sub> in the first 48 h and mortality suggests higher mortality at or above PaCO<sub>2</sub> of  $\geq 50$  mmHg. Patients with severe hypercapnia (PaCO<sub>2</sub>  $\geq 50$  mmHg) had higher complication rates, more organ failures, and worse outcomes. After adjusting for age, SAPS II score, respiratory rate, positive end-expiratory pressure, PaO<sub>2</sub>/FiO<sub>2</sub> ratio, driving pressure, pressure/volume limitation strategy (PLS), corrected minute ventilation, and presence of acidosis, severe hypercapnia was associated with increased risk of ICU mortality [odds ratio (OR) 1.93, 95% confidence interval (CI) 1.32 to 2.81;  $p = 0.001$ ]. In patients with severe hypercapnia matched for all other variables, ventilation with PLS was associated with higher ICU mortality (OR 1.58, CI 95% 1.04–2.41;  $p = 0.032$ ).

**Conclusions:** Severe hypercapnia appears to be independently associated with higher ICU mortality in patients with ARDS.

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**Take-home message:** Severe hypercapnia appears to be independently associated with higher ICU mortality in patients with ARD.

The members of the VENTILA Group are listed in the electronic supplementary material (file ESM-2).

**Trial registration:** Clinicaltrials.gov identifier, NCT01093482.

**Keywords:** Mechanical ventilation, Acute respiratory distress syndrome, Hypercapnia, ICU mortality

## Introduction

Mechanical ventilation (MV) with high tidal volumes has been shown to both cause and worsen lung injury [1–3]. Hickling et al. [4] reported that during MV of acute respiratory distress syndrome (ARDS), limitation of airway pressure to  $<30$  cmH<sub>2</sub>O was associated with lower mortality in patients with acute lung injury. Limitation of tidal volumes and airway pressure is now used as a lung protective strategy during MV in patients with ARDS. However, this strategy can sometimes result in high partial pressure of carbon dioxide in arterial blood (PaCO<sub>2</sub>) levels [5, 6]. In the early 1990s the concept of permissive hypercapnia was proposed for patients with acute lung injury. In the ARDS Network Study comparing low and high tidal volumes [7], patients in the low tidal volume group had only mild changes in PaCO<sub>2</sub> ( $35 \pm 8$  vs.  $40 \pm 10$  mmHg), probably due to higher respiratory rates in that group. Also, in experimental models of sepsis-induced acute lung injury there were reports of the beneficial effects of hypercapnic acidosis [8, 9]. It was even suggested that “therapeutic hypercapnia” might be beneficial [10–12]. However, more recent studies have reported that hypercapnia has harmful effects, including impairment of alveolar epithelial function, cell proliferation, and muscle function as well as of neutrophil function and innate immunity [13–21]. Acute hypercapnia may also have significant hemodynamic consequences and lead to pulmonary hypertension, right ventricular dysfunction, and prolonged bronchopleural leakage [22].

In view of the prevalent paradigm of tolerance of hypercapnia in ARDS patients and recent conflicting reports on the biologic effects of hypercapnia, we sought to evaluate the impact of high PaCO<sub>2</sub> on intensive care unit (ICU) outcomes in a large cohort of ARDS patients subjected to MV.

## Methods

### Patients

We conducted a secondary analysis of data from 18,302 patients admitted to 927 ICUs in 40 countries who were enrolled in one of three international prospective, multicenter, non-interventional, observational studies carried out in 1998, 2004, and 2010 [23–25] and had received MV for  $>12$  h during a 1-month period. The research ethics committee of each participating institution approved the study protocol. For the purpose of this study, we selected patients who received invasive MV for more than 24 h because of ARDS or who developed ARDS

after the first 24 h of MV. ARDS was defined by the criteria established by the American–European Consensus Conference: acute onset, PaO<sub>2</sub>/fraction of inspired oxygen (FiO<sub>2</sub>) of  $<200$  mmHg, bilateral infiltrates on chest radiograph, absence of heart failure, and diagnosis of ARDS by the clinician in charge. We considered these patients to have moderate or severe ARDS according to the Berlin definition.

