

# The Essential Norm of Hankel Operators on the Weighted Bergman Spaces with Exponential Type Weights

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*To my sons, Samy and Nassim.*

**Abstract.** Let  $AL_\phi^2(\mathbb{D})$  denote the closed subspace of  $L^2(\mathbb{D}, e^{-2\phi}d\lambda)$  consisting of analytic functions in the unit disc  $\mathbb{D}$ . For certain class of subharmonic functions  $\phi : \mathbb{D} \rightarrow \mathbb{R}$  and  $f \in L^2(\mathbb{D})$ , it is shown that the essential norm of Hankel operator  $H_f : AL_\phi^2(\mathbb{D}) \rightarrow L_\phi^2(\mathbb{D})$  is comparable to the distance norm from  $H_f$  to compact Hankel operators.

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## 1. Introduction and statement of main result

Let  $\mathbb{D}$  be the unit disc in  $\mathbb{C}$  and  $d\lambda$  be its Lebesgue measure. For a subharmonic function  $\phi : \mathbb{D} \rightarrow \mathbb{R}$ , let  $L_\phi^2(\mathbb{D})$  be the Hilbert space of measurable functions  $f$  on  $\mathbb{D}$  such that

$$\|f\|_{L_\phi^2} := \left( \int_{\mathbb{D}} |f|^2 e^{-2\phi} d\lambda \right)^{\frac{1}{2}} < +\infty$$

Let  $AL_\phi^2(\mathbb{D})$  be the closed subspace of  $L_\phi^2(\mathbb{D})$  consisting of analytic functions. Let  $P_\phi$  be the orthogonal projection of  $L_\phi^2(\mathbb{D})$  onto  $AL_\phi^2(\mathbb{D})$  :

$$P_\phi g(z) := \int_{\mathbb{D}} K_\phi(z, w) g(w) e^{-2\phi(w)} d\lambda$$

where  $K_\phi$  is the reproduced kernel of  $P_\phi$ . Let  $L_\phi^\infty(\mathbb{D})$  be the space of measurable functions  $f$  on  $\mathbb{D}$  such that  $e^{-\phi}f \in L^\infty(\mathbb{D})$  and  $H_\phi^\infty(\mathbb{D})$  be the subspace of  $L^\infty(\mathbb{D})$

consisting of analytic functions. Given  $f \in L^2(\mathbb{D})$ , it is possible to define, for some weights  $\phi$ , the Hankel operator  $H_f$  on  $H_\phi^\infty(\mathbb{D})$  by

$$H_f g = fg - P_\phi(fg)$$

For certain subharmonic functions  $\phi$  on  $\mathbb{D}$ , already defined on  $\mathbb{C}$  by Oleinik[14] and Oleinik-Perel'man [15], Lin and Rochberg [7] find necessary and sufficient conditions involving  $f$  such that the Hankel operator  $H_f$  is bounded or compact on  $AL_\phi^2(\mathbb{D})$ . Our aim is to estimate the essential norm of  $H_f$  :

$$\|H_f\|_e := \inf\{\|H_f - K\| : K \text{ compact operator}\}$$

The first estimate was established by Hartman-Adamyan-Arov-Krein for the Hardy space (see [2]).

**Theorem 1.1.** *Let  $f \in L^\infty(\partial\mathbb{D})$  and  $H_f$  be the Hankel operator defined on the Hardy space  $H^2(\mathbb{D})$  by  $H_f g = fg - S(fg)$  where  $S$  is the Szegő projection on  $L^2(\partial\mathbb{D})$  onto  $H^2(\mathbb{D})$ . Then*

$$\begin{aligned} \|H_f\|_e &= \inf\{\|H_f - K\| : K \text{ compact Hankel operator}\} \\ &= \text{dist}_{L^\infty(\partial\mathbb{D})}(f, C(\partial\mathbb{D}) + H^\infty(\mathbb{D})) \end{aligned}$$

Later Lin and Rochberg [6] proved similar results for the Hankel operator on the weighted Bergman space  $AL^2(\mathbb{D}, (1 - |z|^2)^s d\lambda)$ ,  $s > -1$ .

**Theorem 1.2.** *Let  $f \in L^2(\mathbb{D})$ . Then*

- (1)  $\|H_f\|_e \sim \inf\{\|H_f - K\| : K \text{ is compact Hankel operator}\}$
- (2)  $\|H_f\|_e \sim \text{dist}_{BDA}(f, VDA)$ , where  $\text{dist}_{BDA}(f, VDA) = \inf_{h \in VDA} \|f - h\|_{BDA}$ .

Similar results for the Hankel operator on the Bergman space of strongly pseudoconvex domains in  $\mathbb{C}^n$  were proved in [1].

The subject of this paper is to prove the corresponding version for Hankel operator on the Bergman space  $AL_\phi^2(\mathbb{D})$  for some class of subharmonic functions  $\phi$  on  $\mathbb{D}$  introduced by Oleinik [14] and Oleinik-Perel'man [15].

**Definition 1.3.** For  $\phi \in C^2(\mathbb{D})$  and  $\Delta\phi > 0$  put  $\tau(z) := (\Delta\phi(z))^{-1/2}$  where  $\Delta$  is the Laplace operator. We call  $\phi \in \mathcal{D}$  if the following conditions hold.

- (1)  $\exists C_1 > 0$  such that  $|\tau(z) - \tau(w)| \leq C_1|z - w| \quad \forall z, w \in \mathbb{D}$
- (2)  $\exists C_2 > 0$  such that  $\tau(z) \leq C_2(1 - |z|) \quad \forall z \in \mathbb{D}$
- (3)  $\exists 0 < C_3 < 1$  and  $a > 0$  such that  $\tau(z) \leq \tau(w) + C_3|z - w|$  for  $|z - w| > a\tau(w)$ .

Some examples of functions in class  $\mathcal{D}$  are as follows :

- (i)  $\phi_1(z) = -\frac{A}{2} \log(1 - |z|^2)$ ,  $A > 2$ . The corresponding weight  $e^{-2\phi_1}$  is the standard weight  $(1 - |z|^2)^A$  for  $A > 2$ .
- (ii)  $\phi_2(z) = \frac{1}{2}(-A \log(1 - |z|^2) + \frac{B}{(1 - |z|^2)^2})$ ,  $A \geq 0, B > 0$ . The corresponding weight  $e^{-2\phi_2}$  is the exponential weight  $(1 - |z|^2)^A e^{-B/(1 - |z|^2)}$ ,  $A \geq 0, B > 0$ .
- (iii)  $\phi_1 + h$  and  $\phi_2 + h$  where  $\phi_1$  and  $\phi_2$  are as in (i) and (ii) respectively and  $h \in C^2(\mathbb{D})$  can be any harmonic function on  $\mathbb{D}$ . Let  $\alpha \in ]0, \frac{1}{16} \min(C_1^{-1}, C_2^{-1})[$

fixed. For  $z \in \mathbb{D}$  and  $f$  measurable on  $\mathbb{D}$ , let

$$F_\alpha(z) := \inf \left\{ \left( \frac{1}{|D(\alpha\tau(z))|} \int_{D(\alpha\tau(z))} |f - k|^2 d\lambda \right)^{1/2} : k \text{ analytic on } D(\alpha\tau(z)) \right\}$$

where  $D(\alpha\tau(z)) := \{w \in \mathbb{D}, |w - z| \leq \alpha\tau(z)\}$  and  $|D(\alpha\tau(z))| = \lambda(D(\alpha\tau(z)))$ . The function space  $BDA_\alpha(\mathbb{D})$ , bounded distance to analytic, is defined by

$$BDA_\alpha(\mathbb{D}) = \{f : \sup_{z \in \mathbb{D}} F_\alpha(z) < +\infty\}$$

The function space  $VDA_\alpha(\mathbb{D})$ , vanishing distance to analytic, is defined by

$$VDA_\alpha(\mathbb{D}) = \{f : \limsup_{|z| \rightarrow 1} F_\alpha(z) = 0\}$$

In theorem 1.2 the function spaces  $BDA$  and  $VDA$  are defined with respect to hyperbolic discs  $D(z)$  with fixed radius. For  $f \in BDA_\alpha(\mathbb{D})$  let  $\|f\|_{BDA_\alpha} := \sup_{z \in \mathbb{D}} F_\alpha(z)$ . The main result is the following theorem.

