


# Plasma circular RNA profiling of patients with gastric cancer and their droplet digital RT-PCR detection

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## Abstract

To observe the diagnostic values of circular RNAs (circRNAs), their expression profiles between gastric cancer patients' plasma and healthy controls were first assessed by circRNA microarray. Then, circRNA levels were measured by reverse transcription quantitative polymerase chain reaction (RT-qPCR) and RT-droplet digital PCR (RT-ddPCR), respectively. A total of 343 differentially expressed circRNAs were found. The top 10 elevated circRNAs in patients were hsa\_circ\_0088300, hsa\_circ\_0075825, hsa\_circ\_0019172, hsa\_circ\_0000220, hsa\_circ\_0035277, hsa\_circ\_0000301, hsa\_circ\_0000189, hsa\_circ\_0090080, hsa\_circ\_0001888, and hsa\_circ\_0001874. The top 10 reduced circRNAs were hsa\_circ\_0004771, hsa\_circ\_0001190, hsa\_circ\_0061276, hsa\_circ\_0092337, hsa\_circ\_0058495, hsa\_circ\_0061274, hsa\_circ\_0075829, hsa\_circ\_0080845, hsa\_circ\_0001006, and hsa\_circ\_0003764. In cancer and dysplasia tissues, hsa\_circ\_0001017 and hsa\_circ\_0061276 were

downregulated. Their levels were significantly associated with distal metastasis. The area under receiver operating characteristic curve in combinative use was 0.966 with 95.5% sensitivity and 95.7% specificity. Patients with low plasma hsa\_circ\_0001017 or hsa\_circ\_0061276 had a much shorter overall survival than those with high levels. Patients whose plasma hsa\_circ\_0001017 or hsa\_circ\_0061276 levels recovered to normal after operation had a longer disease-free survival. Finally, the in vitro model indicated gastric cancer cells secreting circRNAs into plasma. In conclusion, RT-ddPCR is a potent non-invasive and absolute quantification method for simultaneous detection of multiple circRNAs. Hsa\_circ\_0001017 and hsa\_circ\_0061276 are new potential biomarkers for gastric cancer.

## Key message

- A total of 343 circRNAs are differentially expressed between gastric cancer patients' plasma and healthy controls.
- Hsa\_circ\_0001017 and hsa\_circ\_0061276 are downregulated in gastric cancer tissues.
- The RT-ddPCR is a potent method for simultaneous detection of multiple circRNAs in plasma.
- Hsa\_circ\_0001017 and hsa\_circ\_0061276 are potential biomarkers for gastric cancer.

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**Keywords** Biomarker · Gastric cancer · Non-invasive testing · Digital polymerase chain reaction

## Introduction

Gastric cancer is the second leading cause of cancer-related deaths worldwide [1, 2]. Though there have been advances in surgical techniques and combined chemotherapy strategies,

the 5-year overall survival of this disease in most countries remains less than 30% [3]. The ignored differentially expressed molecular profiles that cause heterogeneity of gastric cancer may be responsible for the difficulty through gastric cancer treatments. As a result, it is necessary to explore the details of molecular characters of gastric cancer.

Meanwhile, considerable efforts have been devoted to the discovery of novel plasma-based biomarkers for the diagnosis of gastric cancer [4–7]. However, with relatively low sensitivity and specificity, several adaptable gastric cancer-associated plasma biomarkers including carbohydrate antigens 72-4 (CA72-4), CA19-9, and carcinoembryonic antigen (CEA) are hard to replace in the existing endoscopy or x-ray-based examinations [8, 9].

Droplet digital polymerase chain reaction (ddPCR) is a new developed nucleic acid detection method that is based on the dilution of template DNA into independent non-interacting droplets [10]. As the so-called third-generation PCR, ddPCR has single-molecule sensitivity. This novel platform for absolute quantification of DNA (and RNA) holds great promise in clinical diagnostics. It is increasingly popular due to the higher sensitivity and accuracy in quantification than traditional real-time PCR. The ddPCR has been used in the detection of mutations or deletions and copy number variations of cancer-associated genes [11, 12]. According to the detection methods, ddPCR can be divided into two main types: probe- and dye-based methods. The fluorescently quenched oligonucleotide probe-based ddPCR has high specificity but costs too much. By contrast, the application of dyes such as EvaGreen is more accessible [13, 14]. However, both methods performed disability in the multiplex detection of large scale of genes.

Circular RNAs (circRNAs) are recently identified non-coding RNAs that are highly prevalent in eukaryotic transcriptome [15]. CircRNAs are found predominantly located in cytoplasm and can be sorted into exosomes [16]. In consideration of covalently closed loop structure that endows a property of high stability, circRNAs are prized to be novel plasma biomarkers [17]. Importantly, a growing number of studies have demonstrated that circRNAs play a significant role in the pathogenesis of cancers [18, 19]. However, the diagnostic values of peripheral blood circRNAs for gastric cancer remain vague.

In this study, we first used circRNA microarray to detect the differential circRNAs in plasma between patients with gastric cancer and healthy controls. Then we used reverse transcription (RT)-ddPCR to confirm two representative circRNAs, hsa\_circ\_0001017 and hsa\_circ\_0061276, in gastric cancer tissues and plasma from patients with gastric cancer. Finally, we analyzed their clinical diagnostic values and possibility as prognosis markers. Our results showed that RT-ddPCR is a potent and costless method of simultaneous detecting two cell-free cancer-associated circRNAs; and the combinative detection of cell-free hsa\_circ\_0001017 and

hsa\_circ\_0061276 had significant clinical values for gastric cancer diagnosis and its prognosis evaluation.

## Materials and methods

### Patients and specimens

Gastric cancer tissues and fasting plasma samples were obtained from 121 surgical patients who were from two centers for gastroenterology, the Affiliated Hospital of Ningbo University School of Medicine and Yinzhou People's Hospital (China) between February 2011 and February 2016. The control fasting plasma from 121 healthy volunteers, age and gender matched to patients, were collected from Ningbo No. 2 Hospital (China). All patients received no medical treatment before endoscopy examination or surgery. The diagnosis was confirmed histopathologically. All specimen collection and pre-processing protocol were following previous description [20] and preserved at  $-80^{\circ}\text{C}$  until RNA isolation.

Tumors were classified according to the Tumor-Node-Metastasis (TNM) staging system (7th ed.); and histological grade was assessed following the National Comprehensive Cancer Network (NCCN) Clinical Practice Guideline of Oncology (V.1.2012).

This study obtained the approval from the Human Research Ethics Committee of Ningbo University (IRB No. 20100303) and all informed consents were obtained. Double-blind manner was used through the entire process of all clinical samples and data collection.

### Cell culture

The gastric cancer cell lines BGC-823, MGC-803, and SGC-7901 and normal human gastric epithelial cell line GES-1 were purchased from the Shanghai Institute of Biochemistry and Cell Biology, Chinese Academy of Sciences (Shanghai, China). Cells were cultured in RPMI-1640 Medium (Life Technologies, Grand Island, NY, USA) supplemented with 10% fetal bovine serum (Life Technologies) in a humidified atmosphere at  $37^{\circ}\text{C}$  with 5%  $\text{CO}_2$  [21]. When cultured 12, 24, 36, and 48 h, the medium were collected.

