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# **SPARSE MULTIVARIATE POLYNOMIAL INTERPOLATION ON THE BASIS OF SCHUBERT POLYNOMIALS**

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**Abstract.** Schubert polynomials were discovered by A. Lascoux and M. Schützenberger in the study of cohomology rings of flag manifolds in 1980s. These polynomials generalize Schur polynomials and form a linear basis of multivariate polynomials. In 2003, Lenart and Sottile introduced skew Schubert polynomials, which generalize skew Schur polynomials and expand in the Schubert basis with the generalized Littlewood–Richardson coefficients. In this paper, we initiate the study of these two families of polynomials from the perspective of computational complexity theory. We first observe that skew Schubert polynomials, and therefore Schubert polynomials, are in  $\#P$  (when evaluating on nonnegative integral inputs) and VNP. Our main result is a deterministic algorithm that computes the expansion of a polynomial  $f$  of degree d in  $\mathbb{Z}[x_1,\ldots,x_n]$  on the basis of Schubert polynomials, assuming an oracle computing Schubert polynomials. This algorithm runs in time polynomial in  $n, d$ , and the bit size of the expansion. This generalizes, and derandomizes, the sparse interpolation algorithm of symmetric polynomials in the Schur basis by Barvinok and Fomin (Adv Appl Math 18(3):271–285, [1997\)](#page-25-0). In fact, our interpolation algorithm is general enough to accommodate any linear basis satisfying certain natural properties. Applications of the above results include a new algorithm that computes the generalized Littlewood–Richardson coefficients.

**Keywords.** Schubert polynomials, Sparse interpolation, #P, VNP

**Subject classification.** 68Q17

## **1. Introduction**

**Polynomial interpolation problem.** The classical polynomial interpolation problem starts with a set of data points,  $(a_1, b_1), \ldots, (a_{n+1},$  $(b_{n+1})$ , where  $a_i, b_i \in \mathbb{Q}$ ,  $a_i \neq a_j$  for  $i \neq j$ , and asks for a univariate polynomial  $f \in \mathbb{Q}[x]$  s.t.  $f(a_i) = b_i$  for  $i = 1, ..., n+1$ . While such a polynomial of degree  $\leq n$  exists and is unique, depending on different

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choices of linear bases, several formulas, under the name of Newton, Lagrange, and Vandermonde, have become classical.

In theoretical computer science (TCS), the following problem also bears the name interpolation of polynomials, studied from 1970s: given a black-box access to a multivariate polynomial  $f \in \mathbb{F}[x_1,\ldots,x_n]$  ( $\mathbb{F}$  a field), compute  $f$  by writing out its sum-of-monomial expression. Several [algorithms](#page-25-1) [have](#page-25-1) [been](#page-25-1) [proposed](#page-25-1) [to](#page-25-1) [solve](#page-25-1) [this](#page-25-1) [problem](#page-25-1) [\(](#page-25-1)Ben-Or & Tiwari [1988;](#page-25-1) [Kaltofen & Lakshman 1988;](#page-26-0) [Klivans & Spielman 2001;](#page-26-1) [Zippel](#page-28-0) [1979,](#page-28-0) [1990](#page-28-1)). A natural generalization is to consider expressing f using the more powerful arithmetic circuits. In this more general setting, the problem is called t[he](#page-28-2) [reconstruction](#page-28-2) [problem](#page-28-2) [for](#page-28-2) [arithmetic](#page-28-2) [circuits](#page-28-2) [\(](#page-28-2)Shpilka & Yehudayoff [2010,](#page-28-2) Chap. 5), and the sum-of-monomial expression of f is viewed as a subclass of arithmetic circuits, namely depth-2 ΣΠ circuits. Reconstruction problems for various models gained quite mom[entum](#page-25-3) [recently](#page-25-3) [\(Arvind](#page-24-0) *et al.* [2010](#page-24-0); [Bshouty & Cleve 1998;](#page-25-2) Gupta *et al.* [2011](#page-25-3), [2012,](#page-25-4) [2014](#page-25-5); [Karnin & Shpilka 2009](#page-26-2); [Kayal 2012;](#page-26-3) [Shpilka](#page-27-0) [2009;](#page-27-0) [Shpilka & Volkovich 2015](#page-27-1)).

As mentioned, for interpolation of univariate polynomials, different formulas depend on different choices of linear bases. On the other hand, for interpolation (or more precisely, reconstruction) of multivariate polynomials in the TCS setting, the algorithms depend on the computation models crucially. In the latter context, to the best of our knowledge, only the linear basis of monomials (viewed as depth-2  $\Sigma\Pi$ ) circuits) has been considered.

Schubert polynomials. In this paper, we consider the interpolation of multivariate polynomials in the TCS setting, but in another linear basis of multivariate polynomials, namely the Schubert polynomials. This provides another natural direction for generalizing the multivariate polynomial interpolation problem. Furthermore, as will be explained below, such an interpolation algorithm can be used to compute certain quantities in geometry that are of great interest, yet not well understood.

Schubert polynomials were discovered by Lascoux and Schützenberger (Lascoux & Schützenberger 1982) in the study of cohomology [rings](#page-17-0) [of](#page-17-0) [flag](#page-17-0) [manifolds](#page-17-0) [in](#page-17-0) [1980s.](#page-17-0) [See](#page-17-0) [Definition 3.1](#page-10-0) or Def-inition 5.3 for the formal definition,<sup>[1](#page-1-0)</sup> and [Macdonald](#page-27-3) [\(1991\)](#page-27-3); [Manivel](#page-27-4)

<span id="page-1-0"></span><sup>&</sup>lt;sup>1</sup> [Definition 5.3](#page-17-0) is one of the classical definitions of Schubert polynomials, while [Definition 3.1](#page-10-0) defines Schubert polynomials in the context of skew Schubert polynomials.

[\(2001](#page-27-4)) for detailed results. For now, we only point out that (1) Schubert polynomials in  $\mathbb{Z}[x_1,\ldots,x_n]$  are indexed by  $\mathbf{v}=(v_1,\ldots,v_n)\in\mathbb{N}^n$ , denoted by  $Y_v$ ; <sup>[2](#page-2-0)</sup> (2)  $Y_v$  is homogeneous of degree  $\sum_{i=1}^n v_i$ .<sup>[3](#page-2-1)</sup><br>Schubert polynomials have many distinguished prope

Schubert polynomials have many distinguished properties. They form a linear basis of multivariate polynomials and yield a generalization of the Newton interpolation formula to the multivariate case [\(Lascoux 2003](#page-26-4), Sec. 9.6). Also, Schur polynomials are special Schubert polynomials [\(Fact 5.4](#page-17-1) (1)). A Schubert polynomial can contain exponentially many monomials: The complete homogeneous symmetric polynomials are special Schubert polynomials [\(Fact 5.4](#page-17-1) (2)). It is not clear to us whether Schubert polynomials have polynomial-size arithmetic circuits. Because of these reasons, interpolation in the Schubert basis could not be covered by the aforementioned results for the reconstruction problems, unless the arithmetic circuit complexity of Schubert polynomials is understood better: At present, we are only able to put Schubert polynomials in VNP.

While Schubert polynomials are mostly studied due to their deep geometric meanings (see, e.g., [Knutson & Miller 2005](#page-26-5)), they do have certain algorithmic aspects that have been studied shortly after their introduction in 1982. Indeed, an early paper on Schubert polynomials by Lascoux and Schützenberger was concerned about using them to compute the Littlewood–Richardson coefficients (Lascoux  $&$  Schützenberger [1985\)](#page-27-5). That procedure has been implemented in the program system Symmetrica [\(Kerber](#page-26-6) *et al.* [1992](#page-26-6)), which includes a set of routines to work with Schubert polynomials. On the other hand, the complexity-theoretic study of the algorithmic aspects of Schubert polynomials seems lacking, and we hope that this paper serves as a modest step toward this direction.

**Our results.** Our main result is about deterministic interpolation of sparse polynomials with integer coefficients in the Schubert basis, modulo an oracle that computes Schubert polynomials. The complexity is measured by the bit size of the representation.

<span id="page-2-2"></span><span id="page-2-0"></span> $2$  In the literature, it is more common that Schubert polynomials indexed by permutations instead of  $\mathbb{N}^n$ . These two index sets are equivalent, through the correspondence between permutations and  $\mathbb{N}^n$  as described in [Section 2.](#page-7-0) We adopt  $\mathbb{N}^n$ in the introduction because they are easier to work with when dealing with a fixed number of variables.

<span id="page-2-1"></span><sup>3</sup> Algorithms in this work run in time polynomial in the degree of the polynomial. By (2), this is equivalent to that the indices of Schubert polynomials are given in unary.

THEOREM 1.1. Suppose we are given (1) black-box access to some poly*nomial*  $f \in \mathbb{Z}[x_1,\ldots,x_n]$ ,  $f = \sum_{\mathbf{v} \in \Gamma} a_{\mathbf{v}} Y_{\mathbf{v}}$ ,  $a_{\mathbf{v}} \neq 0 \in \mathbb{Z}$  with the promise that deg(f)  $\leq d$  and  $|\Gamma| \leq m$ ; (2) an oracle that computes the eval*that*  $deg(f) \leq d$  *and*  $|\Gamma| \leq m$ ; (2) an oracle that computes the eval*uation of Schubert polynomials on nonnegative integral points. Then, there exists a deterministic algorithm that outputs the expansion of* f *on the basis of Schubert polynomials. The algorithm runs in time polynomial in n*, *d*, *m*, and  $\log(\sum_{\mathbf{v} \in \Gamma} |a_{\mathbf{v}}|)$ *.* 

In fact, [Theorem 1.1](#page-2-2) relies on the algorithm in [Theorem 4.3](#page-15-0) which applies to a more general setting: Intuitively, it only requires the linear basis to satisfy that the leading monomials are "easy to isolate." See *Our techniques* for a more detailed discussion.

[Theorem 1.1](#page-2-2) generalizes and derandomizes a result by Barvinok and Fomin, who in [Barvinok & Fomin](#page-25-0) [\(1997\)](#page-25-0) present a randomized algorithm that interpolates sparse symmetric polynomials in the Schur basis. As mentioned, Schur polynomials are special Schubert polynomials, and the Jacobi–Trudi formulas for Schur polynomials yield efficient algorithms to compute them. So to recover Barvinok and Fomin's result, apply our interpolation algorithm to symmetric polynomials and replace the #P oracle computing Schubert polynomials by the efficient algorithm computing Schur polynomials. Likewise, for those Schubert polynomials with efficient evaluation procedures,  $\frac{4}{3}$  $\frac{4}{3}$  $\frac{4}{3}$  we can get rid of the #P oracle to obtain a polynomial-time interpolation algorithm.

Our second result concerns the evaluation of Schubert polynomials. In fact, we shall work with a generalization of Schubert polynomials, namely *skew Schubert polynomials* as defined by Lenart and Sottile [\(Lenart & Sottile 2003](#page-27-6)). We will describe the definition in [Section 2.](#page-7-0) For now, we only remark that skew Schubert polynomials generalize Schubert polynomials in a way analogous to how skew Schur polynomials generalize Schur polynomials. A skew Schubert polynomial, denoted by  $Y_{\mathbf{w}/\mathbf{v}}$ , is indexed by  $\mathbf{v} \leq \mathbf{w} \in \mathbb{N}^m$  where  $\leq$  denotes the Bruhat order.[5](#page-3-1) Schubert polynomials can be defined by setting **w** to correspond to the permutation maximal in the Bruhat order.