**Theorem 1.4 (Main Theorem).** *Let  $f \in L^2(\mathbb{D})$  and  $\phi \in \mathcal{D}$ . Suppose that  $H_\phi^\infty(\mathbb{D})$  is dense on  $AL_\phi^2(\mathbb{D})$ . Let  $H_f$  defined on  $H_\phi^\infty(\mathbb{D})$  by  $H_f g = fg - P_\phi(fg)$ . Then*

- (1)  $\|H_f\|_e \sim \inf\{\|H_f - K\| : K \text{ compact Hankel operator}\}$ ,
- (2)  $\|H_f\|_e \sim \inf_{h \in VDA_\alpha} \|f - h\|_{BDA_\alpha}$  for some  $\alpha \in ]0, \frac{1}{16} \min(C_1^{-1}, C_2^{-1})[$ .

On Bergman space with weight  $\phi_s(z) = \frac{s}{2} \log(1 - |z|^2)$  ( $s > 2$ ), the explicit formula of the reproduced kernel or its local behaviour play a crucial role in the estimates. Generally in  $AL_\phi^2(\mathbb{D})$  the reproduced kernel  $K_\phi(z, w)$  is not explicit. Using Hörmander's estimates for  $\bar{\partial}$  operator on  $L_\phi^2(\mathbb{D})$  [4], Lin and Rochberg [7] constructed an extremal function  $k_w(z) \in AL_\phi^2(\mathbb{D})$  which play role of  $K_\phi(z, w)$  in local estimates and have the same behaviour as  $K_\phi(z, w)$  at the boundary. In our case, we will modify this construction to obtain a family  $(k_w)_{w \in \partial\mathbb{D}}$  for which  $k_w(z)$  converge to zero at each point  $z \in \mathbb{D}$  as  $w$  goes to  $\partial\mathbb{D}$ . Instead of the usual Hörmander's estimates for  $\bar{\partial}$  operator we use the  $L^2$  estimates for  $\bar{\partial} \circ \mu$  for some function  $\mu$ , introduced by Ohsawa-Takegoshi [9] and generalized by Ohsawa [10,11,12,13]. In the sequel the letter  $C$  design a constant which may change values in estimates but independently of main variables.

## 2. Preliminary results

Let  $\mu$  be a locally finite nonnegative Borel measure on the unit disk  $\mathbb{D}$ ,  $d\lambda$  be the area measure on  $\mathbb{D}$  and  $\phi : \mathbb{D} \rightarrow \mathbb{R}$  be subharmonic function. Let  $L_{\phi, \mu}^2(\mathbb{D})$  be the space of all measurable functions  $f$  on  $\mathbb{D}$  such that

$$\|f\|_{\phi, \mu} = \left( \int_{\mathbb{D}} |f|^2 e^{-2\phi} d\mu \right)^{1/2} < \infty$$

Let  $L_\phi^2(\mathbb{D})$  denote  $L_{\phi, d\lambda}^2(\mathbb{D})$  and  $AL_\phi^2(\mathbb{D})$  be the closed subspace of  $L_\phi^2(\mathbb{D})$  consisting of analytic functions.

**Definition 2.1.**  $\mu$  is called a Carleson measure on  $AL_\phi^2(\mathbb{D})$  if the inclusion map from  $AL_\phi^2(\mathbb{D})$  to  $L_{\phi,\mu}^2(\mathbb{D})$  is a bounded linear map.

**Definition 2.2.**  $\mu$  is called a vanishing Carleson measure on  $AL_\phi^2(\mathbb{D})$  if the inclusion map from  $AL_\phi^2(\mathbb{D})$  to  $L_{\phi,\mu}^2(\mathbb{D})$  is a compact linear map.

Necessary and sufficient conditions for which  $\mu$  is a Carleson measure or a vanishing Carleson measure are given by the following theorems.

**Theorem 2.3.** Let  $\phi \in \mathcal{D}$ . Then  $\mu$  is a Carleson measure if and only if there are  $C > 0$  and  $\alpha \in ]0, \frac{1}{16} \min(C_1^{-1}, C_2^{-1})[$  such that

$$\sup_{w \in \mathbb{D}} \frac{1}{\tau(w)^2} \mu\{z \in \mathbb{D} : |z - w| \leq \alpha\tau(w)\} \leq C$$

*Proof.* See Theorem 2.4 of [7]. □

**Theorem 2.4.** Let  $\phi \in \mathcal{D}$ . Then  $\mu$  is a vanishing Carleson measure if and only if there exists a constant  $\alpha \in ]0, \frac{1}{16} \min(C_1^{-1}, C_2^{-1})[$  such that

$$\limsup_{|w| \rightarrow 1} \frac{1}{\tau(w)^2} \mu\{z \in \mathbb{D} : |z - w| \leq \alpha\tau(w)\} = 0$$

*Proof.* See Theorem 2.9 in [7]. □

**Lemma 2.5.** Let  $\phi \in \mathcal{D}$ . There exists a sequence  $(z_j) \subset \mathbb{D}$  such that

- (1)  $z_j \notin D(\alpha\tau(z_k)), j \neq k$ ,
- (2)  $\cup_j D(\alpha\tau(z_j)) = \mathbb{D}$ ,
- (3)  $\tilde{D}(\alpha\tau(z_j)) \subset D(3\alpha\tau(z_j))$ , where

$$\tilde{D}(\alpha\tau(z_j)) = \cup_{z \in D(\alpha\tau(z_j))} D(\alpha\tau(z)), \quad j = 1, 2, \dots$$

- (4)  $\{D(3\alpha\tau(z_j))\}$  is a covering of  $\mathbb{D}$  with multiplicity  $N$ .

*Proof.* See Lemma of covering in [14]. □

**Lemma 2.6.** Let  $\Omega$  be a domain in complex plane. Let  $\phi$  be a real valued function in  $C^2(\Omega)$  such that  $\Delta\phi > 0$ . Then for every measurable function  $f$  on  $\Omega$  satisfying the condition

$$\int_{\Omega} \frac{|f|^2}{\Delta\phi} e^{-2\phi} d\lambda < \infty$$

there exists  $u \in L_\phi^2(\Omega)$  such that  $\bar{\partial}u = f$  and

$$\int_{\Omega} |u|^2 e^{-2\phi} d\lambda \leq \int_{\Omega} \frac{|f|^2}{\Delta\phi} e^{-2\phi} d\lambda.$$

*Proof.* See Theorem 2.2.1' in [4]. □

The key Lemma for estimates of the essential norm of  $H_f$  is the following.

**Lemma 2.7 (Key Lemma).** *Let  $\phi \in \mathcal{D}$  and suppose that  $H_\phi^\infty(\mathbb{D})$  is dense in  $AL_\phi^2(\mathbb{D})$ . Then for each  $w \in \mathbb{D}$ , there exists an analytic function  $k_w(z) \in H_\phi^\infty(\mathbb{D})$  satisfying the following conditions.*

- (1)  $\|k_w\|_{L_\phi^2} \leq C$ ,
- (2)  $k_w(z) \rightarrow 0$  as  $|w| \rightarrow 1$  for every  $z \in \mathbb{D}$ ,
- (3) there exists  $\gamma_0 \in ]0, 1/8[$  such that

$$|k_w(z)|^2 e^{-2\phi(z)} \geq \frac{C}{\tau(w)^2}, \quad \text{for } |z - w| \leq \gamma_0 \beta \tau(w),$$

where  $\beta = \min(C_1^{-1}, C_2^{-1})/2$  and the constants  $C$ 's in (1) and (3) are independent of  $w$ .

