

# Fourier Inversion of Distributions Supported by a Hypersurface

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**Abstract** Let  $\mu_\Sigma$  be the natural measure on  $\mathbf{R}^N$  ( $N \geq 3$ ) supported by a compact oriented analytic hypersurface  $\Sigma$ ,  $\psi$  a smooth function on  $\mathbf{R}^N$  and  $P(D)$  a differential operator in  $N$  variables of order  $m$ . We determine a sufficient condition on the number  $\lambda$  such that the Fourier integral of the distribution  $P(D)\psi\mu_\Sigma$  be summable by Cesàro means of order  $\lambda$  to zero in a point outside the hypersurface. This condition depends on  $m$  and on the position of the point with respect to the caustic of the hypersurface.

**Keywords** Fourier transform · Distribution · Hypersurface · Cesàro means

**Mathematics Subject Classification (2000)** Primary 42B10 · Secondary 46F12

## 1 Introduction

Although the Fourier inversion problem—how to reconstruct an integrable function  $f$  from its Fourier transform  $\mathcal{F}f$ —has been thoroughly investigated, some interesting phenomena remained long overlooked. For example, in 1953 Hewitt observed that, in the apparently simple case of  $f$  being the indicator function  $\chi_B$  of the unit ball  $B = B(0, 1)$  in  $\mathbf{R}^N$ , the Fourier integral of  $\chi_B$ ,

$$\int_{\|t\| \leq R} \mathcal{F}\chi_B(t) e^{2\pi i(x|t)} dt,$$

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converges, for  $R$  tending to  $+\infty$ , everywhere except at  $x = 0$  if  $N \geq 3$  [9, Theorem 3.10, p. 468]. This phenomenon surfaced again in 1993 when Pinsky established necessary and sufficient conditions for the pointwise Fourier inversion of piecewise smooth functions on  $\mathbf{R}^N$  [10]. But it was only in 1997 that this difference in behaviour was better understood, when Pinsky and Taylor, and Popov separately, studied, instead of the unit ball, an open set  $U$  whose boundary  $\Sigma$  is a regular hypersurface. They showed that the rate of convergence of the Fourier integral of  $\chi_U$  at  $x \notin \Sigma$  depends on the position of  $x$  with respect to the caustic of  $\Sigma$  [11, 12].

In 2000, the first author showed that a pointwise Fourier inversion is also partially possible for distributions on  $\mathbf{R}^N$  when summation methods are used: the Fourier integral of a distribution with compact support is summable to zero by Cesàro means outside the support of the distribution [6]. He then studied in more detail the case of derivatives of the natural measure on  $\mathbf{R}^2$  supported by a regular closed curve  $\gamma$  [7] and showed that the order  $\lambda$  of the Cesàro means which permit the Fourier inversion of these distributions at a point  $x \notin \gamma$  depends on the position of  $x$  with respect to the caustic of  $\gamma$  in a way perfectly analogous to the dependencies established by [11] and [12] for  $\chi_U$ .

Here we extend that work to the case of the natural measure on  $\mathbf{R}^N$  ( $N \geq 3$ ) supported by a compact oriented analytic hypersurface and some distributions constructed from it. Before establishing our main result in Sect. 6, we prove in Sect. 3 two auxiliary results about Cesàro summability, recall in Sect. 4 useful facts about oscillatory integrals and study in Sect. 5 two ways of defining the caustic of a hypersurface. But first we introduce some notations in Sect. 2. In Sect. 7 finally, we consider the example of an ellipsoid in  $\mathbf{R}^3$  with axes of different lengths.

## 2 Preliminaries

We let  $\mathbf{N} := \{1, 2, 3, \dots\}$  and  $\mathbf{N}_0 := \{0\} \cup \mathbf{N}$ . Given a multiindex  $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbf{N}_0^n$ , we put

$$|\alpha| := \alpha_1 + \dots + \alpha_n, \quad \alpha! := \alpha_1! \dots \alpha_n!, \quad D^\alpha := \frac{\partial^{|\alpha|}}{\partial x_1^{\alpha_1} \dots \partial x_n^{\alpha_n}}$$

and, if  $x \in \mathbf{R}^n$ ,

$$x^\alpha := x_1^{\alpha_1} \dots x_n^{\alpha_n}.$$

For  $1 \leq k \leq n$ ,  $\epsilon_k$  is the multiindex given by  $(\epsilon_k)_l = \delta_{kl}$ , and we put

$$\partial_k := D^{\epsilon_k}, \quad \partial_{kl}^2 := \partial_l \partial_k.$$

If  $F$  is a subset of  $\mathbf{R}^n$ , we write  $\chi_F$  the indicator function of  $F$ . If  $f$  is a real valued function, we define  $f_+ := \max(f, 0)$ . We write  $(x|y)$  the usual scalar product of  $x, y \in \mathbf{R}^n$ .

The Bessel function  $J_\nu$  of the first kind and order  $\nu$  has an asymptotic expansion which can be written as follows: given  $p \in \mathbf{N}$  and  $R > 0$ , there exist a constant  $C_{\nu p}$

and an analytic function  $\Upsilon_{vp}$  such that, for all  $t \geq R$ ,

$$J_\nu(t) = \Re \left[ e^{it} \sum_{m=0}^{p-1} c_{vm} t^{-m-1/2} + \Upsilon_{vp}(t) \right] \tag{1}$$

and

$$|\Upsilon_{vp}(t)| \leq C_{vp} t^{-p-1/2},$$

where the constants  $c_{vm} \in \mathbb{C} \setminus \{0\}$  [16, pp. 198–199].

### 3 Cesàro Summability

Let  $b \in L^1_{loc}(\mathbb{R}_+)$ ,  $B \in \mathbb{C}$  and  $\lambda \geq 0$ . The integral  $\int_0^{+\infty} b(t) dt$  is said to be *summable in Cesàro means of order  $\lambda$*  (or  $(C, \lambda)$ -summable) to  $B \in \mathbb{C}$  if

$$\lim_{R \rightarrow +\infty} \int_0^R (1 - t/R)^\lambda b(t) dt = B.$$

*Remark 1* 1. If  $b$  is integrable,  $\int_0^{+\infty} b(t) dt$  is  $(C, 0)$ -summable to the integral of  $b$ .

2. If  $\int_0^{+\infty} b(t) dt$  is  $(C, \lambda)$ -summable to  $B$ , it is  $(C, \lambda')$ -summable to  $B$  for all  $\lambda' \geq \lambda$  [14, p. 27].

