



# Well-posedness of the Ostrovsky–Hunter Equation under the combined effects of dissipation and short-wave dispersion

GIUSEPPE MARIA COCLITE AND LORENZO DI RUVO

*Abstract.* The Ostrovsky–Hunter equation provides a model for small-amplitude long waves in a rotating fluid of finite depth. It is a nonlinear evolution equation. In this paper we study the well-posedness for the Cauchy problem associated with this equation in presence of some weak dissipation effects.

## 1. Introduction

Many physical problems (such as nonlinear shallow-water waves and wave motion in plasmas) are described by the following nonlinear evolution equation

$$\partial_t u + \partial_x f(u) - \alpha \partial_{xx}^2 u - \beta \partial_{xxx}^3 u = 0, \quad \alpha, \beta \in \mathbb{R}, \quad f(u) = \frac{u^2}{2}, \quad (1.1)$$

which was derived by Korteweg–deVries (see [12]). (1.1) is also known as the Korteweg–de Vries–Burgers equation (see [2, 9, 26]), where  $\alpha \partial_{xx}^2 u$  is a viscous dissipation term. If (1.1) describes the evolution of nonlinear shallow-water waves, then the function  $u(t, x)$  is the amplitude of an appropriate linear long wave mode, with linear long wave speed  $C_0$ . However, when the effects of background rotation through the Coriolis parameter  $\kappa$  need to be taken into account, an extra term is needed, and (1.1) is replaced by

$$\partial_x (\partial_t u + \partial_x f(u) - \alpha \partial_{xx}^2 u - \beta \partial_{xxx}^3 u) = \gamma u, \quad (1.2)$$

where  $\gamma = \frac{\kappa^2}{2C_0}$  (see [7, 11]). If  $\alpha = \beta = 0$ , then (1.2) reads

$$\partial_x (\partial_t u + \partial_x f(u)) = \gamma u. \quad (1.3)$$

(1.3) is known under different names such as the reduced Ostrovsky equation [6, 23, 25], the Ostrovsky–Hunter equation [1], the short-wave equation [10], and the

---

*Mathematics Subject Classification:* 35G25, 35K55

*Keywords:* Existence, Uniqueness, Stability, Ostrovsky–Hunter equation, Cauchy problem.

The authors are members of the Gruppo Nazionale per l’Analisi Matematica, la Probabilità e le loro Applicazioni (GNAMPA) of the Istituto Nazionale di Alta Matematica (INdAM).

Vakhnenko equation [20,24]. The well-posedness of (1.3) in class of discontinuous solutions has been proved in [3,4].

If  $\alpha = 0$ , (1.2) reads

$$\partial_x(\partial_t u + \partial_x f(u) - \beta \partial_{xx}^3 u) = \gamma u, \quad (1.4)$$

which is known as the Ostrovsky equation (see [22]). Mathematical properties of (1.4) were studied recently in many details, including the local and global well-posedness in energy space [8,15,18,28], stability of solitary waves [13,16,19], wave breaking [17], and convergence of solutions in the limit of the Korteweg–deVries equation [14,19].

Let us assume, in (1.2), that  $\alpha = 1$ ,  $\beta = 0$ . Therefore, we have

$$\partial_x(\partial_t u + \partial_x f(u) - \partial_{xx}^2 u) = \gamma u. \quad (1.5)$$

(1.5) describes the combined effects of dissipation and short-wave dispersion, and is analogous to the (1.1) for dissipative long waves. It can be deduced considering two asymptotic expansions of the shallow-water equations, first with respect to the rotation frequency and then with respect to the amplitude of the waves (see [7,11]).

We are interested in the initial value problem for (1.5), so we augment (1.5) with the initial condition

$$u(0, x) = u_0(x), \quad x \in \mathbb{R}. \quad (1.6)$$

Integrating (1.5) on  $(-\infty, x)$  we gain the integro-differential formulation of problem (1.5), and (1.6) (see [18])

$$\begin{cases} \partial_t u + \partial_x f(u) = \gamma \int_{-\infty}^x u(t, y) dy + \partial_{xx}^2 u, & t > 0, x \in \mathbb{R}, \\ u(0, x) = u_0(x), & x \in \mathbb{R}, \end{cases} \quad (1.7)$$

that is equivalent to

$$\begin{cases} \partial_t u + \partial_x f(u) = \gamma P + \partial_{xx}^2 u, & t > 0, x \in \mathbb{R}, \\ \partial_x P = u, & t > 0, x \in \mathbb{R}, \\ P(t, -\infty) = 0, & t > 0, \\ u(0, x) = u_0(x), & x \in \mathbb{R}. \end{cases} \quad (1.8)$$

On the initial datum we assume that

$$u_0 \in L^1(\mathbb{R}) \cap L^\infty(\mathbb{R}), \quad \int_{\mathbb{R}} u_0(x) dx = 0. \quad (1.9)$$

On the function

$$P_0(x) = \int_{-\infty}^x u_0(y) dy, \quad x \in \mathbb{R}, \quad (1.10)$$

we assume that

$$\begin{aligned}\|P_0\|_{L^2(\mathbb{R})}^2 &= \int_{\mathbb{R}} \left( \int_{-\infty}^x u_0(y) dy \right)^2 dx < \infty, \\ \int_{\mathbb{R}} P_0(x) dx &= \int_{\mathbb{R}} \left( \int_{-\infty}^x u_0(y) dy \right) dx = 0.\end{aligned}\tag{1.11}$$

The flux  $f$  is assumed to be smooth, genuinely nonlinear, and subquadratic, namely:

$$f \in C^2(\mathbb{R}), \quad |\{f'' = 0\}| = 0, \quad |f'(u)| \leq C_0|u|, \quad u \in \mathbb{R},\tag{1.12}$$

for some a positive constant  $C_0$ .

The main result of this paper is the following theorem.

**THEOREM 1.1.** *Let  $T > 0$ . Assume (1.9), (1.10), (1.11) and (1.12). Then there exists a unique classical solution for the Cauchy problem of (1.7), or (1.8),  $u$  such that*

$$\begin{aligned}u &\in L^\infty((0, T) \times \mathbb{R}) \cap C((0, T); H^\ell(\mathbb{R})), \quad \forall \ell \in \mathbb{N}, \\ P &\in L^\infty((0, T) \times \mathbb{R}) \cap L^2((0, T) \times \mathbb{R}), \\ \int_{\mathbb{R}} u(t, x) dx &= 0, \quad t \geq 0.\end{aligned}\tag{1.13}$$

Moreover, if  $u$  and  $v$  are two solutions of (1.7), or (1.8), the following inequality holds

$$\|u(t, \cdot) - v(t, \cdot)\|_{L^2(\mathbb{R})} \leq e^{C(T)t} \|u_0 - v_0\|_{L^2(\mathbb{R})},\tag{1.14}$$

for some suitable  $C(T) > 0$ , and every  $0 \leq t \leq T$ .

The existence argument is based on passing to limit using a compensated compactness argument [27] in the parabolic-elliptic approximation of (1.8):

$$\partial_t u_\delta + \partial_x f(u_\delta) = \gamma P_\delta + \partial_{xx}^2 u_\delta, \quad -\delta \partial_{xx}^2 P_\delta + \partial_x P_\delta = u_\delta.\tag{1.15}$$

In (1.8)  $P$  is not a real unknown of the problem, indeed we can rewrite (1.3) as the integro-differential problem (1.7). The same applies to (1.15). Indeed  $P_\delta$  has the integral form

$$P_\delta(t, x) = \frac{1}{2\sqrt{\delta}} \int_{\mathbb{R}} e^{-\frac{|x-y|}{2\sqrt{\delta}}} u_\delta(t, y) dy$$

and we can rewrite (1.15) in the integro-differential form

$$\partial_t u_\delta + \partial_x f(u_\delta) = \frac{\gamma}{2\sqrt{\delta}} \int_{\mathbb{R}} e^{-\frac{|x-y|}{2\sqrt{\delta}}} u_\delta(t, y) dy + \partial_{xx}^2 u_\delta.$$

The paper is organized as follows. In Sect. 2 we prove several a priori estimates on the parabolic-elliptic. Those play a key role in the proof of our main result, that is given in Sect. 3.

## 2. Parabolic-elliptic approximation

Our existence argument is based on passing to the limit in a parabolic-elliptic approximation. Fix  $0 < \delta < 1$ , and let  $u_\delta = u_\delta(t, x)$  be the unique classical solution of the following mixed problem [5]:

$$\begin{cases} \partial_t u_\delta + \partial_x f(u_\delta) = \gamma P_\delta + \partial_{xx}^2 u_\delta, & t > 0, x \in \mathbb{R}, \\ -\delta \partial_{xx}^2 P_\delta + \partial_x P_\delta = u_\delta, & t > 0, x \in \mathbb{R}, \\ u_\delta(0, x) = u_{\delta,0}(x), & x \in \mathbb{R}, \end{cases} \quad (2.1)$$

where  $u_{\delta,0}$  is a  $C^\infty$  approximation of  $u_0$  such that

$$\begin{aligned} \|u_{\delta,0}\|_{L^2(\mathbb{R})} &\leq \|u_0\|_{L^2(\mathbb{R})}, \quad \|u_{\delta,0}\|_{L^\infty(\mathbb{R})} \leq \|u_0\|_{L^\infty(\mathbb{R})}, \\ \|\partial_x u_{\delta,0}\|_{L^2(\mathbb{R})} &\leq C_0, \quad \|\partial_{xx}^2 u_{\delta,0}\|_{L^2(\mathbb{R})} \leq C_0 \\ \|P_\delta\|_{L^2(\mathbb{R})} &\leq \|P_0\|_{L^2(\mathbb{R})}, \quad \delta \|\partial_x P_\delta\|_{L^2(\mathbb{R})} \leq C_0, \end{aligned} \quad (2.2)$$

and  $C_0$  is a constant independent on  $\delta$ .

Let us prove some a priori estimates on  $u_\delta$  and  $P_\delta$ , denoting with  $C_0$  the constants which depend on the initial data, and  $C(T)$  the constants which depend also on  $T$ .

**LEMMA 2.1.** *For each  $t \in (0, \infty)$ ,*

$$P_\delta(t, \infty) = \partial_x P_\delta(t, -\infty) = \partial_x P_\delta(t, \infty) = 0. \quad (2.3)$$

Moreover,

$$\delta^2 \|\partial_{xx}^2 P_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2 + \|\partial_x P_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2 = \|u_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2. \quad (2.4)$$

*Proof.* We begin by proving that (2.3) holds.