<span id="page-3-2"></span><span id="page-3-0"></span><sup>4</sup> For example, there are determinantal formulas [\(Manivel 2001,](#page-27-4) Sec. 2.6) for Schubert polynomials indexed by 2143-avoiding permutations ( $\sharp i \leq j \leq k \leq \ell$ s.t.  $\sigma(j) < \sigma(i) < \sigma(\ell) < \sigma(k)$ , and 321-avoiding permutations ( $\hat{\pi}i < j < k$ ) s.t.  $\sigma(k) < \sigma(j) < \sigma(i)$ . 2143-avoiding permutations are also known as vexillary permutations and form a generalization of Grassmannian permutations.

<span id="page-3-1"></span><sup>5</sup> Bruhat order on codes is inherited from the Bruhat order on permutations through the correspondence between codes and permutations as in [Section 2.](#page-7-0)

THEOREM 1.2. Given  $\mathbf{v}, \mathbf{w} \in \mathbb{N}^m$  in unary, and  $\mathbf{a} \in \mathbb{N}^n$  in binary, *computing*  $Y_{\mathbf{w}/\mathbf{v}}(\mathbf{a})$  *is in* #P.

<span id="page-4-0"></span>COROLLARY 1.3. *Given*  $\mathbf{v} \in \mathbb{N}^n$  *in unary, and*  $\mathbf{a} \in \mathbb{N}^n$  *in binary, computing*  $Y_{\mathbf{v}}(\mathbf{a})$  *is in*  $\#P$ *.* 

Note that in [Theorem 1.2,](#page-3-2) we have **v**,  $\mathbf{w} \in \mathbb{N}^m$ , while in Corol-lary 1[.](#page-4-0)3, we have  $\mathbf{v} \in \mathbb{N}^n$ . [This](#page-4-0) [is](#page-4-0) [because,](#page-4-0) [while](#page-4-0) [for](#page-4-0) [Schubert](#page-4-0) [p](#page-4-0)olynomials we know  $Y_v$  for  $v \in \mathbb{N}^n$  depends on n variables, such a relation is not clear for skew Schubert polynomials.

<span id="page-4-2"></span>Finally, we also study these polynomials in the framework of algebraic complexity.

Theorem 1.4. *Skew Schubert polynomials, and therefore Schubert polynomials, are in* VNP*.*

**Applications of our algorithms.** A long-standing open problem about Schubert polynomials is to give a combinatorially positive rule, or in other words, a #P algorithm for the *generalized Littlewood–Richardson (LR) coefficients*, defined as the coefficients of the expansion of products of two Schubert polynomials in the Schubert basis. They are also the coefficients of the expansion of skew Schubert polynomials in the Schubert basis [\(Lenart & Sottile 2003](#page-27-6)). These numbers are of great interest in algebraic geometry, since they are the intersection numbers of Schubert varieties in the flag manifold. See [Assaf](#page-25-6) *et al.* [\(2014\)](#page-27-7); Mészáros *et al.* (2014) for recent developments on this.

The original LR coefficients are a special case when replacing Schubert with Schur in the above definition. It is known that the original LR coefficients are  $\#P$ -complete, by the celebrated LR rule, and a result of [Narayanan](#page-27-8) [\(2006\)](#page-27-8). Therefore, the generalized LR coefficients are also #P-hard to compute, while as mentioned, putting this problem in  $\#P$  is considered to be very difficult—in fact, we were not aware of any non-trivial complexity-theoretic upper bound. Furthermore, there are few non-trivial algorithms for computing these numbers. On the other hand, by interpolating skew Schubert polynomials in the Schubert basis, we have the following.

<span id="page-4-1"></span>COROLLARY 1.5. *Given*  $\mathbf{w}, \mathbf{v} \in \mathbb{N}^m$ , let  $\Gamma = {\mathbf{u} \in \mathbb{N}^m \mid a_{\mathbf{w}}^{\mathbf{v}, \mathbf{u}} \neq 0}.$ *Then, there exists a deterministic algorithm that, given access to an*  $#P$  *oracle, computes*  $(a_{\mathbf{w}}^{\mathbf{v},\mathbf{u}} | \mathbf{u} \in \mathbb{N}^m)$  *in time polynomial in*  $|\mathbf{w}|, |\Gamma|$ *, and*  $\log(\sum_{\mathbf{u}\in\Gamma} a_{\mathbf{w}}^{\mathbf{v},\mathbf{u}}).$ 

The algorithm in [Corollary 1.5](#page-4-1) has the benefit of running in time polynomial in the *bit size* of  $a_w^{\mathbf{v},\mathbf{u}}$ . Therefore, when  $|\Gamma|$  is small compared to  $\sum_{\mathbf{w}} a_{\mathbf{w}}^{\mathbf{v},\mathbf{u}},$  our algorithm is expected to lead to a notable saving, compared to those algorithms that are solely based on positive rules, e.g., [Kogan](#page-26-7) [\(2001](#page-26-7)). [\(Kogan 2001](#page-26-7) furthermore only deals with the case of Schubert times Schur.) Of course, in practice, we need to take into account the time for evaluating skew Schubert polynomials.

In addition, we note that Barvinok and Fomin's original motivation is to compute the Littlewood–Richardson coefficients, Kostka numbers, and the [irreducible](#page-25-0) [characters](#page-25-0) [of](#page-25-0) [the](#page-25-0) [symmetric](#page-25-0) [group.](#page-25-0) [See](#page-25-0) Barvinok  $&$  Fomin [\(1997](#page-25-0), Sec. 1) for the definitions and importance of these numbers. Since our algorithm can recover theirs (without referring to  $a \#P$  oracle), it can be used to compute these quantities as well. Note that our algorithm is moreover deterministic.

Our original motivation of this work was to better understand this approach of Barvinok and Fomin to compute the LR coefficients. This topic [recently](#page-25-7) [receives](#page-25-7) [attention](#page-25-7) [in](#page-25-7) [complexity](#page-25-7) [theory](#page-25-7) [\(](#page-25-7)Bürgisser  $&$  Ikenmeyer [2013](#page-25-7); [Mulmuley](#page-27-9) *et al.* [2012;](#page-27-9) [Narayanan 2006\)](#page-27-8), due to its connection to the geometric complexity theory (GCT) [\(Mulmuley 2011](#page-27-10); [Mulmuley](#page-27-9) *et al.* [2012\)](#page-27-9). Though this direction of generalization does not apply to GCT directly, we believe it helps in a better understanding (e.g., a derandomization) of this approach of computing the LR coefficients.

**Our techniques.** We achieve [Theorem 1.1](#page-2-2) by first formalizing some natural properties of a linear basis of (subrings of) multivariate polyno-mials [\(Section 4.1\)](#page-12-0). These are helpful for the interpolation purpose. If a basis satisfies these properties, we call this basis *interpolation-friendly*, or I-friendly for short. Then, we present a deterministic interpolation algorithm for I-friendly bases [\(Theorem 4.3\)](#page-15-0). We then prove that the Schubert basis is interpolation-friendly<sup>[6](#page-5-0)</sup> [\(Section 5\)](#page-16-0).

<span id="page-5-0"></span><sup>6</sup> While the proofs for these properties of Schubert polynomials are easy, and should be known by experts, we include complete proofs as we could not find complete proofs or explicit statements. Most properties of Schubert polynomials, e.g., the #P result, [Lemma 5.6,](#page-18-0) [Proposition 5.9,](#page-21-0) can also be obtained by a combinatorial tool called RC graphs (Bergeron  $&$  Billey 1993). We do not attempt to get optimal results (e.g., in [Corollary 5.8](#page-19-0) and [Proposition 5.9\)](#page-21-0), but are content with bounds that are good enough for our purpose.

Technically, for the interpolation algorithm, we combine the structure of the Barvinok–Fomin algorithm with several ingredients from the elegant deterministic interpolation algorithm for sparse polynomials in the monomial basis by Klivans and Spielman [\(Klivans & Spielman](#page-26-1) [2001\)](#page-26-1). We deduce the key properties for Schubert polynomials to be I-friendly, via the transition formula of Lascoux and Schützenberger (Lascoux  $&$  Schützenberger 1985). The concept of I-friendly bases and the corresponding interpolation algorithm may be of independent interest, since they may be used to apply to other bases of (subrings of) the multivariate polynomial ring, e.g., Grothendieck polynomials and Macdonald polynomials [\(Lascoux 2013](#page-27-11)).

We would like to emphasize a subtle point of Schubert polynomials that is crucial for our algorithm: For  $Y_v$ , if the monomial  $x^u$  is in  $Y_v$ , then **v** dominates **u** reversely, that is,  $v_n \geq u_n$ ,  $v_n + v_{n-1} \geq u_n + u_{n-1}$ ,  $\ldots, v_n+\cdots+v_1\geq u_n+\cdots+u_1$ . While by no means a difficult property, and clearly known to experts, it is interesting that the only reference we can find is a footnote in Lascoux's book [\(Lascoux 2013](#page-27-11), pp. 62, footnote 4), so we prove it in [Lemma 5.6.](#page-18-0) On the other hand, in the literature, a weaker property is often mentioned, that is, **v** is no less than **u** in the reverse lexicographic order. However, this order turns out to *not* suffice for the purpose of interpolation.

**Comparison with the Barvinok–Fomin algorithm.** The underlying structures of the Barvinok–Fomin algorithm and ours are quite similar. There are certain major differences though.

From the mathematical side, note that Barvinok and Fomin used the dominance order of monomials, which corresponds to the use of upper triangular matrix in [Section 4.1.](#page-12-0) On the other hand, we make use of the reverse dominance order, which corresponds to the use of lower triangular matrix in [Proposition 5.10.](#page-21-1) It is not hard to see that the dominance order could not work for all Schubert polynomials. We also need to upgrade several points (e.g., the computation and the bounds on coefficients) from Schur polynomials to Schubert polynomials.

From the algorithmic side, both our algorithm and the Barvinok– Fomin algorithm reduce multivariate interpolation to univariate interpolation. Here are two key differences. Firstly, Barvinok and Fomin relied on randomness to obtain a set of linear forms s.t. most of them achieve distinct values for a small set of vectors. We resort to a deterministic construction of Klivans and Spielman for this set, therefore derandomizing the Barvinok–Fomin algorithm. Secondly, our algorithm has a recursive structure as the Barvinok–Fomin algorithm. But in each recursive step, the approaches are different; ours is based on the method of the Klivans–Spielman algorithm. As a consequence, our algorithm does not need to know the bounds on the coefficients in the expansion, while the basic algorithm in Barvinok  $&$  Fomin [\(1997,](#page-25-0) Sec. 4.1) does. Barvinok and Fomin avoided the dependence on this bound via binary search and probabilistic verification in [Barvinok & Fomin](#page-25-0) [\(1997](#page-25-0), Sec. 4.2). However, it seems difficult to derandomize this probabilistic verification procedure.