3. The Cesàro means are also called Riesz means.

**Proposition 1** Let  $\lambda \geq 0$ ,  $\alpha > -1$ ,  $m \in \mathbb{N}_0$  and  $c > 0$ . We write for all  $R > 0$

$$I(R) := \int_0^R (1 - t/R)^\lambda t^\alpha (\ln t)^m e^{ct} dt.$$

i) If  $\lambda > \alpha$ , we have

$$\lim_{R \rightarrow +\infty} I(R) = e^{(\alpha+1)\pi i/2} \sum_{m_1+m_2=m} \frac{m!}{m_1! m_2!} \left(\frac{\pi i}{2}\right)^{m_1} \int_0^{+\infty} t^\alpha (\ln t)^{m_2} e^{-ct} dt.$$

ii) If  $\lambda = \alpha$ , we have, as  $R \rightarrow +\infty$ ,

$$I(R) \approx e^{(\alpha+1)\pi i/2} \sum_{m_1+m_2=m} \frac{m!}{m_1! m_2!} \left(\frac{\pi i}{2}\right)^{m_1} \int_0^{+\infty} t^\alpha (\ln t)^{m_2} e^{-ct} dt + e^{-(\alpha+1)\pi i/2} (\ln R)^m e^{icR} \int_0^{+\infty} t^\alpha e^{-ct} dt.$$

*Proof* Let  $\text{Log}$  be the principal branch of the logarithm on  $\mathbb{C} \setminus ]-\infty, 0]$ . For any  $w \in \mathbb{C}$  and  $z \in \mathbb{C} \setminus ]-\infty, 0]$  put  $z^w := \exp(w \text{Log } z)$ . For  $R > 0$  fixed, we write

$$f_R(z) := (1 - z/R)^\lambda z^\alpha (\text{Log } z)^m e^{icz};$$

the function  $f_R$  is holomorphic on  $\mathbb{C} \setminus (]-\infty, 0] \cup [R, +\infty[)$ . We choose  $\rho, \tau$  such that  $0 < \rho < 1/e$  and  $R < \tau$ ; we now consider the rectangle  $D$  with corners  $0, R, R + i\tau, i\tau$  and indented around  $0$  and  $R$  with quarters of circles of radius  $\rho$ . Integrating  $f_R$  along  $D$  we get by Cauchy

$$\oint f_R(\zeta)d\zeta = 0. \tag{2}$$

But

$$\begin{aligned} & \int_{[R+i\tau, i\tau]} f_R(\zeta)d\zeta \\ &= - \int_0^R (1 - (i\tau + s)/R)^\lambda (i\tau + s)^\alpha (\text{Log}(i\tau + s))^m e^{ic(i\tau+s)} ds \\ &= -R^{-\lambda} e^{-c\tau} \int_0^R (R - s - i\tau)^\lambda (i\tau + s)^\alpha (\ln|i\tau + s| + i \operatorname{arccot}(s/\tau))^m e^{ics} ds \end{aligned}$$

and, due to the factor  $e^{-c\tau}$ , we find

$$\lim_{\tau \rightarrow +\infty} \int_{[R+i\tau, i\tau]} f_R(\zeta)d\zeta = 0.$$

Similarly, the integrals of  $f_R$  along the quarters of circles around  $0$  and  $R$  which are parts of  $D$  converge to zero when  $\rho$  tends to zero. Hence, by (2), we have

$$I(R) = \int_{[0,R]} f_R(\zeta)d\zeta = \lim_{\tau \rightarrow +\infty} \left( - \int_{[R, R+i\tau]} f_R(\zeta)d\zeta - \int_{[i\tau, 0]} f_R(\zeta)d\zeta \right).$$

Let us study these two last integrals. Firstly,

$$\begin{aligned} & \int_{[R, R+i\tau]} f_R(\zeta)d\zeta \\ &= \int_0^\tau (1 - (R + it)/R)^\lambda (R + it)^\alpha (\log(R + it))^m e^{ic(R+it)} i dt \\ &= -(-i)^{\lambda+1} R^{\alpha-\lambda} e^{icR} \int_0^\tau t^\lambda (1 + it/R)^\alpha (\ln R + \ln|1 + it/R| \\ &\quad + i \operatorname{Arg}(1 + it/R))^m e^{-ct} dt. \end{aligned}$$

Due to the factor  $e^{-ct}$ , this integral converges when  $\tau$  tends to  $+\infty$  and

$$\begin{aligned} & \lim_{\tau \rightarrow +\infty} \int_{[R, R+i\tau]} f_R(\zeta)d\zeta \\ &= -(-i)^{\lambda+1} R^{\alpha-\lambda} e^{icR} \sum_{m_1+m_2+m_3=m} \frac{m!}{m_1! m_2! m_3!} \end{aligned}$$

$$\times \int_0^{+\infty} t^\lambda (1 + it/R)^\alpha (\ln R)^{m_1} (\ln |1 + it/R|)^{m_2} (i \arctan(t/R))^{m_3} e^{-ct} dt.$$

When  $R$  tends to  $+\infty$ , these integrals converge to 0 in the case  $m_2 > 0$  or  $m_3 > 0$  (since, for all  $s \geq 0$ ,  $\ln(1 + s) \leq s$  and  $\arctan(s) \leq s$ ). Therefore  $\lim_{\tau \rightarrow +\infty} \int_{[R, R+i\tau]} f_R(\zeta) d\zeta$  behaves, when  $R$  tends to  $+\infty$ , as

$$-(-i)^{\lambda+1} R^{\alpha-\lambda} (\ln R)^m e^{icR} \int_0^{+\infty} t^\lambda e^{-ct} dt.$$

In particular, it converges to zero if  $\lambda > \alpha$  and, if  $\lambda = \alpha$ , it gives the last term in ii). Secondly,

$$\begin{aligned} \int_{[i\tau, 0]} f_R(\zeta) d\zeta &= - \int_0^\tau (1 - it/R)^\lambda (it)^\alpha (\log(it))^m e^{ic(it)} i dt \\ &= -i^{\alpha+1} \int_0^\tau (1 - it/R)^\lambda t^\alpha (\ln t + \pi i/2)^m e^{-ct} dt. \end{aligned}$$

Due to the factor  $e^{-ct}$ , this integral converges when  $\tau$  tends to  $+\infty$  and

$$\begin{aligned} \lim_{\tau \rightarrow +\infty} \int_{[i\tau, 0]} f_R(\zeta) d\zeta \\ = -i^{\alpha+1} \sum_{m_1+m_2=m} \frac{m!}{m_1! m_2!} \left(\frac{\pi i}{2}\right)^{m_1} \int_0^{+\infty} (1 - it/R)^\lambda t^\alpha (\ln t)^{m_2} e^{-ct} dt; \end{aligned}$$

this converges, when  $R$  tends to  $+\infty$ , to

$$-i^{\alpha+1} \sum_{m_1+m_2=m} \frac{m!}{m_1! m_2!} \left(\frac{\pi i}{2}\right)^{m_1} \int_0^{+\infty} t^\alpha (\ln t)^{m_2} e^{-ct} dt.$$

The conclusion follows. □

*Remark 2* This proof is inspired by [8, p. 353].

**Proposition 2** Let  $\alpha \leq -1$ ,  $m \in \mathbf{N}_0$ ,  $c > 0$  and  $R_0 > 0$ . The integral

$$\int_0^{+\infty} \chi_{]R_0, +\infty[}(t) t^\alpha (\ln t)^m e^{ict} dt$$

is  $(C, \lambda)$ -summable for any  $\lambda \geq 0$ .

*Proof* It suffices to prove that this integral is  $(C, 0)$ -summable. An integration by parts gives, for  $R > R_0$ ,

$$\int_{R_0}^R t^\alpha (\ln t)^m e^{ict} dt$$

$$= \frac{1}{ic} \left[ t^\alpha (\ln t)^m e^{ict} \right]_{R_0}^R - \frac{1}{ic} \int_{R_0}^R \left( \alpha (\ln t)^m + m (\ln t)^{m-1} \right) t^{\alpha-1} e^{ict} dt.$$

When  $R$  tends to  $+\infty$ , the right-hand side converges since  $\alpha \leq -1$ . □

### 4 Oscillatory Integrals

**Proposition 3** *Let  $g \in C^\infty(\mathbf{R}^n, \mathbf{C})$  a function with compact support and  $\varphi \in C^\infty(\mathbf{R}^n, \mathbf{R})$  a function which has no critical point on the support of  $g$ . Then, as  $\tau \rightarrow +\infty$ ,*

$$\int_{\mathbf{R}^n} g(x) e^{i\tau\varphi(x)} dx = O(\tau^{-p})$$

for any  $p \in \mathbf{N}_0$ .

