

# Prenatal tobacco use and the risk of mood disorders in offspring: a systematic review and meta-analysis

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Received: 24 March 2020 / Accepted: 1 September 2020 / Published online: 7 September 2020 © Springer-Verlag GmbH Germany, part of Springer Nature 2020

# Abstract

**Purpose** It is plausible that offspring born to mothers using tobacco during pregnancy may have increased risk of mood disorders (depression and bipolar disorders); however, mixed results have been reported. We conducted a systematic review and meta-analysis to investigate the magnitude and consistency of associations reported between prenatal tobacco use and mood disorders in offspring.

**Methods** We systematically searched EMBASE, SCOPUS, PubMed and Psych-INFO for studies on mood disorders and prenatal tobacco use. Methodological quality of studies was assessed with the revised Newcastle–Ottawa Scale. We estimated pooled relative risk (RR) with inverse variance weighted random-effects meta-analysis. We performed leave-one-out analyses, and stratified analyses by a subgroup (depression and bipolar disorder). Potential publication bias was assessed by inspection of the funnel plot and Egger's test for regression asymmetry. This study protocol was prospectively registered in PROSPERO (CRD42017060037).

**Results** Eight cohort and two case–control studies were included in the final meta-analysis. We found an increased pooled relative risk of mood disorders in offspring exposed to maternal prenatal tobacco use RRs 1.43 (95% CI 1.27–1.60) compared to no prenatal tobacco use. Similarly, the pooled relative risks of bipolar and depressive disorders in offspring were 1.44, (95% CI 1.15–1.80) and 1.44, (95% CI 1.21–1.71), respectively. Moreover, the pooled estimated risk of mood disorders was not significantly attenuated in the studies that reported sibling comparison results [RR = 1.21 (95% CI 1.04–1.41)]. **Conclusion** Taken together, there was strong evidence for a small (RR < 2) association between prenatal tobacco use and mood disorders in offspring.

 $\label{eq:constraint} \begin{array}{l} \textbf{Keywords} & \textbf{Mood disorders} \cdot \textbf{Bipolar disorder} \cdot \textbf{Depression} \cdot \textbf{Offspring} \cdot \textbf{Prenatal} \cdot \textbf{Tobacco} \cdot \textbf{Systematic review} \cdot \textbf{Meta-analysis} \end{array}$ 

**Electronic supplementary material** The online version of this article (https://doi.org/10.1007/s00127-020-01949-y) contains supplementary material, which is available to authorized users.

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# Introduction

Mood disorders, also known as affective disorders, are a group of mental health disorders consisting of bipolar and major depressive disorders [1, 2] which can impair the psycho-social

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functioning of individuals and significantly affect their quality of life [3]. A 6% lifetime prevalence of depression has been reported globally [4] and the global mental health survey conducted across 11 countries in America, Europe and Asia using the World Health Organization Composite International Diagnostic Interview (WHO-CIDI) version 3.0 reported a 2.4% lifetime prevalence of bipolar disorders [5].

Observational studies and randomized controlled trials have been unable to confirm the causes of mood spectrum disorders [6]. However, it has been hypothesized that the imbalances of certain neurotransmitters which are important regulators of the bodily functions [7], genetic factors [5] and environmental factors [1] can significantly contribute to mood disorders. In addition, it has been reported that maternal lifestyle behaviors during pregnancy may result in mental and behavioral problems in offspring via early programming of the developing brain [8].

Tobacco use during pregnancy is one of such behaviours, which may increase risk of mood or other mental disorders through direct pathways [9, 10]. For example, tobacco modulates nicotinic acetylcholine receptors in the brain and results in alterations in the neurodevelopmental trajectory of wide-spread pathways [9]. Further, a systematic review conducted to test the association between smoking and depressive disorders revealed adverse associations in more than a third of the included studies [11]. However, the level to which observed offspring mental health problems constitute a direct effect of exposure of tobacco remains unclear [12–14].

Tobacco is a commonly used legal drug during pregnancy [15] with epidemiological studies indicating this exposure may increase the risk of bipolar [16-18] and depressive disorders [19–22] in offspring. However, additional studies have produced inconsistent findings. For example, no association was found with internalizing behaviours, namely depression and withdrawal, among children in one study [23], while another study found a higher risk of depression only among prenatally exposed boys but no increased risk in females [24]. There is also suggestion of associations in the opposite direction. For instance, a retrospective cohort study conducted in the USA found that prenatal tobacco exposure was linked with lower risk of mood disorders [25]. Variability in assessment methods of mental health outcomes may explain these inconsistencies. Therefore, we conducted a systematic review and meta-analysis to assess the magnitude and consistency of associations reported between prenatal tobacco use and mood disorders in offspring.

