



Mortality and pulmonary mechanics in relation to respiratory system and transpulmonary driving pressures in ARDS

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Abstract

Purpose: The driving pressure of the respiratory system has been shown to strongly correlate with mortality in a recent large retrospective ARDSnet study. Respiratory system driving pressure [plateau pressure—positive end-expiratory pressure (PEEP)] does not account for variable chest wall compliance. Esophageal manometry can be utilized to determine transpulmonary driving pressure. We have examined the relationships between respiratory system and transpulmonary driving pressure, pulmonary mechanics and 28-day mortality.

Methods: Fifty-six patients from a previous study were analyzed to compare PEEP titration to maintain positive transpulmonary end-expiratory pressure to a control protocol. Respiratory system and transpulmonary driving pressures and pulmonary mechanics were examined at baseline, 5 min and 24 h. Analysis of variance and linear regression were used to compare 28 day survivors versus non-survivors and the intervention group versus the control group, respectively.

Results: At baseline and 5 min there was no difference in respiratory system or transpulmonary driving pressure. By 24 h, survivors had lower respiratory system and transpulmonary driving pressures. Similarly, by 24 h the intervention group had lower transpulmonary driving pressure. This decrease was explained by improved elastance and increased PEEP.

Conclusions: The results suggest that utilizing PEEP titration to target positive transpulmonary pressure via esophageal manometry causes both improved elastance and driving pressures. Treatment strategies leading to decreased respiratory system and transpulmonary driving pressure at 24 h may be associated with improved 28 day mortality. Studies to clarify the role of respiratory system and transpulmonary driving pressures as a prognosticator and bedside ventilator target are warranted.

Keywords: ARDS, Driving pressure, Esophageal manometry, Transpulmonary driving pressure, Respiratory system driving pressure, Mortality

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Take-home message: Strategies titrating PEEP to target positive transpulmonary pressure in ARDS result in lower respiratory system and transpulmonary driving pressure secondary to improved elastance. Decreased respiratory system and transpulmonary driving pressures at 24 h were associated with improved 28 day mortality, and changes in driving pressure with interventions were not seen immediately.

Introduction

Acute respiratory distress syndrome (ARDS) [1, 2] carries a high morbidity and mortality and remains a very common clinical problem [3, 4]. The backbone of current treatment is the use of “lung protective” ventilation, i.e. limiting tidal volumes (V_T) and keeping end-inspiratory plateau pressures low while maintaining sufficiently high positive end-expiratory pressure (PEEP) [5–9]. Lung protective ventilation strategies are thought to reduce

mechanical stress by maintaining alveolar aeration (limiting repetitive opening and closing) while preventing overexpansion of the lung, thereby decreasing ventilator-induced lung injury [10–12]. These strategies have been shown to reduce mortality, demonstrating the importance of respiratory mechanics in determining outcomes in patients with ARDS [5–8].

Results from a recent study using data from nine randomized trials suggest that the driving pressure (DP) of the respiratory system (DP_{RS}), which is easily measured at the bedside ($DP_{RS} = \text{plateau pressure} - \text{PEEP}$), may be a superior marker for the severity of lung injury, providing improved prognostication and correlation with mortality [13]. The authors of this study reported that higher DP_{RS} correlated with increased mortality even in patients already receiving low-volume lung protective ventilation [13]; however, they did not account for the effects of the chest wall in their analysis [14]. This latter parameter can be obtained using the transpulmonary DP ($DP_L = \text{end-inspiratory transpulmonary pressure} - \text{end-expiratory transpulmonary pressure}$), which is the pressure actually applied to the lungs. Using DP_L for monitoring and prognostication of ARDS eliminates the variable effects of the chest wall on the respiratory system. In addition, because chest wall compliance and pleural pressure vary widely between patients [15], measuring DP_L instead of DP_{RS} may be the more appropriate measure.

Esophageal manometry provides a useful estimate of pleural pressure and can be used to determine separate contributions of lung and chest wall in determining respiratory system mechanics [15–17]. In the EPVent trial, our group tested the use of esophageal manometry for managing mechanical ventilation in patients with ARDS [15]. We found that maintenance of positive transpulmonary pressures resulted in significantly higher PEEP, improved oxygenation (P/F ratio) and improved respiratory system compliance [15]. Maintenance of positive transpulmonary pressures is in accordance with the concept of personalization and “a la carte” ventilatory management in ARDS [18]. In the study reported here, we used esophageal pressure measurements from EPVent to follow changes in DP_{RS} and DP_L over time in the two treatment groups.