The existence of analytic functions satisfying (1) and (3) of lemma 2.7 was proved in [14] for  $\mathbb{C}$  and in [7] for  $\mathbb{D}$  using  $L^2$  estimates of  $\bar{\partial}$  operator (lemma 2.4). The key point for the proof of lemma 2.5 is the replacement, in the  $\bar{\partial}$ -equation, of  $\bar{\partial}$  by  $\bar{\partial}$  composed with a scalar function on the right. These are the famous  $L^2$ -estimates of Ohsawa-Takegoshi [9] for  $\bar{\partial} \circ \mu$  operator. They introduced a way of producing the curvature term without the contribution of the metric. This is impossible by the usual  $L^2$ -estimates of Hörmander for  $\bar{\partial}$  operator. This fact is explained by Siu in [16]. Here, we state the  $L^2$  existence theorem for  $\bar{\partial} \circ \mu$  operator on  $\Omega \subset \subset \mathbb{C}$ .

**Proposition 2.8.** *Let  $\Psi$  and  $\eta$  are  $C^2$  functions on  $\Omega$ , equipped with the usual metric, such that  $\eta > 0$  and bounded on  $\Omega$ . Suppose that*

$$\eta \Delta \Psi - \Delta \eta - \eta^{-1} |d\eta|^2 \geq c(z)$$

everywhere on  $\Omega$  for some positive measurable function  $c(z)$  on  $\Omega$ . Then for every function  $f \in L_\Psi^2(\Omega)$  there exists  $g \in L_\Psi^2(\Omega)$  such that  $\bar{\partial}(\sqrt{\eta}g) = f$  and

$$\int_\Omega |g|^2 e^{-2\Psi} d\lambda \leq \int_\Omega \frac{|f|^2}{c(z)} e^{-2\Psi} d\lambda$$

provided that the right integral is finite.

*Proof.* See Theorem 1.7 in [11] or Proposition 3.1 in [3]. □

For the proof of key lemma 2.7 we need the following two lemmas.

**Lemma 2.9.** *Let  $\phi \in \mathcal{D}$ . Let  $\beta = \min(C_1^{-1}, C_2^{-1})/2$  where  $C_1$  and  $C_2$  are the constants of  $\phi$  in Definition 1.3. For any fixed  $w \in \mathbb{D}$ , let  $\rho(w) = \beta \tau(w)$  and  $\Phi$  be a function analytic in  $|z - w| \leq \rho(w)$  and continuous to the boundary such that  $u := \text{Re}\Phi = \phi$  on the circle  $|z - w| = \rho(w)$ . Then  $0 \leq u(z) - \phi(z) \leq \beta^2$  for  $|z - w| \leq \rho(w)$ .*

*Proof.* See Lemma 1 and lemma 2 of [15]. □

**Lemma 2.10.** *Let  $\phi \in \mathcal{D}$ . For  $z$  and  $w$  in  $\mathbb{D}$  let  $\eta_w(z) = \delta^2 \rho(w)^2 + |z - w|^2$  where  $\rho(w) = \beta \tau(w)$ . There exist  $\delta > 0$  and  $C > 0$  such that if  $\phi_w(z) := \phi(z) - \frac{5}{4} \log \eta_w(z)$  then for all  $z, w$  in  $\mathbb{D}$  we have*

$$\eta_w(z) \Delta \phi_w(z) - \Delta \eta_w(z) - \frac{|d\eta_w(z)|^2}{\eta_w(z)} \geq C.$$

*Proof.* An easy computation shows that  $\frac{5\eta_w}{4} \Delta_c \log \eta_w = \frac{5\delta^2 \rho(w)^2}{4\eta_w}$ ,  $\Delta_c \eta_w = 1$  and  $\frac{|\partial \eta_w|^2}{\eta_w} = \frac{|z - w|^2}{\eta_w}$  where  $\Delta_c = \frac{\partial^2}{\partial z \partial \bar{z}}$  is the complex Laplacian. Hence

$$\begin{aligned} \frac{5\eta_w}{4} \Delta_c \log \eta_w + \Delta_c \eta_w + \frac{|\partial \eta_w|^2}{\eta_w} &= \frac{5\delta^2 \rho(w)^2}{4\eta_w} + 1 + \frac{|z - w|^2}{\eta_w} \\ &= \frac{\delta^2 \rho(w)^2}{4\eta_w} + 2 \leq \frac{9}{4} \end{aligned}$$

Let  $b > \max(a, 9\beta^{-1}(1 - C_3^2)^{-1/2})$  and  $\delta > 3(1 + bC_1\beta)\beta^{-1}$ . If  $|z - w| > b\rho(w)$ ,

$$\begin{aligned} |z - w|^2 \Delta_c \phi(z) &= \frac{|z - w|^2}{4} \Delta \phi(z) \\ &\geq \frac{|z - w|^2}{4(\tau(w) + C_3|z - w|)^2} \\ &\geq \frac{1}{4(b^{-1}\beta^{-1} + C_3)^2} \\ &\geq \frac{1}{4(9b^{-2}\beta^{-2} + \frac{C_3^2}{9})} > \frac{9}{4} \end{aligned}$$

hence

$$\begin{aligned} \eta_w \Delta_c \phi_w - \Delta_c \eta_w - \frac{|\partial \eta_w|^2}{\eta_w} &\geq \eta_w \Delta_c \phi - \frac{9}{4} \\ &\geq |z - w|^2 \Delta_c \phi - \frac{9}{4} \\ &\geq \frac{1}{4(9b^{-2}\beta^{-2} + \frac{C_3^2}{9})} - \frac{9}{4} > 0 \end{aligned}$$

Now if  $|z - w| \leq b\rho(w)$ ,

$$\begin{aligned} \delta^2 \rho(w)^2 \Delta_c \phi(z) &= \frac{\delta^2 \rho(w)^2}{4} \Delta \phi(z) \\ &\geq \frac{\delta^2 \rho(w)^2}{4(\tau(w) + C_1|z - w|)^2} \\ &\geq \frac{\delta^2 \beta^2}{4(1 + bC_1\beta)^2} > \frac{9}{4} \end{aligned}$$

hence

$$\begin{aligned} \eta_w \Delta_c \phi_w - \Delta_c \eta_w - \frac{|\partial \eta_w|^2}{\eta_w} &\geq \eta_w \Delta_c \phi - \frac{9}{4} \\ &\geq \delta^2 \rho(w)^2 \Delta_c \phi - \frac{9}{4} \\ &\geq \frac{\delta^2 \beta^2}{4(1 + bC_1 \beta)^2} - \frac{9}{4} > 0 \end{aligned}$$

Since  $\Delta = 4\Delta_c$  and  $|d\eta| = 2|\partial\eta_w|$ , the lemma follows. □

**2.1. Proof of the Key lemma**

*Proof.* For any fixed  $w \in \mathbb{D}$ , let the function  $\gamma(z) = \theta(|z - w|/\rho(w))$ , where  $\theta \in C^\infty(\mathbb{R})$ ,  $0 \leq \theta(t) \leq 1$ ,  $\theta(t) = 1$  for  $0 \leq t \leq 1/2$ ,  $\theta(t) = 0$  for  $t \geq 1$  and  $|\theta'(t)| \leq 3$ . Let us consider the function

$$\mathcal{F}_w(z) = \gamma(z)e^{\Phi(z)} - (z - w)\sqrt{\eta_w(z)}g_w(z)$$

where  $\eta_w(z) = \delta^2 \rho(w)^2 + |z - w|^2$  and  $\Phi$  as in Lemma 2.9. The function  $g_w$  is chosen in such manner that  $\bar{\partial}\mathcal{F}_w = 0$  on  $\mathbb{D}$ . For  $g_w$  we obtain the  $\bar{\partial} \circ \sqrt{\eta_w}$  equation

$$\frac{\partial(\sqrt{\eta_w}g_w)}{\partial \bar{z}}(z) = (z - w)^{-1} \frac{\partial \gamma(z)}{\partial \bar{z}} e^{\Phi(z)} \equiv h(z) \tag{2.1}$$