### Total RNA extraction and reverse transcription

Total RNA was extracted from 200  $\mu\text{l}$  plasma or medium using TRIzol LS reagent (Ambion, Carlsbad, CA, USA), whereas gastric tissue samples were processed using TRIzol reagent (Ambion). Then, the concentrations of total RNA were measured by the DS-11+ Spectrophotometer (DeNovix, Wilmington, DE, USA). The 1% agarose gel electrophoresis was used to assess the integrity of extracted RNA. Finally,

total RNA was reverse transcribed to cDNA by GoScript Reverse Transcription (RT) System (Promega, Madison, WI, USA) following the manufacturer's instruction.

### CircRNA microarray analysis

The circRNA expression profiles in three gastric cancer tissues and their adjacent non-tumorous tissues 5 cm away from the edge of tumor, and plasma of the same three patients and three healthy persons were analyzed using Arraystar Human circRNA Array (Arraystar, Rockville, MD, USA). According to Arraystar's Super RNA Labeling protocol (Arraystar), total extracted RNA was transcribed into fluorescent cRNA by random primer. Then, the labeled cRNAs were hybridized onto the Arraystar Human circRNA Array (6 × 7 K, Arraystar), and incubated for 17 h at 65 °C in an Agilent Hybridization Oven (Agilent Technologies, Santa Clara, CA, USA). The array was scanned by the Axon GenePix 4000B microarray scanner (Molecular Devices, Sunnyvale, CA, USA) after washing the slides.

Scanned images were then imported into GenePix Pro 6.0 software (Axon) for grid alignment and data extraction. R software package was used for quantitative normalization and subsequent data processing. Differentially expressing circRNAs with statistical significance between two groups were identified through fold change filtering or volcano plot filtering. Hierarchical clustering was performed to show the distinguishable circRNAs expression pattern among samples. CircRNAs with fold changes  $\geq 2.0$  and  $p$  values  $\leq 0.05$  were identified as the differentially expressed ones with significance.

### RT-qPCR and RT-ddPCR

The real-time quantitative polymerase chain reaction (qPCR) was performed using GoTaq qPCR Master Mix (Promega) on an Mx3005P Real-Time PCR System (Stratagene, La Jolla, CA, USA) following the manufacturer's instructions. The ddPCR was constructed on the QX200 Droplet System using ddPCR EvaGreen Supermix (Bio-Rad, Foster City, CA, USA). The divergent primers overlapping the splice junction of hsa\_circ\_0001017 and hsa\_circ\_0061276, and the convergent primers of glyceraldehyde 3-phosphate dehydrogenase (GAPDH) were designed by Primer-BLAST (<https://www.ncbi.nlm.nih.gov/tools/primer-blast/>) and synthesized by Sangon Biotech (Shanghai, China). Their relative concentrations were determined by gradient dilution. The suitable concentration and proportion of primers will make the relative droplets population a fitting position that is efficiently distinguished with each other. In general, lower primer concentration and smaller amplicon size are both able to decrease the location of relative droplet population, vice versa. The sequences and optimized primer concentrations were listed in Supplementary Table S1.

The ddPCR was performed using 2 × QX200 EvaGreen ddPCR Supermix (Bio-Rad) with 2  $\mu$ l cDNA. The droplets generation procedure was according to the manufacturer's protocol. Then, droplets were transferred into PCR tubes; and PCR was performed on a Mastercycler Gradient (Vaudaux-Eppendorf, Deutschland GmbH, Hamburg, Germany). The cycling protocol was as follows: hot-start at 95 °C for 5 min; followed by 45 cycles of 95 °C for 30 s, 60 °C for 1 min, and 72 °C for 30 s; then, a signal stabilization step at 4 °C for 5 min and 90 °C for 5 min, finally holding at 4 °C. Droplets were counted on the QX200 Droplet Reader (Bio-Rad) and analyzed by QuantaSoft software (Bio-Rad).

The relative quantification of circRNA expression levels in RT-qPCR was calculated by  $\Delta C_q$  method [17, 21]. Lower  $\Delta C_q$  values indicated higher expression levels. The results of RT-ddPCR were showed in two forms: relative expression level and absolute copy number. The former was normalized to GAPDH copy numbers; and the later (copies/ $\mu$ l) was the number exported by the software (Bio-Rad) divided by plasma volume (200  $\mu$ l).

### Cloning and sequencing of RT-ddPCR products

The RT-ddPCR products of circRNAs were purified using the UNIQ-10 PCR Product Purification Kit (Sangon), and then cloned into the pUCm-T vector (Sangon) following the manufacturer's instructions. DNA sequencing was performed by Sangon Biotech Company, Ltd.

