

Shallow Structure Imaging Using Multi-mode Dispersion Curves Based on Multi-window HLRT in DAS Observation

 ${\rm X_{IN}\ W}{\rm ANG}, ^1{\rm X}{\rm U}{\rm AN}\ {\rm F}{\rm ENG}, ^1{\rm X}{\rm U}{\rm RI}\ {\rm D}{\rm ON}{\rm G}, ^1{\rm Q}{\rm I}{\rm AN}\ {\rm L}{\rm IU}, ^1$ and Taihan ${\rm W}{\rm ANG}^1$

Abstract—Distributed acoustic sensing (DAS) is a high-density seismic observation system emerging in recent years. It can achieve low-cost and high-density observation and is one of the important methods for imaging city shallow structure. However, the highmode dispersion spectrum information recorded by DAS system is difficult to utilize effectively. To solve this problem, we propose to extract multi-mode dispersion curves of active and passive sources through multi-window high-resolution linear Radon transform (HLRT) method and invert multi-mode dispersion curves of active and passive sources to construct shallow surface underground S-wave velocity structure. The research results show that the multiwindow HLRT transform method can effectively extract multimode dispersion curves from DAS records by adding time windows; the improved objective function further enhances the stability and accuracy of inversion. The development of multimode dispersion curves extraction and inversion technology provides a new method for low-cost and high-resolution exploration of underground structures with DAS system.

Keywords: Distributed acoustic sensing, high-resolution linear Radon transform, dispersion curves, inversion.

1. Introduction

The Rayleigh surface wave exploration method has the characteristics of being non-destructive, noninvasive and high-resolution and is widely used in city geological exploration (Xia et al., [1999;](#page-15-0) Yoon, [2011\)](#page-15-0). However, the Rayleigh surface wave exploration method is more dependent on high-density observation systems, and the high-density observation system is difficult to arrange on a large scale in cities because of instrument arrangement, instrument maintenance and high economic cost (Becker et al., [2017;](#page-14-0) Dou et al., [2017\)](#page-14-0).

Distributed acoustic sensing (DAS) is a highdensity seismic observation system emerging in recent years. The distance between stations can reach the order of $1 \sim 10$ m. Its system structure is relatively simple, the maintenance cost is very low, and it has the function of real-time data transmission (Becker et al., [2017;](#page-14-0) Daley et al., [2016](#page-14-0); Dou et al., [2017\)](#page-14-0). DAS technology monitors the dynamic strain of optical fiber by measuring the phase change of Rayleigh backscattered signals in optical fiber and then demodulates the seismic wave field records (Parker et al., [2014](#page-14-0)). DAS system has been widely and effectively used in shallow structure imaging (Tribaldos et al., [2021;](#page-14-0) Zeng et al., [2017\)](#page-15-0), groundwater level monitoring (Becker et al., [2017](#page-14-0); Mateeva et al., [2017](#page-14-0)), etc.

DAS system has the characteristics of long-distance, high-density, and long-term observation, and the observed value of the DAS system is the axial dynamic strain of optical fiber, which can be equivalent to the R component of the seismograph by demodulating the phase of light pulse. So, effective high-mode surface wave signals are easily obtained (Foti et al., [2000](#page-14-0); Dal Socco et al., [2010](#page-14-0); Moro et al., [2015;](#page-14-0) Hu et al., [2020](#page-14-0)). However, in the actual processing of DAS data, high-mode signals are difficult to use effectively. The reason is that most of the energy is concentrated in the fundamental dispersive spectrum, and the peak energy of the high-mode dispersive spectrum is lower, so high-mode dispersion curves are difficult to pick up. In addition, if the adjacent dispersive spectra are close to each other, the information of the dispersive spectrum will be polluted. As a result, multi-mode dispersion curves are

¹ College of Geo-Exploration Science and Technology, Jilin University, Changchun 130026, China. E-mail: fengxuan@jlu.edu.cn; wangtaihan@jlu.edu.cn

very prone to mode mixing, resulting in misidentification of the dispersion curve mode, which brings a great challenge to the effective utilization of highmode dispersion curves (Luo et al., [2010;](#page-14-0) Maraschini et al., [2010](#page-14-0); Tokimatsu et al., [1992](#page-14-0); Zhang & Chan, [2003\)](#page-15-0). Therefore, in the shallow surface structure imaging with DAS, obtaining a high resolution and high signal-to-noise dispersive spectrum image is very important for extracting the dispersion curve and subsequently suppressing the multi-solution of inversion results.

