



Labeled Chip-Firing on Binary Trees with $2^n - 1$ Chips

Gregg Musiker  and Son Nguyen

Abstract. We study labeled chip-firing on binary trees starting with $2^n - 1$ chips initially placed at the root. We prove a sorting property of terminal configurations of the process. We also analyze the end game moves poset and prove that this poset is a modular lattice.

Keywords. Labeled chip-firing, Binary tree, Lattice poset, Confluence.

1. Introduction

Unlabeled chip-firing is the process in which a collection of indistinguishable chips is placed at the nodes of a graph. If a node has at least as many chips as it has neighbors, it can “fire” by sending one chip to each of its neighbors. The process terminates if no site has enough chips to fire, and the configuration when the process terminates is called the terminal configuration or the stable configuration. We refer the readers to [6] for a comprehensive study of unlabeled chip-firing; however, we would like to stress the following fundamental result.

Theorem 1.1. [6, Theorem 2.2.2]. *Let c be a configuration.*

1. **Local confluence** *Suppose c_1 and c_2 are two configurations which are both reachable from c after one firing. Then there exists a common configuration d reachable from both c_1 and c_2 after a single firing.*
2. **Global confluence** *If a stable configuration c_s is reachable from c after a finite number of legal firing moves, then c_s is the unique stable configuration reachable from c .*

Labeled chip-firing is a variation of unlabeled chip-firing, in which every chip is given an integer label, and additional rules are set to govern which chip moves in each direction during a firing move. Because the chips are now labeled, local confluence may no longer hold, and hence global confluence may not hold either. For example, consider the labeled chip-firing process on an

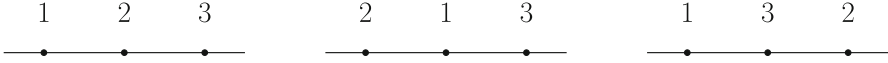


FIGURE 1. Possible stable configurations with three chips

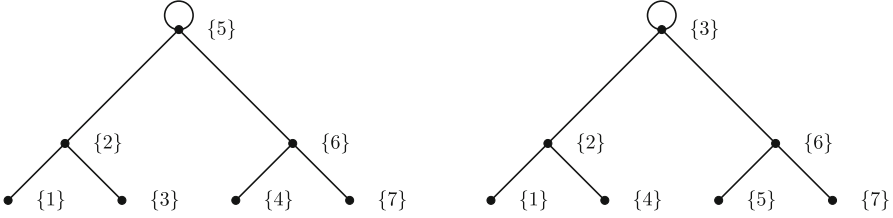


FIGURE 2. Two possible terminal configurations with 7 chips

infinite one-dimensional line with N chips labeled $1, \dots, N$ initially placed at the origin. When a node has at least two chips, it can fire any two chips, sending the smaller chip to the left and the larger chip to the right. However, if one starts with three chips, we instead see that any of the stable configurations in Fig. 1 can happen.

Nonetheless, in some special cases, one still has global confluence despite the lack of local confluence. It was proved in [4, 5] that the labeled chip-firing process on an infinite one-dimensional line with an even number of chips initially placed at the origin terminates in a unique configuration regardless of the order in which nodes fire and regardless of the choice of chips made at each node. Moreover, in the unique terminal configuration, the chips are in sorted order.

In this paper, we study labeled chip-firing on an infinite binary tree. Specifically, we consider an infinite binary tree with a self-loop at the root and $N = 2^n - 1$ chips initially placed at the root. The chips are labeled from $1, \dots, N$. We apply the rule such that when a node fires three chips, it sends the smallest chip to its left child, the largest chip to its right child, and the middle chip to its parent. If the root fires, it sends the middle chip to itself (via the self-loop). In this setup, however, global confluence does not hold. For instance, when starting with 7 chips, both terminal configurations in Fig. 2 can happen.

Nevertheless, we do have the following theorem on the sorting property of the terminal configuration:

Theorem 1.2. *In labeled chip-firing on an infinite binary tree with $2^n - 1$ chips (labeled from 1 to $2^n - 1$) initially placed at the origin, the terminal configuration always has one chip at each node of the first n levels. Moreover, the bottom straight left and right descendants (see Sect. 2) of a node contain the smallest and largest chips among its subtree.*

Examples of reachable terminal configurations for small cases of n can be seen in Fig. 3. Consider the node containing chip 11 in the case of $n = 4$, for

instance, its bottom straight left and right descendants contain chip 9 and 15, which are the smallest and largest chips among its subtree.

After proving Theorem 1.2 in Sects. 3 and 4, and observing appearances of binary expansions (see Corollary 3.4) and Eulerian numbers (see Proposition 3.6) along the way, we will prove the sorting property for some related versions of binary trees in Sect. 5. Then we will give some counterexamples for some conjectures generalizing our property in Sect. 5. In Sect. 6, we will study the poset of “end game” moves, which will be defined in Sect. 4. We will show that the poset is actually a modular lattice. Lastly, in Sect. 7, we conclude with questions for further research.

2. Definitions

An infinite binary tree is a rooted tree in which every node has two children, which are referred to as the *left child* and *right child*. A node is also referred to as the *parent* of its two children. We label the nodes in the binary tree starting from one at the root, going from parent to children and from left to right (see Fig. 4). Notice that for every node i , its two children are labeled $2i$ and $2i + 1$, and its parent is labeled $\left\lfloor \frac{i}{2} \right\rfloor$. We also add a self-loop to the root of the tree; thus, during the chip-firing process, every node can fire if and only if it has at least three chips.

In the context of an infinite binary tree, we define the rule for labeled chip-firing as follows. We start with $2^n - 1$ chips labeled 1 to $2^n - 1$ at the root. When a node has at least three chips, it can choose any three chips and fire as follows: it sends the smallest chip to its left child, the largest chip to its right child, and the middle chip to its parent. If the root fires, it sends the middle chip to itself (via the self-loop). The process terminates (or stabilizes) when no node can fire, and we call the configuration when the process terminates the *terminal configuration* or the *stable configuration*.

Now, we introduce some more definitions that will be used in our paper. First, we define the *level* of each node i , denoted $level(i)$, to be $dist(i, 1) + 1$ where $dist(i, 1)$ is the number of edges between node i and node 1. We also define the *height* of a binary tree to be the maximum level among all of its nodes. For each node i , we define the *subtree* of i , denoted (i) , to be the binary tree whose root is i . We refer to the nodes in (i) as its *descendants*, and we also refer to i as an *ancestor* of its descendants. We call the nodes $2^k i$, for $k > 0$, the *straight left descendants* of i , and similarly, we call the nodes $2^k(i + 1) - 1$ its *straight right descendants*. Specifically, we call a node j the *bottom straight left descendant* of node i if, in the terminal configuration, j is the straight left descendant with the bottom-most level that contains some chips. Similarly, we call a node j the *bottom straight right descendant* of node i if, in the terminal configuration, j is the straight right descendant in the bottom-most level that contains some chips. It is worth noting here that the definition of bottom straight descendants depends on the terminal configuration of the process; thus, a node may be a bottom straight descendant if the process starts with

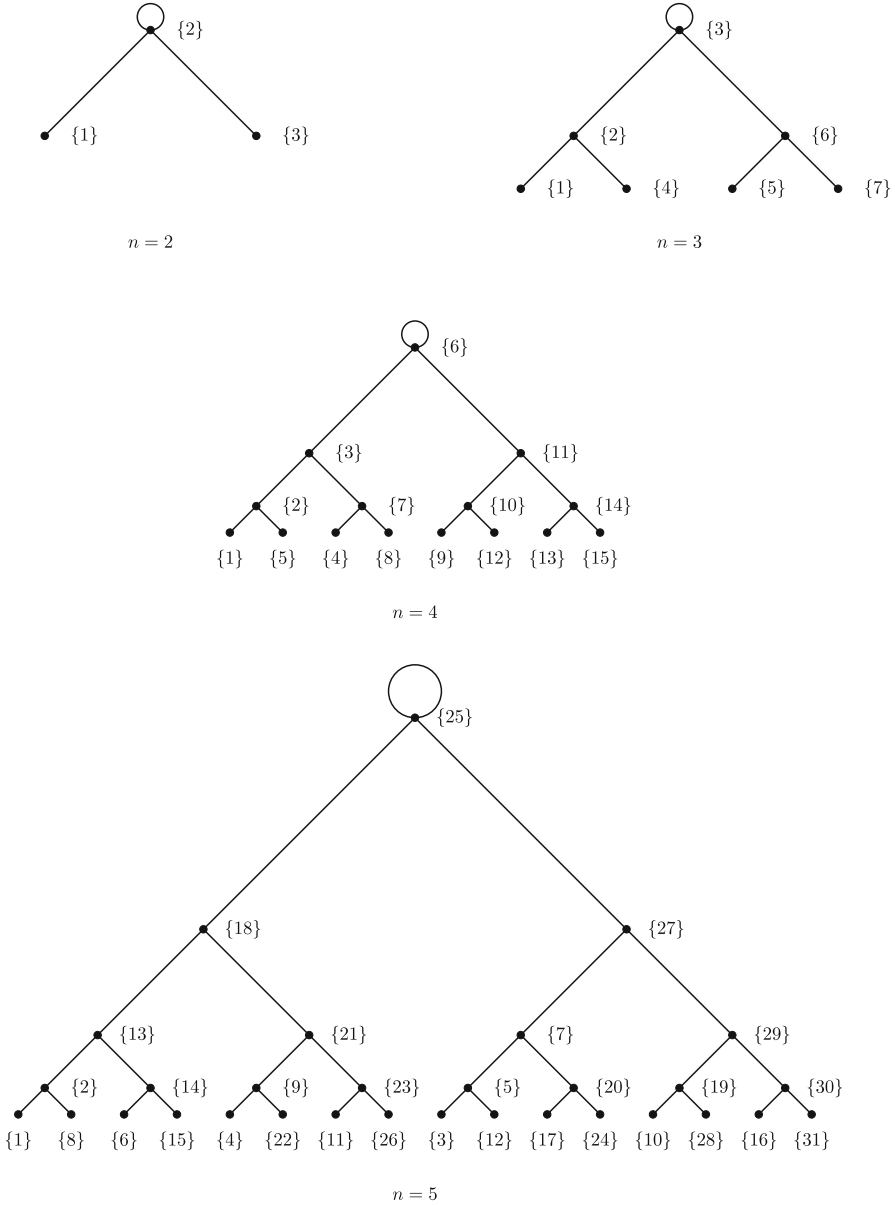


FIGURE 3. Examples of reachable terminal configurations

some certain number of chips, but not in other cases. We will see this more clearly in the next section. Conversely, we call node i a *straight ancestor* of node j if node j is a straight left or right descendant of node i . Lastly, we call node i the *top straight ancestor* of node j if node i is a left (right) child of its parent and node j is a straight right (left) descendant of node i . Specifically,

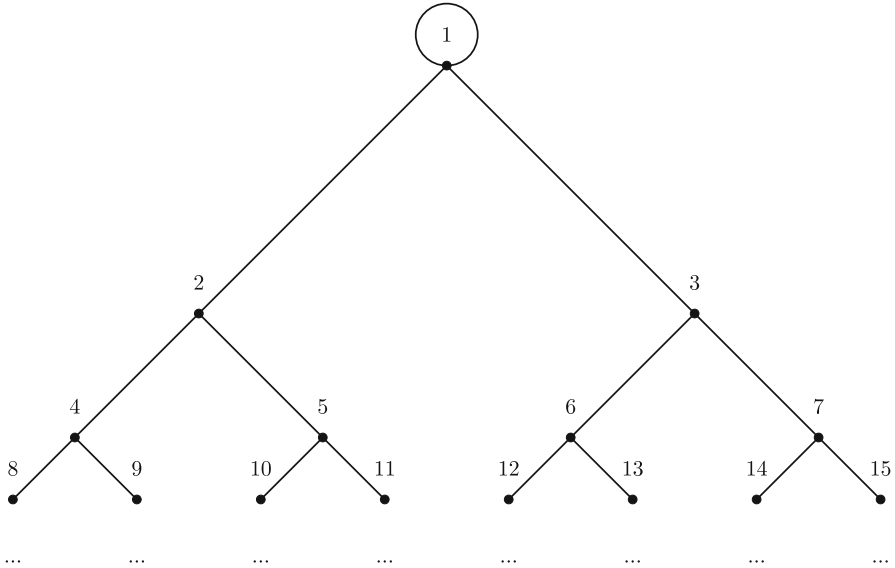


FIGURE 4. Binary tree and the labeling of the nodes

the root can be considered as both the top straight ancestor of both its straight left and right descendants.

