



A refined description of evolving interfaces in certain nonlinear wave equations

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Abstract. We improve on recent results that establish the existence of solutions of certain semilinear wave equations possessing an interface that roughly sweeps out a timelike surface of vanishing mean curvature in Minkowski space. Compared to earlier work, we present sharper estimates, in stronger norms, of the solutions in question.

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1. Introduction

The goal of this paper is to refine recent work [10, 11] that proves the existence of a solution $u = u_\varepsilon(t, x)$ ($x \in \mathbb{R}^n$, $t \in \mathbb{R}$) of the semilinear wave equation

$$u_{tt} - \Delta u + \frac{2}{\varepsilon^2}(u^2 - 1)u = 0, \quad 0 < \varepsilon \ll 1 \text{ fixed} \quad (1)$$

such that, roughly speaking, u exhibits an interface near a timelike hypersurface whose Minkowskian mean curvature identically vanishes, as long as the hypersurface remains smooth. To describe the problem, let Γ be a smooth timelike embedded hypersurface in $(-T_*, T^*) \times \mathbb{R}^n$, for some $T_*, T^* > 0$ of vanishing Minkowski mean curvature, and such that

$$\Gamma_t := \{x \in \mathbb{R}^n : (t, x) \in \Gamma\}$$

is homeomorphic to S^{n-1} for every t . The condition that the Minkowskian mean curvature vanishes is a nonlinear geometric wave equation, and smooth solutions are known to exist, locally in t , for suitable compact Cauchy data, see for example [14]. We remark that when $n = 2$ (which we will assume throughout most of this paper) the equation is in some sense integrable and there is essentially an explicit formula for solutions (see Sect. 1.1 below).

The fact that Γ_t is a topological sphere for every t implies that $(-T_*, T^*) \times \mathbb{R}^n \setminus \Gamma$ consists of two components, one bounded and one unbounded. Let \mathcal{O} denote the bounded component, and

$$\text{sign}_{\mathcal{O}}(t, x) := \begin{cases} 1 & \text{if } (t, x) \in \mathcal{O} \\ -1 & \text{if } (t, x) \in \mathcal{O}^c. \end{cases}$$

The following result was proved in [10,11]:

Theorem A ([10,11]). *Given Γ as above, for every $\varepsilon \in (0, 1]$ there exists a solution u of (1) such that for any $T_0 < T_*$ and $T^0 < T^*$,*

$$\|u_\varepsilon - \text{sign}_{\mathcal{O}}\|_{L^2((-T_0, T^0) \times \mathbb{R}^n)} \leq C\sqrt{\varepsilon}. \tag{2}$$

for a constant C that may depend on T_0, T^0 but is independent of ε .

In [11], Theorem A is proved under the assumption that Γ_0 is a topological torus, but allowing rather general initial velocity for Γ , whereas the proof in [10] allows Γ_0 to be an arbitrary smooth connected compact manifold with zero initial velocity. The theorem as stated above follows by combining arguments from the two papers [10] and [11]. For $n = 2$ and Γ_0 homeomorphic to \mathbb{S}^1 , which is our main focus, it follows directly from [11].

Our goal is to give a more precise description of the solution u_ε found in Theorem A. In particular, heuristic arguments suggest that it should satisfy

$$u_\varepsilon(t, x) \approx q\left(\frac{\tilde{d}(t, x)}{\varepsilon}\right), \quad q(s) := \tanh(s) \tag{3}$$

where $\tilde{d}(\cdot, \cdot)$ is (a small perturbation of) the signed Minkowskian distance from Γ [see (8) below for a definition]. The profile $q = \tanh$ arises naturally from the fact that it satisfies $-q'' + 2(q^2 - 1)q = 0$, making it a stationary solution of (1) in 1 dimension with $\varepsilon = 1$.

The estimates in [10,11] are however too weak to provide a convincing demonstration of (3), since¹

$$\left\| \text{sign}_{\mathcal{O}} - q\left(\frac{d}{\varepsilon}\right) \right\|_{L^2((-T_0, T^0) \times \mathbb{R}^n)} \approx \sqrt{\varepsilon}.$$

Thus (2) implies² that $\|u_\varepsilon - q(\frac{d}{\varepsilon})\|_{L^2((-T_0, T^0) \times \mathbb{R}^n)} \lesssim \sqrt{\varepsilon}$, but at the same time, the scaling in (2) means the estimate is too weak to determine whether u_ε is closer to $\text{sign}_{\mathcal{O}}$ or $q(d/\varepsilon)$ or indeed some other profile.

In our main result, we restrict our attention to $n = 2$, and we establish a more precise description of the solution u_ε from Theorem A. In our main

¹ We are being a little imprecise here, since the signed Minkowskian distance function d is only defined near Γ . So to state this estimate properly, one would need either to restrict attention to this neighborhood, or extend d in some way to the complement of this neighborhood.

² Indeed, the main results [11] are stated in this way, that is, with an estimate of $u_\varepsilon - q(d/\varepsilon)$ rather than $u_\varepsilon - \text{sign}_{\mathcal{O}}$. This is correct but arguably misleading.

theorem, we consider a solution u_ε of (1) as constructed in [10, 11], and we prove that

$$\|u_\varepsilon - U_\varepsilon\|_{L^2((-T_0, T_0) \times \mathbb{R}^2)} \leq C\varepsilon^{3/2}, \quad \|D(u_\varepsilon - U_\varepsilon)\|_{L^2((-T_0, T_0) \times \mathbb{R}^2)} \leq C\varepsilon^{1/2} \tag{4}$$

for some function U_ε , constructed below, of approximately the form $U_\varepsilon = q(\tilde{d}/\varepsilon)$, where \tilde{d} is a perturbation of the signed Minkowskian distance to Γ . This improves on (2) in that we have both a stronger norm and stronger estimates. In particular, as will be apparent from the construction of U_ε below, conclusion (4) may be understood as a precise and satisfactory formulation of the heuristic principle (3). Our construction and our results will show that $\|Du_\varepsilon\|_{L^2}, \|DU_\varepsilon\|_{L^2}$ both diverge at a rate of $\varepsilon^{-1/2}$ as $\varepsilon \rightarrow 0$, which makes the second estimate in (4) quite striking.

The construction of U_ε and the full statement of our main theorem are presented in Sect. 1.2 below.

Our arguments in this paper do not directly address the wave Eq. (1). Instead, we start from estimates proved in [10, 11] and summarized in Sect. 1.3 below, which provide considerably more information about the solution u_ε than is stated in Theorem A above. We will prove our main results by squeezing as much information as possible out of these prior estimates.

The results of [10, 11], on which we improve here, may be seen as Minkowskian analogs of the large body of theory that gives rigorous asymptotic descriptions of interfaces in semilinear elliptic and parabolic equations associated to a double-well potential, see for example [7, 8, 16]. Prior to [10, 11], the connection between (1) and timelike extremal surfaces, as well as related questions, were explored by formal arguments in [15, 17], and in the cosmology literature, see for example [5, 12, 18], in connection with hypothetical cosmic domain walls. Some conditional results in the direction of [10, 11] were obtained a little earlier in [3], and results about scattering of a smooth, nearly flat interface in a solution of (1) are proved in [6], following earlier results about scattering of nearly flat Minkowskian extremal hypersurfaces, see [4, 13].

1.1. Normal coordinates and the signed distance function

Most of our analysis will be carried out in Minkowskian normal coordinates near Γ , which we now describe.

First, we will write $\psi : (-T_*, T^*) \times \mathbb{S}^1 \rightarrow \mathbb{R}^{1+2}$ to denote a map that parametrizes the extremal surface Γ . We will write (y_0, y_1) to denote a generic point in $(-T_*, T^*) \times \mathbb{S}^1$, and we will take ψ to have the form

$$\psi(y_0, y_1) = (y_0, \vec{\psi}(y_0, y_1)).$$

Although we will not use this fact, we remark that $\Gamma := \text{Image}(\Psi)$ is extremal (that is, has vanishing mean curvature) if $\vec{\psi} : (-T_*, T^*) \times \mathbb{S}^1 \rightarrow \mathbb{R}^2$ has the form

$$\vec{\psi}(y_0, y_1) = \frac{1}{2}(a(y_0 + y_1) + b(y_0 - y_1)) \tag{5}$$

for some smooth $a, b : \mathbb{S}^1 \rightarrow \mathbb{R}^2$ such that $|a'| = |b'| = 1$ everywhere; see [2] for a discussion.