We collected baseline characteristics, the first arterial blood gas measurement and the corresponding ventilator settings, daily gas exchange, clinical management, and complications while patients were being ventilated or until day 28. If several arterial blood gas measurements were available for the same patient during the first 24 h of MV, we used the worst PaCO<sub>2</sub> value during this period for analysis. Hospital mortality and length of stay were documented. A full description of the methodology has been published previously [25]. Our primary objective was to assess the independent effect of PaCO<sub>2</sub> on ICU mortality rate in patients with ARDS. The secondary objectives were to determine the effects of PaCO<sub>2</sub> on ICU length of stay, hospital length of stay, and complications over the course of MV.

### Statistical analysis

Data are expressed as the mean  $\pm$  standard deviation, the median with the interquartile range, and proportions (absolute and relative frequencies) as appropriate. Student's *t* test or the Mann–Whitney test was used to compare continuous variables, while the  $\chi^2$  test or Fisher's exact test was used to compare proportions. A *p* value of  $<0.05$  was considered to show a statistically significant difference.

Maximum PaCO<sub>2</sub> in the first 48 h after initiation of MV was categorized into six groups ( $<30$ , 30–39, 40–49, 50–59, 60–69, and  $>70$  mmHg); the cutoffs corresponded approximately to the 5th, 35th, 70th, 85th, and 95th percentiles of higher PaCO<sub>2</sub> within 48 h after the diagnosis of ARDS, respectively. The groups with a PaCO<sub>2</sub> of 30–39 and 40–49 mmHg had the lowest ICU mortality. PaCO<sub>2</sub> values associated with significantly increased ICU mortality were defined as “severe hypercapnia”. We also performed recursive partitioning, showing the effects of hypercapnia and hypocapnia (defined as PaCO<sub>2</sub>  $>30$  mmHg) on ICU mortality.

To assess the independent effect of hypercapnia on outcome (ICU mortality), we performed a full univariate analysis of the association between relevant illness severity variables and ICU mortality. A maximum model was

then constructed by performing a predictive multivariate logistic regression model, including all variables selected in the univariate analysis that were measured in 80% of patients and showed a significant statistically association ( $p < 0.10$ ) in the univariate analysis. Thus, the final model was chosen based on the highest area under the receiver operating characteristic curve after backward elimination of non-significant variables. Moreover, some other variables were included in a full multivariate model to explore unmeasured confounders probably related with ICU mortality, such as driving pressure [defined as plateau pressure minus positive end-expiratory pressure (PEEP)] and the use of a pressure/volume limitation strategy (PLS). This was defined by consensus as a tidal volume of  $<8$  ml/kg of actual body weight and a plateau pressure or peak inspiratory pressure of  $<30$  cmH<sub>2</sub>O [24]. Because clinical practices regarding MV strategies in patients diagnosed with ARDS have changed over time, the model was also adjusted for the period of the study. In addition, because dead space is strongly associated with mortality [26] and may be a confounding factor for hypercapnia, we calculated the corrected minute ventilation ( $VE_{\text{corr}}$ ) for a normal PaCO<sub>2</sub> (calculated as minute ventilation  $\times$  actual PaCO<sub>2</sub>/40 mmHg) as a surrogate for dead space [27] and introduced this as a co-variable into the univariate and multivariate model. We created a vertical plot showing the adjusted odds ratio (OR) for ICU mortality and PaCO<sub>2</sub> as categorized.

Interactions between hypercapnia and acidosis, dead space, and PLS, respectively, were tested by logistic regression. We considered a  $p$  value of  $<0.2$  to show statistically significant interaction [Electronic Supplementary Material (ESM) Fig. E2]. The potentially non-linear relationship of the variable was tested by the use of fractional polynomials. Standard errors were calculated by 1000 bootstrap resampling as a validation analysis.