#### Methods

# **Research design**

This systematic review and meta-analysis followed the standards of quality for reporting a Meta-analysis Of Observational Studies in Epidemiology (MOOSE) [26, 27] and the Preferred Reporting Items for Systematic review and Meta-Analysis guidelines (PRISMA) [28]. The literature search strategy, study selection, data extraction, and synthesis were compiled with a pre-defined protocol which was prospectively registered in the International Prospective Register of Systematic Reviews (PROSPERO) with the registration number of CRD42017060037 (https://www.crd.york.ac.uk/prospero/display\_record.php?RecordID=146976).

### Literature search strategy

We systematically searched the following electronic databases with no language and date limits: EMBASE, SCOPUS, PubMed and Psych-INFO. An extensive search of these databases was conducted in August 2019. The search terms and keywords were: "(cigarette use OR cigarette smoking OR cigarette exposure OR tobacco use OR tobacco exposure OR nicotine use OR nicotine exposure OR substance use OR substance exposure) AND (prenatal OR antenatal OR pregnancy OR maternal) AND (offspring OR adolescents OR youths OR young OR child OR childhood OR young adults) AND (mental disorders OR internalizing behaviours OR depression OR bipolar disorder OR mood disorders OR depressive disorders OR severe mental illness OR hypomania OR mania OR mental illness OR mental disorder OR psychiatric disorders OR psychiatric morbidity)".

### **Eligibility criteria**

The following inclusion criteria were set to include the studies in this systematic review and meta-analysis: (1) case–control or cohort studies, (2) the exposure of interest was prenatal tobacco use, (3) the outcome of interest was mood disorders, namely bipolar and major depressive disorders, (4) measured outcomes using odds ratio (OR) or relative risk (RR) estimates with 95% confidence intervals (CIs) or data to calculate these were reported. We were interested in offspring outcomes, namely depression and bipolar disorders, rather than a group of behavioral problems such as internalizing behaviours (anxious/depressed/withdrawal). Case reports, editorials, comments, abstracts of meeting or conferences, letters and studies conducted on animals were excluded from the review.

### **Data extraction**

Two reviewers (BD and GA) independently conducted an electronic database searching and screening of titles as well as abstracts. The data extraction was performed based on the standardized data extraction form. Data were extracted systematically from each study: the first author name, year of publication, study characteristics including study design, measurement of bipolar or depressive disorder, trimester in which smoking initiated, country in which the study was conducted, confounders, point estimates of risk such as odds ratios (OR) or relative risk (RR) with 95% Confidence Intervals (CI) in accordance with the PRISMA guidelines [28]. Any sources of mental health outcomes, either self-report or maternal report or clinical report, were included in the review. Reviewer conflicts and issues raised during data extraction were resolved by discussion.

### **Study quality**

The methodological quality of all selected studies was assessed using the revised Newcastle-Ottawa Scale (NOS) [29]. The quality assessment was done by two independent reviewers (BD and GA). NOS is a scale which is recommended for the quality assessment of observational studies such as cohort and case-control studies. It uses three standard grading categories such as high quality (scored 7-9), moderate quality (scored 4-6), and low quality (scored 0-3). These points were calculated using the following items namely: group selection (four items), comparability between the groups (one item), and outcome and exposure assessment (three items). Based on the scale, a maximum of one star could be given for each item in the group selection, outcome and exposure assessment categories as well as a maximum of two stars could be given for comparability. Conflicting scores among two reviewers were resolved by consensus and discussion.

### Data synthesis and analysis

A meta-analysis was conducted using a Comprehensive Meta-Analysis (CMA) software version 3.0 [30]. All studies that reported an effect size were included in the meta-analysis. If multiple estimates were presented in the studies, RR were reported in this review. Only three studies conducted a separate analysis for the effects of moderate (< 10 cigarettes per day) and high tobacco smoking ( $\geq$  10 cigarettes per day) during pregnancy on offspring mood disorders. We have included the estimates of high prenatal tobacco use of these studies in our pooled analysis to ensure sufficient exposure contrast. We have combined the included studies using inverse variance weighted random-effect meta-analysis model to estimate the association between exposure and account for heterogeneity across the studies [31]. We performed a subgroup and sensitivity analysis to identify the potential source of heterogeneity. We further conducted an additional analysis for those studies that reported sibling comparison results. We stratified analyses by outcomes (depression and bipolar disorders). To identify studies that were influential on the pooled estimate, we ran a leave-one-out sensitivity analysis, whereby one study was removed at a time and the pooled estimate was re-estimated on the remaining studies [32]. The magnitude of statistical heterogeneity between studies was evaluated using the Qand  $I^2$ -statistic [30]. The scores of 25%, 50% and 75% were considered to refer low, moderate and high heterogeneity between studies, respectively [33]. Potential publication bias was assessed by inspection of the funnel plot and Egger's test for regression asymmetry [34].