For the $F-K$ transform, $Tau-p$ transform, phase shift and slant stacking algorithm, which typically suffers from resolution loss and aliasing as a consequence of insufficient information, producing a highresolution dispersion image is difficult (Luo et al., [2009\)](#page-14-0). High-resolution linear Radon transform can extract fundamental and high-mode dispersion curves (Luo et al., [2008,](#page-14-0) [2009](#page-14-0); Pan et al., [2016](#page-14-0)). Wang et al. [\(2019](#page-15-0)) propose the frequency-Bessel (F-J) transform method, which can stably extract high-resolution fundamental and high-mode dispersion curves from ambient noise data. However, in actual exploration, it is a great challenge to accurately pick up the dispersion curve by directly transforming the seismic records to the f-v (frequency-phase velocity) domain. In the process of high-mode dispersion curves are extracted, the duration of fundamental-mode surface waves is longer than for other waveforms, and the amplitude difference is obvious (Visser et al., [2008](#page-15-0); Yoshizawa & Ekström, 2010). The waveforms with small energy are masked when converting the waveforms into the f – ν domain through fast Fourier transform (FFT). In addition, if the adjacent dispersive spectra are close to each other, the information of the dispersive spectrum will be polluted. Luo et al. [\(2009](#page-14-0)) propose to improve the resolution of highmode surface waves by mode separation, but it is necessary to intercept the target dispersive spectrum in the f – ν domain, transfer it to the time domain and then transfer it to the f - ν domain to extract the dispersion curve, which is complicated and tedious. Li and Chen ([2020\)](#page-14-0) add time windows to the F-J transform method, and fundamental and higher-mode dispersion curves are extracted by multi-window.

We propose to use the multi-window high-resolution linear Radon transform (HLRT) method to extract multi-mode dispersion curves, which enables the DAS system to extract richer dispersive spectrum information, to introduce uncertainty estimation into the inversion objective function and to improve the accuracy and stability of inversion. Our method provides a new method for near-surface high-resolution exploration in cities.

2. Methods

2.1. Introduction Multi-window HLRT Method

The high-resolution linear Radon transform (HLRT) extraction dispersion curve is relatively mature, and this section provides a brief overview of it. The forward LRT can be written in matrix form as:

$$
d = Lm, \tag{1}
$$

and the adjoint transformation,

$$
m_{\text{adj}} = L^{\text{T}} d,\tag{2}
$$

where $L = e^{i\pi f 2px}$ is the forward LRT operator, d and *represent the shot gather and Radon panel, and* m_{adi} denotes a low-resolution Radon panel using the transpose or adjoint operator L^T . It is difficult to achieve high-resolution images of dispersion energy from standard RT because they suffer from the loss of resolution and aliasing arising as a consequence of incomplete information, including limited aperture and discretization (Trad et al., [2003](#page-14-0)). For that purpose, they proposed a stochastic inversion technique that is able to retrieve a sparse Radon panel.

To invert Radon operators, a sparsity constraint should be considered when finding the model m that best fits the data while minimizing the number of model-space parameters. The model m can be defined by solving the following Eq. (3) (Trad et al., [2002,](#page-14-0) [2003\)](#page-14-0),

$$
(W_{\mathbf{m}}^{-T} \mathbf{L}^{T} W_{\mathbf{d}}^{T} W_{\mathbf{d}} \mathbf{L} W_{\mathbf{m}}^{-1} + \lambda \mathbf{I}) W_{\mathbf{m}} \mathbf{m} = W_{\mathbf{m}}^{-T} \mathbf{L}^{T} W_{\mathbf{d}}^{T} W_{\mathbf{d}} \mathbf{d},
$$
\n(3)

where I denotes the identity matrix, W_d is a matrix of data weights, and W_m is a matrix of model weights that plays an extremely important role in the design of high-resolution Radon operators. λ maintains