Differentiating the first equation of (2.1) with respect to  $x$ , we have

$$\partial_x (\partial_t u_\delta + \partial_x f(u_\delta) - \partial_{xx}^2 u_\delta) = \gamma \partial_x P_\delta. \quad (2.5)$$

From the smoothness of  $u_\delta$ , it follows from (2.1) and (2.5) that

$$\begin{aligned} \lim_{x \rightarrow \infty} (\partial_t u_\delta + \partial_x f(u_\delta) - \partial_{xx}^2 u_\delta) &= \gamma P_\delta(t, \infty) = 0, \\ \lim_{x \rightarrow -\infty} \partial_x (\partial_t u_\delta + \partial_x f(u_\delta) - \partial_{xx}^2 u_\delta) &= \gamma \partial_x P_\delta(t, -\infty) = 0, \\ \lim_{x \rightarrow \infty} \partial_x (\partial_t u_\delta + \partial_x f(u_\delta) - \partial_{xx}^2 u_\delta) &= \gamma \partial_x P_\delta(t, \infty) = 0, \end{aligned}$$

which gives (2.3).

Let us show that (2.4) holds. Squaring the equation for  $P_\delta$  in (2.1), we get

$$\delta^2 (\partial_{xx}^2 P_\delta)^2 + (\partial_x P_\delta)^2 - \delta \partial_x ((\partial_x P_\delta)^2) = u_\delta^2.$$

Therefore, (2.4) follows from (2.3) and an integration on  $\mathbb{R}$ .  $\square$

LEMMA 2.2. *For each  $t \in (0, \infty)$ ,*

$$\sqrt{\delta} \|\partial_x P_\delta(t, \cdot)\|_{L^\infty(\mathbb{R})} \leq \|u_\delta(t, \cdot)\|_{L^2(\mathbb{R})}, \quad (2.6)$$

$$\int_{\mathbb{R}} u_\delta(t, x) P_\delta(t, x) dx \leq \|u_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2. \quad (2.7)$$

*Proof.* We begin by proving that (2.6) holds.

Observe that

$$0 \leq (-\delta \partial_{xx}^2 P_\delta + \partial_x P_\delta)^2 = \delta^2 (\partial_{xx}^2 P_\delta)^2 + (\partial_x P_\delta)^2 - \delta \partial_x ((\partial_x P_\delta)^2),$$

that is,

$$\delta \partial_x ((\partial_x P_\delta)^2) \leq \delta^2 (\partial_{xx}^2 P_\delta)^2 + (\partial_x P_\delta)^2. \quad (2.8)$$

Integrating (2.8) on  $(-\infty, x)$ , we have

$$\begin{aligned} \delta (\partial_x P_\delta)^2 &\leq \delta^2 \int_{-\infty}^x (\partial_{xx}^2 P_\delta)^2 dx + \int_{-\infty}^x (\partial_x P_\delta)^2 dx \\ &\leq \delta^2 \int_{\mathbb{R}} (\partial_{xx}^2 P_\delta)^2 dx + \int_{\mathbb{R}} (\partial_x P_\delta)^2 dx. \end{aligned} \quad (2.9)$$

It follows from (2.4) and (2.9) that

$$\delta (\partial_x P_\delta)^2 \leq \delta^2 \int_{\mathbb{R}} (\partial_{xx}^2 P_\delta)^2 dx + \int_{\mathbb{R}} (\partial_x P_\delta)^2 dx = \|u_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2.$$

Therefore,

$$\sqrt{\delta} |\partial_x P_\delta(t, x)| \leq \|u_\delta(t, \cdot)\|_{L^2(\mathbb{R})},$$

which gives (2.6).

Finally, we prove (2.7). Multiplying by  $P_\delta$  the equation for  $P_\delta$  in (2.1), we get

$$-\delta P_\delta \partial_{xx}^2 P_\delta + P_\delta \partial_x P_\delta = u_\delta P_\delta.$$

An integration on  $\mathbb{R}$  and (2.3) give

$$\begin{aligned} \int_{\mathbb{R}} u_\delta P_\delta dx &= \frac{1}{2} \int_{\mathbb{R}} \partial_x (P_\delta)^2 dx - \delta \int_{\mathbb{R}} P_\delta \partial_{xx}^2 P_\delta dx \\ &= -\delta \int_{\mathbb{R}} P_\delta \partial_{xx}^2 P_\delta dx = \delta \int_{\mathbb{R}} (\partial_x P_\delta)^2 dx, \end{aligned}$$

that is

$$\int_{\mathbb{R}} u_\delta P_\delta dx = \delta \int_{\mathbb{R}} (\partial_x P_\delta)^2 dx.$$

Since  $0 < \delta < 1$ , from (2.4), we have (2.7).  $\square$

LEMMA 2.3. *For each  $t \in (0, \infty)$ , the following inequality holds*

$$\|u_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2 + 2e^{2\gamma t} \int_0^t e^{-2\gamma s} \|\partial_x u_\delta(s, \cdot)\|_{L^2(\mathbb{R})}^2 ds \leq e^{2\gamma t} \|u_0\|_{L^2(\mathbb{R})}^2. \quad (2.10)$$

In particular, we have

$$\|\partial_x P_\delta(t, \cdot)\|_{L^2(\mathbb{R})}, \delta \left\| \partial_{xx}^2 P_\delta(t, \cdot) \right\|_{L^2(\mathbb{R})}, \sqrt{\delta} \|\partial_x P_\delta(t, \cdot)\|_{L^\infty(\mathbb{R})} \leq e^{\gamma t} \|u_0\|_{L^2(\mathbb{R})}. \quad (2.11)$$

*Proof.* Due to (2.1) and (2.7),

$$\begin{aligned} \frac{d}{dt} \int_{\mathbb{R}} u_\delta^2 dx &= 2 \int_{\mathbb{R}} u_\delta \partial_t u_\delta dx \\ &= 2 \int_{\mathbb{R}} u_\delta \partial_{xx}^2 u_\delta dx - 2 \int_{\mathbb{R}} u_\delta f'(u_\delta) \partial_x u_\delta dx + 2\gamma \int_{\mathbb{R}} u_\delta P_\delta dx \\ &\leq -2 \int_{\mathbb{R}} (\partial_x u_\delta)^2 dx + 2\gamma \|u_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2. \end{aligned}$$

The Gronwall Lemma and (2.2) give (2.10).

Finally, (2.11) follows from (2.4), (2.6) and (2.10).  $\square$

LEMMA 2.4. *For each  $t \geq 0$ , we have that*

$$\int_0^{-\infty} P_\delta(t, x) dx = a_\delta(t), \quad (2.12)$$

$$\int_0^\infty P_\delta(t, x) dx = a_\delta(t), \quad (2.13)$$

where

$$a_\delta(t) = \frac{\delta}{\gamma} \partial_{tx}^2 P_\delta(t, 0) - \frac{1}{\gamma} \partial_t P_\delta(t, 0) + \frac{1}{\gamma} f(0) - \frac{1}{\gamma} f(u_\delta(t, 0)) + \frac{1}{\gamma} \partial_x u_\delta(t, 0). \quad (2.14)$$

In particular,

$$\int_{\mathbb{R}} P_\delta(t, x) dx = 0, \quad t \geq 0. \quad (2.15)$$

*Proof.* We begin by observing that, integrating the second equation of (2.1) on  $(0, x)$ , we have that

$$\int_0^x u_\delta(t, y) dy = P_\delta(t, x) - P_\delta(t, 0) - \delta \partial_x P_\delta(t, x) + \delta \partial_x P_\delta(t, 0). \quad (2.16)$$

It follows from (2.3) that

$$\lim_{x \rightarrow -\infty} \int_0^x u_\delta(t, y) dy = \int_0^{-\infty} u_\delta(t, x) dx = \delta \partial_x P_\delta(t, 0) - P_\delta(t, 0). \quad (2.17)$$

Differentiating (2.17) with respect to  $t$ , we get

$$\frac{d}{dt} \int_0^{-\infty} u_\delta(t, x) dx = \int_0^{-\infty} \partial_t u_\delta(t, x) dx = \delta \partial_{tx}^2 P_\delta(t, 0) - \partial_t P_\delta(t, 0). \quad (2.18)$$

Integrating the first equation of (2.1) on  $(0, x)$ , we obtain that

$$\begin{aligned} & \int_0^x \partial_t u_\delta(t, y) dy + f(u_\delta(t, x)) - f(u_\delta(t, 0)) \\ & - \partial_x u_\delta(t, x) + \partial_x u_\delta(t, 0) = \gamma \int_0^x P_\delta(t, y) dy. \end{aligned} \quad (2.19)$$

Being  $u_\delta$  a smooth solution of (2.1), we get

$$\lim_{x \rightarrow -\infty} (f(u_\delta(t, x)) - \partial_x u_\delta(t, x)) = f(0). \quad (2.20)$$

Sending  $x \rightarrow -\infty$  in (2.19), from (2.18) and (2.20), we have

$$\begin{aligned} \gamma \int_0^{-\infty} P_\delta(t, x) dx &= \delta \partial_{tx}^2 P_\delta(t, 0) - \partial_t P_\delta(t, 0) \\ &+ f(0) - f(u_\delta(t, 0)) + \partial_x u_\delta(t, 0), \end{aligned}$$

which gives (2.12).

Let us show that (2.13) holds. We begin by observing that, for (2.3) and (2.16),

$$\int_0^\infty u_\delta(t, x) dx = \delta \partial_x P_\delta(t, 0) - P_\delta(t, 0).$$

Therefore,

$$\lim_{x \rightarrow \infty} \int_0^x \partial_t u_\delta(t, y) dy = \int_0^\infty \partial_t u_\delta(t, x) dx = \delta \partial_{tx}^2 P_\delta(t, 0) - \partial_t P_\delta(t, 0). \quad (2.21)$$

Again by the regularity of  $u_\delta$ ,

$$\lim_{x \rightarrow \infty} (f(u_\delta(t, x)) - \partial_x u_\delta(t, x)) = f(0). \quad (2.22)$$

It follows from (2.19), (2.21) and (2.22) that

$$\begin{aligned} \gamma \int_0^\infty P_\delta(t, x) dx &= \delta \partial_{tx}^2 P_\delta(t, 0) - \partial_t P_\delta(t, 0) \\ &+ f(0) - f(u_\delta(t, 0)) + \partial_x u_\delta(t, 0), \end{aligned}$$

which gives (2.13).