# Results

# **Study selection**

A total of 3987 articles were identified by our initial literature search. Seventeen additional studies were obtained via a manual search from the reference lists of other studies. Of these, 585 were duplicates, depression and bipolar disorders were not measured as an outcome in 12 studies, in 10 studies depression was as assessed as an internalizing behaviours, and 3380 studies were found not to be related to the subject from title and abstract review. A total of 39 articles were retrieved for further screening and resulting in a total of 10 studies for a meta-analysis (Fig. 1).

# **Characteristics of included studies**

The studies included in the systematic review and metaanalysis were published between September 2000 [35] and October 2017 [36, 37]. Among the included studies, four studies were conducted in the USA [18, 25, 35, 37], one in Sweden [16], two in Finland [20, 38], one in Denmark [39], one in Brazil [22] and one study was based in the UK and combined the data of the four birth cohorts including Avon Longitudinal Study of Parents and Children (ALSPAC, UK), Nord-Trondelag Health Study (HUNT, Norway), the Pelotas 1982 birth cohort (Brazil) and Swedish Sibling Health Cohort (Sweden) [36]. Eight were cohort studies [16, 18, 20, 22, 25, 35, 36, 39] and two were nested case-control studies [37, 38]. Three studies reported the additional sibling analysis results. Four studies assessed the risk of bipolar disorder in offspring exposed to prenatal tobacco use [16, 18, 37, 38] while seven studies assessed the risk of depression [20, 22, 25, 35-37, 39]. Four studies adjusted for maternal alcohol use during pregnancy. Five studies recruited the study



participants from clinical setting, whereas five studies from population-based registers. The sample size of the included studies ranges from 77 to approximately 1,312,516 participants (Table 1).

### **Outcome measures**

Out of 10 studies included in the systematic review, three studies used the International Classification of Diseases and Related Health Problems, 10th edition (ICD-10) manual [20, 38, 39], one study used both ICD-9 and 10 [16], two studies used the fourth revised version of the Diagnostic and Statistical Manual of Mental Disorders (DSM IV-TR) [18, 37], two studies used the Schedule for Affective Disorders and Schizophrenia (SADS) [25, 35], one study used

the Mini-International Psychiatric Interview (MINI) [22] and one study based in UK and combined the data of four birth cohorts used the Clinical Interview Schedule-Revised (CIS-R) (ALSPAC), Hospital Anxiety and Depression Scale (HADS) (HUNT) and Mini-International Psychiatric Interview (MINI) (Pelotas) [36] to screen and diagnose mood disorders in offspring.

The studies included in the review have screened or diagnosed mood disorders namely depression and bipolar disorders in offspring at different follow-up periods. For example, bipolar disorder was diagnosed in offspring between ages 10 and 30 years [16, 18, 37, 38]. Depressive disorder was screened and diagnosed in offspring at age ranges from 8 to 41 years [20, 22, 25, 35–37, 39]. For instance, a study conducted in the USA assessed depression in offspring at

Table 1 Characteris	tics of studi	ies included in the curr	ent systematic review	and meta-analysis				
First author, year	Country	Study character- istics	Prenatal tobacco exposure assessed at	Outcome in off- spring	Outcome assessed in offspring at/ follow-up period	Outcome ascer- tained by	Adjusted OR/RR (95% CI)	Adjusted for
Quinn et al., 2017	Sweden	This study analyzed a population register data via birth cohort of 1,680,219 individuals born in Sweden from January 1, 1983 to December 31, 2001	1st trimester	Bipolar disorder	Included off- spring with age ≥ 12 years	International Classification of Diseases, 9th Revision, and International Sta- tistical Classifica- tion of Diseases and Related Health Problems, 10th Revision	1.19 (1.12–1.25) (High prenatal tobacco use) 1.34 (1.26–1.43) (Moderate prenatal tobacco use)	Offspring sex, parity, maternal and paternal age at childbirth, education, maternal and paternal hospitalization for severe mental illness: substance use disorders and suicidal behavior, any criminal con- viction and nationality
Talati et al., 2013	NSA	This is a cohort of the Child Health and Development Study with live offspring that were enrolled at Kaiser Perma- nente Medical Care Plan, North- ern California Region from 1959 to 1966 $(n = 214)$	Any time during pregnancy	Bipolar disorder	Cohort follow-up 1959–1966	Diagnostic and Sta- tistical Manual of Mental Disorders, Fourth Edition, Text Revision (DSM-IV-TR)	2.01 (1.48–2.53)	Lifetime psychopathology such as; anxiety, emotional problems, psychoneu- rosses, hypochondriasis, neurasthenia, alcoholism, drug addiction or habitua- tion, mental deficiency, or mental disorders. Lifetime diagnoses of schizophrenia or other psychotic disorders, affective disorder, and post- partum depression.
Chudal et al., 2015	Finland	A nested case-con- trol study derived from all singleton live births in Finland between January 1 st 1987 and Decem- ber 31st 1998 (Finnish Prenatal study of Bipolar Disorders) (Cases = 724, Con- trols = 1419)	2nd trimester	Bipolar disorder	Offspring born between 1987 and 1988 were treated and diagnosed at 2008 and the mean age offspring at diagnosis of cases was 17.4 years	International Classification of Diseases, 9th Revision, and International Sta- tistical Classifica- tion of Diseases and Related Health Problems, 10th Revision	1.14 (0.88–1.49)	Maternal age, maternal edu- cation, maternal psychiatry history, paternal psychiatry history