DAS system easily records multi-mode surface waves in city near-surface imaging applications, but there are some challenges in extracting high-mode dispersion curves. We give a velocity interval $([V1,$ V2]) to calculate time windows ($[T1 = r/V2, T2 = r/$ $V1$]) for each epicentral distance (r) to intercept waveforms. The intercepted waveforms are transformed into $f-v$ domain by HLRT, and then dispersion curves are extracted. We call this method multi-window HLRT. The window function of the intercepted waveform is as follows:

$$
delta = \frac{(T2 - T1)}{2} \times Fs,
$$
 (4)

$$
\varphi_{\text{misfit}} = \min \left(\sum_{i}^{N} \frac{(\text{PV}(f_i) - C(f_i))^2}{2\sigma(f_i)^2} \right), \quad (6)
$$

where N is the number of data to be picked up, $PV(f_i)$ is the phase velocity of the i-th frequency (f_i) to be picked up, and $C(f_i)$ is the phase velocity (calculated multi-mode phase velocity) calculated by f_i . $\sigma(f_i)$ is the uncertainty estimate of picking up $PV(f_i)$. In the process of inversion, all data points are isolated from each other, so it is not necessary to identify the number of modes corresponding to the picked up data. Only the minimum square difference of $C(f_i)$ of one mode with $PV(f_i)$ is required. The introduction of $\sigma(f_i)$ increases the anti-error ability of inversion and also reflects that $\sigma(f_i)$ of extracting the dispersion curve is too high, which may cause the inversion results to be distorted. Therefore, it is necessary to increase the resolution of the dispersion spectrum and

$$
\begin{cases}\n\text{out}(r, i) = \sin\left(\frac{\pi}{2} \times i/\text{delta}\right) & i = T1 \times Fs, T1 \times Fs + 1, \dots, \text{delta} \\
\text{out}(r, j) = \sin\left(\frac{\pi}{2} \times j/\text{delta}\right) & j = \text{delta}, \text{delta} + 1, T2 \times Fs.\n\end{cases}\n\tag{5}
$$

Fs is the sampling rate; out is the window function. To avoid the time window being too short, the time interval $([T1, T2])$ should be extended appropriately. Generally, time window selection is flexible. As long as the fundamental waveform is separated, multi-window HLRT can be effectively performed.

2.2. Inversion Objective Function

In the process of multi-mode dispersion curve inversion, mode skip and mode mixing are often encountered. However, the DAS system very easily records high-mode dispersion curves. If the mode misidentification can be avoided, the advantages of DAS system in imaging near-surface can be further enhanced. Therefore, we use the following inversion objective function:

reduce the uncertainty of pick-up dispersion curves for the accuracy and reliability of inversion results.

3. Numerical Examples

3.1. Multi-window HLRT Method Test

A four-layer horizontal layered stratigraphic model (Table 1) was designed for numerical

The 50th channel waveform and time window: a waveform intercepted by Win1; b waveform intercepted by Win2

simulation. One hundred geophones received the signal. The channel spacing was set to 2 m, the minimum offset was set to 20 m, the sampling rate was set to 2000 Hz, the recording time was set to 2 s, and the simulation records were the radial component of the seismic signal.

Taking the 50th channel of synthetic seismogram as an example (Fig. 1), it can be seen that the fundamental and high-mode waveforms have obvious arrival boundaries. The duration of the fundamental waveform is longer, and the amplitudes are quite different. According to the waveform record in Fig. 1, the velocity range of Win1 was set to [150 m/s, 250 m/s], the velocity range of Win2 was set to [250 m/s, 500 m/s], and the broadening time was set to 0.05 s. Win1 window intercepts fundamental waveform, and Win2 intercepts highmode waveform.

The result of multi-window HLRT to extract the dispersion curves is shown in Fig. [2.](#page-4-0) When the dispersion spectrum is directly transformed without time windows, the mutual interference between the fundamental and higher-mode surface wave and the poor resolution of the dispersion spectrum between 5 and 20 Hz make it difficult to accurately extract the dispersion curve. The seismic waves intercepted by the Win1 are transformed to the $f-v$ domain, because the high-mode surface waves are separated, and the 5–20 Hz fundamental dispersion information can be displayed. The fundamental dispersion spectrum is consistent with the theoretical phase velocity curve, which also shows the correctness of multi-window HLRT. The high-mode dispersion spectrum

Extraction of dispersion spectrum by multi-window HLRT: a Complete waveforms, b dispersion spectrum without time windows, c waveforms intercepted by Win(150–250 m/s), d dispersion spectrum by Win(150–250 m/s), e waveforms intercepted by Win(150–250 m/ s), f dispersion spectrum by Win(250–500 m/s)

intercepted by Win2 shows that its resolution is significantly improved, and the pickup accuracy of the high-mode dispersion curve is improved because the fundamental and high-mode surface waves are separated.

