



Local well-posedness for relaxational fluid vesicle dynamics

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Abstract. We prove the local well-posedness of a basic model for relaxational fluid vesicle dynamics by a contraction mapping argument. Our approach is based on the maximal L_p -regularity of the model's linearization.

Introduction

Most biological membranes are composed of a two-layered sheet of phospholipid molecules, a *lipid bilayer*, which is immersed in water. Due to hydrophobic effects, these membranes tend to avoid open edges and form closed configurations called *vesicles*. Since the ratio of membrane thickness to vesicle diameter is very small, typically of the order 10^{-4} , vesicles can be described as two-dimensional surfaces embedded in three-dimensional space. Due to osmotic effects and a very low solubility of the phospholipids, the area and enclosed volume of such a vesicle are practically fixed. Hence, vesicle configurations are not determined by a surface tension but rather by a bending elasticity. A basic model for such an elastic energy is given by the *Canham–Helfrich energy*

$$F(\Gamma) = \frac{\kappa}{2} \int_{\Gamma} (H - C_0)^2 \, dA;$$

see [3, 6, 9]. Here, Γ is the two-dimensional, closed surface representing the membrane, H denotes twice its mean curvature, κ is the bending rigidity, and C_0 is the *spontaneous curvature*, which is supposed to reflect a chemical asymmetry of the membrane or its environment; both κ and C_0 are assumed to be constant in the following. Usually the lipid bilayer is in a fluid state, allowing the monolayers to freely flow laterally and to slip over each other, while the membrane retains its transverse structure. In our basic model, we take into account this fluidity while neglecting the bilayer architecture of the membrane. More precisely, we study a single homogeneous Newtonian surface fluid

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(see [2,23]) subject to additional stresses induced by the Canham–Helfrich energy and interacting with a homogeneous Newtonian bulk fluid. The full system reads as follows:

$$\begin{aligned}
 \rho_b \frac{Du}{Dt} &= \operatorname{div} S && \text{in } \Omega \setminus \Gamma_t, \\
 \operatorname{div} u &= 0 && \text{in } \Omega \setminus \Gamma_t, \\
 V_t = u \cdot \nu_t, \quad \llbracket u \rrbracket = 0, \quad \rho \frac{Du}{Dt} &= \operatorname{Div} T + \llbracket S \rrbracket \nu_t && \text{on } \Gamma_t, \\
 \operatorname{Div} u &= 0 && \text{on } \Gamma_t, \\
 u &= 0 && \text{on } \partial\Omega, \\
 u(0) &= u_0 && \text{in } \Omega \setminus \Gamma_0, \\
 \Gamma_t|_{t=0} &= \Gamma_0.
 \end{aligned} \tag{1}$$

Here, Ω is a smooth bounded domain in \mathbb{R}^3 containing a homogeneous Newtonian fluid and a closed moving vesicle Γ_t , ν_t is the outer unit normal on Γ_t , u is the velocity of the bulk fluid in $\Omega \setminus \Gamma_t$ and the velocity of the surface fluid on Γ_t which are assumed to coincide on Γ_t , ρ_b and ρ denote the bulk and the surface mass density, respectively, Du/Dt is the fluid particle acceleration, $S = 2\mu_b Du - \pi I$ is the Newtonian bulk stress tensor with the constant dynamic viscosity μ_b of the bulk fluid, the symmetric part Du of the gradient of u , and the bulk pressure π , V_t is the speed of normal displacement of Γ_t , $\llbracket u \rrbracket$ and $\llbracket S \rrbracket$ are the jump of the velocity and the bulk stress tensor, respectively, across the membrane (subtracting the *outer limit* from the *inner limit*), Div is the surface divergence (see below), and $T = {}^f T + {}^e T$ is the surface stress tensor which is composed of a fluid part ${}^f T$ and an elastic part ${}^e T$ induced by the Canham–Helfrich energy. More precisely, in coordinates, we have ${}^f T_\alpha^i = {}^f \tilde{T}_\alpha^\beta \partial_\beta^i$ with (cf. [2,16,23])

$${}^f \tilde{T}_\alpha^\beta = -q \delta_\alpha^\beta + 2\mu (Du)_\alpha^\beta = -q \delta_\alpha^\beta + \mu g^{\beta\gamma} (v_{\alpha;\gamma} + v_{\gamma;\alpha} - 2w k_{\alpha\gamma})$$

and

$${}^e T_\alpha^i = \kappa \left((H - C_0)^2 / 2 \partial_\alpha^i - (H - C_0) k_\alpha^\beta \partial_\beta^i - (H - C_0)_{,\alpha} \nu_t^i \right).$$

Here, q is the surface pressure acting as a Lagrange multiplier with respect to the constraint $\operatorname{Div} u = 0$, μ is the constant dynamic viscosity of the surface fluid, Du is the *surface rate-of-strain tensor*, k is the second fundamental form of Γ_t , ∂_α denotes the α -th coordinate vector field, and the semicolon denotes covariant differentiation, while the comma indicates usual partial differentiation. Furthermore, on Γ_t , we decomposed the function $u = v + w \nu_t$ into its tangential and its normal part. Throughout the paper, latin indices refer to Cartesian coordinates in \mathbb{R}^3 , while greek indices refer to arbitrary coordinates on Γ_t . In particular, we note that the surface stress tensors are instances of *hybrid tensor fields* (see [2,23]) taking a tangential direction and returning a force density that is, in general, not tangential. The surface divergences for the non-tangential

vector field u and the hybrid tensor field T can be written as

$$\begin{aligned} \text{Div } u &= g^{\alpha\beta} \langle \partial_\alpha u, \partial_\beta \rangle_e, \\ (\text{Div } T)^i &= g^{\alpha\beta} T_{\alpha;\beta}^i, \end{aligned}$$

where g denotes the Riemannian metric on Γ_t induced by the Euclidean metric e in \mathbb{R}^3 , and the semicolon denotes the corresponding covariant differentiation of the covectors $(T_\alpha^i)_{\alpha=1,2}$ (for fixed i). The computations in [16] showed that

$$\begin{aligned} \text{Div } u &= \text{div}_g v - w H, \\ \text{Div } T &= -\text{grad}_g q - q H v_t + \mu (\Delta_g v + \text{grad}_g (w H) + K v - 2 \text{div}_g (w k)) \\ &\quad + 2\mu (\langle \nabla^g v, k \rangle_g - w (H^2 - 2K)) v_t \\ &\quad - \kappa (\Delta_g H + H (H^2/2 - 2K) + C_0 (2K - H C_0/2)) v_t. \end{aligned} \tag{2}$$

Here, K is the Gauss curvature, $\text{grad}_g, \text{div}_g, \nabla^g, \Delta_g$ denote the differential operators (acting on tangential tensor fields) corresponding to the metric g , and, with a slight abuse of notation, we write $\langle \nabla^g v, k \rangle_g$ for the contraction of the tensor fields $\nabla^g v$ and k using g . Furthermore, we saw in [16] that both the bulk and the surface Reynolds number usually are very small, typically of the order 10^{-3} . Hence, neglecting the inertial terms in (1), we arrive at the following set of equations describing purely relaxational fluid vesicle dynamics:

$$\begin{aligned} \text{div } S &= 0 && \text{in } \Omega \setminus \Gamma_t, \\ \text{div } u &= 0 && \text{in } \Omega \setminus \Gamma_t, \\ V_t = u \cdot \nu_t, \quad \llbracket u \rrbracket &= 0, \quad \text{Div}^f T + \llbracket S \rrbracket v_t = -\text{Div}^e T && \text{on } \Gamma_t, \\ \text{Div } u &= 0 && \text{on } \Gamma_t, \\ u &= 0 && \text{on } \partial\Omega, \\ \Gamma_t|_{t=0} &= \Gamma_0. \end{aligned} \tag{3}$$

At first sight, one might think that there is no dynamical component left in the system. However, this is not the case. Note that $\text{Div}^e T$ can be computed from Γ_t alone. Hence, we have to solve the Stokes-type system defined by the left-hand side of (3) with $-\text{Div}^e T$ as a right-hand side for the fluid velocity u . Then, the normal part w of u on Γ_t tells us how the vesicle will move in the next instant. It is easy to conclude from (3)_{2,4} that the area and the enclosed volume of each connected component Γ_t^i of Γ_t are preserved under this flow; see [16]. Hence, the phase space N of (3) consists of the embedded surfaces $\Gamma \subset \Omega$ of fixed area and enclosed volume. As (2)₂ indicates (see also [16]), we have $-\text{Div}^e T = \text{grad}_{L_2} F_{\Gamma_t} v_t$, where $\text{grad}_{L_2} F_{\Gamma_t}$ denotes L_2 -gradient of the Canham–Helfrich energy at the point Γ_t . Hence, we note that compared to the classical Canham–Helfrich flow, that is, the L_2 -gradient flow of the Canham–Helfrich energy with prescribed enclosed volume and area, there is an additional Neumann-to-Dirichlet-type operator involved here, mapping $\text{grad}_{L_2} F_{\Gamma_t}$ to w ; since $\text{grad}_{L_2} F_{\Gamma_t}$ is

a fourth-order operator in Γ_t , the mapping $\Gamma_t \mapsto w$ can be considered as a nonlinear, nonlocal pseudo-differential operator of third order. Furthermore, we saw in [16] that (3) can be considered as a gradient flow with respect to a suitable Riemannian metric on N , leading in particular to the energy identity

$$\frac{d}{dt} F(\Gamma_t) = -2\mu_b \int_{\Omega \setminus \Gamma_t} |Du|_e^2 dx - 2\mu \int_{\Gamma_t} |Du|_g^2 dA. \quad (4)$$

We will not make use of the gradient flow structure in the present article. However, it turns out to be useful for the proof of asymptotic stability of local minimizers of the Canham–Helfrich energy; this is done in [15] by using a Łojasiewicz–Simon inequality. Finally, we showed in [16] that the equilibria Γ of (3) satisfy

$$\operatorname{grad}_{L^2} F_\Gamma + \llbracket \pi \rrbracket + q H = 0.$$

This is the *Helfrich equation* with the pressure jump and the surface pressure acting as Lagrange multipliers with respect to the volume and area constraints.

Not much rigorous analysis has been done on the dynamics of fluid vesicles. Concerning the *Canham–Helfrich flow*, a partial local well-posedness result has been shown in [20]. There exist further results [11, 17, 18] concerning a Helfrich-type flow where the Lagrange parameters instead of volume and area are prescribed and which consequently should not be related directly to fluid vesicles. In [28], local-in-time existence and uniqueness for a homogeneous Newtonian surface fluid subject to Canham–Helfrich stresses is shown. While the bulk fluid is neglected, the authors keep the inertial term in the equations for the surface fluid, yielding a kind of dissipative fourth-order wave-type equation. In [4], local-in-time existence and uniqueness of a homogeneous Newtonian bulk fluid with inertial term interacting with a compressible, inviscid surface fluid without inertial term is shown, the membrane model being rather non-standard. Since the authors work in the L_2 -scale, they have to deal with solutions of higher regularity, making the analysis rather involved. Furthermore, they work in the Lagrangian picture, leading to problems with the tangential degeneracy of the elliptic operator arising from the elastic stresses within the membrane. By working in an L_p -scale and using the *Hanzawa transform* instead of the Lagrangian picture, we are able to present a simplified analysis based on the theory of maximal L_p -regularity along with localization and transformation techniques.

The present article continues our analysis of a basic model of fluid vesicle dynamics that was started in [16], where a thorough L_2 -analysis of the Stokes-type system defined by the left-hand side of (3) is performed. We will make extensive use of these results in the present article. Furthermore, we refer the reader to [16] and the references therein for a detailed introduction to the physics and mathematics of fluid vesicles; in particular, we refer to [24] for a rather comprehensive treatment of the physics of equilibrium configurations.

This paper is organized as follows. In Sect. 1, we present our main result. In order to construct local solutions, we shall employ the standard procedure of approximating

the nonlinear evolution by some appropriate linear evolution. To this end, in Sect. 2, we transform our system to a fixed domain using the Hanzawa transform and extract the linearization of the resulting system. In Sect. 3, we prove that the linearization has the property of maximal L_p -regularity. This is first done for the case of a double half-space, to which, then, the general case is reduced by localization and transformation techniques. Finally, in Sect. 4, we prove our main result, using the contraction mapping principle.

Before we proceed, let us fix some notation. Throughout the article, let $\Omega \subset \mathbb{R}^3$ be a smooth bounded domain and $\Gamma \subset \Omega$ a smooth, closed surface with outer unit normal ν . We write $\Gamma^i, i = 1, \dots, m$, for the connected components of Γ, Ω^i for the open set enclosed by Γ^i , and let

$$\Omega^0 := \Omega \setminus \left(\bigcup_{i=1}^m \Gamma^i \cup \Omega^i \right).$$

We denote by P_Γ the field of orthogonal projections onto the tangent spaces of Γ , while $[u]_\Gamma$ denotes the trace of the bulk field u on Γ ; however, when there is no danger of confusion, we will sometimes omit the brackets. Furthermore (apart from ‘‘Appendix A’’), we write e for the Euclidean metric in \mathbb{R}^3 and g for the metric on Γ induced by e . We also use the notation $u \cdot v$ instead of $\langle u, v \rangle_e$ for $u, v \in \mathbb{R}^3$. Moreover, we write k, H , and K for the second fundamental form, twice the mean curvature, and the Gauss curvature of Γ with respect to e , respectively. With a slight abuse of notation, we use same symbol k also to denote the Weingarten map, that is, in coordinates we write $k_{\alpha\beta}$ and k_α^β . Furthermore, for any metric \tilde{e} on an arbitrary manifold, we write ${}^\tilde{e}\Gamma_{ij}^k, \nabla^{\tilde{e}}, \text{grad}_{\tilde{e}}, K_{\tilde{e}}$, etc., for the associated Christoffel symbols, differential operators, and curvature terms, and we use the abbreviations $\Gamma_{ij}^k := {}^e\Gamma_{ij}^k, \nabla := \nabla^e, \text{grad} := \text{grad}_e$, etc., for the corresponding Euclidean objects. When working in coordinates and confusion about the underlying metric can be ruled out, we use the semicolon to separate the indices coming from covariant differentiation from the original indices; for instance, for a covector field ω , we write $(\nabla^{\tilde{e}}\omega)_{ij} = \omega_{i;j}$. We denote by $r(a)$ generic tensor fields that are polynomial or analytic functions of their argument a . Furthermore, for tensor fields r_1 and r_2 we write $r_1 * r_2$ for any tensor field that depends in a bilinear way on r_1 and r_2 , and we use the abbreviations $r * (r_1, \dots, r_k) = r * r_1 + \dots + r * r_k$ and $r^k = r * \dots * r$ (with k factors on the right-hand side). For $p \in (1, \infty), k \in \mathbb{N}$, and $s \in \mathbb{R}_+ \setminus \mathbb{N}$, we denote by H_p^k the usual Sobolev spaces and by W_p^s the Sobolev–Slobodeckij spaces. For an arbitrary smooth, d -dimensional Riemannian manifold (M, \tilde{e}) , the norm of the latter spaces is given by

$$\|T\|_{W_p^s(M)} = \|T\|_{H_p^k(M)} + |(\nabla^{\tilde{e}})^k T|_{W_p^{s-k}(M)},$$

where k is the largest integer smaller than s and

$$|(\nabla^{\tilde{e}})^k T|_{W_p^{s-k}(M)}^p := \int_M \int_M \frac{|(\nabla^{\tilde{e}})^k T(x) - (\nabla^{\tilde{e}})^k T(y)|_e^p}{d_{\tilde{e}}(x, y)^{d+(s-k)p}} dV_{\tilde{e}}(x) dV_{\tilde{e}}(y).$$

In this formula $d_{\tilde{g}}$ is the Riemannian distance function, while $dV_{\tilde{g}}$ is the volume element corresponding to \tilde{g} . Finally, let the homogeneous spaces $\dot{H}_p^k(M)$ and $\dot{W}_p^s(M)$ consist of all locally integrable tensor fields such that $\nabla^k T \in L_p(M)$ and $|\nabla^k T|_{W_p^{s-k}(M)} < \infty$ (where k is the largest integer smaller than s), respectively.