As a sensitivity analysis and to explore the effect of severe hypercapnia under the most unfavorable clinical conditions, which are present in those patients subjected to protective ventilation where the development of hypercapnia may be a severity marker with a contradictory effect on ICU mortality and therefore may serve as a confounder variable, we used logistic regression to develop a propensity score model in order to estimate the relationships between severe hypercapnia and ICU mortality in patients ventilated with  $<8$  ml/kg. The log odds of the probability that a patient had severe hypercapnia (the logit) were modeled as a function of the confounders by logistic regression that we identified and included in our data set. We then performed a one-to-one matched analysis without replacement on the basis of the estimated propensity score (likelihood of developing severe hypercapnia) of each patient. A nearest-neighbor-matching

algorithm was used to match patients on the basis of their hypercapnia status (present or absent) and the logit of their propensity score, with matching occurring if the difference in the propensity scores was  $<0.2$ -fold the standard deviation of the logit (the caliper width). We selected 0.2 because this value has been shown to eliminate approximately 90% of the bias in observed confounders [28]. We estimated standardized differences for all covariates before and after matching, with a standardized difference of  $\geq 10\%$  considered to be indicative of imbalance [29]. All subsequent analyses were performed in the matched sample, using methods appropriate for the analysis of matched data to estimate the average treatment effect as the difference in outcome (ICU mortality) among the exposed group (severe hypercapnia) and the matched unexposed cohort (without severe hypercapnia) [30]. We calculated bootstrapped standard errors to generate 95% confidence intervals (CI). After matching, variables showing an unbalanced result (defined as a standardized difference of  $>10\%$ ) were introduced into the propensity score as non-linear terms. If these variables kept a standardized difference of  $>10\%$ , a generalized estimating equation model was fitted adding them.

Statistical analyses were performed using IBM SPSS software (ver. 21.0; IBM Corp., Armonk, NY) and Stata software (ver. 13.1; StataCorp LP, College Station, TX).

## Results

Overall, 1899 patients with ARDS were included in the analysis. Figure 1 shows the study flow chart.

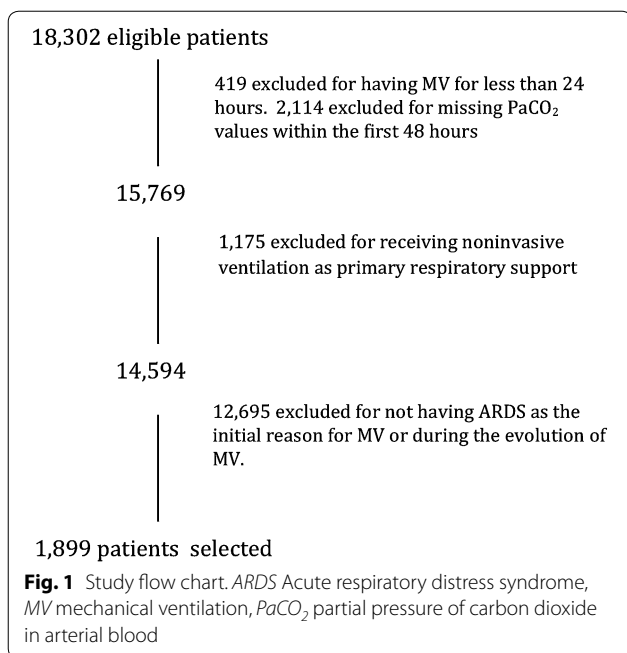
### PaCO<sub>2</sub> values within the first 48 h of MV and ICU mortality

Mortality was significantly higher in patients with a maximum PaCO<sub>2</sub> of  $\geq 50$  mmHg during the first 48 h of MV than in patients with a maximum PaCO<sub>2</sub> of  $<50$  mmHg (Table 1; ESM Fig. E1). In order to verify this result, we performed logistic regression with data adjusted by age, Simplified Acute Physiology Score (SAPS) II score at ICU admission, PaO<sub>2</sub>/FiO<sub>2</sub> ratio, PEEP, respiratory rate, acidosis, the use of a PLS during the first 48 h after starting MV, and the period of the study, using a PaCO<sub>2</sub> of 40–49 mmHg as the reference category. This analysis confirmed significantly higher ICU mortality with higher maximum PaCO<sub>2</sub> values (Fig. 2).

We thus defined “severe hypercapnia” as a PaCO<sub>2</sub> of  $\geq 50$  mmHg. Overall, ICU mortality for the patients included in the analysis was 53% (999/1899).