For example, in Fig. 4, nodes 2, 4, 8 are straight left descendants of node 1 and nodes 3, 7, 15 are straight right descendants. If in the terminal configuration, only the nodes on the first four levels contain some chips, then node 8 and 15 are the bottom straight left and right descendants of node 1. Conversely, node 2 is the top straight ancestor of nodes 5 and 11, but not nodes 4 and 8.

3. Unlabeled Chip-Firing

Let us first present a brief review of classical unlabeled chip-firing. Let $G = (V, E)$ be a undirected graph and assign a nonnegative integer $C(v)$ to each vertex v in G . We say that vertex v has $C(v)$ chips and call C a *chip configuration*. If a vertex v has at least as many chips on it as its degree, i.e., $C(v) \geq \deg v$, we say that v is *ready to fire*. When a vertex v fires, it sends chips to its neighbors by sending one chip along each of its incident edges. A chip configuration is *stable* if no vertex is ready to fire, i.e., $C(v) < \deg v$ for all $v \in V(G)$. We have the following fundamental result about the chip-firing game.

Theorem 3.1. [2]. *Let N be the total number of chips, i.e., $N = \sum_{v \in V(G)} C(v)$.*

- (a) *If $N > 2|E| - |V|$, then the game is infinite.*
- (b) *If $N < |E|$, then the configuration reduces to a unique stable configuration.*

- (c) If $|E| \leq N \leq 2|E| - |V|$, then there exists some initial chip configuration which leads to an infinite process, and some other initial configuration which terminates in finite time.

Consequently, since our setting is an infinite binary tree with a finite number of chips, we always have $N < |E|$, so our game always terminates. It is worth reminding the reader that in unlabeled chip-firing, Theorem 1.1 is very valuable.

Theorem 1.1. [6, Theorem 2.2.2] Let c be a configuration.

1. **Local confluence** Suppose c_1 and c_2 are two configurations which are both reachable from c after one firing. Then there exists a common configuration d reachable from both c_1 and c_2 after a single firing.
2. **Global confluence** If a stable configuration c_s is reachable from c after a finite number of legal firing moves, then c_s is the unique stable configuration reachable from c .

Notably, local confluence implies not only global confluence, but also the following corollary.

Corollary 3.2. [6]. *Given a configuration c such that c_s is a stable configuration reachable from c after a finite number of legal firing moves, then although the order of legal firing moves is changeable via local confluence, the stabilizing sequence is unique as a multi-set in the following sense:*

- *the length of any stabilizing sequence is the same and*
- *the number of times each site fires in any stabilizing sequence is the same.*

Hence, to find the length of any stabilizing sequence and the number of times each site fires, it suffices to study one specific sequence. There are other variants of the chip-firing game, including chip-firing on directed graph and chip-firing with a sink vertex. However, these variants are not quite relevant to this paper, so we refer the readers to [1, 3, 6].

Now, we are ready to investigate some properties of the terminal configurations and stabilizing sequences for our setting.

Proposition 3.3. *If we start with N chips at the root, where $2^n - 1 \leq N \leq 2^{n+1} - 2$, in the terminal configuration, the nodes that contain some chips form a perfect binary tree with height n . Furthermore, every node on the same level has the same number of chips.*

Proof. We will prove the proposition by induction on n . In case $n = 1$, it is clear that if we start with 1 or 2 chips at the root, the configuration is already stable, so the only node that contains some chips is the root, which forms a perfect binary tree with height 1.

Suppose the proposition is true for $n = k$, then we will prove that the proposition is true for $n = k + 1$. If we start with $2^{k+1} - 1$ to $2^{k+2} - 2$ chips at the root, we will fire as follows:

1. Fire the root repeatedly until it is not ready to fire anymore.

2. Alternately, fire the left and right branches of the root until they stabilize: after firing a node in the left branch, fire the corresponding node in the right branch.
3. Whenever the two children of the root fire, fire the root.

After step 1, each child of the root will contain the same number of chips. Since every time the root fires, it sends one chip to itself, the root always contains at least one chip. Therefore, after step 1, each child of the root contains between $2^k - 1$ and $2^{k+1} - 2$ chips. Note that step 3 means that whenever the two children of the root fire, they each send one chip to the root. Thus, by firing the root immediately after that, the root returns one chip back to each child. Hence, when the children of the root fire, they basically send two chips to their children and one chip to themselves. Therefore, the firing process of each branch is similar to firing with $2^k - 1$ to $2^{k+1} - 2$ initially placed at the root. By the induction hypothesis, we know that after the process stabilizes, in each branch, the nodes that contain some chips form a perfect binary tree with height $n - 1 = k$, and every node on the same level has the same number of chips. Since step 2 states that the firing process in both branches is exactly the same, the terminal configuration of the two branches is exactly the same. Thus, after the process stabilizes, the nodes that contain some chips in the whole tree form a perfect binary tree with height n , and every node on the same level has the same number of chips. Since we are considering unlabeled chip-firing, the global confluence property applies, so the terminal configuration is always as above. This completes the proof. \square

As mentioned in Sect. 2, the definition of bottom straight descendants depends on the terminal configuration of the process. With Proposition 3.3, we now know that if the process starts with $2^n - 1$ to $2^{n+1} - 2$ chips at the root, the only bottom descendants are the nodes on level n , i.e., while some nodes on level n will have a chip, no nodes on level N for $N \geq n + 1$ will have chips.

Furthermore, the pattern of which levels have nodes with one chip versus two chips in the terminal configuration is easily determined.

Corollary 3.4. *If we start with N chips at the root, where $2^n - 1 \leq N \leq 2^{n+1} - 2$, then for $0 \leq i \leq n - 1$, the resulting terminal configuration has $a_i + 1$ chips on each node on level $(i + 1)$ where $a_n a_{n-1} \cdots a_2 a_1 a_0$ (with $a_n = 1$) is the binary expansion of the number $N + 1$.*

We will now focus on the case in which we start with $2^n - 1$ chips at the root, as this is the case we consider in our main theorem. First of all, we have the following special case of Corollary 3.4.

Corollary 3.5. *If we start with $2^n - 1$ chips at the root, after the process stabilizes, every node in the first n levels contains exactly 1 chip, and no other node contains any chip.*

Proof. Since we start with $2^n - 1$ chips, we know that the nodes that contain some chips form a perfect binary tree with height n . Thus, there are $2^n - 1$ nodes that contain some chips, so each must contain exactly one chip. \square

Another question that arises naturally in the discussion of chip-firing is how many times each node fires during the firing process. It turns out that in case, we start with $2^n - 1$ chips at the root, the numbers of times each node fires are Eulerian numbers. Recall that Eulerian number $\langle n \rangle_k$ is the number of permutations in S_n with k descents. A comprehensive study of Eulerian numbers can be found in Petersen's book [7], but we will point out that the formula for Eulerian numbers is

$$\langle n \rangle_k = \sum_{i=0}^k (-1)^i (k+1-i)^n \binom{n+1}{i}.$$

Specifically, if $k = 1$, we have the following formula for the number of Grassmannian permutations, which are permutations with exactly one descent,

$$\langle n \rangle_1 = 2^n - (n+1).$$

Thus, we have the following recursive formula

$$\langle n+1 \rangle_1 = 2^n - 1 + \langle n \rangle_1.$$

Now, we will prove that in case we start with $2^n - 1$ chips at the root, the numbers of times each node fires are the Eulerian numbers $\langle k \rangle_1$.

Proposition 3.6. *If we start with $2^n - 1$ chips at the root, during the firing process, for every $0 \leq i < n$, every node on level $n - i$ fires $\langle i+1 \rangle_1$ times.*

Proof. We will also prove the proposition by induction on n . In the case $n = 1$, the root does not fire, and since $\langle 1 \rangle_1 = 0$, the proposition is true for $n = 1$.

Suppose the proposition is true for $n = k$, we will prove that the proposition is true for $n = k + 1$. We will consider the same firing process as in the proof of Proposition 3.3. Note that a property of chip-firing is the number of times each node fires is the same regardless of the order we fire. The firing process of the left and right subtrees are exactly the same as in the case $n = k$. Thus, by the induction hypothesis, we know that for every $0 < i < k$, every node on level $k+1-i$ fires $\langle i+1 \rangle_1$ times. Additionally, the root fires $2^k - 1$ times in step 1, and $\langle k \rangle_1$ more times in step 3 (since its children fire $\langle k \rangle_1$ times each). Thus, the root fires $2^k - 1 + \langle k \rangle_1 = \langle k+1 \rangle_1$ times. This completes the proof. \square

This leads to the following corollary on the total number of firing moves during the firing process.

Corollary 3.7. *If we start with $2^n - 1$ chips at the root, during the firing process, the total number of firing moves is $2^n(n-3) + n + 3$.*

The proof of this corollary is straightforward, but we will still verify the calculation here anyway.