It's clear that  $h$  is a smooth function with support in  $I_w := \{\rho(w)/2 \leq |z - w| \leq \rho(w)\}$ . Let  $\delta$  be as in Lemma as in Lemma 2.10 and for  $z \in \mathbb{D}$  let

$$\phi_w(z) = \phi(z) - \frac{5}{4} \log \eta_w(z)$$

Then by lemma 2.10 there exists constant  $C > 0$  such that

$$\eta_w(z)\Delta\phi_w(z) - \Delta\eta_w(z) - \frac{|d\eta_w(z)|^2}{\eta_w(z)} \geq C \tag{2.2}$$

Now applying Proposition 2.8 for  $\phi_w$ , we obtain a solution  $g_w$  of (2.1) such that

$$\begin{aligned} \int_{\mathbb{D}} |g_w(z)|^2 e^{-2\phi_w(z)} d\lambda(z) &\leq \frac{1}{C} \int_{\mathbb{D}} |h(z)|^2 e^{-2\phi_w(z)} d\lambda(z) \\ &\leq C \int_{I_w} |(z - w)^{-1} \frac{\partial \gamma}{\partial \bar{z}}(z) e^{\Phi(z)}|^2 e^{-2\phi_w(z)} d\lambda(z) \\ &\leq C \int_{I_w} \frac{1}{\rho(w)^4} \rho(w)^5 e^{2u-2\phi} d\lambda \\ &\leq C \rho(w)^3, \quad \text{since } u - \phi \leq \beta^2. \end{aligned}$$

Now let us bound the  $L_\phi^2$  norm of  $\mathcal{F}_w$ .

$$\begin{aligned}
\|\mathcal{F}_w\|_{L_\phi^2}^2 &= \int_{\mathbb{D}} |\mathcal{F}_w(z)|^2 e^{-2\phi(z)} \\
&\leq 2 \left( \int_{\mathbb{D}} \gamma^2(z) e^{2u(z)-2\phi(z)} d\lambda + \int_{\mathbb{D}} |(z-w)|^2 \eta_w(z) |g_w(z)|^2 e^{-2\phi(z)} d\lambda \right) \\
&\leq 2 \left( \int_{|z-w| \leq \rho(w)} \gamma^2(z) e^{2u(z)-2\phi(z)} d\lambda + \int_{\mathbb{D}} \eta_w^2(z) |g_w(z)|^2 e^{-2\phi(z)} d\lambda \right) \\
&\leq C\rho(w)^2 + 2 \int_{\mathbb{D}} \eta_w^{-1/2}(z) |g_w(z)|^2 e^{-2\phi_w(z)} d\lambda \\
&\leq C\rho(w)^2 + \frac{2}{\delta\rho(w)} \int_{\mathbb{D}} |g_w(z)|^2 e^{-2\phi_w(z)} d\lambda \\
&\leq C\rho(w)^2.
\end{aligned}$$

Now let us bound  $|\mathcal{F}_w(z)|$  below on  $|z-w| \leq \gamma\rho(w)$  for some  $\gamma > 0$  which will be chosen later. If  $|z-w| \leq \rho(w)/2$ , then  $\gamma(z) \equiv 1$ , therefore

$$|\mathcal{F}_w(z)|^2 = e^{2u(z)} |1 - (z-w)\sqrt{\eta_w}g_w(z)e^{-\Phi(z)}|^2$$

Consequently, it is sufficient to bound  $|(z-w)\sqrt{\eta_w}g_w(z)e^{-\Phi(z)}|$  above with an upper bound less than 1. Since  $h \equiv 0$  for  $|z-w| \leq \rho(w)/2$ , the function  $\eta_w^{1/2}g_w e^{-\Phi}$  is analytic in  $|z-w| \leq \rho(w)/2$ . Put  $I_z := \{\xi \in \mathbb{D} : 2\rho(w)/8 \leq |\xi-z| \leq 3\rho(w)/8\}$ . For  $\gamma_0 \in ]0, \frac{1}{8}[$  and  $|z-w| \leq \gamma_0\rho(w)$ , apply the Cauchy formula to  $\eta_w^{1/2}g_w e^{-\Phi}$  on the circle  $|\xi-z| = t$  and then integrate both side with respect to  $t$  from  $2\rho(w)/8$  to  $3\rho(w)/8$ , we obtain

$$\begin{aligned}
|\eta_w^{1/2}g_w e^{-\Phi}(z)| &\leq \frac{8}{\rho(w)} \cdot \frac{1}{2\pi} \int_{I_z} \left| \frac{\eta_w^{1/2}(\xi)g_w(\xi)e^{-\Phi(\xi)}}{\xi-z} \right| d\lambda(\xi) \\
&\leq \frac{8}{\rho(w)} \cdot \frac{1}{\pi} \cdot \frac{8}{2\rho(w)} \int_{I_z} |\eta_w^{1/2}(\xi)g_w(\xi)e^{-\Phi(\xi)}| d\lambda(\xi) \\
&= \frac{16}{\pi\rho(w)^2} \int_{I_z} \frac{1}{|\xi-w|} |(\xi-w)\eta_w^{1/2}(\xi)g_w(\xi)e^{-u(\xi)}| d\lambda(\xi) \\
&\leq \frac{16}{\pi\rho(w)^2} \cdot \frac{8}{\rho(w)} \int_{I_z} |(\xi-w)\eta_w^{1/2}(\xi)g_w(\xi)e^{-u(\xi)}| d\lambda(\xi) \\
&\leq \frac{48}{\sqrt{\pi}\rho(w)^2} \left( \int_{I_z} |(\xi-w)\eta_w^{1/2}(\xi)g_w(\xi)|^2 e^{-2u(\xi)} d\lambda(\xi) \right)^{1/2} \\
&\leq \frac{48}{\sqrt{\pi}\rho(w)^2} \left( \int_{I_z} \eta_w^2(\xi) |g_w(\xi)|^2 e^{-2u(\xi)} d\lambda(\xi) \right)^{1/2}.
\end{aligned}$$



Thus

$$\begin{aligned} |\eta_w^{1/2} g_w e^{-\Phi}|(z) &\leq \frac{48}{\sqrt{\pi} \rho(w)^2} \left( \int_{I_z} \eta_w^2(\xi) |g_w(\xi)|^2 e^{-2\phi(\xi)} d\lambda(\xi) \right)^{1/2} \quad (\text{since } \phi - u \leq 0) \\ &\leq \frac{48}{\sqrt{\pi} \rho(w)^2} \cdot \sqrt{C} \rho(w) \\ &= \frac{48\sqrt{C}}{\sqrt{\pi}} \cdot \frac{1}{\rho(w)}. \end{aligned}$$

Now choose  $\gamma_0 \in ]0, 1/8[$  such that  $\frac{48\sqrt{C}\gamma_0}{\sqrt{\pi}} < 1$ . Then for  $|z - w| \leq \gamma_0 \rho(w)$  we have

$$|(z - w) \sqrt{\eta_w(z)} g_w(z) e^{-\Phi(z)}| \leq \gamma_0 \rho(w) \frac{48\sqrt{C}}{\sqrt{\pi}} \cdot \frac{1}{\rho(w)} < 1.$$

Therefore for  $|z - w| \leq \gamma_0 \rho(w) = \gamma_0 \beta \tau(w)$ , we have

$$|\mathcal{F}_w(z)|^2 e^{-2\phi(z)} \geq C e^{2u(z) - 2\phi(z)} \geq C$$

since  $u - \phi \geq 0$  by Lemma 2.9.

For  $w \in \mathbb{D}$  fixed and  $z \in \mathbb{D}$  let

$$f_w(z) = \frac{\mathcal{F}_w(z)}{\rho(w)}.$$

Then  $f_w$  satisfies the properties

- (i)  $\|f_w\|_{L^2_\phi} \leq C$ ,
- (ii)  $\exists \gamma_0 \in ]0, 1/8[$  such that  $|f_w(z)|^2 e^{-2\phi(z)} \geq C/\rho(w)^2$  for  $|z - w| \leq \gamma_0 \beta \tau(w)$ .

