



# Auditory function and dysfunction: estrogen makes a difference

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## Abstract

Estrogen is the major female hormone involved in reproductive functions, but it also exerts a variety of additional roles in non-reproductive organs. In this review, we highlight the preclinical and clinical studies that have pointed out sex differences and estrogenic influence on audition. We also describe the experimental evidences supporting a protective role of estrogen towards acquired forms of hearing loss. Although a high level of endogenous estrogen is associated with a better hearing function, hormonal treatments at menopause have provided contradictory outcomes. The various factors that are likely to explain these discrepancies include the treatment regimen as well as the hormonal status and responsiveness of the patients. The complexity of estrogen signaling is being untangled and many downstream effectors of its genomic and non-genomic actions have been identified in other systems. Based on these advances and on the common physio-pathological events that underlie age-related, drug or noise-induced hearing loss, we discuss potential mechanisms for their protective actions in the cochlea.

**Keywords** Estradiol · Steroid · Cochlea · Deafness · Otoprotection · Neuroprotection · Sexual dimorphism

## Introduction

Hearing loss is the most frequent sensory disorder as it affects over 5% of the worldwide population. In addition to genetic factors, the most common causes include normal aging, exposure to excessive noise and treatment with ototoxic drugs such as aminoglycosides or cisplatin [1]. Increasing noise levels associated with environmental and recreational activities are likely to cause a substantial rise in the incidence of deafness over the next decades. The economic and social consequences of disabling hearing impairment are considerable, as it causes a severe handicap by allocating the development of oral communication in childhood and induces a tendency to withdrawal into isolation, exclusion and depression in adulthood [2]. In elderly, mild hearing loss is frequently associated with cognitive decline and dementia

[3, 4]. Taken together, the global burden of hearing impairment constitutes a major health issue.