**Organization.** In [Section 2,](#page-7-0) [we](#page-9-0) [present](#page-9-0) [certain](#page-9-0) [preliminaries.](#page-9-0) [In](#page-9-0) Section 3, we define skew Schubert polynomials and Schubert polynomials, and present the proof for [Theorem 1.2,](#page-3-2) [Corollary 1.3,](#page-4-0) and [Theorem 1.4.](#page-4-2) In [Section 4,](#page-12-1) we define interpolation-friendly bases and present the interpolation algorithm in such bases. In [Section 5,](#page-16-0) we prove that Schubert polynomials form an I-friendly basis, therefore proving [Theorem 1.1.](#page-2-2) We remind the reader that skew Schubert polynomials are only studied in [Section 3.](#page-9-0)

#### **2. Preliminaries**

<span id="page-7-0"></span>**Notations.** For *n* ∈  $\mathbb{N}$ ,  $[n] := \{1, ..., n\}$ . Let **x** =  $(x_1, ..., x_n)$  be a tuple of *n* variables. When no ambiguity, **x** may represent the set  $\{x_1,\ldots,x_n\}$ . For  $\mathbf{e} = (e_1,\ldots,e_n) \in \mathbb{N}^n$ , the monomial with exponent **e** is  $\mathbf{x}^{\mathbf{e}} := x_1^{e_1} \dots x_n^{e_n}$ . Given  $f \in \mathbb{Z}[\mathbf{x}]$  and  $\mathbf{e} \in \mathbb{N}^n$ , Coeff(**e**, f) denotes the coefficient of  $\mathbf{x}^{\mathbf{e}}$  in  $f$ ,  $\mathbf{x}^{\mathbf{e}}$  (or **e**) is in f if Coeff(**e**, f)  $\neq 0$ , and the coefficient of  $\mathbf{x}^e$  in f.  $\mathbf{x}^e$  (or **e**) is in f if Coeff(**e**, f)  $\neq$  0, and  $E_f := \{ e \in \mathbb{N}^n \mid x^e \in f \}.$  Given two vectors  $\mathbf{c} = (c_1, \ldots, c_n)$  and  $\mathbf{e} = (e_1, \ldots, e_n)$  in  $\mathbb{Q}^n$ , their inner product is  $\langle \mathbf{c}, \mathbf{e} \rangle = \sum_{i=1}^n c_i e_i$ . Each  $\mathbf{c} \in \mathbb{Q}^n$  defines a linear form  $\mathbf{c}^*$  which maps  $\mathbf{e} \in \mathbb{Q}^n$  to  $\langle \mathbf{c}, \mathbf{e} \rangle$  $\mathbf{c} \in \mathbb{Q}^n$  defines a linear form  $\mathbf{c}^*$ , which maps  $\mathbf{e} \in \mathbb{Q}^n$  to  $\langle \mathbf{c}, \mathbf{e} \rangle$ .

**Codes and permutations.** We call  $\mathbf{v} = (v_1, \ldots, v_n) \in \mathbb{N}^n$  a *code*. We identify **v** with  $\mathbf{v}' = (v_1, \dots, v_n, 0, \dots, 0) \in \mathbb{N}^m$ , for  $m \geq n$ . The weight of the code **v**, denoted by  $|\mathbf{v}|$ , is  $\sum_{i=1}^{n} v_i$ . A code **v**  $\in \mathbb{N}^n$ <br>is dominant if  $v_i > v_0 > \ldots > v_n$ . We define a nartition to be a is *dominant* if  $v_1 \ge v_2 \ge \cdots \ge v_n$ . We define a *partition* to be a dominant code and often represent it using α. **v** is *anti-dominant* if  $v_1 \le v_2 \le \dots \le v_k$  and  $v_{k+1} = \dots = v_n = 0$ .

For  $N \in \mathbb{N}$ ,  $S_N$  is the symmetric group on [N]. A permutation  $\sigma \in S_N$  is written as  $\sigma(1), \sigma(2), \ldots, \sigma(N)$ . We identify  $\sigma$  with  $\sigma' =$  $\sigma(1),\ldots,\sigma(N), N+1,\ldots,M \in S_M$ , for  $M \geq N$ . The length of  $\sigma$ ,

denoted by  $|\sigma|$ , is the number of inversions of  $\sigma$ . That is,  $|\sigma| = |\{(i, j) :$  $i < j$ ;  $\sigma(i) > \sigma(j)$ ].

Given a permutation  $\sigma \in S_N$ , we can associate a code **v**  $\in \mathbb{N}^n$ , by assigning  $v_i = |\{j : j > i, \sigma(j) < \sigma(i)\}|$ . On the other hand, given a code  $\mathbf{v} \in \mathbb{N}^n$ , we associate a permutation  $\sigma \in S_N(N \geq n)$  as follows. (N will be clear from the construction procedure.) To start,  $\sigma(1)$  is assigned as  $v_1 + 1$ .  $\sigma(2)$  is the  $(v_2 + 1)$ th number, skipping  $\sigma(1)$  if necessary (i.e., if  $\sigma(1)$  is within the first  $(v_2 + 1)$  numbers).  $\sigma(k)$  is then the  $(v_k + 1)$ th number, skipping some of  $\sigma(1), \ldots, \sigma(k-1)$  if necessary. For example, it can be verified that  $316245$  gives the code  $(2,0,3,0,0,0) = (2,0,3)$ and vice versa.

Given a code **v**, its associated permutation is denoted as  $\langle \mathbf{v} \rangle$ . Conversely, the code of a permutation  $\sigma \in S_N$  is denoted as  $\mathfrak{c}(\sigma)$ . It is clear that  $|\sigma| = |\mathbf{v}|$ .

**Bases.** Let R be a (possibly nonproper) subring of the polynomial ring  $\mathbb{Z}[\mathbf{x}]$ . Suppose M is a basis of R as a Z-module. M is usually indexed by some *index set*  $\Lambda$ , and  $M = \{t_\lambda \mid \lambda \in \Lambda\}$ . For example,  $\Lambda = \mathbb{N}^n$  for  $R =$  $\mathbb{Z}[\mathbf{x}]$ , and  $\Lambda = \{\text{partitions in } \mathbb{N}^n\}$  for  $R = \{\text{symmetric polynomials}\}.$  $f \in R$  can be expressed uniquely as  $f = \sum_{\lambda \in \Gamma} a_{\lambda} t_{\lambda}, a_{\lambda} \neq 0 \in \mathbb{Z},$ <br>  $f_{\lambda} \in M$  and a finite  $\Gamma \subset \Lambda$  $t_{\lambda} \in M$ , and a finite  $\Gamma \subseteq \Lambda$ .

**A construction of Klivans and Spielman.** We present a construction of Klivans and Spielman that is the key to the derandomization here. Given positive integers m, n, and  $0 < \epsilon < 1$ , let  $t = \lceil m^2n/\epsilon \rceil$ . Let  $d$  be another positive integer and fix a prime  $p$  larger than  $t$  and  $d$ . Now, define a set of t vectors in  $\mathbb{N}^n$  as  $KS(m, n, \epsilon, d, p) := \{ \mathbf{c}^{(1)}, \ldots, \mathbf{c}^{(t)} \}$ by  $\mathbf{c}_i^{(k)} = k^{i-1} \mod p$ , for  $i \in [n]$ . Note that  $\mathbf{c}_i^{(k)} \leq p = O(m^2nd/\epsilon)$ . The main property we need from KS is as follows.

LEMMA 2.1 [\(Klivans & Spielman 2001,](#page-26-1) Lemma 3). Let  $e^{(1)}, \ldots, e^{(m)}$ *be m* distinct vectors from  $\mathbb{N}^n$  with entries in  $\{0, 1, \ldots, d\}$ . Then,

<span id="page-8-2"></span><span id="page-8-1"></span>
$$
\Pr_{k \in [t]} \{ \langle \mathbf{c}^{(k)}, \mathbf{e}^{(j)} \rangle \text{ are distinct for } j \in [m] \} \ge 1 - m^2 n / t \ge 1 - \epsilon
$$

<span id="page-8-0"></span><sup>7</sup> This is known as the *Lehmer code* of a permutation; see, e.g., [Manivel](#page-27-4) [\(2001,](#page-27-4) Section 2.1).

**On**  $#P$  **and** VNP. The standard definition of  $#P$  is as follows: function  $f: \bigcup_{n\in\mathbb{N}}\{0,1\}^n \to \mathbb{Z}$  is in #P, if there exists a polynomial-time Turing machine M and a polynomial p, s.t. for any  $x \in \{0,1\}^n$ ,  $f(x) = |\{y \in \{0,1\}^{p(n)} \text{ s.t. } M \text{ accepts } (x, y)\}|.$  $f(x) = |\{y \in \{0,1\}^{p(n)} \text{ s.t. } M \text{ accepts } (x, y)\}|.$  $f(x) = |\{y \in \{0,1\}^{p(n)} \text{ s.t. } M \text{ accepts } (x, y)\}|.$  [In](#page-3-2) [the](#page-3-2) [proof](#page-3-2) [of](#page-3-2) Theorem 1.2 in [Section 3,](#page-9-0) we find it handy to consider the class of Turing machines that output a nonnegative integer (instead of just accept or reject), and functions  $f: \bigcup_{n\in\mathbb{N}}\{0,1\}^n \to \mathbb{N}$  s.t. there exists a polynomialtime Turing machine M and a polynomial p, s.t. for any  $x \in \{0,1\}^n$ ,  $f(x) = \sum_{y \in \{0,1\}^{p(n)}} M(x, y)$ . As described in [de Campos](#page-25-9) *et al.* [\(2013](#page-25-9)), such functions are also in  $\#P$  as we can construct a usual Turing masuch functions are also in  $\#P$ , as we can construct a usual Turing machine M', which takes 3 arguments  $(x, y, z)$ , and  $M'(x, y, z)$  accepts if and only if  $z < M(x, y)$ . Then,  $\sum_{y,z} M'(x, y, z) = \sum_{y} M(x, y)$ . Note that  $z \in \{0,1\}^{q(n)}$  for some polynomial q as M is polynomial time.

The reader is referred to [Shpilka & Yehudayoff](#page-28-2) [\(2010](#page-28-2)) for basic notions like arithmetic circuits. VP denotes the class of polynomial families  $\{f_n\}_{n\in\mathbb{N}}$  s.t. each  $f_n$  is a polynomial in poly $(n)$  variables, of  $\mathsf{poly}(n)$  degree, and can be computed by an arithmetic circuit of size  $\sum_{(c_1,\ldots,c_m)\in\{0,1\}^m} f_n(x_1,\ldots,x_n,c_1,\ldots,c_m)$  where  $m = \textsf{poly}(n)$ , and  $f \in \mathbb{R}$  or is in VP. Valiant's criterion is useful to put polynomial families poly(n). VNP is the class of polynomials  $\{g_n\}_{n\in\mathbb{N}}$  s.t.  $g_n(x_1,\ldots,x_n)$  =  ${f_n}_{n\in\mathbb{N}}$  is in VP. Valiant's criterion is useful to put polynomial families in VNP.

THEOREM 2.2 (Valiant's criterion, [Valiant 1979\)](#page-28-3). *Suppose*  $\phi : \{0,1\}^{\star}$  $\rightarrow \mathbb{N}$  *is a function in* #P/poly. Then, the polynomial family  $\{f_n\}_{n\in\mathbb{N}}$ defined by  $f_n = \sum_{e \in \{0,1\}^n} \phi(e) \mathbf{x}^e$  *is in* VNP.