Now we show that  $f_w(z) \rightarrow 0$  as  $|w| \rightarrow 1$  at each  $z \in \mathbb{D}$ . Since

$$f_w(z) = \frac{1}{\rho(w)} \theta\left(\frac{|z-w|}{\rho(w)}\right) e^{\Phi(z)} - (z-w) \sqrt{\delta^2 \rho(w)^2 + |z-w|^2} \frac{g_w(z)}{\rho(w)}$$

and  $|z - w| > \rho(w)$  if  $|w| \sim 1$ , then  $\theta\left(\frac{|z-w|}{\rho(w)}\right) = 0$ . Hence we need only to show that

$$\lim_{|w| \rightarrow 1} \frac{g_w(z)}{\rho(w)} = 0$$

Since  $\sqrt{\eta_w}g_w$  is analytic near  $z$  and  $\eta_w$  has an uniform upper bound in  $z$  and  $w$ , by mean value inequality

$$\begin{aligned}
|\eta_w^{1/2}(z)g_w(z)| &\leq \frac{C}{\tau(z)^2} \int_{D(z,\tau(z)/4C_1)} |\eta_w^{1/2}(\xi)g(\xi)|d\lambda(\xi) \\
&\leq \frac{C}{\tau(z)^2} \left( \int_{D(z,\tau(z)/4C_1)} |g_w(\xi)|^2 e^{-2\phi_w(\xi)} d\lambda(\xi) \right)^{1/2} \times \\
&\quad \left( \int_{D(z,\tau(z)/4C_1)} \frac{e^{2\phi(\xi)}}{\eta_w(\xi)^{5/2}} d\lambda(\xi) \right)^{1/2} \\
&\leq \frac{C}{\tau(z)^2} \rho(w) \sqrt{\rho(w)} \left( \int_{D(z,\tau(z)/4C_1)} \frac{e^{2\phi(\xi)}}{|\xi-w|^5} d\lambda(\xi) \right)^{1/2} \\
&\leq \frac{C}{\tau(z)^2} \rho(w) \sqrt{\rho(w)} \tau(z)^{-3/2} \sup_{\xi \in D(z,\tau(z)/4C_1)} e^{2\phi(\xi)}
\end{aligned}$$

since the two inequalities  $|\xi-w| \geq |\xi-z| - |z-w|$  and  $C_1|z-w| \geq |\tau(z) - \tau(w)| \geq \tau(z)/2$  if  $|w| \sim 1$  show  $|\xi-w| \geq \tau(z)/4C_1$ . Since  $\eta_w^{1/2}(z) \geq |z-w|$  for all  $w, z \in \mathbb{D}$  we conclude that  $\tau(w)^{-1}g_w(z) \rightarrow 0$  as  $|w| \rightarrow 1$ . Thus  $f_w(z) \rightarrow 0$  as  $|w| \rightarrow 1$ .

Finally we show that we can choose  $f_w$  in  $H_\phi^\infty(\mathbb{D})$ . Since  $H_\phi^\infty(\mathbb{D})$  is dense in  $AL_\phi^2(\mathbb{D})$ , for any fixed  $w$  there exists a sequence  $f_w^n \in H_\phi^\infty(\mathbb{D})$  such that  $\|f_w^n - f_w\|_{L_\phi^2} \rightarrow 0$  as  $n \rightarrow \infty$ . Since  $\phi$  is continuous in  $\mathbb{D}$  by the mean value theorem and the Hölder inequality,  $f_w^n(z) \rightarrow f_w(z)$  uniformly on compact subset of  $\mathbb{D}$ . Then there exists a constant  $N_1(w) > 0$  such that when  $n > N_1(w)$  we have

$$|e^{-\phi(z)}f_w^n(z) - e^{-\phi(z)}f_w(z)| < \frac{1}{2} \left( \frac{C}{\tau(w)^2} \right)^{1/2} \quad \text{for } |z-w| \leq \gamma_0\beta\tau(w),$$

where  $C$  and  $\gamma_0$  are constants as in (ii). Hence

$$\begin{aligned}
|e^{-\phi(z)}f_w^n(z)| &\geq |e^{-\phi(z)}f_w(z)| - \frac{1}{2} \left( \frac{C}{\tau(w)^2} \right)^{1/2} \\
&= \frac{1}{2} \left( \frac{C}{\tau(w)^2} \right)^{1/2} \quad \text{for } n > N_1(w) \text{ and } |z-w| \leq \gamma_0\beta\tau(w).
\end{aligned}$$

Also, since  $\|f_w^n - f_w\|_{L_\phi^2} \rightarrow 0$  as  $n \rightarrow \infty$ , there exists another constant  $N_2(w) > 0$  such that when  $n > N_2(w)$  we have

$$\|f_w^n - f_w\|_{L_\phi^2} \leq \tau(w)$$

Let  $N(w) = \max(N_1(w), N_2(w)) + 1$ . We define  $k_w(z)$  by

$$k_w(z) = f_w^{N(w)}(z)$$

Then  $k_w \in H_\phi^\infty(\mathbb{D})$  and satisfies the condition (1) and (3) of Lemma 2.5. By the mean value theorem and Cauchy-Schwarz inequality we obtain

$$\begin{aligned} |f_w^{N(w)}(z) - f_w(z)| &\leq \frac{C}{\tau(z)^2} \int_{D(z, \tau(z)/4)} |f_w^{N(w)}(\xi) - f_w(\xi)| d\lambda(\xi) \\ &\leq C(z)\tau(w) \sup_{\xi \in D(z, \tau(z)/4)} e^{\phi(\xi)} \end{aligned}$$

Hence  $|k_w(z)| \leq B(z)\tau(w) + |f_w(z)|$  and it's follows that  $\lim_{|w| \rightarrow 1} k_w(z) = 0$ . Thus  $k_w$  satisfies the condition (2) of Lemma 2.7. This completes the proof.  $\square$

### 3. The essential norm of Hankel operator on the weighted Bergman space

The following theorem is our first result about essential norm of  $H_f$ .

**Theorem 3.1.** *Let  $\phi \in \mathbb{D}$  and suppose that  $H_\phi^\infty(\mathbb{D})$  is dense on  $AL_\phi^2(\mathbb{D})$ . Let  $f \in L^2(\mathbb{D})$  and  $H_f$  defined on  $H_\phi^\infty(\mathbb{D})$  by  $H_f g = fg - P_\phi(fg)$ . The following quantities are equivalent.*

- (1)  $\|H_f\|_e$ ,
- (2)  $\limsup_{|w| \rightarrow 1} \|H_f(k_w)\|_{L_\phi^2}$  where  $(k_w)_{w \in \mathbb{D}}$  as in Lemma 2.5,
- (3)  $\limsup_{|w| \rightarrow 1} F_\alpha(w)$  for some  $\alpha \in ]0, \frac{1}{16} \min(C_1^{-1}, C_2^{-1})[$  where

$$F_\alpha(z) := \inf \left\{ \left( \frac{1}{|D(\alpha\tau(z))|} \int_{D(\alpha\tau(z))} |f - h|^2 d\lambda \right)^{1/2} : h \text{ analytic on } D(\alpha\tau(z)) \right\},$$

- (4)  $\inf_{f=f_1+f_2, f_2 \in C^1(\mathbb{D})} \left[ \limsup_{|w| \rightarrow 1} (G_\alpha(w) + (\Delta\phi(w))^{-1/2} |\bar{\partial} f_2(w)|) \right]$  for some  $\alpha \in ]0, \frac{1}{16} \min(C_1^{-1}, C_2^{-1})[$  where  $G_\alpha(w) = \left( \frac{1}{|D(\alpha\tau(w))|} \int_{D(\alpha\tau(w))} |f_1|^2 d\lambda \right)^{1/2}$ .