We add time windows to the frequency Bessel transform and transform the seismic records to the f– ν domain, as shown in Fig. [3,](#page-5-0) which also proves the effectiveness of the added time windows. Notably, in the formula of frequency Bessel transform, a general integral from 0 to positive infinity needs to be realized by numerical integration of observed data (Hu et al., [2020](#page-14-0); Li & Chen, [2020;](#page-14-0) Wang et al., [2019\)](#page-15-0). Due to our offset distance, the phase velocity energy peak superposition of the dispersion spectrum converges to the extreme value poorly. In the actual

Figure 3

Extraction of dispersion spectrum by Multi-window F–J: a Dispersion spectrum without time windows, b dispersion spectrum by Win(150–250 m/s), c dispersion spectrum by Win(150–250 m/s)

Table 2

exploration of DAS system, the performance of the frequency Bessel transform will also be reduced due to the offset distance.

3.2. Objective Function Test

We use Bayesian trans-dimensional inversion (Bodin et al., [2012](#page-14-0); Killingbeck et al., [2018\)](#page-14-0) to test the objective function. The stratigraphic model is shown in Table [1](#page-2-0). The prior distribution information on v_S (defining upper and lower bounds) of each layer is shown in Table 2. The maximum number of floating nuclei was set to 15, the number of confined nuclei was set to 3, the burn-in chain length was set to 10,000 and 1,000,000 iterations, $\sigma_{\text{change}} - v_S$ (standard deviation of Gaussian proposal on change v_S value) was set to 20 m/s, σ_{move} -depth (standard deviation of Gaussian proposal on depth move) was set to 1 m, and $\sigma_{\text{birth/death}} - v_S$ (standard deviation of Gaussian proposal on birth and death) was set to 400 m/s.

The inversion results of the objective function with uncertainty estimation $\sigma(f_i)$ are shown in Fig. [4a](#page-6-0)–d. When the picked data are not added with noise, the posterior probability density distribution of v_S is very concentrated, and the fitting degree between the best fitting data and the picked data is also very high, which shows the correctness of the objective function. When 10% white noise is added to the picked data, the posterior probability density distribution of v_S is concentrated near the real model, and the best fitting data are close to the picked data with 10% white noise. The inversion results of the objective function without uncertainty estimation $\sigma(f_i)$ are shown in Fig. [4e](#page-6-0), f. Inversion results pursue over-fitting, the posterior probability density distribution of v_S is very different from the actual model, and many abnormal thin layers appear. The inversion results show that the introduction of uncertainty estimation $\sigma(f_i)$ ensures the anti-interference capability of the algorithm and improves the stability of inversion of actual data.

4. Applications to Field Data

4.1. Multi-window HLRT Extract DAS Passive Source Dispersion Curve

The experimental site is located at the North Square of Geological Palace of Jilin University, and about 400 m optical fiber was arranged by shallow burying. Our data were from 53th to 78th channel recordings (Fig. [5](#page-7-0)). In the data collection process, the channel interval was set to 5 m, the sampling rate was

Objective function test: a Posterior probability density distribution of v_S model without white noise, **b** dispersion curve fitting without white noise, c posterior probability density distribution of v_S model with 10% white noise and σ constraint, d dispersion curve fitting with 10% white noise and σ constraint, **e** posterior probability density distribution of v_S model with 10% white noise and no σ constraint, **f** dispersion curve fitting with 10% white noise and no σ constraint

set to 2000 Hz, and the total time for continuous recording was 3 h (12:00–15:00).

The 1-min continuous recordings were sampled down to 100 Hz; 1–20 Hz band-pass filtered recordings were selected as normalization factor of time domain normalization. Spectrum whitening was performed in the frequency band, and the NCFs were calculated. Finally, the 1-min NCFs were stacked to 3 h.

Figure [6](#page-7-0) shows the NCFs of the 80th channel as the virtual source and 78th–60th channels as the receiving channels. After 3-h NCFs had been stacked, the surface wave signals were more significant and had a higher signal-to-noise ratio. The obtained NCFs

Figure 5 Topographic map of Jilin University experimental site and DAS array

Figure 6 NFCs of 60th–78th channels

are converted into dispersion spectra as shown in Fig. [7.](#page-8-0)