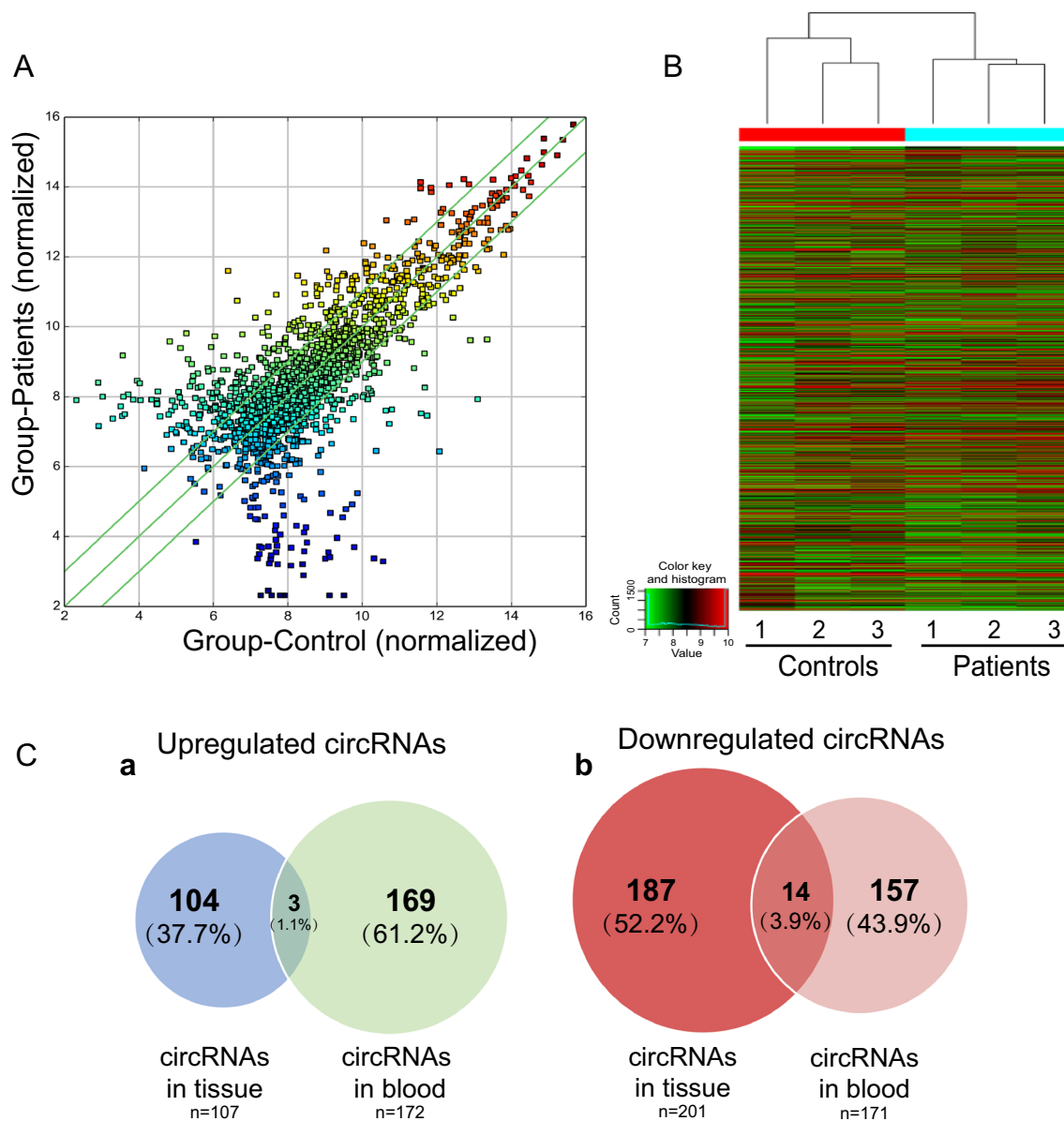
### Statistical analysis

Statistical analysis were performed by Statistical Program for Social Sciences (SPSS) 18.0 Software (SPSS, Chicago, IL, USA), R and R-packages (version 3.0.1, R Core Team). K-S nonparametric test and Kruskal-Wallis test were flexibly used according to actual conditions.  $p < 0.05$  was considered to have significant difference.

## Results

### Plasma circRNA expression profile in patients with gastric cancer

High-throughput human circRNA microarray was conducted to assess the differences of circRNA expression profiles between gastric cancer tissues and their adjacent non-tumorous tissues, and gastric cancer patients' plasma and healthy controls. A total of 5396 circRNAs were detected (data accessible at NCBI GEO database, accession GSE89143, Guo, 2016, <https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE89143>; accession GSE93541, Guo, 2017, <https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE93541>).



**Fig. 1** Plasma circRNA expression profile in plasma of gastric cancer patients comparing with healthy controls. **A** Scatter plots are used to evaluate the difference of circRNA expression between plasma from gastric cancer patients and healthy controls. **B** Hierarchical cluster analysis of all circRNAs that expressed in plasma from three gastric

cancer patients. **C** Overlapped circRNAs between four clusters of differentially expressed circRNAs in tissue and plasma from patients with gastric cancer. Total 17 circRNAs, 3 upregulated (*a*) and 14 downregulated (*b*), coordinately expressed between tissue and plasma from patients with gastric cancer

Differential expressing circRNAs in plasma between cancer and normal control groups were characterized and clustered through fold change filtering and hierarchical clustering, respectively (Fig. 1A, B). In summary, a total of 343 differential expressed circRNAs (twofold change,  $p < 0.05$ ) were identified. Among them, 171 and 172 circRNAs were upregulated and downregulated, respectively. The top 10 elevated circRNAs in patients were hsa\_circ\_0088300, hsa\_circ\_0075825, hsa\_circ\_0019172, hsa\_circ\_0000220, hsa\_circ\_0035277, hsa\_circ\_0000301, hsa\_circ\_0000189, hsa\_circ\_0090080, hsa\_circ\_0001888, and hsa\_circ\_0001874. The top 10

reduced ones were hsa\_circ\_0004771, hsa\_circ\_0001190, hsa\_circ\_0061276, hsa\_circ\_0092337, hsa\_circ\_0058495, hsa\_circ\_0061274, hsa\_circ\_0075829, hsa\_circ\_0080845, hsa\_circ\_0001006, and hsa\_circ\_0003764 (Supplementary Table S2).

Since blood is the most commonly used sample in laboratory medicine, we performed intersection analysis based on the differential expression patterns of circRNAs between tissues and plasma, i.e., GSE89143 vs. GSE93541. The results showed that 3 and 14 circRNAs were elevated and reduced in patients' plasma, respectively (Fig. 1C; Table 1).

**Table 1** CircRNAs coordinately expressed between tissues and blood from patients with gastric cancer

CircRNA ID	Chromosome	Change	Fold changes	Strand	Gene symbol	CircRNA type
hsa_circ_0005556	chr2	Up	4.51	–	NBAS	Exonic
hsa_circ_0001013	chr2	Up	3.36	+	KIAA1841	Intragenic
hsa_circ_0001479	chr5	Up	2.46	+	ZNF131	Exonic
hsa_circ_0001190	chr21	Down	145.44	+	DYRK1A	Exonic
hsa_circ_0061276	chr21	Down	121.54	–	NRIP1	Exonic
hsa_circ_0003195	chr2	Down	46.05	+	TTC27	Exonic
hsa_circ_0001811	chr8	Down	37.76	–	STAU2	Exonic
hsa_circ_0001017	chr2	Down	30.85	–	XPO1	Exonic
hsa_circ_0007707	chr10	Down	28.02	+	LCOR	Exonic
hsa_circ_0008351	chr3	Down	19.34	+	LRCH3	Exonic
hsa_circ_0001897	chr9	Down	18.27	+	POMT1	Exonic
hsa_circ_0054406	chr2	Down	17.53	+	PPM1B	Exonic
hsa_circ_0001278	chr3	Down	11.48	+	STT3B	Exonic
hsa_circ_0001498	chr5	Down	4.10	–	WDR41	Exonic
hsa_circ_0001439	chr4	Down	3.31	–	SCLT1	Exonic
hsa_circ_0006936	chr6	Down	3.16	+	PCMT1	Exonic
hsa_circ_0001955	chr15	Down	2.37	–	CSNK1G1	Exonic

### Accurate quantification of circRNAs in tissues and plasma

The ddPCR is an endpoint PCR, avoiding influences by inhibitors in plasma. In the application of EvaGreen for ddPCR, the distinguishable populations of droplets can be differentiated themselves with different final fluorescent signal intensities (Fig. 2A). As a result, their copy numbers can be determined separately.

The relative and absolute quantification of DNA by PCR (or RNA by RT-PCR) are two commonly used methods for the detection of nuclear acid-based biomarkers. In recent years, accumulated studies have shown several priorities of ddPCR in detecting free DNA/RNA in multiple body fluids [11, 22]. However, the suitable quantitative approach for detecting circRNAs remains unclear. By optimizing amplicon size and primers' concentrations (Supplementary Table S1), two circRNAs and GAPDH mRNA could be amplified simultaneously (Fig. 2A). The agarose gel electrophoresis also showed the specificity of amplification (Supplementary Fig. S1). Next, we first explored the accuracy of relative quantification and absolute quantification in our assay by analyzing the expression levels of hsa\_circ\_0061276, which is the top three lowest circRNAs in plasma of patients group comparing with control group (Supplementary Table S2) and one of the differential circRNAs in intersection analysis (Table 1). As shown in Fig. 2B, among three methods, relative quantification by RT-ddPCR, absolute quantification by RT-ddPCR, and relative quantification by RT-qPCR, the most accurate quantitative method was absolute quantification by RT-ddPCR for both plasma and tissues circRNAs ( $R^2 = 0.998$  and  $R^2 = 0.972$ , respectively). For a proper normalization and calculation of