## **3. Skew Schubert polynomials in** #P **and** VNP

<span id="page-9-0"></span>In this section, we first define skew Schubert polynomials via the labeled Bruhat order as in Lenart  $&$  Sottile [\(2003\)](#page-27-6). We also indicate how Schubert polynomials form a special case of skew Schubert polynomials. We then put these polynomials, and therefore Schubert polynomials, in #P and VNP. Also note that it is more convenient to work with permutations instead of codes in this section.

**Definition of skew Schubert polynomials.** The Bruhat order on permutations in  $S_N$  is defined by its covers: For  $\sigma, \pi \in S_N$ ,  $\sigma \dot{\prec} \pi$  if (i)  $\sigma^{-1}\pi$  is a transposition  $\tau_{ik}$  for  $i < k$ , and (ii)  $|\sigma| + 1 = |\pi|$ . Assuming (i), condition (ii) is equivalent to:

(a) 
$$
\sigma(i) < \sigma(k)
$$
;

(b) for any j such that  $i < j < k$ , either  $\sigma(j) > \sigma(k)$ , or  $\sigma(j) < \sigma(i)$ .

This is because  $\pi$  gets an inversion added due to the transposition  $\tau_{ik}$ . So in no position between i and k can  $\sigma$  take a value between  $\sigma(i)$ and  $\sigma(k)$ . Else, the number of inversions in  $\pi$  will change by more than 1. Taking the transitive closure gives the Bruhat order  $(\le)$ . The maximal element in Bruhat order is  $\pi_0 = N, N-1, \ldots, 1$ , whose code is **d** =  $(N-1, N-2, \ldots, 1)$ .

The *labeled Bruhat order* is the key to the definition of skew Schubert polynomials. While naming it as an order, it is actually a directed graph with multiple labeled edges, with the vertices being the permutations in  $S_N$ . For  $\sigma \leq \pi$  s.t.  $\sigma^{-1}\pi = \tau_{st}$ ,  $s \leq j < t$  and  $b = \sigma(s) = \pi(t)$ , add a labeled direct edge as  $\sigma \xrightarrow{(j,b)} \pi$ . That is, for each  $\sigma \leq \pi$ , there are  $t - s$ edges between them.

For any saturated chain  $C$  in this graph, we associate a monomial  $\mathbf{x}^{\mathbf{e}(C)}$ , where  $\mathbf{e}(C) = (e_1, \ldots, e_{N-1})$ , and  $e_i$  counts the number of i appearing as the first coordinate of a label in C. A chain

$$
\sigma_0 \xrightarrow{(j_1,b_1)} \sigma_1 \xrightarrow{(j_2,b_2)} \dots \xrightarrow{(j_m,b_m)} \sigma_m
$$

is *increasing* if its sequence of labels is increasing in the lexicographic order on pairs of integers.

Now, we arrive at the definition of skew Schubert polynomials.

DEFINITION 3.1. Let **d** and  $\pi_0$  be as above. Given two permutations  $\sigma$ *and*  $\pi$ , *s.t.*  $\sigma$  *is no larger than*  $\pi$  *in the Bruhat order (* $\sigma \leq \pi$ *), the skew* Schubert polynomial

<span id="page-10-1"></span><span id="page-10-0"></span>
$$
Y_{\pi/\sigma}(\mathbf{x}) := \sum_{C} \mathbf{x}^{\mathbf{d}} / \mathbf{x}^{\mathbf{e}(C)},
$$
\n(3.2)

*summing over all increasing chains in the labeled Bruhat order from* σ *to*  $\pi$ *. The* Schubert polynomial  $Y_{\sigma} := Y_{\pi_0/\sigma}$ *.* 

Now, in the increasing chain (or any chain in the labeled Bruhat order), each edge increases  $|\sigma|$  by 1. So the number of edges in an increasing chain from  $\sigma$  to  $\pi$  is  $|\pi| - |\sigma|$ .

**Skew Schubert polynomials in** #P **and** VNP**.** Before describing the #P algorithm for skew Schubert polynomials, we note the following. First, by the correspondence between codes and permutations, from a code **v**  $\in \mathbb{N}^n$  we can compute  $\langle \mathbf{v} \rangle \in S_N$  in time polynomial in  $|\mathbf{v}|$ . Also,

we have  $N = \max_{i \in [n]} \{v_i + i\} \leq |\mathbf{v}_i| + n \leq |\mathbf{v}_0| + n$ . Second, the length of the path from  $\sigma$  to  $\pi$  is  $|\pi| - |\sigma|$ .

To start with, let us see how [Corollary 1.3](#page-4-0) follows from [Theorem 1.2.](#page-3-2)

**PROOF** (Proof of [Corollary 1.3\)](#page-4-0). Given **v**, we can compute  $\langle \mathbf{v} \rangle \in S_N$ . Note that  $N \leq |\mathbf{v}| + n$ . Then, form  $\mathbf{w} = (N - 1, N - 2, \ldots, 1)$ . Invoke Theorem 1.2 with  $(\mathbf{v}, \mathbf{w}, \mathbf{a})$ . [Theorem 1.2](#page-3-2) with  $(\mathbf{v}, \mathbf{w}, \mathbf{a})$ .

PROOF (Proof of [Theorem 1.2\)](#page-3-2). Consider the following Turing machine M: the input to M is (1) codes **v**, **w**  $\in \mathbb{N}^m$  in unary; (2) **a** =  $(a_1, \ldots, a_n) \in \mathbb{N}^n$  in binary; and (3) a sequence **s** of triplets of integers  $(s_i, j_i, t_i) \in [N] \times [N] \times [N]$  where  $s_i \leq j_i \leq t_i$ ,  $N = |\mathbf{w}| + m$ , and  $i \in [\ell], \ell = |\mathbf{w}| - |\mathbf{v}|.$  (Note that all the conditions on **s** can be checked efficiently.) The output of  $M$  is a nonnegative integer.

Given the input  $(\mathbf{v}, \mathbf{w}, \mathbf{a}, \mathbf{s})$ , M uses **s** as a guide to compute an increasing chain from  $\langle v \rangle$  to  $\langle w \rangle$  in the labeled Bruhat order of  $S_N$ . Let  $\sigma_0 = \langle \mathbf{v} \rangle$ . Suppose the chain to be constructed is  $\sigma_0 \xrightarrow{(j_1,b_1)} \sigma_1 \xrightarrow{(j_2,b_2)}$  $\cdots \frac{(j_\ell, b_\ell)}{N} \sigma_\ell$ . At step  $i, 0 \leq i \leq \ell, M$  also maintains an exponent vector  $\mathbf{e}_i \in \mathbb{N}^{N-1}$ , and the label  $(j_i, b_i)$ .

To start, M sets **e**<sub>0</sub> =  $(0, ..., 0)$ , and  $(j_0, b_0) = (0, 0)$  (lexicographically minimal). Then, when the step  $i-1$  finishes, M maintains  $e_{i-1}$ ,  $\sigma_{i-1}$ , and  $(j_{i-1}, b_{i-1})$ . Then, at step i, based on  $(s_i, j_i, t_i)$ , M performs the following. First, it computes  $\sigma_i = \sigma_{i-1}\tau_{s_i t_i}$ , and checks whether  $|\sigma_{i-1}| + 1 = |\sigma_i|$ : If equal, then continue, otherwise return 0 (not a valid chain). Second, it sets  $e_i$  by adding 1 to the  $j_i$ <sup>th</sup> component of **<sup>e</sup>**i−1, and keeping the other components same. Third, it computes  $b_i = \sigma_i(t_i)$  and checks whether  $(j_i, b_i)$  is larger than  $(j_{i-1}, b_{i-1})$  in the lexicographic order: If it is larger, then continue, otherwise return 0 (not a valid chain).

When the  $\ell$ th step finishes, M obtains  $\sigma_{\ell}$  and  $\mathbf{e}_{\ell}$ . It first checks whether  $\sigma_{\ell} = \langle \mathbf{w} \rangle$ : If equal, then continue, otherwise return 0 (not a valid chain). M then computes  $\mathbf{a}^{\mathbf{d}}/\mathbf{a}^{\mathbf{e}_{\ell}}$  as the output.

This finishes the description of M. Clearly, M runs in time polynomial in the input size. M terminates within  $\ell$  steps where  $\ell = |\mathbf{w}| - |\mathbf{v}|$ and recall that **w** and **v** are given in unary.

Finally, note that  $Y_{\mathbf{w}/\mathbf{v}}(\mathbf{a})$  is equal to  $\sum_{\mathbf{s}} M(\mathbf{v}, \mathbf{w}, \mathbf{a}, \mathbf{s})$ , where **s** runs over all sequences of triplets of indices as described at the beginning of the proof. By the discussion of  $\#P$  at the end of [Section 2,](#page-7-0) this puts the evaluation of  $Y_{\mathbf{w}/\mathbf{v}}$  in #P.

PROOF (Proof of [Theorem 1.4\)](#page-4-2). Let us outline the proof of [Theorem 1.4,](#page-4-2) which basically follows from the proof of [Theorem 1.2.](#page-3-2) By Valiant's criterion [\(Theorem 2.2\)](#page-8-1), to put  $Y_{\mathbf{w}/\mathbf{v}}$  in VNP, it suffices to show that the coefficient of any monomial in  $Y_{\mathbf{w}/\mathbf{v}}$  is in #P. Therefore, we consider the following Turing machine  $M'$ , which is modified from the Turing machine  $M$  in the proof of [Theorem 1.2](#page-3-2) as follows. Firstly,  $M'$  takes input  $(\mathbf{v}, \mathbf{w}, \mathbf{a}, \mathbf{s})$ , where **a** is thought of as an exponent vector and is given in unary. Second, in the last step,  $M'$  checks whether **d**−**e** $\ell$ equals **a** or not. If equal, then output 1. Otherwise, output 0. It is clear that this gives a #P algorithm to compute the coefficient of **xa**. The only small problem here is that in the literature (Bürgisser [\(2000,](#page-25-10) Prop. 2.20), [Koiran](#page-26-8) [\(2005,](#page-26-8) Thm. 2.3)) we can find, Valiant's criterion is only stated for multilinear polynomials.<sup>[8](#page-12-2)</sup> But it only takes a little more effort to overcome this; essentially, this is because the degree is assumed to be polynomially bounded, so **a** can be given in unary. First, note that N (as in the beginning of the proof of [Theorem 1.2\)](#page-3-2) is an upper bound on the individual degree for each  $x_i$  and then introduce N copies for each variable  $x_i$ . Since  $\mathbf{a} = (a_1, \ldots, a_n)$  is given in unary, we can assume w.l.o.g. that each  $a_i$  is an N-bit string  $(a_{i,1},\ldots,a_{i,N})$ , and if  $a_i = k$ , the first  $k$  bits are set to 1, and the rest 0. (These conditions are easy to enforce in the definition of  $M'$ .) We then use  $a_{i,j}$  to control whether we have the j<sup>th</sup> copy of  $x_i$ , or 1, using the formula  $a_{i,j}x_i + 1 - a_{i,j}$ . After this slight modification, the Valiant's criterion applies, and the proof is  $\Box$ concluded.  $\Box$ 

#### <span id="page-12-1"></span><span id="page-12-0"></span>**4. Sparse interpolation in an interpolation-friendly basis**

**4.1. Interpolation-friendly bases.** Let  $M = \{t_{\lambda} | \lambda \in \Lambda\}$  be a basis of a Z-module  $R \subseteq \mathbb{Z}[x_1,\ldots,x_n]$ , indexed by  $\lambda \in \Lambda$ . Given a function  $K : \Lambda \times \mathbb{N} \to \mathbb{R}^+$ , M is called K-bounded, if  $\forall t_\lambda \in M$ , the absolute values of the coefficients in the monomial expansion of  $t_{\lambda} \in M$ ,  $t_{\lambda} \in \mathbb{Z}[x_1,\ldots,x_n]$  are bounded by  $K(\lambda,n).^9$  $K(\lambda,n).^9$ 

For a  $(0,1)$ , non-singular matrix A,  $L_A := \{ \mathbf{c}^* \in (\mathbb{Q}^n)^* \mid \exists \mathbf{c}' \in$  $(\mathbb{Z}^+)^n$ , **c** = A**c**'}. Note that **c**' is a vector with positive integer components. Also recall that for  $\mathbf{c} \in \mathbb{Q}^n$ ,  $\mathbf{c}^*$  denotes the linear form determined

<span id="page-12-2"></span> $8$  It is well known though that Valiant's criterion works for even non-multilinear polynomials. However, the only reference we are aware of is [Saptharishi](#page-27-12) [\(2016,](#page-27-12) pp. 10, Footnote 1), where no details are provided. Therefore, we describe the procedure to overcome this for completeness.