*Proof.* The proof of theorem follows the cycle

$$\begin{aligned} (a) : (1) &\geq C(2), & (b) : (2) &\geq C(3) \\ (c) : (3) &\geq C(4), & (d) : (4) &\geq C(1). \end{aligned}$$

*Proof of (a).* Let  $(k_w)_{w \in \mathbb{D}}$  be the sequence of functions of lemma 2.7 and  $K : AL_\phi^2(\mathbb{D}) \rightarrow L_\phi^2(\mathbb{D})$  be a compact operator. Then

$$\begin{aligned} \|H_f(k_w)\|_{L_\phi^2} - \|K(k_w)\|_{L_\phi^2} &\leq \|(H_f - K)(k_w)\|_{L_\phi^2} \\ &\leq \|k_w\|_{L_\phi^2} \|H_f - K\| \\ &\leq C \|H_f - K\| \end{aligned}$$

Since  $k_w \rightarrow 0$  weakly as  $|w| \rightarrow 1$  and  $K$  is a compact operator, we have

$$\limsup_{|w| \rightarrow 1} \|H_f(k_w)\|_{L_\phi^2} \leq C \|H_f\|_e.$$

*Proof of (b).* By lemma 2.7, the functions  $k_w$  and  $\frac{1}{k_w}$  are analytic on  $D(\alpha\tau(w))$ . This implies

$$\begin{aligned} \|H_f(k_w)\|_{L_\phi^2}^2 &= \int_{\mathbb{D}} |fk_w - P_\phi(fk_w)|^2 e^{-2\phi} d\lambda \\ &\geq \int_{D(\alpha\tau(w))} \left|f - \frac{P_\phi(fk_w)}{k_w}\right|^2 |k_w|^2 e^{-2\phi} d\lambda \\ &\geq \frac{C}{\tau(w)^2} \int_{D(\alpha\tau(w))} \left|f - \frac{P_\phi(fk_w)}{k_w}\right|^2 d\lambda \\ &\geq CF_\alpha^2(w) \end{aligned}$$

Thus

$$\limsup_{|w| \rightarrow 1} \|H_f(k_w)\|_{L_\phi^2} \geq C \limsup_{|w| \rightarrow 1} F_\alpha(w)$$

*Proof of (c).* By the proof of (2)  $\Rightarrow$  (3) in theorem 4.1 in [7] (see also [8]), there is a decomposition  $f = f_1 + f_2$  of  $f$  with  $f_2 \in C^1(\mathbb{D})$  such that for  $w \in \mathbb{D}$ :

$$\begin{aligned} G_\alpha(w) &= \frac{1}{|D(\alpha\tau(w))|} \int_{D(\alpha\tau(w))} |f_1|^2 d\lambda \leq C \sup\{F_\alpha(z)^2 : z \in D(3\alpha\tau(w))\} \\ &\quad \frac{|\bar{\partial}f_2(w)|^2}{\Delta\phi(w)} \leq C \sup\{F_\alpha(z)^2 : z \in D(3\alpha\tau(w))\} \end{aligned}$$

Hence

$$\limsup_{|w| \rightarrow 1} \left[ G_\alpha(w) + (\Delta\phi(w))^{-1/2} |\bar{\partial}f_2(w)| \right] \leq C \limsup_{|w| \rightarrow 1} F_\alpha(w)$$

*Proof of (d).* Let  $f = f_1 + f_2$  be a decomposition of  $f$  with  $f_2 \in C^1(\mathbb{D})$ . Then

$$\|H_f\|_e = \|H_{f_1+f_2}\|_e \leq \|H_{f_1}\|_e + \|H_{f_2}\|_e$$

So we need to prove:

$$\begin{aligned} \|H_{f_1}\|_e &\leq C \limsup_{|w| \rightarrow 1} G_\alpha(w) \\ \|H_{f_2}\|_e &\leq C \limsup_{|w| \rightarrow 1} (\Delta\phi(w))^{-1/2} |\bar{\partial}f_2(w)| \end{aligned}$$

We may suppose that  $\limsup_{|w| \rightarrow 1} G_\alpha(w)$  and  $\limsup_{|w| \rightarrow 1} (\Delta\phi(w))^{-1/2} |\bar{\partial}f_2(w)|$  are finite. Since  $G_\alpha(w)$  and  $(\Delta\phi(w))^{-1/2} |\bar{\partial}f_2(w)|$  are continuous on  $\mathbb{D}$ , then  $G_\alpha(w)$  and  $(\Delta\phi(w))^{-1/2} |\bar{\partial}f_2(w)|$  are bounded on  $\mathbb{D}$ . Let  $r \in ]0, 1[$  and  $\chi_r$  be the characteristic function of  $D_r = \{z \in \mathbb{D} : |z| < r\}$ . We consider the operator of multiplication  $M_{\chi_r f_1}$  from  $AL_\phi^2(\mathbb{D})$  to  $L_\phi^2(\mathbb{D})$  defined by  $M_{\chi_r f_1}(g) = \chi_r f_1 g$ . Since  $\chi_r f_1$  has compact support and  $G_\alpha$  is bounded,  $M_{\chi_r f_1}$  is compact: let  $(g_n) \subset AL_\phi^2$  be a sequence tending weakly to zero. Then  $\|g_n\|_{L_\phi^2}$  is bounded and  $g_n$  converge uniformly to zero on compact sets in  $\mathbb{D}$ . Then  $\forall \epsilon > 0, \exists N > 0$  such that  $e^{-\phi(z)} |g_n(z)| < \epsilon, \forall z \in D_r$

and  $n > N$  :

$$\begin{aligned} \|M_{\chi_r f_1} g_n\|_{L^2_\phi}^2 &= \int_{\mathbb{D}} |\chi_r f_1|^2 |g_n|^2 e^{-2\phi} d\lambda \\ &\leq \epsilon^2 \int_{D_r} |f_1|^2 d\lambda \\ &\leq \epsilon^2 \sum_{j=0}^{N_r} \int_{D_r \cap D(3\alpha\tau(z_j))} |f_1|^2 d\lambda \quad ((z_j) \text{ as in lemma 2.5}) \\ &\leq \epsilon^2 \sum_{j=0}^{N_r} |D(3\alpha\tau(z_j))| \left( \frac{1}{|D(3\alpha\tau(z_j))|} \int_{D(3\alpha\tau(z_j))} |f_1|^2 d\lambda \right) \\ &\leq \epsilon^2 \alpha^2 C_2^2 N_r \sup_{w \in \mathbb{D}} G_\alpha^2(w) \end{aligned}$$

Hence  $\lim_{n \rightarrow \infty} \|M_{\chi_r f_1} g_n\|_{L^2_\phi}^2 = 0$ . Since  $H_{\chi_r f_1} = (I - P_\phi)M_{\chi_r f_1}$ , the operator  $H_{\chi_r f_1}$  is compact and

$$\|H_{f_1}\|_e \leq \|H_{(1-\chi_r)f_1}\|$$

So we need only to give an upper bound of  $\|H_{(1-\chi_r)f_1}\|^2$ . Let  $g \in AL^2_\phi$ , then

$$\begin{aligned} \|H_{(1-\chi_r)f_1} g\|_{L^2_\phi}^2 &= \|(I - P)M_{(1-\chi_r)f_1} g\|_{L^2_\phi}^2 \\ &\leq \int_{\mathbb{D}} |g|^2 |(1 - \chi_r)f_1|^2 e^{-2\phi} d\lambda \\ &\leq C \sup_{w \in \mathbb{D}} \left( \frac{1}{|D(\alpha\tau(w))|} \int_{D(\alpha\tau(w))} |(1 - \chi_r)f_1|^2 d\lambda \right) \int_{\mathbb{D}} |g|^2 e^{-2\phi} d\lambda \end{aligned}$$

since  $|(1 - \chi_r)f_1|^2 d\lambda$  is a Carleson measure on  $AL^2_\phi$  ( $|f_1|^2 d\lambda$  is a Carleson measure by Theorem 2.3). Since  $\tau(w) \leq C_2(1 - |w|)$  and  $\alpha C_2 < 1$ , we have

$$\begin{aligned} \|H_{(1-\chi_r)f_1}\|^2 &\leq C \sup_{\frac{r-\alpha C_2}{1-\alpha C_2} < |w| < 1} \left( \frac{1}{|D(\alpha\tau(w))|} \int_{D(\alpha\tau(w))} |f_1|^2 d\lambda \right) \\ &\leq C \sup_{s(r) < |w| < 1} (G_\alpha(w))^2 \end{aligned}$$

