ARTICLE

Contrasting the clinical care and outcomes of 2,622 children with type 1 diabetes less than 6 years of age in the United States T1D Exchange and German/Austrian DPV registries

David M. Maahs · Julia M. Hermann · Stephanie N. DuBose · Kellee M. Miller · Bettina Heidtmann · Linda A. DiMeglio · Birgit Rami-Merhar · Roy W. Beck · Edith Schober • William V. Tamborlane • Thomas M. Kapellen • Reinhard W. Holl • for the DPV Initiative and the T1D Exchange Clinic Network

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Abstract

Aims/hypothesis The study aimed to compare participant characteristics, treatment modalities and clinical outcomes in registry participants less than 6 years old.

Methods Participant characteristics, treatment modalities and clinical outcomes (HbA_{1c}, severe hypoglycaemia [SH] and diabetic ketoacidosis [DKA]) as well as frequencies of attaining HbA_{1c} goals in line with the International Society for Pediatric and Adolescent Diabetes (<7.5% [<58 mmol/mol]) and ADA (<8.5% [<69 mmol/mol]) were compared.

Results Insulin pump use was more frequent (74% vs 50%, p <0.001) and HbA_{1c} levels lower in the Prospective Diabetes Follow-up Registry (DPV) than in the T1D Exchange (T1DX) (mean 7.4% vs 8.2%, $p<0.001$). A lower HbA_{1c} level was seen in the DPV compared with the T1DX for both pump users $(p<0.001)$ and injection users $(p<0.001)$. More children from DPV were meeting the recommended HbA_{1c} goals, compared

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D. M. Maahs

Barbara Davis Centre for Childhood Diabetes, Aurora, CO, USA

J. M. Hermann : R. W. Holl Department of Epidemiology and Medical Biometry, University of Ulm, ZIMBT, Ulm, Germany

S. N. DuBose $(\boxtimes) \cdot K$. M. Miller $\cdot R$. W. Beck Jaeb Centre for Health Research, 15310 Amberly Drive, Suite 350, Tampa, FL 33647, USA e-mail: sdubose@jaeb.org

B. Heidtmann

Catholic Children's Hospital Wilhelmstift Hamburg, Hamburg, Germany

with children from T1DX (HbA_{1c} <7.5%: 56% vs 22%, p <0.001; HbA_{1c} <8.5%: 90% vs 66%, p <0.001). The adjusted odds of having an HbA_{1c} level <7.5% or <8.5% were 4.2 $(p<0.001)$ and 3.6 $(p<0.001)$ higher for the DPV than the T1DX, respectively. The frequency of SH did not differ between registries or by HbA_{1c} , whereas the frequency of DKA was higher for the T1DX and greater in those with higher HbA_{1c} levels. Conclusions/interpretation DPV data indicate that an HbA_{1c}

of <7.5% can frequently be achieved in children with type 1 diabetes who are under 6 years old. An improved metabolic control of type 1 diabetes in young patients appears to decrease the risk of DKA without increasing SH. The greater frequency of suboptimal control in young patients in the T1DX compared with the DPV is not fully explained by a less frequent use of insulin pumps and may relate to the higher HbA_{1c} targets that are recommended for this age group in the USA.

L. A. DiMeglio Department of Endocrinology/Diabetology, Indiana University School of Medicine, Indianapolis, IN, USA

B. Rami-Merhar : E. Schober

Department of Pediatrics, Medical University of Vienna, Vienna, Austria

W. V. Tamborlane Department of Paediatric Endocrinology, Yale School of Medicine, Yale University, New Haven, CT, USA

T. M. Kapellen Women and Children's Centre, University of Leipzig, Leipzig, Germany

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Abbreviations

Introduction

The incidence of type 1 diabetes is increasing worldwide by 2–5% annually, the greatest increases being reported in children less than 6 years old [[1](#page-6-0)–[4](#page-6-0)]. Such increases in the prevalence of type 1 diabetes in very young children are worrisome in part because clinical care for diabetes in this age group presents numerous challenges related to erratic eating, inconsistent physical activity, limited ability to report hypoglycaemic symptoms and the need for very small doses of insulin. The best metrics for defining optimal diabetes control and the methods to achieve them in this population have not yet been established. For example, the ADA recommends that clinicians should strive to achieve HbA_{1c} concentrations \leq 8.5% (\leq 69 mmol/mol) in this age group [[5\]](#page-6-0), whereas according to the International Society for Pediatric and Adolescent Diabetes (ISPAD) the target HbA_{1c} concentration should be $\leq 7.5\%$ (≤ 56 mmol/mol) [\[6](#page-6-0)].