Proof. Since on level $n - i$ there are 2^{n-i-1} nodes, each fires $\langle i+1 \rangle_1 = 2^{i+1} - (i+2)$ times during the process, the total number of firing moves is

$$\sum_{i=0}^{n-1} 2^{n-i-1} (2^{i+1} - (i+2)) = \sum_{i=0}^{n-1} (2^n - i2^{n-i-1} - 2^{n-i})$$

$$\begin{aligned}
 &= n2^n - \sum_{i=0}^{n-1} i2^{n-i-1} - \sum_{i=0}^{n-1} 2^{n-i} \\
 &= n2^n - \sum_{k=0}^{n-2} \sum_{i=0}^k 2^i - (2^{n+1} - 2) \\
 &= n2^n - \sum_{k=0}^{n-2} (2^{k+1} - 1) - (2^{n+1} - 2) \\
 &= n2^n - [2^n - 2 - (n - 1)] - (2^{n+1} - 2) \\
 &= 2^n(n - 3) + n + 3.
 \end{aligned}$$

□

4. Proof of Our Main Theorem

In this section, we will consider the labeled chip-firing process with $2^n - 1$ chips (labeled from 1 to $2^n - 1$) initially placed at the root of an infinite binary tree. Recall our definitions from Sect. 2. Let (i, j) be the j th-to-last fire of node i , we will adopt the relation used by Klivans and Liscio in [5] and define the relation $(i_1, j_1) > (i_2, j_2)$ if (i_1, j_1) must occur before (i_2, j_2) , thereby giving a partial order. Note that j is indexed from 0, which means $(i, 0)$ is the last firing move of node i . The relation may seem counter-intuitive at first, but later in this section and in Sect. 6, we will prove some interesting results concerning this poset. Particularly, most firing moves at the beginning of the game may occur in any order. Thus, we want to restrict our attention to the bottom of the poset which consists of the “end game” moves defined as follows.

Definition 4.1. We call the moves (i, j) where $\text{level}(i) + j < n$ the *end game moves*. We call the poset formed by these end game moves with the partial order $(i_1, j_1) > (i_2, j_2)$ if (i_1, j_1) must occur before (i_2, j_2) the *end game moves poset*.

We will later prove that these moves have to occur in some specific order, and the end game moves posets are symmetric modular lattices. Figure 10 shows examples of the end game moves posets for small cases of n . First, we will prove that these end game moves have to occur in some specific order.

Proposition 4.2. Consider a node i with $\text{level}(i) = k > 1$. Then for all j such that $k + j < n$:

- $\left(\left\lfloor \frac{i}{2} \right\rfloor, j\right) < (i, j) < \left(\left\lfloor \frac{i}{2} \right\rfloor, j + 1\right)$,
- (i, j) occurs when node i has three chips.

Before proving this proposition, here we present the outline of the proof:

1. $(1, 0) < (2, 0)$ and $(3, 0)$.
2. $(i, 0) < (2i, 0)$ with the assumption that $\left(\left\lfloor \frac{i}{2} \right\rfloor, 0\right) < (i, 0)$; similarly, we have $(i, 0) < (2i + 1, 0)$.

3. $(2i, 0) < (i, 1)$ and $(2i + 1, 0) < (i, 1)$.
4. $(1, j) < (2, j)$ with the assumptions $(2, j - 1) < (1, j)$ and $(3, j - 1) < (1, j)$; similarly, $(1, j) < (3, j)$.
5. $(i, j) < (2i, j)$ with the assumptions $\left(\left[\frac{i}{2}\right], j\right) < (i, j)$, $(2i, j - 1) < (i, j)$ and $(2i + 1, j - 1) < (i, j)$; similarly, $(i, j) < (2i + 1, j)$.
6. $(i, j) < \left(\left[\frac{i}{2}\right], j + 1\right)$ assuming both $(2i, j - 1) < (i, j)$ and $(2i + 1, j - 1) < (i, j)$.

The idea of the proof is to do induction on both level(i) and j . After steps 1 and 2, we have $(i, 0) < (2i, 0)$ for all nodes i . Then step 3 links $j = 0$ to $j = 1$ by giving $(2i, 0) < (i, 1)$ for all nodes i . This completes the “base” step, and the “inductive” step continues. First, step 4, analogous to step 1, gives $(1, 1) < (2, 1)$ and $(1, 1) < (3, 1)$ using $(2, 0) < (1, 1)$ and $(3, 0) < (1, 1)$ proved in step 3. Then step 5, analogous to step 2, completes the case $j = 1$ by induction on i . Step 6, analogous to step 3, then links $j = 1$ to $j = 2$. Continuing by using step 4, 5 and 6 repeatedly, we can prove the proposition.

Proof. 1. Suppose $(1, 0)$ can occur before $(2, 0)$; then, after $(1, 0)$, node 1 contains at least one chip. Also, it will receive at least one more chip from $(2, 0)$, so it will have at least two chips at the end. This is a contradiction, since we know at the end every node contains exactly one chip. Thus, $(1, 0) < (2, 0)$, and similarly, $(1, 0) < (3, 0)$. Also, $(1, 0)$ must occur when node 1 has three chips, since otherwise node 1 will have more than one chip at the end.

2. Recall from Sect. 2 that nodes $2i$ and $2i + 1$ are the two children of node i . The proof for this case then follows identically to Case 1 above. In particular, suppose $(i, 0)$ can occur before $(2i, 0)$; then, after $(i, 0)$, node i will receive at least one chip from $(2i, 0)$. It will also receive at least one chip from $\left(\left[\frac{i}{2}\right], 0\right)$ by the assumption that $\left(\left[\frac{i}{2}\right], 0\right) < (i, 0)$. Hence, node i will have at least two chips at the end, which is a contradiction. Thus, $(i, 0) < (2i, 0)$, and similarly, $(i, 0) < (2i + 1, 0)$. Also, since after $(i, 0)$, node i will receive at least one chip from $\left(\left[\frac{i}{2}\right], 0\right)$, after $(i, 0)$, node i must have no chips left. Therefore, $(i, 0)$ must occur when node i has three chips.
3. Suppose $(2i, 0)$ can occur before $(i, 1)$, i.e., there is a node i whose left child fires for the last time before i fires for the second-to-last time. Then, after $(2i, 0)$, node $2i$ will receive two more chips from node i , i.e., from both $(i, 1)$ and $(i, 0)$. Hence, node $2i$ will have at least two chips at the end, which is a contradiction. Thus, $(2i, 0) < (i, 1)$, and similarly, $(2i + 1, 0) < (i, 1)$.
4. Suppose $(1, j)$ can occur before $(2, j)$; then, after $(1, j)$, node 1 will keep at least one chip and lose $2(j - 1)$ more chips. However, since $(3, j - 1) < (1, j)$, node 1 will receive at least $j - 1$ more chips from node 3 and j more chips from node 2. Hence, node 1 will receive at least $2j - 1$

chips. Thus, node 1 will have at least two chips at the end, which is a contradiction. Thus, $(1, j) < (2, j)$, and similarly, $(1, j) < (3, j)$. Also, since $(2, j - 1), (3, j - 1) < (1, j)$, after $(1, j)$, node 1 will lose $2(j - 1)$ chips and receive $2(j - 1)$ chips. Therefore, $(1, j)$ must occur when node 1 has three chips.

5. Suppose (i, j) can occur before $(2i, j)$; then after (i, j) , node i will lose $3(j - 1)$ more chips. However, since $\left(\left[\frac{i}{2}\right], j\right) < (i, j)$, node i will receive at least j more chips from node $\left[\frac{i}{2}\right]$. Since $(2i + 1, j - 1) < (i, j)$, node i will also receive at least $j - 1$ more chips from node $2i + 1$. Finally, since (i, j) occur before $(2i, j)$, node i will receive at least j more chips from node $2i$. Hence, node i will receive at least $3j - 1$ chips, so node i will have at least two chips at the end, which is a contradiction. Thus, $(i, j) < (2i, j)$, and similarly, $(i, j) < (2i + 1, j)$. Also, since $(2i, j - 1), (2i + 1, j - 1), \left(\left[\frac{i}{2}\right], j\right) < (i, j)$, after (i, j) , node i will lose $3(j - 1)$ chips and receive $3j - 2$ chips, so (i, j) must occur when node i has three chips.
6. Suppose (i, j) can occur before $\left(\left[\frac{i}{2}\right], j + 1\right)$; then after (i, j) , node i will lose $3(j - 1)$ more chips. However, since $(2i, j - 1), (2i + 1, j - 1) < (i, j)$, node i will receive at least $j - 1$ more chips from node $2i$ and $2i + 1$, and at least $j + 1$ more chips from node $\left[\frac{i}{2}\right]$. Thus, node i will receive at least $3j - 1$ chips, so node i will have at least two chips at the end, which is a contradiction. Therefore, $(i, j) < \left(\left[\frac{i}{2}\right], j + 1\right)$. □

In general, in the end game of the process, a node fires its j th to last time before its parent's j th to last fire, but after its parent's $j + 1$ to last fire. This is analogous to Lemma 2.6 in [5]. They showed that for labeled chip-firing on a line, the end game moves satisfy the relation $(x, y) < (x + 1, y)$ and $(x, y) < (x, y + 1)$. This gives a nice grid structure on the end game moves posets. For our case, however, since each node has three neighbors, we do not have such nice grid structure. We will show in Sect. 6 that our end game moves posets are modular lattices, but are not distributive. Furthermore, they showed that for labeled chip-firing on a line, the end game moves occur when the nodes have exactly two chips. In our case, the end game moves occur when the nodes have exactly three chips.

An important corollary of this firing relation is the following local confluence property in the end game.

Corollary 4.3. (Local confluence at the end). *Suppose $2^n - 1$ labeled chips are initially placed at the root, node 1. Then, the root fires $\langle n \rangle_1 = 2^n - (n + 1)$ times before the terminal configuration. From the moment $(1, n - 2)$ can occur, the order in which the nodes fire does not affect the terminal configuration.*

Proof. In the end game of the process, a node fires its j th to last time before its parent's j th to last fire, but after its parent's $j + 1$ to last fire. Thus, if a node can fire, none of its neighbors can fire (i.e., neither its parent or its two children). Also, each fire occurs when the node has exactly three chips. Thus, when a node can fire, it will fire exactly the three chips that it contains, and since none of its neighbors can fire, none of its neighbors can affect its firing move. Therefore, the order in which we fire does not affect the terminal configuration. \square

Since the order in which we fire does not matter, we can assume that in the end game, we fire as follows:

1. Before $(1, n - 2)$, all firing moves (i, j) with $\text{level}(i) + j \geq n$ must have occurred. After this step, $(1, n - 2)$ is the only possible move, node 1 has three chips, and every node on level 2 to $n - 1$ has two chips.
2. Fire every node from 1 to $2^{n-1} - 1$ exactly once each. After this step, every node on level n has one chip, and this chip is the chip it will have when the process stabilizes. Every node on level $n - 1$ has no chip, every node on level 2 to $n - 2$ has two chips and node 1 has three chips.
3. Fire every node from 1 to $2^{n-2} - 1$ exactly once each. After this step, every node on level n and $n - 1$ has one chip, and this chip is the chip it will have when the process stabilizes. Every node on level $n - 2$ has no chip, every node on level 2 to $n - 3$ has two chips and node 1 has three chips.
4. Repeat the above step until the process stabilizes.

The idea behind the above process is that, in the end game, we will fire in waves, and each wave includes firing every node that can fire once. In each wave, the root will fire and send two chips to its children. Every other node that has two chips will receive one chip from its parent, fire the three chips it has and finally receive two chips back from its children. The only exceptions are the nodes on the bottom-most level that fire as these nodes will not receive any chip from its children, so they will have no chip after the wave and will only receive one more chip from their parents in the next wave.

An example of a wave of fires can be seen in Fig. 5. First, the root fires and sends chips 4 and 8 to its children and sends chip 6 to itself. Its two children then fire and each sends one chip back to the root and two chips to the nodes on level 3. The nodes on level 3 then fire, sending chips back to their parents and children. The nodes on level 4 do not fire, so their parents on level 3 do not receive any chip back, and this is the end of the wave.