Table 1 (continued)								
First author, year	Country	Study character- istics	Prenatal tobacco exposure assessed at	Outcome in off- spring	Outcome assessed in offspring at/ follow-up period	Outcome ascer- tained by	Adjusted OR/RR (95% CI)	Adjusted for
Talati et al., 2017	NSA	This study followed a 3-generation cohort of off- spring of families with and without major depressive disorder over six assessment waves spanning up to 30 years $(n = 238)$	Any time during pregnancy	Depression	The mean follow-up age was 27.7 years	Semi-structured schedule for Affective disor- ders and schizo- phrenia (SADS)- life time version for adults or the child version for ages 6–17 years	1.34 (0.77–2.31)	Offspring age at last inter- view, risk status, sex, mater, risk status, sex, familial history for depres- sion
Ekblad et al., 2010	Finland	This study used the data from a Finnish Medical Birth Register and included the $1987-1989$ birth cohorts. (n = $175,869$ )	1 st trimester	Mood disorder	Included offspring with age between 18 and 26 years	International Sta- tistical Classifica- tion of Diseases and Related Health Problems, 10th Revision	<ul> <li>1.65 (1.54–1.76)</li> <li>(High prenatal tobacco use)</li> <li>1.93 (1.78–2.10)</li> <li>(Moderate prenatal tobacco use)</li> </ul>	Child's sex, gestational age, birth weight, and 5-min Apgar score and maternal age, parity, and psychiatric diagnosis before the child's birth
Hill et al., 2000	USA	A longitudinal prospective study of 150 children/ adolescents age 8–18 years	Any time during pregnancy	Depression	Included offspring with the ages of 8-18 years	Schedule for Affec- tive Disorders and Schizophre- nia for School- Aged Children (K-SADS)	3.43 (1.06–11.09)	Familial risk, prenatal alcohol use, other substance use
Menezes et al., 2013	Brazil	A birth cohort study of Pelotas with 5249 partici- pants	Any time during pregnancy	Depression	Included offspring at 18 years of follow-up	Mini-International Neuropsychiatric Interview (MINI)	<ul> <li>2.11 (1.31–3.40)</li> <li>(High prenatal tobacco use)</li> <li>1.38 (1.03–1.84)</li> <li>(Moderate prenatal tobacco use)</li> </ul>	Family income at birth, planned pregnancy, partner support of pregnancy, alcohol use during pregnancy, type of delivery, partner's smoking during pregnancy and mother's Strengths and Difficulties Questionnaire (SRQ) at age 11 years old

Table 1 (continued)	-							
First author, year	Country	Study character- istics	Prenatal tobacco exposure assessed at	Outcome in off- spring	Outcome assessed in offspring at/ follow-up period	Outcome ascer- tained by	Adjusted OR/RR (95% CI)	Adjusted for
Taylor et al., 2017	UK	This study was based in UK and combined the data of four birth cohorts: Avon Longitudinal Study of Parents and Children (ALSPAC, UK), Nord-Trondelag Health Study (HUNT, Norway), the Pelotas 1982 birth cohort and Swedish Sibling Health Cohort	At 2nd and 3rd trimesters in ALSPAC, Trimester is not clear in HUNT, Anytime during pregnancy in Pelotas 1982, At 1st trimester in Swedish Sibling Health Cohort	Depression	Included offspring at 18 years in ALSPAC, 30 years in the Pelotas, 32.4±8.6 years in HUNT, Not specified in Swedish Sibling Health Cohort	Clinical Interview Schedule— Revised (CIS-R) (ALSPAC), Hos- pital Anxiety and Depression Scale (HADS) (HUNT) and Mini-Interna- tional Psychiatric Interview (MINI) (Pelotas 1982).	1.20 (1.08–1.34)	Age, sex, maternal age, partner social class, mater- nal education, maternal antenatal depression and anxiety, paternal depres- sion and anxiety during pregnancy, parity, housing tenure, crowding, house- hold income, assets index, partner smoking
Meier et al., 2017	Denmark	This study used data from a record linkage of six Danish population-based registries (nation- wide cohort) of 957,635 indi- viduals born in Denmark between 1991 and 2007	1st trimester	Depression	They followed offspring born between 1991 and 2007 from the 5th year birthday until offspring develop depres- sion	International Sta- tistical Classifica- tion of Diseases and Related Health Problems, 10th Revision	1.29 (1.22–1.36)	Calendar year of birth, gender, parity, parental age at time of birth, parental income, parental education, and parental psychiatric history
Biederman et al., 2017	USA	A case-control family study of children, recruited male and female age between 6 and 17 years (Exposed = 96, Non- exposed = 400)	1st trimester	Depression Bipolar disorder	The study recruited offspring aged 6–17 years	Diagnostic and Sta- tistical Manual of Mental Disorders, Third and Fourth Edition, Text Revision (DSM- IV-TR)	1.71 (1.02–2.87) 1.56 (0.87–2.81)	Maternal age, race/ethnicity, Attention deficit hyperactiv- ity disorder (ADHD) in off- spring, parental antisocial personality and maladaptive parenting