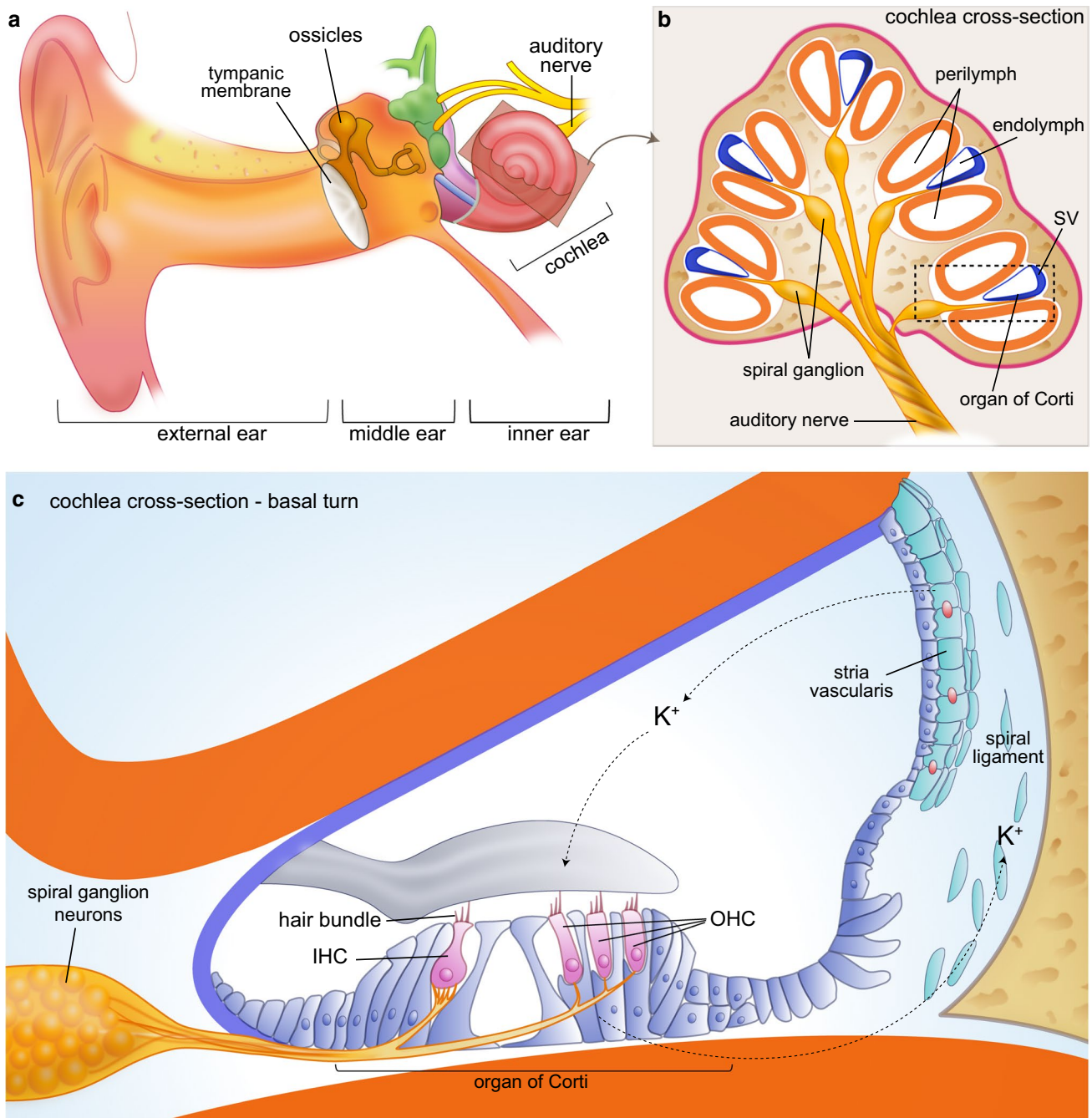
Hearing loss can be classified into conductive or sensorineural, according to the mechanisms involved. Conductive hearing loss occurs when the transmission of sound wave is reduced or blocked in the ear canal or the middle ear (Fig. 1a). In many cases, this represents a transient disability as it may be managed by pharmacological treatment or surgery. Sensorineural hearing loss is the most common form of hearing loss and is caused following damage to the cochlea—the auditory portion of the inner ear (Fig. 1b). The cochlea is a spiraled tube whose central chamber is filled with a potassium-enriched fluid (the endolymph) and is lined by a sensory epithelium called the organ of Corti and a secretory epithelium, called the stria vascularis. The organ of Corti contains four rows of sensory hair cells (HCs) that detect sound wave upon deflection of their apical hair bundle (Fig. 1c). Whereas the single row of inner HCs (IHCs) is the principal decoder of sound, the three rows of outer HCs (OHCs) play an essential role for cochlear amplification. Upon sound-evoked stimulation, glutamate is released from the IHCs and this neurotransmitter stimulates the afferent spiral ganglion neurons (SGNs) that will convey the acoustic information towards the auditory cortex through various neuronal relays. The stria vascularis, located in the lateral

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**Fig. 1** The peripheral auditory system. **a** The ear is composed of the external, the middle and the inner ear, whose ventral portion is the cochlea, dedicated to hearing. After travelling through the ear canal, the sound wave hits the tympanic membrane and the sound-induced vibration is amplified by the movement of the ossicles and transmitted to the cochlea. **b** The cochlea is a coiled tube composed of three chambers. The central cochlear duct (in blue) is filled with a  $K^+$ -enriched fluid called the endolymph while the surrounding chambers contain perilymph. **c** The organ of Corti (OC) comprises one row of inner hair cells (IHCs), which are the principal decoders of

sound and three rows of outer hair cells (OHCs) responsible for cochlear amplification. These cells harbor a mechano-sensitive hair bundle whose deflection induce an inward  $K^+$  current followed by a release of glutamate that activates the innervating spiral ganglion neurons (SGNs). The sound signal is then transmitted through various neuronal relays up to the auditory cortex. The lateral wall of the cochlear duct comprises the vascularized stria vascularis (SV) that secretes  $K^+$  and produces the endolymph and the spiral ligament responsible of ion recycling

wall of the cochlear duct, houses cells that produce the endolymph and control its unusual ionic composition, which is critical for audition (Fig. 1c).

In humans, damage to the sensory HCs, to their innervating SGNs or to the stria vascularis are irreversible and result in permanent sensorineural hearing loss. Currently, only sound amplification through hearing aids or electric stimulation through cochlear implants can be proposed to patients. Therefore, many efforts are dedicated to identify new therapeutic agents that would prevent or halt the progressive degeneration of those cells. Among those, estrogen is an interesting candidate.

In this review, we summarize the knowledge related to estrogen signaling and function in hearing as well as several studies supporting their otoprotective roles. In addition, we present hypotheses regarding their protective molecular mechanisms representing future avenues of research towards hearing loss treatment.