### Clinical characteristics of patients with severe hypercapnia

Patients with severe hypercapnia were more likely to be male. Severe hypercapnia was significantly more frequent in patients with ARDS during the last two time periods (1998 vs. 2004,  $p < 0.01$ ; 1998 vs. 2010,  $p < 0.001$ ) and



**Table 1 Univariate analysis of the relationship between partial pressure of carbon dioxide in arterial blood and mortality in the intensive care unit**

PaCO <sub>2</sub> (mmHg)	N = 1899 patients	Odds ratio (95% CI)	p value
≤30	144 (7.6)	1.77 (1.22–2.56)	0.002
30–39.9	732 (38.5)	1.07 (0.86–1.32)	0.522
40–49.9	635 (12.2)	1.0	–
50–59.9	232 (12.2)	1.69 (1.25–2.30)	0.001
60–69.9	87 (4.6)	1.79 (1.13–2.84)	0.013
>70	69 (3.6)	2.88 (1.66–5.00)	<0.001

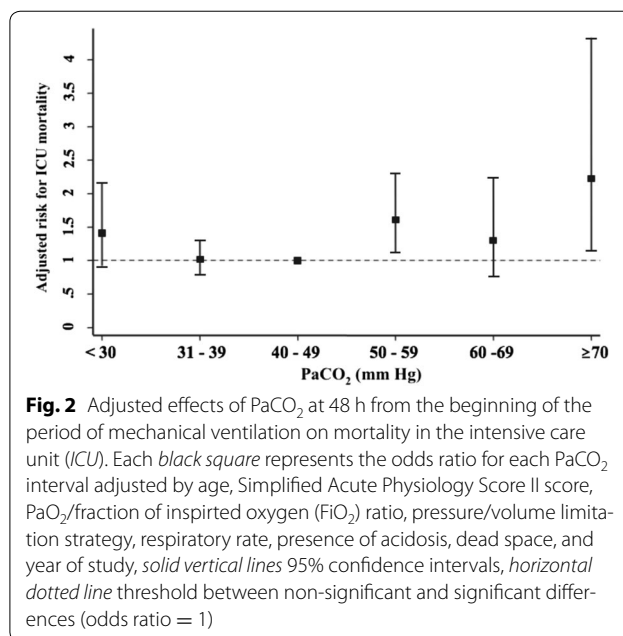
Values are presented as the number with the percentage in parenthesis unless indicated otherwise

PaCO<sub>2</sub> partial pressure of carbon dioxide in arterial blood, CI confidence interval

higher in the last period (2004 vs. 2010,  $p < 0.01$ ). The PaO<sub>2</sub>/FiO<sub>2</sub> ratio was significantly lower in patients with severe hypercapnia, and peak airway pressure, plateau airway pressure, and PEEP were higher in patients with severe hypercapnia (Table 2).

#### Clinical events over the course of MV and outcomes

Patients with severe hypercapnia had more complications and more organ dysfunctions over the course of MV than those without severe hypercapnia, including barotrauma, renal dysfunction, and cardiovascular dysfunction (Table 3). The univariate analysis of the association between relevant illness severity variables and clinical outcome (status at ICU discharge) showed that PaCO<sub>2</sub>



values were statistically significantly higher in non-survivors than in survivors (ESM Table E1).

Patients with and without severe hypercapnia had similar durations of MV and similar lengths of ICU stay (Table 3). Crude ICU mortality was significantly higher in patients with severe hypercapnia than in those without severe hypercapnia (62.5 vs. 49.6%).

#### Relationship between hypercapnia and ICU mortality

In univariate analysis, severe hypercapnia was associated with an increased risk of ICU mortality (OR 1.68, 95% CI 1.35–2.10;  $p \leq 0.001$ ). After adjustment for baseline variables, including age, SAPS II at ICU admission, VE<sub>corr</sub>, use of PLS, presence of acidosis, driving pressure, PaO<sub>2</sub>/FiO<sub>2</sub> ratio, and study period, the presence of severe hypercapnia remained independently associated with a higher risk for ICU mortality (OR 1.93, 95% CI 1.32–2.81;  $p = 0.001$ ) (Table 4). The effect was consistent taking the PaCO<sub>2</sub> as a continuous variable and adjusting for the same co-variables as above (Fig. 2). Importantly, acidosis or the combination of hypercapnia and acidosis independently increased the risk of ICU mortality, although no statistically significant interaction between these two factors was identified ( $p = 0.28$ ; ESM Fig. E2). We found no significant interaction with PLS or VE<sub>corr</sub> ( $p = 0.28$  and  $p = 0.64$ , respectively). Based on the effect of acidosis on severe hypercapnia and ICU mortality, we finally developed an adjusted binomial logistic model including all previous variables (age, SAPS II at ICU admission, PEEP, VE<sub>corr</sub>, LPS ventilatory strategy, driving pressure, respiratory rate, PaO<sub>2</sub>/FiO<sub>2</sub> ratio, hematological