1. Main result

We fix a smooth bounded domain $\Omega \subset \mathbb{R}^3$ and a smooth, closed surface $\Gamma \subset \Omega$. We denote by $S_\alpha, \alpha > 0$, the open set of points in Ω whose distance from Γ is less than α . It is a well-known fact from elementary differential geometry that there exists some $\gamma > 0$ such that the mapping

$$\Lambda : \Gamma \times (-\gamma, \gamma) \rightarrow S_\gamma, (x, d) \mapsto x + d \nu(x)$$

is a diffeomorphism. For functions $h : \Gamma \rightarrow (-\kappa, \kappa)$ we define

$$\Gamma_h := \{ \Lambda(x, h(x)) \mid x \in \Gamma \},$$

and we write $x \mapsto (\tau(x), d(x)) : S_\gamma \rightarrow \Gamma \times (-\gamma, \gamma)$ for the inverse map Λ^{-1} , i. e. we denote by $\tau : S_\gamma \rightarrow \Gamma$ the metric projection onto Γ , and by $d : S_\gamma \rightarrow (-\gamma, \gamma)$ the signed distance from Γ , which are both well defined within S_γ by choice of $\gamma > 0$. For a time-dependent closed surface $\Gamma_t \subset \Omega$ and time-dependent, integrable, scalar functions q, π defined on Γ_t and in Ω , respectively, we consider the gauge conditions

$$\int_{\Gamma_t^i} q(t, \cdot) / H \, dA + \int_{\Omega_t^i} \pi(t, \cdot) \, dx = 0 \quad \text{for each } \Gamma_t^i \text{ that is a round sphere,} \tag{5}$$

where Ω_t^i denotes the open set enclosed by Γ_t^i , and

$$\int_{\Omega} \pi(t, \cdot) \, dx = 0. \tag{6}$$

Note that condition (5) is a consequence of the divergence constraint on Γ_t^i and in $\Omega \setminus \Gamma_t$, provided that Γ_t^i is a CMC surface, i. e. provided Γ_t^i is a round sphere. Now, we are ready to state our main result. Let $\mu_b, \mu > 0$.

THEOREM 1.1. *Assume that Γ contains no round sphere, and let $p \in (3, \infty) \setminus \{4\}$. For sufficiently small $\epsilon > 0$ there exists a time $T > 0$ such that for all height functions $h_0 \in \bar{B}_\epsilon(0) \subset W_p^{5-4/p}(\Gamma)$ there exists a solution of (3) in the time interval $I := (0, T)$ with initial value Γ_{h_0} . This solution is given by $\Gamma_t = \Gamma_{h(t)}$ for a height function*

$$h \in H_p^1(I, W_p^{2-1/p}(\Gamma)) \cap L_p(I, W_p^{5-1/p}(\Gamma))$$

such that $\|h\|_{L_\infty(I \times \Gamma)} < \gamma$ and by measurable hydrodynamic fields u, π defined in $\Omega \setminus \Gamma_t$ and q defined on Γ_t for almost all $t \in I$ such that the functions

$$\|u(t, \cdot)\|_{H_p^2(\Omega \setminus \Gamma_t)}^p, \|P_{\Gamma_t}[u(t, \cdot)]_{\Gamma_t}\|_{H_p^2(\Gamma_t)}^p, \|\pi(t, \cdot)\|_{H_p^1(\Omega \setminus \Gamma_t)}^p, \|q(t, \cdot)\|_{H_p^1(\Gamma_t)}^p$$

are integrable in I , and such that (5) and (6) hold for almost all t ; the solution is unique in this class. Moreover, the map

$$\bar{B}_\epsilon(0) \subset W_p^{5-4/p}(\Gamma) \rightarrow H_p^1(J, W_p^{2-1/p}(\Gamma)) \cap L_p(J, W_p^{5-1/p}(\Gamma)), \quad h_0 \mapsto h$$

is Lipschitz continuous.

Finally, if Γ consists only of round spheres, then a solution of (3) is given by the constant-in-time solution with $\Gamma_t = \Gamma$, $u = 0$, and suitably chosen pressure functions π and q which are constant in each connected component of Ω and Γ , respectively; this solution is unique in the class given in the first part of the theorem. In particular, the problem is globally well-posed in this trivial case.

In general, when dealing with the continuous dependence part of (local) well-posedness, the question arises which perturbations should be included in the analysis. For macroscopic physical systems, it seems reasonable to consider those perturbations which are accessible by thermal fluctuations; thus, in our case area and enclosed volume of each connected component $\Gamma_{h_0}^i$, $i = 1, \dots, m$, of Γ_{h_0} should be conserved. Concerning the second part of the above theorem, note that consequently the only admissible perturbations of a round sphere are translations of this sphere. On the other hand, the first part of the above theorem is slightly more general in that it deals with a larger class of perturbations. Note that the tangential part of the bulk velocity trace on Γ_t exhibits an increased spatial regularity, which is to be expected in view of the appearance of the Laplace–Beltrami operator in transformed equation (8)₃; cf. also the symbolic analysis on page 1803. Also note that the case $p = 4$ is excluded for notational convenience, since in this case Besov spaces would have to be introduced for the initial data.

So far, we cannot prove (local) well-posedness of our system in the case that Γ contains both round spheres and connected components that are not round spheres. The reason for this is a technicality in the iterative construction process of the solutions which is related to the different degrees of gauge freedom for round spheres on the one hand and other configurations on the other hand; see, in particular, the remark following the proof of Theorem 1.1.

The conditions (5) and (6) on π and q provide a gauge fixing; as is typical for Stokes-type equations, the pressure functions in (3) are not uniquely determined.

DEFINITION 1.2. For fixed $t \in \bar{I}$, we define the space $U_p(\Gamma_t) \subset H_p^1(\Omega \setminus \Gamma_t) \times H_p^1(\Gamma_t)$ as follows: $(\pi, q) \in U_p(\Gamma_t)$, if and only if for all $i = 1, \dots, m$ we have

- (i) $\pi = \kappa_i$ in Ω^i , $\pi = \kappa_0$ in Ω^0 , $q = \kappa^i$ on Γ^i with $\kappa_i, \kappa_0, \kappa^i \in \mathbb{R}$
- (ii) If Γ_t^i is a round sphere with H denoting twice the mean curvature, then $\kappa_i - \kappa_0 = \kappa^i H$.
- (iii) If Γ_t^i is not a round sphere, then $\kappa^i = 0$ and $\kappa_i = \kappa_0$.

It is not hard to see that

$$(H_p^1(\Omega \setminus \Gamma_t) \times H_p^1(\Gamma_t)) / U_p(\Gamma_t) \simeq \{(\pi, q) \in H_p^1(\Omega \setminus \Gamma_t) \times H_p^1(\Gamma_t) \mid (5), (6) \text{ hold}\}$$

cf. Section 3.1 in [16]. Hence, the subspace $U_p(\Gamma_t)$ characterizes the gauge freedom of the pressure functions. Concerning the gauge fixing condition (5), we note that a connected component $\Gamma_t^i, i = 1, \dots, m$, of Γ_t is a round sphere for some $t \in \bar{I}$ if and only if this is the case for all $t \in \bar{I}$ since its area and enclosed volume are fixed.

Assuming the reference surface (respectively, initial surface in the case $h_0 = 0$) Γ to be of class $W_p^{6-1/p}$ would be sufficient as a detailed analysis of the nonlinearities appearing in Sect. 2 shows. By Theorem 4.10.2 in [1] and the theorem in Section 7.4.4 of [27] (note that $W_p^s(\Gamma) = B_{pp}^s(\Gamma)$ for non-integer s ; cf. [16]), the time trace

$$H_p^1(I, W_p^{2-1/p}(\Gamma)) \cap L_p(I, W_p^{5-1/p}(\Gamma)) \rightarrow W_p^{5-4/p}(\Gamma), \quad h \mapsto h(0)$$

is well defined and surjective. In this sense, the regularity of h_0 in the preceding theorem is optimal. However, so far, we are not able to prove the well-posedness for arbitrary (apart from spheres) initial surfaces Γ of class $W_p^{5-4/p}$. The canonical way to do so is to approximate such an initial surface sufficiently well by some smooth surface and then apply the preceding theorem. However, it seems that the ϵ in the assertion (being related to the norm of the solution operator of the linearization with respect to the reference surface) does not depend in a continuous way on the reference surface in the $W_p^{5-4/p}$ -topology. However, it should be possible to lower the regularity assumption on Γ below $W_p^{6-1/p}$ by proving such a continuity result in a sufficiently strong topology.

Finally, we note that a similar result as Theorem 1.1 result can be shown for $\mu = 0$; in this case, of course, the additional regularity of the tangential velocity on the membrane is not present.

2. Linearization

In this section, we employ the classical Hanzawa transform to map the time-dependent domains Γ_t and $\Omega \setminus \Gamma_t$ to the fixed domains Γ and $\Omega \setminus \Gamma$, respectively. Using this diffeomorphism, we translate the system (3) into a quasi-linear system on fixed domains and then extract its linearization. It is crucial, however, to transform the equations in a geometrically consistent way, namely to take the geometric pullback of the fields involved. This ensures that the tangential part of the velocity field on Γ_t , which is smoothed by membrane viscosity, remains tangential, and thus is smoothed in the linearization, too.¹

Recall the notation from the beginning of Sect. 1. For sufficiently regular $h : \Gamma \rightarrow (-\gamma, \gamma)$, we choose the real-valued function $\beta \in C^\infty(\mathbb{R})$ to be 0 in neighborhoods of -1 and 1 , and 1 in a neighborhood of 0 , and assume that $|\beta'| < \gamma / \|h\|_{L^\infty(\Gamma)}$ on Γ .

¹At first sight, one might want to transform the equation in such a way that the normal part of velocity on Γ_t remains normal. However, the construction of a suitable modification of the classical Hanzawa transform turns out to be rather technical (see [19] for an elegant method), and, in our case, the need for this property can be avoided by relaxing the relation $u = v + w \nu_t$ in the linearization; see below.

Then, the Hanzawa transform $\Phi_h : \bar{\Omega} \rightarrow \bar{\Omega}$ is defined in the following way: While, in $\bar{\Omega} \setminus S_\gamma$, we let Φ_h be the identity, we define Φ_h in S_γ by

$$x \mapsto x + \nu(\tau(x)) h(\tau(x)) \beta(d(x)/\gamma).$$

Then we have $\Phi_h(\Gamma) = \Gamma_h$, and it is not hard to see that $\Phi_h : \bar{\Omega} \rightarrow \bar{\Omega}$ and $\varphi_h := \Phi_h|_\Gamma : \Gamma \rightarrow \Gamma_h$ are diffeomorphisms; see, for instance, [14]. For a given time-dependent height function h , we write $\Phi_t := \Phi_{h(t)}$, $\varphi_t := \varphi_{h(t)}$, and $\Gamma_t := \Gamma_{h(t)}$.

Separating the tangential and the normal part of (3)₃, we obtain

$$\begin{aligned} & -\operatorname{grad}_g q + \mu (\Delta_g v + \operatorname{grad}_g(w H) + K v - 2 \operatorname{div}_g(w k)) + 2\mu_b \llbracket Du \rrbracket \nu = 0, \\ & -q H + 2\mu (\langle \nabla^g v, k \rangle_g - w (H^2 - 2K)) - \llbracket \pi \rrbracket \\ & = \kappa (\Delta_g H + H (H^2/2 - 2K) + C_0(2K - HC_0/2)). \end{aligned} \tag{7}$$

Note that $\llbracket Du \rrbracket \nu$ is tangential due to the incompressibility constraint. Indeed, for any vector X on Γ , we have $\llbracket (X \cdot \nabla)u \rrbracket \cdot \nu = 0$. If X is tangential, we even have $\llbracket (X \cdot \nabla)u \rrbracket = 0$ since u is continuous across Γ . But then, choosing an orthonormal basis ν, e_1, e_2 at some arbitrary point on Γ , from $\operatorname{div} u = 0$, we deduce that

$$\llbracket (\nu \cdot \nabla)u \rrbracket \cdot \nu = -\llbracket (e_1 \cdot \nabla)u \rrbracket \cdot e_1 - \llbracket (e_2 \cdot \nabla)u \rrbracket \cdot e_2 = 0.$$

Let us now transform the system (3) to the fixed domains $\Omega \setminus \Gamma$ and Γ and then extract its linearization. We minimize the computations by exploiting the fact that the system (3) on the time-dependent domains is equivalent to a system on the fixed domains with a time-dependent Riemannian metric. The diffeomorphism Φ_t induces the time-dependent metric $\tilde{e} = \tilde{e}_t := \Phi_t^* e$ on Ω . We denote the restriction of \tilde{e} to Γ by \tilde{g} . Note that $\Phi_t : (\Omega, \tilde{e}_t) \rightarrow (\Omega, e)$ and $\varphi_t : (\Gamma, \tilde{g}_t) \rightarrow (\Gamma_t, g)$ are isometries. Let us denote the pullbacks of the involved fields by $\tilde{u} := \Phi_t^* u$, $\tilde{\pi} := \Phi_t^* \pi$, $\tilde{v} := \Phi_t^* v$, $\tilde{w} := \Phi_t^* w$, and $\tilde{q} := \Phi_t^* q$. By exploiting naturality of covariant differentiation under isometries (cf. [16]), from (3), (7), $u = v + w \nu_t$ on Γ_t , and $\partial_t h \nu = (w \nu_t) \circ \varphi_t$, we obtain

$$\begin{aligned} & \mu_b \Delta_{\tilde{e}} \tilde{u} - \operatorname{grad}_{\tilde{e}} \tilde{\pi} = 0 && \text{in } \Omega \setminus \Gamma, \\ & \operatorname{div}_{\tilde{e}} \tilde{u} = 0 && \text{in } \Omega \setminus \Gamma, \\ & \mu (\Delta_{\tilde{g}} \tilde{v} + \operatorname{grad}_{\tilde{g}}(\tilde{w} H_{\tilde{e}}) + K_{\tilde{g}} \tilde{v} - 2 \operatorname{div}_{\tilde{g}}(\tilde{w} k_{\tilde{e}})) \\ & \quad - \operatorname{grad}_{\tilde{g}} \tilde{q} + 2\mu_b \llbracket D^{\tilde{e}} \tilde{u} \rrbracket \nu_{\tilde{e}} = 0 && \text{on } \Gamma, \\ & 2\mu (\langle \nabla^{\tilde{g}} \tilde{v}, k_{\tilde{e}} \rangle_{\tilde{g}} - \tilde{w} (H_{\tilde{e}}^2 - 2K_{\tilde{g}})) - \tilde{q} H_{\tilde{e}} - \llbracket \tilde{\pi} \rrbracket \\ & - \kappa (\Delta_{\tilde{g}} H_{\tilde{e}} + H_{\tilde{e}} (H_{\tilde{e}}^2/2 - 2K_{\tilde{g}}) + C_0(2K_{\tilde{g}} - H_{\tilde{e}} C_0/2)) = 0 && \text{on } \Gamma, \\ & \operatorname{div}_{\tilde{g}} \tilde{v} - \tilde{w} H_{\tilde{e}} = 0 && \text{on } \Gamma, \\ & \tilde{u} - \tilde{v} - \tilde{w} \nu_{\tilde{e}} = 0 && \text{on } \Gamma, \\ & \partial_t h \langle \nu, \nu_{\tilde{e}} \rangle_{\tilde{e}} - \tilde{w} = 0 && \text{on } \Gamma. \end{aligned} \tag{8}$$