Methods

Study cohort

The EPVent study was approved by the institutional review board at the Beth Israel Deaconess Medical Center in Boston, and written consent was obtained from each patient or surrogate [15]. Patients were included in the study if they had acute lung injury or ARDS as defined by the American–European Consensus Conference [2]. Of

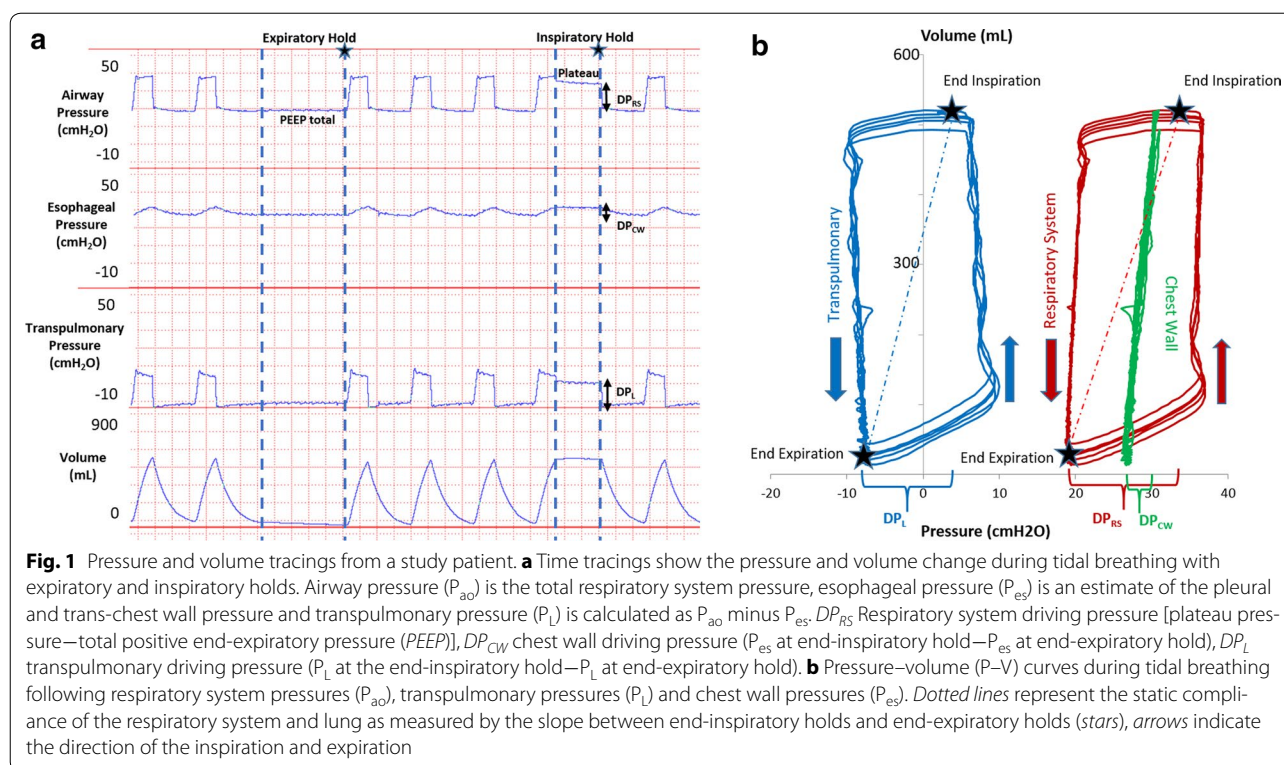
the original 61 subjects, 56 had sufficient data at baseline and 24 h to calculate DP_{RS} and DP_L for further analysis. Of the five patients excluded, three were from the intervention group (1 in the 3 died), and two were from the control group (both died). The full results of the EPVent trial have been published elsewhere [15].