It can also be seen that as we fire in waves, in each wave, when a chip is sent down from a node to its child, it will be fired again when the child fires. Thus, it will be fired repeatedly until it is fired back from a node to its parent or it reaches the children of the bottom-most level that fires where it will stay for the rest of the process. With these results, we are now ready to prove our main result.

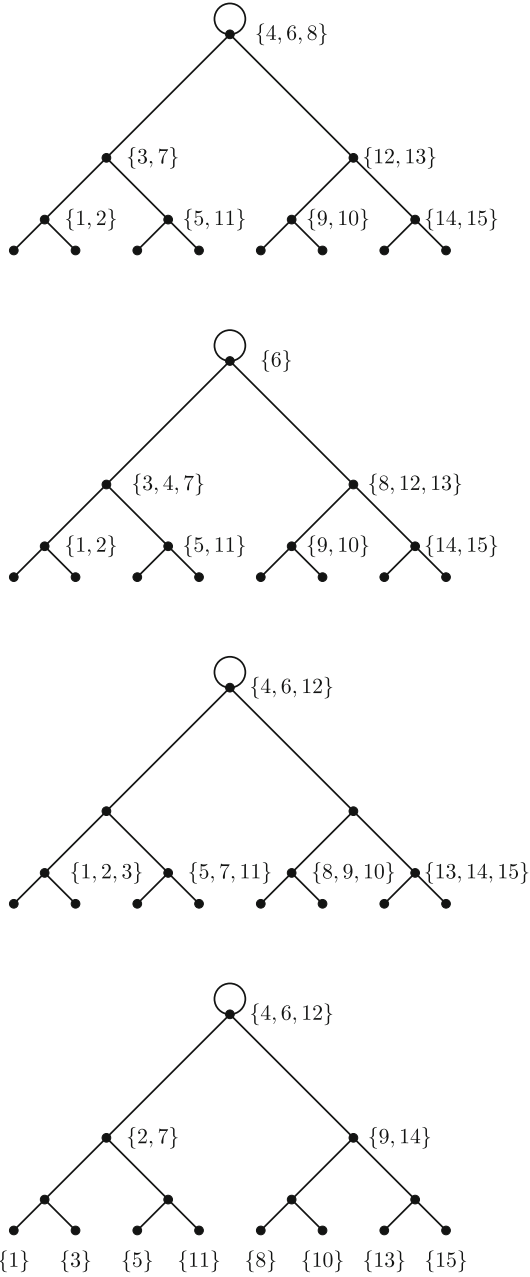


FIGURE 5. Example of a wave of fires

Theorem 1.2. In labeled chip-firing on an infinite binary tree with $2^n - 1$ chips (labeled from 1 to $2^n - 1$) initially placed at the origin, the terminal configuration always has one chip at each node of the first n levels. Moreover, the bottom straight left and right descendants of a node contain the smallest and largest chips among its subtree.

Proof. The first part of the theorem has already been proved in the previous section. We will now prove the second part. We will prove that the bottom straight left descendant of a node contains the smallest chip among its subtree, and the result for the bottom straight right descendant is analogous. Also, notice that if we want to prove the statement for a node i that is not a right child of its parent, we only have to prove that the statement is true for its top straight ancestor j since they have the same bottom straight left descendant and $(i) \subset (j)$ (here recall that (i) denotes the subtree (i)). Thus, we only have to prove the statement for the nodes that are the right child of their parents.

Consider an arbitrary such node i , let c be the smallest chip that i contains throughout the firing process. Clearly, c is also the smallest chip the whole subtree (i) contains throughout the firing process; thus, whenever c is fired inside (i) , it is fired to the left child. Therefore, from the moment c reaches i , it always stays among i and its straight left descendant.

Now note that during the end game, from the move $(i, n - 1 - \text{level}(i))$, i.e., the first move of node i in the end game, i alternatively sends back and receives one chip from its parent. Since i is the right child of its parent, the chip it receives is larger than or equal to the chip it sends back, so c cannot reach i for the first time after $(i, n - 1 - \text{level}(i))$. This means that c is already in (i) during the first wave, and since c is only fired to the left child when it is fired, c will reach the end of the first wave, which is the bottom straight left descendant of i . This completes the proof. \square

With the sorting property proved for the bottom descendants, a natural question to ask is whether this property can be generalized to nodes on other levels. There are indeed two other types of nodes where this property is true. The first type is the nodes whose top straight ancestor is their parent. Recall the definition of straight ancestors from Sect. 2.

Proposition 4.4. *For any node whose top straight ancestor is its parent, if the node is a left child, then the chip it contains is smaller than both the chips of the parent and the parent's right child and vice versa.*

Proof. The reason is that when the parent fires the last time, clearly the chip the left child receives is smaller than the chip the right child receives. Also, the parent sends one chip back and then receives one chip from its parent. Since the parent is a right child, the chip it receives is no smaller than the chip it sends back, and thus is no smaller than the chip the left child contains. \square

The other type is the nodes that are parents of the bottom nodes.

Proposition 4.5. *The nodes that are parents of the bottom nodes contain the smallest and largest chips among the subtree of their straight ancestors excluding the nodes on the bottom level.*

Proof. The proof for this case is just slightly more complicated than that for Theorem 1.2. Again, we will consider an arbitrary node i that is the right child of its parent, and let c be the second smallest chip c that is sent to its left branch ($2i$) through out the process. Clearly, inside ($2i$), when c is fired, it is fired to the left child, except when it is fired together with the smallest chip in which case it is sent back to the parent. Either way, c will remain among i and its straight left descendants, and with the same argument as in Theorem 1.2, c is already in ($2i$) in the second wave, which means c will go to the parent of the bottom straight left descendant. We know that among the chips that are sent to the right branch ($2i + 1$) of node (i), the smallest chip will go to the bottom level, and it is clear that the second smallest chip has to be larger than c , so c is the smallest chip among the chips in (i) excluding the nodes on the bottom level. This completes the proof. \square

Examples of the two types can be seen in Fig. 3. For instance, in the case $n = 5$, the chip in node 6 (7) is indeed smaller than that in node 3 (27), and the chip in node 9 (14) is larger than that in node 4 (13). Also in the case $n = 5$, we can check that the property is true for the parents of the bottom nodes, which are those on level 4.

5. Counterexamples

With Theorem 1.2 and Proposition 4.5 proved, it is natural to attempt to generalize the property to nodes on other levels. Unfortunately, the statement for any other node is generally not true.

Counterexample 5.1. *The k th straight left descendant of node i , i.e., node $2^k i$, may not contain the smallest chip among i and its first k levels of descendants.*

For example, in Fig. 3, the case of $n = 5$, node 6 (7) has a chip with smaller label than that of node 4 (13) even though node 4 is the straight left descendant of the root in the binary tree truncated to the top 3 levels, its label is not the smallest in its corresponding subtree.

From the counterexample, we also know that not only the straight left descendants may not contain the smallest chip among the whole subtree, it even may not contain the smallest chip among the nodes on the same level. Now that we know we cannot extend our main theorem to other nodes, we may want to try to study a more local conjecture. Our local conjecture is: in the terminal configuration, for every node i not on the bottom-most level, its chip is larger than the chip of its left child's and is smaller than that of its right child's. Unfortunately, the conjecture is also not true, and we will show a counterexample here.

Counterexample 5.2. *For some node i , its chip may be smaller than the chip of its left child's or larger than the chip of its right child's.*

To make the description of the counterexample simpler, we will consider a colored chip-firing process with red and blue chips similar to that discussed

in Klivans' book [6, Sect. 5.4]. Colored chip-firing is a variation of labeled chip-firing where each chip is colored red or blue. When three chips of the same color fire, we send one to the left child, one to the right child, and one to the parent. When three chips of different colors fire, we send a red chip to the left child, a blue chip to the right child, and the other chip to the parent. Suppose we have a sorting property for labeled chip-firing, we say the property is true for colored chip-firing if for every node i, j such that node i must contain a smaller chip than node j in labeled chip-firing, node j cannot contain a red chip while node i contain a blue chip in colored chip-firing. We have the following proposition about the equivalence between colored chip-firing and labeled chip-firing from Klivans' book [6, Sect. 5.4].

Proposition 5.3. *A sorting property is true for labeled chip-firing if and only if it is true for all possible colorings of colored chip-firing.*

Now, we will show a counterexample in colored chip-firing for counterexample 5.2. First, let us quickly introduce a notation that will be useful. Denote $[i, XYZ]$, where $X, Y, Z \in \{R, B\}$, to be “fire three chips colored X, Y, Z at node i ” with R for red and B for blue. Thus, $[1, RRB]$ means “fire two red chips and one blue chips at node 1”. Furthermore, we will use $[i, XYZ]^n$ to say “apply $[i, XYZ]$ n times”.

Now, consider colored chip-firing with 63 chips, in which 23 chips are colored red and 40 chips are colored blue, initially placed at the root. We will first fire the red chips as follows:

$$\begin{aligned} & [1, RRR]^{11} \longrightarrow [2, RRR]^3 \longrightarrow [3, RRR]^3 \longrightarrow [4, RRR] \longrightarrow [5, RRR] \longrightarrow \\ & [6, RRR] \longrightarrow [7, RRR] \longrightarrow [1, RRR]^3 \longrightarrow [2, RRR]^2 \longrightarrow [3, RRR]^2 \longrightarrow [1, RRR]^2 \\ & \longrightarrow [2, RRR] \longrightarrow [3, RRR] \longrightarrow [4, RRR] \longrightarrow [5, RRR] \longrightarrow [6, RRR] \longrightarrow \\ & [7, RRR] \longrightarrow [1, RRR] \longrightarrow [2, RRR] \longrightarrow [3, RRR] \longrightarrow [1, RRR] \end{aligned}$$

At this moment, the red chips are arranged like in Fig. 6. Then we will fire wisely so that in the subtree (2), we fire as many red chips as possible out of the straight left descendants. In the subtree (3), on the other hand, we fire the blue chips without touching the red chips. Specifically, we will fire as follows:

$$\begin{aligned} & [1, RBB] \longrightarrow [1, BBB]^{19} \longrightarrow [2, RBB]^2 \longrightarrow [1, BBB] \longrightarrow [4, RRR] \longrightarrow \\ & [8, RRR] \longrightarrow [2, RBB] \longrightarrow [2, BBB]^4 \longrightarrow [1, BBB] \longrightarrow [2, BBB] \longrightarrow [1, BBB]^2 \\ & \longrightarrow [4, RBB]^2 \longrightarrow [2, BBB] \longrightarrow [5, RBB] \longrightarrow [5, BBB]^2 \longrightarrow [2, BBB] \longrightarrow \\ & [4, BBB] \longrightarrow [9, RBB] \longrightarrow [10, RBB] \longrightarrow [5, BBB] \longrightarrow [2, BBB] \longrightarrow [11, RBB] \\ & \longrightarrow [10, RRB] \longrightarrow [11, RBB] \longrightarrow [5, RBB] \longrightarrow [1, BBB] \longrightarrow [3, BBB]^8 \\ & \longrightarrow [1, BBB] \longrightarrow [2, BBB] \longrightarrow [4, BBB] \longrightarrow [8, RBB] \longrightarrow [9, RBB] \longrightarrow \\ & [1, BBB]^4 \longrightarrow [2, BBB] \longrightarrow [4, BBB] \longrightarrow [5, BBB] \longrightarrow [2, BBB] \longrightarrow [1, BBB] \\ & \longrightarrow [3, BBB]^2 \longrightarrow [1, BBB] \longrightarrow [2, BBB] \longrightarrow [6, BBB]^3 \longrightarrow [7, BBB]^3 \\ & \longrightarrow [3, BBB]^2 \longrightarrow [1, BBB] \longrightarrow [3, BBB] \longrightarrow [1, BBB] \longrightarrow [6, BBB] \longrightarrow \\ & [7, BBB] \longrightarrow [3, BBB] \longrightarrow [12, BBB] \longrightarrow [13, BBB] \longrightarrow [14, BBB] \longrightarrow \end{aligned}$$