<span id="page-12-3"></span><sup>&</sup>lt;sup>9</sup> Note that while  $\Lambda$  depends on n already, we feel that it is clearer to explicitly designate n as a parameter of K, as shown, e.g., in [Proposition 5.9.](#page-21-0)

by **c**. M is  $L_A$ -compatible, if for every  $t_\lambda \in M$ , we can associate an exponent **e**<sub> $\lambda$ </sub> s.t. (1) for any  $\mathbf{c}^* \in L_A$ ,  $\mathbf{c}^*$  achieves the maximum uniquely at **e**<sub> $\lambda$ </sub> over  $E_{t_{\lambda}} = \{e \in \mathbb{N}^n \mid \mathbf{x}^e \in t_{\lambda}\};$  (2)  $\mathbf{e}_{\lambda} \neq \mathbf{e}_{\lambda'}$  for  $\lambda \neq \lambda'$ ; (3) the coefficient of  $\mathbf{x}^{\mathbf{e}}$  in  $t_{\lambda}$  is 1.  $\mathbf{x}^{\mathbf{e}}$  (resp.  $\mathbf{e}_{\lambda}$ ) is called the *leading monomial* (resp. *leading exponent*) of  $t_{\lambda}$  w.r.t.  $L_A$ . By the conditions  $(1)$  and  $(2)$ , the leading monomials are distinct across M, and for each  $t_{\lambda}$ , the leading monomial is unique. We assume that from  $\lambda$ , it is easy to compute  $\mathbf{e}_{\lambda}$ , and vice versa. In fact, for Schubert polynomials,  $\lambda$  is from  $\Lambda = \mathbb{Z}^n$ , and  $\mathbf{e} = \lambda$ .

Combining the above two definitions, we say that M is  $(K, L<sub>A</sub>)$ interpolation-friendly, if (1) M is K-bounded; (2) M is  $L_A$ -compatible. We also call it  $(K, L_A)$ -friendly for short, or I-friendly when K and  $L_A$ are understood from the context.

- $\circ$  A trivial example is the monomial basis for  $\mathbb{Z}[\mathbf{x}]$ , where  $K = 1, A$ is the identity transformation, and a leading monomial for **x<sup>e</sup>** is just itself;
- For symmetric polynomials, the basis of Schur polynomials (indexed by partitions  $\alpha$ ) is  $(K, L<sub>A</sub>)$ -friendly, where (1)  $K(\alpha, n) =$  $\sqrt{|\alpha|}$ ! by [Proposition 5.2,](#page-17-2) (2)  $A = (r_{i,j})_{i,j \in [n]}$  where  $r_{i,j} = 0$  if  $i > i$  and 1 otherwise by the fact that every exponent vector in  $i>j$ , and 1 otherwise, by the fact that every exponent vector in  $s_{\alpha}$  is dominated by  $\alpha$  [\(Barvinok & Fomin](#page-25-0) [\(1997,](#page-25-0) Sec. 2.2)). (A is the upper triangular matrix with 1's on the diagonal and above.) The associated leading monomial for  $s_{\alpha}$  is  $\mathbf{x}^{\alpha}$ . In retrospect, the fact that Schur polynomials form an I-friendly basis is the mathemati[cal](#page-25-0) [support](#page-25-0) [of](#page-25-0) [the](#page-25-0) [Barvinok–Fomin](#page-25-0) [algorithm](#page-25-0) [\(](#page-25-0)Barvinok & Fomin [1997\)](#page-25-0).

**4.2. Sparse interpolation in an interpolation-friendly basis.** In this section, we perform deterministic sparse polynomial interpolation in an interpolation-friendly basis. The idea is to combine the structure of the Barvinok–Fomin algorithm with some ingredients from the Klivans–Spielman algorithm.

We first briefly review the idea of the Klivans–Spielman algorithm [\(Klivans & Spielman 2001,](#page-26-1) Section 6.3). Suppose we want to interpolate  $f \in \mathbb{Z}[x_1,\ldots,x_n]$  of degree d with m monomials. Their algorithm makes use of the map

<span id="page-13-0"></span>
$$
\phi_{\mathbf{c}}(x_1, \dots, x_n) = (y^{c_1}, \dots, y^{c_n})
$$
\n(4.1)

where  $\mathbf{c} = (c_1, \ldots, c_n) \in (\mathbb{Z}^+)^n$ . If **c** satisfies the property:  $\forall \mathbf{e} \neq$  $e' \in f, \langle c, e \rangle \neq \langle c, e' \rangle$ , then we can reduce interpolation of multivariate polynomials to interpolation of univariate polynomials: first apply the univariate polynomial interpolation (based on the Vandermonde matrix) to get a set of coefficients. Then to recover the exponents, modify  $\phi_c$  as

$$
\phi_{\mathbf{c}}'(x_1,\ldots,x_n) = (p_1 y^{c_1}, \ldots, p_n y^{c_n}),\tag{4.2}
$$

<span id="page-14-0"></span>where  $p_i$ 's are distinct primes and get another set of coefficients. Comparing the two sets of coefficients, we can compute the exponents. Note that the components of **c** need to be small for the univariate interpolation to be efficient. To obtain such a **c**, Klivans and Spielman exhibit a small—polynomial in n, m, d, and an error probability  $\epsilon \in (0,1)$  set of test vectors **c** s.t. with probability  $1 - \epsilon$ , a vector from this set satisfies the above property. Furthermore, the components of these vectors are bounded by  $O(m^2nd/\epsilon)$ . Their construction was reviewed in [Lemma 2.1,](#page-8-2) [Section 2.](#page-7-0)

Now, suppose M is a  $(K, L_A)$ -friendly basis for  $R \subseteq \mathbb{Q}[\mathbf{x}]$ , and we want to recover  $f = \sum_{\lambda \in \Gamma} a_{\lambda} t_{\lambda}$  of degree  $\leq d$ , and  $|\Gamma| = m$ . To apply the above idea to an arbitrary L-friendly basis M the natural strategy the above idea to an arbitrary I-friendly basis  $M$ , the natural strategy is to extract the leading monomials w.r.t.  $L_A$ . However, as each basis polynomial can be quite complicated, there are many other non-leading monomials which may interfere with the leading ones. Specifically, we need to explain the following:

- (1) Whether extremely large coefficients appear after the map  $\phi_{\mathbf{c}}$ , therefore causing the univariate interpolation procedure to be inefficient?
- (2) Whether some leading monomials are preserved after the map  $\phi_c$ ? (That is, will the image of every leading monomial under  $\phi_c$  be canceled by non-leading monomials?)

It is immediate to realize that I-friendly bases are designed to overcome the above issues. (1) is easy: By the K-bounded property, for any monomial  $\mathbf{x}^{\mathbf{u}}$  in f, the absolute value of  $\text{Coeff}(\mathbf{u}, f)$  is bounded by  $K \cdot (\sum_{\lambda} |a_{\lambda}|)$ . Therefore, the coefficients of the image of f under  $\phi_{\mathbf{c}}$ are bounded by  $O((\binom{n+d}{d} \cdot K \cdot (\sum_{\lambda} |a_{\lambda}|))$ . (2) is not hard to overcome either; see the proof of [Theorem 4.3](#page-15-0) below. These properties are used implicitly in the Barvinok–Fomin algorithm.

There is one final note: If, unlike in the monomial basis case, the procedure cannot produce all leading monomials at one shot, we may need to get one  $t_{\lambda}$  and its coefficient, subtract that off, and recurse. This requires us to compute  $t_{\lambda}$  efficiently. As this is the property of  $t_{\lambda}$ ,

<span id="page-15-0"></span>not directly related to the interpolation problem, we assume an oracle which takes an index  $\lambda \in \Lambda$  and an input  $\mathbf{a} \in \mathbb{N}^n$ , and returns  $t_{\lambda}(\mathbf{a})$ .

THEOREM 4.3. Let  $M = \{t_{\lambda} \mid \lambda \in \Lambda\}$  be a  $(K, L_A)$ -friendly basis *for*  $R \subseteq \mathbb{Z}[\mathbf{x}]$ ,  $K = K(\lambda, n)$ , A a  $(0, 1)$  *invertible matrix. Given an access to an oracle*  $\mathcal{O} = \mathcal{O}(\lambda, \mathbf{a})$  *that computes basis polynomial*  $t_{\lambda}(\mathbf{a})$ *for*  $\mathbf{a} \in \mathbb{N}^n$ , there exists a deterministic algorithm that, given a black *box containing*  $f = \sum_{\lambda \in \Gamma} a_{\lambda} t_{\lambda}$ ,  $a_{\lambda} \neq 0 \in \mathbb{Z}$  with the promise that  $\deg(f) \leq d$  and  $|\Gamma| \leq m$  computes such an expansion of f in time  $deg(f) \leq d$  and  $|\Gamma| \leq m$ , computes such an expansion of f in time  $\mathsf{poly}(n,d,m,\log(\sum_{\lambda}|a_{\lambda}|),\log K).$ 

**PROOF.** We first present the algorithm. Recall the maps  $\phi_c$  and  $\phi_c'$ defined in  $(4.1)$  and  $(4.2)$ .

**Input:** A black box B containing  $f \in R \subseteq \mathbb{Z}[x_1,\ldots,x_n]$  with the promises: (1) deg(f)  $\leq d$ ; (2) f has  $\leq m$  terms in the M-basis. An oracle  $\mathcal{O} = \mathcal{O}(\lambda, \mathbf{a})$  computing  $t_{\lambda}(\mathbf{a})$  for  $\mathbf{a} \in \mathbb{N}^n$ .