A paucity of published data on current clinical outcomes has limited the development of evidence-based guidelines for the management of very young children with type 1 diabetes. Data are even more limited for the acute and long-term benefits and consequences of achieving the currently recommended HbA_{1c} target concentrations in very young patients. Identifying the demographic and treatment factors associated with reaching these HbA_{1c} goals would also provide data to guide clinical care.

The T1D Exchange (T1DX) Registry in the USA and the Prospective Diabetes Follow-up Registry (DPV) in Germany and Austria are two large consortia of paediatric diabetes centres that were established with an objective of improving the care of children with type 1 diabetes through sharing best practices and collecting clinical outcome data from large numbers of patients. In this collaborative study, both the T1DX and the DPV used queries of their databases to describe and compare participant characteristics, treatment modalities and clinical outcomes in registry participants less than 6 years old.

Methods

Participants The T1DX clinic network includes 70 US-based paediatric and adult endocrinology practices. A registry of more than 26,000 individuals with type 1 diabetes commenced enrolment in September 2010 [\[7](#page-6-0)]. Each clinic received approval from a local institutional review board (IRB). Informed consent was obtained according to IRB requirements. Data were collected for the registry's central database from the participant's medical record and by having the parent complete a comprehensive questionnaire, as previously described [\[7](#page-6-0)]. All young children less than 6 years old with a duration of type 1 diabetes of at least 1 year $(n=2,622)$ were included in this report; 674 participants enrolled in the T1DX at the 52 sites with paediatric patients from September 2010 to August 2012, and 1,948 patients from the DPV who had at least one office visit in either 2011 or 2012.

Study design The DPV registry is a prospective longitudinal standardised computer-based documentation system for patients with all types of diabetes. Currently, more than 90% of German and more than 70% of Austrian children with diabetes are included in the registry. Data are documented locally by the participating centres on an electronic health record. Twice yearly, anonymised data are exported and transmitted for central analysis. Missing and inconsistent data are reported back to the centres for correction. Data collection is approved by the ethics committee at Ulm University and by the IRBs at the participating centres [[8,](#page-6-0) [9\]](#page-6-0).

In the T1DX, data were obtained through a combination of clinic and participant report. Method of insulin delivery (pump/injection), height, weight and frequency of severe hypoglycaemia (SH) and diabetic ketoacidosis (DKA) were extracted from the medical chart. The BMI z score was calculated from height and weight and adjusted for age and sex, using WHO reference tables [\[10,](#page-6-0) [11](#page-6-0)]. The number of boluses (or short-acting injections) per day, rates of self-monitoring of blood glucose (SMBG) and continuous glucose monitor (CGM) use were obtained from participant reports via completion of a questionnaire. Conversely, all data from the DPV were extracted from the medical record, as documented by the members of the diabetes team during routine patient care. In the DPV, SMBG was obtained from glucose meters when available and otherwise from participant-reported SMBG diaries. In both studies, prandial and total daily insulin (TDI) were obtained from pump downloads when available and otherwise from participant report.

Outcome measures The median HbA_{1c} over the year prior to the registry assessment, excluding any values obtained within 3 months of diagnosis, was used to represent HbA_{1c} in this analysis. For both the T1DX and DPV, all HbA_{1c} values were DCCT-standardised [\[12\]](#page-6-0). For both the T1DX and DPV, episodes of DKA were defined by: (1) having either an arterial or a venous pH less than 7.30 or a serum bicarbonate less than 15 mmol/l, and (2) receiving treatment provided in a healthcare facility. The T1DX definition required two additional criteria: (3) symptoms such as polyuria, polydipsia, nausea or vomiting, and (4) elevated serum ketone levels or high/moderate urine ketone levels. SH was defined by both registries as a hypoglycaemic event in which seizure or loss of consciousness occurred [[13](#page-6-0), [14\]](#page-6-0). Migration history for the DPV was defined as at least one parent born outside of Germany or Austria.