*Proof* This is [13, Proposition 4, p. 341]. □

**Proposition 4** *Let  $g \in C^\infty(\mathbf{R}^n, \mathbf{C})$  a function with compact support and  $\varphi \in C^\infty(\mathbf{R}^n, \mathbf{R})$ . We assume that  $\varphi$  has an isolated, non-degenerate critical point  $x_0$ . If the support of  $g$  is contained in a sufficiently small neighbourhood of  $x_0$ , we have the following asymptotic expansion, for  $\tau \rightarrow +\infty$ ,*

$$\int_{\mathbf{R}^n} g(x) e^{i\tau\varphi(x)} dx \sim e^{i\tau\varphi(x_0)} \tau^{-n/2} \sum_{j=0}^{+\infty} a_j \tau^{-j}.$$

*Proof* This is [5, Theorem 1, p. 152]. □

**Proposition 5** *Let  $g \in C^\infty(\mathbf{R}^n, \mathbf{C})$  a function with compact support and  $\varphi \in C^\infty(\mathbf{R}^n, \mathbf{R})$ . We assume that  $\varphi$  has an isolated critical point  $x_0$  and that  $\varphi$  is analytic in a neighbourhood of  $x_0$ . If the support of  $g$  is contained in a sufficiently small neighbourhood of  $x_0$ , we have the following asymptotic expansion, for  $\tau \rightarrow +\infty$ ,*

$$\int_{\mathbf{R}^n} g(x) e^{i\tau\varphi(x)} dx \sim e^{i\tau\varphi(x_0)} \sum_{\alpha} \sum_{k=0}^{n-1} a_{\alpha,k} \tau^\alpha (\ln \tau)^k, \tag{3}$$

where the parameter  $\alpha$  runs through finitely many arithmetic progressions which depend only upon  $\varphi$  and are formed from negative rational numbers. The coefficients  $a_{\alpha,k}$  depend upon  $g$  and  $\varphi$ .

*Proof* This is [2, Theorem 6.3, p. 181]. □

In connection with this proposition we introduce some definitions. The *set of indices* of a phase  $\varphi$  analytic around a critical point  $x_0$  is the collection of the numbers  $\alpha$  such that, for every neighbourhood  $V$  of  $x_0$ , there exists  $g \in C^\infty(\mathbf{R}^n, \mathbf{C})$  with compact support included in  $V$  such that in the corresponding asymptotic expansion (3)

there is a  $k$  in  $\{0, 1, \dots, n-1\}$  with  $a_{\alpha,k} \neq 0$ . The *oscillation index* of a phase  $\varphi$  analytic around a critical point  $x_0$  is the maximum of its set of indices; we write it  $\beta$ . The *singularity index* of a phase  $\varphi$  in  $n$  variables analytic around a critical point  $x_0$  is its oscillation index plus  $n/2$ ; we write it  $\gamma$ , i.e.  $\gamma := \beta + n/2$ .

## 5 Caustic and Curvature

Let us consider a general oscillatory integral

$$\int_{\mathbf{R}^n} \psi(x) e^{iR\varphi_t(x)} dx$$

where the phase  $\varphi$  is a function of  $(t, x) \in \mathbf{R}^m \times \mathbf{R}^n$ . We will consider  $x$  as a variable and  $t$  as a parameter (in particular, all partial derivatives of  $\varphi$  will be with respect to  $x_j$ ,  $j = 1, \dots, n$ ). The *caustic*  $K_\varphi$  of the phase is the set of parameters  $t$  such that the function  $x \mapsto \varphi_t(x)$  has at least one degenerate critical point; in other words, writing  $H\varphi_t$  the Hessian matrix of  $\varphi_t$ ,

$$K_\varphi := \{t \mid \text{grad } \varphi_t(x) = 0 \text{ and } \det H\varphi_t(x) = 0 \text{ for some } x\}.$$

Consider now a compact oriented  $(N-1)$ -dimensional analytic submanifold  $\Sigma$  of  $\mathbf{R}^N$  (where  $N \geq 3$ ), that is, a hypersurface. For simplicity, we first assume that  $\Sigma$  is given by only one analytic parametrisation  $f: \Omega \rightarrow \mathbf{R}^N$ , where  $\Omega \subset \mathbf{R}^{N-1}$  is bounded and  $f(\Omega) = \Sigma$ . We will study the phase  $\varphi: (\mathbf{R}^N \setminus \Sigma) \times \Omega \rightarrow \mathbf{R}$  defined by

$$(a, u) \mapsto \varphi_a(u) := \|f(u) - a\|,$$

so that  $u \in \Omega \subset \mathbf{R}^{N-1}$  is the variable and  $a \in \mathbf{R}^N \setminus \Sigma$  the parameter. For this, we recall that the first fundamental form of  $\Sigma$  is the matrix  $g$  of functions  $g_{ij}: \Omega \rightarrow \mathbf{R}$  (where  $1 \leq i, j \leq N-1$ ) defined by

$$g_{ij}(u) := (\partial_i f(u) | \partial_j f(u))$$

and that the second fundamental form of  $\Sigma$  is the matrix  $h$  of functions  $h_{ij}: \Omega \rightarrow \mathbf{R}$  (where  $1 \leq i, j \leq N-1$ ) defined by

$$h_{ij}(u) := (\partial_{ij}^2 f(u) | n(u))$$

where  $n(u)$  is the unitary normal vector to  $\Sigma$  at the point  $f(u)$ :

$$n(u) := \partial_1 f(u) \wedge \dots \wedge \partial_{N-1} f(u) / \sqrt{\det g(u)}$$

[3, p. 216]. The principal curvatures of  $\Sigma$  at  $f(u)$ , written  $\kappa_i(u)$  (where  $1 \leq i \leq N-1$ ) are the eigenvalues of the matrix  $g^{-1}(u)h(u)$  [3, p. 242]. For every principal curvature  $\kappa_i$ , the set

$$\Gamma(\kappa_i) := \{x \in \mathbf{R}^N \mid \exists u \in \Omega \text{ with } \kappa_i(u) \neq 0 \text{ and } x = f(u) + n(u)/\kappa_i(u)\}$$

is the *focal surface* of  $\Sigma$  associated to the principal curvature  $\kappa_i$ . Finally we write  $\Gamma_\Sigma$  the union of all focal surfaces of  $\Sigma$  associated to the principal curvatures of  $\Sigma$ .