## Estrogen signaling

### Estrogen ligands

Estrogen is a steroid hormone synthesized from cholesterol that primarily promotes the development, maturation and function of the female reproductive tract and secondary sexual characteristics [5]. Natural estrogen consists in a group of four distinct forms that includes estrone (E1), estradiol (E2), estriol (E3) and estetrol (E4). E2 is the most potent and abundant endogenous estrogen during the premenopausal period and is, thus, considered as the reference estrogen. E1 is the predominant circulating estrogen after menopause whereas E3 and E4 are detected at high levels only during pregnancy, the latter being produced exclusively by the fetus.

Estrogen is mainly produced by the ovaries, but also comes from extra-gonadal sites such as the adipose tissue, bone, heart, skin and brain [5]. In those tissues of both males and females, E2 is produced by androgen conversion through the enzymatic activity of aromatase. This local synthesis contributes to various tissue-specific actions of estrogen, such as its beneficial effects on bone homeostasis and cardiovascular function or neuroprotection against brain injury and degenerative diseases [6]. The auditory cortex expresses the aromatase enzyme and is, thus, responsible for a local production of E2 that influences the central auditory processing [7]. This neuromodulatory effect of E2 has been extensively studied in vocal fishes and birds and we refer the reader to excellent reviews on this topic [8–10], as it will not be presented here. In the peripheral auditory organ, aromatase has been detected in the auditory nerve ganglion cells of teleost fish [11], so it should be kept in mind that peripheral sources

of E2 could, in addition to systemic E2, play a role on the cochlear function.

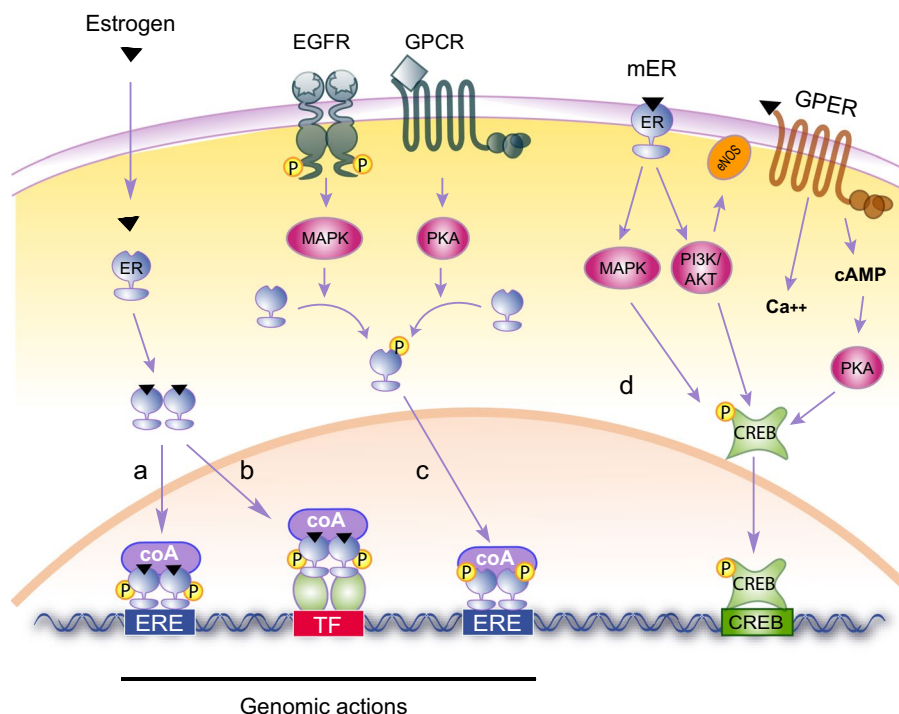
### Estrogen receptors

Estrogen actions are mediated by three specific receptors: estrogen receptor alpha (ER $\alpha$ ), estrogen receptor beta (ER $\beta$ ) and G protein-coupled estrogen receptor (GPER, also called GPR30). Moreover, estrogen signaling may be divided into two main pathways: genomic and non-genomic.