age of 8–18 years [35]; whereas, the mean follow-up age of offspring in another similar study in the same country was 27.7 years [25] (Table 1).

# **Quality assessment of included studies**

The revised Newcastle–Ottawa scale (NOS) was used to evaluate the quality of the included studies and the points were given based on the following criteria: Selection process (0–4 points), the comparability of the cohorts (0–2 points) and the identification of the exposures and the outcomes of research participants (0–3 points). The NOS score of  $\geq$  7 of 9 was considered of high quality in this review. Based on the averages of the scores given by two independent reviewers, all of the included studies scored  $\geq$  7 of 9 points (Supplementary file 1).

# Prenatal tobacco exposure and risk of mood disorders

There was significant heterogeneity among the included studies ( $I^2 = 81.22\%$ ; Q = 52.24; *P* value < 0.01), justifying our use of a random effect model. Prenatal tobacco use was associated with risk of mood disorders in offspring with a pooled adjusted RR of 1.43, (95% CI 1.27–1.60) (Fig. 2). Based on the stratification of the analysis by the

type of outcomes in offspring, the pooled RR in offspring with bipolar disorder and depression was 1.44 (95% CI 1.15–1.80) and 1.44 (95% CI 1.21–1.71), respectively. We observed significant heterogeneity in bipolar disorder ( $I^2 = 70.72\%$ ; Q = 10.25; P value = 0.02) as well as in depressive disorder ( $I^2 = 86.03\%$ ; Q = 42.96; P value < 0.01).

# Confounding variables in multivariable models

Apart from the studies conducted in Brazil [22] and in the USA [37], all other studies fully or partially adjusted for maternal psychiatric history, paternal psychiatric history, maternal lifetime psychopathology, parental psychiatric history and maternal mental illness before or during pregnancy. The majority of the studies adjusted for the following variables in common: maternal education, age, maternal race, parity, gestational age, offspring age and sex, family income, partner social class, partner support and planned pregnancy. Four studies adjusted for other prenatal substance use including alcohol. Further, one study [37] adjusted for Attention Deficit Hyperactivity Disorder (ADHD) in offspring, parental antisocial personality and maladaptive parenting style (Table 1).

<b>Fig. 2</b> Forest plot depicting the risk of mood disorders in	Study name	Study name Statistics for each study				Risk ratio and 95% Cl	
offspring exposed to prenatal tobacco use: a meta-analysis		Risk ratio	Lower limit	Upper limit	p-Value		Relative weight
	Quinn et al, 2017	1.33	1.25	1.42	0.00		16.28
	Talati et al, 2013	2.00	1.53	2.61	0.00	$        \downarrow \downarrow \downarrow \downarrow$	8.77
	Chudal et al, 2015	1.14	0.87	1.48	0.34		8.92
	Talati et al, 2017	1.31	0.76	2.27	0.33		3.42
	Ekblad et al, 2010	1.83	1.64	2.04	0.00		14.82
	Hill et al, 2000	2.99	0.93	9.68	0.07	┃ ┃ ┃ <del>             </del>	0.89
	Menezes et al, 2013	1.44	1.10	1.89	0.01		8.70
	Taylor et al, 2017	1.19	1.06	1.32	0.00		14.85
	Meier et al, 2017	1.27	1.20	1.34	0.00		16.50
	Biederman et al, 2017	1.64	0.98	2.75	0.06	┃ ┃ ┃ ┣╋╴ ┃ ┃	3.76
	Biederman et al, 2017 (2)	1.54	0.86	2.77	0.15	┃ ┃ ┃ <del>↓ • ↓</del> ┃ ┃	3.08
		1.43	1.27	1.60	0.00		
						0.1 0.2 0.5 1 2 5 10	)

### **Publication bias**

In the overall meta-analysis of the risk of mood disorders among offspring exposed to prenatal tobacco use, both visual inspection of the funnel plot (symmetric) and Egger's regression test provided no evidence of potential publication bias (B = 1.155, SE = 1.026, P = 0.289) (Fig. 3). Similarly, Egger's test was not statistically significant for both subgroups: B = 1.196, SE = 2.864, P = 0.748 and B = 1.388, SE = 1.959, P = 0.518 for bipolar and depressive disorders, respectively.