Statistical methods Summary statistics were calculated within registries and by insulin delivery methods. The proportions of participants with at least one reported SH event and/or at least one DKA event in the previous 12 months were tabulated by HbA_{1c} category (<7.5% [<58 mmol/mol], 7.5 to <8.5% [58 to \leq 69 mmol/mol] and \geq 8.5% [\geq 69 mmol/mol]). Wilcoxon or χ^2 tests were performed to compare the basic demographic and clinical characteristics between registries, and to compare pump and injection users within each registry. Adjusted linear and logistic regression models were run to compare clinical outcomes between the registries. All statistical analyses were performed using SAS 9.3 (SAS Institute, Cary, NC, USA). All p values are two-sided. A priori, in view of the large sample size and multiple comparisons, only p values <0.01 were considered statistically significant.

Results

Young children in the T1DX and DPV had similar clinical characteristics with respect to sex, age and BMI z scores (Table 1). The duration of type 1 diabetes differed between the two registries, as did diabetes management factors such as TDI dose/kg, percentage of prandial insulin and frequency of reported SMBG. The two registries differed substantially with respect to the percentage of young patients who were using an insulin pump: 50% of patients in the T1DX vs 74% in the DPV $(p<0.001)$. CGM use was uncommon in both cohorts (Table 1). Within the DPV registry, 23% of patients had a history of migration, whereas in the T1DX 19% were not non-Hispanic whites.

Despite many similarities in terms of clinical characteristics and treatment factors, the mean HbA_{1c} was notably higher $(p<0.001$, Table 1, electronic supplementary material [ESM] Fig. 1) and the percentage of very young children with type 1

Table 1 Comparison of participant characteristics

Data shown are unadjusted percentages, mean \pm SD, or median and interquartiles (25th, 75th percentile)

^a Unadjusted *p* value obtained from either a χ^2 test or Wilcoxon test

 b p value obtained from a regression model adjusted for age, duration of type 1 diabetes and sex

c BMI z score calculated using WHO reference tables

^d TDI data missing for 48 T1DX participants and 15 DPV participants

e % Prandial insulin data missing for 69 T1DX participants and 30 DPV participants

f SMBG data missing for 27 T1DX participants and 82 DPV participants ^g CGM data missing for 349 DPV participants

h Resulting in seizure/loss of consciousness; SH data missing for 133 T1DX participants due to version change

diabetes meeting the recommended HbA_{1c} goals was lower $(p<0.001$, Fig. [1a, b](#page-3-0)) in the T1DX than the DPV. Children in the T1DX using pump therapy had a lower average HbA_{1c} than those receiving injections and were more likely to have an HbA_{1c} <7.5% (<58 mmol/mol) and <8.5% (<69 mmol/ mol) $(p<0.001$ for all). In contrast, in the DPV cohort, neither the mean HbA_{1c} nor the likelihood of reaching an HbA_{1c} goal of <7.5% (<58 mmol/mol) or <8.5% (<69 mmol/mol) differed between patients using a pump or injections ($p \ge 0.01$ for all).

To investigate whether the HbA_{1c} differences between registries could be attributed to measured diabetes management factors, additional linear regression analyses were performed adjusting for pump use and SMBG per day in addition to age, duration of type 1 diabetes and sex. After adjustment, the mean HbA_{1c} was 0.7% (7.5 mmol/mol) higher among patients in the T1DX than the DPV $(p<0.001)$. The adjusted odds of having an HbA_{1c} <7.5% (<58 mmol/mol) or <8.5% (<69 mmol/mol) were 4.2 (95% CI 3.4, 5.3; p < 0.001) and 3.6 (95% CI 2.9, 4.6; $p<0.001$), respectively, higher in the DPV than the T1DX. Among patients using a pump, the mean