Next, for $(y_0, y_1) \in (-T_*, T^*)$ and $y_2 \in \mathbb{R}$, we define

$$\Psi(y_0, y_1, y_2) := \psi(y_0, y_1) + y_2\nu(y_0, y_1) \in \mathbb{R}^{1+2}, \tag{6}$$

where $\nu(y_0, y_1)$ is the (Minkowskian) unit normal to Γ at $\psi(y_0, y_1)$, and we orient ν so that $\Psi(y_0, y_1, y_2) \in \mathcal{O}$ for $y_2 > 0$, where we recall that \mathcal{O} is the bounded set enclosed by Γ . Thus ν “points inward”. We will restrict the domain of Ψ to a set of the form

$$\text{Domain}(\Psi) = (-T_1, T^1) \times \mathbb{S}^1 \times (-2\rho, 2\rho), \tag{7}$$

for T_1, T^1, ρ fixed in Proposition 1 below. We also tacitly require that Ψ is a diffeomorphism onto its image; for a given T_1, T^1 , this can always be achieved by shrinking ρ . We will write $\mathcal{N} := \text{Image}(\Psi) \subset \mathbb{R}^{1+2}$ and for points $(t, x) \in \mathcal{N}$, we will use the change of variables

$$\mathcal{N} \ni (t, x) = \Psi(y_0, y_1, y_2).$$

Equivalently, we can view (y_0, y_1, y_2) as defining a local coordinate system in \mathcal{N} . We will sometimes refer to these as *normal coordinates* near Γ . The y_2 coordinate is exactly the signed Minkowskian distance $d(\cdot, \cdot)$ to Γ , in the sense that for $(t, x) \in \mathcal{N}$,

$$(t, x) = \Psi(y_0, y_1, y_2) \iff d(t, x) = y_2. \tag{8}$$

One can take (8) to be the definition of the signed distance. Alternately, for a proof of (8) that starts from an eikonal equation that characterizes the signed distance function, see for example [11], Proposition 5 and Corollary 7.

1.2. Main theorem, and construction of U_ε

Given a solution u_ε of the semilinear wave Eq. (1) on \mathbb{R}^{1+2} , we will always write $v_\varepsilon : (-T_*, T^*) \times \mathbb{S}^1 \times (-2\rho, 2\rho) \rightarrow \mathbb{R}$ to denote the same solution written in the Minkowskian normal coordinate system. That is, we set

$$v_\varepsilon := u_\varepsilon \circ \Psi. \tag{9}$$

We will use the notation

$$q(z) = \tanh(z), \quad q_\varepsilon(z) = \tanh\left(\frac{z}{\varepsilon}\right).$$

Given $f : \mathbb{R} \rightarrow \mathbb{R}$ and $s \in \mathbb{R}$, we write $\tau_s f$ to denote the translation of f by s :

$$\tau_s f(z) := f(z - s).$$

For ρ to be fixed in Proposition 1 below, we define $Q_\varepsilon : \mathbb{R} \rightarrow \mathbb{R}$ by

$$Q_\varepsilon(z) := q_\varepsilon(z)\chi(z) + (1 - \chi(z))\text{sign}(z) \tag{10}$$

where $\chi \in C^\infty(\mathbb{R})$ is a fixed even, nonnegative function such that

$$\chi(z) = 1 \quad \text{if } |z| \leq \rho/3, \quad \chi(z) = 0 \quad \text{if } |z| \geq 2\rho/3, \quad z\chi'(z) \leq 0.$$

It is easy to see that for every $k \in \mathbb{N}$, there exist constants (depending on k) such that

$$\|Q_\varepsilon - q_\varepsilon\|_{H^k} \leq C\varepsilon^{-c/\varepsilon}. \tag{11}$$

We will prove

Lemma 1. *Let u_ε be the solution of (1) described in Proposition 1 below. Then for every $(y_0, y_1) \in (-T_1, T^1) \times \mathbb{S}^1$, there is a unique $s_*(y_0, y_1)$ such that*

$$\|v_\varepsilon(y_0, y_1, \cdot) - \tau_{s_*(y_0, y_1)} Q_\varepsilon\|_{L^2(I)} = \min_{\sigma \in \mathbb{R}} \|v_\varepsilon(y_0, y_1, \cdot) - \tau_\sigma Q_\varepsilon\|_{L^2(I)}.$$

Note that $s_*(y_0, y_1)$ depends on ε . For $(y_0, y_1, y_2) \in (-T_1, T^1) \times \mathbb{S}^1 \times I$, we now define

$$V_\varepsilon(y_0, y_1, y_2) := Q_\varepsilon(y_2 - s_*(y_0, y_1)).$$

Thus, V_ε may be seen as a canonical projection of v_ε onto the space of functions exhibiting an almost-canonical³ interface near Γ .

Finally, for $(t, x) \in (-T_0, T^0) \times \mathbb{R}^2$ we define

$$U_\varepsilon := \begin{cases} 1 & \text{if } (t, x) \in \mathcal{O} \setminus \mathcal{N} \\ V_\varepsilon \circ \Psi^{-1} & \text{if } (t, x) \in \mathcal{N} \\ -1 & \text{otherwise.} \end{cases} \tag{12}$$

We will write $\|\cdot\|_{H_\varepsilon^1(\Omega)}$ for the norm defined by

$$\|w_\varepsilon\|_{H_\varepsilon^1(\Omega)}^2 := \frac{1}{\varepsilon} \|w_\varepsilon\|_{L^2(\Omega)}^2 + \varepsilon \|Dw_\varepsilon\|_{L^2(\Omega)}^2 \tag{13}$$

where Dw_ε denotes the full gradient in Ω . Thus, for example, if Ω is an open subset of $\mathbb{R}_t \times \mathbb{R}_x^2$, then $Dw_\varepsilon = (\partial_t w_\varepsilon, \partial_{x_1} w_\varepsilon, \partial_{x_2} w_\varepsilon)$.

Our main result is

Theorem 1. *Assume that $\Gamma \subset (-T_*, T^*) \times \mathbb{R}^2$ is a smooth embedded timelike minimal surface admitting a parametrization of the form (5), so that normal coordinates may be defined as in (6).*

For $\varepsilon \in (0, 1]$, let u_ε be the solution of (1) from Theorem A, described in more detail in Proposition 1 below.

Then for every $T_0 < T_$ and $T^0 < T^*$, there exists a constant C , independent of ε , such that*

$$\|u_\varepsilon - U_\varepsilon\|_{H_\varepsilon^1((-T_0, T^0) \times \mathbb{R}^2)} \leq C\varepsilon.$$

and in addition,

$$\int_{\mathbb{S}^1} s_*^2 + (\partial_{y_0} s_*)^2 + (\partial_{y_1} s_*)^2 dy_1 \leq C\varepsilon^2 \quad \text{for every } y_0 \in (-T_1, T^1). \tag{14}$$

Note since the Minkowskian distance d to Γ can be identified with the y_2 coordinate, we can write U_ε near Γ in the form $Q_\varepsilon(d - s \circ P)$ where P is the Minkowskian projection onto Γ . Since s is small and Q_ε is very close to $q(\frac{\cdot}{\varepsilon})$, the theorem can be seen as a justification (and clarification) of the heuristic principle (3).

Our arguments could also be used to improve on Theorem A in dimensions $n \geq 3$. However, the restriction to $n = 2$ dimensions is used in an essential way in Lemma 5 below, so any such improvements would be much less satisfactory than the ones we are able to prove for $n = 2$.

³ “Almost”, because of the (exponentially small) difference between q_ε and Q_ε .

1.3. Prior results

The proof of Theorem A in [10,11] rests on weighted energy estimates for the solution v_ε as written in normal coordinates. These energy estimates, as mentioned above, provide more information than is recorded in Theorem A, and they will provide the starting point for our analysis. Before recalling them we introduce some notation.

We will use the notation

$$c_0 := \int_{-\infty}^{\infty} \left(\frac{\varepsilon}{2} (q'_\varepsilon)^2 + \frac{1}{2\varepsilon} (q_\varepsilon - 1)^2 \right) dz \quad \text{for every } \varepsilon > 0.$$

(In fact $c_0 = 4/3$.) We will write $I := (-\rho, \rho)$. For a function $v_\varepsilon : (-T_*, T^*) \times \mathbb{S}^1 \times I \rightarrow \mathbb{R}$, we will write

$$\Theta_1(y_0) := \int_{\mathbb{S}^1} \left[\int_I (1 + y_2^2) \left(\frac{\varepsilon}{2} (\partial_{y_2} v_\varepsilon)^2 + \frac{1}{2\varepsilon^2} (v_\varepsilon^2 - 1)^2 \right) dy_2 - c_0 \right] dy_1$$

$$\Theta_2(y_0) := \int_{\mathbb{S}^1} \int_I y_2^2 (v_\varepsilon - \text{sign}(y_2))^2 dy_2 dy_1$$

$$\Theta_3(y_0) := \int_{\mathbb{S}^1} \int_I \frac{\varepsilon}{2} \left[(\partial_{y_0} v_\varepsilon)^2 + (\partial_{y_1} v_\varepsilon)^2 \right] + y_2^2 \left[\frac{\varepsilon}{2} (\partial_{y_2} v_\varepsilon)^2 + \frac{1}{2\varepsilon} (1 - v_\varepsilon^2)^2 \right] dy_2 dy_1$$

where in every case, v_ε is understood to be evaluated at the value of y_0 appearing in the argument of Θ_j .