Here, most of the geometric quantities have to be taken with respect to the perturbed metrics and, hence, are indexed by \tilde{e} and \tilde{g} , respectively. Now, we take the point of

view of \tilde{e} being a (small) perturbation of e . The results from “Appendix A” show (cf. the proof of Theorem 3.13 in [16]) that (8) can be written in the form

$$\begin{aligned}
 \mu_b \Delta \tilde{u} - \operatorname{grad} \tilde{\pi} &= N_1 && \text{in } \Omega \setminus \Gamma, \\
 \operatorname{div} \tilde{u} &= N_2 && \text{in } \Omega \setminus \Gamma, \\
 \mu(\Delta_g \tilde{v} + \operatorname{grad}_g(\tilde{w} H) + K \tilde{v} - 2 \operatorname{div}_g(\tilde{w} k)) \\
 &\quad - \operatorname{grad}_g \tilde{q} + 2\mu_b \llbracket D\tilde{u} \rrbracket \nu = N_3^\top && \text{on } \Gamma, \\
 2\mu(\langle \nabla^s \tilde{v}, k \rangle_g - \tilde{w}(H^2 - 2K)) - \tilde{q} H - \llbracket \tilde{\pi} \rrbracket - Ah &= N_3^\perp && \text{on } \Gamma, \\
 \operatorname{div}_g \tilde{v} - \tilde{w} H &= N_4 && \text{on } \Gamma, \\
 \tilde{u} - \tilde{v} - \tilde{w} \nu &= N_5 && \text{on } \Gamma, \\
 \partial_t h - \tilde{w} &= N_6 && \text{on } \Gamma
 \end{aligned} \tag{9}$$

with

$$\begin{aligned}
 N_1 &= (\tilde{e} - e) * (\mu_b \nabla^2 \tilde{u}, \operatorname{grad} \tilde{\pi}) + \mu_b r(\tilde{e}) * ((\nabla^2 \tilde{e}, (\nabla \tilde{e})^2) * \tilde{u} + \nabla \tilde{e} * \nabla \tilde{u}), \\
 N_2 &= r(\tilde{e}) * \nabla \tilde{e} * \tilde{u}, \\
 N_3^\top &= (\tilde{e} - e) * (\mu(\nabla^s)^2 \tilde{v}, \operatorname{grad}_g \tilde{q}) + \mu_b r(\tilde{e}) * ((\tilde{e} - e) * [\nabla \tilde{u}] + \nabla \tilde{e} * [\tilde{u}]) \\
 &\quad + \mu r(\tilde{e}) * ((\tilde{e} - e) * k^2, (\tilde{e} - e) * \nabla k, k * \nabla \tilde{e}, \nabla^2 \tilde{e}, (\nabla \tilde{e})^2) * [\tilde{u}] \\
 &\quad + \mu r(\tilde{e}) * ((\tilde{e} - e) * k, \nabla \tilde{e}) * [\nabla \tilde{u}] \\
 N_3^\perp &= r(\tilde{e}) * ((\tilde{e} - e) * k, \nabla \tilde{e}) \tilde{q} + \mu r(\tilde{e}) * ((\tilde{e} - e) * k, \nabla \tilde{e}) * \nabla^s \tilde{v} \\
 &\quad + \mu r(\tilde{e}) * ((\tilde{e} - e) * k^2, k * \nabla \tilde{e}, (\nabla \tilde{e})^2) * [\tilde{u}] \\
 &\quad + \kappa(\Delta_g H + H(H^2/2 - 2K) + C_0(2K - HC_0/2)) + \kappa Q(h), \\
 N_4 &= r(\tilde{e}) * ((\tilde{e} - e) * k, \nabla \tilde{e}) * [\tilde{u}], \\
 N_5 &= r(\tilde{e}) * (\tilde{e} - e) \tilde{w}, \\
 N_6 &= r(\tilde{e}) * (\tilde{e} - e) \partial_t h,
 \end{aligned}$$

where $Ah = \kappa(\Delta_g^2 h + (a^{\alpha\beta} h_{,\alpha})_{;\beta} + bh)$ is the linearization at $h \equiv 0$ of $\operatorname{grad}_{L_2} F_{\Gamma_h}$ with

$$\begin{aligned}
 a^{\alpha\beta} &= (H^2/2 - 4K + 2HC_0 - C_0^2/2)g^{\alpha\beta} + 2(H - C_0)k^{\alpha\beta}, \\
 b &= 2k^{\alpha\beta} H_{;\alpha\beta} + \Delta_g(H^2 - 2K) + H_{,\alpha} H^{,\alpha} + 3H^4/2 - 7KH^2 \\
 &\quad + 4K^2 + 2C_0KH - C_0^2/2 H^2 + C_0^2 K,
 \end{aligned}$$

see [15], and $\kappa Q(h) = \varphi_t^*(\operatorname{grad}_{L_2} F_{\Gamma_t}) - Ah$. We saw in [16] that in S_γ we have

$$\tilde{e} - e = r(h/\gamma, hk, \nabla h) \circ \tau, \tag{10}$$

where r is an analytic function of its arguments. Thus, from

$$\varphi_t^*(\operatorname{grad}_{L_2} F_{\Gamma_t}) = \kappa(\Delta_{\tilde{g}} H_{\tilde{e}} + H_{\tilde{e}}(H_{\tilde{e}}^2/2 - 2K_{\tilde{g}}) + C_0(2K_{\tilde{g}} - H_{\tilde{e}}C_0/2))$$

and the results in “Appendix A,” we infer that $Q(h)$ is an analytic function of zero- to third-order derivatives of k , and zero- to fourth-order derivatives of h . In Sect. 4, we

will need the term $Q(h)$ to lie in $W_p^{1-1/p}(\Gamma)$. Since this term contains up to third-order derivatives of k , assuming Γ to be of class $W_p^{6-1/p}$ would in fact be sufficient.

We conclude that we have to analyze the following linear parabolic system

$$\begin{aligned}
 \mu_b \Delta u - \operatorname{grad} \pi &= f_1 && \text{in } \Omega \setminus \Gamma, \\
 \operatorname{div} u &= f_2 && \text{in } \Omega \setminus \Gamma, \\
 \mu(\Delta_g v + \operatorname{grad}_g(w H) + K v - 2 \operatorname{div}_g(w k)) \\
 - \operatorname{grad}_g q + P_\Gamma \llbracket S \rrbracket v &= f_3^\top && \text{on } \Gamma, \\
 2\mu(\langle \nabla^g v, k \rangle_g - w(H^2 - 2K)) - q H + \llbracket S \rrbracket v \cdot v - Ah &= f_3^\perp && \text{on } \Gamma, \\
 \operatorname{div}_g v - w H &= f_4 && \text{on } \Gamma, \\
 u - v - w v &= f_5 && \text{on } \Gamma, \\
 \partial_t h - w &= f_6 && \text{on } \Gamma
 \end{aligned} \tag{11}$$

for suitable data f_1, \dots, f_6 with the additional requirements $u = 0$ on $\partial\Omega$ and $h(0) = h_0$ for some suitable initial value h_0 . Here, we dropped the tilde symbols and, as before, $S = 2\mu_b Du - \pi I$.

3. Linear analysis

In this section, we study the linearization (11) with fully inhomogeneous data and establish its unique solvability in the sense of maximal regularity in an L_p -setting. To begin with, let us specify suitable function spaces for the solutions and for the data. From (11)_{2,6}, we obtain

$$\int_{\Gamma^i} (w + f_5 \cdot v) \, dA = \int_{\Omega^i} f_2 \, dx$$

for $i = 1, \dots, m$; combining this identity with (11)₅, we obtain

$$\int_{\Gamma^i} f_4/H \, dA = - \int_{\Omega^i} f_2 \, dx + \int_{\Gamma^i} f_5 \cdot v \, dA \quad \text{for each } \Gamma^i \text{ that is a CMC surface.} \tag{12}$$

Recall that the only closed, connected CMC (= constant mean curvature) surfaces embedded in \mathbb{R}^3 are the round spheres. Furthermore, of course, we have

$$\int_{\Omega} f_2 \, dx = 0. \tag{13}$$

For $p \in (1, \infty) \setminus \{4\}$, we define

$$\begin{aligned}
 \mathbb{G}_p(T) := \{ & (f_1, \dots, f_6, h_0) \mid f_1 \in L_p(I, L_p(\Omega \setminus \Gamma, \mathbb{R}^3)), f_2 \in L_p(I, H_p^1(\Omega \setminus \Gamma)), \\
 & f_3^\top \in L_p(I, L_p(\Gamma, T\Gamma)), f_3^\perp \in L_p(I, W_p^{1-1/p}(\Gamma)), f_4 \in L_p(I, H_p^1(\Gamma)), \\
 & f_5 \in L_p(I, W_p^{2-1/p}(\Gamma, \mathbb{R}^3)), f_6 \in L_p(I, W_p^{2-1/p}(\Gamma)), h_0 \in W_p^{5-4/p}(\Gamma) \},
 \end{aligned}$$

where $I = (0, T)$, the space of data

$$\mathbb{F}_p(T) := \{(f_1, \dots, f_6, h_0) \in \mathbb{G}_p(T) \mid (12) \text{ and } (13) \text{ hold for almost all } t\},$$

and the space of solutions

$$\begin{aligned} \mathbb{E}_p(T) := \{ & (u, v, w, \pi, q, h) \mid u \in L_p(I, H_p^2(\Omega \setminus \Gamma, \mathbb{R}^3)) \cap {}_0H_p^1(\Omega, \mathbb{R}^3), \\ & v \in L_p(I, H_p^2(\Gamma, T\Gamma)), w \in L_p(I, W_p^{2-1/p}(\Gamma)), \\ & \pi \in L_p(I, H_p^1(\Omega \setminus \Gamma)), q \in L_p(I, H_p^1(\Gamma)), \\ & h \in L_p(I, W_p^{5-1/p}(\Gamma)) \cap H_p^1(I, W_p^{2-1/p}(\Gamma)), \\ & \text{such that (12) and (13) with } f_2 = \pi, f_4 = q, \\ & \text{and } f_5 = 0 \text{ hold for almost all } t\}; \end{aligned}$$

each space is endowed with the canonical norm.

THEOREM 3.1. *For $p \in [2, \infty) \setminus \{4\}$ and $(f_1, \dots, f_6, h_0) \in \mathbb{F}_p(T)$, there exists a unique solution $(u, v, w, \pi, q, h) \in \mathbb{E}_p(T)$ of (11). If the functions f_1, \dots, f_6 , and h_0 are smooth in space and time, then so is the solution (u, v, w, π, q, h) .²*

Proof. [Existence for Smooth Data and Uniqueness] This follows by combining the elliptic theory proved in [16] with standard arguments from parabolic L_2 -theory. We will successively eliminate the data (f_1, \dots, f_6) and hence write the velocity fields in the form $u = u_0 + u_1 + u_2$, $v = v_0 + v_1 + v_2$ (with strictly tangential v_i), and $w = w_0 + w_1 + w_2$. First, we eliminate f_5 and f_6 by choosing a smooth function u_0 such that $[u_0]_{\partial\Omega} = 0$ and $[u_0]_\Gamma = f_5 - f_6 \nu$ and by defining $v_0 := 0$ and $w_0 := -f_6$. Next, we eliminate f_2 and f_4 by solving the stationary system

$$\begin{aligned} \operatorname{div} u_1 &= f_2 - \operatorname{div} u_0 && \text{in } \Omega \setminus \Gamma, \\ \operatorname{Div} u_1 &= f_4 - \operatorname{Div} u_0 && \text{on } \Gamma \end{aligned}$$

at fixed, but arbitrary $t \in \bar{I}$ for a smooth function u_1 , see Theorem 3.6 in [16] with $f_1 = 0$ and $f_3 = 0$, and by choosing v_1, w_1 such that $u_1 - v_1 - w_1 \nu = 0$. Finally, we solve (11) for $(u_2, v_2, w_2, \pi, q, h)$ with vanishing f_2, f_4, f_5 , and f_6 , with f_1 and f_3 replaced by $\tilde{f}_1 := f_1 - 2\mu_b \operatorname{div} Du_1$ and $\tilde{f}_3 := f_3 - 2\mu \operatorname{Div} Du_1 - \llbracket 2\mu_b Du_1 \rrbracket \nu$, respectively, and with $u_2 - v_2 - w_2 \nu = 0$. To this end, we note that this system can be written in the form

$$\begin{aligned} \operatorname{div} S &= \tilde{f}_1 && \text{in } \Omega \setminus \Gamma, \\ \operatorname{div} u_2 &= 0 && \text{in } \Omega \setminus \Gamma, \\ \operatorname{Div} {}^f T + \llbracket S \rrbracket \nu + Ah \nu &= \tilde{f}_3 && \text{on } \Gamma, \\ \operatorname{Div} u_2 &= 0 && \text{on } \Gamma, \\ \partial_t h - w_2 &= 0 && \text{on } \Gamma, \end{aligned} \tag{14}$$

² Here and in the following smoothness means C^∞ up to possible jumps across Γ for f_1, f_2, π , and first-order derivatives of u .