**Output:** The expansion  $f = \sum_{\lambda \in \Gamma} a_{\lambda} t_{\lambda}$ .

- **Algorithm:** 1. By [Lemma 2.1,](#page-8-2) construct the Klivans–Spielman set  $KS = KS(m, n, 1/3, nd, p).$ 
	- 2. For every vector **c** in KS, do:
		- (a)  $f_c \leftarrow 0$ ,  $i \leftarrow 0$ ,  $d \leftarrow Ac$ .
		- (b) While  $i < m$ , do:
			- i. Apply the map  $\phi_d$  to  $\beta f_c$  (with the help of  $\mathcal{O}$ ), and use the univariate interpolation algorithm to obtain  $g(y) = \sum_{i=0}^{k} b_i y^i$ . If  $g(x) \equiv 0$ , break.
			- ii. Apply the map  $\phi'_{\mathbf{d}}$  to  $\mathcal{B}-f_{\mathbf{c}}$  (with the help of  $\mathcal{O}$ ), and use the univariate interpolation algorithm to obtain  $g'(y) = \sum_{i=0}^{k} b'_i y^i.$
			- iii. From  $b_k$  and  $b'_k$ , compute the corresponding monomial  $\mathbf{x}^e$ , and its coefficient  $a_e$ . From  $\mathbf{x}^e$ , compute the corresponding label  $\nu \in \Lambda$ , and set  $a_{\nu} \leftarrow a_{\mathbf{e}}$ .

iv.  $f_c \leftarrow f_c + a_\nu t_\nu$ .  $i \leftarrow i + 1$ .

3. Take the majority of  $f_c$  over **c** and output it.

We prove the correctness of the above algorithm. As before, let  $\mathbf{e}_{\lambda}$  be the leading vector of  $t_{\lambda}$  w.r.t.  $L_A$ . Note that as  $A^T$  is  $(0, 1)$ matrix, entries in the vector  $A^T \mathbf{e}_{\lambda}$  are in  $\{0, 1, \ldots, nd\}$ . By the property of  $KS(m, n, 1/3, nd, p)$ , no less than 2/3 fraction of the vectors from  $c \in KS$  satisfy that  $\langle c, A^T \mathbf{e}_{\lambda} \rangle$  are distinct over  $\lambda \in \Gamma$ ; call these vectors "distinguishing." We shall show that for any distinguishing vector, the algorithm outputs the correct expansion, so step (3) would succeed.

Fix a distinguishing vector **c**. As  $\mathbf{e}_{\lambda} \neq \mathbf{e}_{\lambda'}$  for  $\lambda \neq \lambda'$  and A is invertible, there exists a unique  $\nu \in \Lambda$  s.t.  $\mathbf{e}_{\nu}$  achieves the maximum at  $\langle c, A^T \mathbf{e}_\lambda \rangle$  over  $\lambda \in \Gamma$ . As  $\langle c, A^T \mathbf{e}_\lambda \rangle = \langle A \mathbf{c}, \mathbf{e}_\lambda \rangle = \langle \mathbf{d}, \mathbf{e}_\lambda \rangle$ , by the definition of M being  $L_A$ -compatible, we know that within each  $t_\lambda$ ,  $\mathbf{d}^*$ achieves the unique maximum at  $\mathbf{e}_{\lambda}$  over  $E_{t_{\lambda}}$ , the set of all exponent vectors in  $t_{\lambda}$ . Thus, over all **e**  $\in$  f, **d**<sup>\*</sup> achieves the unique maximum at **e**<sub>ν</sub>. This means that  $y^{\langle d, e_v \rangle}$  is the monomial in  $g(y)$  of maximum degree and could not be affected by other terms. As  $\text{Coeff}(\mathbf{e}_{\nu}, t_{\nu}) = 1$ , Coeff(**e**<sub>ν</sub>, f) is just the coefficient of  $t<sub>\nu</sub>$  in the expansion of f. So we have justified that from Step  $(2.b.i)$  to  $(2.b.iii)$ , the algorithm extracts the monomial of maximum degree in  $q(y)$ , computes the corresponding coefficient and exponent in f, and interprets as a term  $a_{\lambda}t_{\lambda}$ .

To continue computing other terms, we just need to note that **c** is still distinguishing w.r.t. (f–some of the terms within f). This justifies Step (2.b.iv).

To analyze the running time, the FOR-loop in Step (2) and the WHILE-loop in Step (2.b) take  $O(m^2n)$  and m rounds, respectively. In the univariate polynomial interpolation step, as the components in **c** are bounded by  $O(m^2n \cdot nd)$  and A is  $(0, 1)$ , the components in **d** are bounded by  $O(m^2 n^3 d)$ . It follows that  $k = \deg(q) = \langle \mathbf{d}, \mathbf{e}_{\nu} \rangle$  $O(m^2n^3d^2)$ . By the K-bounded property, the coefficients of  $g(y)$  are of magnitude  $O((\binom{n+d}{d} \cdot K \cdot (\sum_{\lambda} |a_{\lambda}|))$ . So the running time for the univariate interpolation step, and therefore for the whole algorithm, is  $\mathsf{poly}(m,n,d,\log(\sum_{\lambda}|a_{\lambda}|),\log K).$ 

## <span id="page-16-0"></span>**5. Schubert polynomials form an interpolation-friendly basis**

In this section, our ultimate goal is to prove Propositions [5.9](#page-21-0) and [5.10,](#page-21-1) which establish that Schubert polynomials form an I-friendly basis. The main theorem [Theorem 1.1](#page-2-2) follows immediately. For this, we need to review some properties of Schur polynomials, the definition of Schubert polynomials via d[ivided](#page-27-5) [differences,](#page-27-5) [and](#page-27-5) [the](#page-27-5) [transition](#page-27-5) [formula](#page-27-5) [\(](#page-27-5)Lascoux & Schützenberger [1985\)](#page-27-5). The transition formula is the main technical tool to deduce the properties of Schubert polynomials we shall need for Propositions [5.9](#page-21-0) and [5.10.](#page-21-1) These include [Lemma 5.6](#page-18-0) which helps us

to find the matrix A needed for the  $L_A$ -compatible property, and an alterative proof for Schubert polynomial in #P.

**Schur polynomials.** For a positive integer  $\ell$ , the complete symmetric polynomial  $h_{\ell}(\mathbf{x}) \in \mathbb{Z}[\mathbf{x}]$  is the sum over all monomials of degree  $\ell$ , with coefficient 1 for every monomial. We also define  $h_0(\mathbf{x}) = 1$ , and  $h_{\ell}(\mathbf{x}) = 0$  for any  $\ell < 0$ . For a partition  $\alpha = (\alpha_1, \ldots, \alpha_n)$  in  $\mathbb{N}^n$ , the Schur polynomial  $s_\alpha(\mathbf{x})$  in  $\mathbb{Z}[\mathbf{x}]$  can be defined by the Jacobi–Trudi formula as  $s_{\alpha}(\mathbf{x}) = \det[h_{\alpha_i-i+j}(\mathbf{x})]_{i,j\in[n]}$ . Note that  $\deg(s_{\alpha}(\mathbf{x})) = |\alpha|$ . Via this determinantal expression, we have

<span id="page-17-3"></span>PROPOSITION 5.1 [\(Barvinok & Fomin 1997,](#page-25-0) Sec. 2.4). For  $a \in \mathbb{Z}^n$ ,  $s_{\alpha}(\mathbf{a})$  *can be computed using*  $O(|\alpha|^2 \cdot n + n^3)$  *arithmetic operations, and the bit lengths of intermediate numbers are polynomial in those of* **a***.*

<span id="page-17-2"></span>Littlewood's theorem shows Schur polynomials have positive coefficients. We also need the following bound on coefficients—the Kostka numbers in  $s_\alpha(\mathbf{x})$ .

PROPOSITION 5.2 [\(Barvinok & Fomin 1997,](#page-25-0) Sec. 2.2). For any  $e \in$  $\mathbb{N}^n$ ,  $0 \leq \text{Coeff}(\mathbf{e}, \mathbf{s}_{\alpha}(\mathbf{x})) \leq \sqrt{|\alpha|!}$ .

**Definition of Schubert polynomials via divided differences.** We follow the approach in [Lascoux](#page-26-4) [\(2003,](#page-26-4) [2008](#page-26-9)). For  $i \in [n-1]$ , let  $\chi_i$ be the switching operator on  $\mathbb{Z}[x_1,\ldots,x_n]$ :

$$
f^{\chi_i}(x_1,\ldots,x_i,x_{i+1},\ldots,x_n) := f(x_1,\ldots,x_{i+1},x_i,\ldots,x_n).
$$

<span id="page-17-0"></span>Then, the divided difference operator  $\partial_i$  on  $\mathbb{Z}[x_1,\ldots,x_n]$  is  $\partial_i(f) := \frac{f - f^{\chi_i}}{x_i - x_{i+1}}$ .

DEFINITION 5.3. *For*  $\mathbf{v} = (v_1, \ldots, v_n) \in \mathbb{N}^n$ , the Schubert polynomial  $Y_{\mathbf{v}} \in \mathbb{Z}[x_1,\ldots,x_n]$  *is defined recursively as follows:* 

- *(i)* If **v** is dominant, then  $Y_v = x_1^{v_1} x_2^{v_2} \dots x_n^{v_n}$ .
- <span id="page-17-1"></span>*(ii)* If  $v_i > v_{i+1}$ , then  $Y_{\mathbf{v}'} = \partial_i Y_{\mathbf{v}}$  where  $\mathbf{v}' = (v_1, \dots, v_{i+1}, v_i - 1, \dots, v_{i+1})$  $1,\ldots,v_n$ ).

It is not hard to see that this defines  $Y_{\mathbf{v}}$  for any **v**. We list some basic facts about Schubert polynomials.

- FACT 5.4 [\(Manivel 2001](#page-27-4)). *(i)* If  $\mathbf{v} = (v_1, \ldots, v_n)$  is anti-dominant with k being the last nonzero index,  $Y_{\mathbf{v}}$  equals the Schur poly*nomial*  $s_{\alpha}(x_1,\ldots,x_k)$  *where*  $\alpha = (v_k,\ldots,v_1)$ *.* 
	- *(ii)* As a special case of (1), if **v** =  $(0, ..., 0, w, 0, ...)$  where w is at the k<sup>th</sup> position, then  $Y_{\mathbf{v}}(\mathbf{x})$  is the complete homogeneous sym*metric polynomial*  $h_w(x_1, \ldots, x_k)$ *.*
- *(iii)* If  $\mathbf{v} = [v_1, \dots, v_k, 0, \dots, 0] \in \mathbb{N}^n$ , then  $Y_{\mathbf{v}} \in \mathbb{Z}[x_1, \dots, x_k]$ *.*

The transition formula and its applications. Given a code  $v \in$  $\mathbb{N}^n$ , let k be the largest t s.t.  $v_t$  is nonzero, and  $\mathbf{v}' = [v_1, \ldots, v_k -$ 1, 0,..., 0]. For convenience, let  $\sigma = \langle \mathbf{v}' \rangle$ . Then, the transition formula of Lascoux and Schützenberger (Lascoux  $&$  Schützenberger 1985) is:

<span id="page-18-2"></span>
$$
Y_{\mathbf{v}} = x_k Y_{\mathbf{v}'} + \sum_{\mathbf{u}} Y_{\mathbf{u}},
$$

where  $\mathbf{u} \in \mathbb{N}^n$  satisfies that: (i)  $\langle \mathbf{u} \rangle \sigma^{-1}$  is a transposition  $\tau_{ik}$  for  $i < k$ ; (ii)  $|\mathbf{u}| = |\mathbf{v}|$ . Assuming (i), condition (ii) is equivalent to:

(a) 
$$
\sigma(i) < \sigma(k)
$$
; (b) for any  $j$  s.t.  $i < j < k$ ,  
either  $\sigma(j) > \sigma(k)$ , or  $\sigma(j) < \sigma(i)$ . (5.5)

Let  $\Psi_{\mathbf{v}}$  be the set of codes with weight  $|\mathbf{v}|$  appearing in the transition formula for **v**, and  $\Phi_{\mathbf{v}} = \Psi_{\mathbf{v}} \cup {\mathbf{v'}}$ . Any  $\mathbf{u} \in \Psi_{\mathbf{v}}$  is uniquely determined by the transposition  $\tau_{ik}$ , therefore, by some  $i \in [k-1]$ .