### Subgroup and sensitivity analysis

Associations did not substantially change by the specific outcome of interest (bipolar and depression), the study setting, adjustment for prenatal alcohol use, socio-economic positions and reported dose-response effects of prenatal tobacco use. We performed an outcome specific analysis using the type of outcomes in offspring. The risk of bipolar disorder RR = 1.44 (95% CI 1.15–1.80) was similar when compared to depressive disorder RR = 1.44 (95% CI 1.21–1.71). However, the risk of mood disorders was



#### Fig. 3 Funnel plot for publication bias of the meta-analysis for prenatal tobacco exposure and risk of mood disorders in offspring

greater in the studies that recruited the study participants from a clinical setting RR = 1.55 (95% CI 1.33–1.81) when compared to those recruited from population-based registers RR = 1.21 (95% CI 1.10-1.33). Similarly, the risk of mood disorders was greater in the studies that did not adjust for the residual confounding by socio-economic positions such as maternal age, education, parental income and social class RR = 1.80 (95% CI 1.47-2.20) when compared to those adjusted for socio-economic positions RR = 1.36 (95% CI 1.20–1.53). The risk of mood disorders was not significantly differed when studies included the adjustment for the confounding effect of prenatal alcohol exposure. For example, the risk of mood disorders in offspring exposed to prenatal tobacco use was RR = 1.57(95% CI 1.23–1.99) and RR = 1.36 (95% CI 1.14–1.63) in the subgroup analysis of studies that adjusted or not adjusted for prenatal alcohol use, respectively. Further, to identify the possible effects of mood disorders in offspring, we also applied the analysis to studies that reported dose-related effects of prenatal tobacco use. We observed a greater risk of mood disorders in offspring exposed to high prenatal tobacco use RR = 1.54 (95% CI 1.46–1.62) when compared to moderate prenatal tobacco use RR = 1.36(95% CI 1.30-1.42) (Table 2). The risk of mood disorders was not significantly attenuated when we limit the analysis to the studies that reported sibling comparison results. The relative risk of mood disorders in offspring exposed to prenatal tobacco use was RR = 1.21 (95% CI 1.04–1.41) in the studies that reported sibling comparison results. Moreover, the pooled estimated RR varied between 1.33 (95% CI 1.22-1.43) and 1.47 (95% CI 1.27-1.70) after removal of a single study at a time, which indicated that the findings were not influenced substantially by any single study (Supplementary file 2).

# Discussion

# Main findings

This systematic review and meta-analysis explored the risk of mood disorders in offspring exposed to prenatal tobacco use reported by eight cohort studies and two nested case–control studies. We found some evidence for a small association (RR < 2) with mood disorders in offspring. We also noted that exposure to higher levels of prenatal tobacco use was associated with an increased risk of mood disorders in offspring when compared to moderate exposure. For all studies, outcomes in offspring were prospectively collected and measured using well-accepted standardized and validated screening and diagnostic tools.

Social Psychiatr	y and Psy	chiatric E	pidemiolog	y (2020)	55:1549-1562

Subgroups	No. of studies	RR	95% CI	Heterogene and P valu	eity within the	e studies ( $I^2$ , $Q$	Heterogeneity between groups (P value)
				Q value	$I^{2}(\%)$	P value	value)
Type of outcomes in offspring							
Bipolar	4	1.44	1.15-1.80	10.25	70.72	0.02	< 0.01
Depression	6	1.44	1.21-1.71	42.96	86.03	< 0.01	
Studies adjusted for any maternal psych	niatric history	and lifetin	ne psychopatholo	gy			
Yes	7	1.41	1.24-1.61	52.17	86.58	< 0.01	< 0.01
No	3	1.49	1.19–1.86	0.207	0.00	0.90	
Studies adjusted for socio-economic po	sitions such a	as maternal	age, education,	social class an	d parental inc	ome	
Yes	6	1.36	1.20-1.53	41.95	88.08	< 0.01	< 0.01
No	4	1.80	1.47-2.20	2.95	0.00	0.56	
Studies adjusted for maternal alcohol u	se during pre	gnancy					
Yes	4	1.57	1.23-1.99	10.27	70.79	0.016	< 0.001
No	6	1.36	1.14-1.63	42.26	88.17	< 0.01	
Studies reported dose-related effects of	prenatal toba	icco use					
High (>10 cigarettes/day)	3	1.54	1.46-1.62	48.89	95.909	< 0.01	< 0.001
Moderate ( $\leq 10$ cigarettes/day)	3	1.36	1.30-1.42	54.92	96.358	< 0.01	
Study participants recruitment							
Recruited from clinical setting	4	1.55	1.33-1.81	46.63	86.85	< 0.01	< 0.001
Recruited from community setting	6	1.21	1.10-1.33	2.02	0.00	0.56	
Studies reported sibling comparison res	sults						
Yes	3	1.21	1.04-1.41	5.18	65.31	0.05	N/A

