

A Numerical Study of SMART Cables Potential in Marine Hazard Early Warning for the Sumatra and Java Regions

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Abstract—We present results from a series of exploratory numerical experiments based on ocean bottom pressure and seismic data from a simulated linear array of SMART cable stations off the trench in the Sumatra-Java region. We use six rupture scenarios to calculate tsunami propagation using hydrodynamic simulations. Through these experiments we show that such an addition would result in up to several hours of improvement in the detection of earthquakes and tsunamis compared to the existing (minimal) DART systems in the northern and southern Indian Ocean. By simulating tsunamis from 58 submarine landslide scenarios in the region, we show that the SMART system can provide invaluable information in early warning against landslide tsunamis. We also calculate seismic phase arrival times from six source scenarios at existing seismic stations and our proposed SMART cables. Statistical analysis of our results shows that inclusion of such a SMART array can improve the important network parameters for the detection, evaluation and locating of seismic events.

Keywords: SMART cables, tsunami, earthquake, landslide, early warning, Indonesia.

1. Introduction

The ubiquitous integration of environmental sensors into the repeaters of submarine telecommunication cables for planetary scale Scientific Monitoring And Reliable Telecommunications

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(SMART) has been proposed with implementation just now starting (Howe et al. [2019,](#page-30-0) [2022](#page-30-0)).

Such systems must be part of the larger national and international multi-hazard warning networks, providing necessary data for seismic, tsunami, volcano and other early warning scenarios. Further, the system must necessarily provide ocean and climate measurements to serve the regional and the international community, i.e., it must be a multi-purpose system. This is reinforced by a recommendation from the OceanObs'19 conference: ''Transition telecom+sensing SMART subsea cable systems from present pilots to trans-ocean and global implementation, to support climate, ocean circulation, sea level monitoring, and tsunami and earthquake early warning and disaster risk reduction.'' (OceanObs'19 [2019\)](#page-31-0). The global distribution of subsea telecom cables in Fig. [1](#page-1-0) show the potential of trans-oceanic networks in this respect.

The development and implementation of SMART submarine cable systems is in progress. This effort is facilitated by the Joint Task Force (JTF) for SMART Subsea Cables established by the United Nations agencies, International Telecommunications Union (ITU), World Meteorological Organization (WMO), and the UNESCO Intergovernmental Commission (IOC) (Howe et al. [2019](#page-30-0)). With > 1 million km of operational telecommunications cable (refreshed and expanded every 10–20 years) and repeaters every 50–120 km providing local power and communications, these systems can host sensors (initially ocean bottom temperature, pressure and seismic acceleration) on a global scale at modest incremental cost. The first SMART system is underway funded by Portugal: CAM2 Continent-Azores-Madiera ring, 3700 km,

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Nominal positions of subsea telecom cables in the world (data obtained from TeleGeography [2020\)](#page-32-0). Each of the four views show the globe at

a given central longitude to provide a complete global visualization. Lands are color-coded according to population density (NASA-SEDAC [2018\)](#page-30-0). Blue contours show bathymetry. For a full visualization see the animation at <https://doi.org/10.7302/0jmy-pa60>. The black line (in the 90° view) shows our proposed SMART array off Sumatra and Java

nominally 50 repeaters, to be ready for service in 2024 (Barros [2019](#page-29-0); Matias et al. [2021\)](#page-30-0). A number of other systems are in various stages of

consideration, including in the Western Mediterranean, Vanuatu/New Caledonia, French Polynesia New Zealand/Chatham Islands, and India/Oman

(Joint Task Force on SMART Cable Systems, personal comm.).

Here we address the benefits of such cable systems offshore of Sumatra-Java for earthquake and tsunami early warning. Our proposed SMART system will serve not just Indonesia but surrounding countries as well, all mutually subject to threats within the entire region.

1.1. SMART Cables in Indonesia

Recent disasters in Indonesia call for significant improvements to its multi-hazard early warning infrastructure (Sumatra 2004, IOC [2009;](#page-30-0) Mentawai 2010, Lay et al. [2011;](#page-30-0) Palu 2018, Heidarzadeh et al. [2019;](#page-30-0) Anak Krakatau 2018, Grilli et al. [2019](#page-29-0)). In this context, we address megathrust earthquakes and tsunamis, and quantify improved warning times from a SMART submarine cable-based early warning system.

Because of the high societal risk and spatial as well as financial scales of the problem in Indonesia (see Fig. [2](#page-3-0)), a long-term view—on the order of 10–20 years—to a solution is appropriate. The required system must have broad coverage to tackle tectonicscale events, i.e., earthquakes and tsunamis in both near- and far-fields. It is also necessary for such a system to be robust with long life, require little or no in-water maintenance, and be sheltered from the rigors of ocean-surface dynamics and vandalism. These requirements call for an ocean bottom, cable based system. To make this economically feasible, SMART cables must share submarine infrastructure/cost between science and telecommunications. The repeaters in these arrays can host a variety of instruments such as ocean bottom temperature, pressure and seismic sensors at modest incremental cost.

The complete system will be multi-scale with tectonic, regional and local levels of infrastructure. The largest, tectonic scale deals with highest priority Sunda Arc subduction zone that is subject to great, megathrust earthquakes (Fig. [2](#page-3-0)). The regional scale would specifically address the eastern and northern areas (including Borneo, Makassar Strait, Sulawesi, the Celebes Sea, the Banda Sea, and Papua) and smaller, more random fault zones. This scale is subject to somewhat lower hazard potential (although as Palu demonstrated, still very much significant). The local scale focuses on specific geohazards of which Anak Krakatau is a perfect example; such cases must be treated both on an individual basis, and in parallel with the larger scales.

In this study, we will focus on the largest scale and leave the other two for future consideration. We note that, for Indonesia, a detailed study is required to consider multiple configurations of systems and scenarios and arrive at an optimal overall design. Any such study must include costing and phasing considerations. This paper is one step in this direction.

1.2. Sumatra–Java

The Sumatra-Java subduction zone is located at the eastern margin of the Indian Ocean (Fig. [2a](#page-3-0)). The USGS catalog lists about 30,000 earthquakes with magnitudes larger than 3.0 located within 500 km from the subduction trench. A large number of these events are located within $\sim 3^{\circ}$ from the Sumatran fault, parallel to the trench. They are also caused by many shallow dipping faults in the east (e.g., McCaffrey [2009](#page-30-0)). The moderate-to-large size $(M = 4.5)$ along with relatively shallow depth $(\widetilde{H} = 35$ km) of many such earthquakes pose considerable seismic hazard (e.g., Petersen et al. [2004\)](#page-31-0). Highly populated areas in Indonesia, at times more than 10,000 people per square kilometer (Fig. [2](#page-3-0)b), impose significant seismic risk in the region.