where the stress tensors S and T are taken with respect to u_2, π , and q . Let us multiply (14)₃ by a smooth test function $\varphi : \bar{I} \times \Omega \rightarrow \mathbb{R}^3$ fulfilling the divergence constraints (14)_{2,4} and vanishing on $\partial\Omega$. Analogously to the computations in Section 3.1 of [16], integration by parts then leads to the following weak formulation of our system

$$\begin{aligned} B(u_2, \varphi) + A(h, \varphi) &= F(\varphi), \\ \partial_t h - w_2 &= 0, \end{aligned} \tag{15}$$

which is to hold for almost all $t \in I$. Here, we used the definitions

$$\begin{aligned} B(u, \varphi) &:= 2\mu_b \int_{\Omega \setminus \Gamma} \langle Du, D\varphi \rangle_e dx + 2\mu \int_{\Gamma} \langle \mathcal{D}u, \mathcal{D}\varphi \rangle_g dA, \\ A(h, \varphi) &:= \kappa \int_{\Gamma} (\Delta_g h \Delta_g \varphi^\perp + a^{\alpha\beta} h_{,\alpha} \varphi_{,\beta}^\perp + b h \varphi^\perp) dA, \\ F(\varphi) &:= \int_{\Gamma} \langle \tilde{f}_3, \varphi \rangle_e dA + \int_{\Omega \setminus \Gamma} \langle \tilde{f}_1, \varphi \rangle_e dx \end{aligned}$$

with $\varphi^\perp := \varphi \cdot \nu$. Choosing $\varphi = u_2$ and making use of the coercivity of the bilinear form B , see Lemma 3.1 in [16], and the L^2 -theory of the Laplacian on Γ , it is not hard to see that we can estimate u_2 in $L_2(I, H_0^1(\Omega))$, v_2 in $L_2(I, H^1(\Gamma, T\Gamma))$, and h in $L_\infty(I, H^2(\Gamma)) \cap H^1(I, H^{1/2}(\Gamma))$ in terms of \tilde{f}_3 in $L_2(I, L_2(\Gamma))$, \tilde{f}_1 in $L_2(I, L_2(\Omega))$, and h_0 in $H^2(\Gamma)$. Thus, by Galerkin’s method, see [7], we can actually construct such a *weak solution* (u_2, h) . Next we reconstruct the pressure functions. Since, for fixed $t \in I$, the functional $\varphi \mapsto B(u_2, \varphi) + A(h, \varphi) - F(\varphi)$ annihilates the space

$$X := \left\{ u \in H_0^1(\Omega, \mathbb{R}^3) : \operatorname{div} u = 0 \text{ in } \Omega \setminus \Gamma, \operatorname{Div} u = 0 \text{ on } \Gamma, P_\Gamma u \in H^1(\Gamma; T\Gamma) \right\},$$

by Corollary 3.3 in [16] there exist functions $(\pi, q) \in L_2(I, Z)$ with

$$Z := \left\{ (f_2, f_4) \in L_2(\Omega) \times L_2(\Gamma) : (12), (13) \text{ with } f_5 = 0 \text{ hold} \right\}$$

such that

$$B(u_2, \varphi) + A(h, \varphi) - F(\varphi) = - \int_{\Omega \setminus \Gamma} \pi \operatorname{div} \varphi dx - \int_{\Gamma} q \operatorname{Div} \varphi dA$$

for all $\varphi \in H_0^1(\Omega)$ with $P_\Gamma[\varphi]_\Gamma \in H^1(\Gamma; T\Gamma)$ and almost all $t \in I$. As announced above, the full (weak) solution of the system is then given by (u, v, w, π, q) , where $u := u_0 + u_1 + u_2$, $v := v_0 + v_1 + v_2$, and $w := w_0 + w_1 + w_2$. Furthermore, we can estimate these functions in terms of the data analogously to the estimates (32) and (37) in [16]; this proves uniqueness in $\mathbb{E}_p(T)$ for $p \in [2, \infty)$ since then $\mathbb{E}_p(T)$ embeds into the above energy spaces. It remains to prove smoothness of (u_2, π, q) . To this end we take the k -th derivative of (15)₁ in time for arbitrary $k \in \mathbb{N}$ and choose $\varphi = \partial_t^{k-1} u$; of course, strictly speaking this must be done on the Galerkin level. The resulting energy estimates show that $h \in H^{k-1}(I, H^2(\Omega))$; since k is arbitrary, we

have $h \in C^\infty(\bar{I}, H^2(\Gamma))$. Now, from Theorem 3.7 in [16] (with $f_5 = \partial_t h$), we obtain $Ah \in C^\infty(\bar{I}, H^1(\Gamma))$. Thus, L_2 -theory for the biharmonic operator on Γ shows that $h \in C^\infty(\bar{I}, H^5(\Gamma))$; iterating this procedure we obtain that h is smooth in space and time. Using Theorem 3.7 in [16] once more, we see that the same is true for u_2, π , and q . □

The proof of existence in the case of non-smooth data in $\mathbb{F}_p(T)$, $p > 2$, is of course much more involved. The main step is carried out in Sect. 3.1 where a maximal regularity result is shown for the principal linearization of our system in the prototype geometry of a double half-space. In Sect. 3.2, this result is then transferred to a bounded domain using the basic procedure of localization and transformation once more.

3.1. Double half-space

In this subsection, we study the principal linearization of our system

$$\begin{aligned}
 \eta u - \mu_b \Delta u + \operatorname{grad} \pi &= f_1 && \text{in } \mathbb{R}^n \setminus \Sigma, \\
 \operatorname{div} u &= f_2 && \text{in } \mathbb{R}^n \setminus \Sigma, \\
 -\mu \Delta v + \operatorname{grad} q - 2\mu_b P_\Sigma \llbracket Du \rrbracket v &= f_3^\top && \text{on } \Sigma, \\
 -\llbracket \pi \rrbracket - \kappa \Delta^2 h &= f_3^\perp && \text{on } \Sigma, \\
 \operatorname{div} v &= f_4 && \text{on } \Sigma, \\
 u - v - w v &= f_5 && \text{on } \Sigma \\
 (\partial_t + \eta)h - w &= f_6 && \text{on } \Sigma
 \end{aligned} \tag{16}$$

with $h(0) = h_0$ posed in the unbounded time interval $\mathbb{R}_+ := (0, \infty)$ and in the prototype geometry $\mathbb{R}^n \setminus \Sigma$, where $n \geq 2$ and $\Sigma := \mathbb{R}^{n-1} \times \{0\}$. We employ the splitting $(x, y) \in \mathbb{R}^{n-1} \times \mathbb{R}$ for the spatial variables and $v := e_y$. For technical reasons related to the unbounded spatial and temporal domain of the system, we introduced an artificial shift $\eta > 0$. Note that due to $\llbracket u \rrbracket = 0$ we have $2\mu_b \llbracket Du \rrbracket v \cdot v = 2\mu_b \llbracket \partial_y u_n \rrbracket = 2\mu_b \llbracket f_2 \rrbracket$, which may be hidden in f_3^\perp . For $p \in (1, \infty)$ we define the space of data

$$\begin{aligned}
 \mathbb{F}_p^\Sigma &:= \{(f_1, \dots, f_6, h_0) \mid f_1 \in L_p(\mathbb{R}_+, L_p(\mathbb{R}^n \setminus \Sigma, \mathbb{R}^n)), f_2 \in L_p(\mathbb{R}_+, H_p^1(\mathbb{R}^n \setminus \Sigma)), \\
 & f_3^\top \in L_p(\mathbb{R}_+, L_p(\Sigma, T\Sigma)), f_3^\perp \in L_p(\mathbb{R}_+, \dot{W}_p^{1-1/p}(\Sigma)), f_4 \in L_p(\mathbb{R}_+, H_p^1(\Sigma)), \\
 & f_5 \in L_p(\mathbb{R}_+, W_p^{2-1/p}(\Sigma, \mathbb{R}^n)), f_6 \in L_p(\mathbb{R}_+, W_p^{2-1/p}(\Sigma)), h_0 \in W_p^{5-4/p}(\Sigma)\}
 \end{aligned}$$

and the space of solutions

$$\begin{aligned}
 \mathbb{E}_p^\Sigma &:= \{(u, v, w, \pi, q, h) \mid u \in L_p(\mathbb{R}_+, H_p^2(\mathbb{R}^n \setminus \Sigma, \mathbb{R}^n)) \cap H_p^1(\mathbb{R}^n, \mathbb{R}^n), \\
 & v \in L_p(\mathbb{R}_+, H_p^2(\Sigma, T\Sigma)), w \in L_p(\mathbb{R}_+, W_p^{2-1/p}(\Sigma)), \\
 & \pi \in L_p(\mathbb{R}_+, \dot{H}_p^1(\mathbb{R}^n \setminus \Sigma)/\mathbb{R}), q \in L_p(\mathbb{R}_+, \dot{H}_p^1(\Sigma)/\mathbb{R}), \\
 & h \in L_p(\mathbb{R}_+, W_p^{5-1/p}(\Sigma)) \cap H_p^1(\mathbb{R}_+, W_p^{2-1/p}(\Sigma))\};
 \end{aligned}$$

each space is endowed with the canonical norm.

THEOREM 3.2. *For $\eta > 0$, $p \in (1, \infty)$, and $(f_1, \dots, f_6, h_0) \in \mathbb{F}_p^\Sigma$ there exists a unique solution $(u, v, w, \pi, q, h) \in \mathbb{E}_p^\Sigma$ of (16).*

Proof. The proof will be carried out in two steps, where we split up the system into a stationary problem with inhomogeneous right-hand sides and an evolution equation with homogeneous right-hand sides.

Step 1

In this step, we will eliminate all data except for f_6 . To begin with, we eliminate h_0 by constructing an extension

$$\bar{h} \in H_p^1(\mathbb{R}_+, W_p^{2-1/p}(\Sigma)) \cap L_p(\mathbb{R}_+, W_p^{5-1/p}(\Sigma));$$

see the remark after Theorem 1.1. In order to deal with the remaining data, we study the stationary system

$$\begin{aligned} \eta u - \mu_b \Delta u + \text{grad } \pi &= f_1 && \text{in } \mathbb{R}^n \setminus \Sigma, \\ \text{div } u &= f_2 && \text{in } \mathbb{R}^n \setminus \Sigma, \\ -\mu \Delta v + \text{grad } q - 2\mu_b P_\Sigma \llbracket Du \rrbracket v &= f_3^\top && \text{on } \Sigma, \\ -\llbracket \pi \rrbracket &= f_3^\perp && \text{on } \Sigma, \\ \text{div } v &= f_4 && \text{on } \Sigma, \\ u - v - w v &= f_5 && \text{on } \Sigma. \end{aligned}$$

Concerning this system we show that there exists a unique solution

$$\begin{aligned} u &\in H_p^2(\mathbb{R}^n \setminus \Sigma, \mathbb{R}^n) \cap H_p^1(\mathbb{R}^n), \quad v \in H_p^2(\Sigma, T\Sigma), \quad w \in W_p^{2-1/p}(\Sigma), \\ \pi &\in \dot{H}_p^1(\mathbb{R}^n \setminus \Sigma)/\mathbb{R}, \quad q \in \dot{H}_p^1(\Sigma)/\mathbb{R}, \end{aligned}$$

provided that the data satisfy

$$\begin{aligned} f_1 &\in L_p(\mathbb{R}^n \setminus \Sigma, \mathbb{R}^n), \quad f_2 \in H_p^1(\mathbb{R}^n \setminus \Sigma), \quad f_3^\top \in L_p(\Sigma, T\Sigma), \\ f_3^\perp &\in \dot{W}_p^{1-1/p}(\Sigma), \quad f_4 \in H_p^1(\Sigma), \quad f_5 \in W_p^{2-1/p}(\Sigma, \mathbb{R}^n). \end{aligned}$$

To begin with, we eliminate f_5 by choosing a function $\bar{u} \in H_p^2(\mathbb{R}^n \setminus \Sigma, \mathbb{R}^n) \cap H_p^1(\mathbb{R}^n)$ such that $[\bar{u}]_\Sigma = f_5$; for the surjectivity of the trace operator see, for instance, [26]. With f_5 vanishing, we may employ the splitting $u = (v, w) \in \mathbb{R}^{n-1} \times \mathbb{R}$ in the whole space \mathbb{R}^n . Next, we eliminate f_1, f_2 , and f_4 by making use of the results of ‘‘Appendix B.’’ To this end, we first solve the whole space problem

$$\begin{aligned} \eta \bar{v} - \mu \Delta \bar{v} + \text{grad } \bar{q} &= 0 && \text{on } \Sigma, \\ \text{div } \bar{v} &= f_4 && \text{on } \Sigma \end{aligned}$$

to obtain $\bar{v} \in H_p^2(\Sigma, T\Sigma)$ and $\bar{q} \in \dot{H}_p^1(\Sigma)$, and then we solve the two decoupled half-space problems

$$\begin{aligned} \eta \bar{u} - \mu_b \Delta \bar{u} + \text{grad } \bar{\pi} &= f_1 \quad \text{in } \mathbb{R}_\pm^n, \\ \text{div } \bar{u} &= f_2 \quad \text{in } \mathbb{R}_\pm^n, \\ \bar{u} &= \bar{v} \quad \text{on } \Sigma \end{aligned}$$

to obtain $\bar{u} \in H_p^2(\mathbb{R}^n \setminus \Sigma, \mathbb{R}^n) \cap H_p^1(\mathbb{R}^n)$ and $\bar{\pi} \in \dot{H}_p^1(\mathbb{R}^n \setminus \Sigma)$. Finally, in order to solve the reduced problem, we employ a Fourier transformation in the tangential variables to obtain the system

$$\begin{aligned} \eta \hat{v} + \mu_b |\xi|^2 \hat{v} - \mu_b \partial_y^2 \hat{v} + i\xi \hat{\pi} &= 0, & \xi \in \mathbb{R}^n, y \neq 0, \\ \eta \hat{w} + \mu_b |\xi|^2 \hat{w} - \mu_b \partial_y^2 \hat{w} + \partial_y \hat{\pi} &= 0, & \xi \in \mathbb{R}^n, y \neq 0, \\ i\xi^\top \hat{v} + \partial_y \hat{w} &= 0, & \xi \in \mathbb{R}^n, y \neq 0, \\ \llbracket \hat{v} \rrbracket = 0, \quad \llbracket \hat{w} \rrbracket = 0 & & \xi \in \mathbb{R}^n, y = 0 \quad (17) \\ \mu |\xi|^2 \hat{v} + i\xi \hat{q} - \mu_b \llbracket \partial_y \hat{v} \rrbracket - \mu_b i\xi \llbracket \hat{w} \rrbracket &= \hat{g}_\tau, & \xi \in \mathbb{R}^n, y = 0 \\ \llbracket \hat{\pi} \rrbracket &= \hat{g}_\nu, & \xi \in \mathbb{R}^n, y = 0 \\ i\xi^\top \hat{v} &= 0, & \xi \in \mathbb{R}^n, y = 0 \end{aligned}$$

Here, we simplified the notation by setting $g = (g_\tau, g_\nu) := (f_3^\top, f_3^\perp)$. The generic solution of the ODE system is easily seen to be given as

$$\begin{bmatrix} \hat{v}^\pm(\xi, y) \\ \hat{w}^\pm(\xi, y) \\ \hat{\pi}^\pm(\xi, y) \end{bmatrix} = \begin{bmatrix} \varpi & -i\xi \\ \pm i\xi^\top & \pm |\zeta| \\ 0 & \eta\sqrt{\mu_b} \end{bmatrix} \begin{bmatrix} \hat{z}_v^\pm(\xi) e^{\mp \frac{\varpi}{\sqrt{\mu_b}} y} \\ \hat{z}_w^\pm(\xi) e^{\mp |\zeta| y} \end{bmatrix}, \quad \xi \in \mathbb{R}^{n-1}, y \gtrless 0 \quad (18)$$

with $\zeta := \sqrt{\mu_b} \xi$, $\varpi := \sqrt{\eta + |\zeta|^2}$, and four functions $\hat{z}_v^\pm : \mathbb{R}^{n-1} \rightarrow \mathbb{R}^{n-1}$, and $\hat{z}_w^\pm : \mathbb{R}^{n-1} \rightarrow \mathbb{R}$, which have to be determined together with $q : \mathbb{R}^{n-1} \rightarrow \mathbb{R}$ based on the *transmission conditions* (17)_{4,5,6} and the incompressibility constraint on the membrane. With this representation of the solution, the transmission conditions become

$$\begin{aligned} \varpi (\hat{z}_v^+ - \hat{z}_v^-) - i\xi (\hat{z}_w^+ - \hat{z}_w^-) &= 0, & i\xi^\top (\hat{z}_v^+ + \hat{z}_v^-) + |\zeta| (\hat{z}_w^+ + \hat{z}_w^-) &= 0 \\ \frac{\mu}{\mu_b} |\zeta|^2 (\varpi \hat{z}_v^+ - i\xi \hat{z}_w^+) + \frac{1}{\sqrt{\mu_b}} i\xi \hat{q} + \sqrt{\mu_b} \varpi^2 (\hat{z}_v^+ + \hat{z}_v^-) - \sqrt{\mu_b} i\xi |\zeta| (\hat{z}_w^+ + \hat{z}_w^-) &= \hat{g}_\tau \\ 2\sqrt{\mu_b} \varpi i\xi^\top (\hat{z}_v^+ - \hat{z}_v^-) + 2\sqrt{\mu_b} |\zeta|^2 (\hat{z}_w^+ - \hat{z}_w^-) + \eta\sqrt{\mu_b} (\hat{z}_w^+ - \hat{z}_w^-) &= \hat{g}_\nu, \end{aligned}$$

and the incompressibility constraint on the membrane reads

$$i\xi^\top (\varpi \hat{z}_v^+ - i\xi \hat{z}_w^+) = i\xi^\top (\varpi \hat{z}_v^- - i\xi \hat{z}_w^-) = 0.$$