Table 2	Subgroup and	sensitivity	analysis of	the	included	studies
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### Possible biological mechanisms

Although the mechanisms underlying the association between prenatal tobacco use and mood disorders in offspring are not yet confirmed, a number of plausible mechanisms have been proposed [9, 10, 40–44]. One suggested mechanism is that the deleterious effects of the many hazardous compounds present in tobacco smoke can cross the placenta, affect the developing brain and alter neurodevelopmental trajectories [10, 40–43]. This pathway is characterized by excessive stimulation of serotonergic and dopaminergic receptors and the corresponding over-stimulation during pregnancy may alter sensitivity [9, 44] leading to impaired neural growth and circuit formation [9]. Thus, nicotine may directly interact with neural circuits linked with mood regulation [45] and contribute to mood disorders in offspring.

Exposure to prenatal tobacco use may also be linked with epigenetic changes in the offspring [46–48], in which modifications impact DNA expression through the chromatin remodelling and DNA methylation [49], without altering DNA sequences [50]. The epigenetic changes associated with prenatal tobacco use may include epigenetic regulation of genes involved in the hypothalamic–pituitary–adrenocortical axis (HPA) [47]. This over-stimulation of the axis is often seen in persons with mood disorders [51] has been

suggested as a possible explanation for the causal pathway of prenatal tobacco exposure [52]. This is also supported by animal models, which showed that prenatal exposure to nicotine can induce HPA-axis hypersensitivity in offspring rats through the intrauterine programming of up-regulation of hippocampal GAD67 [53] and this may result in depression-like behavior in adolescent female rats that exposed to prenatal nicotine use [54].

### Potential for confounding

The risk of mood disorders in offspring exposed to prenatal tobacco use may be due to a range of confounding, namely psychiatric problems in mothers and families [55–57]. For example, in the Avon Longitudinal Study of Parents and Children (ALPAC), the association between prenatal tobacco use and child psychological problems at the age of 4 years disappeared after adjusting for maternal and paternal psychopathology along with other covariates, suggesting that the association was due to confounding influences not prenatal tobacco exposure [58]. Similarly, a study assessing the risk of bipolar disorder in offspring exposed to prenatal tobacco use found a risk association in an unadjusted model [OR 1.41 (95% CI 1.12–1.79)], whereas reported no evidence for an association after adjusting for maternal psychiatric history [38]. In contrast, in a population-based study

that adjusted for maternal and parental history of severe mental illness [16], the risk of bipolar disorder was largely attenuated but the association remained significant. Further, a population-based longitudinal study of Finnish reported the increased risk association between prenatal tobacco use and depression in offspring even after adjusting for maternal psychiatric diagnoses before child birth [20]. Similarly, a study that assessed prenatal tobacco use and bipolar disorder in offspring showed an increased risk of bipolar disorder in offspring after adjusting for potential confounders, such as; lifetime psychopathology, diagnoses of schizophrenia or other psychotic disorders, affective disorder, and postpartum depression [18]. This is also supported by epidemiological evidence from sibling analysis, for example [39]. In our meta-analysis, the pooled estimated risk of mood disorders was not significantly attenuated in the studies that reported sibling comparison results [RR = 1.21 (95% CI 1.04–1.41)]. Moreover, these findings are supported by reports that mothers could pass liability genes to offspring that may translate to associations between prenatal tobacco use and offspring behaviors [59].

We noted that all studies included in the review except studies from the UK [36] and Brazil [22] did not use paternal smoking as a robustness analyses to demonstrate the maternal effect resulted from a biological mechanism. One UKbased study that combined data from ALSPAC, HUNT, and the Pelotas 1982 birth cohort reported no association for paternal prenatal tobacco use and offspring depression [36]. Further, this finding was corroborated by another study [22].

Epidemiologic evidence also suggested that children born to mothers smoking during pregnancy are more likely to be exposed to second-hand smoke in childhood and may develop adverse outcomes [60, 61]. The environmental, individual and familial factors which predispose children to postbirth tobacco smoke have been associated with increased risk of neurobehavioral disorders in offspring [11, 61, 62]. For example, a systematic review and meta-analysis conducted to test the association between smoking and resultant depressive disorders found adverse associations, through which tobacco smoking was linked with later depressive disorders in more than a third of the included studies [11]. This finding is complimented by evidence suggesting that prolonged exposure to tobacco use or smoke may increase the individual vulnerability to have depression in later life [63, 64]. Therefore, considering these factors in the analysis may enable to differentiate the effects of in utero exposure to tobacco smoke from second-hand or passive smoking during pregnancy that have influenced the expression of childhood behavioral problems [65, 66].