Proposition 1. ([11]) *Assume that $\Gamma \subset (-T_*, T^*) \times \mathbb{R}^2$ is a smooth embedded timelike minimal surface admitting a parametrization of the form (5), so that normal coordinates may be defined as in (6).*

Then for every $\varepsilon \in (0, 1]$, there exists a solution $u_\varepsilon : \mathbb{R} \times \mathbb{R}^2 \rightarrow \mathbb{R}$ of the semilinear wave Eq. (1) such that (2) holds, together with the following estimates:

1. Estimates in normal coordinates near Γ . *First, for every $T_0 < T^*$ and $T^0 < T^*$, there exists a constant $C > 0$ and a choice of the parameters ρ, T_1, T^1 in the definitions of $\text{Domain}(\Psi)$ and $\Theta_j, j = 1, 2, 3$ such that $v_\varepsilon := u_\varepsilon \circ \Psi$ satisfies*

$$\Theta_j(y_0) \leq C\varepsilon^2 \quad \text{for all } y_0 \in (-T_1, T^1) \text{ and for } j = 1, 2, 3. \tag{15}$$

C, T_1, T^1, ρ may depend on Γ, T_0, T^0 but are independent of $\varepsilon \in (0, 1]$.

2. Estimates in (t, x) coordinates far from Γ . *Second, for the same T_1, T^1, ρ and C , if we define*

$$\begin{aligned} \mathcal{N}' &:= \Psi((-T_1, T^1) \times \mathbb{S}^1 \times I) \\ \mathcal{M} &:= ((-T_0, T^0) \times \mathbb{R}^2) \setminus \mathcal{N}' \\ \mathcal{M}_t &:= \{x \in \mathbb{R}^2 : (t, x) \in \mathcal{M}\}, \end{aligned}$$

then $\partial \mathcal{M}_t$ is uniformly smooth for $t \in (-T_0, T^0)$, and

$$\int_{\mathcal{M}} \frac{\varepsilon}{2} |Du_\varepsilon|^2 + \frac{1}{2\varepsilon} (u_\varepsilon^2 - 1)^2 dx dt \leq C\varepsilon^2. \tag{16}$$

3. Additional properties. *Finally, (2) holds, and there exists some $R > 0$ such that*

$$u_\varepsilon = \text{sign}_O = -1 \quad \text{for all } (t, x) \text{ such that } t \in (T_*, T^*), |x| \geq R. \quad (17)$$

These are the $n = 2$ case of conclusions that are proved⁴ in [11]. More precisely, the relevant initial data are constructed in Lemma 9. The choice⁵ of T_1, T^1 and ρ is described in Section 2.4. Conclusion (16) appears in the statement of the main result, [11, Theorem 1]. It follows from Propositions 10 and 13 that conclusion (15) holds for all $y_0 \in [0, \tau]$ for some $\tau > 0$. In Section 6 (see [11, Equation (6–17)]) it is shown that (15) may be extended to all $y_0 \in (-T_1, T^1)$. Estimate (2) has already been recalled in Theorem A. Finally, (17) is not explicitly stated in [11], but it is a standard consequence of assumptions about the initial data (with $u_\varepsilon(0, x) = -1$ and $\partial_t u_\varepsilon(0, x) = 0$ for $|x|$ outside some large ball) and finite propagation speed for the wave equation.

For a function $v_\varepsilon : I \rightarrow \mathbb{R}$ we will use the notation

$$\theta_1(v_\varepsilon) := \int_I (1 + z^2) \left(\frac{\varepsilon}{2} v'_\varepsilon(z)^2 + \frac{1}{2\varepsilon} (v_\varepsilon^2 - 1)^2 \right) dz - c_0 \quad (18)$$

$$\theta_2(v_\varepsilon) := \int_I |z| |v_\varepsilon(z) - \text{sign}(z)|^2 dz. \quad (19)$$

Our goal is to show that the estimates in Proposition 1 in fact imply the H^ε estimate stated in Theorem 1. In doing this we use from the following fact:

Lemma 2. *There exist positive constants c_1, c_2 (depending on ρ only) such that*

$$\text{if } \theta_2(v_\varepsilon) \leq c_2, \quad \text{then } \int_I \frac{\varepsilon}{2} v'^2_\varepsilon(z) + \frac{1}{2\varepsilon} (v_\varepsilon^2 - 1)^2 dz - c_0 \geq C e^{-c/\varepsilon}. \quad (20)$$

Moreover, if in addition $\theta_1(v_\varepsilon) \leq c_1$, then

$$\begin{aligned} \int_I \left(\sqrt{\varepsilon} v'_\varepsilon - \frac{f_1(v_\varepsilon)}{\sqrt{\varepsilon}} \right)^2 dz &\leq C \left[\int_I \frac{\varepsilon}{2} v'^2_\varepsilon(z) + \frac{1}{2\varepsilon} (v_\varepsilon^2 - 1)^2 dz - c_0 \right] + C e^{-c/\varepsilon} \\ &\leq C \theta_1(v_\varepsilon) + C e^{-c/\varepsilon}, \end{aligned} \quad (21)$$

where $f_1(v_\varepsilon) = 1 - v_\varepsilon^2$.

This follows directly from Lemma 5.3 in [10].

2. A canonical decomposition

The main result of this section is the following:

⁴ We note that there are some cosmetic differences between [11] and Proposition 1. For example, in [11] it is assumed for notational simplicity that $T_* = T^*$ and $T_j = T^j$ for $j = 0, 1$. The proofs however make no use of this assumption and remain valid as stated here.

⁵ In fact one can take any T_1 such that $T_0 < T_1 < T_*$, and similarly T^1 , and then arrange that all the required properties hold by choosing ρ sufficiently small.

Proposition 2. *There exists $\delta > 0$ such that if $\inf_{|\sigma| \leq \rho/6} \|v_\varepsilon - \tau_\sigma Q_\varepsilon\|_{L^2(\mathbb{R})} \leq \delta\sqrt{\varepsilon}$ then there is a unique $s_* \in \mathbb{R}$ such that*

$$\|v_\varepsilon - \tau_{s_*} Q_\varepsilon\|_{L^2(I)} = \min_{\sigma \in \mathbb{R}} \|v_\varepsilon - \tau_\sigma Q_\varepsilon\|_{L^2(I)}.$$

Moreover,

$$\int_I (v_\varepsilon - \tau_{s_*} Q_\varepsilon) \cdot \tau_{s_*} Q'_\varepsilon = 0. \tag{22}$$

Results in this spirit are in some sense standard, but for the convenience of the reader we give a quick proof.

Proof. Let

$$\varphi(\sigma) := \|v_\varepsilon - \tau_\sigma Q_\varepsilon\|_{L^2(I)}, \quad \eta(\sigma) := \frac{1}{2} \|v_\varepsilon - \tau_\sigma Q_\varepsilon\|_{L^2(I)}^2.$$

The continuity of translation in L^p spaces implies that φ and η are continuous. In addition, for any $\sigma \in \mathbb{R}$, the triangle inequality implies that

$$\|\tau_\sigma Q_\varepsilon - \tau_s Q_\varepsilon\|_{L^2(I)} - \varphi(s) \leq \varphi(\sigma) \leq \|\tau_\sigma Q_\varepsilon - \tau_s Q_\varepsilon\|_{L^2(I)} + \varphi(s) \tag{23}$$

Define $f(t) := \|\tau_t q - q\|_{L^2(\mathbb{R})}^2$. Recalling that $Q_\varepsilon(z) = \text{sign}(z)$ for $|z| \geq \frac{2}{3}\rho$, and using (11) and a change of variables,

$$\|\tau_\sigma Q_\varepsilon - \tau_s Q_\varepsilon\|_{L^2(I)} = \|\tau_{\sigma-s} Q_\varepsilon - Q_\varepsilon\|_{L^2(\mathbb{R})} = \left(\varepsilon f\left(\frac{|\sigma-s|}{\varepsilon}\right) \right)^{1/2} + O(e^{-c/\varepsilon})$$

if $|s|, |\sigma| \leq \frac{\rho}{3}$. Also, it is straightforward to check that f is smooth, with $f(0) = f'(0) = 0$ and $f''(0) := 2a > 0$ (in fact $a = \int_{\mathbb{R}} q'^2 = 4/3$.) It follows that there exists a positive number δ_1 such that

$$\frac{a}{2\sqrt{\varepsilon}} |s - \sigma| \leq \|\tau_\sigma Q_\varepsilon - \tau_s Q_\varepsilon\|_{L^2(I)} + O(e^{-c/\varepsilon}) \leq \frac{2a}{\sqrt{\varepsilon}} |s - \sigma| \tag{24}$$

if $|s|, |\sigma| \leq \frac{\rho}{3}$ and $|s - \sigma| < \delta_1 \varepsilon$. Also, since $\sigma \mapsto \|\tau_\sigma Q_\varepsilon - \tau_s Q_\varepsilon\|_{L^2(I)}$ is nondecreasing in $|s - \sigma|$,

$$\|\tau_\sigma Q_\varepsilon - \tau_s Q_\varepsilon\|_{L^2(I)} \geq \frac{\delta_1 a}{3} \sqrt{\varepsilon} \quad \text{if } |s| \leq \frac{\rho}{3} \text{ and } |s - \sigma| \geq \delta_1 \varepsilon. \tag{25}$$

By hypothesis, there exists some s_1 such that $\varphi(s_1) < \delta\sqrt{\varepsilon}$ and $|s_1| \leq \rho/6$. Then (23) and (25) imply that for any $\sigma \in \mathbb{R}$

$$\varphi(\sigma) \geq \frac{\delta_1 a}{3} \sqrt{\varepsilon} - \delta\sqrt{\varepsilon} \geq \delta\sqrt{\varepsilon} > \inf \varphi \quad \text{if } |\sigma - s_1| \geq \delta_1 \varepsilon, \tag{26}$$

as long as $\delta < \frac{\delta_1 a}{6}$. It follows that $\min \varphi$ is attained at some s_* , and that $|s_* - s_1| < \delta_1 \sqrt{\varepsilon}$.