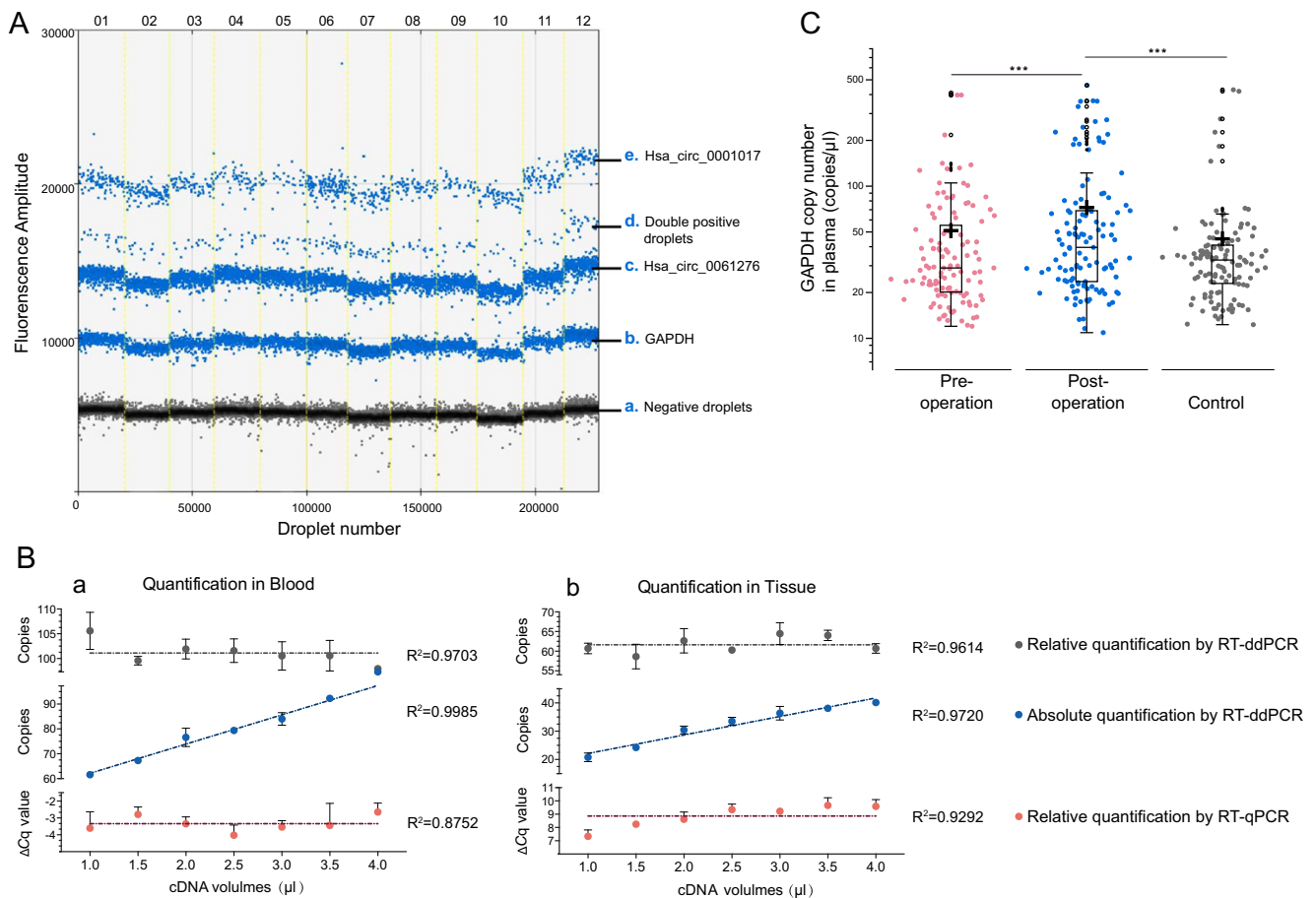
relative expression of target genes, it is essential to use a reference gene, which should be stably expressed. Inspired by the instability of relative quantification of cell-free circRNA by RT-ddPCR in plasma (Fig. 2B), we compared the copy numbers of GAPDH mRNA in plasma of gastric cancer patients before operation, post-operation, and healthy controls. As shown in Fig. 2C, its levels were significantly differentiated among three groups. Obviously, GAPDH mRNA may not be a suitable reference gene for the relative detection of RNAs in plasma, at least in our studied patients.

### Expression patterns of hsa\_circ\_0001017 and hsa\_circ\_0061276 in gastric cancer tissues and paired plasma

It is interesting that fold change of plasma circRNAs between patients and controls in reduced group was bigger than that in elevated one (Supplementary Table S2), and that the number of plasma circRNAs in reduced group was more than that in elevated one (Table 1). So, two reduced circRNAs, hsa\_circ\_0001017 (30.85-folds changed, ranked middle) and hsa\_circ\_0061276 (121.54-folds changed, ranked third), were chosen to observe the non-invasion diagnostic values of circRNAs for gastric cancer. To promote the reliability of the verification, we expanded the sample size of gastric cancer patients' plasma and used their corresponding surgical tissues as comparison.

We found that hsa\_circ\_0001017 expression levels in gastric cancer tissues were significantly decreased (Fig. 3A). What's more, its expression levels in gastric