Finally, we prove (2.15). It follows from (2.12) that

$$\int_{-\infty}^0 P_\delta(t, x) dx = -a_\delta(t).$$

Therefore, for (2.13),

$$\int_{-\infty}^0 P_\delta(t, x) dx + \int_0^\infty P_\delta(t, x) dx = \int_{\mathbb{R}} P_\delta(t, x) dx = -a_\delta(t) + a_\delta(t) = 0,$$

that is (2.15).  $\square$

Lemma 2.4 says that  $P_\delta(t, x)$  is integrable at  $\pm\infty$ . Therefore, for each  $t \geq 0$ , we can consider the following function

$$F_\delta(t, x) = \int_{-\infty}^x P_\delta(t, y) dy. \quad (2.23)$$

LEMMA 2.5. *Let  $T > 0$ . There exists  $C(T) > 0$ , independent on  $\delta$ , such that*

$$\|P_\delta\|_{L^\infty(I_{T,1})} \leq C(T), \quad (2.24)$$

$$\|P_\delta(t, \cdot)\|_{L^2(\mathbb{R})} \leq C(T), \quad (2.25)$$

$$\delta \|\partial_x P_\delta(t, \cdot)\|_{L^2(\mathbb{R})} \leq C(T), \quad (2.26)$$

where

$$I_{T,1} = (0, T) \times \mathbb{R}. \quad (2.27)$$

In particular, we have

$$\delta \left| \int_0^t \int_{\mathbb{R}} P_\delta \partial_{tx}^2 P_\delta ds dx \right| \leq C(T), \quad 0 < t < T. \quad (2.28)$$

*Proof.* Integrating the second equation of (2.1) on  $(-\infty, x)$ , for (2.3), we have that

$$\int_{-\infty}^x u_\delta(t, y) dy = P_\delta(t, x) - \delta \partial_x P_\delta(t, x). \quad (2.29)$$

Differentiating (2.29) with respect to  $t$ , we get

$$\frac{d}{dt} \int_{-\infty}^x u_\delta(t, y) dy = \int_{-\infty}^x \partial_t u_\delta(t, y) dy = \partial_t P_\delta(t, x) - \delta \partial_{tx}^2 P_\delta(t, x). \quad (2.30)$$

It follows from an integration of the first equation of (2.1) on  $(-\infty, x)$  and (2.23) that

$$\int_{-\infty}^x \partial_t u_\delta(t, y) dy + f(u_\delta(t, x)) - \partial_x u_\delta(t, x) = \gamma F_\delta(t, x). \quad (2.31)$$

Due to (2.30) and (2.31), we have

$$\partial_t P_\delta(t, x) - \delta \partial_{tx}^2 P_\delta(t, x) = \gamma F_\delta(t, x) - f(u_\delta(t, x)) + \partial_x u_\delta(t, x). \quad (2.32)$$

Multiplying (2.32) by  $P_\delta - \delta \partial_x P_\delta$ , we have

$$\begin{aligned} (\partial_t P_\delta - \delta \partial_{tx}^2 P_\delta)(P_\delta - \delta \partial_x P_\delta) &= \gamma F_\delta(P_\delta - \delta \partial_x P_\delta) \\ &\quad - f(u_\delta)(P_\delta - \delta \partial_x P_\delta) \\ &\quad + \partial_x u_\delta(P_\delta - \delta \partial_x P_\delta). \end{aligned} \quad (2.33)$$

Integrating (2.33) on  $(0, x)$ , we have

$$\int_0^x \partial_t P_\delta P_\delta dy - \delta \int_0^x \partial_t P_\delta \partial_x P_\delta dy$$

$$\begin{aligned}
& -\delta \int_0^x P_\delta \partial_{tx}^2 P_\delta dy + \delta^2 \int_0^x \partial_{tx}^2 P_\delta \partial_x P_\delta dy \\
& = \gamma \int_0^x F_\delta P_\delta dy - \gamma \delta \int_0^x F_\delta \partial_x P_\delta dy \\
& \quad - \int_0^x f(u_\delta) P_\delta dy + \delta \int_0^x f(u_\delta) \partial_x P_\delta dy \\
& \quad + \int_0^x \partial_x u_\delta P_\delta dy - \delta \int_0^x \partial_x u_\delta \partial_x P_\delta dy. \tag{2.34}
\end{aligned}$$

We observe that

$$-\delta \int_0^x \partial_x P_\delta \partial_t P_\delta dy = -\delta P_\delta \partial_t P_\delta + \delta P_\delta(t, 0) \partial_t P_\delta(t, 0) + \delta \int_0^x P_\delta \partial_{tx}^2 P_\delta dy. \tag{2.35}$$

Therefore, (2.34) and (2.35) give

$$\begin{aligned}
& \int_0^x \partial_t P_\delta P_\delta dy + \delta^2 \int_0^x \partial_{tx}^2 P_\delta \partial_x P_\delta dy \\
& = \delta P_\delta \partial_t P_\delta - \delta P_\delta(t, 0) \partial_t P_\delta(t, 0) + \gamma \int_0^x F_\delta P_\delta dy \\
& \quad - \gamma \delta \int_0^x F_\delta \partial_x P_\delta dy - \int_0^x f(u_\delta) P_\delta dy + \delta \int_0^x f(u_\delta) \partial_x P_\delta dy \\
& \quad + \int_0^x \partial_x u_\delta P_\delta dy - \delta \int_0^x \partial_x u_\delta \partial_x P_\delta dy. \tag{2.36}
\end{aligned}$$

Sending  $x \rightarrow -\infty$ , for (2.3), we get

$$\begin{aligned}
& \int_0^{-\infty} \partial_t P_\delta P_\delta dy + \delta^2 \int_0^{-\infty} \partial_{tx}^2 P_\delta \partial_x P_\delta dy \\
& = -\delta P_\delta(t, 0) \partial_t P_\delta(t, 0) + \gamma \int_0^{-\infty} F_\delta P_\delta dy \\
& \quad - \gamma \delta \int_0^{-\infty} F_\delta \partial_x P_\delta dy - \int_0^{-\infty} f(u_\delta) P_\delta dy \\
& \quad + \delta \int_0^{-\infty} f(u_\delta) \partial_x P_\delta dy + \int_0^{-\infty} \partial_x u_\delta P_\delta dy \\
& \quad - \delta \int_0^{-\infty} \partial_x u_\delta \partial_x P_\delta dy, \tag{2.37}
\end{aligned}$$

while sending  $x \rightarrow \infty$ ,

$$\begin{aligned}
& \int_0^\infty \partial_t P_\delta P_\delta dy + \delta^2 \int_0^\infty \partial_{tx}^2 P_\delta \partial_x P_\delta dy \\
& = -\delta P_\delta(t, 0) \partial_t P_\delta(t, 0) + \gamma \int_0^\infty F_\delta P_\delta dy - \gamma \delta \int_0^\infty F_\delta \partial_x P_\delta dy
\end{aligned}$$

$$\begin{aligned}
& - \int_0^\infty f(u_\delta) P_\delta dy + \delta \int_0^\infty f(u_\delta) \partial_x P_\delta dy \\
& + \int_0^\infty \partial_x u_\delta P_\delta dy - \delta \int_0^\infty \partial_x u_\delta \partial_x P_\delta dy.
\end{aligned} \tag{2.38}$$

Since

$$\begin{aligned}
\int_{\mathbb{R}} P_\delta \partial_t P_\delta dx &= \frac{1}{2} \frac{d}{dt} \int_{\mathbb{R}} P_\delta^2 dx, \\
\delta^2 \int_{\mathbb{R}} \partial_{tx}^2 P_\delta \partial_x P_\delta dx &= \frac{\delta^2}{2} \frac{d}{dt} \int_{\mathbb{R}} (\partial_x P_\delta)^2 dx,
\end{aligned}$$

it follows from (2.37) and (2.38) that

$$\begin{aligned}
& \frac{1}{2} \frac{d}{dt} \int_{\mathbb{R}} P_\delta^2 dx + \frac{\delta^2}{2} \frac{d}{dt} \int_{\mathbb{R}} (\partial_x P_\delta)^2 dx \\
& = \gamma \int_{\mathbb{R}} F_\delta P_\delta dx - \gamma \delta \int_{\mathbb{R}} F_\delta \partial_x P_\delta dx \\
& \quad - \int_{\mathbb{R}} f(u_\delta) P_\delta dx + \delta \int_{\mathbb{R}} f(u_\delta) \partial_x P_\delta dx \\
& \quad + \int_{\mathbb{R}} \partial_x u_\delta P_\delta dx - \delta \int_{\mathbb{R}} \partial_x u_\delta \partial_x P_\delta dx.
\end{aligned} \tag{2.39}$$

Due to (2.15) and (2.23),

$$\begin{aligned}
2\gamma \int_{\mathbb{R}} F_\delta P_\delta dx &= 2\gamma \int_{\mathbb{R}} F_\delta \partial_x F_\delta dx = \gamma (F_\delta(t, \infty))^2 \\
&= \gamma \left( \int_{\mathbb{R}} P_\delta(t, x) dx \right)^2 = 0.
\end{aligned} \tag{2.40}$$

(2.39) and (2.40) give

$$\begin{aligned}
& \frac{d}{dt} \left( \int_{\mathbb{R}} P_\delta^2 dx + \delta^2 \int_{\mathbb{R}} (\partial_x P_\delta)^2 dx \right) \\
& = -2\gamma \delta \int_{\mathbb{R}} F_\delta \partial_x P_\delta dx - 2 \int_{\mathbb{R}} f(u_\delta) P_\delta dx \\
& \quad + 2\delta \int_{\mathbb{R}} f(u_\delta) \partial_x P_\delta dx + 2 \int_{\mathbb{R}} \partial_x u_\delta P_\delta dx \\
& \quad - 2\delta \int_{\mathbb{R}} \partial_x u_\delta \partial_x P_\delta dx.
\end{aligned} \tag{2.41}$$

Thanks to (2.3), (2.15) and (2.23),

$$-2\delta \gamma \int_{\mathbb{R}} \partial_x P_\delta F_\delta dx = 2\delta \gamma \int_{\mathbb{R}} P_\delta \partial_x F_\delta dx = 2\delta \gamma \int_{\mathbb{R}} P_\delta^2 dx \leq 2\gamma \int_{\mathbb{R}} P_\delta^2 dx, \tag{2.42}$$

while for (2.3),

$$2 \int_{\mathbb{R}} \partial_x u_\delta P_\delta dx = -2 \int_{\mathbb{R}} u_\delta \partial_x P_\delta dx. \tag{2.43}$$