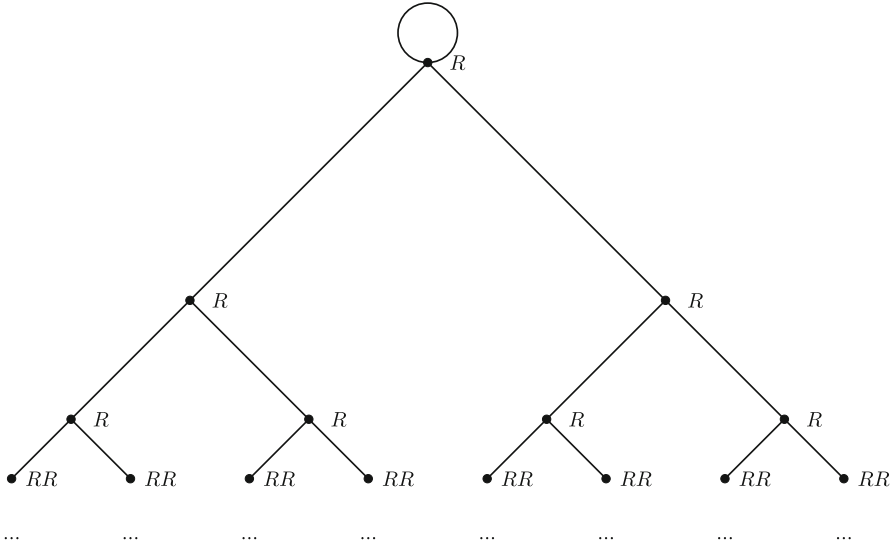


FIGURE 6. Counterexample 5.2—red chips arrangement (the 40 blue chips are still at the root)

$$[15, BBB] \longrightarrow [6, BBB] \longrightarrow [7, BBB] \longrightarrow [3, RBB] \longrightarrow [6, RRB] \longrightarrow [7, RBB] \\ \longrightarrow [12, RBB] \longrightarrow [13, BBB] \longrightarrow [14, RBB] \longrightarrow [15, BBB]$$

Thus, before the end game, the subtree (2) will look like Fig. 7, while subtree (3) looks like Fig. 8. Now, we will fire in waves. In the first wave, the red chip in node 8 will be sent to node 16, and thus when node 16 fires, there will be only two red chips remaining among node 2 and its straight left descendants. Hence, after three waves, nodes 32 and 16 will contain a red chip, but node 8 will contain a blue chip. On the other hand, in the subtree (3) after the first wave, nodes 12, 13, 14, and 15 will each send one red chip back to their parents. Then after the second wave, nodes 6, 7 will each send one red chip back to node 3, so after the third wave, node 3 will send one red chip back to node 1. Hence, after the fourth wave, node 4 will contain a red chip, which is a counterexample since node 8 contains a blue chip (see Fig. 9).

We can label the 23 red chips from 1 to 23 and the blue chips from 24 to 63 to verify that this is indeed a counterexample for labeled chip-firing.

6. Firing Move Poset

In this section, we will study the poset of “end game” moves. Recall that in Sect. 5, we defined “end game” moves in a firing process with $2^n - 1$ chips to be the moves (i, j) where $\text{level}(i) + j < n$. In other words, the last j instances of firing at node i , as long as this inequality hold. We also recall the

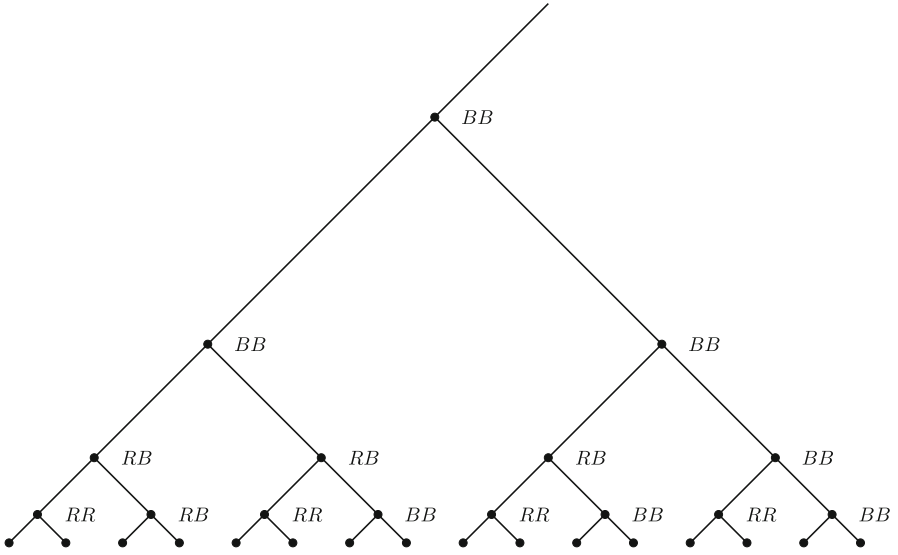


FIGURE 7. Counterexample 5.2—subtree (2) before the end game

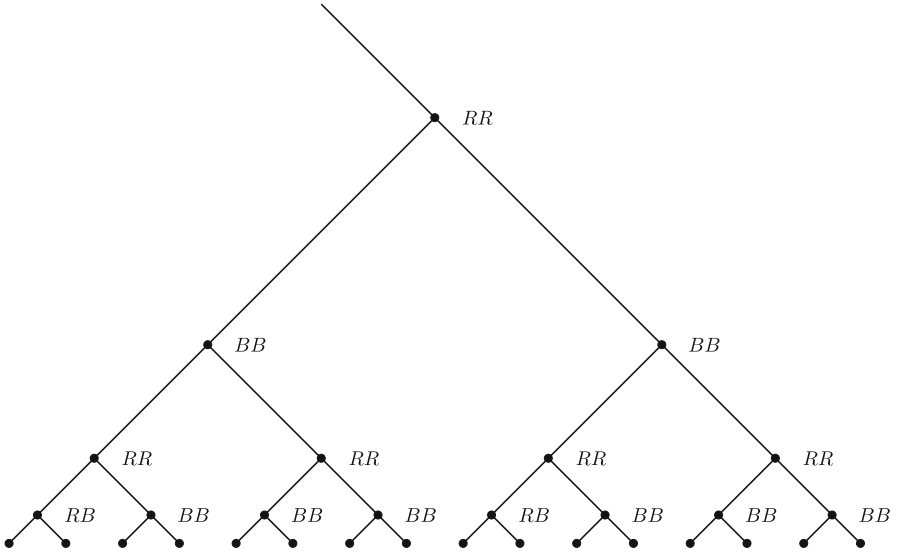


FIGURE 8. Counterexample 5.2—subtree (3) before the end game

relation $(i_1, j_1) > (i_2, j_2)$ if (i_1, j_1) must occur before (i_2, j_2) and proved that $\left(\left[\frac{i}{2}\right], j\right) < (i, j) < \left(\left[\frac{i}{2}\right], j+1\right)$.

Now, let us give a rigorous definition for our poset.

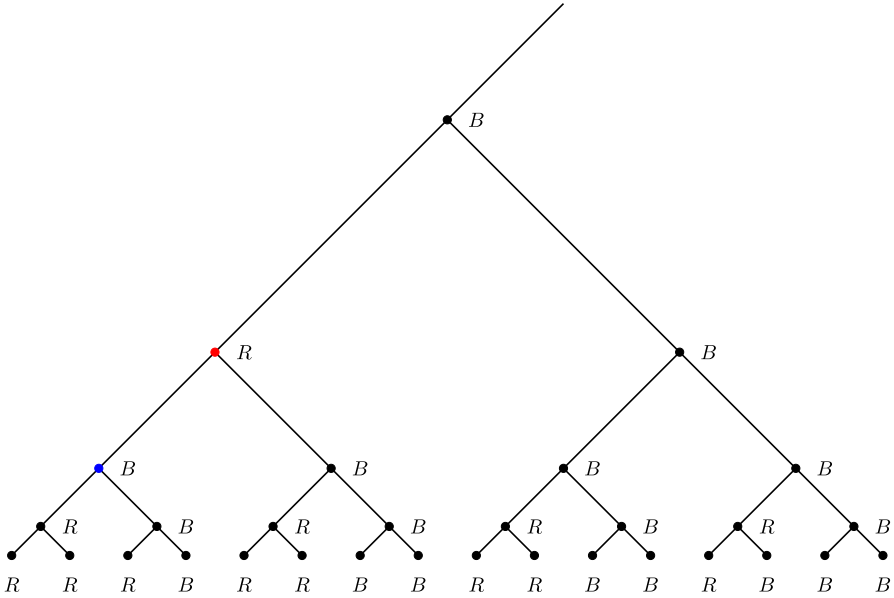


FIGURE 9. Counterexample 5.2—subtree (2) of the terminal configuration

Definition 6.1. Define the partial ordered set P_n to be a set whose elements are the moves (i, j) where $\text{level}(i) + j < n$, together with the binary relation \leq where $(i_2, j_2) \leq (i_1, j_1)$ if (i_1, j_1) must not occur after (i_2, j_2) .

The Hasse diagrams of P_4 and P_5 can be seen in Fig. 10. From the definition of P_n , it is clear that $P_1 \subset P_2 \subset P_3 \subset \dots$. Let us recall some terminology common in enumerative combinatorics, e.g., see [9, Chapter 3]. We say that a poset P is a *lattice* if every pair of elements s, t has a unique least upper bound (called a *join*, denoted $s \vee t$) and a unique greatest lower bound (called a *meet*, denoted $s \wedge t$). P is *graded* if there is a rank function $\rho : P \rightarrow \mathbb{N}$ such that $\rho(s) = 0$ for every minimal element s , and $\rho(t) = \rho(s) + 1$ if element t covers s , meaning $s \leq t$ with no elements in between. This is often denoted as $s \triangleleft t$. Note that when P is graded, its rank function is also unique. A lattice is *modular* if it is graded and its rank function ρ satisfies

$$\rho(s) + \rho(t) = \rho(s \vee t) + \rho(s \wedge t)$$

for all s, t .

We will first show that the posets P_n are lattices.

Proposition 6.2. P_n is a lattice.

Proof. Consider any pair of elements (u, p) and (v, q) , we will prove that their join exists, and the argument for their meet follows analogously.