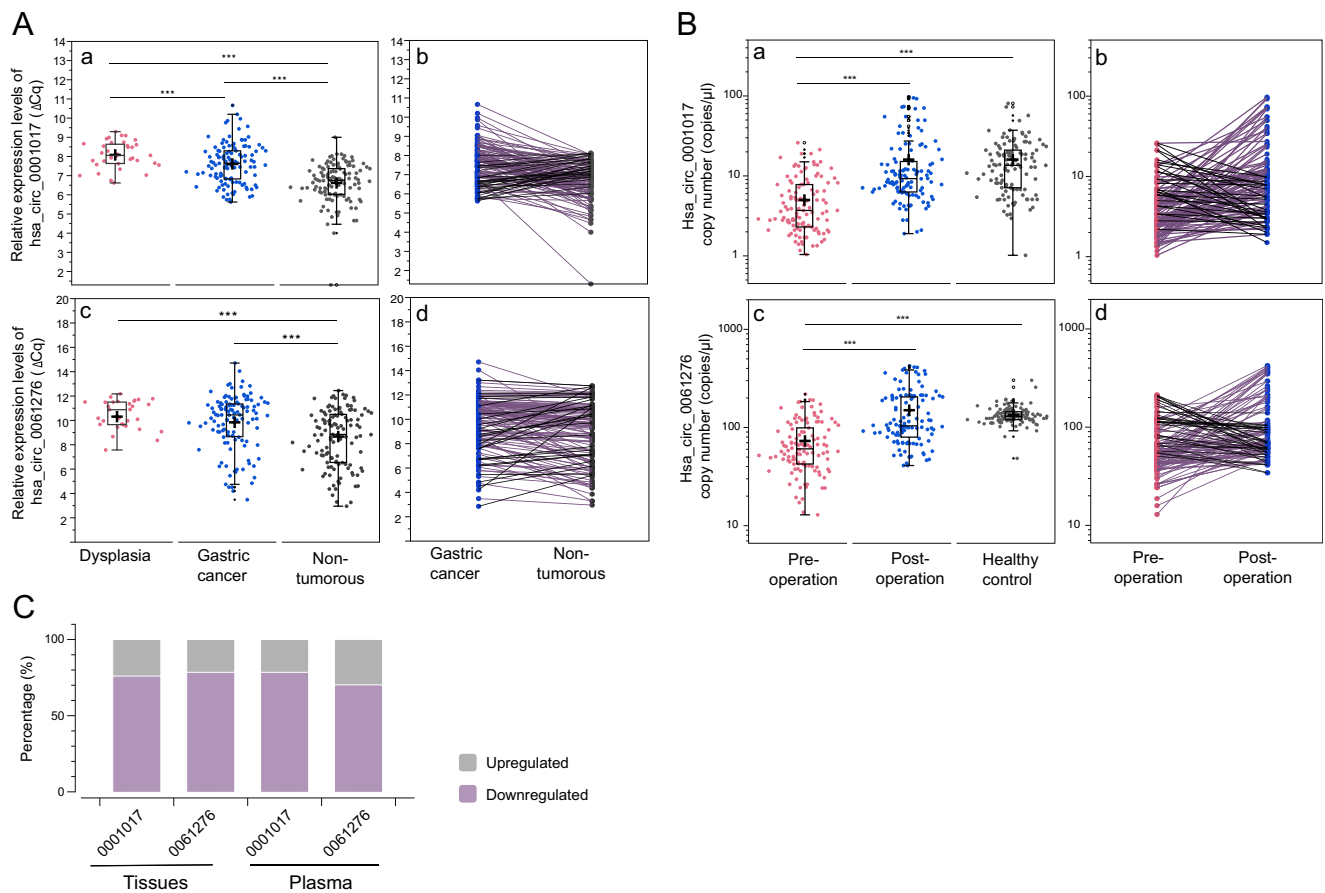


**Fig. 2** The relative and absolute quantification of circRNAs. **A** Detection of plasma circRNA levels by RT-ddPCR with EvaGreen dye. The lowest population (a) of droplets corresponding with the lowest fluorescent amplitude is consisted by the unamplified background template RNA (gray). The second population (b) represents the droplets containing only GAPDH mRNA (65 bp). The third population (c) represents droplets with only hsa\_circ\_0061276 (46 bp). The fourth population (d) refers to droplets containing both amplified GAPDH mRNA and hsa\_circ\_0061276. The population with the highest amplitude (e) represents droplets containing hsa\_circ\_0001017 (175 bp). **B** Comparing the accuracy between RT-ddPCR and RT-qPCR. Scatter plots with linear regression for measuring hsa\_circ\_0061276. Scatter plots illustrates the relationship between cDNA volumes (x-axis) and hsa\_circ\_0061276 levels (y-axis). Y-

axis consists of three parts: relative quantification as determined by RT-qPCR (pink), absolute quantification (blue), and relative quantification (gray) by RT-ddPCR. The samples from plasma (a) and tissue (b) were analyzed independently. All error bars indicate 95% CIs calculated by QuantaSoft (Bio-Rad) according to Poisson distribution on scored droplets;  $p < 0.05$ . Three independent experiments were performed; and no significant differences were found. **C** Analysis of GAPDH mRNA levels between patients with gastric cancer and healthy controls. Box-plots for the GAPDH mRNA levels in plasma from pre-operation patients (pink,  $n = 121$ ), paired post-operation patients (blue,  $n = 121$ ) with gastric cancer, and healthy controls plasma (gray,  $n = 121$ ). \*\*\* $p < 0.001$ . There is no significant difference in plasma between pre-operation patients and healthy controls

dysplasia tissues were even lower than those in gastric cancer tissues. Similar expressing pattern of hsa\_circ\_0061276 was found (Fig. 3A). The copy numbers of these two circRNAs in plasma from pre-operation patients were reduced, while significantly elevated after 10 days of operation (not received any chemical and biological therapies), to the flat level of healthy controls (Fig. 3B). In details, patients with reduced hsa\_circ\_0001017 levels (78.5%) were more than those with hsa\_circ\_0061276 (70.2%) (Fig. 3C). Almost 60% patients' hsa\_circ\_0001017 and hsa\_circ\_0061276 levels diminished in tissues and plasma at the same time. The hsa\_circ\_0001017 levels in cancer tissues were found associated with age, tumor size,

invasion, TNM stages, distal metastasis, and CEA levels (Supplementary Table S3). The hsa\_circ\_0001017 levels in plasma were found associated with gender, tumor size, differentiation, and distal metastasis (Supplementary Table S3). The hsa\_circ\_0061276 levels in cancer tissues were found associated with age, tumor size, TNM stages, distal metastasis, and CEA levels (Supplementary Table S4). The hsa\_circ\_0061276 levels in plasma were found associated with gender, tumor size, differentiation, and CEA levels (Supplementary Table S4). It is worth noting that the association with distal metastasis and tumor size were the common features shared by the hsa\_circ\_0001017 and hsa\_circ\_0061276 levels in tissue and plasma.



**Fig. 3** Expression patterns of hsa\_circ\_0001017 and hsa\_circ\_0061276 in gastric cancer tissues and the paired plasma. **A** Relative detection of hsa\_circ\_0001017 and hsa\_circ\_0061276 levels in gastric dysplasia tissues ( $n = 36$ ), gastric cancer tissues ( $n = 121$ ), and the paired adjacent non-tumorous tissues by RT-qPCR. Larger  $\Delta C_q$  value indicates lower expression. Expression changes between gastric dysplasia tissues, cancer tissues and paired non-tumorous tissues (*a, c*).  $***p < 0.001$ . There is no significant difference of hsa\_circ\_0061276 levels between gastric dysplasia tissues and cancer tissues. The purple lines connected the cancer tissue downregulated pairs; and the black lines connected the cancer tissue upregulated pairs (*b, d*). **B** Absolutely quantitative detection of hsa\_circ\_0001017 and hsa\_circ\_0061276 levels in plasma from paired pre-operation and post-operation patients with gastric cancer ( $n = 121$ ), and healthy controls ( $n = 121$ ) by RT-ddPCR. Copy number change between paired pre-operation and post-operation patients with gastric cancer (*a, c*).  $***p < 0.001$ . There is no significant difference between post-operation patients and healthy control, neither of hsa\_circ\_0001017 or hsa\_circ\_0061276 levels. The purple lines connected the post-operation elevated pairs; and the black lines connected the post-operation reduced pairs (*b, d*). **C** The proportion of patients with dysregulated hsa\_circ\_0001017 and hsa\_circ\_0061276 in gastric cancer tissues and plasma. The 0001017 and 0061276 indicate hsa\_circ\_0001017 and hsa\_circ\_0061276, respectively