In the genomic pathway, activated ER $\alpha$  and ER $\beta$  act as transcription factors that regulate gene expression through specific sequences present in the promoter of target genes [12]. In the classical genomic pathway (Fig. 2a), estrogen diffuses through the plasma membrane to bind cytoplasmic ER $\alpha$  and ER $\beta$  that homo- or hetero-dimerize, translocate to the nucleus and recognize DNA sequences called estrogen response elements (EREs). Estrogen-bound ERs can also be indirectly recruited to DNA through their association with other transcription factors (TF) such as AP-1, SP1 or NF- $\kappa$ B, which are bound to their cognate response elements (ERE independent, Fig. 2b). Finally, ERE-containing genes may be regulated in the absence of estrogen (ligand independent, Fig. 2c). In this case, unbound ERs are phosphorylated downstream of tyrosine kinase or G protein-coupled receptors activation, resulting in conformational changes that allow them to bind their consensus sequences and modulate transcription. The non-genomic pathway involves membrane-anchored ER $\alpha$ , ER $\beta$  or GPER [13, 14] and therefore is also known as the membrane-initiated steroid signaling (MISS). This pathway leads to the rapid activation, within seconds or minutes, of cytoplasmic kinases and ion channels (Fig. 2d). This mode of action is referred as “non-genomic” since it does not rely on the modulation of gene expression but rather on the regulation of protein activity. However, the rapid modulation of signaling kinases and calcium fluxes will ultimately regulate downstream transcription factors such as CREB (c-AMP response element binding protein), and thus secondarily impact, after a few hours or days, transcriptional programs.

### Estrogen receptors in the cochlea

In the cochlea, ER $\alpha$  has been detected in the nucleus of HCs, SGNs and stria cells [15–17]. The pattern of expression of ER $\beta$  remains controversial. While some studies report the presence of ER $\beta$  protein in all ER $\alpha$ -positive cochlear cells [15–18], others did not detect it in the stria vascularis [19]. Recently, reassessment of numerous anti-ER $\beta$  antibodies previously used in the literature showed that they have been insufficiently validated and therefore questioned their specificity and the validity of previous results [20, 21]. Besides the classical ERs, the cochlear distribution of GPER protein



**Fig. 2** Estrogen signaling. **a** Estrogen diffuses through the plasma membrane and binds to ER $\alpha$  or ER $\beta$  that dimerize and translocate to the nucleus. ERs bind ERE sequences, recruit coactivators (coA) and transactivate their target genes (classical genomic action). **b** Estrogen-bound ERs may also interact with other transcription factors (TF) such as AP1, SP1 and NF- $\kappa$ B, and regulate their transactivation potential (ERE-independent genomic action). **c** The activation of tyrosine kinase receptors (i.e. EGFR) and G protein-coupled receptors (GPCRs) lead to the activation of MAPK and PKA, which phos-

phorylate ER and allow it to regulate ERE-containing genes in the absence of estrogen (ligand-independent genomic action). **d** Membrane-initiated signaling (MISS) occurs through estrogen binding to a membrane-anchored ER $\alpha$ , ER $\beta$  or GPER and leads to a rapid activation of various kinase cascades modulating the activity of enzymes and ion channels (non-genomic action). Amongst the phosphorylated targets, transcription factors such as CREB (c-AMP response element-binding protein) will, in a longer time frame, result in gene expression changes

has not been investigated by immunohistochemistry but its transcript has been detected in the adult mouse. According to mRNAs levels, GPER would even be, in the cochlea, the most abundant of all three receptors [22]. In addition, a cell type-specific RNA-sequencing performed early during mouse cochlear development suggests that GPER is enriched in cells surrounding the HCs, namely the supporting cells, which provide structural and trophic support to their neighbours [23]. Whether GPER RNA and protein levels are correlated in the cochlear tissue is not yet known.

## Endogenous estrogen level and hearing

Estrogen synthesis varies according to the sex, the age and the hormonal status. While the production of estrogen is rather low and consistent in men, the level of estrogen synthesis in women reaches a peak during the reproductive years, fluctuates during the menstrual cycle and then progressively declines at menopause [24]. This hormonal

fluctuation is a unique opportunity to address the role of estrogen on hearing.