Since u and v are nodes of a binary tree, there exists a unique path from u to v . Suppose the path is $u = a_0 - a_1 - a_2 - \dots - a_i = w = b_j - b_{j-1} - \dots - b_1 -$

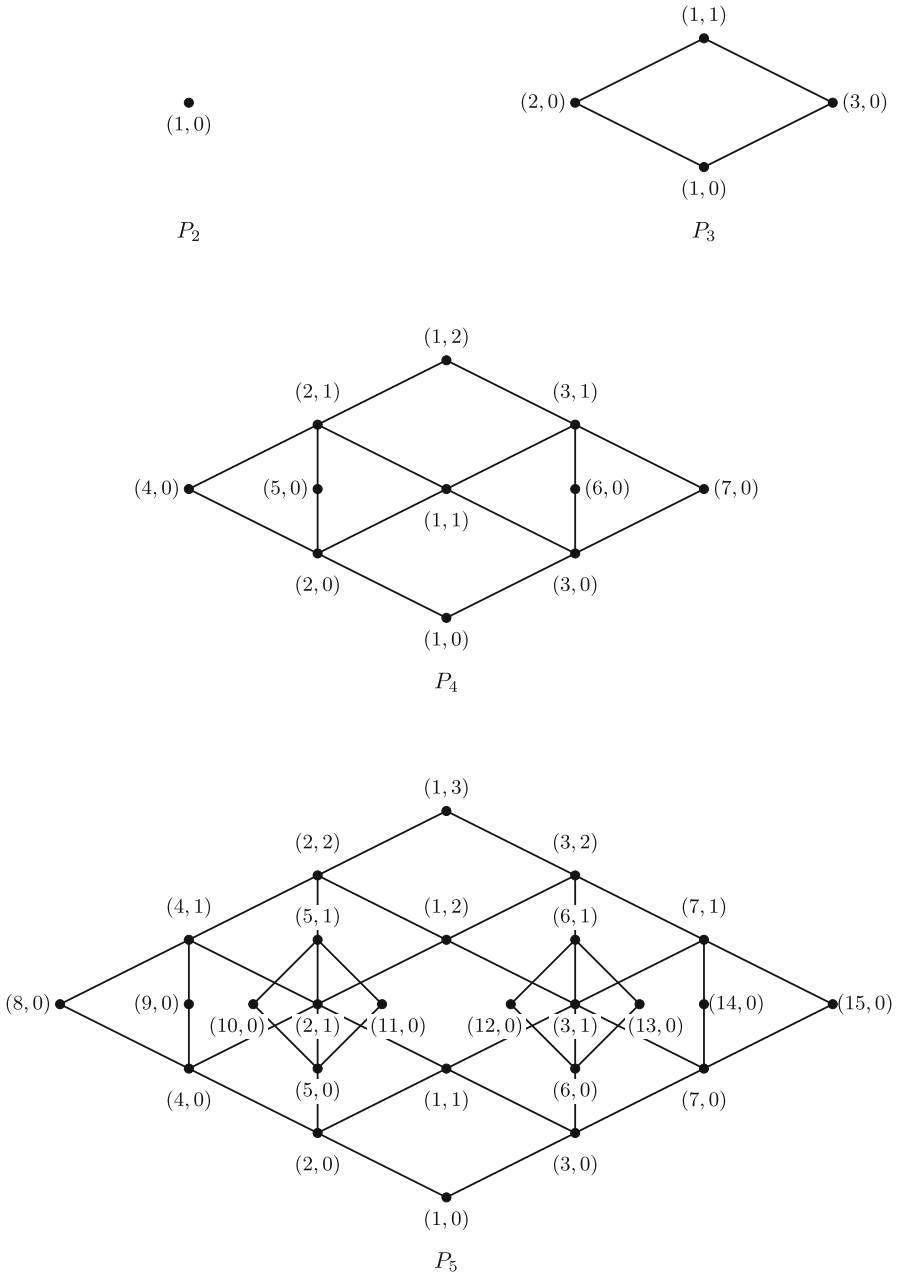


FIGURE 10. Hasse diagrams of the posets P_2, P_3, P_4, P_5

$b_0 = v$ with $i, j \geq 0$ and a_k, b_k are children of a_{k+1}, b_{k+1} for all k . For now, let us temporarily remove the restriction $\text{level}(i) + j < n$ for elements $(i, j) \in P_n$ and suppose that every element (i, j) is in P_n and Proposition 4.2 is true for all elements. This temporary inclusion is only for our sake of convenience and we will show that it will not affect our argument. With this inclusion, however, we can now form the chains $C_1 : (u, p) \triangleleft (a_1, p+1) \triangleleft \cdots \triangleleft (w, p+i) \triangleleft (b_{j-1}, p+i) \triangleleft \cdots \triangleleft (v, p+i)$ and $C_2 : (v, q) \triangleleft (b_1, q+1) \triangleleft \cdots \triangleleft (w, q+j) \triangleleft (a_{i-1}, q+j) \triangleleft \cdots \triangleleft (u, q+j)$ without any concern that some elements may not be in P_n . It is worth noticing that in the C_1 , every element (a, b) is the element of type (a, k) with the lowest value of k such that $(a, k) \geq (u, p)$. In other words, every move (a, b) in the chain is the latest move of node a that must not occur after (u, p) . The same applies for C_2 .

If $p+i \leq q$ then $(u, p) < (v, q)$, so their join is simply (v, q) . Similarly, if $q+j \leq p$, then $(v, q) < (u, p)$, so their join is simply (u, p) . Suppose none of the above inequalities is true, then since $p+i > q$, we have $(v, p+i) > (v, q)$. Also, since none of the above inequalities is true, (u, p) and (v, q) are incomparable, there is an element in C_1 such that it is larger than (v, q) , but every element before it is not comparable with (v, q) . Suppose this element is $(a_k, p+k)$ for some $0 < k \leq i$, we will see shortly that if this element is $(b_k, p+i)$ for some $0 < k \leq j$ then we can consider the chain C_2 and the argument follows analogously.

First, we will show that $p+k = q+j$. It is easy to see that $p+k \geq q+j$, since $(a_k, q+j)$ is the latest move of node a_k that must not occur after (v, q) . On the other hand, if $p+k > q+j$, then $p+k-1 \geq q+j$, so $(a_{k-1}, p+k-1) \geq (a_{k-1}, q+j) > (v, q)$, which is a contradiction since we assume $(a_{k-1}, p+k-1) \geq (a_{k-1}, q+j) > (v, q)$, which is a contradiction since we assume $(a_{k-1}, p+k-1) \geq (a_{k-1}, q+j) > (v, q)$. Thus, $p+k = q+j$, so $(a_k, p+k)$ is also in C_2 .

Second, we will show that $(a_k, p+k) = (a_k, q+j)$ plays the same role in C_2 , that is, $(a_k, q+j)$ is the first element that is larger than (u, p) in C_2 . Clearly, $(a_k, q+j) > (u, p)$, since it is an element of C_1 . Also, if $k < i$, then $(a_{k+1}, q+j) < (a_{k+1}, p+k+1)$, which is the latest move of node a_{k+1} that must not occur after (u, p) , so $(a_{k+1}, q+j)$ is incomparable with (u, p) . If $k = i$, then similarly, $(b_{j-1}, p+i-1) < (b_{j-1}, p+i)$, which is the latest move of node b_{j-1} that must not occur after (u, p) , so $(b_{j-1}, p+i-1)$ is incomparable with (u, p) . Thus, $(a_k, p+k) = (a_k, q+j)$ is the first element that is larger than (u, p) in C_2 .

Next, we will show that $(a_k, p+k)$ is the join of (u, p) and (v, q) . Clearly, $(a_k, p+k)$ is an upper bound of (u, p) and (v, q) . Consider an arbitrary upper bound $(s, t) \neq (a_k, p+k)$, we will prove that $(s, t) > (a_k, p+k)$. Clearly, if $s = a_k$ then $t > p+k$, so $(s, t) > (a_k, p+k)$. If s is one of the a_0, a_1, \dots, a_{k-1} , then if $t < q+j$, (s, t) is either smaller than or incomparable to (v, q) , which is a contradiction. If $t \geq q+j$, then $(s, t) > (a_k, t) \geq (a_k, q+j)$. The analogous argument applies in case s is one of the $a_{k+1}, \dots, w, \dots, b_0$. Lastly, if s is not any of the nodes $a_0, \dots, w, \dots, b_0$, then there exists two chains $(s, t) \triangleright (c_1, d_1) \triangleright \cdots \triangleright (u, d_l) \geq (u, p)$ and $(s, t) \triangleright (e_1, f_1) \triangleright \cdots \triangleright (v, f_m) \geq (v, q)$. Since our graph is a tree, the paths $s - c_1 - \cdots - u$ and $s - e_1 - \cdots - v$ must merge at some point, i.e., there exist r such that $c_1 = e_1, c_2 = e_2, \dots, c_r = e_r$ and $c_r = e_r$ is

one of the nodes $a_0, \dots, w, \dots, b_0$. Thus, $(s, t) > (c_r, d_r) \geq (a_k, p + k)$. Hence, $(a_k, p + k)$ is the join of (u, p) and (v, q) .

Finally, we will show that the above temporary inclusion of P_n does not affect our result. This is because $(1, n - 2)$ is the $\hat{1}$ element of P_n , so $(1, n - 2)$ is an upper bound of (u, p) and (v, q) . Thus, $(1, n - 2) > (a_k, p + k)$, which means $(a_k, p + k)$ is also an end game moves and thus is in the original P_n . This completes the proof. \square

Next, we define the following rank function $\rho((i, j)) = \text{level}(i) + 2j$. We will prove that ρ makes P_n a graded poset.

Proposition 6.3. *P_n equipped with the rank function $\rho((i, j)) = \text{level}(i) + 2j$ is graded.*

Proof. This is trivial from the fact that for every element $(i, j) \in P_n$, there are only three possible elements covering (i, j) : $(2i, j)$, $(2i+1, j)$ and $\left(\left[\frac{i}{2}\right], j+1\right)$. It is easy to check that the rank of these elements are all $\rho((i, j)) + 1$. \square

Now, we are ready to state our second theorem.

Theorem 6.4. *P_n is a symmetric modular lattice.*

Proof. The fact that P_n is a lattice and is graded has already been established, so we now show that P_n is modular. Consider two arbitrary elements (u, p) and (v, q) , we will show that $\rho((u, p)) + \rho((v, q)) = \rho((u, p) \vee (v, q)) + \rho((u, p) \wedge (v, q))$. Reusing the notations in Proposition 6.2, suppose that the join of (u, p) and (v, q) is $(a_k, p + k)$ with $p + k = q + j$, so $\rho((u, p)) + k = \rho((u, p) \vee (v, q))$. Similar to Proposition 6.2, we can find the meet of (u, p) and (v, q) . If $j \geq k$ then $(u, p) \wedge (v, q) = (b_k, q)$, and if $j \leq k$ then $(u, p) \wedge (v, q) = (a_{i+j-k}, p)$. In both cases, we have $\rho((u, p) \wedge (v, q)) + k = \rho((v, q))$. This proves the equality and hence modularity.