**Potential diagnostic values of hsa\_circ\_0001017 and hsa\_circ\_0061276**

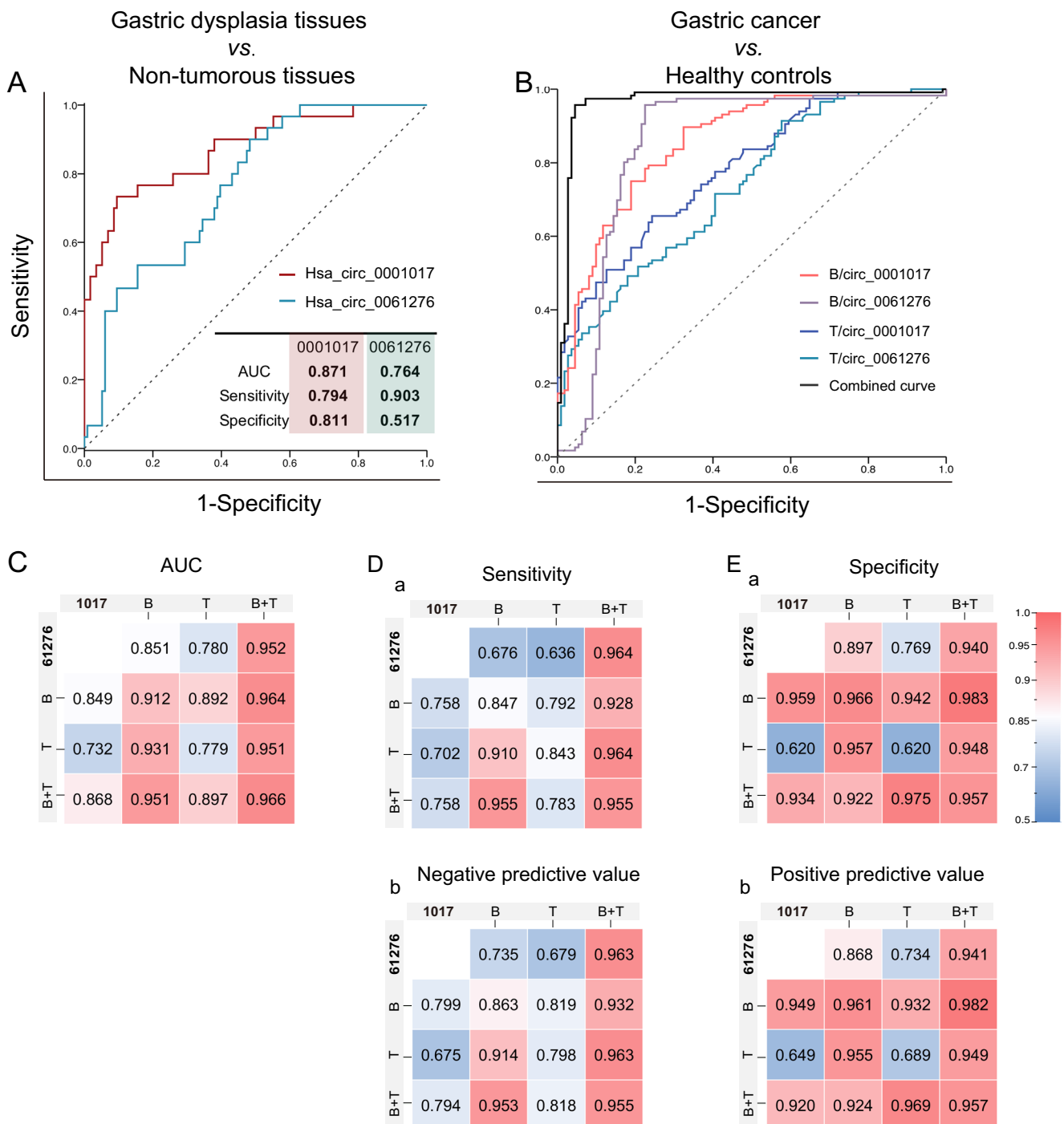
By receiver operating characteristic (ROC) curve, the diagnostic abilities of hsa\_circ\_0001017 and hsa\_circ\_0061276 to effectively differentiate gastric dysplasia from normal controls were shown in Fig. 4A. The area under ROC curve (AUC) of hsa\_circ\_0001017 reached to 0.871, with 79.4% sensitivity and 81.1% specificity, respectively. For distinguishing gastric cancer patients from healthy controls (Fig. 4B), the AUCs of cell-free hsa\_circ\_0001017 and hsa\_circ\_0061276 were found bigger than those of them in cancer tissues (Fig. 4C). It is mentionable that the AUC of the quadruple combination was up to 0.966; and the sensitivity and specificity increased to 95.5% and 95.7%, respectively (Fig. 4B-D). When combinative used of two plasma biomarkers, hsa\_circ\_0001017 and

hsa\_circ\_0061276 levels in plasma from paired pre-operation and post-operation patients with gastric cancer ( $n = 121$ ), and healthy controls ( $n = 121$ ) by RT-ddPCR. Copy number change between paired pre-operation and post-operation patients with gastric cancer (*a, c*).  $***p < 0.001$ . There is no significant difference between post-operation patients and healthy control, neither of hsa\_circ\_0001017 or hsa\_circ\_0061276 levels. The purple lines connected the post-operation elevated pairs; and the black lines connected the post-operation reduced pairs (*b, d*). **C** The proportion of patients with dysregulated hsa\_circ\_0001017 and hsa\_circ\_0061276 in gastric cancer tissues and plasma. The 0001017 and 0061276 indicate hsa\_circ\_0001017 and hsa\_circ\_0061276, respectively

hsa\_circ\_0061276, the AUC was 0.912 (Fig. 4C). In Fig. 4C, we listed the all possible combinations with four types of biomarkers to achieve the best effective diagnosis for gastric cancer. The obtained AUC, sensitivity and specificity, relative positive predictive value (PPV), and negative predictive value (NPV) were shown on the heat map (Fig. 4C-E). The NPV could be 96.3% when tissue and plasma hsa\_circ\_0001017 were combined used; and the PPV was 98.2% when plasma hsa\_circ\_0061276 was added (Fig. 4D, E).

**Hsa\_circ\_0001017 and hsa\_circ\_0061276 can be prognostic indicators of gastric cancer**

Next, hsa\_circ\_0001017 and hsa\_circ\_0061276 levels in tumor tissues and plasma from patients before operation were categorized as two groups, low or high group, according to the median