Similarly, such earthquakes have resulted in a long history of tsunamis in Sumatra (e.g., Borrero et al. [2006](#page-29-0); Monecke et al. [2008\)](#page-30-0). Among these events, the 26 December 2004 tsunami notoriously claimed more than a quarter million lives and displaced more than 1 million people in countries all around the Indian Ocean (IOC [2009](#page-30-0)). The source of this tsunami was a \sim 1300 km long rupture along the trench (Ammon et al. [2005](#page-29-0); Ishii et al. [2005](#page-30-0)). Complex geometry and the vast areas of excessive slip in the rupture area resulted in a large tsunami with a complicated propagation pattern (Fujii and Satake [2007](#page-29-0)) across the Indian Ocean (Synolakis et al. [2005;](#page-31-0) Okal et al. [2006b\)](#page-31-0), even reaching as far as Central America, Northern Pacific, and Northern

Figure 2

a Map of the Indian Ocean. Blue contours show bathymetry (NOAA [1993\)](#page-31-0). Red dots represent earthquakes during 1900–2020 from the USGS catalog. Blue lines show major trenches capable of creating megathrust earthquakes. The yellow star is the epicenter of the 2004 $M_w = 9.3$ Sumatra earthquake. **b** Population per km² (data from NASA-SEDAC [2018](#page-30-0)). The green, dashed rectangles denote the geographic area used in earthquake tsunami simulations. c Population per km^2 along the black coastal line in (b), shown as a function of longitude. The large peak belongs to the island of Bali

Atlantic Ocean (Titov et al. [2005](#page-32-0); Rabinovich et al. [2006\)](#page-31-0).

Eastern Indian Ocean tsunamis have exposed the large population of coastal areas, especially in the near-field, e.g., Sumatra, Java, Thailand, Myanmar, Bangladesh, India and Sri Lanka (Fig. 2b) to high risk of inundation (Kurita et al. [2007](#page-30-0); Løvholt et al. [2014;](#page-30-0) Satake [2014\)](#page-31-0). Close proximity of the near-field population to the subduction zone has forced the efforts in seismic and tsunami early warning with serious challenges (Kanamori [2006](#page-30-0)), especially with typical seismic and tsunami arrival times of several seconds and minutes, respectively.

However, the far-field regions such as Pakistan, Oman, Africa (e.g., Kenya, Tanzania, South Africa) and Seychelles are not immune to the tsunami hazard, as was the case with the 2004 event (Okal et al. [2006a](#page-31-0); Synolakis and Kong [2006](#page-31-0); Okal et al. [2009\)](#page-31-0).

1.3. Earthquake and Tsunami Early Warning in Sumatra

Currently, earthquake early warning techniques usually aim to provide meaningful, reliable warning within less than \sim 10 s after the earthquake origin time (Allen et al. [2020](#page-29-0)). The offshore location of thrust faults provides some leeway between the onset of earthquake at the epicenter and the arrival of seismic (especially S) waves at coastal areas. However, this results in tsunami threats. While tsunami waves travel more slowly on the shallow continental slopes and shelves (\sim 30 m/s in 100 m water depth compared to 200 m/s in 4000 m depth) as they approach land the shoaling process significantly increases their amplitude (Green [1838\)](#page-29-0). Although slowed down, tsunamis typically arrive at near-field coastlines within \sim 15 minutes.

As a result, early detection of seismic and tsunami waves plays a crucial role in the fast evaluation of the hazard and consequently the issuing of necessary warnings to the authorities as well as local communities. A time window of less than 30–40 min is often desired in the tsunami early warning process (Wang et al. [2012\)](#page-32-0). Estimates of earthquake magnitude and thus rupture size (especially for moderate earthquakes) are usually available within a few minutes after earthquakes (Zollo et al. [2006\)](#page-32-0) and play a crucial role in tsunami early warning in the near-field. Robust evaluation of earthquake ruptures, however, are usually obtained within the first 10 to 15 min after the event origin time (Angove et al. [2019\)](#page-29-0) through various methods such as moment tensor inversions (CMT solutions; Dziewonski et al. [1981](#page-29-0); Ekström et al. [2012\)](#page-29-0); W-phase inversion (Duputel et al. [2012\)](#page-29-0) and finite fault models (Ruhl et al. [2017](#page-31-0)).

After that point, tsunami models use this information to calculate propagation of tsunamis on regional and global scales and provide valid forecast of tsunami arrival times at the vulnerable coastlines. These forecasts are uncertain because the earthquake characterization underlying them has typically only "one-sided" land-based data. While they are routinely evaluated in real-time against data from ocean bottom pressure sensors (OBP) and DART stations in both northern and southern Indian Ocean, the latter are presently extremely sparse and can only incrementally improve the estimate. More offshore data, seismic and open ocean tsunami wave height, are needed.

There is a reasonable number (\sim 140) of seismic stations close to the trench in Indonesia and Thailand (small triangles in Fig. [3](#page-5-0)), monitoring the subduction zone and other regional faults. These stations which are maintained by various agencies in several countries, are deployed onland. The data from these stations is mostly available—although perhaps not in real time—via Incorporated Research Institutions for Seismology (IRIS) in various forms [\(https://service.](https://service.iris.edu) [iris.edu\)](https://service.iris.edu). As seen in Fig. [3](#page-5-0), most of the stations are installed on the Sumatra and Java mainlands. This naturally results in an average trench-to-station distance of \sim 200 km. To our knowledge, there are currently no permanent ocean bottom seismometers deployed in the region (IRIS [2020\)](#page-30-0).

A few stations are installed on island chains (Siberut, Nias, etc) parallel to the Indonesian main lands, i.e. closer to the trench (~ 80 km) as shown by pink triangles in Fig. [3.](#page-5-0) Also, not all earthquakes occur exactly on the trench, but have hypocenters at some depth within the Benioff zone (Benioff [1949](#page-29-0)), resulting in epicenters closer to land. This reduces the travel time of seismic waves to stations and hence would speed up detection and consequently the warning process. However, epicenters of shallow $(H<40 \text{ km})$ megathrust earthquakes are typically confined within a narrow band (a few 100 km kilometers) from the trench (Schäfer and Wenzel [2019\)](#page-31-0). Therefore both seismic and tsunami waves would commence at some distance, and not necessarily close to the shoreline and thus the stations.

Therefore, deployment of seismic and/or tsunami sensors at closer distances to the trench will improve the temporal detection gap, and so we propose the deployment of such instruments in the form of a SMART array on the down-going plate, within a few kilometers of the trench, as depicted by red dots in Fig. [3.](#page-5-0) The short array-to-trench distance removes the complexities in resolving the source mechanism which would otherwise exist when using far-field tsunami recordings: various possible combinations of fault dimensions can result in similar source solutions due to the decay in tsunami amplitude over distance (Carrier [1991](#page-29-0)). Such a large span of underwater cable $({\sim} 8,000 \text{ km})$ is likely to be installed incrementally over time. The cable would be just offshore and seaward of the trench on smooth and level bottom where cable-damaging submarine landslides are less likely to occur relative to the landward slopes. Similarly, the trench would prevent any turbidity flows from reaching the cable. Also, this avoids the risk of bottom fishing trawling and ship anchoring. We note that such flat deployment sites result in simpler records as slopes often complicate both elastic and hydrodynamic measurements and make them difficult to unravel, especially in real time (Hilmo and Wilcock [2020](#page-30-0)).

The proposed SMART array in Fig. [3](#page-5-0) starts just west of the Andaman Islands (station #1) in the north and ends in the Arafura Sea, northern Australia in the south (station #76), covering (and parallel to) the entire Andaman–Sumatra–Java trench system. Geographic coordinates of the proposed array are available at <https://doi.org/10.7302/0jmy-pa60>. We

Figure 3

Proposed SMART array (red dots) off the Sumatra trench. The 76 SMART repeater stations are indexed from north to south. The DART stations near the 2004 Sumatra rupture are shown as yellow triangles and are indexed from south to north. Note that the majority of these DART stations are not currently operational. The two red triangles (indexed as 7 and 8) are DART stations operated by Australia. Smaller, white triangles represent seismic stations. Pink triangles are island seismic stations which are closer to the trench

note that the proposed array can play a crucial role in the detection of small-scale tsunamis in the Lombok Island region, similar to the 2018 series (Tsimopoulou et al. [2020](#page-32-0)). The proposed extension of the array eastward into the Timor Sea is intended to monitor the progress of Sumatra–Java tsunamis onto northern Australia. This is also done in anticipation of possible future events in the Banda Sea, such as the $M_w = 8.6$ earthquake of 01 Feb 1938 (Okal and Reymond [2003;](#page-31-0) Burbidge et al. [2008\)](#page-29-0). The parallel geometry of the array also provides the opportunity of sampling earthquake tsunamis at various azimuths. A perpendicular array would only record such tsunamis at a single direction, hence lacking the necessary coverage to uniquely resolve a focal solution for the earthquake.