Symmetry is more straightforward. We will show that the involution $(i, j) \rightarrow (i', j)$ where $i' = 2^{\text{level}(i)} + 2^{\text{level}(i)-1} - 1 - i$ is an automorphism that gives vertical symmetry. This is an involution because $\text{level}(i) = \text{level}(i') = k$ and $i + i' = 2^k + 2^{k-1} - 1$. This is an automorphism because there are only three possible elements covering (i, j) : $(2i, j)$, $(2i+1, j)$ and $\left(\left[\frac{i}{2}\right], j+1\right)$. The element $(2i, j)$ is mapped to $(2^{\text{level}(i)+1} + 2^{\text{level}(i)} - 1 - 2i, j) = (2i'+1, j)$, which covers (i', j) . One can check that this also holds for the other two elements analogously.

Furthermore, we also have the involution $(i, j) \rightarrow (i, j')$ where $j' = (n - 1) - \text{level}(i) - j$ is an anti-automorphism that gives horizontal symmetry. This can be proved similarly to the automorphism above. \square

One may also ask if the lattice is a distributive lattice. Unfortunately, the answer is no because already for the case of $n \geq 4$, we see that the lattice P_n contains the diamond M_3 , consisting of five elements on three ranks such that there is a bottom element and a top element connected to each of the three elements in the middle. This is a well-known obstruction to distributivity.

Lastly, it can be seen that every poset P_n can be seen as a sublattice of P_∞ . Consider the poset P_∞ and let $f(i)$ be the number of elements whose rank is i , we have the following proposition on $f(i)$.

Proposition 6.5. *For all $i > 0$, we have*

$$f(i) = \begin{cases} \frac{2^{i+1} - 1}{3} & \text{if } i \text{ is odd} \\ \frac{2^{i+1} - 2}{3} & \text{if } i \text{ is even} \end{cases}.$$

Proof. The easiest way to see this is that $f(i)$ is the number of nodes whose level has the same parity as i . The number of such nodes can actually be written in binary form as a sequence of i digits, starting with 1, and the 1 and 0 digits alternate. This number is the sequence *A000975* on OEIS and can be easily calculated using the formula above. \square

Corollary 6.6. *For all $n > 0$, the number of firing moves in the end game, i.e., the number of vertices in lattice P_n , is $2^n - (n + 1)$.*

Proof. The easiest way to see this is to look at the waves in the end game. In the i th wave, we fire every node from level 1 to $n - i$, so there are $2^{n-i} - 1$ moves in the i th wave. Thus, there are $\sum_{i=1}^{n-1} 2^{n-i} - 1 = 2^n - (n + 1)$ moves in the end game.

Another way to prove this corollary is to note that the map ϕ that sends every move (i, j) to $(i, n - 1 - \text{level}(i) - j)$ is an order-reversing P_n -isomorphism. Then the result follows from Proposition 6.5. \square

7. Further Questions

Two natural questions that arise in our study are

1. What are the reachable terminal configurations?
2. How many reachable terminal configurations are there?

The answer for the cases $n = 1$ and 2 is straightforward. We also know the answer when $n = 3$. By Theorem 1.2 and Proposition 4.5, we know that nodes 4, 2, 3, 7 have to contain the chips 1, 2, 6, 7, respectively. However, the other nodes do not have to contain a fixed chip, so in Fig. 11, (a, b, c) can be an arbitrary permutation of $(3, 4, 5)$. Indeed, we can check that every permutation gives a reachable terminal configuration. Thus, there are 6 reachable terminal configurations in total.

The situation quickly becomes more complicated when $n = 4$. Again, by Theorem 1.2 and Proposition 4.5, we know that nodes 8, 4, 7, 15 have to contain the chips 1, 2, 14, 15 respectively, but the other 11 nodes do not have to contain a fixed chip. Even though there are additional relations among the nodes, those relations do not decrease the number of reachable configurations down to some small numbers. In fact, as we were finishing this paper, Patrick Liscio coded up the $n = 4$ case in python and shared his code with us. He found a total of 36220 possible terminal configurations, which can be found *here*. In

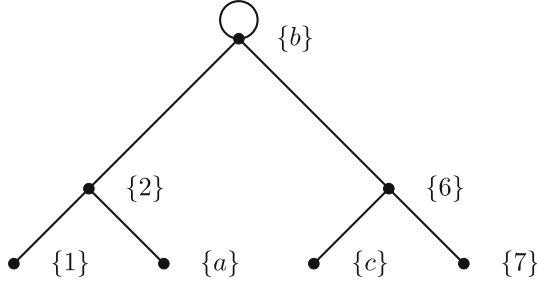


FIGURE 11. Terminal configurations when $n = 3$

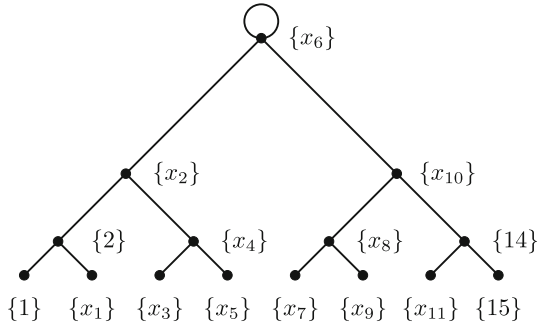


FIGURE 12. Terminal configurations when $n = 4$

addition, by Proposition 4.4, with x_i s being the chips in Fig. 12, we know that $x_1 < x_5; x_2, x_3 < x_4 < x_5; x_2 < x_6 < x_{10}; x_7 < x_8 < x_{10}, x_9; x_7 < x_{11}$. Indeed, these relations are all we can have, that is, for every other pair (x_i, x_j) , there is a reachable configuration in which $x_i < x_j$ and one in which $x_i > x_j$.

Another question is which terminal configuration are we most likely to get if we fire randomly. In the case $n = 3$, we know that we will fire 6 moves, in which 4 are end game moves. We already know that end game moves do not affect the terminal configuration, so the terminal configuration is actually determined by the first 2 moves at the root. There are $\binom{7}{3} \binom{5}{3} = 350$ possible ways we can fire the first two moves. We found that, with $\{a, b, c\}$ being the chips in Fig. 11, the permutation $(a, b, c) = (3, 4, 5)$ occurs 216 times, $(3, 5, 4)$ and $(4, 3, 5)$ both occur 54 times, $(5, 3, 4)$ and $(4, 5, 3)$ both occur 12 times, and $(5, 4, 3)$ only occur twice. Specifically, to get the $(5, 4, 3)$ configuration, in the first 2 moves, we have to fire the chips in triplets as $\{1, 2, 3\}$ and $\{5, 6, 7\}$. To get the $(5, 3, 4)$ configuration, we can either fire the triplet $\{5, 6, 7\}$ then any triplet except $\{1, 2, 3\}$, or we can first fire any triplet among the chips $1, 2, 3, 4$ except $\{1, 2, 3\}$ then fire $\{5, 6, 7\}$, which gives 12 ways in total. The analogous argument applies for the the $(4, 5, 3)$ configuration, which also gives 12 ways in total. To get the $(3, 5, 4)$ configuration, we have to fire $\{x, y, 4\}$ where $x, y, < 4$ and not fire $\{1, 2, 3\}$ or $\{5, 6, 7\}$, it can be checked that there are 54 ways in total. Similarly, there are 54 ways to get the $(4, 3, 5)$ configuration.

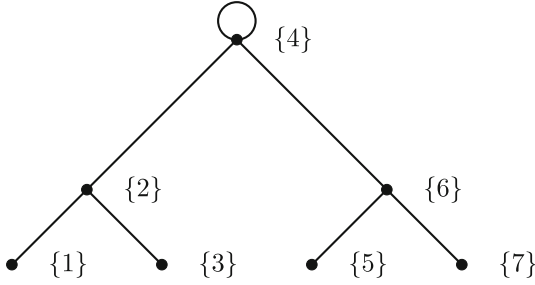


FIGURE 13. Most likely terminal configuration when $n = 3$

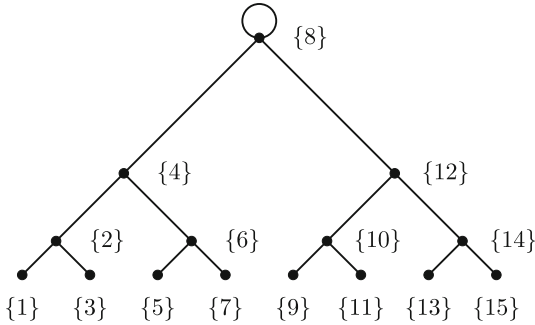


FIGURE 14. Most likely terminal configuration when $n = 4$

Finally, every other way will give the $(3, 4, 5)$ configuration; thus, the most likely terminal configuration is the one in Fig. 13.

In the $n = 4$ case, it takes 23 firing moves (see Corollary 3.7) to get from the initial configuration to a terminal configuration. In light of Corollary 6.6, 11 of these moves take place in the end game and do not affect the resulting terminal configuration. Using the aforementioned python code from Patrick Liscio for the $n = 4$ case, the most likely terminal configuration is the one in Fig. 14 with a frequency of approximately 3.846%. When $n \geq 5$, however, the number of possible terminal configurations grows so fast that we could not get reliable data to estimate relative frequencies of different terminal configurations. Liscio's code also gave the min and max bounds for chip labels at different nodes, as shown in the data in the Appendix. In particular, even in the $n = 4$ case, there are restrictions on the possible terminal configurations (i.e., on the values of x_1, x_2, \dots, x_{11}) beyond the fifteen inequalities mentioned above due to Propositions 4.4 and 4.5. This continues to be an interesting area for future work.

It is noticeable that in both cases, the most likely terminal configuration is a binary search tree. Recall from section 5 that a **binary search tree** is a binary tree in which for every node, its chip is larger than *all* chips in its left subtree, and is smaller than *all* chips in its right subtree. For a full binary

tree on n levels using the labels $1, 2, \dots, 2^n - 1$, there is a unique such binary search tree. We thus make the following conjecture.

Conjecture 7.1. *For all n , the most likely terminal configuration is the unique binary search tree on the complete binary search tree on $2^n - 1$ nodes.*

Remark. One way to view the terminal configuration is to list the chips from left to right. This will turn any terminal configuration to a permutation in S_{2^n-1} . For example, the binary tree in Fig. 14 corresponds to the identity permutation in S_{15} (see the Appendix for another example). Thus, another way to phrase Conjecture 7.1 is “For all n , the most likely terminal configuration corresponds to the identity permutation in S_{2^n-1} .”

While it might be tempting to suggest that configurations with fewer inversions occur with higher probability, this is not necessarily true. For example, configurations with two inversions in the middle occur more frequently than those with one inversion toward either side of the tree.

Another natural question is what happens if we start with any number of chips, not necessarily $2^n - 1$. However, by Corollary 3.4, if we do not start with $2^n - 1$ chips, in the terminal configurations, some node has two chips. Thus, some end game moves will occur when the node has more than three chips. This means that we will not have confluence in the end game. Hence, many results here will not hold. For example, if we start with 2^n chips, we can keep the chip labeled 1 at the root and never fire it. Hence not only would the first part of our main theorem (Theorem 1.2) no longer hold, but the second part of our main theorem regarding the labels of bottom straight left and right descendants would also fail. It is an interesting open question for future research to determine what restrictions, if any, there are on labelings of terminal configurations when we do not start with $2^n - 1$ chips at the root.