## The estrogenic influence on hearing function

Sex differences in audition have long been reported and are consistently demonstrated in recent comparative studies (Table 1). The measure of auditory brainstem response (ABR), which records the electrical potentials issued by a group of neurons in response to sound (Fig. 3), indicates that women present increased peak amplitudes and reduced latencies compared to men, suggesting a higher sensitivity and an increased transmission speed, respectively [25–27]. The cochlear function, reflected by the capacity of OHCs to contract and emit low-intensity sounds named otoacoustic emissions (OAEs), also varies with sex. Indeed, women display elevated frequencies and amplitudes of spontaneous or click-evoked OAEs, indicating an increased cochlear sensitivity [28, 29]. Audiometric comparisons, which are based on the subjective determination of sound perception (Fig. 3) and can be conducted on larger cohorts, show that women have

**Table 1** Sex differences in hearing

Study	Subjects	Hearing test	Results
[26]	182 women 137 men	ABR	Shorter wave V latency in women Larger wave V amplitude in women at all ages (25–55 years)
[25]	10 young women 10 young men	ABR	Shorter latencies in women Greater amplitudes in women
[27]	19–25/50–70 year old subjects 20 women 20 men	ABR	Shorter interpeak intervals I–V in women Greater wave V amplitude in women Longer wave latencies in old subjects compared to young ones (for both sex) Changes in wave V latency as a function of age is larger for women
[28]	56 women 75 men	SOAEs	Increased prevalence of SOAEs in women compared to men
[29]	125 women 108 men	CEOAEs	Larger CEOAE waveform in women compared to men
[33]	48 women 45 men	SOAEs CEOAEs	More numerous and stronger SOAEs in women compared to men Greater response amplitude of CEOAEs in women compared to men
[40]	Longitudinal study 461 women 681 men	Audiometry (0.5–8 kHz)	Increased hearing sensitivity at frequencies above 1 kHz in women compared to men Decreased hearing sensitivity at lower frequencies in women compared to men Hearing threshold increases more than twice as fast in men compared to women, at most frequencies Age of hearing loss onset is later in women than in men at most frequencies
[38]	473 participants	Audiometry (0.25–8 kHz)	Increased hearing sensitivity in 70 year old women compared to age-matched men in the 4–8 kHz frequency range Increased hearing sensitivity in 75 year old women compared to age-matched men in the 1–8 kHz frequency range
[39]	902 women 214 men	Audiometry (0.25–8 kHz)	Increased hearing sensitivity in women compared to age-matched men at 4 and 8 kHz Men have faster rates of threshold increase compared to women at 4 and 8 kHz
[41]	24 epidemiological studies	Audiometry Self-reported hearing impairment	Above 70 years of age, men have increased prevalence of a 30 dB hearing loss compared to women
[31]	18650 participants	Audiometry (0.5–6 kHz)	Men have increased prevalence of hearing loss compared to women
[32]	9208 women 6398 men	Audiometry (0.25–8 kHz)	Increased hearing sensitivity in women at 3 kHz, 4 kHz, and 6 kHz compared to age-matched men (12–85 years old)
[30]	1878 women 1953 men	Audiometry (0.25–8 kHz)	Men have increased risk of speech frequency hearing impairment (average of thresholds at 0.5, 1, 2 and 4 kHz > 25 dBs)

*ABR* Auditory Brainstem Response, *SOAEs* Spontaneous Otoacoustic Emissions, *CEOAEs* Click-Evoked Otoacoustic Emissions, *kHz* kilo Hertz (sound frequency), *dBs* Decibels (sound intensity)

a lower hearing threshold and thus an increased sensitivity compared to men [30–33]. Whether these sex differences can be attributed solely to estrogen is unclear; however, fluctuating levels of estrogen in females during menstrual cycle are associated with intra-individual variabilities in the auditory function. During the peri-ovular phase, characterized by the highest estrogen level, DPOAEs amplitudes are larger [34] and ABR wave latencies are shorter than during the luteal and follicular phases [35, 36] Moreover, ABR wave latencies increase while amplitudes decrease in aging women, along with the decline of estrogen production by the ovaries [27].

Finally the hearing sensitivity, in postmenopausal women, is correlated with the level of serum E2, further emphasizing the influence of estrogen on the auditory function [37].