Also, one can easily check using the dominated convergence theorem that if $|\sigma| < \frac{1}{3}\rho$ [and thus $Q_\varepsilon(z) = \text{sign}(z)$ in a neighborhood of the endpoints of I , see (10)] then

$$\eta'(\sigma) = \int_I (v_\varepsilon - \tau_\sigma Q_\varepsilon) \cdot \tau_\sigma Q'_\varepsilon.$$

Thus Eq. (22) follows directly from the optimality of s_* .

It remains to prove the uniqueness of the minimizer s_* . Let σ be any minimizer of φ . Arguing as in (26), we find that $|s_* - \sigma| < \delta_1 \varepsilon$. Repeating the same argument, but now using (24) in place of (25), we find that $|s_* - \sigma| < 4\varepsilon\delta/a$

To complete the proof, it therefore suffices to show that if δ is small enough, then η is strictly convex in the interval $(s_* - 4\varepsilon\delta/a, s_* + 4\varepsilon\delta/a)$, and hence in this interval can only attain its minimum at a single point, necessarily s_* .

To check convexity, we use the dominated convergence theorem as above to compute

$$\eta''(\sigma) = \int_I (\tau_\sigma Q'_\varepsilon)^2 - \int_I (v_\varepsilon - \tau_\sigma Q_\varepsilon) \tau_\sigma Q''_\varepsilon.$$

Using (11), we check that if ε is small enough, then for $|\sigma| < \frac{1}{3}\rho$,

$$\|\tau_\sigma Q'_\varepsilon\|_{L^2(I)}^2 \geq \frac{c_0}{2\varepsilon}, \quad \|\tau_\sigma Q''_\varepsilon\|_{L^2(I)} \leq \frac{C}{\varepsilon^{3/2}}.$$

In addition, if $|\sigma - s_*| \leq 4\varepsilon\delta/a < \delta_1 \varepsilon$, then we know from (23) and (24) that $\varphi(\sigma) \leq 9\delta\sqrt{\varepsilon}$, and thus

$$\eta''(\sigma) \geq \frac{c_0}{\varepsilon} - \|v_\varepsilon - \tau_\sigma Q_\varepsilon\|_{L^2(I)} \|\tau_\sigma Q''_\varepsilon\|_{L^2(I)} \geq \frac{c_0}{\varepsilon} - C \frac{\delta}{\varepsilon}.$$

The right-hand side can be made positive by decreasing δ , if necessary. This proves convexity of η when $|\cdot - s_*| \leq 4\delta\varepsilon/a$ and hence completes the uniqueness proof. □

3. Coercivity of θ_1

The main result of this section shows that under suitable hypotheses, $\theta_1(v_\varepsilon)$ controls the H^1_ε norm of v_ε and the size of the optimal translation s_* .

Proposition 3. *There exist positive constants c_1, c_2, c_3 such that $0 < c_3 < 1$, and for every $\theta \in H^1(I)$, if either*

$$\theta_1(v_\varepsilon) \leq c_1 \quad \text{and} \quad \theta_2(v_\varepsilon) \leq c_2 \tag{27}$$

or

$$\inf_{|s| \leq c_3 \rho} \|v_\varepsilon - \tau_s Q_\varepsilon\|_{L^2(I)} < c_3 \sqrt{\varepsilon}, \tag{28}$$

then for all sufficiently small ε , then there is a unique minimizer s_* of $\varphi(s) := \|v_\varepsilon - \tau_s Q_\varepsilon\|_{L^2(I)}$, and

$$s_*^2 \lesssim \varepsilon^2 + \theta_1(v_\varepsilon) \tag{29}$$

$$\|v_\varepsilon - \tau_{s_*} Q_\varepsilon\|_{H^1_\varepsilon(I)}^2 \lesssim \theta_1(v_\varepsilon) + e^{-c/\varepsilon}. \tag{30}$$

Estimates in the spirit of (30) are known, but we do not know a source where they are proved under the hypotheses that we impose here, so we give a self-contained proof.

The rest of this section is devoted to the

Proof of Proposition 3. We will first prove the proposition under the assumption (27), for constants c_1, c_2 to be fixed below. At the end of the proof, we will consider assumption (28).

First, we define $h_\varepsilon : (-\rho, \rho) \rightarrow \mathbb{R}$ by

$$v'_\varepsilon - \frac{1}{\varepsilon} f_1(v_\varepsilon) =: h_\varepsilon. \tag{31}$$

Then it follows from (21) that

$$\int_{-\rho}^\rho \varepsilon h_\varepsilon^2 dz \lesssim \theta_1(v_\varepsilon) + C e^{-c/\varepsilon} \lesssim c_1 \tag{32}$$

for ε small.

It is convenient to extend h to the entire real line, by setting $h_\varepsilon = 0$ outside of $(-\rho, \rho)$, and to extend v_ε by requiring that the ODE (31) holds on the entire real line. This will allow us to translate v_ε without worrying about redefining its domain. We continue to use the notation v_ε and h_ε for the extended functions.

It is straightforward to check that if c_2 is small enough (depending on ρ), then since $v_\varepsilon \in H^1(I) \subset C(I)$, the hypothesis $\theta_2(v_\varepsilon) \leq c_2$ implies that

$$v_\varepsilon(s_0) = 0 \quad \text{for some } |s_0| \leq \rho/2. \tag{33}$$

We will prove that

$$\|w_\varepsilon\|_{H^1_\varepsilon(\mathbb{R})}^2 \leq C\varepsilon \|h_\varepsilon\|_{L^2(\mathbb{R})}^2, \quad \text{for } w_\varepsilon := v_\varepsilon - \tau_{s_0} q_\varepsilon. \tag{34}$$

We will see that (30) is easily deduced from this.

Note that $w_\varepsilon = v_\varepsilon - \tau_{s_0} q_\varepsilon$ vanishes at s_0 and recall that $\tau_{s_0} q_\varepsilon$ satisfies

$$(\tau_{s_0} q_\varepsilon)' - \frac{1}{\varepsilon} f_1(\tau_{s_0} q_\varepsilon) = 0.$$

By subtracting the latter equation from (31), which is satisfied by v_ε , we get

$$\begin{aligned} w'_\varepsilon &= \frac{1}{\varepsilon} f_1(v_\varepsilon) + h_\varepsilon - \frac{1}{\varepsilon} f_1(\tau_{s_0} q_\varepsilon) = \frac{1}{\varepsilon} ((\tau_{s_0} q_\varepsilon)^2 - v_\varepsilon^2) + h_\varepsilon \\ &= \frac{1}{\varepsilon} (-w_\varepsilon)(\tau_{s_0} q_\varepsilon + v_\varepsilon) + h_\varepsilon. \end{aligned}$$

Thus, w_ε satisfies the ordinary differential equation

$$\begin{cases} w'_\varepsilon = \frac{1}{\varepsilon} (-w_\varepsilon^2 - 2w_\varepsilon \tau_{s_0} q_\varepsilon) + h_\varepsilon & \text{on } \mathbb{R}, \\ w_\varepsilon(s_0) = 0. \end{cases} \tag{35}$$

We write the above problem in a more convenient form via an appropriate rescaling of the functions. Namely,

$$w(z) := w_\varepsilon(\varepsilon(z - s_0)) \quad \text{and} \quad h(z) := \varepsilon h_\varepsilon(\varepsilon(z - s_0)).$$

Then we have

$$\begin{cases} w' = -(2q + w)w + h & \text{on } \mathbb{R} \\ w(0) = 0. \end{cases} \tag{36}$$

Moreover, it follows from (32) that, if ε is small, then

$$\|h\|_{L^2(\mathbb{R})}^2 = \varepsilon \|h_\varepsilon\|_{L^2(\mathbb{R})}^2 \lesssim \theta_1(v) + C e^{-c/\varepsilon} \lesssim c_1. \tag{37}$$

Since $\|w_\varepsilon\|_{H^1_\varepsilon(\mathbb{R})} = \|w\|_{H^1(\mathbb{R})}$, it now suffices to estimate the latter quantity.