Applying $i\xi^\top \cdot$ to the tangential transmission condition and using the continuity of w across the membrane and the incompressibility condition, we obtain

$$-\frac{1}{\sqrt{\mu_b}} |\zeta|^2 \hat{q} - \eta\sqrt{\mu_b} |\zeta| (\hat{z}_w^+ + \hat{z}_w^-) = i\xi^\top \hat{g}_\tau,$$

which leads to

$$\frac{1}{\sqrt{\mu_b}} i\zeta \hat{q} = -\eta\sqrt{\mu_b} \frac{i\zeta}{|\zeta|} (\hat{z}_w^+ + \hat{z}_w^-) - \frac{i\zeta \otimes i\zeta}{|\zeta|^2} \hat{g}_\tau. \tag{19}$$

For the tangential transmission condition, we then obtain

$$\frac{\mu}{\mu_b} |\zeta|^2 (\varpi \hat{z}_v^+ - i\zeta \hat{z}_w^+) + \sqrt{\mu_b} \varpi^2 (\hat{z}_v^+ + \hat{z}_v^-) - \eta\sqrt{\mu_b} \frac{i\zeta}{|\zeta|} (\hat{z}_w^+ + \hat{z}_w^-) = \left(1 + \frac{i\zeta \otimes i\zeta}{|\zeta|^2}\right) \hat{g}_\tau.$$

Since the continuity of v across the membrane implies

$$\frac{\mu}{\mu_b} |\zeta|^2 (\varpi \hat{z}_v^+ - i\zeta \hat{z}_w^+) = \frac{1}{2} \frac{\mu}{\mu_b} |\zeta|^2 (\varpi (\hat{z}_v^+ + \hat{z}_v^-) - i\zeta (\hat{z}_w^+ + \hat{z}_w^-)),$$

the tangential transmission condition may be rewritten as

$$\begin{aligned} & \left(\sqrt{\mu_b} \varpi + \frac{1}{2} \frac{\mu}{\mu_b} |\zeta|^2\right) \varpi (\hat{z}_v^+ + \hat{z}_v^-) - \left(\frac{\eta\sqrt{\mu_b}}{|\zeta|} - \frac{1}{2} \frac{\mu}{\mu_b} |\zeta|^2\right) i\zeta (\hat{z}_w^+ + \hat{z}_w^-) \\ &= \left(1 + \frac{i\zeta \otimes i\zeta}{|\zeta|^2}\right) \hat{g}_\tau. \end{aligned}$$

Furthermore, due to the continuity of v across the membrane, the normal transmission condition simplifies to

$$\eta\sqrt{\mu_b} (\hat{z}_w^+ - \hat{z}_w^-) = \hat{g}_v.$$

On the other hand, the continuity of w across the membrane together with the incompressibility constraint on the membrane, which may equivalently be written in the form

$$\varpi i\zeta^\top (\hat{z}_v^+ + \hat{z}_v^-) = -|\zeta|^2 (\hat{z}_w^+ + \hat{z}_w^-),$$

imply

$$\varpi |\zeta| (\hat{z}_w^+ + \hat{z}_w^-) = -\varpi i\zeta^\top (\hat{z}_v^+ + \hat{z}_v^-) = |\zeta|^2 (\hat{z}_w^+ + \hat{z}_w^-).$$

This yields $(\varpi - |\zeta|)|\zeta|(\hat{z}_w^+ + \hat{z}_w^-) = 0$, that is, $\hat{z}_w^+ + \hat{z}_w^- = 0$ and thus $i\zeta^\top (\hat{z}_v^+ + \hat{z}_v^-) = 0$. Hence, we obtain

$$\hat{z}_w^\pm = \pm \frac{1}{2} \frac{1}{\eta\sqrt{\mu_b}} \hat{g}_v. \tag{20}$$

Combining these identities with the tangential transmission condition and the continuity of v across the membrane, we infer

$$\begin{aligned} & \left(\sqrt{\mu_b} \varpi + \frac{1}{2} \frac{\mu}{\mu_b} |\zeta|^2\right) \varpi (\hat{z}_v^+ + \hat{z}_v^-) = \left(1 + \frac{i\zeta \otimes i\zeta}{|\zeta|^2}\right) \hat{g}_\tau, \\ & \varpi (\hat{z}_v^+ - \hat{z}_v^-) = \frac{i\zeta}{\eta\sqrt{\mu_b}} \hat{g}_v. \end{aligned}$$

Adding and subtracting these two equations yield

$$\varpi \hat{z}_v^\pm = \frac{1}{2} \left(\sqrt{\mu_b} \varpi + \frac{1}{2} \frac{\mu}{\mu_b} |\zeta|^2\right)^{-1} \left(1 + \frac{i\zeta \otimes i\zeta}{|\zeta|^2}\right) \hat{g}_\tau \pm \frac{1}{2} \frac{i\zeta}{\eta\sqrt{\mu_b}} \hat{g}_v. \tag{21}$$

Combining (20) and (21), we find

$$[\hat{v}]_\Sigma = \varpi \hat{z}_v^\pm - i\zeta \hat{z}_w^\pm = \frac{1}{2} \left(\sqrt{\mu_b} \varpi + \frac{1}{2} \frac{\mu}{\mu_b} |\zeta|^2 \right)^{-1} \left(1 + \frac{i\zeta \otimes i\zeta}{|\zeta|^2} \right) \hat{g}_\tau.$$

Now, the last symbol on the right-hand side belongs to the Helmholtz projection

$$\mathcal{H}_\Sigma : L_p(\Sigma, T\Sigma) \rightarrow L_{p,\sigma}(\Sigma, T\Sigma),$$

the projection associated to the direct topological decomposition

$$L_p(\Sigma, T\Sigma) = L_{p,\sigma}(\Sigma, T\Sigma) \oplus \nabla \dot{H}_p^1(\Sigma, T\Sigma),$$

where $L_{p,\sigma}(\Sigma, T\Sigma) \subset L_p(\Sigma, T\Sigma)$ denotes the subspace of solenoidal vector fields. Observe that \mathcal{H}_Σ is bounded as follows for instance from Mikhlin’s multiplier theorem. Based on this observation, we may write

$$(\mu_b + |\zeta|^2)[\hat{v}]_\Sigma = \frac{1}{2} \frac{\mu_b + |\zeta|^2}{\sqrt{\mu_b} \varpi + \frac{1}{2} \frac{\mu}{\mu_b} |\zeta|^2} \widehat{\mathcal{H}_\Sigma g_\tau}$$

and infer that $[v]_\Sigma \in H_p^2(\Sigma, T\Sigma)$ from $g_\tau \in L_p(\Sigma, T\Sigma)$, as follows again from Mikhlin’s multiplier theorem and the characterization of Sobolev spaces via Bessel potentials; see, for instance, the theorem of Section 2.5.6 in [26]. Now, (19) simplifies to

$$-\frac{1}{\sqrt{\mu_b}} i\zeta \hat{q} = \frac{i\zeta \otimes i\zeta}{|\zeta|^2} \hat{g}_\tau,$$

which yields $\text{grad } q \in L_p(\Sigma, T\Sigma)$. Finally, we have

$$[\hat{\pi}^\pm]_\Sigma = \eta \sqrt{\mu_b} \hat{z}_w^\pm = \pm \frac{1}{2} \hat{g}_v,$$

which yields $\bar{g}_v^\pm := [\pi^\pm]_\Sigma \in \dot{W}_p^{1-1/p}(\Sigma)$, and since the solution constructed above also satisfies the two decoupled Stokes systems

$$\begin{aligned} \eta u - \mu_b \Delta u + \text{grad } \pi &= 0 && \text{in } \mathbb{R}_\pm^n, \\ \text{div } u &= 0 && \text{in } \mathbb{R}_\pm^n, \\ v &= \bar{g}_\tau && \text{on } \Sigma, \\ \pi &= \bar{g}_v^\pm && \text{on } \Sigma, \end{aligned}$$

with $\bar{g}_\tau := [v]_\Sigma$, we obtain the desired regularity for u and π ; see “Appendix B.” Note that the computations above imply

$$[\hat{w}]_\Sigma = \frac{1}{2} \frac{|\zeta|}{\sqrt{\mu_b} \varpi (\varpi + |\zeta|)} \hat{g}_v; \tag{22}$$

in particular, the trace of the normal component of the velocity field depends only on the right-hand side of the normal transmission condition.

Step 2

Next, we employ a Laplace transform in the time variable and a Fourier transform in the tangential space variables in order to compute the boundary symbol of the reduced problem, which will then be used to derive the exact mapping properties of the solution operator $f \mapsto h$; here, we simplified the notation by setting $f := f_6$. Thus, we consider the transformed system

$$\begin{aligned}
 \eta \hat{v} + \mu_b |\xi|^2 \hat{v} - \mu_b \partial_y^2 \hat{v} + i \xi \hat{\pi} &= 0, & \lambda \in \Sigma_\theta, \xi \in \mathbb{R}^n, y \neq 0, \\
 \eta \hat{w} + \mu_b |\xi|^2 \hat{w} - \mu_b \partial_y^2 \hat{w} + \partial_y \hat{\pi} &= 0, & \lambda \in \Sigma_\theta, \xi \in \mathbb{R}^n, y \neq 0, \\
 i \xi^T \hat{v} + \partial_y \hat{w} &= 0, & \lambda \in \Sigma_\theta, \xi \in \mathbb{R}^n, y \neq 0, \\
 \llbracket \hat{v} \rrbracket = 0, \quad \llbracket \hat{w} \rrbracket = 0, & & \lambda \in \Sigma_\theta, \xi \in \mathbb{R}^n, y = 0, \\
 \mu |\xi|^2 \hat{v} + i \xi \hat{q} - \mu_b \llbracket \partial_y \hat{v} \rrbracket - \mu_b i \xi \llbracket \hat{w} \rrbracket &= 0, & \lambda \in \Sigma_\theta, \xi \in \mathbb{R}^n, y = 0, \\
 \kappa |\xi|^4 \hat{h} + \llbracket \hat{\pi} \rrbracket &= 0, & \lambda \in \Sigma_\theta, \xi \in \mathbb{R}^n, y = 0, \\
 i \xi^T [\hat{v}]_y &= 0, & \lambda \in \Sigma_\theta, \xi \in \mathbb{R}^n, y = 0, \\
 \lambda_\eta \hat{h} - [\hat{w}]_y &= \hat{f}, & \lambda \in \Sigma_\theta, \xi \in \mathbb{R}^n, y = 0,
 \end{aligned} \tag{23}$$

where we employ the abbreviation $\lambda_\eta := \lambda + \eta$ and denote by

$$\Sigma_\theta := \{ z \in \mathbb{C} : z \neq 0, |\arg z| < \theta \}$$

a sector in the complex plane with opening angle $\frac{\pi}{2} < \theta < \pi$. To solve the transformed system (23), we reuse the computations made in the first step and consider the first seven lines as an instance of problem (17) with right-hand sides $\hat{g}_\tau = 0$ and $\hat{g}_\nu = -\frac{\kappa}{\mu_b} |\zeta|^4 \hat{h}$. Then formula (22) yields

$$[\hat{w}]_\Sigma = \frac{1}{2} \frac{|\zeta|}{\sqrt{\mu_b \varpi} (\varpi + |\zeta|)} \hat{g}_\nu = -\alpha \frac{|\zeta|}{\varpi (\varpi + |\zeta|)} |\zeta|^4 \hat{h}$$

with $\alpha := \frac{1}{2} \kappa / \mu_b^{5/2} > 0$, and we obtain

$$s(\lambda, |\xi|) \hat{h} := \left(\lambda_\eta + \alpha \frac{|\zeta|}{\varpi (\varpi + |\zeta|)} |\zeta|^4 \right) \hat{h} = \hat{f}.$$

Obviously, the boundary symbol s has no zeros, if $\lambda \in \Sigma_\theta$ with $0 \leq \theta < \pi$. Thus, the equation $s \hat{h} = \hat{f}$ may be uniquely solved for \hat{h} and problem (16) admits a unique solution—at least in the sense of tempered distributions. To prove the regularity assertions on h , a more precise analysis of the boundary symbol s is necessary. To this end, we now consider the complex symbol

$$s(\lambda, z) = \lambda_\eta + m(z)n(z) \quad \text{with} \quad m(z) = \alpha \frac{\varpi(z)}{\varpi(z) + z}, \quad n(z) = \frac{z^5}{\varpi(z)^2},$$

where $\varpi(z) := \sqrt{\eta + z^2}$, $z \in \Sigma_\vartheta$ with $0 \leq \vartheta < \frac{\pi}{2}$, and $\lambda \in \Sigma_\theta$. Note that $|m(z)|$ is uniformly positive and bounded on $\bar{\Sigma}_\vartheta$; in particular, we have

$$|m(z)n(z)| \geq c(\vartheta) |n(z)|$$

for all $z \in \Sigma_\vartheta$ and some constant $c(\vartheta) > 0$. Moreover, note that $\lambda_\eta \in \Sigma_\theta$ for $\lambda \in \Sigma_\theta$ as well as $m(z) \in \Sigma_{2\vartheta}$, $n(z) \in \Sigma_{7\vartheta}$ for $z \in \Sigma_\vartheta$. Hence, we can easily prove by contradiction that, assuming $0 < 9\vartheta < \pi - \theta$, we have

$$|\lambda_\eta + m(z)n(z)| \geq c(\theta, \vartheta) (|\lambda_\eta| + |m(z)n(z)|)$$

for all $z \in \Sigma_\vartheta$, $\lambda \in \Sigma_\theta$, and some constant $c(\theta, \vartheta) > 0$. These estimates imply that for the functions

$$(\lambda, z) \mapsto \lambda_\eta/s(\lambda, z) =: \varphi(\lambda, z), \quad (\lambda, z) \mapsto n(z)/s(\lambda, z) =: \psi(\lambda, z)$$

we have

$$\varphi \in \mathcal{H}^\infty(\Sigma_\theta \times \Sigma_\vartheta) \quad \text{and} \quad \psi \in \mathcal{H}^\infty(\Sigma_\theta \times \Sigma_\vartheta), \tag{24}$$

provided that $\pi/2 < \theta < \pi$ and $0 < \vartheta < (\pi - \theta)/9$, where we denote by \mathcal{H}^∞ the spaces of bounded holomorphic functions. The desired regularity of h may now be obtained as follows: First observe that the operators

$$\begin{aligned} \partial_t : {}_0H_p^1(\mathbb{R}_+, W_p^{2-1/p}(\Sigma)) &\subseteq X \longrightarrow X, \\ (-\Delta)^{1/2} : L_p(\mathbb{R}_+, W_p^{3-1/p}(\Sigma)) &\subseteq X \longrightarrow X \end{aligned}$$

admit bounded \mathcal{H}^∞ -calculi in the space $X := L_p(\mathbb{R}_+, W_p^{2-1/p}(\Sigma))$ with angles $\alpha_{\partial_t}^\infty = \frac{\pi}{2}$ and $\alpha_{(-\Delta)^{1/2}}^\infty = 0$, that is, these operators admit functional calculi

$$\phi \mapsto \phi(\partial_t) : \mathcal{H}^\infty(\Sigma_\theta) \rightarrow \mathcal{B}(X), \quad \phi \mapsto \phi((-\Delta)^{1/2}) : \mathcal{H}^\infty(\Sigma_\vartheta) \rightarrow \mathcal{B}(X)$$

provided that $\alpha_{\partial_t}^\infty < \theta < \pi$ and $\alpha_{(-\Delta)^{1/2}}^\infty < \vartheta < \pi$; see, for instance, Corollary 2.10 in [5]. Moreover, the same corollary shows that we may employ Theorem 6.1 in [10] to obtain a joint \mathcal{H}^∞ -calculus for these operators, that is, a functional calculus

$$\phi \mapsto \phi(\partial_t, (-\Delta)^{1/2}) : \mathcal{H}^\infty(\Sigma_\theta \times \Sigma_\vartheta) \rightarrow \mathcal{B}(X)$$

provided that $\alpha_{\partial_t}^\infty < \theta < \pi$ and $\alpha_{(-\Delta)^{1/2}}^\infty < \vartheta < \pi$. It is shown, for instance, in [5] that the operators $\phi(\partial_t, (-\Delta)^{1/2})$ are Fourier–Laplace multipliers whose symbols are given by $\phi(\lambda, |\xi|)$. Therefore, due to (24) and this joint \mathcal{H}^∞ -calculus we infer that

$$\begin{aligned} (\eta + \partial_t)h &= \varphi(\partial_t, (-\Delta)^{1/2}) f \\ (-\mu_b \Delta)^{5/2} (\eta - \mu_b \Delta)^{-1} h &= \psi(\partial_t, (-\Delta)^{1/2}) f \end{aligned} \in L_p(\mathbb{R}_+, W_p^{2-1/p}(\Sigma)),$$

which implies that h belongs to the asserted regularity class. This completes the proof of Theorem 3.2. □