**Proposition 6** *With the above assumptions and notations, the caustic of the phase  $\varphi_a(u) = \|f(u) - a\|$  is equal to  $\Gamma_\Sigma$ .*

*Proof* Let  $a$  be a point in the caustic of  $\varphi$ ; this means there exists  $\bar{u} \in \Omega$  such that  $\text{grad } \varphi_a(\bar{u}) = 0$  and  $\det H\varphi_a(\bar{u}) = 0$ . But

$$\partial_i \varphi_a(u) = \frac{1}{\varphi_a(u)} (\partial_i f(u) | f(u) - a).$$

Hence  $\text{grad } \varphi_a(\bar{u}) = 0$  implies that the vector  $f(\bar{u}) - a$  is normal to the tangent space to  $\Sigma$  at  $f(\bar{u})$  or, equivalently, that there exists  $\beta_{\alpha, \bar{u}} \in \mathbf{R} \setminus \{0\}$  with  $f(\bar{u}) - a = \beta_{\alpha, \bar{u}} n(\bar{u})$ . Moreover

$$\begin{aligned} \partial_{ij}^2 \varphi_a(u) &= -\frac{\partial_j \varphi_a(u)}{(\varphi_a(u))^2} (\partial_i f(u) | f(u) - a) \\ &\quad + \frac{1}{\varphi_a(u)} \left( (\partial_i f(u) | \partial_j f(u)) + (\partial_{ij}^2 f(u) | f(u) - a) \right) \\ &= \frac{1}{\varphi_a(u)} \left( -\partial_j \varphi_a(u) \partial_i \varphi_a(u) + g_{ij}(u) + (\partial_{ij}^2 f(u) | f(u) - a) \right). \end{aligned}$$

Since  $\varphi_a(\bar{u}) = \|f(\bar{u}) - a\| = \|\beta_{\alpha, \bar{u}} n(\bar{u})\| = |\beta_{\alpha, \bar{u}}|$ , we get

$$\partial_{ij}^2 \varphi_a(\bar{u}) = \frac{1}{|\beta_{\alpha, \bar{u}}|} (g_{ij}(\bar{u}) + \beta_{\alpha, \bar{u}} h_{ij}(\bar{u})).$$

By assumption,  $\det H\varphi_a(\bar{u}) = 0$  which implies  $\det(g(\bar{u}) + \beta_{\alpha, \bar{u}} h(\bar{u})) = 0$  or, since  $g(\bar{u})$  is an invertible matrix,  $\det(I + \beta_{\alpha, \bar{u}} g^{-1}(\bar{u}) h(\bar{u})) = 0$ . In other words,  $-\beta_{\alpha, \bar{u}}^{-1}$  is an eigenvalue of the matrix  $g^{-1}(\bar{u}) h(\bar{u})$ , that is, there exists  $1 \leq l \leq N - 1$  such that  $\beta_{\alpha, \bar{u}} = -1/\kappa_l(\bar{u})$  and therefore

$$f(\bar{u}) - a = -n(\bar{u})/\kappa_l(\bar{u}).$$

We have thus showed that  $a \in \Gamma(\kappa_l) \subset \Gamma_\Sigma$ .

The other inclusion is easily proved by going backwards in the above calculations. □

In case the hypersurface  $\Sigma$  is given by a finite family of parametrisations  $\{(f_i, \Omega_i) \mid i = 1, \dots, i_0\}$ , we put  $\Gamma_\Sigma := \bigcup_{i=1}^{i_0} \Gamma_{\Sigma_i}$ , where  $\Sigma_i := f(\Omega_i)$ . To each parametrisation  $(f_i, \Omega_i)$  corresponds the phase  $\varphi_a^i : (\mathbf{R}^N \setminus \Sigma_i) \times \Omega_i \rightarrow \mathbf{R}$  defined by  $(a, u) \mapsto \varphi_a^i(u) := \|f_i(u) - a\|$ . We write  $K(\Sigma) := \bigcup_{i=1}^{i_0} K_{\varphi_i}$  and call it the *caustic* of the hypersurface  $\Sigma$ . These definitions do not depend upon the choice of the parametrisations  $(f_i, \Omega_i)$  and we have  $\Gamma_\Sigma = K(\Sigma)$ .

### 6 Fourier Inversion of Distributions

Let  $\Sigma$  be a compact oriented  $(N - 1)$ -dimensional analytic submanifold of  $\mathbf{R}^N$  ( $N \geq 3$ ). There exist a finite family  $\{(\Omega_i, \phi_i) \mid i = 1, \dots, i_0\}$  of local analytic para-



metrisations of  $\Sigma$  and a finite partition of unity  $\{\theta_i \mid i = 1, \dots, i_0\}$  on  $\Sigma$  such that  $\Omega_i$  is bounded for every  $i = 1, \dots, i_0$ ,  $\Sigma \subset \bigcup_{i=1}^{i_0} \phi_i(\Omega_i)$  and  $\text{supp } \theta_i \subset \phi_i(\Omega_i)$  for every  $i = 1, \dots, i_0$ . We write  $W_i := \phi_i(\Omega_i)$  for every  $i = 1, \dots, i_0$ .

Fix  $l$  in  $\{1, \dots, i_0\}$ . Let  $g^l(u) = (g^l_{ij}(u))_{1 \leq i, j \leq N-1}$  be the matrix of the first fundamental form of  $\phi_l$ :  $g^l_{ij}(u) := (\partial_i \phi_l(u) \mid \partial_j \phi_l(u))$ , and  $J\phi_l(u) du := \sqrt{\det g^l(u)} du$  the measure on  $\Omega_l$  induced by the parametrisation  $\phi_l$ . Given a function  $f : \Sigma \rightarrow \mathbf{C}$  with support in  $W_l$ , if  $(f \circ \phi_l) \cdot J\phi_l$  is integrable on  $\Omega_l$  we define

$$\int_{W_l} f(y) d\sigma(y) := \int_{\Omega_l} f(\phi_l(u)) J\phi_l(u) du.$$

With no assumption on the support of  $f : \Sigma \rightarrow \mathbf{C}$ , suppose that  $((f \cdot \theta_i) \circ \phi_i) \cdot J\phi_i$  is integrable on  $\Omega_i$  for every  $i = 1, \dots, i_0$ ; we then say that  $f$  is integrable on  $\Sigma$  and define

$$\mu_\Sigma(f) = \int_\Sigma f(y) d\sigma(y) := \sum_{i=1}^{i_0} \int_{W_i} f(y) \theta_i(y) d\sigma(y).$$

In this way we define the *natural measure*  $\mu_\Sigma$  on  $\Sigma$  (it is independent of the choice of the parametrisations and the partition of unity); it can be seen as a distribution on  $\mathbf{R}^N$  of order 0 and compact support included in  $\Sigma$ .

The Fourier transform of a distribution  $T$  on  $\mathbf{R}^N$  with compact support is the analytic function  $\mathcal{F}T$  defined on  $\mathbf{R}^N$  by  $\mathcal{F}T(x) := T(y \mapsto e^{-2\pi i(x|y)})$ .

Let  $P(D) := \sum_{|\alpha| \leq m} c_\alpha D^\alpha$  be a partial differential operator in  $N$  variables with constant coefficients of order  $m$ . Let also  $\psi \in C^\infty(\mathbf{R}^N, \mathbf{R})$ . We will study, for a fixed point  $a$  in  $\mathbf{R}^N \setminus \Sigma$ , the behaviour of

$$\int_{\mathbf{R}^N} (1 - \|\xi\|/R)_+^\lambda \mathcal{F}[P(D)\psi\mu_\Sigma](\xi) e^{2\pi i(a|\xi)} d\xi.$$

More precisely, we want to find  $\lambda_0 \in \mathbf{R}$  such that, for every  $\lambda > \lambda_0$ , this integral converges when  $R \rightarrow +\infty$ .

We say that *the distance to  $\Sigma$  of the point  $a \in \mathbf{R}^N \setminus \Sigma$  has a finite number of critical points* if, for every  $i = 1, \dots, i_0$ , the function  $u \mapsto \|\phi_i(u) - a\|$  has only finitely many critical points in  $\Omega_i$ . From now on, we will suppose that  $a$  satisfies this condition.