To do this, we will show via the contraction mapping principle that if c_1 is small then (36) admits a unique solution which satisfies

$$\|w\|_{H^1(\mathbb{R})}^2 \leq C \|h\|_{L^2(\mathbb{R})}^2, \tag{38}$$

which is the same as (34), after rescaling.

We set

$$\forall \alpha > 0, B_\alpha := \{w \in H^1(\mathbb{R}), \text{ such that } \|w\|_{H^1(\mathbb{R})} \leq \alpha\}. \tag{39}$$

In order to use the contraction mapping principle on B_α , we define the following operator \mathcal{S} :

Definition 1. *Given $w_0 \in B_\alpha$, we define $\mathcal{S}(w_0) := w_1$ to be the solution of*

$$\begin{cases} w'_1 = -(2q + w_0)w_1 + h & \text{on } \mathbb{R}, \\ w_1(0) = 0. \end{cases} \tag{40}$$

We prove the following result:

Lemma 3. *Let \mathcal{S} be the operator defined in (40) above. There exists a constant C such that*

$$\text{if } \|w_0\|_{H^1} \leq \sqrt{2}, \text{ then } \|\mathcal{S}w_0\|_{H^1} \leq C \|h\|_{L^2}.$$

Proof of Lemma 3. For each s , we set

$$\Phi(s) := \int_0^s (2q(t) + w_0(t)) dt.$$

Then we have the explicit formula

$$w_1(s) = e^{-\Phi(s)} \left(\int_0^s e^{\Phi(t)} h(t) dt \right)$$

which leads us to write

$$\mathcal{S}(w_0)(s) = w_1(s) = \int_0^s \exp \left(- \int_t^s (2q(\tau) + w_0(\tau)) d\tau \right) h(t) dt. \tag{41}$$

To prove our claim about the map \mathcal{S} , we use first the 1-dimensional Sobolev embedding (with sharp constant $\frac{1}{2}$) to note that

$$\|w_0\|_{L^\infty}^2 \leq \frac{1}{2} \|w_0\|_{H^1}^2 \leq 1 \tag{42}$$

if $\|w_0\|_{H^1} \leq \sqrt{2}$, which we henceforth assume to hold. Thus $2q + w_0 \geq 2q - 1$, or

$$-(2q + w_0) \leq -2q + 1.$$

Thus for $s \geq 0$,

$$|\mathcal{S}(w_0)(s)| \leq \int_0^s \exp \left(\int_t^s (-2q(\tau) + 1) d\tau \right) |h(t)| dt. \tag{43}$$

Using the explicit form of q , we can integrate to find that for any $s \geq 0$,

$$\begin{aligned} |\mathcal{S}w_0(s)| &\leq \int_0^s \exp\left(2 \ln\left(\frac{\cosh t}{\cosh s}\right) + (s-t)\right) |h(t)| dt \\ &= \int_0^s \left(\frac{\cosh t}{\cosh s}\right)^2 e^{s-t} |h(t)| dt \end{aligned} \tag{44}$$

Since $\frac{1}{2}e^a \leq \cosh a \leq e^a$ for $a > 0$, it follows that

$$\begin{aligned} \mathcal{S}w_0(s) &\leq 4 \int_0^s e^{2(t-s)} e^{s-t} |h(t)| dt \\ &= 4E * H(s) \end{aligned}$$

for $s > 0$, where

$$E(s) := \begin{cases} e^{-s} & \text{if } s > 0 \\ 0 & \text{if } s \leq 0 \end{cases}, \quad H(s) := \begin{cases} |h(s)| & \text{if } s > 0 \\ 0 & \text{if } s \leq 0. \end{cases}$$

Then it follows from Young’s inequality that for any $p \geq 2$,

$$\frac{1}{4} \|\mathbf{1}_{s>0} \mathcal{S}w_0\|_{L^p} \leq \|E\|_{L^q} \|H\|_{L^2} = \|h\mathbf{1}_{s>0}\|_{L^2}, \quad \frac{1}{q} = \frac{1}{p} + \frac{1}{2}.$$

Since the same arguments (with some changes of sign) apply to $\mathbf{1}_{s<0} \mathcal{S}w_0$, it follows that for any $p \geq 2$,

$$\|\mathcal{S}w\|_{L^p} \leq 4\|h\|_{L^2} \quad \text{for all } w \in B_\alpha \tag{45}$$

as long as α is sufficiently small ($\alpha \leq \sqrt{2}$). Lastly, we note that the definition of \mathcal{S} in (40), together with (45) and the Sobolev embedding, leads to

$$\begin{aligned} \|(\mathcal{S}w)'\|_{L^2} &\leq (2 + \|w\|_\infty) \|\mathcal{S}w\|_{L^2} + \|h\|_{L^2} \\ &\leq (2 + C\alpha)C\|h\|_{L^2} + \|h\|_{L^2} \leq C\|h\|_{L^2}. \end{aligned} \tag{46}$$

The estimates (45) and (46) finish the proof of Lemma 3. □

Remark 1. *The Sobolev embedding with sharp constant 1/2, which we used in the proof of Lemma 3 above, allows us to see how small the radius α of the H^1 ball B_α could be—independently of any parameters ($\alpha \leq \sqrt{2}$).*

Corollary 1. *There exists a constant $C > 0$ such that for any $\alpha \in (0, \sqrt{2}]$,*

$$\text{if } \|h\|_{L^2(\mathbb{R})} < C^{-1}\alpha \quad \text{and} \quad 0 < \alpha \leq \sqrt{2},$$

then $\mathcal{S}(B_\alpha) \subseteq B_\alpha$. That is, the H^1 -ball B_α is stable under \mathcal{S} .

Proof. Let $w \in B_\alpha$ with $\alpha \leq \sqrt{2}$. Then Lemma 3 implies that there exists a constant C such that $\|\mathcal{S}(w)\|_{H^1(\mathbb{R})} \leq C\|h\|_{L^2(\mathbb{R})}$. Thus $\mathcal{S}(w) \in B_\alpha$ if $\|h\|_{L^2(\mathbb{R})} < C^{-1}\alpha$ (with the same constant C). □

We next prove that if $\|h\|_{L^2}$ is sufficiently small, then there exists some $\alpha > 0$ such that \mathcal{S} is a contraction mapping on B_α .

Lemma 4. *Let \mathcal{S} be the operator defined in (40) above. Then there exist constants $C, \alpha_0 > 0$ such that*

$$\text{if } \|h\|_{L^2} \leq \alpha_0$$

then the map \mathcal{S} is a contraction mapping of B_α to itself, for $\alpha = C\|h\|_{L^2}$.

Hence, if $\|h\|_{L^2} \leq \alpha_0$, then the unique solution w of the initial value problem (36) satisfies (38).

Proof. Assume that $\|h\|_{L^2} < \alpha_0$ (to be adjusted below) and set $\alpha = C\|h\|_{L^2}$, for the same C as in Corollary 1. We require α_0 to be small enough that $\alpha \leq \sqrt{2}$; then Corollary 1 applies, and it guarantees that $\mathcal{S}(B_\alpha) \subset B_\alpha$.

Let $w, \hat{w} \in B_\alpha$ and set $\mathcal{S}w = w_1$, $\mathcal{S}\hat{w} = \hat{w}_1$ and $v := w_1 - \hat{w}_1$. The main point in the proof of this lemma is to get estimates on $\|v'\|_{L^2(\mathbb{R})}$ in terms of $\|w - \hat{w}\|_{H^1(\mathbb{R})}$. We write (40) for w and \hat{w} , and get

$$\begin{aligned} (w_1 - \hat{w}_1)' &= -(2q + w)w_1 + (2q + \hat{w})\hat{w}_1 \\ &= -(2q + \hat{w})(w_1 - \hat{w}_1) + w_1(\hat{w} - w). \end{aligned}$$

That is,

$$v' = -(2q + \hat{w})v + (\hat{w} - w)w_1. \tag{47}$$

Since $w_1(0) = \hat{w}_1(0) = 0$, it follows that v solves (40) with w_0 replaced by \hat{w} and h replaced by $w_1(\hat{w} - w)$. By assumption, $\|\hat{w}\|_{H^1} < \alpha \leq \sqrt{2}$, so we may use Lemma 3 to conclude that

$$\begin{aligned} \|v\|_{H^1} &\leq C\|(\hat{w} - w)w_1\|_{L^2} \\ &\leq C\|w - \hat{w}\|_{L^2}\|\hat{w}_1\|_{H^1} \quad (\text{using the embedding } H^1 \hookrightarrow L^\infty), \\ &\leq C\alpha_0\|w - \hat{w}\|_{H^1} \quad (\text{since } \hat{w}_1 = \mathcal{S}\hat{w} \in B_\alpha \text{ and } \alpha = C\|h\|_{L^2} \leq C\alpha_0). \end{aligned}$$

For this choice of α_0 , if $\|h\|_{L^2} < \alpha_0$, there is a unique fixed point w of \mathcal{S} in B_α , and this clearly solves (36) and satisfies the estimate we are seeking, *i.e.* $\|w\|_{H^1} \leq C\|h\|_{L^2}$. On the other hand, the initial value problem (36) has a unique solution as long as that solution remains bounded. It follows that this solution agrees with the fixed point w of \mathcal{S} . Consequently, the solution w of (36) satisfies (38).