Fig. 1 (a) Percentage with $HbA_{1c} < 7.5\%$ (< 58 mmol/mol) overall and by insulin method. (b) Percentage with $HbA_{1c} \leq 8.5\%$ (≤ 69 mmol/mol) overall and by insulin method. White bars, T1DX; black bars, DPV. ***p<0.001; p values adjusted for age, duration of type 1 diabetes and sex. Error bars show 95% CI. To convert values for HbA_{1c} in % into mmol/mol, subtract 2.15 and multiply by 10.929 or use the conversion calculator at [www.HbA1c.nu/eng/](http://www.hba1c.nu/eng/)

 HbA_{1c} was 0.5% (5.1 mmol/mol) higher in the T1DX than the DPV (p <0.001). The odds of having an HbA_{1c} <7.5% (<58 mmol/mol) or <8.5% (<69 mmol/mol) were 3.0 (95%) CI 2.3, 4.0; $p<0.001$) and 2.9 (95% CI 2.1, 4.0; $p<0.001$), respectively, higher in the DPV than the T1DX. Among patients on injections, HbA_{1c} was 1.0% (11.1 mmol/mol) higher in the T1DX than the DPV $(p<0.001)$. The odds of having an HbA_{1c} <7.5% (<58 mmol/mol) or <8.5% (<69 mmol/mol) were 7.2 (95% CI 4.9, 10.6; p <0.001) and 5.1 (95% CI 3.5, 7.4; $p<0.001$), respectively, higher in the DPV than the T1DX. Similar results were obtained when the analyses were restricted to non-Hispanic whites (T1DX) and those without a migration history (DPV).

The clinical characteristics and management factors stratified by insulin delivery method are presented in Table [2.](#page-4-0) Within each cohort, the frequency of short-acting insulin administration was doubled for pump users vs injection users, and CGM use was more prevalent in pump users. SMBG was higher among pump users for both registries (Table [2\)](#page-4-0).

The frequency of one or more SH events in the previous year was similar between registries $(p=0.19, \text{ Fig. 2a})$, but the frequency of one or more reported DKA events in the past year was higher in the T1DX $(p<0.001$, Fig. [2b](#page-5-0)). The frequency of reported SH was similar between patients using pumps compared with injections in both registries $(p>0.01$, Table [2\)](#page-4-0). However, the proportion of those with at least 1 DKA event in the past year was greater among pump users compared with injection users in the DPV registry $(p=0.01,$ Table [2](#page-4-0)).

When the frequencies of SH and DKA were compared across HbA_{1c} categories, the results were similar for both the T1DX and DPV (Fig. [3a, b\)](#page-5-0). The proportion of children with an HbA_{1c} <7.5% (<58 mmol/mol) with one or more SH events in the previous year was not significantly different from those with a higher HbA_{1c} in either registry (p >0.01 for both, Fig. [3a\)](#page-5-0). Conversely, the proportion of those with one or more DKA events did increase, with an increasing HbA_{1c} in both registries ($p \le 0.004$ for both, Fig. [3b](#page-5-0)).

Discussion

Despite many similarities in their clinical characteristics and management factors, children with type 1 diabetes who were under 6 years old in the DPV achieved HbA_{1c} concentrations that were on average 0.8% (8.4 mmol/mol) lower, with a much greater proportion achieving the ISPAD HbA_{1c} target of $\langle 7.5\% \rangle$ ($\langle 58 \rangle$ mmol/mol) and the ADA HbA_{1c} target of $\langle 8.5\% \rangle$ ($\langle 69 \rangle$ mmol/mol) compared with children in the T1DX, findings that were similar in pump users and injection users. The measured clinical characteristics and management factors did not fully explain the difference in HbA_{1c} between the cohorts.