Choosing an appropriate station spacing in a tsunami detection array is an important factor in acquiring sufficient amount of data. This is especially

crucial in adequate sampling of the tsunami envelope in the near-field where modeling the source relies upon collecting as much information as possible on the structure of rupture. In the case of Indonesia where our proposed array is parallel to the dominant propagation direction of rupture (Fig. 3), the corresponding analysis is simplified to finding a maximum distance to avoid both undersampling and aliasing.

An array spacing of 35 km as half the minimum tsunami wavelength, i.e., for an $M_w = 7.5$ rupture (Geller [1976;](#page-29-0) Rabinovich [1997\)](#page-31-0) would avoid undersampling of the tsunami. This strategy will guarantee the inclusion of sufficient sampling points in the tsunami wavefield while also avoiding aliasing (Nosov [2016\)](#page-31-0). However, considering the history of elongated ruptures in Indonesia as well as our interest in detecting large tsunamis this may be relaxed to \sim 70 – 120 km, also more typical of telecom repeater spacing for cables of this length. In this study, spacing varies between 50 and 200 km. This geometry recognizes the ambiguity of recorded signals from large numbers of interior shelf and slope resonance nodes (Hilmo and Wilcock [2020](#page-30-0)) as well as the economic infeasibility of dense, shallow arrays as in the Japanese dedicated early warning systems S-net, DONET, and N-net (Aoi et al. [2020](#page-29-0)).

The proposed array may be at a finer spatial resolution than logistically possible and what is prescribed. However, in this study, we endeavor to explore the potential of SMART cables in earthquake and tsunami warning. Obviously, any future deployment of such a network can be achieved through decimating our proposed array within reason. The otherwise dense network (average spacing of ~ 80 km) turns into a coarser array (average \sim 100 km) in the southeast due to the significantly lower seismicity of the region as well as the large areas with shallow bathymetry in the Timor and Arafura sea—median depth of \sim 70 m altogether (ETOPO1: Amante and Eakins [2009](#page-29-0)). The latter results in fast dissipation of tsunami energy as the tsunami travels slowly through the shallow water.

In the following sections we will investigate the performance of the proposed SMART array in tsunami and earthquake detection. We will consider tsunamis from both tectonic and landslide sources. While the latter are more localized compared to their tectonic counterparts, their potentially large amplitudes and extremely nonlinear triggering processes (seismic, atmospheric, etc) warrants special attention in any such study.

2. Method

2.1. Tsunami Simulations

The initial conditions of our simulation of earthquake tsunamis are ocean bottom deformations calculated from hypothetical static double-couple sources using the Mansinha & Smylie (1971) algorithm. This algorithm computes surface deformations from a uniform slip field on a buried inclined fault in a half-space. The choice of static over kinematic sources was made due to the small effect of rupture kinematics in the near-field (Williamson et al. [2019](#page-32-0); Salaree et al. [2021](#page-31-0)).

We then use the Method of Splitting Tsunamis (MOST) (Titov et al. [2016](#page-32-0)) to simulate the tsunamis in the Indian Ocean. MOST solves the full, nonlinear shallow water approximation of the Navier–Stokes equations and has been extensively validated through laboratory and field studies, following standard international protocols (Synolakis [2003](#page-31-0); Synolakis et al. [2008\)](#page-32-0).

We simulate earthquake and landslide tsunamis in the ETOPO2 bathymetry grid (Amante and Eakins [2009\)](#page-29-0) and an interpolated version of it down to 35 arc-seconds, respectively. This is to be sure the wavelength sufficiency conditions (e.g., as prescribed by Shuto et al. ([1986\)](#page-31-0)) were satisfied. Simulations are carried out in 12-h time windows for earthquakes using time steps of $\delta t = 5$ s. For landslide scenarios we used smaller time windows of 4 h using time steps of $\delta t = 2$ s. The time steps were selected to satisfy

Figure 4

Ray-tracing of the 2004 tsunami from the yellow star taken as the up-dip section of largest slip patch. Six rays (red) passing through DART stations (yellow triangles) are shown. Finite fault solution (Ammon et al. [2005](#page-29-0)) is shown in color. Black tick marks are added every 15 min along the ray paths. The pink line shows the Sumatra-Andaman trench. The white circles are SMART stations placed

right off the trench. Blue contours represent bathymetry

Figure 5

Tsunami sources in the Java region (NGDC/World Data Service [2021](#page-31-0)) show by dots representing fore-arc (green) and back-arc (red) events. Blue contours and pink lines show bathymetry and fault zones, respectively

the CFL condition (Courant et al. [1928](#page-29-0)). Due to our interest in studying the offshore behavior of tsunamis and in the absence of detailed coastal bathymetry maps, we stop the calculation at a depth of 20 m, close to the shoreline. As such, no run-up values are calculated.

2.2. Earthquake Arrival Times

We use the TauP toolkit (Crotwell et al. [1999\)](#page-29-0) to calculate seismic phase travel times from earthquake hypocenters to stations. TauP applies Buland & Chapman's (1983) method to computing phase travel times using spherically symmetric velocity models and arbitrary phases. In this context, we use PREM (Dziewonski and Anderson [1981\)](#page-29-0) as the velocity model due to its simplicity.

We note that upon very small epicentral distances lower-case phases (p and s) and their upper-case counterparts (P and S) can be used interchangeably, as long as no reflections are considered. Thus, from here onward we will use the general terms P- and S-waves to identify direct arrivals of compressional and shear waves, respectively, in order to avoid confusion.

2.3. Submarine Landslides

Submarine landslides follow the direction of steepest descent of the bathymetry field (e.g. Salaree and Okal [2015\)](#page-31-0) and typically occur at slopes between \sim 3% and \sim 6%, but can also take place at slopes as low as \sim 1% in very shallow waters (e.g. Skempton [1953;](#page-31-0) Prior et al. [1982](#page-31-0)). We calculate a field of slope for the simulation area as the gradient of the bathymetry grid. We then pinpoint the areas matching the slope criterion (i.e., gradient modulus between 1–6%) and design slides to match the azimuth of the gradient vector.

Following the formalism of Synolakis et al. [\(2002](#page-31-0)), we design the submarine slides as simultaneous hydrodynamic dipoles with positive (hump) and negative (trough) initial surface elevations. We use η_+ , α_{\pm} and γ_+ as geometrical dimensions of slide dipoles, i.e., height/depth, along slide dipole length, and normal to dipole length. Plus and minus signs in these parameters denote hump and trough, respectively (Okal and Synolakis [2004](#page-31-0); Salaree and Okal [2015\)](#page-31-0).