Hence, from (1.12), (2.42) and (2.43), we get

$$\begin{aligned}
& \frac{d}{dt} \left( \int_{\mathbb{R}} P_{\delta}^2 dx + \delta^2 \int_{\mathbb{R}} (\partial_x P_{\delta})^2 dx \right) \\
& \leq 2\gamma \int_{\mathbb{R}} P_{\delta}^2 dx - 2 \int_{\mathbb{R}} f(u_{\delta}) P_{\delta} dx + 2\delta \int_{\mathbb{R}} f(u_{\delta}) \partial_x P_{\delta} dx \\
& \quad - 2 \int_{\mathbb{R}} u_{\delta} \partial_x P_{\delta} dx - 2\delta \int_{\mathbb{R}} \partial_x u_{\delta} \partial_x P_{\delta} dx \\
& \leq 2\gamma \int_{\mathbb{R}} P_{\delta}^2 dx + 2 \left| \int_{\mathbb{R}} f(u_{\delta}) P_{\delta} dx \right| + 2\delta \left| \int_{\mathbb{R}} f(u_{\delta}) \partial_x P_{\delta} dx \right| \\
& \quad + 2 \left| \int_{\mathbb{R}} u_{\delta} \partial_x P_{\delta} dx \right| + 2\delta \left| \int_{\mathbb{R}} \partial_x u_{\delta} \partial_x P_{\delta} dx \right| \\
& \leq 2\gamma \int_{\mathbb{R}} P_{\delta}^2 dx + 2 \int_{\mathbb{R}} |f(u_{\delta})| |P_{\delta}| dx + 2\delta \int_{\mathbb{R}} |f(u_{\delta})| |\partial_x P_{\delta}| dx \\
& \quad + 2 \int_{\mathbb{R}} |u_{\delta}| |\partial_x P_{\delta}| dx + 2\delta \int_{\mathbb{R}} |\partial_x u_{\delta}| |\partial_x P_{\delta}| dx \\
& \leq 2\gamma \int_{\mathbb{R}} P_{\delta}^2 dx + 2C_0 \int_{\mathbb{R}} |P_{\delta}| u_{\delta}^2 dx + 2C_0 \delta \int_{\mathbb{R}} |\partial_x P_{\delta}| u_{\delta}^2 dx \\
& \quad + 2 \int_{\mathbb{R}} |u_{\delta}| |\partial_x P_{\delta}| dx + 2\delta \int_{\mathbb{R}} |\partial_x u_{\delta}| |\partial_x P_{\delta}| dx.
\end{aligned}$$

From the Young inequality,

$$\begin{aligned}
2 \int_{\mathbb{R}} |\partial_x P_{\delta}| |u_{\delta}| & \leq \|\partial_x P_{\delta}(t, \cdot)\|_{L^2(\mathbb{R})}^2 + \|u_{\delta}(t, \cdot)\|_{L^2(\mathbb{R})}^2, \\
2\delta \int_{\mathbb{R}} |\partial_x u_{\delta}| |\partial_x P_{\delta}| dx & = \int_{\mathbb{R}} \left| \frac{\partial_x u_{\delta}}{\sqrt{\gamma}} \right| |2\sqrt{\gamma}\delta \partial_x P_{\delta}| dx \\
& \leq \frac{1}{2\gamma} \|\partial_x u_{\delta}(t, \cdot)\|_{L^2(\mathbb{R})}^2 + 2\delta^2 \gamma \|\partial_x P_{\delta}(t, \cdot)\|_{L^2(\mathbb{R})}^2.
\end{aligned}$$

Thus,

$$\begin{aligned}
\frac{d}{dt} G(t) - 2\gamma G(t) & \leq \|u_{\delta}(t, \cdot)\|_{L^2(\mathbb{R})}^2 + 2C_0 \int_{\mathbb{R}} |P_{\delta}| u_{\delta}^2 dx \\
& \quad + 2C_0 \delta \int_{\mathbb{R}} |\partial_x P_{\delta}| u_{\delta}^2 dx + \|\partial_x P_{\delta}(t, \cdot)\|_{L^2(\mathbb{R})}^2 \\
& \quad + \frac{1}{2\gamma} \|\partial_x u_{\delta}(t, \cdot)\|_{L^2(\mathbb{R})}^2,
\end{aligned} \tag{2.44}$$

where

$$G(t) = \|P_{\delta}(t, \cdot)\|_{L^2(\mathbb{R})}^2 + \delta^2 \|\partial_x P_{\delta}(t, \cdot)\|_{L^2(\mathbb{R})}^2. \tag{2.45}$$

We observe that, from (2.10),

$$2C_0 \int_{\mathbb{R}} |P_{\delta}| u_{\delta}^2 dx \leq C_0 e^{2\gamma t} \|P_{\delta}\|_{L^\infty(I_{T,1})}, \tag{2.46}$$

where  $I_{T,1}$  is defined in (2.27). Since  $0 < \delta < 1$ , it follows from (2.10) and (2.11) that

$$\begin{aligned} 2C_0\delta \int_{\mathbb{R}} |\partial_x P_\delta| u_\delta^2 dx &\leq 2C_0\delta \|\partial_x P_\delta(t, \cdot)\|_{L^\infty(\mathbb{R})} \|u_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2 \\ &\leq 2\sqrt{\delta} C_0 e^{3\gamma t} \leq C_0 e^{3\gamma t}. \end{aligned} \quad (2.47)$$

Again by (2.11), we have that

$$\|\partial_x P_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2 \leq C_0 e^{2\gamma t}. \quad (2.48)$$

Therefore, (2.10), (2.47) and (2.48) give

$$\frac{d}{dt} G(t) - 2\gamma G(t) \leq C_0 \left( \|P_\delta\|_{L^\infty(I_{T,1})} + 1 \right) e^{2\gamma t} + C_0 e^{3\gamma t} + \frac{1}{2\gamma} \|\partial_x u_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2.$$

The Gronwall Lemma, (2.2), (2.10) and (2.45) give

$$\begin{aligned} &\|P_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2 + \delta^2 \|\partial_x P_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2 \\ &\leq \|P_0\|_{L^2(0,\infty)}^2 e^{2\gamma t} + \left( \|P_\delta\|_{L^\infty(I_{T,1})} + 1 \right) t e^{2\gamma t} + C_0 t e^{3\gamma t} \\ &\quad + \frac{e^{2\gamma t}}{2\gamma} \int_0^t e^{-2\gamma s} \|\partial_x u_\delta(s, \cdot)\|_{L^2(\mathbb{R})}^2 ds \\ &\leq \|P_0\|_{L^2(0,\infty)}^2 e^{2\gamma t} + \left( \|P_\delta\|_{L^\infty(I_{T,1})} + 1 \right) t e^{2\gamma t} + C_0 t e^{3\gamma t} + C_0 e^{2\gamma t}. \end{aligned}$$

Hence,

$$\|P_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2 + \delta^2 \|\partial_x P_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2 \leq C(T) \left( \|P_\delta\|_{L^\infty(I_{T,1})} + 1 \right). \quad (2.49)$$

Due to (2.11), (2.49) and the Hölder inequality,

$$\begin{aligned} P_\delta^2(t, x) &\leq 2 \int_{\mathbb{R}} |P_\delta| |\partial_x P_\delta| dx \leq 2 \|P_\delta(t, \cdot)\|_{L^2(\mathbb{R})} \|\partial_x P_\delta(t, \cdot)\|_{L^2(\mathbb{R})} \\ &\leq 2\sqrt{C(T) \left( \|P_\delta\|_{L^\infty(I_{T,1})} + 1 \right)} \sqrt{C_0} e^{\gamma t} \leq C(T) \left( \|P_\delta\|_{L^\infty(I_{T,1})} + 1 \right). \end{aligned}$$

Therefore,

$$\|P_\delta\|_{L^\infty(I_{T,1})}^2 - C(T) \|P_\delta\|_{L^\infty(I_{T,1})} - C(T) \leq 0,$$

which gives (2.24). (2.25) and (2.26) follow from (2.24) and (2.49).

Let us show that (2.28) holds. Multiplying (2.32) by  $P_\delta$ , an integration on  $\mathbb{R}$  and (2.40) give

$$\begin{aligned} 2\delta \int_{\mathbb{R}} \partial_{tx}^2 P_\delta P_\delta dx &= \frac{d}{dt} \|P_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2 - 2\gamma \int_{\mathbb{R}} F_\delta P_\delta dx \\ &\quad + 2 \int_{\mathbb{R}} f(u_\delta) P_\delta dx - 2 \int_{\mathbb{R}} \partial_x u_\delta P_\delta dx \\ &= \frac{d}{dt} \|P_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2 + 2 \int_{\mathbb{R}} f(u_\delta) P_\delta dx - 2 \int_{\mathbb{R}} \partial_x u_\delta P_\delta dx. \end{aligned}$$

An integration on  $(0, t)$  gives

$$\begin{aligned} 2\delta \int_0^t \int_{\mathbb{R}} \partial_{tx}^2 P_\delta P_\delta dx ds &= \|P_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2 - \|P_{\varepsilon, \delta, 0}\|_{L^2(\mathbb{R})}^2 \\ &\quad + 2 \int_0^t \int_{\mathbb{R}} f(u_\delta) P_\delta dx ds - 2 \int_0^t \int_{\mathbb{R}} \partial_x u_\delta P_\delta dx ds. \end{aligned}$$

It follows from (1.12), (2.10), (2.24) and (2.25) that

$$\begin{aligned} 2\delta \left| \int_0^t \int_{\mathbb{R}} \partial_{tx}^2 P_\delta P_\delta ds dx \right| &\leq \|P_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2 + \|P_{\varepsilon, \delta, 0}\|_{L^2(\mathbb{R})}^2 \\ &\quad + 2 \int_0^t \int_{\mathbb{R}} |f(u_\delta)| |P_\delta| ds dx \\ &\quad + 2 \int_0^t \int_{\mathbb{R}} |\partial_x u_\delta| |P_\delta| ds dx \\ &\leq \|P_{\delta, 0}\|_{L^2(\mathbb{R})}^2 + 2C(T) \int_0^t \int_{\mathbb{R}} u_\delta^2 ds dx \\ &\quad + 2 \int_0^t \int_{\mathbb{R}} |\partial_x u_\delta| |P_\delta| ds dx + C(T) \\ &\leq \|P_{\delta, 0}\|_{L^2(\mathbb{R})}^2 + C(T) \\ &\quad + 2 \int_0^t \int_{\mathbb{R}} |\partial_x u_\delta| |P_\delta| ds dx. \end{aligned}$$

Observe that, thanks to (2.10),

$$\begin{aligned} &\int_0^t \|\partial_x u_\delta(s, \cdot)\|_{L^2(\mathbb{R})}^2 ds \\ &\leq e^{2\gamma t} \int_0^t e^{-2\gamma s} \|\partial_x u_\delta(s, \cdot)\|_{L^2(\mathbb{R})}^2 ds \leq C(T). \end{aligned} \tag{2.50}$$

Due to the Young inequality,

$$\begin{aligned} &2 \int_{\mathbb{R}} |\partial_x u_\delta| |P_\delta| ds dx \\ &\leq \|P_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2 + \|\partial_x u_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2 \\ &\leq C(T) + \|\partial_x u_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2. \end{aligned} \tag{2.51}$$

Then, from (2.50) and (2.51), we have that

$$\begin{aligned} &2 \int_0^t \int_{\mathbb{R}} |P_\delta| |\partial_x u_\delta| ds dx \\ &\leq \int_0^t \|P_\delta(s, \cdot)\|_{L^2(\mathbb{R})}^2 ds + \int_0^t \|\partial_x u_\delta(s, \cdot)\|_{L^2(\mathbb{R})}^2 ds \leq C(T). \end{aligned}$$

Therefore,

$$2\delta \left| \int_0^t \int_{\mathbb{R}} P_\delta \partial_{tx}^2 P_\delta ds dx \right| \leq \|P_{\varepsilon, 0}\|_{L^2(\mathbb{R})}^2 + C(T),$$

which gives (2.28).  $\square$

LEMMA 2.6. *Let  $T > 0$ . Then,*

$$\|u_\delta\|_{L^\infty(I_{T,1})} \leq \|u_0\|_{L^\infty(\mathbb{R})} + C(T), \quad (2.52)$$

where  $I_{T,1}$  is defined in (2.27).