Physiologic measurements

Subjects were monitored while supine with the head of the bed elevated to 30°. An esophageal balloon-catheter was placed, and measurements were obtained to estimate intrathoracic pressures. Airway pressure, tidal volume and air flow were recorded during tidal ventilation and during end-expiratory holds and end-inspiratory holds (plateau) (Fig. 1). Between baseline and 5 min, every patient underwent a recruitment maneuver with an airway pressure increase to 40 cmH₂O for 30 s and V_T set at 6 cc/kg ideal body weight. Patients in the intervention group had PEEP levels adjusted to achieve a positive transpulmonary pressure of 0–10 cm H₂O at end-expiration according to a sliding scale based on the fraction of inspired oxygen (see Fig. 1 in EPVent [15]). The control group had PEEP titrated as per the standard low PEEP ARDSnet tables [5]. Measured variables included total PEEP (measured at end-expiratory hold), plateau pressure, end-expiratory esophageal pressure, end-inspiratory esophageal pressure and V_T ; all other variables in our study were calculated from these values (Fig. 1). Transpulmonary pressure was calculated as airway pressure minus esophageal pressure (a surrogate for intrathoracic pressure) (Fig. 1). DP_{RS} was calculated as the plateau pressure minus total PEEP (Fig. 1). DP_L was calculated from the transpulmonary pressures at the same times (Fig. 1). Elastance of the respiratory system (E_{RS}) was calculated as the change in airway pressure from end-expiratory hold to plateau divided by V_T , and lung elastance (E_L) was calculated as the change in transpulmonary pressure at the same times. The 28 day mortality was also recorded. Data were analyzed at baseline, at 5 min (after the recruitment maneuver and adjustment of tidal volume and PEEP to protocol settings) and at 24 h.

Statistical analysis

Continuous variables with a normal distribution were analyzed via analysis of variance (ANOVA) and linear regression. We compared DP_{RS} and DP_L between 28 day survivors and 28 day non-survivors, and compared DP_{RS} , DP_L , E_{RS} , E_L and PEEP between the control and intervention groups at baseline, 5 min and 24 h. Changes in these variables (DP_{RS} , DP_L , etc.) over time between the control and intervention groups and between survivors and non-survivors were assessed by ANOVA repeated measures analysis with the interaction terms (time × physiological



measurement) added into the analysis. We used LOW-ESS (locally weighted scatterplot smoothing) to compare individual values of DP_{RS} and DP_L in order to determine if DP_{RS} is predictive of DP_L in a given patient and if variation of ΔDP_{RS} resulting from a change in PEEP setting is predictive of the variation of ΔDP_L . Dichotomous and nominal variables were compared using chi-square analysis with Fisher's exact test.

Results

Data from 29 patients in the control group and 27 patients in the intervention group were analyzed. The cohorts were well matched by age, sex, race, Acute Physiology and Chronic Health Evaluation (APACHE) II score at admission, primary physiologic injury, baseline organ failure, gas exchange (pH, PaO_2 , pCO_2), lactate and hemodynamics (Table 1). There were 42 survivors and 14 non-survivors at 28 days. Compared with non-survivors, survivors had a significantly lower APACHE II score (24.7 vs. 31.5; $p < 0.0001$), higher pH (7.35 vs. 7.27, $p < 0.001$), lower lactate level (2.1 vs. 5.8, $p < 0.0001$), but they were otherwise similar in race, gender, age, heart rate and blood pressure at baseline.

To evaluate the correlation between driving pressure and survival we compared 28 day survivors and non-survivors. There was no difference between these groups in baseline DP_{RS} (13.6 vs. 15.5 cmH_2O ; $p = 0.08$), baseline

DP_L (10.1 vs. 10.4 cmH_2O ; $p = 0.75$), 5 min DP_{RS} (12.3 vs. 14.7 cmH_2O ; $p = 0.054$) or 5 min DP_L (8.5 vs. 10.6 cmH_2O ; $p = 0.09$), although mean DP_L and DP_{RS} were higher in non-survivors at all time points (Fig. 2a, b). At 24 h, survivors had a significantly lower DP_{RS} (10.5 vs. 14.7 cmH_2O ; $p < 0.0001$) and DP_L (7.8 vs. 10.1 cmH_2O ; $p = 0.03$) (Fig. 2a, b). From baseline to 24 h, survivors showed a significant decrease in both DP_{RS} ($\Delta DP_{RS} -3.29$ vs. -0.81 cmH_2O ; $p = 0.03$) and DP_L ($\Delta DP_L -2.3$ vs. -0.3 cmH_2O ; $p = 0.04$) compared with non-survivors. Similarly, E_{RS} and E_L were lower at baseline in survivors (E_{RS} 28.1 vs. 35.3 cmH_2O/L , $p = 0.02$; E_L 21.1 vs. 24.3 cmH_2O/L , $p = 0.3$) and decreased over 24 h (E_{RS} 25.2 vs. 34.6 cmH_2O/L , $p = 0.001$; E_L 18.6 vs 24.4 cmH_2O/L , $p = 0.05$). In both survivors and non-survivors there was no interaction with time (DP_{RS} , $p = 0.12$; DP_L , $p = 0.59$). Notably, ten of 29 (34.5 %) patients in the control group and four of 27 (14.8 %) patients in the intervention group died by 28 days ($p = 0.085$).