Figure 6

Fields of static vertical deformation for models I–VI are calculated using the algorithm of Mansinha and Smylie ([1971\)](#page-30-0). Black and red triangles and pink dots show DART and SMART stations, respectively. Black contours are bathymetry

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Source Model ٠.	Centroid Coordinates		Rupture Dimensions			M_{0}	M_{w}	Max. Tsunami Amplitude	Stations $<$ 5 Minutes				
	Lon.	Lat.	L (km)	W (km)	d(m)	$\times 10^{28}$ dyn-cm		η (m)					
I						105	\sim 9.3						
I.a	94.6	3.3	382	150	11.5	32		11.5	25				
I.b	93.8	7.0	818	150	12.4	73							
П	99.7	-3.0	550	175	13.0	62	\sim 9.1	7.3	27				
Ш	101.6	-4.4	190	95	5.6	5	8.4	3.3	3				
IV	100.6	-3.7	350	175	6.0	18	~ 8.8	3.7	12				
\mathbf{V}	100.7	-4.25	900	175	8.0	62	\sim 9.1	5.6	28				
VI						65	\sim 9.1						
VI.a	106.5	-8.30	400	80	20.0	33		14.7	27				
VI.b	110.65	-9.5	600	80	15.0	32							

Table 1 Source parameters for the six rupture scenarios

Note the composite nature of models I and VI each of which are made up of two smaller segments. The $6th$ and $7th$ columns list maximum tsunami amplitudes across the simulation grid, and the number of ''recording'' stations within 5 mins after the origin time for each scenario

2.4. Tsunami Arrival Residual

To investigate the contribution of SMART stations to early detection of tsunami waves from the given rupture scenarios, we construct 2-D matrices comparing the arrival times of tsunamis at SMART stations to those of the DART array. The elements in such a matrix are the difference in tsunami arrival time for each pair of SMART and DART stations, as given by the residual time, \bf{R} in Eq. (1)

$$
R_{ij} = S_i - D_j \tag{1}
$$

where S_i and D_i are tsunami arrival times at the *i*-th SMART station ($1\lt i\lt 76$) and the j-th DART buoy $(1\lt j\lt 6)$. We also define the scalar quantity, Λ as the sum of all the elements in R,

$$
\Lambda = \sum_{j=1}^{6} \sum_{i=1}^{76} R_{ij}
$$
 (2)

where negative values of Λ would correspond to an overall good contribution of SMART cables and vice versa. We note while each instrument has a different frequency and pressure response, SMART cables are significantly more sensitive at short frequencies and to smaller amplitudes (e.g., Mofjeld et al. [2001](#page-30-0); Howe et al. [2019](#page-30-0)). However, for consistency as well as for practical purposes, here we assume a common detection threshold of 2 cm following the example of Meinig et al. [\(2005](#page-30-0)).

3. Tsunamis

The 2004 Sumatra-Andaman earthquake ruptured the northern segments of the subduction zone as shown in Fig. [4.](#page-6-0) The rupture propagated at a speed of \sim 2.5 km/s toward the north northwest with a duration of at least \sim 500 s (Ammon et al. [2005](#page-29-0); Lay et al. [2005;](#page-30-0) Ni et al. [2005\)](#page-31-0).

In the wake of the human tragedy due to the following tsunami, six DART stations were deployed by India and Thailand at some distance from the rupture area, followed by two more stations northwest of Australia several years later for future tsunami warning. A simple ray-tracing experiment, however, shows that the tsunami waves from rupture epicenter would have taken at least 45 min to arrive at the first nearby DART buoy (#1 in Fig. [4\)](#page-6-0). Considering the significantly faster typical speed of earthquake ruptures compared to tsunamis (\sim 12 \times), as well as the parallel geometry of the DART network relative to the trench, it would have taken roughly the same amount of time for the tsunami to arrive at the rest of stations.

Figure 7

Tsunami simulations of rupture scenarios in Sumatra (I–V) and Java (VI). Pink bars represent coastal tsunami amplitudes (at 20 m water depth). Panels are labeled according to their respective model index. SMART stations are shown as pink dots. The black and red triangles represent DART stations. The DART stations shown in red are not included in the computations

\blacktriangleleft Figure 8

a Cumulative field of maximum PGA as %g from all the shallow $(H<$ 40 km) earthquakes in the CMT catalog (1976–2020). White lines show river basins (WorldBank [2017](#page-32-0)). Black arrows are potential submarine landslides (see Fig. [9\)](#page-14-0). b Cumulative map of maximum tsunami amplitudes from the slide scenarios in (a) and Fig. [9.](#page-14-0) Pink and yellow bars (same scale) represent tsunami amplitudes near the shoreline and at SMART stations, respectively. White stars are locations of active tide gauges in the region (IOC et al. [2020\)](#page-30-0)

Following the same logic of parallel geometry and to reduce the detection threshold as discussed in Sect. [1.2](#page-2-0), we will focus our efforts on near-field simulation of tsunamis on a linear array of SMART stations parallel and very close to the trench. Also, in order to provide a means to make a realistic analysis i.e., by comparing the deployment/maintenance cost of the DART stations deployed immediately after the 2004 tsunami to those of a simple 1-D SMART array, here we do not include the Australian DART stations in our computations. This approach will also enable us to make a more direct cost analysis when addressing the recorded loss from the 2004 catastrophe. We also note that the Australian DART stations would not have provided tsunami data in a timely fashion (for early warning purposes) for the 2004 Sumatra tsunami due to directivity.

3.1. Rupture Scenarios

The most well-constrained earthquake rupture in Sumatra and Andaman is the $M_w = 9.3$ event in 2004. Several other historical ruptures such as the great earthquakes of 1797 and 1833, respectively in Padang and Bengkulu (Borrero et al. [2006\)](#page-29-0), and 2010 Mentawai (Hill et al. [2012](#page-30-0)) have also been the subject of extensive studies.

In this study, we consider some of the worst-case earthquake/tsunami scenarios in the region which could rise due to various forms of seismic gaps. We adopt five earthquake rupture scenarios in Sumatra following Salaree & Okal's (2020) work and models I–V are identical to their models S-I to S-V. Model I is a rendition of the 2004 event, and model II is similar to Okal & Synolakis' (2008) model of the 1833 earthquake. Model III represents the main 2007 Bengkulu earthquake, using the simple model by Borrero et al. [\(2009](#page-29-0)). Model IV is set up to release the strain leftover on the 1797 and 1833 ruptures after the 2007 Bengkulu event, as the widely anticipated Padang earthquake (McCloskey et al. [2010\)](#page-30-0). Similar to model IV, model V is expected to close the Padang seismic gap, but also extends south towards the Sunda Strait.

Future ruptures in Java are poorly constrained. United States National Oceanic and Atmospheric Administration (NOAA) and the Agency for Meteorology, Climatology and Geophysics of Indonesia (BMKG) list respectively about 90 and 70 tsunami sources east and north of Java island and Nusa Tenggara (Fig. [5;](#page-7-0) Hamzah et al. [2000](#page-29-0)). Such tsunamis are often hosted by northern fault systems such as the back-arc Flores thrust zone in Bali Sea and Flores Sea (Anugrah and Sunardi [2012](#page-29-0); Yang et al. [2020](#page-32-0)) contrary to what would otherwise be expected from the dominant Sumatra-Java subduction. For instance, the aforementioned fault created the $M_w = 7.8$ earthquake and the following tsunami on 12 December 1992 resulting in hundreds of casualties and significant damage (Yeh et al. [1993](#page-32-0)).

Previous studies such as Horspool et al. ([2014](#page-30-0)), Setiyono et al. (2017) (2017) and Mulia et al. (2019) (2019) have investigated the tsunami hazard in fore-arc Java using a large number of pre-computed inundation scenarios from hypothetical sources. However, to obtain a more physically sound scenario, we use a single large rupture ($M_w \sim 9$), model VI, as a worst-case scenario by designing a composite source similar to Scenario 3 in Widiyantoro et al. [\(2020](#page-32-0)). Fields of static vertical deformation for these rupture models are shown in Fig. [6.](#page-8-0) Table [1](#page-9-0) lists source dimensions along with maximum tsunami amplitudes and detecting stations (see Sect. [3.3.1](#page-16-0)).