**Table 2 Comparison between patients with and without severe hypercapnia and patients with no hypercapnia during the first 2 days of mechanical ventilation**

Basal characteristics	Severe hypercapnia (PaCO <sub>2</sub> ≥ 50 mmHg) (N = 432 patients)	No severe hypercapnia (PaCO <sub>2</sub> < 50 mmHg) (N = 1467 patients)	p value
Age (years)	56.3 (17.1)	57.0 (17.3)	0.282
Male sex [n (%)]	298 (69.1%)	899 (61.4%)	0.004
SAPS II (points)	49.5 (19.2)	48.1 (17.8)	0.319
Year of study [n (% period)]			
1998	89 (20.6%)	387 (26.4%)	
2004	139 (32.2%)	499 (34.0%)	0.477
2010	204 (47.2%)	581 (39.6%)	0.005
Arterial blood gases on day 1			
pH	7.31 (0.11)	7.37 (0.09)	<0.001
PaCO <sub>2</sub> (mmHg)	60.6 (11.5)	38.7 (6.1)	<0.001
PaO <sub>2</sub> /FiO <sub>2</sub> ratio	141.3 (75.4)	185.4 (92.8)	<0.001
Ventilatory settings on day 1			
Respiratory rate	21.3 (7.0)	18.8 (5.9)	<0.001
Tidal volume (ml/kg ABW) [n (%)]			
<6	137 (33.8%)	268 (19.2%)	<0.001
6–8	234 (57.8%)	960 (68.7%)	<0.001
>8	34 (8.4%)	169 (12.1%)	<0.01
PEEP (cmH <sub>2</sub> O)	9.4 (4.6)	7.6 (4.4)	<0.001
Peak airway pressure (cmH <sub>2</sub> O)	32.9 (8.5)	29.7 (7.8)	<0.001
Plateau airway pressure (cmH <sub>2</sub> O)	26.3 (6.6)	23.5 (6.3)	<0.001
Driving pressure (cmH <sub>2</sub> O)	16.3 (6.6)	15.8 (6.6)	0.233
Pressure/volume limitation strategy [n (%)]	115 (30.1%)	266 (69.8%)	<0.001
VE <sub>corr</sub> (l/min)	14.2 (5.9)	9.2 (3.3)	<0.001

Values in table are presented as the mean with the standard deviation (SD) in parenthesis, unless indicated otherwise

ABW Actual body weight, FiO<sub>2</sub> fraction of inspired oxygen PEEP positive end-expiratory pressure SAPS Simplified Acute Physiology Score, VE<sub>corr</sub> corrected minute ventilation

**Table 3 Descriptive analysis of the complications and clinical outcomes of patients over the course of the period of mechanical ventilation**

Complications	Severe hypercapnia (PaCO <sub>2</sub> ≥ 50 mmHg) (N = 432 patients)	No severe hypercapnia (PaCO <sub>2</sub> < 50 mmHg) (N = 1467 patients)	p value
Barotrauma	47 (10.9%)	91 (6.6%)	0.001
Sepsis	247 (57.2%)	809 (55.1%)	0.456
Ventilator-associated pneumonia	116 (26.9%)	384 (26.2%)	0.779
Cardiovascular failure	321 (74.3%)	964 (65.7%)	0.001
Renal failure	151 (35.0%)	421 (28.7%)	0.013
Hepatic failure	62 (14.4%)	212 (14.5%)	0.959
Hematological failure	117 (27.1%)	403 (27.5%)	0.874
Duration of MV (days)	8 (4, 15)	8 (4, 14)	0.405
Length of ICU stay (days)	12 (6, 21)	12 (6, 21)	0.548
Length of hospital stay (days)	19 (9, 35)	20 (11, 35)	0.366
ICU mortality	270 (62.5%)	729 (49.6%)	<0.001