To evaluate the effects of PEEP adjustment targeting positive transpulmonary pressure on changes in driving pressure, we compared the control and intervention groups. There was no difference between groups in baseline DP_{RS} (14.0 vs. 14.1 cmH_2O ; $p = 0.97$), baseline DP_L (10.1 vs. 10.3 cmH_2O ; $p = 0.80$), 5 min DP_{RS} (12.2 vs. 13.6 cmH_2O ; $p = 0.22$) or 5 min DP_L (8.5 vs. 9.5 cmH_2O ; $p = 0.35$). At 24 h there was no difference

Table 1 Baseline group characteristics

Characteristics	Control (n = 29)	Intervention (n = 27)	p value
Male sex	15 (52)	18 (67)	0.29
Age (years)	52 ± 23	54 ± 17	0.68
White race	25 (86)	23 (85)	0.64
Predicted body weight (kg)	62 ± 11	68 ± 9	0.053
APACHE II score	27 ± 7	27 ± 7	0.89
Primary physiologic injury			0.72
Pulmonary	5 (17)	5 (19)	
Abdominal	11 (38)	13 (48)	
Trauma	9 (31)	6 (22)	
Sepsis	1 (3)	2 (7)	
Other	3 (10)	1 (4)	
Organ failure at baseline			
Cardiac	10 (35)	8 (30)	0.70
Renal	14 (48)	17 (63)	0.27
Neurologic	11 (38)	11 (41)	0.83
Hepatic	8 (28)	10 (37)	0.45
Hematologic	3 (10)	7 (26)	0.12
Arterial blood gas			
pH	7.33 ± 0.08	7.34 ± 0.09	0.43
PaCO ₂ (mmHg)	40 ± 8	42 ± 8	0.22
PaO ₂ (mmHg)	107 ± 45	90 ± 24	0.10
P/F ratio (mmHg)	143 ± 56	142 ± 52	0.96
Hemodynamic variable			
Lactate (mg/dL)	3.2 ± 3.3	3.0 ± 3.6	0.86
Heart rate (beats/min)	99 ± 19	99 ± 25	0.99
Systolic blood pressure (mmHg)	108 ± 18	109 ± 19	0.81
Diastolic blood pressure (mmHg)	55 ± 11	59 ± 11	0.26

Data are presented as the number with the percentage in parenthesis or as the mean ± standard deviation (SD)

APACHE II Acute Physiology and Chronic Health Evaluation II, PaCO₂, (PaO₂) partial pressure of carbon dioxide (oxygen) in arterial blood

in DP_{RS} between groups (12.0 vs. 11.1 cmH₂O; $p = 0.31$), while DP_L was significantly lower in the intervention group (9.4 vs. 7.2 cmH₂O; $p = 0.02$) (Table 2; Fig. 2c, d). In terms of changes between baseline and 24 h, the intervention group showed a non-significant change in DP_{RS} (Δ DP_{RS} -2.39 vs. -2.97 cmH₂O, $p = 0.57$) and a significant decrease in DP_L (Δ DP_L -0.65 vs. -3.07 cmH₂O, $p = 0.004$). There was a strong interaction between time and DP_{RS} ($p = 0.015$) and DP_L ($p < 0.001$), respectively. The relationship between DP_{RS} and DP_L at any given time point and the relationship between the Δ DP_{RS} and Δ DP_L (baseline to 5 min and baseline to 24 h) were assessed by LOWESS, revealing a strong linear relationship, but

significant variation in DP_L and Δ DP_L for any given DP_{RS} or Δ DP_{RS}, respectively (Electronic Supplemental Material figure). There was no difference in DP_{CW} at any time point compared by intervention or mortality, and there was wide variability among all patients in both groups (Table 2).