While models I–VI do not fully cover all the seismic potency of the entire Andaman–Sumatra– Java trench system, they provide an adequate coverage of the subduction zone along the strike of trench. Similarly, these models span a wide range of moment magnitude and thus they offer a reasonable measure of the tsunami hazard in the eastern Indian Ocean. In Java, our choice of a single, worst-case model is justified by the more or less uniform coastal morphology, bathymetry and trench-to-coast distance along longitude. Such a setting provides a self-similar hydrodynamic problem along longitude, and

\blacktriangleleft Figure 9

a Modulus and b azimuth of bathymetry gradient. The designed slide dipoles are shown by arrows. Blue beachballs in a are locations of CMT earthquakes with reported landslides (Schmitt et al. [2020\)](#page-31-0)

therefore, the large composite source is a feasible mechanism representing the local tsunami arrival times from other possible sources.

Maximum tsunami amplitudes across the eastern Indian Ocean from these six models are shown in Fig. [7.](#page-10-0) Our proposed SMART array and coastal tsunami amplitudes along Sumatra and Java are also shown in Fig. [7](#page-10-0) with pink dots and bars, respectively. As expected, the more complex sources in model I (i.e., the 2004 Sumatra) and model VI (worst-case Java scenario) create more complex propagation patterns. They also result in larger coastal amplitudes due to large patches of rupture slip. However, models II and V seem to be more focused in the far-field due to their more homogeneous, long ruptures (Carrier [1991\)](#page-29-0). Besides, as expected, narrower directivity lobes of longer ruptures would result in more focused bundles of energy in the far-field (Ben-Menahem and Rosenman [1972\)](#page-29-0). Models III and IV produce smaller tsunamis due to smaller ruptures (Salaree and Okal [2020\)](#page-31-0).

3.2. Tsunamis from Submarine Landslides

Submarine landslides are significant and usually ignored sources of tsunami hazard (e.g., Ward [2001](#page-32-0); Harbitz et al. [2014](#page-29-0); Salaree [2019\)](#page-31-0). The scientific community's awareness of the importance of landslides in the generation of tsunamis was truly awakened during the Papua New Guinea event of 17 July 1998 which resulted in more than 2200 deaths, and for which Synolakis et al. ([2002\)](#page-31-0) proposed generation by a landslide, and was later documented in the local bathymetry by Sweet and Silver ([2003\)](#page-31-0). The recent Palu and Anak Krakatau (Muhari et al. [2018;](#page-30-0) Grilli et al. [2019](#page-29-0)) events have catalyzed renewed attention to the general topic of landslide tsunamis.

From the three necessary ingredients of submarine landslides, i.e., loose sediments, slopes and triggering mechanism, there is an abundance of the latter two in the Sumatra region.

Sumatra and Java are seismic (see Sect. [1.2\)](#page-2-0). The USGS Repository of Earthquake-Triggered Ground-Failure lists seven earthquakes in Java and Sumatra with reported landslides since 1982. The field of maximum peak ground acceleration (PGA) values across shallow $(H< 40 \text{ km})$ earthquakes from the 1,887 events in the CMT catalog (Ekström et al. [2012\)](#page-29-0) is computed using the algorithm by Campbell and Bozorgnia ([2003\)](#page-29-0) and smoothed to accommodate fault finiteness shows considerable amount of cumulative offshore shaking (Fig. [8](#page-12-0)a). Given enough time, such large amounts exceeding 30%-g (ignoring the areas in red, i.e., shaking from the 2004 CMT centroid), can contribute to the highly nonlinear triggering process of landslides by large enough, future earthquakes. Permana and Singh ([2016\)](#page-31-0) investigated similar scenarios in seismic sections from northeastern margins of the Mentawai Island.

The region also contains large offshore areas with $2 - 6\%$ slopes, i.e., capable of hosting submarine slides, as shown in Fig. 9a. Nevertheless, most of the offshore sediment in Sumatra is derived from the oceanic plate, accumulating in the form of an accretionary wedge with only a small amount entering the system from the land areas (Tappin et al. [2007\)](#page-32-0). Notwithstanding the deficiency in sediment budget, we note that the excessive tsunami amplitudes of the 2004 event may have been due to either secondary tectonic sources such as splay faulting (Plafker [2007\)](#page-31-0) or coseismic triggering of submarine landslides. In the south, however, Java Trench exhibits features of tectonic erosion (Kopp et al. [2006\)](#page-30-0) which could explain the history of large slides (Brune et al. [2010\)](#page-29-0).

Hence, we also consider tsunamis from submarine landslides in the area of study using the methods discussed in Sect. [2.3](#page-7-0), bearing in mind the unbalanced probability of such events in Java and Sumatra. Using the discussed criteria, we select 58 slide scenarios with sizes and azimuths determined from modulus and azimuth of the gradient field as shown in Fig. 9a, b. In these figures, black and yellow arrows show the positions and orientations of the designed dipoles. Sizes of the plotted arrows are proportional, and not equal to the length of dipoles.

\blacktriangleleft Figure 10

Differential arrival matrices of tsunami at SMART stations relative to the six DART stations. Each of the six panels represent one of the rupture scenarios (I–VI). SMART stations (abscissa) and DART stations (ordinate) are labeled according to Fig. $3. \Lambda$ $3. \Lambda$ is the median of all the cells in each matrix. Vertical, yellow lines denote the position of epicenter in each model

The larger number of tsunami simulations from landslides compared to earthquakes is to compensate for the fewer constraints on the location and extent of such events.

We set the geometric parameters of the hydrodynamic dipoles to $\eta_{-} = 20$ m, $\eta_{+} = 10$ m, $\alpha_{-} = 0.1$, $\alpha_+ = 0.06, \gamma_- = 0.7, \gamma_+ = 0.54$ for all slide scenarios (see section [2.3\)](#page-7-0). While this uniform approach will bias the calculated coastal amplitudes, it is acceptable as we simply seek to obtain order-ofmagnitude estimates for plausible landslide tsunami amplitudes. Then we simulate the tsunamis from the prepared slides. A field of maximum tsunami amplitude across all these scenarios are shown in Fig. [8b](#page-12-0). Yellow and pink bars represent the relative tsunami amplitudes at SMART stations, and close to shoreline (average depth of ~ 62 m), respectively.

3.3. Tsunami Detection by the SMART Array

3.3.1 Earthquake Tsunamis

Visual representations of calculated R matrices (section [2.4](#page-9-0)) for our six rupture scenarios are shown in Fig. 10. The cells across each panel in Fig. 10 are color-coded according the value of corresponding elements, i.e., residual time in seconds. In Fig. 10, warmer colors (black to yellow) correspond to negative values in the matrix, meaning earlier arrivals at SMART stations relative to their DART counterparts (t_{SMRRT} < t_{DART}). In model I, the majority of SMART stations receive tsunami signals significantly earlier than DART buoys, with the exception of DART station #3. The latter is slightly closer to the deformation maximum and receives the tsunami signal less than 10 min earlier than the SMART array. We note that in the Okada solutions of continuous ruptures, the deformation area extends to

well beyond the main rupture (Steketee [1958](#page-31-0)) and as such, stations (both SMART and DART) in the coseismic deformation field, detect the tsunami signal earlier (Fig. 6). Also, due to the thrust geometry of model I, the down-dip direction would experience larger deformation. These factors explain why DART station #3 is detecting the tsunami slightly earlier than the otherwise closer SMART stations. The advantage of SMART cable deployment in such a scenario with comparable tsunami arrival times is the recording of tsunami signals on a large number of SMART stations whereas in the case of single DART station there is a significant uncertainty margin in constraining the source.