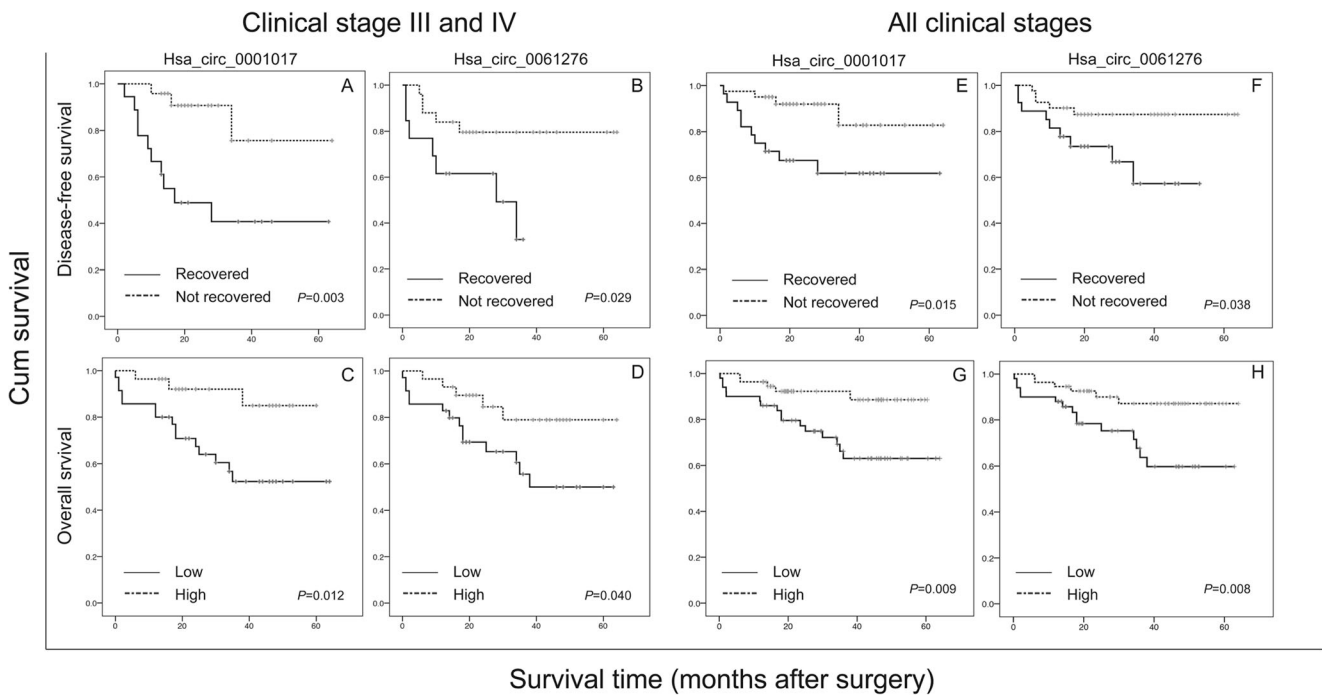
**Fig. 4** Potential diagnostic values of hsa\_circ\_0001017 and hsa\_circ\_0061276 in gastric cancer. **A** Diagnostic assessment of hsa\_circ\_0001017 and hsa\_circ\_0061276 in gastric dysplasia tissue vs. non-tumorous tissues. The AUC, sensitivity and specificity were inserted. **B** Diagnostic assessment of plasma (B/circ\_0001017) and tissues (T/circ\_0001017) hsa\_circ\_0001017, plasma (B/circ\_0061276) and tissues (T/circ\_0061276) hsa\_circ\_0061276, and quadruple combination in gastric cancer cases vs. healthy controls. **C** Pairwise comparison of the

diagnostic values of plasma and tissue hsa\_circ\_0001017 (B/circ\_0001017 and T/circ\_0001017) and hsa\_circ\_0061276 (B/circ\_0061276 and T/circ\_0061276). The obtained AUC and the corresponding specificity and specificity were shown on the heat map. B, plasma; T, tissue; 1017, hsa\_circ\_0001017; 61276, hsa\_circ\_0061276. **D** The obtained sensitivity (a) and relative negative predictive values (b). **E** The obtained specificity (a) and relative positive predictive values (b)

level. The results showed that patients in the low plasma hsa\_circ\_0001017 group had a much shorter overall survival (median OS = 60 months) than those in high group (median

OS = 84 months;  $p = 0.009$ ; Fig. 5C, G). Meanwhile, hsa\_circ\_0061276 levels in plasma from gastric cancer patients were also associated with poor prognosis of patients (Fig. 5D,





**Fig. 5** Prognostic significance of *hsa\_circ\_0001017* and *hsa\_circ\_0061276* in gastric cancer patients. **A** Kaplan–Meier analysis of disease-free survival (DFS) based on *hsa\_circ\_0001017* expression in gastric cancer patients in stages III and IV. **B** Kaplan–Meier analysis of DFS based on *hsa\_circ\_0061276* in gastric cancer patients in stages III and IV. **C** Kaplan–Meier analysis of overall survival (OS) based on *hsa\_circ\_0001017* expression in stages III and IV. **D** Kaplan–Meier analysis of

OS based on *hsa\_circ\_0061276* expression in stages III and IV. **E** Kaplan–Meier analysis of DFS based on *hsa\_circ\_0001017* expression in all patients. **F** Kaplan–Meier analysis of DFS based on *hsa\_circ\_0061276* in all patients. **G** Kaplan–Meier analysis of OS based on *hsa\_circ\_0001017* expression in all patients. **H** Kaplan–Meier analysis of OS based on *hsa\_circ\_0061276* in all patients

H). Univariate analyses and further analysis in a multivariate Cox proportional hazard model showed that plasma *hsa\_circ\_0001017* and *hsa\_circ\_0061276* were independent prognostic indicators of OS in patients with gastric cancer (Supplementary Table S5). When the patients were subdivided by tumor stage, plasma *hsa\_circ\_0001017* and *hsa\_circ\_0061276* levels could further distinguish patients with different overall survival times in stages III and IV (Fig. 5C–D).

Meanwhile, we grouped the patients as “Recovered” or “Not recovered” to monitor the recurrence rate of gastric cancer by the fold changes of plasma *hsa\_circ\_0001017* and *hsa\_circ\_0061276* before and after surgery. The results showed that the gastric cancer patients in the recovered group had a longer disease-free survival (DFS) time, no matter in all clinical stages or in the advanced clinical stages only (stages III and IV; Fig. 5A, B, E, F). Moreover, the recovery situation of *hsa\_circ\_0001017* in stage could be treated as an independent prognostic indicator of DFS in patients with gastric cancer (Supplementary Table S6).

**Hsa\_circ\_0001017 and hsa\_circ\_0061276 can be secreted from gastric cancer cells**

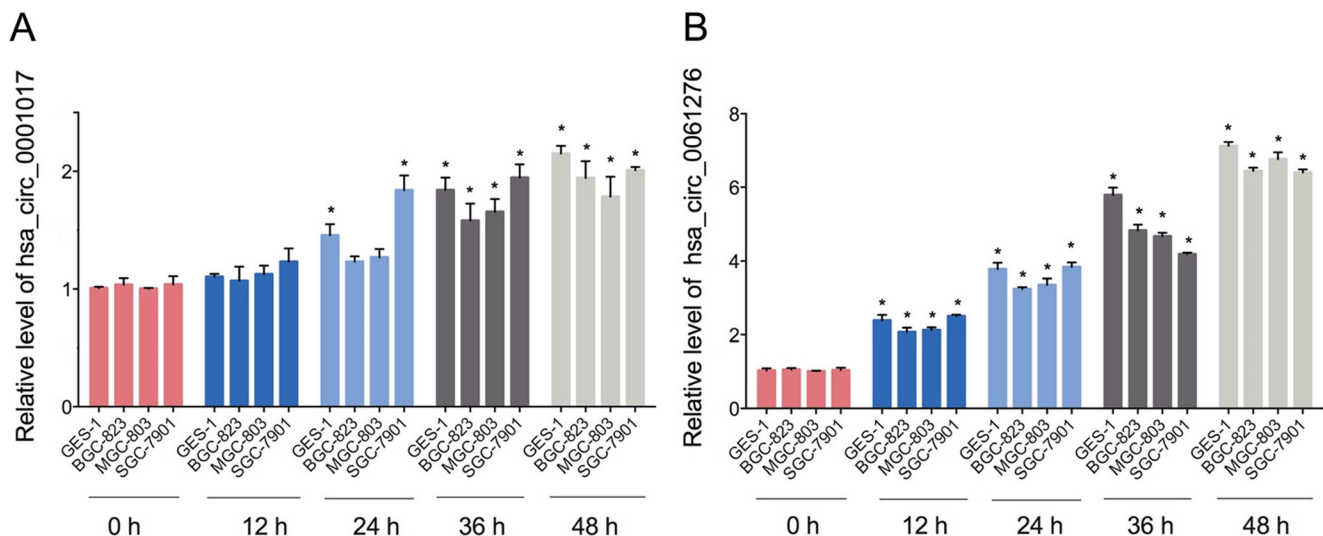
Since *hsa\_circ\_0001017* and *hsa\_circ\_0061276* may be potential biomarkers (Figs. 4 and 5), we next explored