To evaluate the causes of driving pressure changes within individual subjects, changes in elastance, PEEP and V_T were examined concurrently. The decrease in DP_L at 24 h was explained by a similar decrease in E_L over the same period. There was no difference between control and intervention groups in baseline E_{RS} (29.9 vs 29.9 cmH₂O/L, $p = 0.99$), baseline E_L (21.7 vs 22.1 cmH₂O/L, $p = 0.86$), 5 min E_{RS} (29.9 vs 32.2 cmH₂O/L, $p = 0.48$) or 5 min E_L (21.1 vs 22.9 cmH₂O/L, $p = 0.58$) (Fig. 3). At 24 h the intervention group had a slight decrease in E_{RS} that did not reach statistical significance (29.8 vs 25.2 cmH₂O/L, $p = 0.07$) and significantly lower E_L (23.4 vs 16.5 cmH₂O/L, $p = 0.007$) (Table 2; Fig. 3) and greater change from baseline (Δ E_{RS} -0.09 vs -4.75 cmH₂O/L, $p = 0.01$, Δ E_L 1.69 vs -5.66 cmH₂O/L, $p = 0.0002$) relative to the control group. There was a strong correlation between baseline-to-24 h Δ DP_{RS} and Δ E_{RS} ($r^2 = 0.36$, $p < 0.0001$) and even stronger correlation between Δ DP_L and Δ E_L ($r^2 = 0.65$, $p < 0.0001$, Fig. 4). In contrast, the improved DP at 24 h did not appear to be related to differences in V_T between groups (Table 2).

As PEEP was the only adjusted variable between groups, any differences in DP and elastance should be secondary to differences in PEEP between the control and intervention groups. At baseline, PEEP was the same between the control group and intervention group prior to initiating the protocol (13.0 vs. 12.7 cmH₂O; $p = 0.79$). Targeting positive end-expiratory transpulmonary pressure in the intervention group resulted in increased PEEP at 5 min (12.9 vs. 20.0 cmH₂O; $p < 0.0001$) and 24 h (11.0 vs. 19.3 cmH₂O, $p < 0.0001$) (Table 2; Fig. 3).

Discussion

The data from our study suggest that utilizing PEEP titration to target positive transpulmonary pressure via esophageal manometry results in both improved elastance and driving pressures. These findings suggest that strategies leading to decreased DP and elastance could be associated with improved 28 day mortality.

Determinations of driving pressure change

The relationship between DP and its determining variables (elastance and V_T) was illustrated in our study, with changes in DP_L in the intervention group strongly correlating with improvement in lung elastance. This improvement was seen despite a small increase in mean