In models II–VI, SMART stations detect the tsunami significantly earlier than the DART network, as evident in the large, negative values of Λ . The deceptively non-negative value of Λ ($\Lambda = 0$) for model III is due to the fact that a large number of SMART stations never receive the tsunami signal, and are assigned the maximum S_i value by the end of simulation. We also note that the wider directivity lobe of the rupture in model III combined with geometrical spreading results in a widespread moderate coastal amplitude which is not focused enough in the far-field to be detected by DART buoys (detection threshold of 2 cm).

While SMART stations detect tsunamis significantly earlier than the current DART stations, they also provide an increasingly more complete picture of the tsunami source and propagation of the tsunami over time. Figure [11](#page-17-0) shows the cumulative number of detecting SMART stations over simulation time. As we can see in Fig. [11](#page-17-0), on average, 20 SMART stations will record the tsunami within a minute after the onset of ruptures. Even for the obvious outlier, model III, the tsunami will be sampled by at least two stations.

The number of detecting stations significantly increases with time, until tsunami energetics fully exit the near-field. The critical propagation thresholds appears as elbows in Fig. [11](#page-17-0) and are specific to each model. Such thresholds correspond to the times after which the increase in the number of detecting stations is mostly due to the propagation of tsunami along the trench. The vertical dashed lines in Fig. [11](#page-17-0) show approximate positions of these thresholds.

Figure 11

a Cumulative number of stations detecting the tsunami over simulation time. Each scenario is shown by a different color. Vertical dashed lines show approximate times of elbows (change in the trend of increase) for the labeled models; b zoomed view of the area inside the gray box in (a) to highlight first detection. Note the change in time scale. The nonzero start of the curves is due to the static nature of sources

With the exception of model III, tsunamis from each of our rupture scenarios are going to be sampled by at least 60 SMART stations, corresponding to a geographic span of \sim 5000 km. For the case of model III, there is no increase in the number of recording stations (47) beyond 2 h 30 min after the origin time. However, we note that such a distinct change of behavior among the six considered models can be used as an excellent constraint on the source dimensions and thus is a good measure of the tsunami hazard. Indian Ocean tsunami warning guidelines, in fact, suggest caution after a similar alarm window for coastal communities after the first tsunami warning (IOTWS [2007\)](#page-30-0).

Addition of the proposed SMART array will therefore provide a major improvement in the necessary knowledge to provide a more comprehensive understanding of the source mechanism, in both nearand far-field, especially in the case of complex ruptures. The product will be higher resolution maps of both earthquake source and tsunami propagation similar to the role of DART sensors in the case of 22 July 2020 M_w 7.8 Shumagin earthquake by providing an extra set of temporal and spatial constraints (Ye et al. [2021\)](#page-32-0).

3.3.2 Landslide Tsunamis

Similar to the case of earthquake source scenarios, we investigate the coverage of landslide tsunamis by the SMART stations. Here, we do not consider the DART stations due to (a) their large distance to landslides, and (b) the fast decay of these tsunamis as their higher frequency content would lead to more significant attenuation and dispersion, resulting in practically nonexisting far-field amplitudes (Geist and Parsons [2009\)](#page-29-0).

Figure [12](#page-18-0)a shows the cumulative number of SMART stations detecting the tsunamis from the slides in Figs. [8](#page-12-0) and [9](#page-14-0) over 30 min of simulation time. Each curve in Fig. [12](#page-18-0)a belongs to a landslide

a Cumulative number of stations detecting the tsunami from landslides over 30 min of simulation time. Each scenario is shown by a different color according to source longitude. Diagonal dashed line shows an approximate transition from western to eastern dipole locations. b Slopes of the curves in (a) as a function of source longitude

tsunami scenario, color-coded according to the longitude of source. As seen from the clustering of colors, the diagonal dashed line which separates the two apparent trends in the diagram coincides with the approximate transition between Sumatra (in the west) and Java (in the east).

Therefore, Fig. 12a shows that tsunamis in Java arrive significantly later than their Sumatran counterparts. As can be seen in Fig. [8](#page-12-0), this phenomenon is an effect of larger distances of the landslide scenarios for Java from the Trench. The (mainly three) lowlongitude curves in the Java cluster in Fig. 12a belong to the slide sources located at the far northern end of the Sumatran island and on the complex backarc bathymetry of the Andaman island chain.

The relatively consistent average slope of curves in Fig. 12a as shown in 12b is due to the small, uniform length scale of sources, compared to the array spacing. The outliers (deviating from the otherwise uniform array) belong to the events at the southern- or northernmost ends of the 1-D SMART array. Large distances of these slide dipoles often from stations at the other end contributes to the large delay times in Fig. 12a.

4. Earthquakes

Among the most important parameters in earthquake early warning are quick detection of seismic

Figure 13

P-wave arrival times from epicenters (white stars) of models I–VI in Fig. [7](#page-10-0) at current (i.e., IRIS) and SMART stations. τ_P is the median of Pwave arrival times at stations within a 5° radius from the source

phases, estimation of earthquake magnitude, and locating the hypocenter or centroid. Sparse network coverage can result in considerable uncertainties in each of these components of a successful early warning process. As discussed in Sect. [1.3](#page-3-0), such sparsity, for example, hinders quick calculation of

Cumulative number of stations in IRIS (blue) and IRIS $+$ SMART (red) detecting P-waves, over time. I–VI panels represent sources from respective models in Fig. [7](#page-10-0)

these parameters due to late arrival times of seismic phases. Statistical and analytical approaches are typically used to quantify or improve the quality of such biases (Wysession et al. [1991;](#page-32-0) Lomax et al. [2000;](#page-30-0) Thurber and Engdahl [2000](#page-32-0)). However, in

general terms, a closely spaced seismic network is desired for quick detection of earthquakes.

A large number of earthquake location methods use the arrival time of P-waves. Figure [13](#page-19-0) shows the calculated P-wave arrival times from the six source scenarios in Sect. [3.1,](#page-12-0) both at existing seismic

Figure 15 Same as Fig. [13,](#page-19-0) but for S-waves

stations (available via IRIS) and at the proposed SMART stations. In Fig. [13](#page-19-0) τ_p is the median of Pwave arrival times (from origin time) at stations

within a radius of 5° from the epicenter (due to nonhomogeneous geographic distribution of stations, median is more appropriate than other statistical

Same as Fig. [14,](#page-20-0) but for S-waves

metrics such as the mean). The value for radius is selected as approximately twice the rupture length of an $8.0\leq M_w\leq 8.5$ earthquake as predicted by earthquake scaling laws (e.g., Geller [1976;](#page-29-0) Mai and Beroza [2000;](#page-30-0) Thingbaijam et al. [2017\)](#page-32-0). While such a distance is designed to represent the full extent of the

source, it is admittedly arbitrary to some extent (see below for further discussion of Fig. [13\)](#page-19-0).