Thus $\mathcal{S} : B_\alpha \rightarrow B_\alpha$ is a contraction mapping if (in addition to the smallness condition imposed above) α_0 is small enough that $C\alpha_0 < 1$. \square

We break the remainder of the proof of Proposition 3 into several small pieces.

Proof that (27) implies (30). Assume that (27) holds, for $c_1, c_2 > 0$ no greater than the constants of the same name in Lemma 2, and such that c_2 implies (33). In addition, in view of (37), we can fix $\varepsilon_0 > 0$ such that, after taking c_1 smaller if necessary, we have $\|h\|_{L^2} \leq \alpha_0$ whenever $\theta_1(v_\varepsilon) \leq c_1$ and $0 < \varepsilon < \varepsilon_0$. It then follows from Lemma 4 that (38) holds, and hence (34).

Now let s_* minimize $\|v_\varepsilon - \tau_s Q_\varepsilon\|_{L^2(I)}$. (It is clear that the minimum is attained, since $\tau_s Q_\varepsilon(z) = -\text{sign}(z)$ for all $z \in I$ whenever $|s| \geq 2\rho$.) Let

$W_\varepsilon := v_\varepsilon - \tau_{s_*} q_\varepsilon$. Then from the optimality of s_* , because Q_ε and q_ε are exponentially close, and using (34), we have

$$\begin{aligned} \frac{1}{\varepsilon} \|W_\varepsilon\|_{L^2(I)}^2 &\leq \frac{1}{\varepsilon} \|v_\varepsilon - \tau_{s_0} q_\varepsilon\|_{L^2(I)}^2 + C e^{-c/\varepsilon} = \frac{1}{\varepsilon} \|w_\varepsilon\|_{L^2}^2 + C e^{-c/\varepsilon} \\ &\lesssim \theta_1(v_\varepsilon) + C e^{-c/\varepsilon}. \end{aligned} \tag{48}$$

Also, exactly as in the argument leading to (35), W_ε satisfies

$$W'_\varepsilon = -\frac{1}{\varepsilon} (2\tau_{s_*} q_\varepsilon + W_\varepsilon) W_\varepsilon + h_\varepsilon \tag{49}$$

for the same h_ε defined in (31) (but without the initial condition in (35).) Note that

$$\|W_\varepsilon\|_{L^\infty(I)} = \|w_\varepsilon + \tau_{s_0} q_\varepsilon - \tau_{s_*} q_\varepsilon\|_{L^\infty(I)} \leq \|w_\varepsilon\|_{L^\infty(I)} + 2 \leq \alpha + 2.$$

We thus see from (48), (49), and (32) that

$$\sqrt{\varepsilon} \|W'_\varepsilon\|_{L^2(I)} \leq \frac{1}{\sqrt{\varepsilon}} (\alpha + 4) \|W_\varepsilon\|_{L^2(I)} + \sqrt{\varepsilon} \|h_\varepsilon\|_{L^2(I)} \leq C (\theta_1(v_\varepsilon) + C e^{-c/\varepsilon})^{1/2}.$$

By combining this with (48) and recalling (11) that q_ε and Q_ε are exponentially close, we conclude that (30) holds. \square

Proof that (28) implies (30). Now assume (28) instead of (27). We fix c_3 such that (28) implies that $\theta_2(v_\varepsilon) \leq c_2$ for all sufficiently small $\varepsilon > 0$. It is easy to check that this can be done.

With this choice, we may assume that $\theta_1(v_\varepsilon) \geq c_1$, as otherwise conclusion (30) is already known to hold, by our arguments above.

We define s_* as above. It follows directly from (28) that

$$\frac{1}{\varepsilon} \|v_\varepsilon - \tau_{s_*} Q_\varepsilon\|_{L^2(I)}^2 \leq c_3^2.$$

Then

$$\begin{aligned} \|v_\varepsilon - \tau_{s_*} Q_\varepsilon\|_{H^1_\varepsilon(I)}^2 &= \frac{1}{\varepsilon} \|v_\varepsilon - \tau_{s_*} Q_\varepsilon\|_{L^2(I)}^2 + \varepsilon \|(v_\varepsilon - \tau_{s_*} Q_\varepsilon)'\|_{L^2(I)}^2 \\ &\leq c_3^2 + 2\varepsilon \|v'_\varepsilon\|_{L^2(I)}^2 + 2\varepsilon \|Q'_\varepsilon\|_{L^2(\mathbb{R})}^2. \end{aligned}$$

Since $\theta_1(v_\varepsilon) \geq c_1$, and since c_1, c_3 are fixed, it is clear that

$$c_3 = \left(\frac{c_3}{c_1}\right) c_1 \leq C \theta_1(v_\varepsilon), \quad \varepsilon \|Q'_\varepsilon\|_{L^2(\mathbb{R})}^2 = c_0 + C e^{-c/\varepsilon} \leq C c_1 \leq C \theta_1(v_\varepsilon)$$

for C independent of ε , as long as ε is small. Moreover,

$$\begin{aligned} \int_\rho^\rho \varepsilon v_\varepsilon'^2 &\leq 2 \left(\int_{-\rho}^\rho \frac{\varepsilon}{2} v_\varepsilon'^2 + \frac{1}{2\varepsilon} (v_\varepsilon^2 - 1)^2 dz - c_0 \right) + 2c_0 \\ &\leq 2\theta_1(v_\varepsilon) + 2c_0 \\ &\leq C \theta_1(v_\varepsilon). \end{aligned}$$

again using the fact that $c_0 \leq C c_1 \leq C \theta_1(v_\varepsilon)$. We obtain (30) by combining these inequalities. \square

Proof of (29). We now prove that $s_*^2 \lesssim \varepsilon^2 + \theta_1(v)$, if s_* minimizes $\|v_\varepsilon - \tau_s Q_\varepsilon\|_{L^2(I)}$. For this, it is convenient to write $Q_\varepsilon^{s_*} := \tau_{s_*} Q_\varepsilon$ and $W_\varepsilon := v_\varepsilon - Q_\varepsilon^{s_*}$. If $\theta_j(v) \leq c_j$ for $j = 1, 2$, then it follows from (20) that

$$\begin{aligned} \theta_1(v_\varepsilon) &\geq \int_{-\rho}^\rho z^2 \left(\frac{\varepsilon}{2} v_\varepsilon'^2 + \frac{1}{2\varepsilon} (v_\varepsilon^2 - 1)^2 \right) dz \\ &= \int_{-\rho}^\rho z^2 \left(\frac{\varepsilon}{2} (Q_\varepsilon^{s_*} + W_\varepsilon)' ^2 + \frac{1}{2\varepsilon} ((Q_\varepsilon^{s_*} + W_\varepsilon)^2 - 1)^2 \right) dz. \end{aligned}$$

Discarding a positive term and using the inequality $(a + b)^2 \geq \frac{1}{2}a^2 - b^2$, we conclude that

$$\theta_1(v) \geq \int_{-\rho}^\rho \frac{\varepsilon}{4} z^2 (Q_\varepsilon^{s_*})'^2 dz - \int_{-\rho}^\rho \frac{\varepsilon}{2} W_\varepsilon'^2 dz,$$

or upon rearranging and using (30),

$$\int_{-\rho}^\rho \frac{\varepsilon}{4} z^2 (Q_\varepsilon^{s_*})'^2 dz \lesssim \theta_1(v).$$

Since

$$\begin{aligned} \int_{-\rho}^\rho \frac{\varepsilon}{4} z^2 (Q_\varepsilon^{s_*})'^2 dz &\geq \left(\min_{|z-s_*| \leq \varepsilon} z^2 \right) \int_{s_*-\varepsilon}^{s_*+\varepsilon} \frac{\varepsilon}{4} (Q_\varepsilon^{s_*})'^2 dz \\ &\gtrsim \min_{|z-s_*| \leq \varepsilon} z^2 \geq \frac{1}{2} s_*^2 - \varepsilon^2 \end{aligned}$$

we conclude that $s_*^2 \lesssim \varepsilon^2 + \theta_1(v)$. □

Proof of the uniqueness of s_ .* Finally, by taking c_1, c_3 smaller if necessary, we can arrange [in view of (30) and (29)] that either (27) or (28) implies the hypothesis of Proposition 2, which is that $\inf_{|\sigma| \leq \rho/6} \|v_\varepsilon - \tau_\sigma Q_\varepsilon\|_{L^2(\mathbb{R})} \leq \delta\sqrt{\varepsilon}$. The uniqueness of s_* then follows.

This completes the proof of Proposition 3. □

4. Proof of Theorem 1

In this section we use Proposition 3 and the results from [10,11] recalled in Sect. 1.3 to complete the proof of our main result.