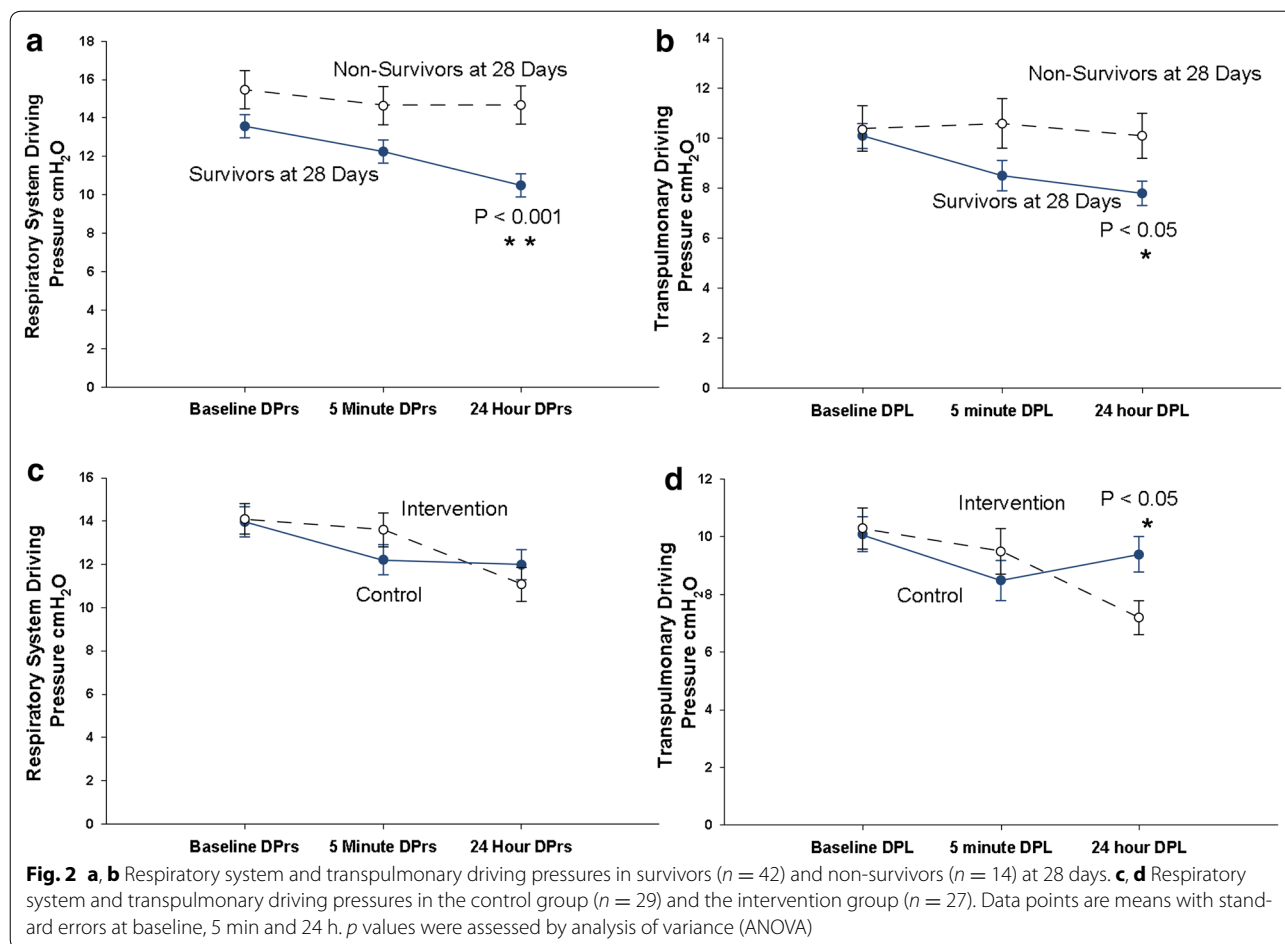


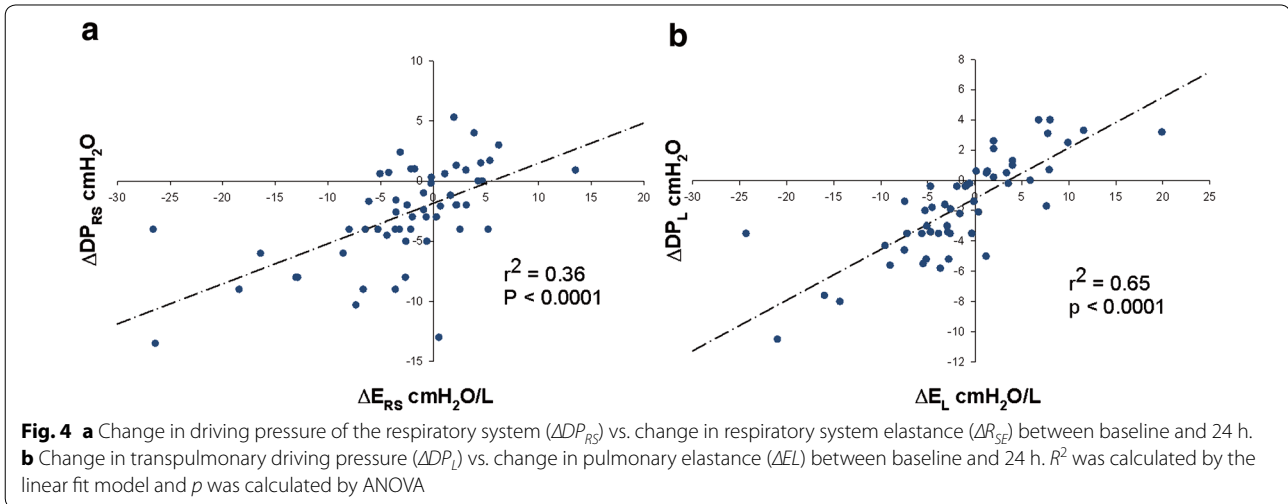
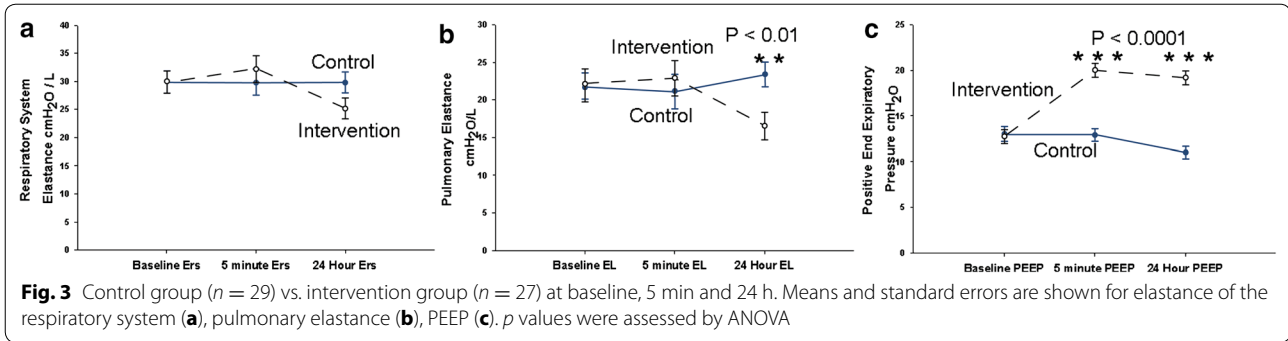
Table 2 Mechanics at baseline, 5 min and 24 h