and then

$$\|H_{f_1}\|_e \leq C \limsup_{|w| \rightarrow 1} G_\alpha(w)$$

For  $f_2 \in C^1(\mathbb{D})$  and  $g \in AL^2_\phi$  :  $H_{f_2} g = f_2 g - P_\phi(f_2 g)$  is the solution of  $\bar{\partial} u = g \bar{\partial} f_2$  with minimal  $L^2_\phi$  norm. We can write

$$\begin{aligned} H_{f_2} g &= \int_{\mathbb{D}} S_\phi(z, w) g(w) \bar{\partial} f_2(w) e^{-2\phi(w)} d\lambda \\ &= \int_{\mathbb{D}} S_\phi(z, w) \chi_r g \bar{\partial} f_2 e^{-2\phi} d\lambda + \int_{\mathbb{D}} S_\phi(z, w) (1 - \chi_r) g \bar{\partial} f_2 e^{-2\phi} d\lambda \\ &= T_1 g + T_2 g \end{aligned}$$

where  $S_\phi(z, w)$  is the reproduced kernel of  $L_\phi^2$  minimal solution. The operator  $T_1$  is compact : let  $(g_n) \subset AL_\phi^2$  be a sequence tending weakly to zero. Then  $\|g_n\|_{L_\phi^2}$  is bounded and  $g_n$  converge uniformly to zero on compact sets in  $\mathbb{D}$ . Then  $\forall \epsilon > 0, \exists N > 0$  such that  $e^{-\phi(z)}|g_n(z)| < \epsilon$  for  $|z| \leq r$ . Then

$$\|T_1 g_n\|_{L_\phi^2}^2 = \left\| \int_{\mathbb{D}} S_\phi(z, w) \chi_r g_n \bar{\partial} f_2 e^{-2\phi} d\lambda \right\|_{L_\phi^2}^2$$

Since  $\int_{\mathbb{D}} S_\phi(z, w) \chi_r g_n \bar{\partial} f_2 e^{-2\phi} d\lambda$  is the minimal solution of  $\bar{\partial} u = \chi_r g_n \bar{\partial} f_2$ , by lemma 2.6, there exists a solution  $U_n$  of  $\bar{\partial} u = \chi_r g_n \bar{\partial} f_2$  such that

$$\int_{\mathbb{D}} |U_n|^2 e^{-2\phi} d\lambda \leq C \int_{D_r} |g_n|^2 |\bar{\partial} f_2|^2 / \Delta\phi e^{-2\phi} d\lambda$$

This implies

$$\|T_1 g_n\|_{L_2\phi} \leq \|U_n\|_{L_\phi^2} \leq C \epsilon \sup_{w \in \mathbb{D}} \frac{|\bar{\partial} f_2|}{\Delta\phi(w)^{1/2}}$$

Hence  $\lim_{n \rightarrow \infty} \|T_1 g_n\|_{L_\phi^2} = 0$  i.e  $T_1$  is compact. By definition of essential norm we have

$$\|H_{f_2}\|_e = \|T_2\|_e \leq \|T_2\|$$

Since

$$T_2 g = \int_{\mathbb{D}} S_\phi(z, w) (1 - \chi_r) g \bar{\partial} f_2 e^{-2\phi} d\lambda$$

is the minimal solution of  $\bar{\partial} u = (1 - \chi_r) \bar{\partial} f_2$ , by lemma 2.6 there exists a solution  $V$  of  $\bar{\partial} u = (1 - \chi_r) \bar{\partial} f_2$  such that

$$\int_{\mathbb{D}} |V|^2 e^{-2\phi} d\lambda \leq C \int_{\mathbb{D}} (1 - \chi_r)^2 |g|^2 \frac{|\bar{\partial} f_2|^2}{\Delta\phi} e^{-2\phi} d\lambda$$

Hence

$$\begin{aligned} \|T_2 g\|_{L_\phi^2}^2 &\leq C \int_{\mathbb{D}} (1 - \chi_r)^2 |g|^2 \frac{|\bar{\partial} f_2|^2}{\Delta\phi} e^{-2\phi} d\lambda \\ &\leq C \sup_{r < |w| < 1} \frac{|\bar{\partial} f_2|^2}{\Delta\phi(w)} \|g\|_{L_\phi^2}^2 \end{aligned}$$

Thus

$$\|H_{f_2}\|_e \leq C \limsup_{|w| \rightarrow 1} \frac{|\bar{\partial} f_2|}{\Delta\phi(w)^{1/2}}$$

Combining above inequalities, we have

$$\|H_f\|_e \leq \|H_{f_1}\|_e + \|H_{f_2}\|_e \leq C \limsup_{|w| \rightarrow 1} \left[ G_\alpha(w) + (\Delta\phi(w))^{-1/2} |\bar{\partial} f_2(w)| \right]. \quad \square$$

As a consequence of Theorem 3.1 is the following compactness criteria of Hankel operator on  $AL_\phi^2(\mathbb{D})$  [7].

**Theorem 3.2.** *Let  $\phi \in \mathcal{D}$  and  $f \in L^2(\mathbb{D})$ . Suppose that  $H_\phi^\infty(\mathbb{D})$  is dense in  $AL_\phi^2(\mathbb{D})$ . Then the following properties are equivalent.*

- (a)  $H_f$  is (extend to) a compact operator from  $AL_\phi^2$  to  $L_\phi^2$ .
- (b)  $F_\alpha(w) \rightarrow 0$  as  $|w| \rightarrow 1$  for some  $\alpha \in ]0, \frac{1}{16} \min(C_1^{-1}, C_2^{-1})[$ .
- (c) There is a decomposition  $f = f_1 + f_2$  with  $f_2 \in C^1(\mathbb{D})$  such that  $G_\alpha(w) \rightarrow 0$  and  $(\Delta\phi(w))^{-1/2}|\bar{\partial}f_2(w)| \rightarrow 0$  as  $|w| \rightarrow 1$  for some  $\alpha \in ]0, \frac{1}{16} \min(C_1^{-1}, C_2^{-1})[$ .

When we have Theorem 3.1, we can go further to get the corresponding Lin-Rochberg theorem (stated in section 1) for the Hankel operator on  $AL_\phi^2(\mathbb{D})$ .

**Theorem 3.3.** *Let  $f \in L^2(\mathbb{D})$  and  $\phi \in \mathcal{D}$ . Suppose that  $H_\phi^\infty(\mathbb{D})$  is dense on  $AL_\phi^2(\mathbb{D})$ . Let  $H_f$  defined on  $H_\phi^\infty(\mathbb{D})$  by  $H_f g = fg - P_\phi(fg)$ . Then*

- (1)  $\|H_f\|_{ess} \sim \inf\{\|H_f - K\| : K \text{ compact Hankel operator}\}$ ,
- (2)  $\|H_f\|_{ess} \sim d_\alpha(f, VLDA_\alpha(\mathbb{D}))$  for some  $\alpha \in ]0, \frac{1}{16} \min(C_1^{-1}, C_2^{-1})[$ .

*Proof.* (1) By the definition of essential norm, it is obvious that

$$\|H_f\|_{ess} \leq \inf\{\|H_f - K\| : K \text{ compact Hankel operator}\}$$

So we need to prove

$$\inf\{\|H_f - K\| : K \text{ compact Hankel operator}\} \leq C\|H_f\|_{ess}$$

By theorem 3.1, we have

$$\|H_f\|_{ess} \sim \inf_{f=f_1+f_2, f_2 \in C(\mathbb{D})} (\limsup_{|w| \rightarrow 1} G_\alpha(w) + \limsup_{|w| \rightarrow 1} (\Delta\phi(w))^{-1/2}|\bar{\partial}f_2(w)|)$$

where  $G_\alpha(w) = \left(\frac{1}{|\mathcal{D}(\alpha\tau(w))|} \int_{\mathcal{D}(\alpha\tau(w))} |f_1|^2 d\lambda(w)\right)^{1/2}$ . So we only need to prove :

$$\begin{aligned} & \inf\{\|H_f - K\| : K \text{ compact Hankel operator}\} \\ & \leq C \inf_{f=f_1+f_2, f_2 \in C(\mathbb{D})} (\limsup_{|w| \rightarrow 1} G_\alpha(w) + \limsup_{|w| \rightarrow 1} (\Delta\phi(w))^{-1/2}|\bar{\partial}f_2(w)|) \quad (3.1) \end{aligned}$$