3.2. Bounded domain

Let us now finish the proof of Theorem 3.1. In view of the smoothness and uniqueness part of this theorem (which we already showed), by density it is sufficient to prove the estimate

$$\|(u, v, w, \pi, q, h)\|_{\mathbb{E}_p(T)} \leq c\|(f_1, \dots, f_6, h)\|_{\mathbb{F}_p(T)}$$

for smooth data. This estimate can be reduced to the assertion of Theorem 3.2 by the classical techniques of localization and transformation. We will only give a brief sketch of the procedure; see also the proof of Theorem 3.6 in [16]. To begin with, we note that in fact it is sufficient to prove the inequality

$$\begin{aligned} \|(u, v, w, \pi, q, h)\|_{\mathbb{E}_p(T)} \leq & c(\|(f_1, \dots, f_6, h)\|_{\mathbb{F}_p(T)} + \|\nabla u\|_{L_p(I \times \Omega)} \\ & + \|\pi\|_{L_p(I \times \Omega)} + \|q\|_{L_p(I \times \Gamma)} + \|h\|_{L_p(I \times \Gamma)}). \end{aligned} \tag{25}$$

Indeed, combining this estimate with the uniqueness of solutions in $\mathbb{E}_p(T)$, $p \geq 2$, a standard contradiction argument shows that

$$\|\nabla u\|_{L_p(I \times \Omega)} + \|\pi\|_{L_p(I \times \Omega)} + \|q\|_{L_p(I \times \Gamma)} + \|h\|_{L_p(I \times \Gamma)} \leq c\|(f_1, \dots, f_6, h)\|_{\mathbb{F}_p(T)}.$$

The next step is to see that we can assume without restriction the solution to be localized in space. Indeed, if this is not the case, we can multiply the solution by finitely many smooth cutoff functions; each of the products then solves the system (11) where the right-hand sides f_1, \dots, f_6 now contain additional expressions involving lower-order derivatives of the solution. Combining these finitely many estimates and using interpolation and absorption, we arrive at (25). Now, if the spatial support of our the solution is strictly contained in $\bar{\Omega} \setminus \Gamma$, we can use standard results from L_p -theory of the Stokes system (see for instance [8]) to prove (25). On the other hand, if the spatial support intersects Γ , we have to reduce the problem to Theorem 3.2. In this case let us assume that the solution is supported in an open cube Q_R of side length $R > 0$ which is centered at some point $x_0 \in \Gamma$. Rotating and translating the Cartesian coordinate system and choosing R smaller if necessary, we may assume that $x_0 = 0$ and that $\Gamma \cap Q_R$ is the graph of a smooth function $a : Q_R^2 := Q_R \cap \Sigma \rightarrow (-R/2, R/2)$ such that $a(0) = 0$ and $\nabla a(0) = 0$. Consider the smooth diffeomorphism

$$\Phi^{-1} : Q_R \rightarrow \tilde{Q}_R := \Phi^{-1}(Q_R), (x', x^3) \mapsto (x', x^3 - a(x')).$$

This diffeomorphism induces the metric $\tilde{e} := \Phi^*e$ on \tilde{Q}_R . We denote the restriction of \tilde{e} to Q_R^2 by \tilde{g} . Note that $\Phi : (\tilde{Q}_R, \tilde{e}) \rightarrow (Q_R, e)$ and $\Phi|_{Q_R^2} : (Q_R^2, \tilde{g}) \rightarrow (\Gamma \cap Q_R, g)$ are isometries. Let us denote the pullbacks of the involved fields by $\tilde{u} := \Phi^*u$, $\tilde{\pi} := \Phi^*\pi$, $\tilde{v} := \Phi^*v$, $\tilde{w} := \Phi^*w$, $\tilde{q} := \Phi^*q$, $\tilde{h} := \Phi^*h$, $\tilde{f}_3^\top := \Phi^*(P_\Gamma f_3)$, $\tilde{f}_3^\perp := \Phi^*(f_3 \cdot \nu)$, $\tilde{f}_i := \Phi^*f_i$ for $i = 1, 2, 4, 5, 6$, and $\tilde{h}_0 := \Phi^*h_0$. Now, proceeding as in Sect. 2, that is, exploiting naturality of covariant differentiation under isometries

and using the results from “Appendix A” we see that (11) can be written in the form

$$\begin{aligned}
 -\eta \tilde{u} + \mu_b \Delta \tilde{u} - \operatorname{grad} \tilde{\pi} &= \hat{f}_1 && \text{in } \mathbb{R}^3 \setminus \Sigma, \\
 \operatorname{div} \tilde{u} &= \hat{f}_2 && \text{in } \mathbb{R}^3 \setminus \Sigma, \\
 \mu \Delta \tilde{v} - \operatorname{grad} \tilde{q} + 2\mu_b \llbracket D\tilde{u} \rrbracket \nu &= \hat{f}_3^\top && \text{on } \Sigma, \\
 -\llbracket \tilde{\pi} \rrbracket - \kappa \Delta^2 \tilde{h} &= \hat{f}_3^\perp && \text{on } \Sigma, \\
 \operatorname{div} \tilde{v} &= \hat{f}_4 && \text{on } \Sigma, \\
 \tilde{u} - \tilde{v} - \tilde{w} \nu &= \hat{f}_5 && \text{on } \Sigma, \\
 (\partial_t + \eta) \tilde{h} - \tilde{w} &= \hat{f}_6 && \text{on } \Sigma
 \end{aligned}$$

with

$$\begin{aligned}
 \hat{f}_1 &= \tilde{f}_1 + (\tilde{e} - e) * r(\tilde{e}) * (\mu_b \nabla^2 \tilde{u}, \operatorname{grad} \tilde{\pi}) - \eta \tilde{u} \\
 &\quad + \mu_b r(\tilde{e}) * ((\nabla^2 \tilde{e}, (\nabla \tilde{e})^2) * \tilde{u} + \nabla \tilde{e} * \nabla \tilde{u}), \\
 \hat{f}_2 &= \tilde{f}_2 + r(\tilde{e}) * \nabla \tilde{e} * \tilde{u}, \\
 \hat{f}_3^\top &= \tilde{f}_3^\top + (\tilde{e} - e) * r(\tilde{e}) * (\mu (\nabla^s)^2 \tilde{v}, \operatorname{grad}_g \tilde{q}) + \mu_b r(\tilde{e}) * ([\nabla \tilde{u}] + \nabla \tilde{e} * [\tilde{u}]) \\
 &\quad + \mu r(\tilde{e}) * ((\nabla^2 \tilde{e}, (\nabla \tilde{e})^2) * [\tilde{u}] + \nabla \tilde{e} * [\nabla \tilde{u}]), \\
 \hat{f}_3^\perp &= \tilde{f}_3^\perp + \mu r(\tilde{e}) * (\nabla \tilde{e} * \nabla^s \tilde{v} + (\nabla \tilde{e})^2 * [\tilde{u}]) + r(\tilde{e}) * \nabla \tilde{e} \tilde{q} + (\tilde{e} - e) * r(\tilde{e}) * \nabla^4 h \\
 &\quad + \text{terms depending linearly on up to third-order derivatives of } h, \\
 \hat{f}_4 &= \tilde{f}_4 + r(\tilde{e}) * \nabla \tilde{e} * [\tilde{u}], \\
 \hat{f}_5 &= \tilde{f}_5 + (\tilde{e} - e) * r(\tilde{e}) \tilde{w}, \\
 \hat{f}_6 &= \tilde{f}_6 + (\tilde{e} - e) * r(\tilde{e}) \partial_t \tilde{h} + \eta h.
 \end{aligned}$$

Furthermore, it is not hard to see that

$$\tilde{e}(x', x^3) - e = r(\nabla a(x'))$$

with an analytic function r such that $r(0) = 0$. Theorem 3.2 and the open mapping theorem show that there exists a constant $c > 0$ such that

$$\llbracket (\tilde{u}, \tilde{v}, \tilde{w}, \tilde{\pi}, \tilde{q}, \tilde{h}) \rrbracket_{\mathbb{E}_p^\Sigma} \leq c \llbracket (\hat{f}_1, \dots, \hat{f}_6, h_0) \rrbracket_{\mathbb{F}_p^\Sigma},$$

where the data $\hat{f}_1, \dots, \hat{f}_6$ is extended to \mathbb{R}_+ by 0 and $(\tilde{u}, \tilde{v}, \tilde{w}, \tilde{\pi}, \tilde{q}, \tilde{h})$ denotes the unique continuation of our solution to \mathbb{R}_+ which exists according to Theorem 3.2. Making $\|\tilde{e} - e\|_{L_\infty(\tilde{Q}_R)}$ sufficiently small (by choosing R small) for the highest order terms in $\hat{f}_1, \dots, \hat{f}_6$ and using interpolation and Young’s inequality for the lower-order terms, by absorption we obtain

$$\begin{aligned}
 \llbracket (\tilde{u}, \tilde{v}, \tilde{w}, \tilde{\pi}, \tilde{q}, \tilde{h}) \rrbracket_{\mathbb{E}_p^\Sigma} &\leq c (\llbracket (\tilde{f}_1, \dots, \tilde{f}_6, \tilde{h}_0) \rrbracket_{\mathbb{F}_p(T)} + \|\nabla \tilde{u}\|_{L_p(I \times \mathbb{R}^3)} \\
 &\quad + \|\tilde{\pi}\|_{L_p(I \times \mathbb{R}^3)} + \|\tilde{q}\|_{L_p(I \times \Sigma)} + \|\tilde{h}\|_{L_p(I \times \Sigma)}).
 \end{aligned}$$

Transforming this estimate back to Ω and Γ and using once more interpolation and absorption to deal with the lower terms arising, we arrive at (25). We omit the details. This proves Theorem 3.1. \square

4. Contraction

In this section, we finish the proof of the main result. For $1 < p < \infty$ with $p \neq 4$, we define

$$\mathbb{E}_p^6(T) := L_p(I, W_p^{5-1/p}(\Gamma)) \cap H_p^1(I, W_p^{2-1/p}(\Gamma)).$$

Then the following embeddings are valid.

LEMMA 4.1. *For $1 < p < \infty$ with $p \neq 4$, we have*

- (i) $\mathbb{E}_p^6(T) \hookrightarrow C(\bar{I}, W_p^{5-4/p}(\Gamma)) \hookrightarrow C(\bar{I}, C^3(\Gamma)),$
- (ii) $\{h \in \mathbb{E}_p^6(T) \mid h(0) = 0\} \hookrightarrow C(\bar{I}, W_p^{5-4/p}(\Gamma)) \hookrightarrow C(\bar{I}, C^3(\Gamma)),$ where the embedding constants are independent of T .

Proof. The embedding (i) follows from Theorem 4.10.2 in Chapter III of [1] and the theorem in Section 7.4.4 of [27]; obviously, the embedding constant remains uniformly bounded as long as $T > 0$ is bounded from below. The second embedding is a consequence of Remark 2 in Section 2.7.1 of [26] and a localization procedure; cf. [16]. Now, (ii) follows from (i) by extending h to the negative half line by 0. \square

We denote by L the linear parabolic operator defined by the left-hand side of (9), and we consider $N := (N_1, \dots, N_6)$ as a nonlinear function of (u, v, w, π, q, h) . For $\delta > 0$ let

$$C_\delta(T) := \left\{ (u, v, w, \pi, q, h) \in \bar{B}_\delta(0) \subset \mathbb{E}_p(T) : \|h\|_{L_\infty((0,T) \times \Gamma)} \leq \gamma/2 \right\}.$$

Then, the function β in the construction of Φ_h , $h \in \cup_{\delta>0} C_\delta$, in the beginning of Section 2 can be chosen to be fixed, and, in particular, the generic analytic functions r in the nonlinearities do not depend on h . For $1 < p < \infty$ let

$${}_0\mathbb{E}_p(T) := \left\{ (u, v, w, \pi, q, h) \in \mathbb{E}_p(T) : h(0) = 0 \right\}.$$

Restricted to this space the Fréchet derivative of N allows to be estimated as follows.

LEMMA 4.2. *Let $\delta > 0$, let $1 < p < \infty$ with $p \neq 4$, and let $T > 0$. Then, $N \in C^\omega(C_\delta(T), \mathbb{G}_p(T))$, and for every fixed $z = (u, v, w, \pi, q, h) \in C_\delta(T)$, we have $DN(z) \in \mathcal{L}({}_0\mathbb{E}_p(T), \mathbb{G}_p(T))$ and*

$$\|DN(z)\|_{\mathcal{L}({}_0\mathbb{E}_p(T), \mathbb{G}_p(T))} \leq c(\|z\|_{\mathbb{E}_p(T)} + \|h\|_{C(\bar{I}, W_p^{5-4/p}(\Gamma))}), \tag{26}$$

where the constant $c > 0$ is independent of T , but may depend on some upper bound for δ .