the origin of plasma circRNAs. We observed that (1) in tissues, *hsa\_circ\_0061276* levels were lower than GAPDH mRNA levels; (2) in plasma, *hsa\_circ\_0061276* levels were higher than GAPDH mRNA levels. So, we used cultured cell model to investigate the copy number changes of *hsa\_circ\_0001017* and *hsa\_circ\_0061276* in medium. We found that *hsa\_circ\_0001017* and *hsa\_circ\_0061276* levels increased in a time-dependent manner (Fig. 6). This means that gastric cancer cells may secrete circRNAs to the medium and partially explain the detectable circRNAs in patients’ plasma.

**Discussion**

An ideal biomarker should be non-invasive, accurate, inexpensive, specific, sensitive, reliable, and reproducible [23–25]. Consistent with this idea, body fluids are the commonly used materials in the diagnosis of multiple human diseases [24, 25]. Especially, plasma has significant advantages in reflecting gastrointestinal diseases [4, 26].

As so far, microRNAs (miRNAs) have been widely reported to be a kind of biomarkers in body fluids [27, 28]. In recent years, many attentions are attracted by circRNAs, a new member of non-coding RNA family [17, 18]. CircRNAs



**Fig. 6** The relative expression levels of hsa\_circ\_0001017 (**A**) and hsa\_circ\_0061276 (**B**) in cultured cell supernatant. Comparing with 0, 12, 24, 36, and 48 h. \* $p < 0.05$ .  $n = 3$

in tissue samples have been demonstrated to associate with tumor invasion, metastasis, and patient prognosis [29–31]. Importantly, the high stability of circRNAs conferred by their circular structure is beneficial for being a novel plasma biomarker [17].

It is declared that ddPCR or RT-ddPCR performed better in the accurate quantification of trace amount of nucleotides in plasma with high resolution, while the disability or large error was showed by qPCR or RT-qPCR [32, 33]. In this study, we compared the different quantification approaches of RT-qPCR and RT-ddPCR. With the highest correlation coefficients, the absolute quantification method by RT-ddPCR was confirmed to be most appropriate calculation method for circRNAs in tissues and plasma (Fig. 2B).

In the economic aspects, the application of dye in ddPCR cost less comparing with probe methods [13, 14], making it more adaptable in laboratory medicine. EvaGreen only has one kind of fluorescence. The ability to multiplex two and more kinds of gene in a single-color ddPCR system is contingent on the ability to distinguish between double-negative, single-positive, and double-positive droplet clusters. In usual, higher primer concentration and larger amplicon size will elevate the position of droplet population, vice versa. Inspired by this, we modulated these parameters to make it a highly flexible platform for simultaneously detection of several circRNAs (Supplementary Table S1). Our strategy is able to efficiently distinguish the droplets population of negative, GAPDH, hsa\_circ\_0061276, and hsa\_circ\_0001017 (Fig. 2A).

In this study, we first investigated the global expression profile of cell-free circRNAs in gastric cancer patients' plasma by a high-throughput microarray. Compared with healthy controls, 343 significantly dysregulated cell-free circRNAs were observed (Fig. 1A, B).

Our data indicated that the expression patterns of hsa\_circ\_0001017 and hsa\_circ\_0061276 in expanded sample sizes of plasma and tissue samples were consistent with the results of microarray analysis. The levels of hsa\_circ\_0001017 and hsa\_circ\_0061276 in pre-operation plasma and gastric cancer tissues were found lower than those in the controls (Fig. 3A, B). The average copy numbers of cell-free hsa\_circ\_0001017 and hsa\_circ\_0061276 increased to normal levels after 10 days of operation (Fig. 3B). In the following analysis, the copy number changes of those circRNAs in plasma from post-operation patients were confirmed to be independent monitoring indicators for the recurrence of gastric cancer (Fig. 5A, B, E, F). Furthermore, the reduced hsa\_circ\_0001017 and hsa\_circ\_0061276 in plasma of gastric cancer patients were significantly correlated with higher mortality in the overall gastric cancer patients and TNM III–IV stage cohort (Fig. 5C, D, G, H). Finally, the in vitro model indicated that gastric cancer cells may secrete circRNAs into plasma (Fig. 6).

Gastric epithelial dysplasia represents a recognized precursor lesion of gastric cancer [5, 34]. That the significantly reduced hsa\_circ\_0001017 expression in gastric epithelial dysplasia tissues compared to non-tumorous tissues (Fig. 3A) and the effective diagnosis (with AUC up to 0.871, Fig. 4A) indicated that hsa\_circ\_0001017 may be a predictor for early diagnosis of gastric cancer.

The gastric cancer tissue and plasma hsa\_circ\_0001017 and hsa\_circ\_0061276 levels were closely related with major clinicopathological factors (Supplementary Table S3 and Table S4). All these results strongly suggested that hsa\_circ\_0001017 and hsa\_circ\_0061276 may be novel potential gastric cancer-associated biomarkers.

Due to the low sensitivity or specificity of the existing blood biomarkers of gastric cancer, a panel approach of combinations will allow us to pursue more precise diagnosis. As

shown in Fig. 4C, we evaluated the pairwise combination of four independent indexes from hsa\_circ\_0001017 and hsa\_circ\_0061276. Overall, in all the datasets, the AUC of combinative use of hsa\_circ\_0001017 and hsa\_circ\_0061276 was up to 0.966, with PPV = 95.7% and NPV = 95.5% (Fig. 4C-E). A combination of plasma hsa\_circ\_0001017 and hsa\_circ\_0061276 for non-invasion diagnosis exhibited 0.912 of AUC, with a high sensitivity and PPV up to 97.6% and 96.1%, respectively. These mean that diagnosis in combination with hsa\_circ\_0001017 and hsa\_circ\_0061276 will be in satisfactory specificity and sensitivity. Nevertheless, to confirm the specificity of this method, larger cohort of patients should be analyzed in the future.

In conclusion, our study first revealed the cell-free circRNAs profile in plasma of patients with gastric cancer. Further, we found that hsa\_circ\_0001017 and hsa\_circ\_0061276 both decreased in gastric cancer tissues and patients' plasma. Moreover, their levels were tightly associated with main clinicopathological features of patients with gastric cancer. As a result, hsa\_circ\_0001017 and hsa\_circ\_0061276 may be valuable blood-based biomarkers, either alone or in combination, to screen gastric cancer and estimate prognosis.

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#### Compliance with ethical standards

**Conflict of interest** The authors declare that they have no competing interests.

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