The transition formula yields the following simple, yet rarely men-tioned<sup>[10](#page-18-1)</sup> property of Schubert polynomials. This is the key to show that the Schubert basis is  $L_A$ -compatible for some appropriate A. For completeness, we include a proof here.

Given **v** and **u** in  $\mathbb{N}^n$ , **v** dominates **u** reversely, denoted as  $\mathbf{v} \triangleright \mathbf{u}$ , if  $v_n \geq u_n, v_n + v_{n-1} \geq u_n + u_{n-1}, \ldots, v_n + \cdots + v_2 \geq u_n + \cdots + u_2$  $v_n + \cdots + v_1 = u_n + \cdots + u_1.$ 

<span id="page-18-0"></span>LEMMA 5.6. For  $\mathbf{u} \in \mathbb{N}^n$ , if  $\mathbf{x}^{\mathbf{u}}$  is in  $Y_{\mathbf{v}}$ , then  $\mathbf{v} \triangleright \mathbf{u}$ . Furthermore,  $\text{Coeff}(\mathbf{v}, Y_{\mathbf{v}})=1.$ 

PROOF. We first induct on the weight. When the weight is 1, the claim holds trivially. Assume the claim holds for weight  $\leq w$ , and

<span id="page-18-1"></span><sup>&</sup>lt;sup>10</sup> The only reference we know of is in [Lascoux](#page-27-11)  $(2013, pp. 62, Footnote 4)$  $(2013, pp. 62, Footnote 4)$ .

consider  $\mathbf{v} \in \mathbb{N}^n$  with  $|\mathbf{v}| = w + 1$ . We now induct on the reverse dominance order, from small to large. The smallest one with weight  $w + 1$  is  $[w + 1, 0, \ldots, 0]$ . As  $Y_{[w+1,0,\ldots,0]} = x_1^{w+1}$ , the claim holds.<br>For the induction step, we make use of the transition formula

For the induction step, we make use of the transition formula. Suppose k is the largest i s.t.  $v_i$  is nonzero,  $\mathbf{v}' = [v_1, \ldots, v_k - 1, 0, \ldots, 0],$ and  $\sigma = \langle \mathbf{v}' \rangle$ . Then, by the transition formula,  $Y_{\mathbf{v}} = x_k Y_{\mathbf{v}'} + \sum_{\mathbf{u}} Y_{\mathbf{u}},$ where  $\mathbf{u} \in \mathbb{N}^n$  satisfies that: (i)  $\langle \mathbf{u} \rangle \sigma^{-1}$  is a transposition  $\tau_{ik}$  for  $i < k$ ; (ii)  $|\mathbf{u}| = |\mathbf{v}|$ . Assuming (i), the condition (ii) is equivalent to that: (a)  $\sigma(i) < \sigma(k)$ ; (b) for any j s.t.  $i < j < k$ , either  $\sigma(j) > \sigma(k)$ , or  $\sigma(j) < \sigma(i)$ . Thus, **u** and **v**' can only differ at positions i and k, and  $u_i > v'_i = v_i, u_k \leq v'_k < v_k$ . It follows that  $\mathbf{v} \triangleright \mathbf{u}$ , and it is clear that  $\mathbf{v} \neq \mathbf{u}$ . By the induction hypothesis on  $|\mathbf{v}|$ , each monomial in  $Y_{\mathbf{v}'}$  is reverse dominated by  $\mathbf{v}'$ , and  $\text{Coeff}(\mathbf{v}', Y_{\mathbf{v}'} ) = 1$ . As  $Y_{\mathbf{v}'}$  depends only on  $x_1, \ldots, x_k$  by [Fact 5.4](#page-17-1) (3), each monomial in  $x_k Y_{\mathbf{v}'}$  is reverse dom-<br>instead by  $\mathbf{v}$ . By the induction hypothesis on the reverse dominance inated by **v**. By the induction hypothesis on the reverse dominance order, every monomial in  $Y_u$  is reverse dominated by  $u$ , thus is reverse dominated by **v** and cannot be equal to **v**. Thus,  $Coeff(\mathbf{v}, Y_{\mathbf{v}}) = 1$ , which is from  $x_k Y_{\mathbf{v}'}$ . This finishes the induction step.  $\Box$ 

We then deduce another property of Schubert polynomials from the transition formula. Starting with  $\mathbf{v}_0$ , we can form a chain of transitions **v**<sub>0</sub> → **v**<sub>1</sub> → **v**<sub>2</sub> →  $\cdots$  → **v**<sub>i</sub> → ... where **v**<sub>i</sub> ∈  $\Psi$ **v**<sub>i-1</sub>. The following lemma shows that long enough transitions lead to anti-dominant codes.

<span id="page-19-1"></span>LEMMA 5.7 (Lascoux & Schützenberger 1985, Lemma 3.11). Let  $\mathbf{v}_0 \rightarrow$ **v**<sub>1</sub> → ··· → **v**<sub> $\ell$ </sub> be a sequence of codes in  $\mathbb{N}^n$ , s.t. **v**<sub>i</sub> ∈  $\Psi$ **v**<sub>i−1</sub>, *i* ∈  $[\ell]$ *.* If *none of*  $\mathbf{v}_i$ 's are anti-dominant, then  $\ell \leq n \cdot |\mathbf{v}_0|$ .

<span id="page-19-0"></span>Based on [Lemma 5.7,](#page-19-1) we have the following corollary. Recall that for  $\mathbf{v} \in \mathbb{N}^n$ ,  $\Phi_{\mathbf{v}}$  is the collection of codes (not necessarily of weight  $|\mathbf{v}|$ ) in the transition formula for **v**.

COROLLARY 5.8. Let  $\mathbf{v}_0 \to \mathbf{v}_1 \to \cdots \to \mathbf{v}_{\ell}$  be a sequence of codes in  $\mathbb{N}^n$ , *s.t.* **v**<sub>*i*</sub> ∈  $\Phi$ **v**<sub>*i*-1</sub>, *i* ∈ [ $\ell$ ]. If none of **v**<sub>*i*</sub>'s are anti-dominant, then  $\ell \leq n \cdot (|\mathbf{v}_0|^2 + |\mathbf{v}_0|).$ 

**PROOF.** For  $w \in ||\mathbf{v}_0||$ , let  $i_w$  be the last index i in  $|\ell|$  s.t.  $\mathbf{v}_i$  is of weight w. As none of **v**<sub>i</sub>s are anti-dominant, by [Lemma 5.7,](#page-19-1)  $i_{w-1}-i_w \le n \cdot w + 1 \le n \cdot |\mathbf{v}_0| + 1$ . The result then follows.  $n \cdot w + 1 \leq n \cdot |\mathbf{v}_0| + 1$ . The result then follows.

In fact, by following the proof of [Lemma 5.7](#page-19-1) as in Lascoux & Schützenberger  $(1985)$ , it is not hard to show that in [Corollary 5.8,](#page-19-0) the same bound as in [Lemma 5.7,](#page-19-1) namely  $\ell \leq n \cdot |\mathbf{v}_0|$ , holds.

From [Corollary 5.8,](#page-19-0) a  $\#P$  algorithm for Schubert polynomials can also be derived.

PROOF (An alternative proof of [Corollary 1.3\)](#page-4-0). Consider the following Turing machine M: The input to M is a code  $\mathbf{v} \in \mathbb{N}^n$  in unary, a point  $\mathbf{a} = (a_1, \ldots, a_n) \in \mathbb{N}^n$  in binary, and a sequence **s** of pairs of indices  $(s_i, t_i) \in [n] \times [n]$ ,  $s_i \leq t_i$ , and  $i \in [\ell]$  where  $\ell := n \cdot (|\mathbf{v}|^2 + |\mathbf{v}|)$ . The output of M is a nonnegative integer. Given the input  $(\mathbf{v}, \mathbf{a}, \mathbf{s})$ , M computes a sequence of codes  $\mathbf{v}_0 \to \mathbf{v}_1 \to \cdots \to \mathbf{v}_{\ell}$ , and keeps track of a monomial  $\mathbf{x}^{\mathbf{e}_0} \to \mathbf{x}^{\mathbf{e}_1} \to \cdots \to \mathbf{x}^{\mathbf{e}_\ell}$ , where  $\mathbf{e}_i \in \mathbb{N}^n$ . The pair of indices  $(s_{i+1}, t_{i+1})$  is used as the instruction to obtain  $\mathbf{v}_{i+1}$  from  $\mathbf{v}_i$ , and  $\mathbf{e}_{i+1}$ from **e**i.

To start,  $\mathbf{v}_0 = \mathbf{v}$ , and  $\mathbf{e} = (0, \ldots, 0)$ . Suppose at step i,  $\mathbf{e}_i =$  $(e_1,...,e_n)$ , and  $\mathbf{v}_i = (v_1,...,v_k,0,...,0), v_k \neq 0$ . (*k* is the maximal nonzero index in  $v_i$ .)

If  $\mathbf{v}_i$  is anti-dominant, then  $Y_{\mathbf{v}_i}$  equals to some Schur polynomial by [Fact 5.4](#page-17-1) (1). Using [Proposition 5.1,](#page-17-3) M can compute the evaluation of that Schur polynomial on **a** efficiently, and then multiply with the value  $\prod_{i\in[n]} a_i^{e_i}$  as the output. Note that as will be seen below, the weight of  $\mathbf{e}_i$  is  $\ell \leq n \cdot |\mathbf{v}_0|^2$ , so the bit length of  $\prod_{i \in [n]} a_i^{e_i}$  is polynomial in the input size.

In the following,  $v_i$  is not anti-dominant. M checks whether  $t_{i+1} =$ k. If not, M outputs 0.

In the following,  $t_{i+1} = k$ . M then checks whether  $s_{i+1} = t_{i+1}$ .

If  $s_{i+1} = t_{i+1}$ , M goes to step  $i + 1$  by setting  $\mathbf{v}_{i+1} = (v_1, \ldots, v_k -$ 1, 0,..., 0), and  $\mathbf{e}_{i+1} = (e_1, \ldots, e_{k-1}, e_k + 1, e_{k+1}, \ldots, e_n).$ 

If  $s_{i+1} < t_{i+1}$ , then M tests whether  $s_{i+1}$  is an index in  $\Psi_{\mathbf{v}_i}$ , as follows. It first computes the permutation  $\sigma := \langle (v_1,\ldots,v_{k-1},v_k |1, 0, \ldots, 0\rangle \in S_N$ , using the procedure described in [Section 2.](#page-7-0) Note that  $N = \max_{i \in [n]} \{v_i + i\} \leq |\mathbf{v}_i| + n \leq |\mathbf{v}_0| + n$ . Then, it tests whether  $s_{i+1}$  is in  $\Psi_{\mathbf{v}_i}$ , using [\(5.5\).](#page-18-2) If  $s_{i+1}$  is not in  $\Psi_{\mathbf{v}_i}$ , then M outputs 0. Otherwise, M goes to step  $i + 1$  by setting  $\mathbf{v}_{i+1} = \mathfrak{c}(\sigma \tau_{s_{i+1},t_{i+1}})$ , and **.** 

This finishes the description of M. Clearly, M runs in time polynomial in the input size. M terminates within  $\ell$  steps by [Corollary 5.8.](#page-19-0) M always outputs a nonnegative integer as Schur polynomials are polynomials with positive coefficients.