The progress in the number of detecting stations for the six scenarios is shown in Fig. [14](#page-20-0). In each of Fig. [14I](#page-20-0)–VI, the blue curves represent cumulative numbers of existing seismic stations recording the first P-waves arrival from the corresponding source

Source model	$\tau_P(s)$		$\Delta \tau_P$ (s)	τ_S (s)	$\Delta \tau_S$ (s)	
$\overline{}$	IRIS	IRIS+SMART		IRIS	IRIS+SMART	
Ι	55.2	48.2		98.2	85.7	12.5
\mathbf{I}	52.8	47	5.8	93.8	83.5	10.3
Ш	50.5	49.2	1.3	89.7	87.4	2.3
IV	48.7	47.5	1.2	86.6	84.4	2.2
V	53	52.3	0.7	94.3	93.1	1.2
VI	42	42.7	-0.7	74.5	75.7	-1.2
Average	50.4	47.8	2.6	89.5	85.0	4.6

Table 2 Detection of seismic phases by the IRIS alone and $IRIS + SMART$ networks

scenario. The red curves, on the other hand, show the number of such stations in a a network comprised of current and SMART systems.

While P-wave earthquake location methods are usually robust in real-time, sole reliance on P-waves can result in considerable location inaccuracies (Rabinowitz [2000\)](#page-31-0), and thus S-waves are often used to improve location quality. Figures [15](#page-21-0) and [16](#page-22-0) show the calculated S-wave arrival times for our six source scenarios (I–VI) and the respective number of detecting stations in each case, similar to their counterparts in Figs. [13](#page-19-0) and [14.](#page-20-0)

As shown in Fig. [14,](#page-20-0) addition of SMART stations improves the number of detecting stations (sometimes twice) in the first two minutes after the earthquake origin time. This improvement is more significant for S-waves as shown in Fig. [16](#page-22-0). In close vicinity of the earthquake source, detection times of P and S waves (as average values of τ_P and τ_S) by a large number of stations are respectively improved by 2.6 s and 4.6 s. Table 2 compares these values for both P and S waves.

The outlier to the discussed improvement is the apparent increase in both τ_P and τ_S for the composite source in Java (model VI). We attribute the discrepancy to the closer proximity of earthquake centroid to a dense cluster of onland stations than to SMART cables. We also note that mainland Java is considerably farther from the trench (> 200 km) and thus the SMART stations (addition of farther SMART stations simply adds to the body of larger travel time, thereby increasing the median).

The ratio of difference for S- and P-waves in Table 2 is $\frac{\tau_s}{\tau_P} \approx 1.7$, equal to the approximate global ratio of S- and P-wave shallow velocities for a Poissonian Earth. This implies the difference to be due to the source-receiver geometry. Any further discrepancies in arrival times would be due to lateral slab heterogeneity (e.g., Abercrombie et al. [2001](#page-29-0); Bilek and Engdahl [2007](#page-29-0)) which are not accounted for in our simple 1-D velocity model.

Figures [14](#page-20-0) and [16](#page-22-0) show that with the exception of scenarios II and III, inclusion of SMART stations results in the addition of at least two stations within the first 20 s from the origin time. As a rule of thumb, quick and successful detection of earthquake location requires at least five seismic stations with a maximum azimuthal gap of 180° (Howe et al. [2019\)](#page-30-0).

4.1. Azimuthal Gap

Azimuthal gap is a traditionally robust measure of network coverage deficiencies. Large azimuthal gaps can create considerable bias in earthquake location results by introducing systematic non-uniformities in arrival times at different azimuths. An azimuthal gap of 120° in all distances results in mislocation of earthquake by less than 20 km (Thurber and Engdahl [2000\)](#page-32-0). Secondary azimuthal gap is also used to address stations with disproportionately large data importance (Bondár et al. [2004](#page-29-0)).

The elongated shape of Sumatra, Java and their parallel island chains, and consequently their native seismic stations imposes an inevitably large seismic

Distribution of a primary azimuthal gap and \mathbf{b} ΔU for the USGS catalog of Sumatra (Fig. [18](#page-25-0)) before (top) and after (bottom) addition of SMART stations

gap, at times reaching $\sim 180^\circ$. Figure 17 shows the distribution of azimuthal gaps for the USGS catalog of Sumatra and Java earthquakes (Fig. [18](#page-25-0)). As shown in Fig. 17, the addition of SMART stations, significantly reduces the median of network azimuthal gap, i.e. by 135° (from 187° to 52°). This is achieved by closing the west-side azimuthal gap by a linear, closely packed array of stations. Obviously the earthquakes at the two ends of the array will still be exposed to relatively large values of azimuthal gap, although to a lesser degree, as shown in Fig. [18](#page-25-0)a–b. We note that there are still a small number of earthquakes with large values of azimuthal gap west of the SMART array (Fig. [18b](#page-25-0)). The majority of these earthquakes are either small ($\dot{M} = 4.5$) or have strike-slip mechanism (for instance, the $M > 8$ duo in April 2012). In both cases, they are far away from land and therefore do not impose significant seismic

or tsunami hazard to the population centers in the region (see Fig. [2](#page-3-0)).

4.2. ΔU

While azimuthal gap is a robust measure of angular completeness of network coverage it does not provide any insight on the spacing of the seismic network. Large epicentral distance to seismic stations, especially in the case of offshore earthquakes can significantly hinder the detection and location processes. Similarly, non-uniform distribution of stations may result in poor constraints on calculation of a valid rupture models for any given earthquake (Sarao` et al. [1998\)](#page-31-0).

To address this issue, we adopt the parameter ΔU introduced by Bondár and McLaughlin ([2009\)](#page-29-0) as network quality metric. This parameter is a geometrical expression for spatial distribution of stations in a

Figure 18

[Left] Primary azimuthal gap for the USGS events ($M \geq 4$ and $H < 40$ km) using the seismic network a before, and **b** after addition of SMART stations. [Right] ΔU calculated for the same events c before, and d after addition of SMART stations. The area shown by the dashed rectangle marks the events closer to populated parts of Sumatra and Java (see Figs. [2](#page-3-0) and [19](#page-26-0))

given seismic network. ΔU ranges between 0 and 1 for respectively good and bad network coverage regarding a given earthquake. While there is no distance term in the ΔU algorithm, the relative azimuthal coverage built into ΔU implicitly provides a measure of spatial proximity of the stations.

We also recall that the original algorithm for calculation of ΔU was prescribed for networks in small geographic settings $(D<150 \text{ km})$. We therefore confine our calculations for each event to stations within a radius of 10 times the median of network spacing (median of 0.9° for the current network and 1.2° with the addition of SMART stations). Such a

Similar to Fig. [17,](#page-24-0) but for the smaller, more populated geographic area marked by the dashed rectangle in Fig. [18](#page-25-0)

radius is admittedly large considering the framework of the original algorithm. However, this choice was made due to the properties of active subduction zones such as Sumatra and Java wherein the rupture length can no longer be ignored within the network—as was assumed to be the case in the original ΔU algorithm. While this constraint is somewhat arbitrary [although fits well within the framework of regional seismology (Havskov et al. [2011](#page-30-0))], it would result in the inclusion of large sources as well as at least about five stations for each earthquake in our dataset.

Figure [17](#page-24-0)b compares the distribution of ΔU for the USGS events in the region with and without the inclusion of our proposed SMART stations. Addition of these SMART stations improves the earthquake location performance by almost 40% (from $\Delta U =$ 0.68 to $\Delta U = 0.41$). While the original good/bad quality threshold from ΔU values—which were obtained by regression to a large dataset of ground truth events—are no longer valid in our modified algorithm, one must note that abundance of smaller values of ΔU would inevitably correspond to higher location quality. Thus, a narrower distribution of ΔU around a considerably smaller value as a result of the deployment of SMART stations is a significant improvement.