Proof. From (10), we see that pointwise all components of N are analytic functions of (u, v, w, π, q, h) and its derivatives. For the analyticity, it thus suffices to prove that each term in $N : C_\delta(T) \rightarrow \mathbb{G}_p(T)$ is well defined. This, however, is a rather simple exercise using Lemma 4.1; cf. the proof of Proposition 6.2 in [21]. We present the idea by analyzing the most complicated nonlinearity N_3^\perp , leaving the other terms to the reader. Note that for dimensional reasons the terms in $Q(h)$ containing fourth-order derivatives of h must be of the form

$$r(h/\gamma, hk, \nabla h) * \nabla^4 h.$$

By Lemma 4.1(i), we have $\nabla h \in C(\bar{I}, C^2(\Gamma))$ which is, of course, an algebra with respect to pointwise multiplication. Since furthermore $(\nabla^s)^4 h \in L_p(I, W_p^{1-1/p}(\Gamma))$ and

$$C(\bar{I}, C^2(\Gamma)) \cdot L_p(I, W_p^{1-1/p}(\Gamma)) \hookrightarrow L_p(I, W_p^{1-1/p}(\Gamma)),$$

that is, pointwise multiplication is continuous in the indicated function spaces, the terms containing fourth-order derivatives of h are well defined. The terms involving $\nabla^s \tilde{v}$ contain up to second-order derivatives of h . Since $\nabla^2 h \in C(I, C^1(\Gamma))$ which is also an algebra, $\nabla^s v \in L_p(I, H_p^1(\Gamma))$, and

$$C(\bar{I}, C^1(\Gamma)) \cdot L_p(I, H_p^1(\Gamma)) \hookrightarrow L_p(I, W_p^{1-1/p}(\Gamma)),$$

these terms are well defined as well. The terms involving q and $[\tilde{u}]$ can be handled analogously. Concerning the remaining terms in $Q(h)$ which contain up to third-order derivatives of h , we simply note that, by Lemma 4.1(i), $\nabla^3 h \in C(\bar{I}, W_p^{1-1/p}(\Gamma))$ and $C(\bar{I}, W_p^{1-1/p}(\Gamma))$ is an algebra for $p > 3$; the latter fact follows from the theorem in Section 2.8.3 of [26] and a localization argument; cf. [16]. This completes the proof of analyticity for N_3^\perp . The other nonlinearities can be handled analogously.

The estimate (26) essentially follows from the fact that N vanishes with at least quadratic order in $z = 0$; recall, in particular, the definition of $Q(h)$. The proof is again a rather simple exercise using Lemma 4.1 (cf. the proof of Proposition 4.1 in [22]) and, again, we present the idea by analyzing DN_3^\perp , leaving the other terms to the reader. All estimates derived below will be uniform in T . For some fixed $z = (u, v, w, \pi, q, h) \in C_\delta(T)$ and $\bar{z} = (\bar{u}, \bar{v}, \bar{w}, \bar{\pi}, \bar{q}, \bar{h}) \in {}_0\mathbb{E}_p(T)$, we have

$$\begin{aligned} DN_3^\perp(z)(\bar{z}) &= \tilde{r}(h/\gamma, hk, \nabla h) * (\nabla^s)^4 \bar{h} + \tilde{r}(h/\gamma, hk, \nabla h) * (\bar{h}/\gamma, \bar{h}k, \bar{\nabla} \bar{h}) * (\nabla^s)^4 h \\ &\quad + \text{terms depending on up to third-order derivatives of } h \text{ and } \bar{h} \\ &\quad + D(r(\tilde{e}) * ((\tilde{e} - e) * k, \nabla \tilde{e}))(h)(\bar{h})q + r(\tilde{e}) * ((\tilde{e} - e) * k, \nabla \tilde{e}) \bar{q} \\ &\quad + D(\mu r(\tilde{e}) * ((\tilde{e} - e) * k, \nabla \tilde{e}))(h)(\bar{h}) * \nabla^s v \\ &\quad + \mu r(\tilde{e}) * ((\tilde{e} - e) * k, \nabla \tilde{e}) * \nabla^s \bar{v} \\ &\quad + D(\mu r(\tilde{e}) * ((\tilde{e} - e) * k^2, k * \nabla \tilde{e}, (\nabla \tilde{e})^2))(h)(\bar{h}) * [u] \\ &\quad + \mu r(\tilde{e}) * ((\tilde{e} - e) * k^2, k * \nabla \tilde{e}, (\nabla \tilde{e})^2) * [\bar{u}] \end{aligned}$$

with analytic functions \tilde{r} such that $\tilde{r}(0, 0, 0) = 0$. By the arguments used in the proof of analyticity, we have

$$\|r(h/\gamma, hk, \nabla h) * (\nabla^g)^4 \bar{h}\|_{L_p(I, W_p^{1-1/p}(\Gamma))} \leq c \|h\|_{C(\bar{I}, C^2(\Gamma))} \|\bar{z}\|_{\mathbb{E}_p(T)}.$$

Similarly, using Lemma 4.1(ii), we have

$$\begin{aligned} & \|r(h/\gamma, hk, \nabla h) * (\bar{h}/\gamma, \bar{h}k, \nabla \bar{h}) * (\nabla^g)^4 h\|_{L_p(I, W_p^{1-1/p}(\Gamma))} \\ & \leq c (\|z\|_{\mathbb{E}_p(T)} + \|h\|_{C(\bar{I}, C^2(\Gamma))}) \|\bar{h}\|_{C(\bar{I}, C^2(\Gamma))} \\ & \leq c (\|z\|_{\mathbb{E}_p(T)} + \|h\|_{C(\bar{I}, W_p^{5-4/p}(\Gamma))}) \|\bar{z}\|_{0\mathbb{E}_p(T)}. \end{aligned}$$

Again by the arguments used in the proof of analyticity, we have

$$\begin{aligned} & \|r(\tilde{e}) * ((\tilde{e} - e) * k, \nabla \tilde{e}) * \nabla^g \bar{v}\|_{L_p(I, W_p^{1-1/p}(\Gamma))} \\ & \leq c \|h\|_{C(J, C^3(\Gamma))} \|\bar{z}\|_{\mathbb{E}_p(T)} \\ & \leq c \|h\|_{C(J, W_p^{5-4/p}(\Gamma))} \|\bar{z}\|_{\mathbb{E}_p(T)} \end{aligned}$$

and, using Lemma 4.1(ii),

$$\begin{aligned} & \|D(\mu r(\tilde{e}) * ((\tilde{e} - e) * k, \nabla \tilde{e}))(h)(\bar{h}) * \nabla^g v\|_{L_p(I, W_p^{1-1/p}(\Gamma))} \\ & \leq c (\|z\|_{\mathbb{E}_p(T)} + \|h\|_{C(\bar{I}, C^3(\Gamma))}) \|\bar{h}\|_{C(\bar{I}, C^3(\Gamma))} \\ & \leq c (\|z\|_{\mathbb{E}_p(T)} + \|h\|_{C(\bar{I}, W_p^{5-4/p}(\Gamma))}) \|\bar{z}\|_{0\mathbb{E}_p(T)}. \end{aligned}$$

The terms involving q and $[u]$ can be handled analogously. Finally, using

$$C(\bar{I}, W_p^{1-1/p}(\Gamma)) \cdot L_p(I, W_p^{1-1/p}(\Gamma)) \hookrightarrow L_p(I, W_p^{1-1/p}(\Gamma)),$$

we can estimate the terms depending only on up to third-order derivatives of h and \bar{h} via

$$c \|h\|_{C(\bar{I}, W_p^{4-1/p}(\Gamma))} \|\bar{h}\|_{L_p(I, W_p^{4-1/p}(\Gamma))} \leq c \|h\|_{C(\bar{I}, W_p^{5-4/p}(\Gamma))} \|\bar{z}\|_{\mathbb{E}_p(T)}.$$

This concludes the estimate of $DN_3^1(z)$. The derivatives of the other nonlinearities can be handled analogously. □

Proof of Theorem 1.1. Following the remark after Definition 1.2, we can show that $\mathbb{G}_p(T) = \mathbb{F}_p(T) \oplus \mathbb{U}_p(T)$ with

$$\mathbb{U}_p(T) := \left\{ (0, f_2, 0, f_4, 0, 0, 0) \in \mathbb{G}_p(T) : (f_2, f_4) \in L_p(I, U_p(\Gamma)) \right\}.$$

Let $P : \mathbb{G}_p(T) \rightarrow \mathbb{F}_p(T)$ denote the bounded projection along $\mathbb{U}_p(T)$. Furthermore, we write $L^{-1} : \mathbb{F}_p(T) \rightarrow {}_0\mathbb{E}_p(T)$ for the linear solution operator with $h(0) = 0$ whose existence is guaranteed by Theorem 3.1. Since extension by 0 defines a continuous operator $\mathbb{F}_p(T) \rightarrow \mathbb{F}_p(1)$ for $T < 1$, we have a uniform bound

$$\|L^{-1}P\|_{\mathcal{L}(\mathbb{G}_p(T), {}_0\mathbb{E}_p(T))} \leq M$$

for all $0 < T \leq 1$ and some $M > 0$. From (26) and the inequality

$$\|h\|_{C(\bar{I}, W_p^{4-1/p}(\Gamma))} \leq c(\|z\|_{\mathbb{E}_p(T)} + \|h_0\|_{W_p^{5-4/p}(\Gamma)})$$

with a constant c independent of T , by choosing δ and ϵ sufficiently small, we obtain the estimate

$$\|DN(z)\|_{\mathcal{L}(\mathbb{E}_p(T), \mathbb{E}_p(T))} \leq \frac{1}{2M} \tag{27}$$

for all $z \in C_\delta(T)$. Let $z^* = (u^*, v^*, w^*, \pi^*, q^*, h^*) \in \mathbb{E}_p(T)$ be the solution of $Lz^* = 0, h^*(0) = h_0$ which exists according to Theorem 3.1; there exists a constant $c > 0$ depending only on an upper bound for T such that

$$\|z^*\|_{\mathbb{E}_p(T)} \leq c\|h_0\|_{W_p^{5-4/p}(\Gamma)}.$$

We choose ϵ so small that $z^* \in C_{\delta/2}(T)$. Hence, we can write the transformed problem (9) in the form

$$z = L^{-1}PN(z + z^*) =: K(z)$$

for some $z \in C'_{\delta/2}(T) := C_{\delta/2}(T) \cap {}_0\mathbb{E}_p(T)$. Note that $N(z^*)$ depends on $\text{grad}_{L_2} F_\Gamma$ and z^* . Thus, in order to have $K(0) \in C'_{\delta/4}(T)$, we choose both T and ϵ , and hence $z^* \in \mathbb{E}_p(T)$, sufficiently small; the former choice has the effect that $\text{grad}_{L_2} F_\Gamma$ is small in $L_p(I, W_p^{1-1/p}(\Gamma))$. By the contraction mapping principle, the operator K possesses a unique fixed point z_0 in $C'_{\delta/2}(T)$ if it maps this set contractively into itself. But this now follows from (27) since we can infer

$$\|DK(z)\|_{\mathcal{L}(\mathbb{E}_p(T))} \leq \frac{1}{2}$$

and

$$\|K(z)\|_{\mathbb{E}_p(T)} \leq \|K(0)\|_{\mathbb{E}_p(T)} + \frac{1}{2}\|z\|_{\mathbb{E}_p(T)} \leq \frac{\delta}{2}$$

for all $z \in C'_{\delta/2}(T)$. Thus, for $\tilde{z} = (\tilde{u}, \tilde{v}, \tilde{w}, \tilde{\pi}, \tilde{q}, h) = z_0 + z^*$ we have

$$L\tilde{z} = PN(\tilde{z}) = N(\tilde{z}) + (P - I)N(\tilde{z});$$

note that $(P - I)N(\tilde{z}) = (0, f_2, 0, f_4, 0, 0, 0)$ for piecewise constant functions f_2 and f_4 . Recalling the computations in Sect. 2, we see that the pushforward $u := (\Phi_t)_* \tilde{u}, \pi := (\Phi_t)_* \tilde{\pi}$, and $q := (\Phi_t)_* \tilde{q}$ solves the system

$$\begin{aligned} \operatorname{div} S &= 0 && \text{in } \Omega \setminus \Gamma_t, \\ \operatorname{div} u &= (\Phi_t)_* f_2 && \text{in } \Omega \setminus \Gamma_t, \\ \operatorname{Div}^f T + \llbracket S \rrbracket \nu_t &= -\operatorname{Div}^e T && \text{on } \Gamma_t, \\ \operatorname{Div} u &= (\Phi_t)_* f_4 && \text{on } \Gamma_t, \\ u &= 0 && \text{on } \partial\Omega \end{aligned} \tag{28}$$

for almost all $t \in I$, where the stress tensors are defined with respect to u , π , and q and $\Gamma_t := \Gamma_{h(t)}$. At this point, we need to assume that Γ contains no round spheres. Then, by definition of $U_p(\Gamma)$, we have $f_4 = 0$ and $f_2 = \text{const}$ in Ω . Now, (28)₂ shows that in fact $f_2 = 0$.

So far we proved that (3) has a local-in-time solution which is uniquely determined in the class of solutions whose transformation is of the form

$$\tilde{z} = (\tilde{u}, \tilde{v}, \tilde{w}, \tilde{\pi}, \tilde{q}, h) = z_0 + z^*$$

with $z_0 \in C'_{\delta/2}(T)$. Note that the pushforward and the pullback will in general not preserve the mean value condition (12) with $f_2 = \tilde{\pi}(t, \cdot)$ on the one hand and with $f_2 = \pi(t, \cdot)$ and Γ_t in place of Γ on the other hand; these conditions can be met, however, by adding suitable constants. Now, let us prove *unconditional uniqueness* by a standard bootstrap argument; cf. [25]. To this end, let us repeat the contraction argument with $\delta/2$ in place of δ (leading to possibly smaller ϵ and T). We infer that in fact $z_0 \in C'_{\delta/4}(T)$, but uniqueness still holds in $C'_{\delta/2}(T)$. Now, let $\tilde{z}' = (\tilde{u}', \tilde{v}', \tilde{w}', \tilde{\pi}', \tilde{q}', h') = z'_0 + z^*$ with $z'_0 \in {}_0\mathbb{E}_p(T)$ denote another solution of (9) with $\|h'\|_{L^\infty((0,T) \times \Gamma)} \leq \kappa/2$; without restriction we may assume that it is defined on the same time interval as \tilde{z} . Choosing T' sufficiently small we have $z'_0 \in C'_{\delta/2}(T')$. Repeating again the contraction mapping argument, this time with T' in place of T , we see that z'_0 coincides with z_0 on $(0, T')$; in particular, we have $z'_0 \in C'_{\delta/4}(T')$. But then we have $z'_0 \in C'_{\delta/2}(T'')$ for some T'' slightly larger than T' . Thus, the set of times T' with $z'_0 \in C'_{\delta/2}(T')$ is open. But obviously it is also closed and non-empty, so that $z'_0 \in C'_{\delta/2}(T)$ and $z'_0 = z_0$. This proves unconditional uniqueness of our solution.