The DAS records are directly transformed to the $f-v$ domain without time windows, the dispersion spectrum has poor continuity and low resolution, and it is difficult to accurately pick up the dispersion curve (Fig. [7](#page-8-0)a). We set the large time window Win(150–300 m/s) to intercept DAS records and enhance surface waves. Because noise data are eliminated, the dispersion spectrum is continuous (Fig. [7](#page-8-0)b). With Win(150–180 m/s), the fundamental surface waves are separated well, and the fundamental dispersion curve at higher frequencies can be extracted (Fig. [7c](#page-8-0)). With Win(180–300 m/s), because the actual data are more complex, setting the time windows can only weaken the energy of the fundamental waveforms in the $f-v$ domain, which is difficult to eliminate. Therefore, the information of the fundamental dispersive spectrum is included in Fig. [7d](#page-8-0). Fortunately, it is consistent with the information on the dispersive spectrum in Fig. [7](#page-8-0)c and is not distorted. Figure [7](#page-8-0) shows that the actual dispersion spectrum is complex, and the mode identification of the dispersion curve faces great challenges. Therefore, it is necessary to use our improved objective function for inversion.

4.2. Multi-window HLRT Extract DAS Active Source Dispersion Curve

In Fig. 5, when the excitation point is in the same straight line as optical fiber, optical fiber is equivalent to the R component seismograph that can record Rayleigh surface wave signals. To remove the

Extraction of dispersion spectrum of passive source: a dispersion spectrum without time windows, b dispersion spectrum by Win(150–300 m/ s), c dispersion spectrum by Win(150–180 m/s), d dispersion spectrum by Win(180–300 m/s)

interference of other signals to extract dispersion curves, a 5–40-Hz band-pass filter was applied to the raw data of the DAS system. The velocity range of surface waves is between 100 and 500 m/s (Fig. [8](#page-9-0)b). Then, a $F-K$ filter was applied in the range of 100–600 m/s. Figure [8c](#page-9-0) shows that the noise recorded by DAS system is suppressed well by band-pass filtering and F-K filtering. The final DAS records were obtained by picking up the arrival time of seismic (Fig. [8](#page-9-0)d).

The DAS records are transformed to the dispersion spectrum, and the active source can obtain the information of higher frequency and higher mode than the passive source (Fig. [9\)](#page-10-0). When there are no time windows, the resolution of the dispersion spectrum is low (Fig. [9a](#page-10-0)). With Win (110–200 m/s), although the surface wave signal is enhanced, highmode dispersion spectra of the active source have stronger energy and interfere with each other, so the continuity of the dispersion spectrum is poor (Fig. [9](#page-10-0)b). With Win (110–150 m/s), the fundamental and part of the high-mode spectra are clearly displayed (Fig. $9c$ $9c$). With Win (150–200 m/s), the resolution of the high-mode dispersion spectrum becomes higher, which is complementary to the information of dispersion spectrum intercepted by

DAS record processing results of 60th–78th channels: a DAS system records; **b** 5–40-Hz band-pass filtering results; **c** 100–600 m/s f- K filtering results; **d** seismic records picked

Win (110–150 m/s). By comprehensively comparing Fig. [9,](#page-10-0) the dispersion curve can be accurately picked up.

4.3. Trans-dimensional Inversion of Active and Passive Source

In theory, the passive source can provide lower frequency band information and increase exploration depth, while active source can provide higher frequency band and higher mode dispersion information and increase exploration resolution (Luo et al., [2007;](#page-14-0) Xia et al., [2003\)](#page-15-0).

We invert the dispersion curves extracted from the above active and passive sources. The prior distributions on v_S are shown in Table [3](#page-10-0). The maximum number of floating nuclei was set at 15, the number of confined nuclei was set at 3, the burnin chain length was set to 10,000, with 1 million iterations, σ_{change} – v_S was set to 20 m/s, σ_{move} -depth was set to 1 m, and $\sigma_{\text{birth/death}}$ - v_S was set to 300 m/s. The inversion results are shown in Fig. [10](#page-11-0). The best fitting data fit well with the picked data, and are near 10–15 Hz for passive source inversion. Our objective function automatically determines the mode of dispersion curves. In addition, the passive source can

Figure 9

Extraction of dispersion spectrum of active source: a dispersion spectrum without time window, b dispersion spectrum by Win(110–200 m/s), c dispersion spectrum by Win(110–150 m/s), d dispersion spectrum by Win(150–200 m/s)

Table 3 Prior elastic parameter

Layer no.	Stratigraphic parameters			
	Passive source		Active source	
	vS search range (m/s)		Δh (m) v_s search range (m/s)	Δh (m)
	$100 - 300$	5	$100 - 300$	5
\overline{c}	100-600	25	100-600	15
3	200-800	80	200-800	40

detect more deeply, and active source shows a high velocity layer near 10 m. Combined with the analysis of active and passive source results, within the 95% credible intervals, the posterior probability density distribution of v_S is concentrated and has high credibility at depths of about 1–15 m. At depths of about 20–80 m, the posteriori probability density distribution of v_S in active source is very ambiguous, and it is difficult to reflect effective information. Because the dispersion spectrum becomes wider in low frequency band, the uncertainty of the pick-up dispersion curve increases, and the sensitivity of v_S to stratum changes decreases with the increase of depth.