*Proof.* Due to (2.1) and (2.24),

$$\partial_t u_\delta + \partial_x f(u_\delta) - \partial_{xx}^2 u_\delta \leq \gamma C(T).$$

Since the map

$$\mathcal{F}(t) := \|u_0\|_{L^\infty(\mathbb{R})} + \gamma C(T)t,$$

solves the equation

$$\frac{d\mathcal{F}}{dt} = \gamma C(T)$$

and

$$\max\{u_\delta(0, x), 0\} \leq \mathcal{F}(t), \quad (t, x) \in I_{T,1},$$

the comparison principle for parabolic equations implies that

$$u_\delta(t, x) \leq \mathcal{F}(t), \quad (t, x) \in I_{T,1}.$$

In a similar way we can prove that

$$u_\delta(t, x) \geq -\mathcal{F}(t), \quad (t, x) \in I_{T,1}.$$

Therefore,

$$|u_\delta(t, x)| \leq \|u_0\|_{L^\infty(\mathbb{R})} + \gamma C(T)t \leq \|u_0\|_{L^\infty(\mathbb{R})} + C(T),$$

which gives (2.52).  $\square$

LEMMA 2.7. *Let  $T > 0$  and  $0 < \delta < 1$ . We have that*

$$\|\partial_x u_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2 + \int_0^t \left\| \partial_{xx}^2 u_\delta(s, \cdot) \right\|_{L^2(\mathbb{R})}^2 ds \leq C(T). \quad (2.53)$$

*Proof.* Let  $0 < t < T$ . Multiplying (2.1) by  $-\partial_{xx}^2 u_\delta$ , we have

$$\begin{aligned} & -\partial_{xx}^2 u_\delta \partial_t u_\delta + (\partial_{xx}^2 u_\delta)^2 \\ &= -\gamma P_\delta \partial_{xx}^2 u_\delta - f'(u_\delta) \partial_x u_\delta \partial_{xx}^2 u_\delta. \end{aligned} \quad (2.54)$$

Since

$$-\int_{\mathbb{R}} \partial_{xx}^2 u_\delta \partial_t u_\delta dx = \frac{d}{dt} \left( \frac{1}{2} \int_{\mathbb{R}} (\partial_x u_\delta)^2 dx \right),$$

integrating (2.54) on  $\mathbb{R}$ , we get

$$\frac{d}{dt} \left( \int_{\mathbb{R}} (\partial_x u_\delta)^2 dx \right) + 2 \int_{\mathbb{R}} (\partial_{xx}^2 u_\delta)^2 dx$$

$$= -2\gamma \int_{\mathbb{R}} P_\delta \partial_{xx}^2 u_\delta dx \\ - 2 \int_{\mathbb{R}} f'(u_\delta) \partial_x u_\delta \partial_{xx}^2 u_\delta dx.$$

Due to (2.10), (2.25), (2.52) and the Young inequality,

$$\begin{aligned} & -2\gamma \int_{\mathbb{R}} P_\delta \partial_{xx}^2 u_\delta dx \\ & \leq 2\gamma \left| \int_{\mathbb{R}} P_\delta \partial_{xx}^2 u_\delta dx \right| \\ & \leq 2 \int_{\mathbb{R}} \left| \sqrt{2}\gamma P_\delta \right| \left| \frac{\partial_{xx}^2 u_\delta}{\sqrt{2}} \right| dx \\ & \leq 2\gamma^2 \|P_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2 + \frac{1}{2} \left\| \partial_{xx}^2 u_\delta(t, \cdot) \right\|_{L^2(\mathbb{R})}^2 \\ & \leq C(T) + \frac{1}{2} \left\| \partial_{xx}^2 u_\delta(t, \cdot) \right\|_{L^2(\mathbb{R})}^2, \\ & - 2 \int_{\mathbb{R}} f'(u_\delta) \partial_x u_\delta \partial_{xx}^2 u_\delta dx \\ & \leq 2 \left| \int_{\mathbb{R}} f'(u_\delta) \partial_x u_\delta \partial_{xx}^2 u_\delta dx \right| \\ & \leq 2 \int_{\mathbb{R}} \left| \sqrt{2} f'(u_\delta) \partial_x u_\delta \right| \left| \frac{\partial_{xx}^2 u_\delta}{\sqrt{2}} \right| dx \\ & \leq 2 \int_{\mathbb{R}} (f'(u_\delta))^2 (\partial_x u_\delta^2) + \frac{1}{2} \int_{\mathbb{R}} (\partial_{xx}^2 u_\delta)^2 dx \\ & \leq 2 \|f'\|_{L^\infty(I_{T,2})}^2 \|\partial_x u_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2 + \frac{1}{2} \left\| \partial_{xx}^2 u_\delta(t, \cdot) \right\|_{L^2(\mathbb{R})}^2, \end{aligned}$$

where

$$I_{T,2} = (-\|u_0\|_{L^\infty(\mathbb{R})} - C(T), \|u_0\|_{L^\infty(\mathbb{R})} + C(T)). \quad (2.55)$$

Therefore,

$$\begin{aligned} & \frac{d}{dt} \left( \|\partial_x u_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2 \right) + 2 \left\| (\partial_{xx}^2 u_\delta(t, \cdot)) \right\|_{L^2(\mathbb{R})}^2 \\ & \leq \left\| \partial_{xx}^2 u_\delta(t, \cdot) \right\|_{L^2(\mathbb{R})}^2 + \|f'\|_{L^\infty(I_{T,2})}^2 \|\partial_x u_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2 + C(T), \end{aligned}$$

that is

$$\begin{aligned} & \frac{d}{dt} \left( \|\partial_x u_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2 \right) + \left\| \partial_{xx}^2 u_\delta(t, \cdot) \right\|_{L^2(\mathbb{R})}^2 \\ & \leq \|f'\|_{L^\infty(I_{T,2})}^2 \|\partial_x u_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2 + C(T). \end{aligned}$$

An integration on  $(0, t)$  and (2.2) give

$$\begin{aligned} & \|\partial_x u_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2 + \int_0^t \left\| \partial_{xx}^2 u_\delta(s, \cdot) \right\|_{L^2(\mathbb{R})}^2 ds \\ & \leq 2 \|f'\|_{L^\infty(I_{T,2})}^2 \int_0^t \|\partial_x u_\delta(s, \cdot)\|_{L^2(\mathbb{R})}^2 ds + C(T). \end{aligned} \quad (2.56)$$

(2.53) follows from (2.50) and (2.56).  $\square$

LEMMA 2.8. *Let  $T > 0$  and  $0 < \delta < 1$ . We have that*

$$\|\partial_x u_\delta\|_{L^\infty(I_{T,1})} \leq C(T), \quad (2.57)$$

where  $I_{T,1}$  is defined in (2.27). Moreover,

$$\left\| \partial_{xx}^2 u_\delta(t, \cdot) \right\|_{L^2(\mathbb{R})}^2 + \int_0^t \left\| \partial_{xxx}^3 u_\delta(s, \cdot) \right\|_{L^2(\mathbb{R})}^2 ds \leq C(T). \quad (2.58)$$

*Proof.* Let  $0 < t < T$ . Multiplying (2.1) by  $\partial_{xxx}^4 u_\delta$ , we have

$$\begin{aligned} & \partial_{xxx}^4 u_\delta \partial_t u_\delta - \partial_{xxx}^4 u_\delta \partial_{xx}^2 u_\delta \\ & = \gamma P_\delta \partial_{xxx}^4 u_\delta - f'(u_\delta) \partial_x u_\delta \partial_{xxx}^4 u_\delta. \end{aligned} \quad (2.59)$$

Since

$$\begin{aligned} & \int_{\mathbb{R}} \partial_{xxx}^4 u_\delta \partial_t u_\delta dx = \frac{d}{dt} \left( \frac{1}{2} \int_{\mathbb{R}} (\partial_{xx}^2 u_\delta)^2 dx \right), \\ & - \int_{\mathbb{R}} \partial_{xxx}^4 u_\delta \partial_{xx}^2 u_\delta dx = \int_{\mathbb{R}} (\partial_{xxx}^3 u_\delta)^2 dx, \\ & \gamma \int_{\mathbb{R}} P_\delta \partial_{xxx}^4 u_\delta dx = -\gamma \int_{\mathbb{R}} \partial_x P_\delta \partial_{xxx}^3 u_\delta dx, \\ & - \int_{\mathbb{R}} f'(u_\delta) \partial_x u_\delta \partial_{xxx}^4 u_\delta dx = \int_{\mathbb{R}} f''(u_\delta) (\partial_x u_\delta)^2 \partial_{xxx}^3 u_\delta dx \\ & \quad + \int_{\mathbb{R}} f'(u_\delta) \partial_{xx}^2 u_\delta \partial_{xxx}^3 u_\delta dx, \end{aligned}$$

integrating (2.54) on  $\mathbb{R}$ , we get

$$\begin{aligned} & \frac{d}{dt} \left( \int_{\mathbb{R}} (\partial_{xx}^2 u_\delta)^2 dx \right) + 2 \int_{\mathbb{R}} (\partial_{xxx}^3 u_\delta)^2 dx \\ & = -2\gamma \int_{\mathbb{R}} \partial_x P_\delta \partial_{xxx}^3 u_\delta dx \\ & \quad + 2 \int_{\mathbb{R}} f''(u_\delta) (\partial_x u_\delta)^2 \partial_{xxx}^3 u_\delta dx \\ & \quad + 2 \int_{\mathbb{R}} f'(u_\delta) \partial_{xx}^2 u_\delta \partial_{xxx}^3 u_\delta dx. \end{aligned}$$