*First step.* Let us calculate

$$\begin{aligned} & \int_{\mathbf{R}^N} (1 - \|\xi\|/R)_+^\lambda \mathcal{F}[D^\alpha \psi \mu_\Sigma](\xi) e^{2\pi i(a|\xi)} d\xi \\ & \int_{\mathbf{R}^N} (1 - \|\xi\|/R)_+^\lambda (2\pi i \xi)^\alpha \mathcal{F}[\psi \mu_\Sigma](\xi) e^{2\pi i(a|\xi)} d\xi \\ & \int_{\mathbf{R}^N} (1 - \|\xi\|/R)_+^\lambda (2\pi i \xi)^\alpha \left( \int_\Sigma e^{-2\pi i(y|\xi)} \psi(y) d\sigma(y) \right) e^{2\pi i(a|\xi)} d\xi \\ & \int_{\mathbf{R}^N} (1 - \|\xi\|/R)_+^\lambda (2\pi i \xi)^\alpha \left( \int_\Sigma e^{-2\pi i(y-a|\xi)} \psi(y) d\sigma(y) \right) d\xi \end{aligned}$$

$$\int_0^{+\infty} \left[ \int_{S^{N-1}} (1-r/R)_+^\lambda (2\pi i r \eta)^\alpha \left( \int_\Sigma e^{-2\pi i(y-a|r\eta)} \psi(y) d\sigma(y) \right) d\sigma(\eta) \right] r^{N-1} dr$$

$$\int_0^{+\infty} (1-r/R)_+^\lambda r^{N-1} \int_\Sigma \left( \int_{S^{N-1}} (2\pi i r \eta)^\alpha e^{-2\pi i(y-a|r\eta)} d\sigma(\eta) \right) \psi(y) d\sigma(y) dr.$$

Define for every multiindex  $\alpha \in \mathbb{N}_0^N$  and every  $q \in \mathbb{Z}$  a polynomial  $P_q^\alpha(x)$  in  $x \in \mathbb{R}^N$  by  $P_q^\alpha(x) := 0$  for  $q < 0$  or  $q > |\alpha|$ ,  $P_0^0(x) := 1$ ,  $P_1^{\epsilon_k}(x) := x^{\epsilon_k} = x_k$ ,  $P_0^{\epsilon_k}(x) := 0$  and the recurrence relation  $P_q^{\alpha+\epsilon_k}(x) = x_k P_{q-1}^\alpha(x) + \partial_k P_q^\alpha(x)$ , where  $1 \leq k \leq N$ . Then  $\deg P_q^\alpha \leq q$ ,  $P_{|\alpha|}^\alpha(x) = x^\alpha$  and

$$\int_{S^{N-1}} (2\pi i r \eta)^\alpha e^{2\pi i(y-a|r\eta)} d\sigma(\eta)$$

$$= \sum_{q=0}^{|\alpha|} (-1)^q (2\pi)^{q+1} r^{-N/2+q+1} \|x\|^{-N/2+1-q} J_{N/2-1+q}(2\pi r \|x\|) P_q^\alpha(x)$$

[6, proof of Lemma 6, p. 295]. Hence

$$\int_{\mathbb{R}^N} (1-\|\xi\|/R)_+^\lambda \mathcal{F}[P(D)\psi\mu_\Sigma](\xi) e^{2\pi i(a|\xi)} d\xi$$

$$= \sum_{|\alpha| \leq m} c_\alpha \int_{\mathbb{R}^N} (1-\|\xi\|/R)_+^\lambda \mathcal{F}[D^\alpha \psi\mu_\Sigma](\xi) e^{2\pi i(a|\xi)} d\xi$$

$$= \sum_{|\alpha| \leq m} c_\alpha \int_0^{+\infty} (1-r/R)_+^\lambda r^{N-1} \left[ \int_\Sigma \sum_{q=0}^{|\alpha|} (-1)^q (2\pi)^{q+1} r^{-N/2+q+1} \right.$$

$$\times \|y-a\|^{-N/2+1-q} J_{N/2-1+q}(2\pi r \|y-a\|) P_q^\alpha(a-y) \psi(y) d\sigma(y) \left. \right] dr$$

$$= \sum_{q=0}^m \int_0^{+\infty} (1-r/R)_+^\lambda r^{N/2+q} \left[ \int_\Sigma \|y-a\|^{-N/2+1-q} J_{N/2-1+q}(2\pi r \|y-a\|) \right.$$

$$\times Q_q^\alpha(y-a) \psi(y) d\sigma(y) \left. \right] dr,$$

where  $Q_q(x) := (-1)^q (2\pi)^{q+1} \sum_{q \leq |\alpha| \leq m} c_\alpha P_q^\alpha(-x)$ . We use now the partition of unity  $\{\theta_i \mid i = 1, \dots, i_0\}$  on  $\Sigma$  to define, for all  $i = 1, \dots, i_0$ ,

$$I_i^q(r) := r^{N/2+q} \int_{W_i} \|y-a\|^{-N/2+1-q} J_{N/2-1+q}(2\pi r \|y-a\|)$$

$$\times Q_q^\alpha(y-a) \psi(y) \theta_i(y) d\sigma(y). \tag{4}$$

Therefore

$$\int_{\mathbf{R}^N} (1 - \|\xi\|/R)_+^\lambda \mathcal{F}[P(D)\psi\mu_\Sigma](\xi)e^{2\pi i(a|\xi)} d\xi = \sum_{q=0}^m \sum_{i=0}^{i_0} \int_0^{+\infty} (1 - r/R)_+^\lambda I_i^q(r) dr$$

and it will suffice to study the summability of  $\int_0^{+\infty} I_i^q(r) dr$  for all  $0 \leq q \leq m$  and  $0 \leq i \leq i_0$ .

Take  $R_0 > 1$  and write

$$\begin{aligned} & \int_0^{+\infty} (1 - r/R)_+^\lambda I_i^q(r) dr \\ &= \int_0^{+\infty} (1 - r/R)_+^\lambda \chi_{[0, R_0]}(r) I_i^q(r) dr + \int_0^{+\infty} (1 - r/R)_+^\lambda \chi_{[R_0, +\infty)}(r) I_i^q(r) dr. \end{aligned}$$

For  $\lambda = 0$ , the first integral of the right-hand side is equal to

$$\int_0^{+\infty} \chi_{[0, R_0]}(r) I_i^q(r) dr = \int_0^{R_0} I_i^q(r) dr$$

and so is  $(C, \lambda)$ -summable for every  $\lambda \geq 0$ .

*Second step.* Let  $d_a$  be the distance from  $a$  to  $\Sigma$ , so that

$$r\|y - a\| \geq R_0 d_a > 0$$

for all  $r \geq R_0$  and  $y \in \Sigma$ . Let  $l_0 := \lfloor (N + 3)/2 \rfloor + m$ , so that, for all  $0 \leq q \leq m$ ,  $(N - 1)/2 + q - l_0 \leq -3/2$ . Using (4) and the asymptotic expansion of the Bessel functions (1), we see that  $I_i^q(r)$  is equal to

$$\begin{aligned} & \Re \left( \sum_{l=0}^{l_0-1} c_l^q r^{(N-1)/2+q-l} \int_{W_i} \|y - a\|^{-(N-1)/2-q-l} \right. \\ & \times Q_q(y - a) \psi(y) \theta_i(y) e^{2\pi i r \|y - a\|} d\sigma(y) \\ & \left. + r^{N/2+q} \int_{W_i} \|y - a\|^{-N/2+1-q} \Upsilon_{l_0}^q(r\|y - a\|) Q_q(y - a) \psi(y) \theta_i(y) d\sigma(y) \right); \end{aligned}$$

moreover this last term can be bounded above as follows:

$$\begin{aligned} & \left| r^{N/2+q} \int_{W_i} \|y - a\|^{-N/2+1-q} \Upsilon_{l_0}^q(r\|y - a\|) Q_q(y - a) \psi(y) \theta_i(y) d\sigma(y) \right| \\ & \leq C_{l_0}^m r^{N/2+q} \int_{W_i} \|y - a\|^{-N/2+1-q} (r\|y - a\|)^{-l_0-1/2} \\ & \quad \times |Q_q(y - a) \psi(y) \theta_i(y)| d\sigma(y) \\ & \leq C_{l_0}^m r^{-3/2} \int_{W_i} \|y - a\|^{-(N-1)/2-q-l_0} |Q_q(y - a) \psi(y) \theta_i(y)| d\sigma(y), \end{aligned}$$

and is therefore integrable on  $[R_0, +\infty[$ . Hence it will suffice to study the summability, for all  $0 \leq q \leq m, 0 \leq i \leq i_0$  and  $0 \leq l \leq l_0 - 1$ , of

$$I_i^{ql}(r) := r^{(N-1)/2+q-l} \int_{W_i} \|y - a\|^{-(N-1)/2-q-l} \times Q_q(y - a)\psi(y)\theta_i(y)e^{2\pi ir\|y-a\|} d\sigma(y).$$