We assume that Γ, T_0, T^0 are given, and that u_ε is the solution of (1) described in Proposition 1. We fix ρ, T_1, T^1 as in Proposition 1, and we recall that $v_\varepsilon := u_\varepsilon \circ \Psi^{-1} : (-T_1, T_1) \times \mathbb{S}^1 \times I \rightarrow \mathbb{R}$.

Lemma 5. *If ε is sufficiently small, then for every $(y_0, y_1) \in (-T_1, T_1) \times \mathbb{S}^1$,*

$$\inf_{|s| \leq c_3 \rho} \|v_\varepsilon(y_0, y_1, \cdot) - \tau_s Q_\varepsilon\|_{L^2(I)} \lesssim \varepsilon^{3/4}. \tag{50}$$

As a result, $\inf_{|s| \leq c_3 \rho} \|v_\varepsilon(y_0, y_1, \cdot) - \tau_s Q_\varepsilon\|_{L^2(I)} \leq c_3\sqrt{\varepsilon}$, where c_3 is the constant in the hypothesis (28) of Proposition 3.

This lemma is the only point where we need the assumption that $n = 2$; our argument relies on a 1d Sobolev embedding $H^1 \hookrightarrow C^{1/2}$ in the tangential variable y_1 .

Proof. For $y_0 \in (-T_1, T^1)$, we define

$$\mathcal{G}(y_0) := \{y_1 \in \mathbb{S}^1 : \theta_j(v_\varepsilon(y_0, y_1, \cdot)) \leq \varepsilon^{3/2} \text{ for } j = 1, 2\},$$

If $y_1 \in \mathcal{G}(y_0)$, then $v_\varepsilon(y_0, y_1, \cdot)$ satisfies the hypothesis (27) of Proposition 3, and as a result, $s_*(y_0, y_1) \lesssim \varepsilon^{3/4}$ and

$$\|v_\varepsilon(y_0, y_1, \cdot) - \tau_{s_*(y_0, y_1)} Q_\varepsilon\|_{H^1_\varepsilon(I)} \lesssim \theta_1(v_\varepsilon(y_0, y_1, \cdot)) + Ce^{-c/\varepsilon} \lesssim \varepsilon^{3/2}. \tag{51}$$

In particular, (50) holds. So we only need to show that (50) still holds for (y_0, y_1) if $y_1 \in \mathcal{B}(y_0) := \mathbb{S}^1 \setminus \mathcal{G}(y_0)$.

Toward this end, first note that for $j = 1, 2$,

$$\Theta_j(y_0) = \int_{\mathbb{S}^1} \theta_j(v_\varepsilon(y_0, y_1, \cdot)) dy_1. \tag{52}$$

This is a direct consequence of the definitions (see Sect. 1.3). We also know from Proposition 1 that $\Theta_j(y_0) \leq C\varepsilon^2$ for $j = 1, 2$ and for all $y_0 \in (-T_1, T^1)$. It therefore follows via Chebyshev’s inequality that

$$|\mathcal{B}(y_0)| \leq C\varepsilon^{1/2} \quad \text{for all } y_0 \in (-T_1, T^1). \tag{53}$$

We now fix (y_0, y_1^b) such that $y_1^b \in \mathcal{B}(y_0)$. In view of (53), we can find some $y_1^g \in \mathcal{G}(y_0)$ such that $|y_1^b - y_1^g| \leq C\varepsilon^{1/2}$. Let us write $s_*^g := s_*(y_0, y_1^g)$. Then using (51) and the triangle inequality,

$$\|v_\varepsilon(y_0, y_1^b, \cdot) - \tau_{s_*^g} Q_\varepsilon\|_{L^2(I)} \leq \|v_\varepsilon(y_0, y_1^b, \cdot) - v_\varepsilon(y_0, y_1^g, \cdot)\|_{L^2(I)} + C\varepsilon^{5/2}. \tag{54}$$

Next, we use the Fundamental Theorem of Calculus and the Cauchy–Schwarz inequality to compute

$$\begin{aligned} |v(y_0, y_1^g, y_2) - v(y_0, y_1^b, y_2)|^2 &= \left| \int_{y_1^g}^{y_1^b} \frac{\partial v}{\partial y_1}(y_0, r, y_2) dr \right|^2 \\ &\leq |y_1^g - y_1^b| \int_{y_1^g}^{y_1^b} \left| \frac{\partial v}{\partial y_1}(y_0, r, y_2) \right|^2 dr. \end{aligned}$$

We integrate over y_2 and use (15) to find that

$$\|v(y_0, y_1^g, \cdot) - v(y_0, y_1^b, \cdot)\|_{L^2}^2 \lesssim \varepsilon^{1/2} \frac{\Theta_3(y_0)}{\varepsilon} \lesssim \varepsilon^{3/2}.$$

Since $|s_*^g| \lesssim \varepsilon^{3/4}$, this fact and (54) together imply that (y_0, y_1) satisfy (50). \square

We will also need the following Sobolev–Poincaré inequality.

Lemma 6. *Assume that Ω is a bounded, connected open set in \mathbb{R}^n with Lipschitz boundary. Then there exists a constant $C = C(\Omega)$ such that if $u \in BV(\Omega)$ is a function such that*

$$\mathcal{L}^n(\text{supp}(u)) \leq \frac{1}{2} \mathcal{L}^n(\Omega).$$

then

$$\int_\Omega |u|^{\frac{n}{n-1}} dx \leq C \left(\int_\Omega |Du| dx \right)^{\frac{n}{n-1}} \tag{55}$$

Proof. This is proved in for example in [1, Theorem 3.51]. The proof there assumes that Ω is a ball, but the argument only requires that the relative isoperimetric inequality hold on Ω , *i.e.* that there exist some $C = C(\Omega)$ such that $(\mathcal{L}^n(E))^{\frac{n-1}{n}} \leq C \text{Per}_\Omega(E)$ for every $E \subset \Omega$ of finite perimeter such that $\mathcal{L}^n(E) \leq \frac{1}{2} \mathcal{L}^n(\Omega)$. This is known to hold for bounded connected Lipschitz domains, see for example [9, 4.5.2] so the proof of (55) in [1] applies here. \square

Proof of Theorem 1. We must estimate the H_ε^1 norm of $u_\varepsilon - U_\varepsilon$. We will consider separately the region \mathcal{N}' near Γ , where we can use normal coordinates, and its complement \mathcal{M} . \square

1. Estimates in normal coordinates near Γ . Recall that $V_\varepsilon(y_0, y_1, y_2) = \tau_{s_*(y_0, y_1)} Q_\varepsilon(y_2) = U_\varepsilon \circ \Psi$. We will first prove that

$$\int_{\mathbb{S}^1 \times I} \frac{1}{\varepsilon} (v_\varepsilon - V_\varepsilon)^2 + \sum_{i=0}^2 \varepsilon [\partial_{y_i} (v_\varepsilon - V_\varepsilon)]^2 dy_1 dy_2 \lesssim \varepsilon^2 \quad \text{for all } y_0 \in (-T_1, T^1). \tag{56}$$

To start, using Lemma 5 implies that hypothesis (28) of Proposition 3 is satisfied for every $(y_0, y_1) \in (-T_1, T^1) \times \mathbb{S}^1$. The proposition then yields

$$\int_I \frac{1}{\varepsilon} (v_\varepsilon(y_0, y_1, y_2) - V_\varepsilon(y_0, y_1, y_2))^2 dy_2 + \varepsilon \int_I \left((\partial_{y_2} (v_\varepsilon(y_0, y_1, y_2) - V_\varepsilon(y_0, y_1, y_2))) \right)^2 dy_2 \lesssim \theta_1(v_\varepsilon(y_0, y_1, \cdot)) + C e^{-c/\varepsilon}$$

for every (y_0, y_1) . Integrating over \mathbb{S}^1 and using (52) and (15), we find that

$$\int_{\mathbb{S}^1 \times I} \frac{1}{\varepsilon} (v_\varepsilon - V_\varepsilon)^2 + \varepsilon (\partial_{y_2} (v_\varepsilon - V_\varepsilon))^2 dy_2 dy_1 \leq C \varepsilon^2$$

for every $y_0 \in (-T_1, T^1)$. It also follows from (15) that

$$\int_{\mathbb{S}^1 \times I} \varepsilon (\partial_{y_0} v_\varepsilon)^2 + \varepsilon (\partial_{y_1} v_\varepsilon)^2 dy_2 dy_1 \leq C \varepsilon^2 \tag{57}$$

for every y_0 as above. Thus, to complete the proof of (56), it suffices to show that V_ε satisfies an estimate similar to (57). For this, we compute

$$\partial_{y_j} V_\varepsilon = -(\tau_{s_*} Q'_\varepsilon) \partial_{y_j} s_* \quad \text{for } j = 0, 1. \tag{58}$$

Also, writing $W_\varepsilon := v_\varepsilon - V_\varepsilon$ and differentiating,

$$(\tau_{s_*} Q'_\varepsilon) \partial_{y_j} s_* = \partial_{y_j} W_\varepsilon - \partial_{y_j} v_\varepsilon. \tag{59}$$