### Estrogen deficiency and hearing loss

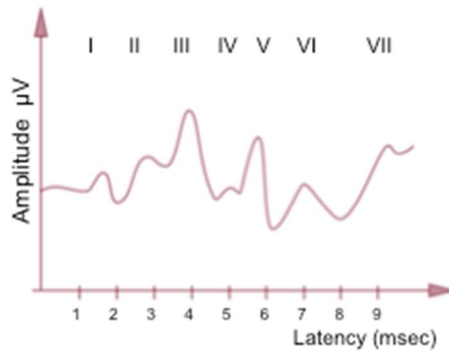
Although women experience a fast auditory decline after menopause, the onset of hearing loss is delayed compared to men and they have a better hearing function than men of the same age [30, 38–41]. A sex gap in audition has also been evidenced in aged rodents, as hearing decline appears later

**a** Auditory Brainstem Response (ABR)



**Objective Measure :**

- Sound stimulation (different frequencies and different intensities 20-90 dB)
- Recording with extracellular electrode placed on scalp

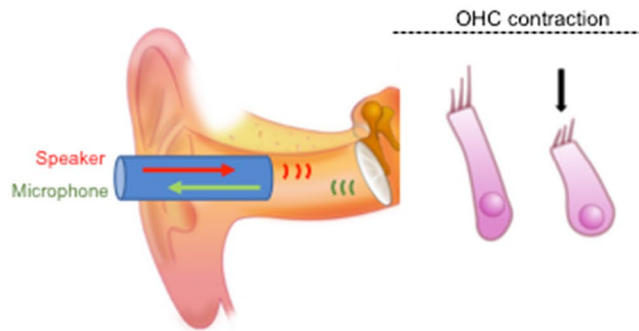


- Wave I :** cochlear nerve activity (reflecting functional HC/SGN activity)
- Wave II :** dorsal/ventral cochlear nucleus
- Wave III :** Superior olivary complex
- Wave IV :** nucleus of lateral lemniscus
- Wave V :** Inferior colliculus
- Wave VI :** medial geniculate body
- Wave VII :** Auditory radiation (cortex)

**ABR threshold :** minimal sound intensity evoking a well-defined electrical response

**b** Otoacoustic emission (OAE)

**Objective Measure :**



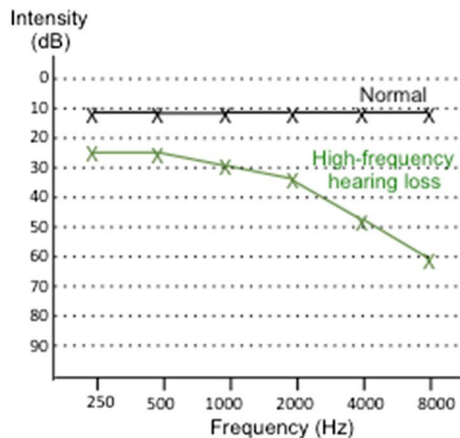
**Spontaneous OAE (sOAE):** low level sounds generated spontaneously by the contraction of OHCs

**Click-evoked OAE (cOAE):** generated by a brief click or tone burst.

**Distortion-product OAE (DPOAE):** generated by two pure tone frequencies  $f_2$  and  $f_1$  => sound produced the cochlea occurring at  $2f_1-f_2$

**c** Audiometry

**Subjective Measure:** Hearing reported (Yes/No)



**Fig. 3** Hearing tests. **a** Auditory Brainstem Response is an objective measure of the brain electrical activity in response to sound stimulation. The recording electrodes are placed on the scalp and stimulation is performed at different intensities (20–90 decibels, dB) for different frequencies (250–8000 Hertz, Hz). A typical recording displays, in human, 7 peaks (or waves I–VII) within 10 ms. Each wave corresponds to a neuronal relay along the pathway and is characterized by its amplitude (in microVolts,  $\mu\text{V}$ ) correlating with sensitivity and by its latency (in milliseconds, ms) correlating with the transmission speed. Wave I reflects the cochlear nerve activity and is, thus, indicative of the hair cells (HC) and/or spiral ganglion neuron (SGN) function. Waves II–VII reflect the activity of dorsal/ventral cochlear nucleus, superior olivary complex, lateral lemniscus, inferior colliculus, medial geniculate body and cortex, respectively. The ABR hearing threshold is set as the minimal intensity of sound that evokes a well-defined and reproducible electrical response. **b** Otoacoustic emissions (OAEs) recording is an objective measure. An insert is placed in the ear canal, it contains a speaker that emits a sound and a microphone that records the sound generated by the contraction of the outer hair cells (OHCs), OAEs can be spontaneous (OHCs contract spontaneously) or click-evoked (contraction of OHCs in response to a brief sound click). Distorsion-product OAEs (DPOAEs) are responses that are generated when the cochlea is stimulated simultaneously by two pure tone frequencies ( $f_2$  and  $f_1$ ). In all cases, OAEs reflect the contractile activity of OHCs and thus their functional integrity. **c** Audiometry is a subjective test, for which sound stimulations are pure tones emitted by an audiometer, at different intensities and different frequencies (250–8000 Hz). The patient informs the operator when a sound is heard. The hearing threshold corresponds to the lowest intensity at which a tone is perceived by the patient. The audiogram plots the hearing thresholds that have been determined for each frequency tested. The audiograms of a normal-hearing (black) and of a patient affected by a high-frequency hearing loss (green) are depicted. The high-frequency sounds are detected at the basal portion of the cochlea, while the low-frequency sounds are detected at the apical portion of the coiled cochlea