Figure 10

Inversion results of active and passive source: a posterior probability density distribution of v_S model for active source, b dispersion curve fitting for active source, c posterior probability density distribution of v_S model for passive source, d dispersion curve fitting for passive source

Therefore, the interval of posterior probability density distribution of v_S becomes wider in passive source, but it can be seen that the posteriori probability density of the v_S of 25–50 m is concentrated at about 380 m/s; under 50 m, v_S is concentrated at about 650 m/s.

Each time the excitation point was moved to the west by 10 m, the composite dispersion curves were extracted as described above. The obtained dispersion curves were inverted, and the v_S-h model was taken as the velocity structure below the midpoint of receiving channels; the S-wave velocity structure of experimental field site shown in Fig. [11](#page-12-0). The underground structure is divided into four layers. The first layer, with S-wave velocity of about 200 m/s and thickness of about 5 m, is a loose soil layer. The second layer, with the S-wave velocity of about 200–400 m/s and depth of about 5–20 m, has a mixture of soil and gravel. The third layer, with S-wave velocity of 450–600 m/s and depth of 20–50 m, is presumed to be a weathered layer. The fourth layer, below 50 m, with the S-wave velocity > 650 m/s, is presumed to be bedrock.

Inversion of stratum profile along optical fiber: a inversion of stratum profile for active source, b inversion of stratum profile for passive source

5. Discussion

In Fig. [2](#page-4-0)c, interference occurs on adjacent highmode dispersion curves near 25–30 Hz, which reduces the confidence of dispersion information. Through further analysis in Fig. [1,](#page-3-0) there are obvious arrival boundaries and amplitude differences between the fundamental and high-mode waveforms, but there is no such difference between the high-mode waveforms. It is difficult to separate the high-mode waveforms through time windows because of the differences between waveforms. Therefore, we use a sliding time window strategy to extract high-mode dispersion curves (Fig. [12](#page-13-0)).

Slide Win2 V1 to 350 m/s and 450 m/s to intercept the waveforms, respectively; the waveforms

Extraction of dispersion spectrum by sliding time windows: a dispersion spectrum by Win(350–500 m/s), b dispersion spectrum by Win(450–500 m/s)

intercepted by Win (350-500 m/s) are converted into f – v domain. The resolution of the high-mode dispersion spectrum at 30–40 Hz is significantly improved, which is consistent with the theoretical dispersion curve. Win (450–500 m/s) dispersion spectrum is distorted because too many high-mode waveforms are cut off. Therefore, in the actual data processing, the setup of sliding windows depends on experience and many attempts. We suggest that the dispersion information with low certainty should be ignored, and only the high certainty be extracted by comparing the dispersion spectrum of different time windows.

6. Conclusions

We extract the high-resolution multi-mode dispersion curves from active and passive source signals through the multi-window HLRT method and invert the dispersion curves with improved objective function. Our methods are also used for data processing of actual DAS systems. We reach the following conclusions.

DAS observation system has the characteristics of long distance and high density, and it is easy to collect high-mode surface wave signals. However, in the actual data processing, it is difficult to effectively use the high-mode signals. The multi-window HLRT method can effectively extract the high-resolution Rayleigh surface spectrum by adding time windows to make full use of the seismic signals collected by DAS system.

In addition, we use the objective function independent of the mode number of dispersion curves, which largely avoids human-caused mode identification errors. The introduction of uncertainty estimation as a constraint enhances the anti-noise ability of the algorithm. These strategies further enhance the advantage of DAS system in urban nearsurface imaging.

Acknowledgements

This research was funded by the National Key Research and Development Program of China under grant 2021YFC1523401 and the National Natural Science Foundation of China under grant 41974129.

Author contributions Xin Wang wrote the manuscript and data processing, Xuan Feng and TaiHan Wang reviewed the manuscript quality and technology, and provided financial support, Xuri Dong collected DAS data, and Qian Liu provided assistance with data cross-correlation processing technology. All authors reviewed the manuscript.