*Third step.* Let  $K_i$  be the support of  $\theta_i \circ \phi_i$ ; this is a compact subset of  $\mathbf{R}^{N-1}$  contained in  $\Omega_i$ . Hence there exists a bounded open set  $V_i$  such that

$$K_i \subset V_i \subset \overline{V_i} \subset \Omega_i.$$

We can then find  $\omega_i \in C^\infty(\mathbf{R}^{N-1}, \mathbf{R})$  with  $0 \leq \omega_i \leq 1, \omega_i = 1$  on  $V_i$  and  $\omega_i = 0$  on  $\mathbf{R}^{N-1} \setminus \Omega_i$ . We now define  $\varphi \in C^\infty(\mathbf{R}^{N-1}, \mathbf{R})$  by  $\varphi_i(u) := 0$  if  $u \in \mathbf{R}^{N-1} \setminus \Omega_i$  and  $\varphi_i(u) := 2\pi\|\phi_i(u) - a\|\omega_i(u)$  if  $u \in \Omega_i$ . Clearly  $\varphi_i(u) = 2\pi\|\phi_i(u) - a\|$  on  $V_i$  and so  $\varphi_i$  is analytic on  $V_i$ , since the parametrisation  $\phi_i$  is analytic by hypothesis. Finally we define, for every  $l = 0, \dots, l_0 - 1, g_i^{ql} \in C^\infty(\mathbf{R}^{N-1}, \mathbf{C})$  by

$$g_i^{ql}(u) := c_i^q \|\phi_i(u) - a\|^{-(N-1)/2-q-l} Q_q(\phi_i(u) - a)\psi(\phi_i(u))\theta_i(\phi_i(u))J\phi_i(u)$$

if  $u \in \Omega_i$  and  $g_i^{ql}(u) := 0$  if  $u \in \mathbf{R}^{N-1} \setminus \Omega_i$ ; clearly  $\text{supp } g_i^{ql} \subset K_i$ . We are thus able to write  $I_i^{ql}$  as an oscillatory integral:

$$I_i^{ql}(r) = r^{(N-1)/2+q-l} \int_{\mathbf{R}^{N-1}} g_i^{ql}(u)e^{ir\varphi_i(u)} du.$$

*Fourth step.* Let  $u_{ij}$  with  $j = 1, \dots, j_i$  be the critical points of  $\phi_i$  which are in  $K_i$  (we let  $j_i := 0$  if  $\varphi_i$  has no critical point in  $K_i$ ). We want to ‘isolate’ these critical points. For that we write

$$d_1 := \min_{1 \leq i \leq i_0} \min\{d(u_{ij}, u_{ik}), d(u_{ij}, \partial V_j) \mid 1 \leq j \neq k \leq j_i\}.$$

For all  $1 \leq i \leq i_0, 1 \leq j \leq j_i, 0 \leq q \leq m$  and  $0 \leq l \leq l_0 - 1$ , let  $\eta_{ij}^{ql} > 0$  be such that  $\overline{B(u_{ij}, \eta_{ij}^{ql})}$  is contained in a neighbourhood of  $u_{ij}$  which satisfies the assumptions of the Proposition 5 with the phase  $\varphi_i$ . Put

$$d_2 := \min\{\eta_{ij}^{ql} \mid 1 \leq i \leq i_0, 1 \leq j \leq j_i, 0 \leq q \leq m, 0 \leq l \leq l_0 - 1\}.$$

We choose  $d_0 \in \mathbf{R}$  with  $0 < d_0 \leq \min\{d_1, d_2\}$ . For all  $1 \leq i \leq i_0$  and  $1 \leq j \leq j_i$  there exist functions  $\omega_{ij} \in C^\infty(\mathbf{R}^{N-1}, \mathbf{R})$  with  $0 \leq \omega_{ij} \leq 1, \omega_{ij} = 1$  on  $\overline{B(u_{ij}, d_0/2)}$  and  $\omega_{ij} = 0$  on  $\mathbf{R}^{N-1} \setminus B(u_{ij}, d_0)$ ; in particular  $\text{supp } \omega_{ij} \subset V_i$ . We also define  $\omega_{i0} := 1 - \sum_{j=1}^{j_i} \omega_{ij}$ .

For all  $1 \leq i \leq i_0, 0 \leq j \leq j_i, 0 \leq q \leq m$  and  $0 \leq l \leq l_0 - 1$ , let

$$g_{ij}^{ql} := g_i^{ql} \cdot \omega_{ij};$$

we have  $g_{ij}^{ql} \in C^\infty(\mathbf{R}^{N-1}, \mathbf{C})$  and  $\text{supp } g_{ij}^{ql} \subset V_i$ . (Note that in case  $j_i = 0$  there are only the functions  $\omega_{i0} = 1$  and  $g_{i0}^{ql} = g_i^{ql}$ .) Finally we let

$$I_{ij}^{ql}(r) := r^{(N-1)/2+q-l} \int_{\mathbf{R}^{N-1}} g_{ij}^{ql}(u) e^{ir\varphi_i(u)} du,$$

so that  $I_i^{ql}(r) = \sum_{j=0}^{j_i} I_{ij}^{ql}(r)$ .

*Fifth step.* We first consider the oscillatory integral  $I_{i0}^{ql}(r)$ . Here no critical point of the phase  $\varphi$  is included in the support of the amplitude  $g_{i0}^{ql}$ . Hence, by the proposition 3,  $I_{i0}^{ql}(r) = O(r^{-3/2})$  as  $r \rightarrow +\infty$ , which implies that  $\int_0^{+\infty} \chi_{[R_0, +\infty)}(r) I_{i0}^{ql}(r) dr$  is  $(C, 0)$ -summable.