Due to (2.11), (2.52), (2.53) and the Young inequality,

$$\begin{aligned}
& -2\gamma \int_{\mathbb{R}} \partial_x P_\delta \partial_{xxx}^3 u_\delta dx \\
& \leq 2\gamma \left| \int_{\mathbb{R}} \partial_x P_\delta \partial_{xxx}^3 u_\delta dx \right| \\
& \leq 2 \int_{\mathbb{R}} \left| \sqrt{3}\gamma \partial_x P_\delta \right| \left| \frac{\partial_{xxx}^3 u_\delta}{\sqrt{3}} \right| dx \\
& \leq 3\gamma^2 \|\partial_x P_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2 + \frac{1}{3} \left\| \partial_{xxx}^3 u_\delta(t, \cdot) \right\|_{L^2(\mathbb{R})}^2 \\
& \leq C(T) + \frac{1}{3} \left\| \partial_{xxx}^3 u_\delta(t, \cdot) \right\|_{L^2(\mathbb{R})}^2, \\
& 2 \int_{\mathbb{R}} f''(u_\delta)(\partial_x u_\delta)^2 \partial_{xxx}^3 u_\delta dx \\
& \leq 2 \left| \int_{\mathbb{R}} f''(u_\delta)(\partial_x u_\delta)^2 \partial_{xxx}^3 u_\delta dx \right| \\
& \leq 2 \int_{\mathbb{R}} \left| \sqrt{3}f''(u_\delta)(\partial_x u_\delta)^2 \right| \left| \frac{\partial_{xxx}^3 u_\delta}{\sqrt{3}} \right| dx \\
& \leq 3 \int_{\mathbb{R}} (f''(u_\delta))^2 (\partial_x u_\delta)^4 dx + \frac{1}{3} \left\| \partial_{xxx}^3 u_\delta(t, \cdot) \right\|_{L^2(\mathbb{R})}^2 \\
& \leq 3 \|f''\|_{L^\infty(I_{T,2})}^2 \|\partial_x u_\delta\|_{L^\infty(I_{T,1})}^2 \|\partial_x u_\delta(t, \cdot)\|_{L^2(\mathbb{R})}^2 + \frac{1}{3} \left\| \partial_{xxx}^3 u_\delta(t, \cdot) \right\|_{L^2(\mathbb{R})}^2 \\
& \leq 3 \|f''\|_{L^\infty(I_{T,2})}^2 C(T) \|\partial_x u_\delta\|_{L^\infty(I_{T,1})}^2 \\
& \quad + \frac{1}{3} \left\| \partial_{xxx}^3 u_\delta(t, \cdot) \right\|_{L^2(\mathbb{R})}^2, \\
& 2 \int_{\mathbb{R}} f'(u_\delta) \partial_{xx}^2 u_\delta \partial_{xxx}^3 u_\delta dx \\
& \leq 2 \left| \int_{\mathbb{R}} f'(u_\delta) \partial_{xx}^2 u_\delta \partial_{xxx}^3 u_\delta dx \right| \\
& \leq 2 \int_{\mathbb{R}} \left| \sqrt{3}f'(u_\delta) \partial_{xx}^2 u_\delta \right| \left| \frac{\partial_{xxx}^3 u_\delta}{\sqrt{3}} \right| dx \\
& \leq 3 \int_{\mathbb{R}} (f'(u_\delta))^2 (\partial_{xx}^2 u_\delta)^2 dx + \frac{1}{3} \left\| \partial_{xxx}^3 u_\delta(t, \cdot) \right\|_{L^2(\mathbb{R})}^2 \\
& \leq 3 \|f'\|_{L^\infty(I_{T,2})}^2 \left\| \partial_{xx}^2 u_\delta(t, \cdot) \right\|_{L^2(\mathbb{R})}^2 + \frac{1}{3} \left\| \partial_{xxx}^3 u_\delta(t, \cdot) \right\|_{L^2(\mathbb{R})}^2,
\end{aligned}$$

where  $I_{T,1}$  is defined in (2.27) and  $I_{T,2}$  is defined in (2.55). Therefore,

$$\begin{aligned}
& \frac{d}{dt} \left( \left\| \partial_{xx}^2 u_\delta(t, \cdot) \right\|_{L^2(\mathbb{R})}^2 \right) + 2 \left\| \partial_{xxx}^3 u_\delta(t, \cdot) \right\|_{L^2(\mathbb{R})}^2 \\
& \leq \left\| \partial_{xxx}^3 u_\delta(t, \cdot) \right\|_{L^2(\mathbb{R})}^2
\end{aligned}$$

$$\begin{aligned}
& + 3 \|f''\|_{L^\infty(I_{T,2})}^2 C(T) \|\partial_x u_\delta\|_{L^\infty(I_{T,1})}^2 \\
& + 3 \|f'\|_{L^\infty(I_{T,2})}^2 \left\| \partial_{xx}^2 u_\delta(t, \cdot) \right\|_{L^2(\mathbb{R})}^2 + C(T),
\end{aligned}$$

that is

$$\begin{aligned}
& \frac{d}{dt} \left( \left\| \partial_{xx}^2 u_\delta(t, \cdot) \right\|_{L^2(\mathbb{R})}^2 \right) + \left\| \partial_{xxx}^3 u_\delta(t, \cdot) \right\|_{L^2(\mathbb{R})}^2 \\
& \leq C(T) \|\partial_x u_\delta\|_{L^\infty(I_{T,1})}^2 + C(T) \\
& \quad + C(T) \left\| \partial_{xx}^2 u_\delta(t, \cdot) \right\|_{L^2(\mathbb{R})}^2.
\end{aligned}$$

An integration on  $(0, t)$ , (2.2) and (2.53) give

$$\begin{aligned}
& \left\| \partial_{xx}^2 u_\delta(t, \cdot) \right\|_{L^2(\mathbb{R})}^2 + \int_0^t \left\| \partial_{xxx}^3 u_\delta(s, \cdot) \right\|_{L^2(\mathbb{R})}^2 ds \\
& \leq \left( C(T) \|\partial_x u_\delta\|_{L^\infty(I_{T,1})}^2 + C(T) \right) \int_0^t ds \\
& \quad + C(T) \int_0^t \left\| \partial_{xx}^2 u_\delta(s, \cdot) \right\|_{L^2(\mathbb{R})}^2 ds \\
& \leq C(T) \|\partial_x u_\delta\|_{L^\infty(I_{T,1})}^2 + C(T).
\end{aligned}$$

Thus,

$$\begin{aligned}
& \left\| \partial_{xx}^2 u_\delta(t, \cdot) \right\|_{L^2(\mathbb{R})}^2 + \int_0^t \left\| \partial_{xxx}^3 u_\delta(s, \cdot) \right\|_{L^2(\mathbb{R})}^2 ds \\
& \leq C(T) \left( 1 + \|\partial_x u_\delta\|_{L^\infty(I_{T,1})}^2 \right). \tag{2.60}
\end{aligned}$$

Due to (2.53), (2.60) and the Hölder inequality,

$$\begin{aligned}
(\partial_x u_\delta(t, x))^2 & \leq 2 \int_{\mathbb{R}} |\partial_x u_\delta| |\partial_{xx}^2 u_\delta| dx \\
& \leq 2 \|\partial_x u_\delta(t, \cdot)\|_{L^2(\mathbb{R})} \left\| \partial_{xx}^2 u_\delta(t, \cdot) \right\|_{L^2(\mathbb{R})} \\
& \leq C(T) \sqrt{\left( 1 + \|\partial_x u_\delta\|_{L^\infty(I_{T,1})}^2 \right)}.
\end{aligned}$$

Then,

$$\|\partial_x u_\delta\|_{L^\infty(I_{T,1})}^4 - C(T) \|\partial_x u_\delta\|_{L^\infty(I_{T,1})}^2 - C(T) \leq 0,$$

which gives (2.57).

(2.58) follows from (2.57) and (2.60).  $\square$

Arguing as in [5], we obtain the following result

LEMMA 2.9. *Let  $T > 0$ ,  $\ell > 2$  and  $0 < \delta < 1$ . For each  $t \in (0, T)$ ,*

$$\partial_x^\ell u_\delta(t, \cdot) \in L^2(\mathbb{R}). \tag{2.61}$$

### 3. Proof of Theorem 1.1

This section is devoted to the proof of Theorem 1.1.

We begin by proving the following result

LEMMA 3.1. *Let  $T > 0$ . Assume (1.9), (1.10), (1.11) and (1.12). Then there exist*

$$u \in L^\infty((0, T) \times \mathbb{R}) \cap C((0, T); H^\ell(\mathbb{R})), \quad \ell > 2, \quad (3.1)$$

$$P \in L^\infty((0, T) \times \mathbb{R}) \cap L^2((0, T) \times \mathbb{R}), \quad (3.2)$$

where  $u$  is a classical solution of the Cauchy problem of (1.8).

*Proof.* Let  $\eta : \mathbb{R} \rightarrow \mathbb{R}$  be any convex  $C^2$  entropy function, and  $q : \mathbb{R} \rightarrow \mathbb{R}$  be the corresponding entropy flux defined by  $q' = f'\eta'$ . By multiplying the first equation in (2.1) with  $\eta'(u)$  and using the chain rule, we get

$$\partial_t \eta(u_\delta) + \partial_x q(u_\delta) = \underbrace{\partial_{xx}^2 \eta(u_\delta)}_{=: \mathcal{L}_{1,\delta}} - \underbrace{\eta''(u_\delta) (\partial_x u_\delta)^2}_{=: \mathcal{L}_{2,\delta}} + \underbrace{\gamma \eta'(u_\delta) P_\delta}_{=: \mathcal{L}_{3,\delta}},$$

where  $\mathcal{L}_{1,\delta}, \mathcal{L}_{2,\delta}, \mathcal{L}_{3,\delta}$  are distributions.

Let us show that

$$\{\mathcal{L}_{1,\delta}\}_\delta \text{ is compact in } H^{-1}((0, T) \times \mathbb{R}), \quad T > 0. \quad (3.3)$$

Since

$$\partial_{xx}^2 \eta(u_\delta) = \partial_x(\eta'(u_\delta) \partial_x u_\delta),$$

we have to prove that

$$\{\eta'(u_\delta) \partial_x u_\delta\}_\delta \text{ is bounded in } L^2((0, T) \times \mathbb{R}), \quad T > 0, \quad (3.4)$$

$$\{\eta''(u_\delta) (\partial_x u_\delta)^2 + \eta'(u_\delta) \partial_{xx}^2 u_\delta\}_\delta \text{ is bounded in } L^2((0, T) \times \mathbb{R}), \quad T > 0. \quad (3.5)$$

We begin by proving that (3.4) holds. Thanks to Lemmas 2.3 and 2.6,

$$\begin{aligned} \|\eta'(u_\delta) \partial_x u_\delta\|_{L^2((0, T) \times \mathbb{R})}^2 &\leq \|\eta'\|_{L^\infty(I_{T,2})}^2 \int_0^T \|\partial_x u_\delta(s, \cdot)\|_{L^2(\mathbb{R})}^2 \, ds \\ &\leq \|\eta'\|_{L^\infty(I_{T,2})}^2 e^{2\gamma T} \int_0^T e^{-2\gamma s} \|\partial_x u_\delta(s, \cdot)\|_{L^2(\mathbb{R})}^2 \, ds \\ &\leq \frac{1}{2} \|\eta'\|_{L^\infty(I_{T,2})}^2 e^{2\gamma T} \|u_0\|_{L^2(\mathbb{R})}^2 \leq C(T), \end{aligned}$$

where  $I_{T,2}$  is defined in (2.55).