Funding

The National Key Research and Development Program of China under Grant (2021YFC1523401) and the National Natural Science Foundation of China under Grant (41974129).

Data availability

The data that support the findings of this study are available from the corresponding author (fengxuan@jlu.edu.cn) upon reasonable request.

Declarations

Competing interests The authors have not disclosed any competing interests.

Publisher's Note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Springer Nature or its licensor (e.g. a society or other partner) holds exclusive rights to this article under a publishing agreement with the author(s) or other rightsholder(s); author self-archiving of the accepted manuscript version of this article is solely governed by the terms of such publishing agreement and applicable law.

REFERENCES

- An, Y., Gu, Y. J., & Sacchi, M. D. (2007). Imaging mantle discontinuities using least squares Radon transform. Journal of Geophysical Research: Solid Earth, 112(B10).
- Becker, M., Ciervo, C., Cole, M., Coleman, T., & Mondanos, M. (2017). Fracture hydromechanical response measured by fiber optic distributed acoustic sensing at milliHertz frequencies. Geophysical Research Letters, 44(14), 7295–7302.
- Bodin, T., Sambridge, M., Tkalčić, H., Arroucau, P., Gallagher, K., & Rawlinson, N. (2012). Transdimensional inversion of receiver functions and surface wave dispersion. Journal of Geophysical Research: Solid Earth, 117(B2).
- Dal Moro, G., Moura, R. M. M., & Moustafa, S. S. (2015). Multicomponent joint analysis of surface waves. Journal of Applied Geophysics, 119, 128–138.
- Daley, T. M., Miller, D. E., Dodds, K., Cook, P., & Freifeld, B. M. (2016). Field testing of modular borehole monitoring with simultaneous distributed acoustic sensing and geophone vertical seismic profiles at Citronelle, Alabama. Geophysical Prospecting, 64(5), 1318–1334. <https://doi.org/10.1111/1365-2478.12324>
- Dou, S., Lindsey, N., Wagner, A. M., Daley, T. M., Freifeld, B., Robertson, M., Peterson, J., Ulrich, C., Martin, E. R., & Ajo-Franklin, J. B. (2017). Distributed acoustic sensing for seismic monitoring of the near surface: A traffic-noise interferometry case study. Scientific Reports, 7(1), 1–12.
- Foti, S., Lancellotta, R., Sambuelli, L., & Socco, L. V. (2000). Notes on fk analysis of surface waves. Annals of Geophysics, 43(6).
- Hu, S., Luo, S., & Yao, H. (2020). The frequency-Bessel spectrograms of multicomponent cross-correlation functions from

seismic ambient noise. Journal of Geophysical Research: Solid Earth, 125(8), e2020JB019630.