Next, we consider the oscillatory integral  $I_{ij}^{ql}(r)$  where  $1 \leq j \leq j_i$ . In this case the phase  $\varphi$  has exactly one critical point,  $u_{ij}$ , in the support of the amplitude  $g_{ij}^{ql}$  and, by construction, we can apply the Proposition 5:

$$I_{ij}^{ql}(r) \sim e^{ir\varphi_i(u_{ij})} \sum_{p \in \mathcal{A}_{ij}} \sum_{k=0}^{N-2} a_{p,k} r^{(N-1)/2+q-l+p} (\ln r)^k, \tag{5}$$

where  $\mathcal{A}_{ij}$  is the union of arithmetic progressions formed by strictly negative rational numbers only depending on  $\varphi_i$  (and  $u_{ij}$ ); we write

$$\beta_{ij} := \max \mathcal{A}_{ij} \tag{6}$$

the oscillation index of  $\varphi_i$  at  $u_{ij}$ . Choosing

$$p_0 = p_0(m) := -(N + 3)/2 + m,$$

we can write

$$I_{ij}^{ql}(r) = e^{ir\varphi_i(u_{ij})} \sum_{p \in \mathcal{A}_{ij}, p \geq p_0} \sum_{k=0}^{N-2} a_{p,k} r^{(N-1)/2+q-l+p} (\ln r)^k + \Upsilon_{ij}^{qlp_0}(r),$$

where

$$\Upsilon_{ij}^{qlp_0}(r) = o(r^{(N-1)/2+q-l+p_0} (\ln r)^{N-2}) = o(r^{-3/2})$$

as  $r \rightarrow +\infty$ ; in particular  $\int_0^{+\infty} \chi_{[R_0, +\infty)}(r) \Upsilon_{ij}^{qlp_0}(r) dr$  is  $(C, 0)$ -summable. Finally we define

$$\sigma(a, \Sigma) := \max_{1 \leq i \leq i_0} \max_{1 \leq j \leq j_i} \beta_{ij} \tag{7}$$

the maximum of the oscillation indices for  $\Sigma$  and the chosen point  $a$  (this is a strictly negative rational number) and

$$\lambda_0 = \lambda_0(a, \Sigma, m) := (N - 1)/2 + m + \sigma(a, \Sigma).$$

For all  $1 \leq i \leq i_0, 1 \leq j \leq j_i, 0 \leq q \leq m, 0 \leq l \leq l_0 - 1, 0 \leq k \leq N - 2$  and  $p \in \mathcal{A}_{ij}$  with  $p \geq p_0$  we have

$$(N - 1)/2 + q - l + p \leq (N - 1)/2 + m - 0 + \beta_{ij} \leq (N - 1)/2 + m + \sigma(a, \Sigma) \leq \lambda_0.$$

Since moreover  $\varphi_i(u_{ij}) = 2\pi \|\phi_i(u_{ij}) - a\| \geq 2\pi d_a > 0$ , the Propositions 1 and 2 imply that

$$\int_0^{+\infty} \chi_{[R_0, +\infty[}(r) r^{(N-1)/2+q-l+p} (\ln r)^k e^{ir\varphi_i(u_{ij})} dr$$

is  $(C, \lambda)$ -summable for every  $\lambda \geq 0$  with  $\lambda > \lambda_0$ .

We can now state our result.

**Theorem 1** *Let  $\Sigma$  be a compact oriented  $(N - 1)$ -dimensional analytic submanifold of  $\mathbf{R}^N$  ( $N \geq 3$ ),  $a \in \mathbf{R}^N \setminus \Sigma$  such that its distance to  $\Sigma$  has only a finite number of critical points,  $P(D)$  a partial differential operator with constant coefficients of order  $m$  and  $\psi \in C^\infty(\mathbf{R}^N, \mathbf{R})$ . The Fourier integral of  $P(D)\psi \mu_\Sigma$  at  $a$  is summable in Cesàro means of order  $\lambda$  to zero, that is,*

$$\lim_{R \rightarrow +\infty} \int_{\mathbf{R}^N} (1 - \|\xi\|/R)_+^\lambda \mathcal{F}[P(D)\psi \mu_\Sigma](\xi) e^{2\pi i(a|\xi)} d\xi = 0, \tag{8}$$

for all  $\lambda \geq 0$  with  $\lambda > \lambda_0$ , where  $\lambda_0 = (N - 1)/2 + m + \sigma(a, \Sigma)$  and  $\sigma(a, \Sigma)$  is the maximum of the oscillation indices for  $a$  and  $\Sigma$  given by (6) and (7).

*Proof* The previous calculations show that the above limit exists for all  $\lambda \geq 0$  with  $\lambda > \lambda_0$ . The fact that this limit is equal to zero follows from [6, Proposition 1, p. 293]. □

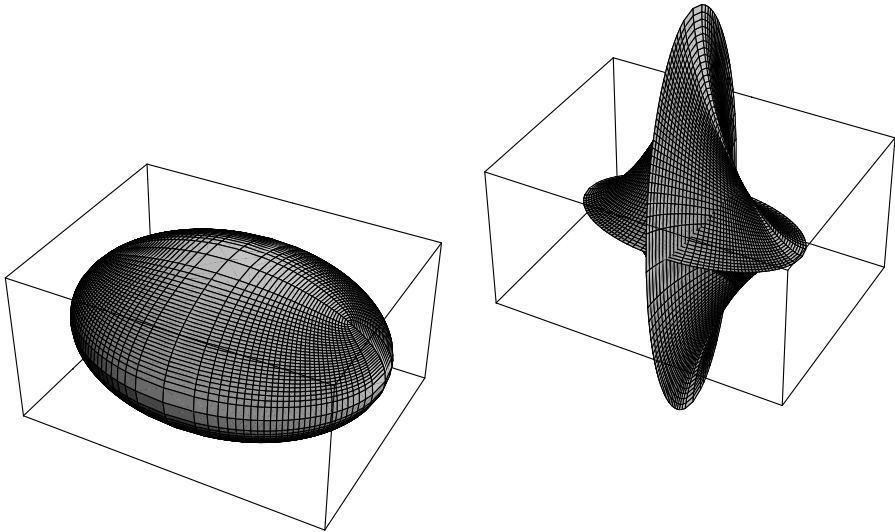
*Remark 3* Since  $\sigma(a, \Sigma) < 0$ , this result improves the one just cited for the distributions considered here.

*Remark 4* The distance to  $\Sigma$  of any point  $a \in \mathbf{R}^N \setminus \Sigma$  has at least two critical points; it suffices to consider the points on  $\Sigma$  which are farthest away from  $a$  or nearest to  $a$ .

*Remark 5* If  $a \in \mathbf{R}^N \setminus \Sigma$  is not on the caustic of  $\Sigma$ , then  $\lambda_0 = \lambda_0(a, \Sigma, m) = m$ . Indeed, in this case all critical points are non degenerate (see Sect. 5) and therefore, by Proposition 4,  $\beta_{ij} = -(N - 1)/2$  for all  $1 \leq i \leq i_0$  and  $1 \leq j \leq j_i$ .

*Remark 6* Due to the rather unprecise nature of Proposition 5, it is in general not possible to know whether our estimate  $\lambda > \lambda_0$  is sharp, that is, to know whether, given  $\Sigma$  and  $a \in \mathbf{R}^N \setminus \Sigma$ , there exists a distribution  $P(D)\psi \mu_\Sigma$  such that (8) does not hold if  $0 \leq \lambda \leq \lambda_0$ . However, in the case  $N = 3$  a refinement of Proposition 5 makes it possible to get an example where our estimate is indeed sharp (see next section).

*Remark 7* We have used the Cesàro summation for integrals because its consistency (Remark 1.2) is well documented, whereas the consistency of the more usual



**Fig. 1** The ellipsoid and its caustic

(in multidimensional Fourier analysis) Bochner-Riesz summation for integrals (with  $(1 - \|\xi\|^2/R^2)_+^\lambda$  instead of  $(1 - \|\xi\|/R)_+^\lambda$ ) does not seem to have been much studied. From our experience with both summation methods, we think that the theorem could be proved for the Bochner-Riesz summation as well; but this would mean establishing the corresponding results in Sect. 3: we leave it to the interested reader (for the proof of the consistency follow [4, pp. 206–208]).