Values in table are presented as the number of patients with the percentage in parenthesis or as the median with the interquartile range (p25, p75) in parenthesis, as appropriate

**Table 4 Multivariate analysis to evaluate the adjusted effect of hypercapnia on mortality in the intensive care unit**

Severe hypercapnia	Mortality in the intensive care unit			p value
	N	Odds ratio	95% CI	
Crude	1899	1.68	1.35–2.10	0.000
Adjusted	1137	2.40	1.67–3.46	0.000
After matching	342	1.58	1.04–2.41	0.032

failure, and study period). We omitted acidosis in order to avoid overadjustment because an interaction remained between acidosis and hypercapnia. The model indicated that severe hypercapnia was markedly and independently associated with a higher risk of ICU mortality (OR 2.40, 95% CI 1.67–3.46;  $p < 0.001$ ) (ESM Tables E2 and E3).

After propensity-score matching (see ESM Table E4 and ESM Fig. E3 for the quality of the matching process), there was a significant difference in the effect of severe hypercapnia on ICU mortality between patients receiving tidal volumes of  $<8$  ml/kg ( $n = 344$ ) and those receiving tidal volumes of  $>8$  ml/kg (risk-adjusted ICU mortality: OR 1.58, 95% CI 1.04–2.41;  $p = 0.032$ ).

## Discussion

After multiple adjustments and propensity analysis, we found that severe hypercapnia was independently associated with ICU mortality, with higher rates of organ dysfunction and more complications during MV in patients with moderate or severe ARDS. These findings may have clinical implications. The association between hypercapnia and complications during MV in unadjusted analyses may be a function of worse lung injury, but after multivariate adjustment hypercapnia was still associated with ICU mortality in ARDS patients.

The use of permissive hypercapnia is based on observational reports in the 1990s suggesting that lower tidal volumes during MV were associated with better survival. However, no independent studies of the specific effects of hypercapnia on outcome were performed [4, 5]. In a subsequent study of 49 preterm infants, there was no difference in mortality between patients with hypercapnia and those with normocapnia [30], leading to the conclusion that permissive hypercapnia was safe but not protective. In a secondary analysis of data from the ARDS Network Study [31], the authors reported that the presence of hypercapnic acidosis (defined as pH of  $<7.35$  and PaCO<sub>2</sub> of  $>45$  mmHg) at the time of randomization, based on multivariate logistic regression analysis and controlling for other comorbidities and severity of lung injury, was associated with lower 28-day mortality only in patients

randomized to a tidal volume of 12 ml/kg. The authors found no effect on mortality among patients randomized to 6 ml/kg tidal volume. The study was limited by the small number of patients included in each subgroup and showed no difference in the patients with “protective ventilation,” where hypercapnia was expected to occur.

In our study, patients receiving lung-protective ventilation with a tidal volume of  $\leq 6$  ml/kg actual body weight had a high incidence of severe hypercapnia (Table 2) due to the lower tidal volume and subsequent reduction in alveolar ventilation. High ventilation/perfusion (V/Q) areas and higher dead space could also increase the level of hypercapnia due to more severe lung injury (Table 2). A correlation between high dead space and mortality has been found in patients with ARDS [26]. Therefore, in our analysis, we used the “corrected minute ventilation” (VE<sub>corr</sub>) as a surrogate for dead space [27] and found that even after adjusting for VE<sub>corr</sub>, severe hypercapnia remains strongly associated with mortality. Moreover, Brown et al. [32] showed that a minute ventilation of  $>13.9$  l/min was an important predictor of hospital mortality at 90 days in acute lung injury, similar to our findings ( $>14$  l/min).