We want to multiply both sides of this identity by $\partial_{y_j} V_\varepsilon = -(\tau_{s_*} Q'_\varepsilon) \partial_{y_j} s_*$ and integrate. In order to simplify the term involving $\partial_{y_j} W_\varepsilon$, we recall from Proposition 2 that

$$\int_I W_\varepsilon(y_0, y_1, y_2) Q'_\varepsilon(y_2 - s_*(y_0, y_1)) dy_2 = 0 \quad \text{for all } (y_0, y_1).$$

Differentiating with respect to y_j for $j = 0, 1$ yields

$$\int_I (\partial_{y_j} W_\varepsilon) (\tau_{s_*} Q'_\varepsilon) dy_2 = \int_I W_\varepsilon (\tau_{s_*} Q''_\varepsilon) (\partial_{y_j} s_*) dy_2 \quad \text{for all } (y_0, y_1).$$

Since s_* is independent of y_2 , it follows that

$$\begin{aligned} \int_I (\partial_{y_j} W_\varepsilon)(\tau_{s_*} Q'_\varepsilon)(\partial_{y_j} s_*) dy_2 &\leq (\partial_{y_j} s_*)^2 \|W_\varepsilon\|_{L^2(I)} \|\tau_{s_*} Q''_\varepsilon\|_{L^2(I)} \\ &\stackrel{(50)}{\lesssim} (\partial_{y_j} s_*)^2 \varepsilon^{3/4} \varepsilon^{-3/2} = \varepsilon^{-3/4} (\partial_{y_j} s_*)^2 \end{aligned}$$

for all (y_0, y_1) .

If we multiply (59) by $(\tau_{s_*} Q'_\varepsilon) \partial_{y_j} s_*$ and integrate first with respect to y_2 , then with respect to y_1 , we therefore deduce that

$$\int_{\mathbb{S}^1} (\partial_{y_j} s_*)^2 \int_I (\tau_{s_*} Q'_\varepsilon)^2 dy_2 dy_1 \lesssim \varepsilon^{-3/4} \int_{\mathbb{S}^1} (\partial_{y_j} s_*)^2 dy_1 + \int_{\mathbb{S}^1 \times I} \partial_{y_j} v_\varepsilon^2 dy_2 dy_1, \tag{60}$$

where we have used the elementary estimate

$$\begin{aligned} &\int_{\mathbb{S}^1 \times I} (\partial_{y_j} v_\varepsilon)(\partial_{y_j} s_*)(\tau_{s_*} Q'_\varepsilon) dy_2 dy_1 \\ &\leq \frac{1}{2} \int_{\mathbb{S}^1 \times I} (\partial_{y_j} v_\varepsilon^2) + (\partial_{y_j} s_*)^2 (\tau_{s_*} Q'_\varepsilon)^2 dy_2 dy_1, \end{aligned}$$

In addition,

$$\int_I (\tau_{s_*} Q'_\varepsilon)^2 dy_2 = \frac{c_0}{\varepsilon} + O(e^{-c/\varepsilon}) \geq \frac{c_0}{2\varepsilon} \quad \text{for every } (y_0, y_1)$$

for ε small enough. Thus the first term on the right-hand side of (60) can be absorbed by the left-hand side, and we can finally conclude that

$$\int_{\mathbb{S}^1} (\partial_{y_j} s_*)^2 dy_1 \lesssim \varepsilon \int_{\mathbb{S}^1 \times I} (\partial_{y_j} v_\varepsilon)^2 \lesssim \varepsilon^2 \quad \text{for all } y_0 \in (-T_1, T^1).$$

With this, we readily deduce from (58) that $\|\partial_{y_j} V_\varepsilon\|_{L^2(\mathbb{S}^1 \times I)}^2 \leq C\varepsilon$ for $j = 0, 1$, completing the proof of (56).

Also, for every y_0 , we know from (29) that

$$\int_{\mathbb{S}^1} s_*^2(y_0, y_1) dy_1 \lesssim \int_{\mathbb{S}^1} (\varepsilon^2 + \theta_1(v_\varepsilon(y_0, y_1, \cdot))) dy_1 \lesssim \varepsilon^2 + \Theta_1(y_0) \lesssim \varepsilon^2,$$

so we have proved that $\|s_*(y_0, \cdot)\|_{H^1(\mathbb{S}^1)} \leq C\varepsilon$ for every y_0 , which is (14).

2. Estimates in (t, x) coordinates near Γ . Since $(u_\varepsilon - U_\varepsilon) = (v_\varepsilon - V_\varepsilon) \circ \Psi^{-1}$, and because Ψ is a diffeomorphism from $(-T_1, T^1) \times \mathbb{S}^1 \times I$ onto its image, which contains \mathcal{N}' , a simple change of variables shows that (56) implies that

$$\|u_\varepsilon - U_\varepsilon\|_{H_\varepsilon^1(\mathcal{N}')} \leq C\varepsilon.$$

3. Estimates in (t, x) coordinates away Γ . To finish the proof, we must estimate $\|u_\varepsilon - U_\varepsilon\|_{H_\varepsilon^1(\mathcal{M})}$.

Note that \mathcal{M} consists of two components, $\mathcal{M} \cap \mathcal{O}$ and $\mathcal{M} \setminus \mathcal{O}$, with $U_\varepsilon = 1$ in the former and $U_\varepsilon = -1$ in the latter. (Recall that \mathcal{O} is the region enclosed by Γ .)

We already know from Proposition 1 that $\|Du_\varepsilon\|_{L^2(\mathcal{M})}^2 \leq C\varepsilon$, and since $Du_\varepsilon = D(u_\varepsilon - U_\varepsilon)$ in \mathcal{M} , it only remains to prove that

$$\int_{\mathcal{M} \cap \mathcal{O}} (u_\varepsilon - 1)^2 + \int_{\mathcal{M} \setminus \mathcal{O}} (u_\varepsilon + 1)^2 \leq C\varepsilon^3. \quad (61)$$

As we will see, these are straightforward consequences of results from [11]. We first consider $\mathcal{M} \cap \mathcal{O}$.

We will write

$$H(s) := \left(\frac{1}{3}s^3 - s \right)^+ = \begin{cases} |s - \frac{1}{3}s^3| & \text{if } -\sqrt{3} \leq s \leq 0, \\ 0 & \text{otherwise.} \end{cases}$$

It is easy to see that

$$(u_\varepsilon - 1)^2 \lesssim (u_\varepsilon^2 - 1)^2 + H(u_\varepsilon)^{3/2}.$$

We already know from (16) that $\int_{\mathcal{M} \cap \mathcal{O}} (u_\varepsilon^2 - 1)^2 dx dt \lesssim \varepsilon^3$, so to prove (61), it suffices to show that

$$\int_{\mathcal{M} \cap \mathcal{O}} H(u_\varepsilon)^{3/2} dx dt \lesssim \varepsilon^3.$$

In doing so, we will use the fact (which motivates the definition of H) that

$$|DH(u_\varepsilon)| \leq |u_\varepsilon^2 - 1| |Du_\varepsilon| \leq \frac{\varepsilon}{2} |Du_\varepsilon|^2 + \frac{1}{2\varepsilon} (u_\varepsilon^2 - 1)^2.$$

As a result

$$\int_{\mathcal{M} \cap \mathcal{O}} |DH(u_\varepsilon)| dx dt \lesssim \varepsilon^2$$

by Proposition 1. Therefore, to complete the proof of (61), it is enough to note that

$$\int_{\mathcal{M} \cap \mathcal{O}} |H(u_\varepsilon)|^{3/2} dx dt \lesssim \left(\int_{\mathcal{M} \cap \mathcal{O}} |DH(u_\varepsilon)| dx dt \right)^{3/2} \lesssim \varepsilon^3.$$

But this follows from the Sobolev–Poincaré estimate in Lemma 6. The estimate is applicable here since (2) implies that $\{(t, x) \in \mathcal{M} \cap \mathcal{O} : u_\varepsilon(t, x) < 0\}$ has measure at most $C\varepsilon^{1/2} \leq \mathcal{L}^n(\Omega)$ for ε small. The same thus holds for $\{(t, x) \in \mathcal{M} \cap \mathcal{O} : H(u_\varepsilon)(t, x) \neq 0\}$, and this is the hypothesis for Lemma 6.

The argument for $\mathcal{M} \setminus \mathcal{O}$ is almost identical. The only point to notice is that, since $u_\varepsilon = \text{sign}_{\mathcal{O}}$ on $-T_*, T^* \times (\mathbb{R}^2 \setminus B(R))$ see (17), it suffices to show that

$$\int_{(t, x) \in \mathcal{M} \setminus \mathcal{O} : |x| < R} (u_\varepsilon + 1)^2 \leq C\varepsilon^3.$$

Since the domain of integration here is a bounded connected Lipschitz set whenever R is large enough, Lemma 6 applies, and we may now argue exactly as above. \square

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