We claim that

$$\{\eta''(u_\delta) (\partial_x u_\delta)^2\}_\delta \text{ is bounded in } L^2((0, T) \times \mathbb{R}). \quad (3.6)$$

Due to Lemmas 2.3, 2.6, 2.8

$$\begin{aligned}
& \left\| \eta''(u_\delta)(\partial_x u_\delta)^2 \right\|_{L^2((0,T) \times \mathbb{R})}^2 \\
& \leq \|\eta''\|_{L^\infty(I_{T,2})}^2 \int_0^T \int_{\mathbb{R}} (\partial_x u_\delta(s, x))^4 ds dx \\
& \leq \|\eta''\|_{L^\infty(I_{T,2})}^2 \|\partial_x u_\delta\|_{L^\infty(I_{T,1})}^2 \int_0^T \|\partial_x u_\delta(s, \cdot)\|_{L^2(\mathbb{R})}^2 ds \\
& \leq \frac{1}{2} \|\eta''\|_{L^\infty(I_{T,2})}^2 \|\partial_x u_\delta\|_{L^\infty(I_{T,1})}^2 e^{2\gamma T} \|u_0\|_{L^2(\mathbb{R})}^2 \leq C(T),
\end{aligned}$$

where  $I_{T,1}$  is defined in (2.27).

We claim that

$$\{\eta'(u_\delta) \partial_{xx}^2 u_\delta\}_\delta \text{ is bounded in } L^2((0, T) \times \mathbb{R}). \quad (3.7)$$

Thanks to Lemmas 2.6 and 2.7,

$$\begin{aligned}
\left\| \eta'(u_\delta) \partial_{xx}^2 u_\delta \right\|_{L^2((0,T) \times \mathbb{R})}^2 & \leq \|\eta'\|_{L^\infty(I_{T,2})}^2 \int_0^T \left\| \partial_{xx}^2 u_\delta(s, \cdot) \right\|_{L^2(\mathbb{R})}^2 ds \\
& \leq \|\eta'\|_{L^\infty(I_{T,2})}^2 C(T) \leq C(T).
\end{aligned}$$

(3.6) and (3.7) give (3.5).

Therefore, (3.3) follows from (3.4) and (3.5).

We have that

$$\{\mathcal{L}_{2,\delta}\}_{\delta>0} \text{ is bounded in } L^1((0, T) \times \mathbb{R}).$$

Due to Lemmas 2.3, 2.6,

$$\begin{aligned}
\left\| \eta''(u_\delta)(\partial_x u_\delta)^2 \right\|_{L^1((0,T) \times \mathbb{R})} & \leq \|\eta''\|_{L^\infty(I_{T,2})} \int_0^T \|\partial_x u_\delta(s, \cdot)\|_{L^2(\mathbb{R})}^2 ds \\
& \leq \|\eta'\|_{L^\infty(I_{T,2})}^2 e^{2\gamma T} \int_0^T e^{-2\gamma s} \|\partial_x u_\delta(s, \cdot)\|_{L^2(\mathbb{R})}^2 ds \\
& \leq \frac{\|\eta'\|_{L^\infty(I_{T,2})}^2 e^{2\gamma T}}{2} \|u_0\|_{L^2(\mathbb{R})}^2 \leq C(T).
\end{aligned}$$

We have that

$$\{\mathcal{L}_{3,\delta}\}_{\delta>0} \text{ is bounded in } L^1_{loc}((0, T) \times \mathbb{R}).$$

Let  $K$  be a compact subset of  $(0, T) \times \mathbb{R}$ . By Lemmas 2.5 and 2.6,

$$\begin{aligned}
\|\gamma \eta'(u_\delta) P_\delta\|_{L^1(K)} & = \gamma \int_K |\eta'(u_\varepsilon)| |P_\varepsilon| dt dx \\
& \leq \gamma \|\eta'\|_{L^\infty(I_{T,2})} \|P_\varepsilon\|_{L^\infty(I_{T,1})} |K|.
\end{aligned}$$

Therefore, Murat's Lemma [21] implies that

$$\{\partial_t \eta(u_\delta) + \partial_x q(u_\delta)\}_{\delta>0} \text{ lies in a compact subset of } H_{loc}^{-1}((0, \infty) \times \mathbb{R}). \quad (3.8)$$

The  $L^\infty$  bound stated in Lemma 2.6, (3.8) and the Tartar's compensated compactness method [27] give the existence of a subsequence  $\{u_{\delta_k}\}_{k \in \mathbb{N}}$  and a limit function  $u \in L^\infty((0, T) \times \mathbb{R})$  such that

$$u_{\delta_k} \rightarrow u \text{ a.e. and in } L_{loc}^p((0, T) \times \mathbb{R}), \quad 1 \leq p < \infty. \quad (3.9)$$

Hence,

$$u_{\delta_k} \rightarrow u \quad \text{in } L^\infty((0, T) \times \mathbb{R}). \quad (3.10)$$

Moreover, for convexity, we have

$$\begin{aligned} \|u(t, \cdot)\|_{L^2(\mathbb{R})}^2 + 2e^{2\gamma t} \int_0^t e^{-2\gamma s} \|\partial_x u(s, \cdot)\|_{L^2(\mathbb{R})}^2 ds &\leq C(T), \\ \|\partial_x u(t, \cdot)\|_{L^2(\mathbb{R})}^2 + \int_0^t \|\partial_{xx}^2 u(s, \cdot)\|_{L^2(\mathbb{R})}^2 ds &\leq C(T), \\ \|\partial_{xx}^2 u(t, \cdot)\|_{L^2(\mathbb{R})}^2 + \int_0^t \|\partial_{xxx}^3 u(s, \cdot)\|_{L^2(\mathbb{R})}^2 ds &\leq C(T). \end{aligned} \quad (3.11)$$

We need only to observe that

$$\begin{aligned} &2e^{2\gamma t} \int_0^t e^{-2\gamma s} \|\partial_x u(s, \cdot)\|_{L^2(\mathbb{R})}^2 ds \\ &\leq 2e^{2\gamma t} \liminf_k \int_0^t e^{-2\gamma s} \|\partial_x u_{\delta_k}(s, \cdot)\|_{L^2(\mathbb{R})}^2 ds \leq C(T), \\ &\int_0^t \|\partial_{xx}^2 u(s, \cdot)\|_{L^2(\mathbb{R})}^2 ds \leq \liminf_k \int_0^t \|\partial_{xx}^2 u_{\delta_k}(s, \cdot)\|_{L^2(\mathbb{R})}^2 ds \leq C(T), \\ &\int_0^t \|\partial_{xxx}^3 u(s, \cdot)\|_{L^2(\mathbb{R})}^2 ds \leq \liminf_k \int_0^t \|\partial_{xxx}^3 u_{\delta_k}(s, \cdot)\|_{L^2(\mathbb{R})}^2 ds \leq C(T). \end{aligned}$$

Moreover, it follows from convexity and Lemma 2.9 that

$$\partial_x^\ell u(t, \cdot) \in L^2(\mathbb{R}), \quad \ell > 2, \quad t \in (0, T). \quad (3.12)$$

Therefore, (3.10), (3.11) and (3.12) give (3.1). (3.2) follows from Lemma 2.5.

Finally, we prove that

$$\int_{-\infty}^x u(t, y) dy = P(t, x), \quad \text{a.e. in } (t, x) \in I_{T,1}. \quad (3.13)$$

Integrating the second equation of (2.1) on  $(-\infty, x)$ , for (2.3), we have that

$$\int_{-\infty}^x u_{\delta_k}(t, y) dy = P_{\delta_k}(t, x) - \delta_k \partial_x P_{\delta_k}(t, x). \quad (3.14)$$

We show that

$$\delta \partial_x P_\delta(t, x) \rightarrow 0 \text{ in } L^\infty((0, T) \times \mathbb{R}), \quad T > 0 \text{ as } \delta \rightarrow 0. \quad (3.15)$$

It follows from (2.11) that

$$\delta \|\partial_x P_\delta\|_{L^\infty((0,T)\times\mathbb{R})} \leq \sqrt{\delta} e^{\gamma t} \|u_{\varepsilon,0}\|_{L^2(\mathbb{R})} = \sqrt{\delta} C(T) \rightarrow 0,$$

that is (3.15).

Therefore, (3.13) follows from (3.1), (3.2), (3.14) and (3.15). The proof is done.  $\square$

**LEMMA 3.2.** *Let  $u(t, x)$  be a classical solution of (1.7), or (1.8). Then,*

$$\int_{\mathbb{R}} u(t, x) dx = 0, \quad t \geq 0, \quad (3.16)$$

*Proof.* Differentiating (1.8) with respect to  $x$ , we have

$$\partial_x (\partial_t u + \partial_x f(u) - \partial_{xx}^2 u) = \gamma u. \quad (3.17)$$

Since  $u$  is a smooth solution of (1.8), an integration over  $\mathbb{R}$  gives (3.16).  $\square$

We are ready for the proof of Theorem 1.1.

*Proof of Theorem 1.1.* Lemma 3.1 gives the existence of a classical solution of (1.7), or (1.8), while Lemma 3.2 says that the solution has zero mean.

Let us show that  $u(t, x)$  is unique and (1.14) holds. Let  $u, v$  be two classical solutions of (1.7), or (1.8), that is

$$\begin{cases} \partial_t u + f'(u) \partial_x u = \gamma P^u + \partial_{xx}^2 u, & t > 0, x \in \mathbb{R}, \\ \partial_x P^u = u, & t > 0, x \in \mathbb{R}, \\ u(0, x) = u_0(x), & x \in \mathbb{R}, \\ \partial_t v + f'(v) \partial_x v = \gamma P^v + \partial_{xx}^2 v, & t > 0, x \in \mathbb{R}, \\ \partial_x P^v = v, & t > 0, x \in \mathbb{R}, \\ v(0, x) = v_0(x), & x \in \mathbb{R}. \end{cases}$$

Then, the function

$$\omega(t, x) = u(t, x) - v(t, x) \quad (3.18)$$

is solution of the following Cauchy problem

$$\begin{cases} \partial_t \omega + f'(u) \partial_x u - f'(v) \partial_x v = \gamma \Omega + \partial_{xx}^2 \omega, & t > 0, x \in \mathbb{R}, \\ \partial_x \Omega = \omega, & t > 0, x \in \mathbb{R}, \\ \omega(0, x) = u_0(x) - v_0(x), & x \in \mathbb{R}, \end{cases} \quad (3.19)$$

where

$$\begin{aligned} \Omega(t, x) &= P^u(t, x) - P^v(t, x) \\ &= \int_{-\infty}^x u(t, y) dy - \int_{-\infty}^x v(t, y) dy \\ &= \int_{-\infty}^x (u(t, y) - v(t, y)) dy = \int_{-\infty}^x \omega(t, y) dy. \end{aligned} \quad (3.20)$$