Measurement	Baseline			5 min			24 h		
	Control	Intervention	p value	Control	Intervention	p value	Control	Intervention	p value
Driving pressure (cmH ₂ O)									
Respiratory system	14.0 ± 3.5	14.1 ± 3.5	1.0	12.2 ± 3.3	13.6 ± 4.7	0.2	12.0 ± 3.6	11.1 ± 3.4	0.3
Transpulmonary	10.1 ± 3.3	10.3 ± 3.6	0.8	8.5 ± 3.1	9.5 ± 4.4	0.8	9.4 ± 3.6	7.2 ± 3.0	0.02
Chest wall	3.9 ± 2.4	3.8 ± 0.7	0.7	3.6 ± 0.4	3.8 ± 0.4	0.7	2.7 ± 0.6	3.9 ± 0.6	0.1
Elastance (cmH ₂ O/L)									
Respiratory system	29.9 ± 10.7	29.9 ± 10.1	1.0	29.9 ± 8.8	32.2 ± 15.3	0.5	29.8 ± 10	25.2 ± 8.8	0.07
Pulmonary	21.7 ± 10.2	22.1 ± 10.1	0.9	21.1 ± 8.9	22.9 ± 14.7	0.6	23.4 ± 10.5	16.5 ± 7.6	0.007
Chest wall	8.2 ± 4.5	7.8 ± 3.7	0.7	8.7 ± 4.1	8.7 ± 5.1	1.0	6.4 ± 5.9	8.7 ± 6.6	0.2
PEEP _{total} (cmH ₂ O)	14.8 ± 3.6	14.5 ± 4.8	0.8	15.0 ± 3.3	21.9 ± 5.1	<0.0001	13.1 ± 3.4	20.6 ± 4.9	<0.0001
Tidal volume (ml)	490 ± 108	488 ± 103	1.0	415 ± 73	441 ± 82	0.2	416 ± 68	448 ± 64	0.07

Data are presented as the mean ± SD

V_T in the intervention group relative to the control group by 24 h. These results clearly suggest that adjusting PEEP via esophageal manometry to maintain positive

transpulmonary pressures resulted in decreases in both elastance and in DP_L . Several studies have suggested possible improved outcomes using higher PEEP strategies



in patients with ARDS [7, 19–22]. Recruitment leading to increased size of the “baby lung” with subsequent improved compliance [23–25] might be the dominant reason for this finding. However, high PEEP alone is unlikely to be beneficial in all patients. Inappropriate PEEP may in fact cause hemodynamic compromise [26], increased dead space fraction [27] and direct barotrauma with lung over-distension and worsened compliance [27–29].

Our data illustrate that a more targeted approach utilizing esophageal pressure measurements to account for chest wall dynamics may better characterize a “best PEEP” for an individual patient. Although esophageal manometry represents mid-thorax pleural pressures, the net effect of this PEEP optimization appears to improve overall elastance and DP. Finding this “best PEEP” may optimize alveolar recruitment, increasing the size of the “baby lung” and reducing repetitive alveolar opening and closing (atelectrauma), while limiting over-distension and lung injury. Although mean PEEP increased in the intervention group, PEEP was not increased in all cases and the benefit from esophageal pressure monitoring appears to be more nuanced than simply attributing the improved DP_L to the observed increase in PEEP.