Initial studies in animal models of ventilator-induced lung injury and sepsis reported beneficial effects of hypercapnia, which supported the notion of “permissive” hypercapnia for patients with lung injury [8, 9]. More recent studies have reported that high levels of PaCO<sub>2</sub> activate specific signaling pathways, independently of pH or reactive oxygen species, leading to impaired lung function [19, 33, 34]. Furthermore, in a model of ventilator-induced lung injury the probability of wound repair was significantly reduced in hypercapnic conditions [9]. This concept was further explored in a study where hypercapnia caused mitochondrial stress via the activation of microRNA (miR)-183, which by downregulating isocitrate dehydrogenase 2 had a detrimental effect on cell proliferation, which could explain impaired wound repair [18, 19]. It has also been reported that hypercapnia impairs innate immunity in *Drosophila melanogaster* [16] by decreasing the expression of antimicrobial peptides, and hypercapnia was observed to increase mortality in a mouse model of *Pseudomonas aeruginosa* pneumonia [20]. In addition, elevated CO<sub>2</sub> levels have been found to contribute to increased bacterial and fungal virulence, which may render hypercapnic tissues more susceptible to infection [35–38]. These and other recently reported data suggest that hypercapnia impairs innate immunity via evolutionarily conserved mechanisms [17] and explain why patients exposed to hypercapnia have an impaired ability to fight infection. Furthermore, hypercapnia has hemodynamic consequences, increasing pulmonary hypertension and worsening right ventricular

function [38]; these effects are associated with worse outcomes in patients with acute lung injury [38–41].

The relationship between PaCO<sub>2</sub> and ICU mortality describes a U-shaped curve (Fig. 2). Higher mortality at low PaCO<sub>2</sub> may suggest relative hyperventilation and prevalence of lung regions with a high V/Q value and potentially ventilator-induced lung injury. Recent data show that hypocapnia is associated with worse outcome in patients with pneumonia [36, 33], although no data from ARDS patients are available.

Our study provides new information showing that hypercapnia appears to be independently associated with worse outcomes in patients with ARDS. This finding raises the question of whether the previously proposed paradigm that hypercapnia has a protective effect in patients with lung injury is safe, at least above a certain range.

Our study is a multinational survey that reflects MV practice worldwide in a real-life setting. Moreover, the prospective non-interventional design reveals, for the first time, an independent association between severe hypercapnia and ICU mortality of mechanically ventilated ARDS patients from ICUs in 40 countries. However, the study also has a number of limitations. First, the data derive from an observational study that was not primarily designed to test a specific hypothesis. Only 1899 of a possible total of 18,302 patients were analyzed (only recognized ARDS patients), and the numbers of patients in the hypercapnia categories were quite small. Furthermore, arterial blood gases and patient–ventilator interaction parameters were documented once daily, and a single measurement each day may have been insufficient to reflect the full respiratory progression throughout the day. However, data collection was always performed at the same time of day. Many patients had to be excluded from the analysis because arterial blood gas data were missing (11.5%; 2114/18,302 patients recruited). Another limitation is the absence of hemodynamic assessment. Finally, the causal inferences that can be drawn from observational studies are necessarily limited. Despite adjustment for oxygenation and use of a surrogate for dead space, unmeasured confounders could influence the relationship between hypercapnia and ICU mortality. By matching patients that were ventilated with tidal volumes of <8 ml/kg, we performed propensity-score matching as a sensitivity analysis, and the effect of severe hypercapnia was consistent. We explored interactions between PLS strategy and dead space, and ARDS patients with hypercapnia still showed higher ICU mortality. We have detected a consistent effect of severe hypercapnia after dismissing known confounders, such as the presence of acidosis or a protective ventilation strategy. Also, an independent deleterious effect of acidosis on ICU mortality

was observed, although the independent effect of severe hypercapnia remained even in those ARDS patients who developed acidosis. Therefore, the adjusted risk of ICU mortality in ARDS patients with severe hypercapnia did not include the presence of acidosis in order to avoid over-adjustment [34].

In summary, the results in these cohorts of mechanically ventilated patients with ARDS suggest that severe hypercapnia within the first 48 h of MV is independently associated with higher ICU mortality. Our data also show that these patients have more ventilator-related complications. Despite our efforts to adjust for the factors involved in the causal chain between severe hypercapnia and ICU mortality, we cannot rule out the presence of unmeasured confounders, arising from the observational and non-interventional study design that may interfere with the results. Indeed, the findings have to be interpreted as a possible biological signal that requires confirmation by clinical trials specifically designed for this purpose. Overall, the data reported here may serve as a first step towards defining possible limits for hypercapnia. In the absence of strong evidence, our findings may provide some guidance for reasonable limits of PaCO<sub>2</sub> for ARDS patients in the ICU and also for potential reassessment of the previous assumption that severe hypercapnia is safe.

#### Electronic supplementary material

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