Similar to the case of azimuthal gaps, the remaining large ΔU values are in the NW and SE ends of the network as shown in Fig. [18](#page-25-0)c–d. These events must be taken into account in a comprehensive study of detection contribution of any additional array. However, we should note that they are mostly either small or located near less populated parts of the region. Repeating the calculations for only the events closer to populated sites which are incidentally located inside the best covered areas, significantly improves both azimuthal gap and ΔU distributions as shown in Fig. 19.

5. Discussion and conclusions

Our exploratory study of a potential SMART cables system in Sumatra and Java (Fig. [3](#page-5-0)) shows that such a network can significantly improve the current capability in monitoring earthquake and tsunami hazard. This is particularly important considering the highly populated areas in the region (Fig. [2](#page-3-0)).

Calculated arrival times for seismic phases show that addition of an off-trench SMART array of 76 stations can decrease the median detection and locating time of earthquakes by up to \sim 7 s and \sim 12 s for P- and S-waves, respectively (average of 2.6 and 4.6 s improvements; Table [2](#page-23-0)). Figure [14](#page-20-0) shows that within the first 20 s after the earthquake origin time, such a SMART array can contribute at least two stations more than the the existing seismic network to the detection of P-waves. This contribution reaches \sim 10 stations for S-waves (Fig. [16\)](#page-22-0). The relatively different arrival times at stations 51–76 is due to their larger distance from the trench. We recall that these stations were positioned to monitor and study the seismic and tsunami hazard in the Arafura Sea and northwestern Australia, and not based on the geological merits of their whereabouts.

The addition of proposed stations will also improve any further modeling of seismic sources in the region by providing a larger set of available seismic data and thus in the long term serve to better understand the seismic and corresponding tsunami risk. We must also note that azimuthal distribution and the positioning of the stations relative to the direction of rupture propagation are more important than merely the number of station (Saraò et al. [1998](#page-31-0)). An inevitably large azimuthal gap (with a median of \sim 190°; Fig. [17a](#page-24-0)) in the existing onland seismic network is due to the elongated character of Sumatra and Java (Fig. [3\)](#page-5-0). Such a large gap has dire implications on accurately pinpointing seismic hypocenters in space and time. A robust solution to this issue is the deployment of offshore stations. Our proposed off-trench SMART stations are excellent candidates in this regard as they would almost entirely close the large, west-side azimuthal gap for future subduction zone earthquakes (Figs. [17a](#page-24-0)). Naturally, the improvement to the network is more

significant away from its two ends in the NW and SE. In fact, the stations in the vicinity of more populated areas, i.e., in the central \sim 4000 km of the array (the pink, dashed rectangle in Fig. [18\)](#page-25-0), include much smaller gaps, statistically $\langle 60^\circ$ (Fig. [19a](#page-26-0)).

Application of a slightly modified version of Bondár & McLaughlin's (2009) ΔU algorithm to a network comprised of existing seismic stations and the off-trench SMART array reaches a similar conclusion. Our calculations show that the inclusion of an off-trench SMART array can reduce the value of ΔU by 40%, down to 0.41 (Fig. [17b](#page-24-0)). The moderate value of ΔU shows that even in the presence of SMART array, the network still suffers from a nonhomogeneous distribution of stations. However (similar to the situation with azimuthal gap), for only the events along the main islands of Sumatra and Java, ΔU is reduced to 0.28. This shows that for practical purposes (close to the populated areas), inclusion of SMART stations improves the location and detection processes per standards used in earthquake early warning (Fig. [19b](#page-26-0)).

Our simulation of tsunamis from six potential earthquake ruptures (Figs. [6](#page-8-0) and [7](#page-10-0) and Table [1](#page-9-0)) show major improvement in detection of tsunamis by the off-trench SMART network compared to the only existing offshore monitoring system, i.e., DART stations in the northwest (Figs. [3](#page-5-0) and [7](#page-10-0)) at times by several hours (Figs. [10](#page-16-0) and [11](#page-17-0)).

We also simulate tsunamis from 58 potential submarine landslide scenarios designed from analyses of bathymetric slope and calculated PGA from existing earthquake catalogs (Fig. [8\)](#page-12-0). These simulations show that Sumatran and Javanese landslide tsunamis have relatively different trends (Fig. [12\)](#page-18-0) with Sumatran events being detected earlier by the SMART network. This is due to the closer proximity of slopes and hence the designed landslides to the array, compared to the situation in Java. Tsunamis from the Sumatran landslide scenarios (hot colors in Fig. [12\)](#page-18-0) are mostly detected by at least 4 SMART stations within 10 min after origin time. This is while the tsunamis from scenarios near Java require twice that time (\sim 20 minutes) for detection by the same number of stations.

Tsunamis from these events can reach shorelines of Sumatra and Java within \sim 30 minutes. Thus, in the absence of any other reliable detection network in the region, such detection times are extremely valuable for issuing tsunami warnings in the future.

In the final analysis, our study shows with repeaters (nodes) at every 50–120 km, a SMART cable system similar to our proposed array will considerably improve fast detection of earthquakes and tsunamis (with tectonic and non-tectonic sources) in the region. Therefore, deployment of these systems can play a significant role in earthquake and tsunami early warning. We note that as new tsunami sensors (e.g., (4G) DART stations) are added and with the advent of new technology (e.g., Hossen et al. [2021\)](#page-30-0) these same or similar calculations can be repeated.

We would expect other countries in the region subjected to the risk of Indonesia events to be partners in this regional system, also building up their own national systems in a similar way to create an integrated and unified large regional platform. The mere 5% contribution to the rapid detection of hazards in Indonesia (Sakya [2020\)](#page-31-0) shows the dire need for attention to the planning of such systems. The UNESCO-IOC—through collaboration with its Indian Ocean Tsunami Warning System (IOTWS) and the Pacific Tsunami Warning and Mitigation System (PTWS)—and the World Meteorological Organization (WMO) must be involved. Coordination can be facilitated by the IOC International Tsunami Information Center (ITIC), the Indian Ocean Tsunami Information Center (IOTIC), and the overarching Working Group on Tsunamis and Other Hazards Related to Sea-Level Warning and Mitigation Systems (TOWS-WG). Using simple assumptions (e.g., one time telecom cost of \$40,000/ km and SMART/early warning incremental cost of \$4,000/km; Joint Task Force on SMART Cable Systems, personal comm.), we approximate the cost for our proposed SMART array to be \sim \$350 million which is only a small fraction of the economic loss (\$4.45 billion; Athukorala and Resosudarmo [2005\)](#page-29-0) from the 2004 tsunami and earthquake. Efforts will be required to obtain development bank funding and other foreign aid to complement direct government and commercial funding.

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Availability of Data and Material

Bathymetry data is available via NOAA at [https://](https://www.ngdc.noaa.gov/mgg/global/) www.ngdc.noaa.gov/mgg/global/ and [https://www.](https://www.ngdc.noaa.gov/mgg/fliers/06mgg01.html) [ngdc.noaa.gov/mgg/fliers/06mgg01.html](https://www.ngdc.noaa.gov/mgg/fliers/06mgg01.html). Array data and visualization information are available via Deep Blue Data at [https://doi.org/10.7302/0jmy-pa60.](https://doi.org/10.7302/0jmy-pa60)

Declarations

Conflict of interest The authors declare that they do not have any competing interests.

Code Availability TauP (used to calculate seismic arrival times) is available at <https://www.seis.sc.edu/taup/>. The tsunami simulation code is maintained and distributed by NOAA (<https://nctr.pmel.noaa.gov/nthmp/>).

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