Finally note that  $Y_\mathbf{v}(\mathbf{a})$  is equal to  $\sum_{\mathbf{s}} M(\mathbf{v},\mathbf{a},\mathbf{s}),$  where  $\mathbf{s}$  runs over all the sequences of pairs of indices as described at the beginning of the proof. By the discussion on  $\#P$  in [Section 2,](#page-7-0) this puts evaluating  $Y_{\mathbf{v}}$ on **a** in  $\#P$ .

<span id="page-21-0"></span>**The Schubert basis is interpolation-friendly.** Now we are in the position to prove that the Schubert basis is interpolation-friendly.

PROPOSITION 5.9. { $Y_{\mathbf{v}} \in \mathbb{Z}[x_1,\ldots,x_n] \mid \mathbf{v} \in \mathbb{N}^n$ } *is K*-bounded for  $K(\mathbf{v}, n) = n^{2n \cdot (|\mathbf{v}|^2 + |\mathbf{v}|)} \cdot \sqrt{|\mathbf{v}|!}$ .

PROOF. The alternative proof of [Corollary 1.3](#page-4-0) for Schubert polynomials implies that  $Y_v$  can be written as a sum of at most  $(n^2)^{n \cdot (|\mathbf{v}|^2 + |\mathbf{v}|)}$ polynomials f, where f is of the form  $\mathbf{x}^{\mathbf{e}} \cdot s_{\alpha}$ ,  $|\alpha| + |\mathbf{e}| = |\mathbf{v}|$ . The coefficients in Schur polynomial of degree d are bounded by  $\sqrt{d}$  by [Proposition 5.2.](#page-17-2) The claim then follows.  $\Box$ 

<span id="page-21-1"></span>PROPOSITION 5.10.  $\{Y_{\mathbf{v}} \in \mathbb{Z}[x_1,\ldots,x_n] \mid \mathbf{v} \in \mathbb{N}^n\}$  is  $L_A$ -compatible *for*  $A = (r_{i,j})_{i,j \in [n]}$ ,  $r_{i,j} = 0$  *if*  $i < j$ , and 1 *otherwise. The leading monomial of*  $Y_v$  *w.r.t.*  $L_A$  *is*  $\mathbf{x}^v$ *.* 

The matrix  $\hat{A}$  in [Proposition 5.10](#page-21-1) is the lower triangular matrix of 1's on the diagonal and below. Compare with that for Schur polynomials, described in [Section 4.1.](#page-12-0)

**PROOF.** This follows easily from [Lemma 5.6:](#page-18-0) note that for any  $\mathbf{c} =$  $(c_1, \ldots, c_n) \in (\mathbb{Z}^+)^n$ ,  $\mathbf{u} \in Y_{\mathbf{v}}, \langle A\mathbf{c}, \mathbf{u} \rangle = c_1(u_1 + \cdots + u_n) + c_2(u_2 + \cdots + u_n)$  $u_n$ )+···+ $c_nu_n \le c_1(v_1+\cdots+v_n)+c_2(v_2+\cdots+v_n)+\cdots+c_nv_n = \langle A\mathbf{c}, \mathbf{v} \rangle$ .<br>As  $c_i > 0$ , the equality holds if and only if  $\mathbf{u} = \mathbf{v}$ . As  $c_i > 0$ , the equality holds if and only if  $\mathbf{u} = \mathbf{v}$ .

Now we conclude the article by proving the main [Theorem 1.1.](#page-2-2)

**PROOF** (Proof of [Theorem 1.1\)](#page-2-2). Note that  $n^{2n}$  ( $|\mathbf{v}|^2 + |\mathbf{v}|$ )  $\cdot \sqrt{|\mathbf{v}|!}$  is upper bounded by  $2^{O(n \log n \cdot (|\mathbf{v}|^2 + |\mathbf{v}|) + |\mathbf{v}| \log(|\mathbf{v}|))}$ , and recall  $|\mathbf{v}| = \deg(Y_{\mathbf{v}})$ . Then combine [Proposition 5.9,](#page-21-0) [Proposition 5.10,](#page-21-1) and [Theorem 4.3.](#page-15-0)  $\Box$ 

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## **A. An alternative proof of skew Schubert polynomials in** VNP

By Equation  $(3.2)$ , skew Schubert polynomial can be written as:

$$
Y_{\pi/\sigma}(\mathbf{x}) = Y_{\pi/\sigma}(x_1, x_2, \dots x_N)
$$

$$
:= \sum_{C} \mathbf{x}^{\mathbf{d}} / \mathbf{x}^{\mathbf{e}(C)} = \sum_{C} \prod_{i=1}^{N-1} x_i^{N-i-e_i}
$$
(A.1)

Note that  $e_N = 0$ .

Let  $m$  be the length of an increasing chain. The first and second indices of each label in an increasing chain are encoded by  $N \times m$  0 − 1 matrices  $g$  and  $b$ , respectively. In these matrices, the variables are listed along the row and the edges of a chain along the column. So for each column, there will be a 1 in the row which corresponds to the index that appears in that label. Thus, in case of the  $q$  matrix, it indicates which variable should be multiplied in the monomial.

All permutations are represented by  $N \times N$  0 − 1 matrices, acting on length- $N$  column vectors.  $W_0$  and  $V$ , representing permutations σ and π, respectively, are given. Let  $W_1, \ldots W_m$  be the intermediate permutations in the increasing chain.

Now consider the following polynomials.

$$
h_{1N} = \prod_{i=1}^{N} x_i^{(N-i)} \prod_{j=1}^{m} \left( \sum_{k=1}^{N} x_k^{-1} g_{kj} \right)
$$
 (A.2)

 $h_{1N}$  encodes the monomial for a given increasing chain, which depends on g.

In the following, we shall gradually build up a series of polynomials, which basically characterize the property that  $g$  and  $b$  form an increasing chain.

$$
h_{2N} = \prod_{t=1}^{m} [(\prod_{i,j,l,k} (1 - (W_t)_{ij}(W_t)_{lk})) \cdot (\prod_{i=1}^{N} \sum_{j=1}^{N} (W_t)_{ij})]
$$
(A.3)

where the second product is over all  $1 \leq i, j, l, k \leq N$  such that  $i = l$  iff  $j \neq k$ .  $h_{2N}$  encodes valid permutation matrices. That is, it is nonzero iff  $W_1, \ldots W_m$  are valid permutation matrices, that is, each row and column contains exactly one 1.

$$
h_{3N} = \left[\prod_{i,j,k} (1 - g_{ik}g_{kj})\right] \cdot \left[\prod_{i,j,k} (1 - b_{ik}b_{kj})\right]
$$
(A.4)

where both the products are over all  $1 \leq k \leq m$  and  $1 \leq i, j \leq N$  such that  $i \neq j$ .  $h_{3N}$  is nonzero iff each column of g and b has at most one 1.

$$
h_{4N} = \left[\prod_{j=1}^{m} \sum_{k=1}^{N} g_{kj}\right] \cdot \left[\prod_{j=1}^{m} \sum_{k=1}^{N} b_{kj}\right]
$$
 (A.5)

 $h_{4N}$  is nonzero iff there is at least one 1 in each column of g and b.

$$
h_{5N} = \prod_{i,j=1}^{N} [1 - ((W_m)_{ij} - V_{ij})] \cdot [1 + ((W_m)_{ij} - V_{ij})]
$$
 (A.6)

 $h_{5N}$  is nonzero iff  $W_m = V$ .

$$
h_{6ijt} = \prod_{a,b=1}^{N} [1 - ((W_t)_{ab} - (W_{t-1}\tau_{ij})_{ab})] \cdot [1 + ((W_t)_{ab} - (W_{t-1}\tau_{ij})_{ab})] \cdot b_{jt}
$$
\n(A.7)

 $h_{6ijt}$  is nonzero iff for a particular transposition  $(i, j)$  and for a pair of consecutive permutations  $W_{t-1}$  and  $W_t$ , (a)  $W_t = W_{t-1} \tau_{ij}$  and (b) second index of the label is given according to the definition of labeled Bruhat order.

$$
h_{7t} = \sum_{i,j=1}^{N} \sum_{\substack{k,l=1 \ k
$$

 $h_{7t}$  is nonzero iff for any pair of consecutive permutations  $\sigma', \pi'$  being<br>encoded by  $W_{\sigma}$  and  $W_{\sigma}$  respectively such that  $\pi' = \tau \circ \sigma'$ , we have encoded by  $W_{t-1}$  and  $W_t$ , respectively, such that  $\pi' = \tau_{ij}\sigma'$ , we have  $\sigma'(i) < \sigma'(j)$   $(i < j)$  and for every  $i < k < j$ , either  $\sigma'(k) < \sigma'(i)$  or  $\sigma'(k) > \sigma'(j)$ . That is,  $\sigma' < \pi'$  in the Bruhat order.

$$
h_{8N} = \prod_{t=1}^{m} h_{7t} \sum_{i \le s < j} g_{st} \tag{A.9}
$$

where  $1 \leq s \leq N$ .  $h_{8N}$  is nonzero iff the sequence of permutations is correct, namely maintaining the Bruhat order so that the labels are given accordingly.

$$
h_{9N} = \prod_{t=1}^{m-1} \sum_{i=1}^{N} g_{it} \left[ \sum_{j=i+1}^{N} g_{j,t+1} + g_{i,t+1} \left( \sum_{k=1}^{N} b_{kt} \cdot \sum_{l=k}^{N} b_{l,t+1} \right) \right]
$$
 (A.10)

 $h_{9N}$  is nonzero iff each pair of labels in a chain respects the increasing lexicographic order.

We define the polynomial:

$$
h_N(x_1, \dots x_N, g, b, W_1, \dots W_m)
$$
  
=  $h_{1N} \cdot h_{2N} \cdot h_{3N} \cdot h_{4N} \cdot h_{5N} \cdot h_{8N} \cdot h_{9N}$  (A.11)

For each assignment to  $g, b, W_i, h_N$  is either 0, or a monomial corresponding to one correct increasing chain. It is clear that the size of a straight line program to evaluate  $h_N$  is polynomial in N. So  $h_N$  is in VP.

The skew Schubert polynomial then can be given by

$$
Y_{\pi/\sigma}(x_1, \dots x_N) = \sum_{g, b, W_1, \dots W_m} h_N(x_1, \dots x_N, g, b, W_1, \dots W_m)
$$
 (A.12)

g and b have mN elements and since m is  $\mathcal{O}(N^2)$  so each has  $\mathcal{O}(N^3)$ elements. Each  $W_i$  has  $N^2$  entries. Thus, the summation is over 0–1 strings of polynomial length.

This proves  $Y_{\pi/\sigma}(\mathbf{x})$  is p-definable and hence is in VNP.

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