Respiratory system versus transpulmonary monitoring

Amato et al. suggested that DP_{RS} would be a reasonable surrogate for DP_L in their analysis [13]; however, the results of our study may question this assessment. Although the majority of the respiratory system driving pressure was accounted for by the lungs, a significant portion (roughly 33 % on average) was secondary to the influence of the chest wall. Despite the LOWESS plots reflecting the expected linear relationship between DP_{RS} and DP_L , these plots illustrate the challenge to estimate the DP_L for any given patient based upon the measured DP_{RS} , with significant variability in chest wall elastance likely secondary to abdominal distension, obesity or chest wall edema which might contribute noise to the DP_{RS} signal, not reflecting underlying lung properties. In theory, by excluding chest wall effects, DP_L may be superior to DP_{RS} as the more accurate marker of lung distending pressures, and utilizing esophageal manometry to estimate and remove the chest wall component may be superior to standard respiratory system measurements using airway pressures. Interestingly, we did not see a significant decrease in DP_{RS} at 24 h in the intervention group despite the statistically significant

decrease in DP_L . While the current study lacks the statistical power to test the hypothesis that DP_L is superior to DP_{RS} , the observations in our study support further tests of this hypothesis. As DP_{RS} appeared to be at least equal to DP_L in terms of mortality correlation, it currently remains unclear if either measurement is superior.

Mortality prediction

With respect to the use of DP as an outcome predictor, Amato et al. proposed that scaling V_T to body weight and “normalizing” to lung size was insufficient as functional lung size in ARDS is markedly decreased [13]. These authors hypothesized that this “baby lung” [24] is manifested as lower respiratory system compliance (C_{RS}) and that “normalizing V_T to C_{RS} and using the ratio as an index of the “functional” size of the lung” would be superior to V_T alone and provide a better predictor of outcomes [13]. This “normalization” the authors refer to is the measured DP_{RS} , which they found to be the strongest predictor of mortality in patients with ARDS. In our study, DP_{RS} and DP_L both decreased by 24 h in the 28 day survivor group, suggesting its possible use for prognostication. As elastance was similarly lower in survivors, it is unclear if DP is independently prognostic, and DP_{RS} , E_{RS} , DP_L and E_L could be further tested in a larger sample size. Ultimately it remains unclear from our data if DP might be useful for prognostication or if higher DP is simply another marker for poorly compliant lungs.

Driving pressure manipulation

It has also been suggested that manipulation of DP could be used for ventilator management at the bedside [13]. Theoretically, DP could be adjusted by changing the V_T (low V_T would similarly lower DP) and by adjusting the PEEP (to optimize compliance). The effects of PEEP adjustment on DP in our study were not seen at the 5 min time point. If there is a delayed response, titration of interventions designed to influence DP in real-time might be challenging. Given that we had data only at 5 min and 24 h, future studies will be needed to clarify the optimal time to determine these changes.

Limitations

There are several significant limitations to this study that will need to be addressed in future investigations. The small sample size and the unequal number of subjects in the survivor and non-survivor groups makes meaningful interpretation of the data challenging, as do the small (but equal) numbers when comparing by intervention. These small numbers do not allow for multivariate analysis to determine if DP might emerge as an independent predictor of mortality and limit our direct comparison of

DP_{RS} and DP_L . Furthermore, the retrospective nature of this analysis weakens the interpretation as driving pressure was not a pre-specified endpoint in the initial study.

Conclusions

To our knowledge this is the first study to evaluate DP_L via esophageal manometry as a tool to optimize ventilatory settings in ARDS patients. Although DP_L appeared to be the superior to DP_{RS} for monitoring changes in pulmonary mechanics, further investigation is needed to determine if DP_L or DP_{RS} is better for following mechanics and predicting mortality. These data lend support for future studies on interventions designed to improve driving pressure to determine if DP_L or DP_{RS} can be directly targeted at the bedside to improve outcomes.

Electronic supplementary material

The online version of this article (doi:10.1007/s00134-016-4403-7) contains supplementary material, which is available to authorized users.

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Acknowledgments

The authors acknowledge Robert Gerber for assistance in making the figures and Victor Novak for assistance with statistical analysis. There was no separate funding source for this study, however the original EPVent study was funded under Stephen Loring's RO1 Grant HL-52586.

Compliance with ethical standards

Conflicts of interest

The authors declare that they have no conflicts of interest.

Received: 9 February 2016 Accepted: 23 May 2016

Published online: 18 June 2016

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