## 7 An example

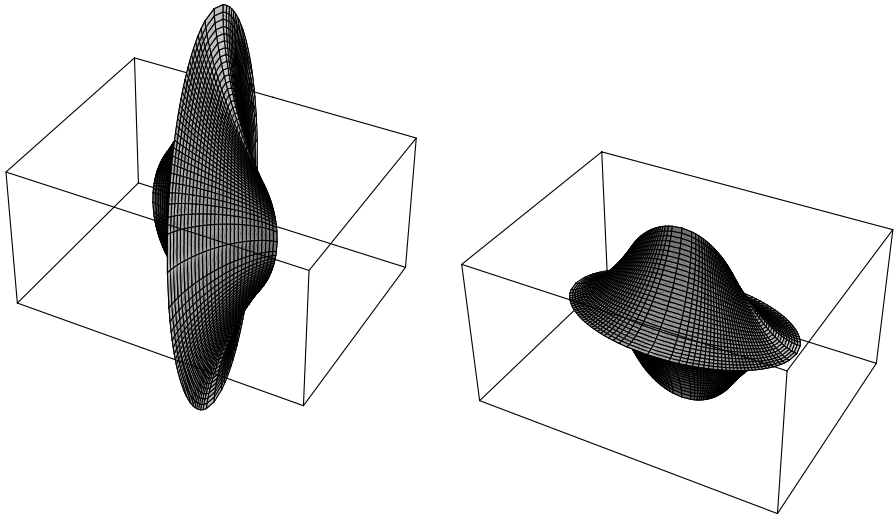
Let  $t_1 > t_2 > t_3 > 0$ . Consider the ellipsoid  $\Sigma$  in  $\mathbf{R}^3$  given by the equation

$$\frac{x^2}{t_1} + \frac{y^2}{t_2} + \frac{z^2}{t_3} = 1.$$

The caustic  $K(\Sigma)$  of  $\Sigma$  is formed by two focal surfaces. Each focal surface is itself formed by two symmetric sheets joined at their border and this border is a closed planar curve which is an edge of the focal surface. Each sheet has in its middle an edge which is a planar arc; these four arcs together form another closed curve; and the four points at which they connect are the focal points of the umbilics of the ellipsoid, that is, of the points on  $\Sigma$  where the principal curvatures coincide (see figures). In particular, the distance to  $\Sigma$  of any  $a \in \mathbf{R}^3 \setminus \Sigma$  has only a finite number of critical points.

The singularity index associated to  $a \in \mathbf{R}^3 \setminus \Sigma$  has been calculated in [2, p. 185], [1, pp. 217–218] and we find:

1. If  $a \notin K(\Sigma)$ ,  $\lambda_0 = m$  as seen in Remark 5.



**Fig. 2** The two focal surfaces

2. If  $a \in K(\Sigma)$  is the focal point of an umbilic, the singularity is of type  $D_4^+$  (index  $\gamma = 1/3$ ) and  $\lambda_0 = 1/3 + m$ .
3. If  $a \in K(\Sigma)$  is on one of the three edges of the caustic but not the focal point of an umbilic, the singularity is of type  $A_3$  (index  $\gamma = 1/4$ ) and  $\lambda_0 = 1/4 + m$ .
4. If  $a \in K(\Sigma)$  is out of the three edges of the caustic, the singularity is of type  $A_2$  (index  $\gamma = 1/6$ ) and  $\lambda_0 = 1/6 + m$ .

Of course, (8) may be true for  $0 \leq \lambda \leq \lambda_0$ . For example, take  $m \in \mathbf{N}_0$  arbitrary and choose  $P(D) = \partial^m / \partial x_3^m$  and  $\psi = 1$  if  $m$  is odd, or  $\psi(x) = x_3$  if  $m$  is even. A direct calculation using the symmetries of the ellipsoid gives

$$\int_{\mathbf{R}^N} \mathcal{F}[P(D)\psi\mu_\Sigma](\xi)e^{2\pi i(a|\xi)}d\xi = 0$$

for all  $a \in \mathbf{R}^3 \setminus \Sigma$  with  $a_3 = 0$ : at such points (8) is true for every  $\lambda \geq 0$ .

By contrast, there are cases when (8) is true if and only if  $\lambda > \lambda_0$  and  $\lambda \geq 0$ . For that take  $\psi = 1$  and  $P(D)$  homogeneous elliptic and even (i.e.  $P(D) = \sum_{|\alpha|=m} c_\alpha D^\alpha$  is such that the associated polynomial  $\sum_{|\alpha|=m} c_\alpha x^\alpha$  has real coefficients, is zero only at  $x = 0$  and is even with respect to  $x_1, x_2$  and  $x_3$ ). Now we use the following refinement of Proposition 5 which is valid in the case  $n = 2$  [15, Theorem 0.6, p. 177]: there exists in the asymptotic expansion (3) a non zero coefficient  $a_{\beta,k}$  where  $\beta$  is the oscillation index of  $\varphi$  around  $x_0$  (and  $k = 0$  or  $1$ ). To conclude that  $\lambda > \lambda_0$  is a necessary condition, it suffices then, by the Proposition 1(ii) to show that, given  $a \in \mathbf{R}^3 \setminus \Sigma$ , the oscillatory integrals around the different critical points  $u_{ij}$  ( $1 \leq i \leq i_0, 1 \leq j \leq j_i$ ) with the same and highest oscillation index  $\beta_{ij}$  cannot cancel each other out (see (5)). Such cancellation can only occur between oscillatory integrals which have the same  $\varphi_i(u_{ij})$  (same distance from  $a$  to the point on  $\Sigma$  corresponding to  $u_{ij}$ ). Hence we must consider four cases.



1. Suppose  $a \notin K(\Sigma)$ . All critical points correspond to the feet on  $\Sigma$  of the normals to  $\Sigma$  through  $a$ . Cancellation may occur if there is no foot of such a normal whose distance from  $a$  is different to the distances from  $a$  of the feet of the other normals. By the symmetries of  $\Sigma$ , this is possible only if  $a = 0$ . But then the contributions of each pair of critical points add up, due to the symmetries of  $\Sigma$  and  $P(D)$ .
2. Suppose  $a \in K(\Sigma)$  is the focal point of an umbilic. This gives the unique critical point corresponding to an oscillatory integral with oscillation index equal to  $-2/3$  (i.e. singularity index  $\gamma = 1/3$ ). Hence no cancellation is here possible.
3. Suppose  $a \in K(\Sigma)$  is on one of the edges of the caustic. A reasoning analogous to the preceding applies.
4. Suppose  $a \in K(\Sigma)$  is out of the edges of the caustic. Then there are at most two critical points corresponding to an oscillatory integral with oscillation index equal to  $-5/6$  (i.e. singularity index  $\gamma = 1/6$ ): this is the case when  $a$  is at the intersection of the two focal surfaces (see figures). Assume then that  $v$  and  $w$  are the feet of the normals to  $\Sigma$  through  $a$  that give these two critical points. Consider the plane containing  $a$ ,  $v$  and  $w$ ; its intersection with  $\Sigma$  is an ellipse and the line through  $a$  and  $v$  (respectively through  $a$  and  $w$ ) is a normal to this ellipse. But it is not difficult (albeit tedious) to verify that, in this configuration,  $d(a, v) = d(a, w)$  if and only if  $a$  is on one of the axes of the ellipse and  $v, w$  are symmetric with respect to this axis, which is not possible here.

We remark that in the three last cases the assumption that  $P(D)$  be even with respect to  $x_1, x_2$  and  $x_3$  is not needed.

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