We hypothesise that the lower HbA_{1c} target set by ISPAD $\left(\langle 7.5\% \right| \leq 58 \text{ mmol/mol} \right)$ compared with the age-specific ADA HbA_{1c} target (<8.5% [<69 mmol/mol]) may have contributed to the observed discrepancy, assuming that T1DX providers follow the ADA guidelines and DPV providers follow the ISPAD guidelines. The Hvidoere study found that differences in glycaemic targets played a significant role in explaining HbA_{1c} differences between centres [\[15](#page-6-0)]. It has also been previously reported that a lower perceived HbA_{1c} goal is associated with the achievement of lower levels in adolescents with T1D [[16\]](#page-6-0). Thus, it may be more than a coincidence that the proportion of T1DX children meeting the ADA goal of 8.5% (69 mmol/mol) (66%) is close to that of DPV children meeting the 7.5% (58 mmol/mol) ISPAD goal (56%).

The increased use of insulin pumps in the DPV cohort is a potential factor to explain the lower HbA_{1c} levels in the DPV cohort than in the T1DX cohort, since this method of insulin administration may allow for more precision in delivering small doses of insulin and in matching insulin delivery to insulin needs. However, the greater use of insulin pumps did not account fully for the observed HbA_{1c} difference between the T1DX and DPV registries, although the greatest discrepancy in HbA_{1c} levels between the two registries was in injection users. In addition, the mean HbA_{1c} and the proportion of those meeting the HbA_{1c} target did not differ by insulin administration method within DPV.

Table 2 Comparison of pump and injection users

Data shown are unadjusted percentages, mean \pm SD or median and interquartiles (25th, 75th percentile)

^a Unadjusted *p* value obtained from either a χ^2 test or Wilcoxon test

 b BMI *z* score calculated using WHO reference tables</sup>

 ϵ *p* value obtained from linear regression model adjusted for age, duration of type 1 diabetes and sex

 d_p value obtained from logistic regression model adjusted for age, duration of type 1 diabetes and sex

e Resulting in seizure/loss of consciousness

Differences in race/ethnicity and socioeconomic factors between the participants in the two registries may explain some of the outcome differences. Although this is a potential explanatory factor, a comparison of race and ethnicity between registries is difficult due to differences in how these data are collected by the two registries. However, differences in HbA_{1c} still existed even when the analyses were limited to non-Hispanic whites (T1DX) and those without a migration history (DPV).

As an example of other possible contributing factors, the Hvidoere study reported language differences as a contributing factor for the centre differences in HbA_{1c} [[17](#page-6-0)]. We are unable to evaluate whether language barriers for the participants in each registry contributed to the differences in outcome that were observed. Similarly, comparisons of socioeconomic status between registries are also difficult due to registry-specific methods of collecting such data. However, within the T1DX, higher household income, higher parental education level and having private insurance have been shown to predict better HbA_{1c} levels in children under 18 years of age [\[18](#page-6-0)]. In the DPV registry, children of Turkish background were half as likely to use pumps as those without a migration history even after adjustment for factors that differed by migration history (age, sex, BMI standard deviation score (BMI-SDS), outpatient visit and self-control of blood glucose) [[19](#page-6-0)].

Unmeasured factors such as reimbursement issues for pumps, testing strips and other aspects of diabetes care (in the T1DX) and other demographic and socioeconomic status factors in the two registries, for example differences in healthcare systems, child care practices, insurance, pump and CGM access and cost, distance to the treatment centre and processes of care, could also contribute to the differences reported. Furthermore, within each registry there are betweendiabetes-centre differences in care and outcomes. Unpublished data from the T1DX have shown a wide variation across T1DX centres in pump use among children under 6 years of age even after adjustment for a longer duration of diabetes, higher parental education and level of household income.

Fig. 2 (a) Percentage with ≥ 1 SH event in past year overall and by insulin method. (b) Percentage with ≥ 1 DKA event in past year overall and by insulin method. White bars, T1DX; black bars, DPV. ***p<0.001; p values adjusted for age, duration of type 1 diabetes and sex. Error bars show 95% CI

Fig. 3 (a) Percentage with ≥ 1 SH event in past year by HbA_{1c}. (b) Percentage with ≥ 1 DKA event in past year by HbA_{1c}. White bars, mean HbA_{1c} <7.5% (<58 mmol/l); black bars, mean HbA_{1c} 7.5 to <8.5% (58 to <69 mmol/l); grey bars, mean HbA1c ≥8.5% (≥69 mmol/l). **p=0.004; ***p<0.001; p values unadjusted and testing whether the frequency of SH/DKA differs by HbA_{1c} group, within each registry