We will prove (3.1) by proving that there is a constant  $C$  such that for any decomposition  $f = f_1 + f_2$  with  $f_2 \in C^1(\mathbb{D})$  the following is true

$$\begin{aligned} & \inf\{\|H_f - K\| : K \text{ compact Hankel operator}\} \\ & \leq C(\limsup_{|w| \rightarrow 1} G_\alpha(w) + \limsup_{|w| \rightarrow 1} (\Delta\phi(w))^{-1/2}|\bar{\partial}f_2(w)|) \end{aligned}$$

To prove (3.1), as before we may assume that  $\limsup_{|w| \rightarrow 1} G_\alpha(w) < +\infty$  and  $\limsup_{|w| \rightarrow 1} (\Delta\phi(w))^{-1/2}|\bar{\partial}f_2(w)| < +\infty$  and this implies that  $\sup_{\mathbb{D}} G_\alpha < +\infty$  and  $\sup_{\mathbb{D}} (\Delta\phi)^{-1/2}|\bar{\partial}f_2| < +\infty$  since both  $G_\alpha$  and  $(\Delta\phi)^{-1/2}|\bar{\partial}f_2|$  are continuous in  $\mathbb{D}$ .

For  $f_1, \forall r \in ]0, 1[$ , let  $\chi_r$  be the characteristic function of the set  $\{z : |z| \leq r\}$ . Since  $\chi_r f_1$  has compact support and  $\sup_{\mathbb{D}} G_\alpha$  is finite, the operator  $H_{\chi_r f_1}$  is compact (see the proof of Theorem 3.1 (d)). Now for  $f_2 \in C^1(\mathbb{D}), \forall r \in ]0, 1[$  let  $\sigma_r \in C_0^\infty(\mathbb{D})$

such that  $\sigma_r = 1$  on  $\{z : |z| \leq r\}$ . Let  $\psi_r \in C^1(\overline{\mathbb{D}})$  such that  $\bar{\partial}\psi_r = \sigma_r \bar{\partial}f_2$ . Since  $\psi_r \in C^1(\overline{\mathbb{D}})$  the operator  $H_{\psi_r}$  is compact ( see Zhu's book [17]). Hence

$$\begin{aligned} \inf\{\|H_f - K\| : K \text{ compact Hankel operator}\} &\leq \|H_{f_1+f_2} - H_{\chi_r f_1 + \psi_r}\| \\ &\leq \|H_{f_1} - H_{\chi_r f_1}\| + \|H_{f_2} - H_{\psi_r}\| \end{aligned}$$

By the proof of Theorem 3.1(d), we have

$$\|H_{f_1} - H_{\chi_r f_1}\| \leq \sup_{s(r) < |w| < 1} G_\alpha(w),$$

where  $s(r) \rightarrow 1$  as  $r \rightarrow 1$ . Also the operator  $H_{f_2 - \psi_r}$  is bounded and

$$\begin{aligned} \|H_{f_2} - H_{\psi_r}\| &\leq C \sup_{w \in \mathbb{D}} (\Delta\phi(w))^{-1/2} |\bar{\partial}(f_2 - \psi_r)(w)| \\ &\leq C \sup_{w \in \mathbb{D}} (\Delta\phi(w))^{-1/2} (1 - \sigma_r(w)) |\bar{\partial}f_2| \\ &\leq C \sup_{r < |w| < 1} (\Delta\phi(w))^{-1/2} |\bar{\partial}f_2| \end{aligned}$$

Thus for any decomposition  $f = f_1 + f_2$  with  $f_2 \in C^1(\mathbb{D})$  and  $\sup_{\mathbb{D}} G_\alpha < \infty$  we have

$$\begin{aligned} \inf\{\|H_f - K\| : K \text{ compact Hankel operator}\} \\ \leq C(\limsup_{|w| \rightarrow 1} G_\alpha(w) + \limsup_{|w| \rightarrow 1} (\Delta\phi(w))^{-1/2} |\bar{\partial}f_2(w)|) \end{aligned}$$

Hence

$$\inf\{\|H_f - K\| : K \text{ compact Hankel operator}\} \leq C\|H_f\|_{ess}$$

This completes the proof.  $\square$

#### 4. Remarks

The Theorem 3.1 can be extended to any bounded domain  $\Omega$  with  $C^1$  boundary in the complex plane. In the definition 1.3 of  $\mathcal{D}$  we replace the condition (2)  $\tau(z) \leq C_2(1 - |z|)$  by (2)  $\tau(z) \leq C_2 d(z, \mathbb{C} \setminus \Omega)$ . For  $w \in \Omega$ , let  $D(\alpha\tau(w)) = \{z \in \Omega : |z - w| \leq \alpha\tau(w)\}$  and  $BDA_\alpha$  and  $VDA_\alpha$  are the corresponding spaces. The method employed in the proof of Theorem 2.2 works without change to prove the corresponding theorem for  $AL_\phi^2(\Omega)$  : the covering Lemma 2.5 is valid in this case [14] and all Lemmas 2.9, 2.10 are true for  $\Omega$  as stated for the unit disc. Hence the key Lemma 2.5 is true in this case. Following [8] (theorem 5) and [7] (theorem 3.1) we have

**Theorem 4.1.** *Let  $\Omega$  be a bounded domain in the complex plane with  $C^1$  boundary. Let  $\phi \in \mathcal{D}$ . Let  $P_\phi$  denote the projection from  $L_\phi^2(\Omega)$  to  $AL_\phi^2(\Omega)$ . Suppose that  $H_\phi^\infty(\Omega)$  is dense in  $AL_\phi^2(\Omega)$ . Let  $f \in L^2(\Omega)$  and let  $H_f$  be defined on  $H_\phi^\infty(\Omega)$  by  $H_f g = fg - P_\phi(fg)$ . Then the following are equivalent:*

- (1)  $H_f$  is bounded in the  $L_\phi^2$  norm.



(2) The function  $F_\alpha(w)$  defined by

$$F_\alpha(w)^2 = \inf \left\{ \frac{1}{|D(\alpha\tau(w))|} \int_{D(\alpha\tau(w))} |f - h|^2 d\lambda : h \text{ analytic in } D(\alpha\tau(w)) \right\}$$

is bounded for some  $\alpha \in ]0, \min(C_1^{-1}, C_2^{-1})/16[$ .

(3)  $f$  admits a decomposition  $f = f_1 + f_2$  where  $f_2 \in C^1(\Omega)$  and satisfies

$$\frac{\bar{\partial} f_2}{(\Delta\phi)^{1/2}} \in L^\infty(\Omega),$$

while  $f_1$  satisfies the following condition : the function  $G_\alpha(w)$  defined by

$$G_\alpha(w)^2 = \frac{1}{|D(\alpha\tau(w))|} \int_{D(\alpha\tau(w))} |f_1|^2 d\lambda$$

is bounded for some  $\alpha \in ]0, \min(C_1^{-1}, C_2^{-1})/16[$ .

For the essential norm of  $H_f$  we have

**Theorem 4.2.** Let  $\Omega$  be a bounded domain in the complex plane with  $C^1$  boundary. Let  $\phi \in \mathcal{D}$ . Let  $P_\phi$  denote the projection from  $L_\phi^2(\Omega)$  to  $AL_\phi^2(\Omega)$ . Suppose that  $H_\phi^\infty(\Omega)$  is dense in  $AL_\phi^2(\Omega)$ . Let  $f \in L^2(\Omega)$  and let  $H_f$  be defined on  $H_\phi^\infty(\Omega)$  by  $H_f g = fg - P_\phi(fg)$ . Then

- (1)  $\|H_f\|_{ess} \sim \inf\{\|H_f - K\| : K \text{ compact Hankel operator}\}$ ,
- (2)  $\|H_f\|_e \sim \inf_{h \in VDA_\alpha} \|f - h\|_{BDA_\alpha}$  for some  $\alpha \in ]0, \frac{1}{16} \min(C_1^{-1}, C_2^{-1})[$ .

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