Furthermore, more comprehensive adjusting for residual confounding by socio-economic positions may statistically correct the estimate of the effects of prenatal tobacco use on offspring adverse mental health and behavioural outcomes [58]. Some of the studies included in the current meta-analysis accounted for a range of residual confounding by socio-economic position that may influence the link between prenatal tobacco use and risk of mood disorders in offspring [16, 20, 22, 36, 38, 39]. Evidence from epidemiologic studies have shown that women who use tobacco during pregnancy have lower educational attainment and socioeconomic status including family income compared to non-smoking pregnant women [24, 67–71]. These have also been found to be associated with internalizing behaviors such as depression in offspring [41, 60]. For instance, in a study that combined data of four birth cohorts, both prenatal tobacco use and depression in offspring were associated with lower maternal education and social class [36]. This is also corroborated by a population-based cohort study testing associations between maternal smoking during pregnancy and internalizing behaviours where a risk association is found in unadjusted analysis [OR 1.60 (95% CI 1.60–2.10)]; whereas, no association is seen after adjustment for parental educational attainment and family income [OR 1.22 (95% CI 0.90–1.63) [72], suggesting parental socioeconomic positions accounted for the greater risk of internalizing behaviours in offspring [24]. In our meta-analysis, we also found a similar pattern in which the risk of mood disorder in offspring was moderately attenuated in the studies that adjusted for socio-economic position explaining some part of the reported association between prenatal tobacco use and mood disorders in offspring.

# Differences among the studies included in the meta-analysis

Although we found an association between prenatal tobacco use and mood disorders in offspring, it should be noted that the variations between the included studies led to a moderate level of heterogeneity in this meta-analysis. The type of mood disorders in offspring, the adjustment for prenatal alcohol use, outcomes measured at different time points and with different assessment methods, residual confounding by socio-economic positions, the setting as well as the level of prenatal tobacco exposure may have contributed to variability in the risk of mood disorders in offspring exposed to prenatal tobacco use. Nevertheless, the pooled RR estimate remained similar after the removal of a single study at a time in our leave-one-out sensitivity analysis, which indicated that the findings were not influenced substantially by any single study. Further, the subgroup analysis and sensitivity analysis appeared to support the robustness of our findings.

# **Strength and limitations**

This systematic review and meta-analysis has the following strengths: we have used a predefined search strategy and data extraction protocol, as well as the methodological quality of the included studies, was checked by two independent reviewers. By doing so, we have minimized possible reviewer bias. We conducted subgroup and sensitivity analysis as well as leave-one-out-sensitivity analysis to identify the small study effect and the risk of heterogeneity. Further, we also conducted an additional analysis for those studies that reported sibling comparison results. In addition, the outcomes in offspring were measured using the standard and validated screening and diagnostic tools such as the ICD 9/10, DSM IV, SADS, MINI, CIS-R and HADS that provided well-validated assessments of mood disorders in offspring. However, the following limitations should be taken into consideration while interpreting these results. First, we did not analyze gender-, age- and study design-specific effect estimates due to a lack of sufficient and consistent data from the included studies. Second, the confounding effect of lifetime maternal mental health problems or mental health problems during pregnancy was not consistently adjusted in the included studies. Third, only three studies reported the effects of moderate and high tobacco smoking during pregnancy on offspring mood disorders and this might reduce the precision of the estimate. Fourth, in some studies, the follow-up period may be too short to find validated and diagnosed mood disorders and this may be contributed to underreporting due to a later manifestation of the outcome. Fifth, the prenatal tobacco exposure periods varied and for some studies the time of exposure during pregnancy that was investigated was not reported. Further, majority of the included studies had no information about smoking cessation. The consequence of this is that there will be a fraction of women who might have been classified as non-smokers but stopped smoking when they became aware of their pregnancy usually around mid-first trimester, or they were classified as smokers yet did not smoke after becoming aware of their pregnancy.

# Conclusion

Although the etiology of mood disorders has not been established, this systematic review and meta-analysis provided some evidence for a small (RR < 2) association between prenatal tobacco use and mood disorders in offspring. However, it should be noted that the sparsity of studies on the topic and the potential for bias limits more conclusive inference.

**Acknowledgements** We are so grateful to the Curtin University for providing us a wide range of available online databases.

Author contributions BD conceived the hypothesis, developed the methodology, identified all potential studies, extracted the data, assessed quality, conducted a meta-analysis, and wrote the first draft of the manuscript. GA reviewed abstracts and assessed the methodological

quality of the included studies. GP reviewed the protocol, reviewed data extraction, data analysis and contributed to subsequent drafts of the manuscript. KB reviewed data extraction, data analysis and contributed to subsequent drafts of the manuscript. RA reviewed the protocol, reviewed data extraction, and synthesis and contributed to subsequent drafts of the manuscript. All authors read and approved the final manuscript.

Funding No external funding obtained for this systematic review and meta-analysis.

Availability of data and material All data generated or analyzed during this review were included in this article and attached as supplementary files.

# **Compliance with ethical standards**

Conflict of interest All authors have no conflicts of interest to disclose.

**Code availability** Comprehensive Meta-Analysis (CMA) version 3.0 was used to analyze the data.

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