Now, the proof of Lemma 4.2 shows that for fixed $T > 0$ and all $z \in C_\delta(T)$ the norm $\|DN(z)\|_{\mathcal{L}(\mathbb{E}_p(T), \mathbb{F}_p(T))}$ is uniformly bounded. Hence, N is Lipschitz continuous in $C_\delta(T)$, and thus for the operator $K = K_{h_0}$ we have

$$\|K_{h_0}(z) - K_{h'_0}(z)\|_{\mathbb{E}_p(T)} \leq L \|K_{h_0}(z) - K_{h'_0}(z)\|_{W_p^{5-4/p}(\Gamma)}$$

for all $h_0, h'_0 \in \bar{B}_\epsilon(0) \subset W_p^{5-4/p}(\Gamma)$, all $z \in C'_{\delta/2}(T)$, and some constant $L > 0$. Now, for such initial values h_0, h'_0 let $z_{h_0}, z_{h'_0} \in C'_{\delta/2}(T)$ denote the respective fixpoints of K_{h_0} and $K_{h'_0}$. Then, we have

$$\begin{aligned} \|z_{h_0} - z_{h'_0}\|_{\mathbb{E}_p(T)} &= \|K_{h_0}(z_{h_0}) - K_{h'_0}(z_{h'_0})\|_{\mathbb{E}_p(T)} \\ &\leq L \|h_0 - h'_0\|_{W_p^{5-4/p}(\Gamma)} + \frac{1}{2} \|z_{h_0} - z_{h'_0}\|_{\mathbb{E}_p(T)}. \end{aligned}$$

Absorbing the second term on the right-hand side, we obtain the Lipschitz continuity of the solution map.

Finally, let us consider the case of Γ being a collection of round spheres. Since the energy cannot decrease in this case, from (4), we can show that u must vanish everywhere, π and q are constant in each connected component of Ω and Γ , respectively, and

$$\kappa \frac{C_0}{R_i} \left(\frac{2}{R_i} - C_0 \right) + \llbracket \pi \rrbracket + q \frac{2}{R_i} = \text{grad}_{L_2} F + \llbracket \pi \rrbracket + q H = 0$$

on Γ^i , $i = 1, \dots, m$, where Γ^i is a round sphere of radius R_i ; for details see the discussion in the end of Section 2 of [16]. Combining these m conditions with the $m + 1$ conditions (12) and (13), we obtain a system of linear equations which can easily be uniquely solved for the $2m + 1$ unknowns q on Γ^i , π in Ω^i , and π in Ω^0 . \square

Suppose that each Γ^i , $i = 1, \dots, l$ and $l \geq 1$, is a round sphere while each Γ^i , $i = l + 1, \dots, m$ and $m \geq 2$, is a non-sphere and that $h_0 = 0$. Then, in general, the potential solution will not be constant in time and the round spheres might translate. In this case, however, showing that f_2 and f_4 in the above proof vanish is not completely obvious. If we know that at some fixed instant t in time each Γ_t^i , $i = 1, \dots, l$, is a round sphere, then by definition of $U_p(T)$ it is not hard to see that f_2 and f_4 must vanish at time t . Thus, by (28)_{2,4}, each Γ_t^i , $i = 1, \dots, l$, will remain a round sphere for the next instant in time (in linear approximation). This situation suggests to apply some kind of continuity or Gronwall-type argument; so far, however, we were not able to close the required estimates. On the other hand, it is questionable if this slight generalization of our theorem is worth the effort.

Appendix A. Covariant differentiation and curvature

Here, we recall some useful results from Appendix B in [16]. Let e_{ij} , \tilde{e}_{ij} be Riemannian metrics on a manifold M , and let e^{ij} , \tilde{e}^{ij} denote their matrix inverses. For scalar functions f , vector fields Y , and second-order tensor fields T , we have

$$\begin{aligned} (\text{grad}_{\tilde{e}} f)^i &= (\text{grad}_e f)^i + (\tilde{e}^{ij} - e^{ij}) \partial_j f, \\ \text{div}_{\tilde{e}} Y &= \text{div}_e Y + \tilde{e} * \nabla^e \tilde{e} * Y, \\ \Delta_{\tilde{e}} f &= \Delta_e f + (\tilde{e} - e) * r(\tilde{e}, e) * (\nabla^e)^2 f + r(\tilde{e}, e) * \nabla^e \tilde{e} * \nabla f, \\ D^{\tilde{e}} Y &= D^e Y + (\tilde{e} - e) * r(\tilde{e}, e) * \nabla^e Y + r(\tilde{e}, e) * \nabla^e \tilde{e} * Y, \\ \Delta_{\tilde{e}} Y &= \Delta_e Y + (\tilde{e} - e) * r(\tilde{e}, e) * (\nabla^e)^2 Y + r(\tilde{e}, e) * (\nabla^e)^2 \tilde{e} * Y \\ &\quad + r(\tilde{e}, e) * (\nabla^e \tilde{e})^2 * Y + r(\tilde{e}, e) * \nabla^e \tilde{e} * \nabla^e Y, \\ \text{div}_{\tilde{e}} T &= \text{div}_e T + (\tilde{e} - e) * r(\tilde{e}, e) * \nabla^e T + r(\tilde{e}, e) * \nabla^e \tilde{e} * T. \end{aligned}$$

where $D^e Y$ and $D^{\tilde{e}} Y$ denote the e -symmetric part of $\nabla^e Y$ and the \tilde{e} -symmetric part of $\nabla^{\tilde{e}} Y$, respectively. Furthermore, let Γ be an orientable submanifold of M of codimension 1, and let ν_e and $\nu_{\tilde{e}}$ be equally oriented unit normal fields on Γ with respect to e and \tilde{e} , respectively. Then, we have

$$\begin{aligned}
 v_{\tilde{e}} &= v_e + (\tilde{e} - e) * r(\tilde{e}, e), \\
 \nabla^e v_{\tilde{e}} &= \nabla^e v_e + r(\tilde{e}, e) * \nabla^e \tilde{e}, \\
 k_{\tilde{e}} &= k_e + (\tilde{e} - e) * k_e + r(\tilde{e}, e) * \nabla^e \tilde{e}, \\
 H_{\tilde{e}} &= H_e + (\tilde{e} - e) * r(\tilde{e}, e) * k_e + r(\tilde{e}, e) * \nabla^e \tilde{e}, \\
 K_{\tilde{g}} &= \det(\tilde{g}^{\alpha\delta}(k_{\tilde{e}})_{\delta\beta}) \\
 &= \det(g^{\alpha\delta}(k_e)_{\delta\beta} + (\tilde{e} - e) * r(\tilde{e}, e) * k_e + r(\tilde{e}, e) * \nabla^e \tilde{e}) \\
 &= K_g + r(\tilde{e}, e) * ((\tilde{e} - e) * k_e^2, k_e * \nabla \tilde{e}, (\nabla \tilde{e})^2).
 \end{aligned}$$

Appendix B. The Stokes system in \mathbb{R}^n and \mathbb{R}_+^n

Let $1 < p < \infty$. We consider the stationary Stokes system

$$\begin{aligned}
 \eta u - \mu \Delta u + \text{grad } \pi &= f \quad \text{in } \mathbb{R}^n, \\
 \text{div } u &= g \quad \text{in } \mathbb{R}^n
 \end{aligned}$$

for some shift $\eta > 0$ and some constant viscosity $\mu > 0$. There exists a unique solution

$$u \in H_p^2(\mathbb{R}^n, \mathbb{R}^n), \quad \pi \in \dot{H}_p^1(\mathbb{R}^n)/\mathbb{R},$$

provided that $f \in L_p(\mathbb{R}^n, \mathbb{R}^n)$, and $g \in H_p^1(\mathbb{R}^n)$. Indeed, we may first obtain the pressure as $\pi = (-\mu + \eta(-\Delta)^{-1})g - \text{div}(-\Delta)^{-1}f \in \dot{H}_p^1(\mathbb{R}^n)$ to be left with the equation

$$\eta u - \mu \Delta u = f - \text{grad } p \quad \text{in } \mathbb{R}^n,$$

which allows for a solution $u \in H_p^2(\mathbb{R}^n, \mathbb{R}^n)$, since this is an elliptic problem with right-hand side $f - \text{grad } \pi \in L_p(\mathbb{R}^n, \mathbb{R}^n)$. Finally, uniqueness of solutions is a direct consequence of the validity of the Helmholtz decomposition in $L_p(\mathbb{R}^n, \mathbb{R}^n)$.

As a direct consequence, we infer that the Stokes system

$$\begin{aligned}
 \eta u - \mu \Delta u + \text{grad } \pi &= f \quad \text{in } \mathbb{R}_+^n, \\
 \text{div } u &= g_p \quad \text{in } \mathbb{R}_+^n, \\
 [v]_\Sigma &= g_\tau \quad \text{on } \Sigma, \\
 [\pi]_\Sigma &= g_v \quad \text{on } \Sigma
 \end{aligned}$$

in the half-space $\mathbb{R}_+^n := \{(x, y) \in \mathbb{R}^{n-1} \times \mathbb{R} : y > 0\}$ also allows for a unique solution

$$u \in H_p^2(\mathbb{R}_+^n, \mathbb{R}^n), \quad \pi \in \dot{H}_p^1(\mathbb{R}_+^n)/\mathbb{R},$$

provided that $f \in L_p(\mathbb{R}_+^n, \mathbb{R}^n)$, $g_p \in H_p^1(\mathbb{R}_+^n)$, $g_\tau \in W_p^{2-1/p}(\Sigma, \mathbb{R}^{n-1})$, as well as $g_v \in \dot{W}_p^{1-1/p}(\Sigma)$. We employ the usual decomposition $u = (v, w) \in \mathbb{R}^{n-1} \times \mathbb{R}$ and note that the trace operator

$$[\cdot]_\Sigma : \dot{H}_p^1(\mathbb{R}_+^n) \longrightarrow \dot{W}_p^{1-1/p}(\Sigma)$$

admits a bounded linear right-inverse as follows from [12, 13, Theorems 2.4 and 2.7, Corollary 1]. Now, we may first eliminate g_τ and g_ν by constructing extensions $\tilde{v} \in H^2_p(\mathbb{R}^n_+, \mathbb{R}^{n-1})$ to g_τ and $\tilde{\pi} \in \dot{H}^1_p(\mathbb{R}^n_+)$ to g_ν and then solve the remaining problem by a reflection argument via a Stokes problem in \mathbb{R}^n ; more precisely, for $f = (f_1, \dots, f_n)$, we extend f_1, \dots, f_{n-1} and g_p by an odd reflection and f_n by an even reflection to \mathbb{R}^n .

As another consequence, we infer that the Stokes system

$$\begin{aligned} \eta u - \mu \Delta u + \text{grad } \pi &= f && \text{in } \mathbb{R}^n_+, \\ \text{div } u &= g_p && \text{in } \mathbb{R}^n_+, \\ [v]_\Sigma &= g_\tau && \text{on } \Sigma, \\ [w]_\Sigma &= g_\nu && \text{on } \Sigma \end{aligned}$$

allows for a unique solution

$$u \in H^2_p(\mathbb{R}^n_+, \mathbb{R}^n), \quad \pi \in \dot{H}^1_p(\mathbb{R}^n_+)/\mathbb{R},$$

too, provided that $f \in L_p(\mathbb{R}^n_+, \mathbb{R}^n)$, $g_p \in H^1_p(\mathbb{R}^n_+)$, $g_\tau \in W^{2-1/p}(\Sigma, \mathbb{R}^{n-1})$, and $g_\nu \in W^{2-1/p}(\Sigma)$. Indeed, we may in a first step eliminate f and g_p by extending these function to \mathbb{R}^n and solving the corresponding Stokes system in the whole space. The reduced problem may then be treated with the aid of a Fourier transform in the tangential variables $x \in \mathbb{R}^{n-1}$, that is, we consider the system

$$\begin{aligned} \eta \hat{v} + \mu |\xi|^2 \hat{v} - \mu \partial_y^2 \hat{v} + i \xi \hat{\pi} &= 0 && \xi \in \mathbb{R}^{n-1}, y > 0, \\ \eta \hat{w} + \mu |\xi|^2 \hat{w} - \mu \partial_y^2 \hat{w} + \partial_y \hat{\pi} &= 0 && \xi \in \mathbb{R}^{n-1}, y > 0, \\ i \xi^T \hat{v} + \partial_y \hat{w} &= 0 && \xi \in \mathbb{R}^{n-1}, y > 0, \\ [\hat{v}]_\Sigma &= \hat{g}_\tau && \xi \in \mathbb{R}^{n-1}, y = 0, \\ [\hat{w}]_\Sigma &= \hat{g}_\nu && \xi \in \mathbb{R}^{n-1}, y = 0, \end{aligned}$$

The solution again has the form (18), and a straight forward computation yields

$$\begin{bmatrix} \hat{z}_v(\xi) \\ \hat{z}_w(\xi) \end{bmatrix} = \frac{1}{\varpi} \left(\left(1 - \frac{|\zeta|}{\varpi} \right) \frac{|\zeta|}{\varpi} \right)^{-1} \begin{bmatrix} \left(1 - \frac{|\zeta|}{\varpi} \right) \frac{|\zeta|}{\varpi} - \frac{i \zeta \otimes i \zeta}{\varpi^2} & \frac{i \zeta}{\varpi} \\ -\frac{i \zeta^T}{\varpi} & 1 \end{bmatrix} \begin{bmatrix} \hat{g}_\tau(\xi) \\ \hat{g}_\nu(\xi) \end{bmatrix};$$

in particular, we have

$$\begin{aligned} \widehat{\text{grad}_x \pi}(\xi, y) &= \eta \sqrt{\mu b} i \xi \hat{z}_w(\xi) e^{-|\xi|y} \\ &= \eta \frac{i \zeta}{\varpi} \left(\left(1 - \frac{|\zeta|}{\varpi} \right) \frac{|\zeta|}{\varpi} \right)^{-1} \left(\hat{g}_\nu - \frac{i \zeta^T}{\varpi} \hat{g}_\tau \right) e^{-|\xi|y} \\ &= \sqrt{\mu} \frac{\varpi}{|\zeta|} \frac{i \zeta}{|\zeta|} (\varpi + |\zeta|) \left(\hat{g}_\nu - \frac{i \zeta^T}{\varpi} \hat{g}_\tau \right) |\xi| e^{-|\xi|y} \end{aligned}$$

and

$$\widehat{\partial_y \pi}(\xi, y) = -\eta \sqrt{\mu} |\xi| \widehat{z}_w(\xi) e^{-|\xi|y} = -\sqrt{\mu} \frac{\varpi}{|\zeta|} (\varpi + |\zeta|) \left(\widehat{g}_v - \frac{i\zeta^T}{\varpi} \widehat{g}_\tau \right) |\xi| e^{-|\xi|y}.$$

Here, the symbol $|\xi|e^{-|\xi|y}$ belongs to the operator $AT(y)$, where $A = (-\Delta)^{1/2}$ and $T(\cdot)$ denotes the corresponding semigroup. Since $-\Delta_x q = AT(\cdot)h$ for the unique solution $q \in \dot{H}_p^2(\mathbb{R}_+^n)$ of the elliptic boundary value problem

$$\begin{aligned} -\Delta q &= 0 \quad \text{in } \mathbb{R}_+^n, \\ \partial_\nu q &= h \quad \text{on } \Sigma \end{aligned}$$

with $h \in \dot{W}_p^{1-1/p}(\Sigma)$, we infer that

$$AT(\cdot) : \dot{W}_p^{1-1/p}(\Sigma) \rightarrow L_p(\mathbb{R}_+^n)$$

is bounded. Combining this observation with Mikhlin’s multiplier theorem we conclude that $\pi \in \dot{H}_p^1(\mathbb{R}_+^n)$. Then, the velocity field may be obtained as a solution of the elliptic boundary value problem

$$\begin{aligned} \eta u - \mu \Delta u &= f - \text{grad } \pi \quad \text{in } \mathbb{R}_+^n, \\ [v]_\Sigma &= g_\tau \quad \text{on } \Sigma, \\ [w]_\Sigma &= g_\nu \quad \text{on } \Sigma, \end{aligned}$$

which implies $u \in H_p^2(\mathbb{R}_+^n, \mathbb{R}^n)$.

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