Postscript: As we were completing this paper, we learned about the work by Hugunin and Roman at an REU at University of Washington [8] that also considered labeled chip-firing on trees, although under different definitions than our setup. It would be interesting for future work to compare our two approaches and the different questions that the two projects focused on.

Acknowledgements

The first author was partially supported by NSF grant DMS-1745638, and both authors thank M. Elkin for helpful proofreading, as well as S. Hopkins, C. Klivans, P. Liscio, J. Propp, and the referee for their valuable comments.

Data Availability The datasets generated during and/or analyzed during the current study are available from the corresponding author on reasonable request.

Declarations

Conflict of interest On behalf of all authors, the corresponding author states that there is no conflict of interest.

Publisher’s Note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Springer Nature or its licensor (e.g. a society or other partner) holds exclusive rights to this article under a publishing agreement with the author(s) or other rightsholder(s); author self-archiving of the accepted manuscript version of this article is solely governed by the terms of such publishing agreement and applicable law.

A. Liscio’s Code Results

How to read:

- First section:

Max/min at [Node]: [Chip]

[Tree in which max/min occurs]

Here, [Node] is labeled using the convention of Fig. 4, i.e., the root is node 1 and every node i has a left child labeled $2i$ and a right child labeled $2i + 1$.

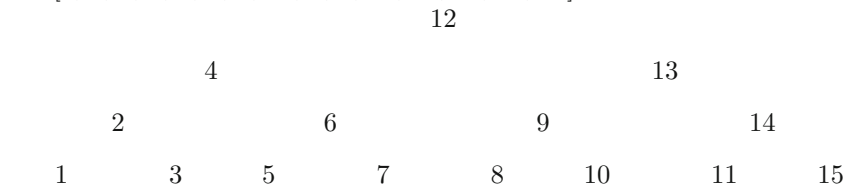
[Chip] is the label of the unique chip at [Node] in the terminal configuration. Max/min refers to the label of the chip at [Node].

- Second section:

[Tree], [# Inversions]

- Tree: read from left to right

Ex: [1, 2, 3, 4, 5, 6, 7, 12, 8, 9, 10, 13, 11, 14, 15] means



RESULT:

Number of configurations: 36220

Max at 1: 12

[1, 2, 3, 4, 5, 6, 7, 12, 8, 9, 10, 13, 11, 14, 15]

Min at 1: 4

[1, 2, 5, 3, 6, 7, 8, 4, 9, 10, 11, 12, 13, 14, 15]

Max at 2: 8

[1, 2, 3, 8, 4, 9, 10, 11, 5, 6, 7, 12, 13, 14, 15]

Min at 2: 3

[1, 2, 4, 3, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15]

Max at 3: 13

[1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 13, 12, 14, 15]

Min at 3: 8

[1, 2, 3, 4, 9, 10, 11, 5, 6, 7, 12, 8, 13, 14, 15]

Max at 4: 2

[1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15]

Min at 4: 2

[1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15]

Max at 5: 11

[1, 2, 3, 4, 5, 11, 12, 6, 7, 8, 9, 10, 13, 14, 15]

Min at 5: 5

[1, 2, 6, 3, 4, 5, 8, 7, 9, 10, 11, 12, 13, 14, 15]

Max at 6: 11

[1, 2, 3, 4, 5, 6, 7, 9, 8, 11, 12, 13, 10, 14, 15]

Min at 6: 5

[1, 2, 3, 6, 7, 8, 9, 10, 4, 5, 11, 12, 13, 14, 15]

Max at 7: 14

[1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15]

Min at 7: 14

[1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15]

Max at 8: 1

[1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15]

Min at 8: 1

[1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15]

Max at 9: 10

[1, 2, 10, 3, 4, 5, 12, 6, 7, 8, 9, 11, 13, 14, 15]

Min at 9: 3

[1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15]

Max at 10: 10

[1, 2, 3, 4, 10, 11, 12, 5, 6, 7, 8, 9, 13, 14, 15]

Min at 10: 3

[1, 2, 4, 5, 3, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15]

Max at 11: 13

[1, 2, 3, 4, 5, 6, 13, 7, 8, 9, 10, 11, 12, 14, 15]

Min at 11: 7

[1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15]

Max at 12: 9

[1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15]

Min at 12: 3

[1, 2, 4, 5, 6, 7, 8, 9, 3, 10, 11, 12, 13, 14, 15]

Max at 13: 13

[1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 13, 11, 12, 14, 15]

Min at 13: 6

[1, 2, 3, 7, 8, 9, 10, 11, 4, 5, 6, 12, 13, 14, 15]

Max at 14: 13

[1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15]

Min at 14: 6

[1, 2, 3, 5, 7, 8, 9, 10, 4, 11, 12, 13, 6, 14, 15]

Max at 15: 15

[1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15]

Min at 15: 15

[1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15]

SAMPLE OF THE FULL RESULT:

[1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15], 0

[1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 12, 11, 13, 14, 15], 1

[1, 2, 3, 5, 4, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15], 1

[1, 2, 4, 3, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15], 1

[1, 2, 3, 4, 5, 6, 7, 9, 8, 10, 11, 12, 13, 14, 15], 1

[1, 2, 3, 4, 5, 6, 8, 7, 9, 10, 11, 12, 13, 14, 15], 1

[1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 13, 12, 14, 15], 1

[1, 2, 3, 4, 5, 6, 7, 10, 8, 9, 11, 12, 13, 14, 15], 2

[1, 2, 4, 5, 3, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15], 2

[1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 13, 11, 12, 14, 15], 2

[1, 2, 3, 4, 5, 6, 7, 9, 8, 10, 12, 11, 13, 14, 15], 2

[1, 2, 4, 3, 5, 6, 7, 8, 9, 10, 11, 13, 12, 14, 15], 2

[1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 12, 13, 11, 14, 15], 2

[1, 2, 3, 4, 5, 6, 8, 9, 7, 10, 11, 12, 13, 14, 15], 2

$[1, 2, 5, 3, 4, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15], 2$
 $[1, 2, 3, 4, 5, 6, 9, 7, 8, 10, 11, 12, 13, 14, 15], 2$
 $[1, 2, 3, 5, 4, 6, 7, 8, 9, 10, 11, 13, 12, 14, 15], 2$
 $[1, 2, 4, 3, 5, 6, 7, 8, 9, 10, 12, 11, 13, 14, 15], 2$
 $[1, 2, 4, 3, 5, 6, 8, 7, 9, 10, 11, 12, 13, 14, 15], 2$
 $[1, 2, 4, 3, 5, 6, 7, 9, 8, 10, 11, 12, 13, 14, 15], 2$
 $[1, 2, 3, 5, 4, 6, 7, 9, 8, 10, 11, 12, 13, 14, 15], 2$
 $[1, 2, 3, 4, 5, 7, 8, 6, 9, 10, 11, 12, 13, 14, 15], 2$
 $[1, 2, 3, 4, 5, 6, 7, 9, 8, 10, 11, 13, 12, 14, 15], 2$
 $[1, 2, 3, 4, 5, 6, 8, 7, 9, 10, 12, 11, 13, 14, 15], 2$
 $[1, 2, 3, 5, 4, 6, 7, 8, 9, 10, 12, 11, 13, 14, 15], 2$
 $[1, 2, 3, 5, 4, 6, 8, 7, 9, 10, 11, 12, 13, 14, 15], 2$
 $[1, 2, 3, 4, 5, 6, 8, 7, 9, 10, 11, 13, 12, 14, 15], 2$
 \vdots
 $[1, 2, 9, 5, 4, 10, 13, 8, 3, 6, 12, 11, 7, 14, 15], 25$
 $[1, 2, 4, 5, 10, 11, 13, 9, 3, 7, 8, 12, 6, 14, 15], 25$
 $[1, 2, 9, 4, 5, 10, 13, 8, 3, 7, 12, 11, 6, 14, 15], 25$
 $[1, 2, 10, 5, 4, 8, 13, 11, 3, 6, 9, 12, 7, 14, 15], 25$
 $[1, 2, 10, 4, 8, 9, 13, 7, 3, 5, 6, 11, 12, 14, 15], 25$
 $[1, 2, 9, 4, 7, 10, 13, 5, 3, 8, 12, 11, 6, 14, 15], 25$
 $[1, 2, 8, 6, 4, 11, 13, 7, 3, 5, 12, 10, 9, 14, 15], 25$
 $[1, 2, 8, 4, 7, 11, 13, 5, 3, 9, 12, 10, 6, 14, 15], 25$
 $[1, 2, 9, 6, 4, 10, 13, 7, 3, 5, 12, 11, 8, 14, 15], 25$
 $[1, 2, 8, 4, 5, 11, 13, 9, 3, 7, 12, 10, 6, 14, 15], 25$
 $[1, 2, 7, 6, 4, 11, 13, 9, 3, 5, 12, 10, 8, 14, 15], 25$
 $[1, 2, 8, 5, 4, 11, 13, 9, 3, 6, 12, 10, 7, 14, 15], 25$
 $[1, 2, 10, 6, 4, 7, 13, 11, 3, 5, 9, 12, 8, 14, 15], 25$
 $[1, 2, 10, 5, 4, 9, 13, 8, 3, 6, 11, 12, 7, 14, 15], 25$
 $[1, 2, 10, 6, 4, 9, 13, 7, 3, 5, 11, 12, 8, 14, 15], 25$

References

- [1] Anders Björner and László Lovász. Chip-firing games on directed graphs. *Journal of algebraic combinatorics*, 1:305–328, 1992.
- [2] Anders Björner, László Lovász, and Peter W Shor. Chip-firing games on graphs. *European Journal of Combinatorics*, 12(4):283–291, 1991.
- [3] Alexander E Holroyd, Lionel Levine, Karola Mészáros, Yuval Peres, James Propp, and David B Wilson. Chip-firing and rotor-routing on directed graphs. *In and out of equilibrium*, 2:331–364, 2008.
- [4] Sam Hopkins, Thomas McConville, and James Propp. Sorting via chip-firing. arXiv preprint [arXiv:1612.06816](https://arxiv.org/abs/1612.06816), 2016.
- [5] Caroline Klivans and Patrick Liscio. Confluence in labeled chip-firing. *Journal of Combinatorial Theory, Series A*, 186:105542, 2022.

- [6] Caroline J Klivans. *The mathematics of chip-firing*. Chapman and Hall/CRC, 2018.
- [7] T Kyle Petersen. *Eulerian numbers*. Springer, 2015.
- [8] Monique Roman and Jasper Hugunin. Labeled chip firing on binary trees, Aug 2017.
- [9] Richard P Stanley. Enumerative combinatorics volume 1 second edition. *Cambridge studies in advanced mathematics*, 2011.

Gregg Musiker and Son Nguyen
University of Minnesota - Twin Cities
Minneapolis
USA
e-mail: musiker@umn.edu

Communicated by Vasu Tewari
Received: 13 March 2023.
Accepted: 14 November 2023.