- Ji, J. (2006). CGG method for robust inversion and its application to velocity-stack inversion. Geophysics, 71(4), R59–R67.
- Killingbeck, S., Livermore, P., Booth, A., & West, L. (2018). Multimodal layered transdimensional inversion of seismic dispersion curves with depth constraints. Geochemistry, Geophysics, Geosystems, 19(12), 4957–4971.
- Li, Z., & Chen, X. (2020). An effective method to extract overtones of surface wave from array seismic records of earthquake events. Journal of Geophysical Research: Solid Earth, 125(3), e2019JB018511.
- Luo, Y., Xia, J., Liu, J., Liu, Q., & Xu, S. (2007). Joint inversion of high-frequency surface waves with fundamental and higher modes. Journal of Applied Geophysics, 62(4), 375–384.
- Luo, Y., Xia, J., Miller, R. D., Xu, Y., Liu, J., & Liu, Q. (2008). Rayleigh-wave dispersive energy imaging using a high-resolution linear Radon transform. Pure and Applied Geophysics, 165(5), 903–922.
- Luo, Y., Xia, J., Miller, R. D., Xu, Y., Liu, J., & Liu, Q. (2009). Rayleigh-wave mode separation by high-resolution linear Radon transform. Geophysical Journal International, 179(1), 254–264. <https://doi.org/10.1111/j.1365-246X.2009.04277.x>
- Luo, Y., Xia, J., Xu, Y., Zeng, C., & Liu, J. (2010). Finite-difference modeling and dispersion analysis of high-frequency Love waves for near-surface applications. Pure and Applied Geophysics, 167(12), 1525–1536.
- Maraschini, M., Ernst, F., Foti, S., & Socco, L. V. (2010). A new misfit function for multimodal inversion of surface waves. Geophysics, 75(4), G31–G43.
- Mateeva, A., Lopez, J., Chalenski, D., Tatanova, M., Zwartjes, P., Yang, Z., Bakku, S., Vos, K. D., & Potters, H. (2017). 4D DAS VSP as a tool for frequent seismic monitoring in deep water. The Leading Edge, 36(12), 995–1000. [https://doi.org/10.1190/](https://doi.org/10.1190/tle36120995.1) [tle36120995.1](https://doi.org/10.1190/tle36120995.1)
- Pan, Y., Xia, J., Xu, Y., Xu, Z., Cheng, F., Xu, H., & Gao, L. (2016). Delineating shallow S-wave velocity structure using multiple ambient-noise surface-wave methods: An example from Western Junggar, China. Bulletin of the Seismological Society of America, 106(2), 327–336.
- Parker, T., Shatalin, S., & Farhadiroushan, M. (2014). Distributed Acoustic Sensing—A new tool for seismic applications. First Break, 32(2).
- Sacchi, M. D., & Ulrych, T. J. (1995). High-resolution velocity gathers and offset space reconstruction. Geophysics, 60(4), 1169–1177.
- Schultz, R., & Gu, Y. J. (2013). Flexible, inversion-based Matlab implementation of the Radon transform. Elsevier.
- Socco, L. V., Foti, S., & Boiero, D. (2010). Surface-wave analysis for building near-surface velocity models—Established approaches and new perspectives. Geophysics, 75(5), 75A83-75A102.
- Tokimatsu, K., Tamura, S., & Kojima, H. (1992). Effects of multiple modes on Rayleigh wave dispersion characteristics. Journal of Geotechnical Engineering, 118(10), 1529–1543.
- Trad, D., Ulrych, T., & Sacchi, M. (2002). Accurate interpolation with high-resolution time-variant Radon transforms. Geophysics, 67(2), 644–656.
- Trad, D., Ulrych, T., & Sacchi, M. (2003). Latest views of the sparse Radon transform. Geophysics, 68(1), 386–399.
- Tribaldos, V. R., Ajo-Franklin, J. B., Dou, S., Lindsey, N. J., Ulrich, C., Robertson, M., Freifeld, B. M., Daley, T., Monga, I.,

& Tracy, C. (2021). Surface wave imaging using distributed acoustic sensing deployed on dark fiber: Moving beyond highfrequency noise. Distributed Acoustic Sensing in Geophysics: Methods and Applications, 197–212.

- Visser, K., Trampert, J., & Kennett, B. (2008). Global anisotropic phase velocity maps for higher mode Love and Rayleigh waves. Geophysical Journal International, 172(3), 1016–1032.
- Wang, J., Wu, G., & Chen, X. (2019). Frequency-Bessel transform method for effective imaging of higher-mode Rayleigh dispersion curves from ambient seismic noise data. Journal of Geophysical Research: Solid Earth, 124(4), 3708–3723.
- Xia, J., Miller, R. D., & Park, C. B. (1999). Estimation of nearsurface shear-wave velocity by inversion of Rayleigh waves. Geophysics, 64(3), 1390–1395.
- Xia, J., Miller, R. D., Park, C. B., & Tian, G. (2003). Inversion of high frequency surface waves with fundamental and higher modes. Journal of Applied Geophysics, 52(1), 45–57.
- Yoon, S. (2011). Combined active-passive surface wave measurements at five sites in the Western and Southern US. KSCE Journal of Civil Engineering, 15(5), 823–830.
- Yoshizawa, K., & Ekström, G. (2010). Automated multimode phase speed measurements for high-resolution regional-scale tomography: Application to North America. Geophysical Journal International, 183(3), 1538–1558.
- Zeng, X., Lancelle, C., Thurber, C., Fratta, D., Wang, H., Lord, N., Chalari, A., & Clarke, A. (2017). Properties of noise cross-correlation functions obtained from a distributed acoustic sensing array at Garner Valley, California. Bulletin of the Seismological Society of America, 107(2), 603–610.
- Zhang, S. X., & Chan, L. S. (2003). Possible effects of misidentified mode number on Rayleigh wave inversion. Journal of Applied Geophysics, 53(1), 17–29.

(Received September 27, 2022, revised December 20, 2022, accepted December 22, 2022, Published online January 20, 2023)