The fear that an increased frequency of SH has adverse neurocognitive effects in very young children was a major rationale for the higher target HbA_{1c} levels advocated by the ADA in patients with type 1 diabetes aged less than 6 years old compared with older age groups. Consequently, one of the most important findings of the study was the demonstration that the lower ISPAD target of HbA_{1c} levels <7.5% (<58 mmol/mol) was achieved in the majority of DPV patients without increasing the risk of SH. Other current reports in children and adults support the achievement of an $HbA_{1c} < 7\%$ (\leq 53 mmol/mol) or \leq 7.5% (\leq 58 mmol/mol) without an increase in SH [[11](#page-6-0), [12,](#page-6-0) [20](#page-6-0)]. There is an increasing body of evidence that DKA and hyperglycaemia per se, in addition to hypoglycaemia, can have adverse effects on the developing brains of young children [\[21](#page-7-0)–[23](#page-7-0)]. Thus, it is particularly noteworthy with respect to safety concerns that better HbA_{1c} outcomes in the DPV registry were associated with a lower frequency of DKA.

Another important observation from this study is that the proportion of those with an SH event did not differ between the two cohorts or between patients treated by pump and by injection within each cohort. This observation is consistent with the findings of randomised clinical trials in older children that the use of insulin analogues, improved insulin pumps and CGM devices, and SMBG have allowed clinicians and families to achieve target HbA_{1c} levels more safely than during the early days of intensive insulin therapy [\[24](#page-7-0)–[28](#page-7-0)]. Both ADA and ISPAD guidelines recommend the individualisation of HbA_{1c} guidelines as appropriate to each child and family [\[5](#page-6-0), [6\]](#page-6-0).

As noted above, differences in the methods of data collection between the two registries are one of the limitations of this study, including the fact that clinic-reported rates of SH may be underreported with the T1DX system. In addition, the DPV is a population-based registry that includes 70–90% of potential patients, whereas the T1DX comprises a sample of patients from paediatric diabetes centres primarily staffed by endocrinologists and only captures the families of children who volunteered to participate. Thus, the outcomes in the population aged under 6 years in the T1DX may actually be better than those in patients in this age group in the USA who are not seen at paediatric diabetes treatment centres, or those in patients receiving care at T1DX centres who did not enrol in the registry [[29](#page-7-0)].

As these are registry data, HbA_{1c} was not measured in a central laboratory and this could introduce bias. However, all methods were DCCT-standardised. We also performed three sensitivity analyses to investigate whether the difference in HbA_{1c} between registries was due to differences in laboratory methods (within patients with HbA_{1c} measured by a DCA 2000 analyser, with study site as a random effect and adjusted for laboratory method as a fixed effect). Differences in HbA_{1c} level remained significantly different. Moreover, the observed

difference of 0.8% (8.4 mmol/mol) is of sufficient magnitude within a large population, so that it is likely to be a true difference between registries. Despite these limitations, this is the largest sample of children under 6 years old with type 1 diabetes reported, and the magnitude of the HbA_{1c} difference and lack of difference in frequency of SH have important clinical implications.

Clinical outcome data from the two registries do not prove cause and effect relationships, nor do they test specific hypotheses. Instead, they generate new questions and hypotheses in need of further study, for example identifying the obstacles to a more widespread use of pump (and CGM) therapy in young children in the T1DX, followed by testing whether a more widespread use of pumps (and CGM) in this population would lead to lower HbA_{1c} concentrations. While our data provide evidence of the safety of setting lower goals for HbA_{1c} in children under 6 years of age, further study is needed to determine whether improved glucose control in patients in this age group would translate to better glucose control throughout childhood and adolescence and reduced vascular complications [\[30\]](#page-7-0). It would also be useful to determine the aetiology of the lower DKA frequency in patients treated by injections in the DPV. Nevertheless, the most important direction of future studies remains the development of new drugs, devices and strategies to further improve the care of all children with type 1 diabetes.

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