It follows from Lemma 3.2 and (3.20) that

$$\Omega(t, \infty) = \int_{\mathbb{R}} u(t, y) dy - \int_{\mathbb{R}} v(t, y) dy = 0. \quad (3.21)$$

Observe that, from (3.18),

$$\begin{aligned} f'(u)\partial_x u - f'(v)\partial_x v &= f'(u)\partial_x u - f'(u)\partial_x v + f'(u)\partial_x v - f'(v)\partial_x v \\ &= f'(u)\partial_x(u - v) + (f'(u) - f'(v))\partial_x v \\ &= f'(u)\partial_x\omega + (f'(u) - f'(v))\partial_x v. \end{aligned}$$

Therefore, the first equation of (3.19) is equivalent to the following one:

$$\partial_t\omega + f'(u)\partial_x\omega + (f'(u) - f'(v))\partial_x v = \gamma\Omega + \partial_{xx}^2\omega. \quad (3.22)$$

Moreover, since  $u$  and  $v$  are in  $L^\infty((0, T) \times \mathbb{R})$ , we have that

$$\left| f'(u(t, x)) - f'(v(t, x)) \right| \leq C(T)|u(t, x) - v(t, x)|, \quad (t, x) \in (0, T) \times \mathbb{R}, \quad (3.23)$$

where

$$C(T) = \sup_{(0, T) \times \mathbb{R}} \left\{ |f''(u)| + |f''(v)| \right\}. \quad (3.24)$$

Therefore, (3.18) and (3.23) give

$$\left| f'(u(t, x)) - f'(v(t, x)) \right| \leq C(T)|\omega(t, x)|, \quad (t, x) \in (0, T) \times \mathbb{R}. \quad (3.25)$$

Multiplying (3.22) by  $\omega$ , an integration on  $\mathbb{R}$  gives

$$\begin{aligned} \frac{d}{dt} \int_{\mathbb{R}} \omega^2 dx &= 2 \int_{\mathbb{R}} \omega \partial_t \omega dx \\ &= 2 \int_{\mathbb{R}} \omega \partial_{xx}^2 \omega dx - 2 \int_{\mathbb{R}} \omega f'(u) \partial_x \omega dx \\ &\quad - 2 \int_{\mathbb{R}} \omega (f'(u) - f'(v)) \partial_x v dx + 2\gamma \int_{\mathbb{R}} \Omega \omega dx \\ &= -2 \int_{\mathbb{R}} (\partial_x \omega)^2 dx + \int_{\mathbb{R}} \omega^2 f''(u) \partial_x u dx \\ &\quad - 2 \int_{\mathbb{R}} \omega_\varepsilon (f'(u) - f'(v)) \partial_x v dx + 2\gamma \int_{\mathbb{R}} \Omega \omega dx. \end{aligned}$$

It follows from the second equation of (3.19) and Lemma 3.2 that

$$\begin{aligned} \frac{d}{dt} \|\omega(t, \cdot)\|_{L^2(\mathbb{R})}^2 &+ 2 \|\partial_x \omega(t, \cdot)\|_{L^2(\mathbb{R})}^2 \\ &\leq \int_{\mathbb{R}} \omega^2 |f''(u)| |\partial_x u| dx + 2 \int_{\mathbb{R}} |\omega| |(f'(u) - f'(v))| |\partial_x v| dx. \quad (3.26) \end{aligned}$$

Since  $u(t, \cdot), v(t, \cdot) \in H^\ell(\mathbb{R})$ ,  $\ell > 2$ , for each  $t \in (0, T)$ , then

$$\partial_x u(t, \cdot), \partial_x v(t, \cdot) \in H^{\ell-1}(\mathbb{R}) \subset L^\infty(\mathbb{R}), \quad t \in (0, T). \quad (3.27)$$

Therefore, thanks to (3.23), (3.24), (3.26) and (3.27),

$$\frac{d}{dt} \|\omega(t, \cdot)\|_{L^2(\mathbb{R})}^2 + 2 \|\partial_x \omega(t, \cdot)\|_{L^2(\mathbb{R})}^2 \leq C(T) \|\omega(t, \cdot)\|_{L^2(\mathbb{R})}^2.$$

The Gronwall Lemma gives

$$\|\omega(t, \cdot)\|_{L^2(\mathbb{R})}^2 + 2e^{C(T)t} \int_0^s e^{-C(T)s} \|\partial_x \omega(s, \cdot)\|_{L^2(\mathbb{R})}^2 ds \leq e^{C(T)t} \|\omega_0\|_{L^2(\mathbb{R})}^2. \quad (3.28)$$

Hence, (1.14) follows from (3.18), (3.19) and (3.28).  $\square$

## REFERENCES

- [1] J. BOYD. Ostrovsky and Hunters generic wave equation for weakly dispersive waves: matched asymptotic and pseudospectral study of the paraboloidal travelling waves (corner and near-corner waves). *Euro. Jnl. of Appl. Math.*, 16(1):65–81, 2005.
- [2] J. CANOSA AND J. GAZDAG. The Korteweg-de Vries-Burgers equation *Journal of Computational Physics*, vol. 23, no. 4, 393–403, 1977.
- [3] G. M. COCLITE AND L. DI RUVO. Wellposedness of bounded solutions of the non-homogeneous initial boundary value problem for the Ostrovsky–Hunter equation. To appear on *J. Hyperbolic Differ. Equ.*, 12:221–248, 2015.
- [4] G. M. COCLITE AND L. DI RUVO. Wellposedness results for the Short Pulse Equation. To appear on *Z. Angew. Math. Phys.*, 66:1529–1557, 2015.
- [5] G. M. COCLITE, H. HOLDEN, AND K. H. KARLSEN. Wellposedness for a parabolic-elliptic system. *Discrete Contin. Dyn. Syst.*, 13(3):659–682, 2005.
- [6] R. GRIMSHAW AND D. E. PELINOVSKY. Global existence of small-norm solutions in the reduced Ostrovsky equation. *Discr. Cont. Dynam. Syst. A*, 34:557–566, 2014.
- [7] L. DI RUVO. Discontinuous solutions for the Ostrovsky–Hunter equation and two phase flows. *Phd Thesis, University of Bari*, 2013. [www.dm.uniba.it/home/dottorato/dottorato/tesi/](http://www.dm.uniba.it/home/dottorato/dottorato/tesi/).
- [8] G. GUI AND Y. LIU. On the Cauchy problem for the Ostrovsky equation with positive dispersion. *Comm. Part. Diff. Eqs.*, 32(10–12):1895–1916, 2007.
- [9] Z.S. FEND AND Q.G. MENG Burgers-Korteweg-de Vries equation and its traveling solitary waves. *S. in China Series A: Mathem. Springer-Verlag*, 50(3):412–422, 2007.
- [10] J. HUNTER. Numerical solutions of some nonlinear dispersive wave equations. Computational solution of nonlinear systems of equations (Fort Collins, CO, 1988) *Lectures in Appl. Math.*, 26, Amer. Math. Soc., Providence, RI, 301–316, 1990.
- [11] J. HUNTER AND K. P. TAN. Weakly dispersive short waves *Proceedings of the IVth international Congress on Waves and Stability in Continuous Media*, Sicily, 1987.
- [12] D. J. KORTEWEG, AND G. DE VRIES. On the change of form of long waves advancing in a rectangular canal, and on a new type of long stationary waves. *Philosophical Magazine*, vol. 39, no. 240, 422–443, 1895.
- [13] S. LEVANDOSKY AND Y. LIU. Stability of solitary waves of a generalized Ostrovsky equation. *SIAM J. Math. Anal.*, 38(3):985–1011, 2006.
- [14] S. LEVANDOSKY AND Y. LIU. Stability and weak rotation limit of solitary waves of the Ostrovsky equation. *Discr. Cont. Dyn. Syst. B*, 7(7):793–806, 2007.
- [15] F. LINARES AND A. MILANES. Local and global well-posedness for the Ostrovsky equation. *J. Diff. Eqs.*, 222(2):325–340, 2006.

- [16] Y. LIU. On the stability of solitary waves for the Ostrovsky equation. *Quart. Appl. Math.*, 65(3):571–589, 2007.
- [17] Y. LIU, D. PELINOVSKY, AND A. SAKOVICH. Wave breaking in the Ostrovsky–Hunter equation. *SIAM J. Math. Anal.*, 42(5):1967–1985, 2010.
- [18] Y. LIU AND V. VARLAMOV. Cauchy problem for the Ostrovsky equation. *Discr. Cont. Dyn. Syst.*, 10(3):731–753, 2004.
- [19] Y. LIU AND V. VARLAMOV. Stability of solitary waves and weak rotation limit for the Ostrovsky equation. *J. Diff. Eqs.*, 203(1):159–183, 2004.
- [20] A. J. MORRISON, E. J. PARKES, AND V. O. VAKHNENKO. The  $N$  loop soliton solutions of the Vakhnenko equation. *Nonlinearity*, 12(5):1427–1437, 1999.
- [21] F. MURAT. L'injection du cône positif de  $H^{-1}$  dans  $W^{-1,q}$  est compacte pour tout  $q < 2$ . *J. Math. Pures Appl.* (9), 60(3):309–322, 1981.
- [22] L. A. OSTROVSKY. Nonlinear internal waves in a rotating ocean. *Okeanologia*, 18:181–191, 1978.
- [23] E. J. PARKES. Explicit solutions of the reduced Ostrovsky equation. *Chaos, Solitons and Fractals*, 31(3):602–610, 2007.
- [24] E. J. PARKES AND V. O. VAKHNENKO. The calculation of multi-soliton solutions of the Vakhnenko equation by the inverse scattering method. *Chaos, Solitons and Fractals*, 13(9):1819–1826, 2002.
- [25] Y. A. STEPANYANTS. On stationary solutions of the reduced Ostrovsky equation: periodic waves, compactons and compound solitons. *Chaos, Solitons and Fractals*, 28(1):193–204, 2006.
- [26] J.J. SHU The Proper analytical solution of the Korteweg-de Vries-Burgers equation. *J. of Physics A-Mathem. and General*, 20(2):49–56, 1987.
- [27] L. Tartar. Compensated compactness and applications to partial differential equations. In *Nonlinear analysis and mechanics: Heriot-Watt Symposium, Vol. IV*, pages 136–212. Pitman, Boston, Mass., 1979.
- [28] K. TSUGAWA. Well-posedness and weak rotation limit for the Ostrovsky equation. *J. Differential Equations* 247(12):3163–3180, 2009.

G. M. Coclite and L. di Ruvo

Department of Mathematics,  
University of Bari,  
via E. Orabona 4,  
70125 Bari,  
Italy

E-mail: giuseppemaria.coclite@uniba.it  
URL: <http://www.dm.uniba.it/Members/coclitegm/>

L. di Ruvo

E-mail: lorenzo.diruovo@unimore.it