in female mice and rats compared to males [42–44]. The protective role of estrogen against age-related hearing loss was unambiguously evidenced through the use of knockout mice (KO). While  $\text{ER}\alpha\text{KO}$  animals do not seem to suffer any obvious inner ear abnormalities, mice lacking  $\text{ER}\beta$  are deaf at 1 year of age [18]. In these mice, deafness is associated with the degeneration of the sensory epithelium and the innervating SGNs, suggesting that estrogen signaling through  $\text{ER}\beta$  is crucial to preserve the integrity of the cochlear tissue.

The consequence of a lack of estrogen on the auditory function is also manifest in Turner syndrome (TS). This genetic disease is characterized by the complete or partial absence of one X chromosome, resulting in gonadal dysgenesis and therefore loss of estrogen production. In addition to common conductive hearing loss, TS young adults suffer from definitive sensorineural hearing impairment, characterized by a progressive high-tone hearing loss that resembles presbycusis (age-related hearing loss) but which progresses much faster [45–47]. A mouse model of TS recapitulates the human hearing disorder as mice display a reduced sensitivity, prolonged ABR latencies and reduced DPOAEs [48].

Hearing loss is particularly evident for the high-frequency sounds and may correlate with the loss of OHCs located in the basal turn of the cochlea and swollen nerve endings beneath the IHCs [48].

Altogether these studies from physiological and pathological conditions strongly indicate that estrogen plays a beneficial role in the cochlear function and that high circulating levels would guarantee a protection against age-related hearing loss.

## Estrogen supplementation and hearing

Estrogen is widely used in women as it is one of the main components of both contraceptive pills and menopausal hormonal treatment (MHT). Moreover, it is also used in young TS patients to substitute their lack of estrogen production. Hence, there have been many comparative studies investigating the consequences of exogenous estrogen administration on hearing function (Table 2). While some studies demonstrate a hearing improvement upon MHT [37, 49–52], others suggest that E2 administration could increase the risk of hearing loss [53, 54]. Finally, no hearing benefits of a hormonal treatment could be demonstrated in adult TS patients [55]. As suggested by animal studies, the great variability in the hearing outcome of exogenous estrogen is likely to depend on the regimen, the dose and the age of the recipients [56–58].

Clinical discrepancies might arise from the presence of progesterone in combined hormone therapy, as it was shown that providing estrogen together with progesterone negatively affects hearing thresholds in human and mouse [52, 58, 59]. This negative effect of progesterone hormone when combined to estrogen is intriguing. However, recent experiments have demonstrated that ligand-activated progesterone receptor (PR) associates with  $\text{ER}\alpha$  and forces it to bind a new subset of target genes [60]. Progesterone, thus, imposes a switch in ER transcriptional program and leads to a different estrogen-mediated cellular response that could be detrimental to the cochlear function. During the menstrual cycle, the ratio between the two hormones is not constant since they are fluctuating and do not reach their highest level at the same time. Moreover, the hormonal cyclicity might be of crucial importance, as estrogen was shown to induce differential effects upon continuous or pulsed administration [61, 62]. Therefore, the constant delivery of estrogen and progesterone in combined MHT does not faithfully replicate what naturally occurs during the female cycle and could, thus, lead to a different final outcome.

The conflicting results obtained in E2-treated women could also reflect the variability in circulating concentrations between patients, according to the hormone formulation and route of administration [63]. Furthermore, the

**Table 2** Estrogen treatments and hearing

Study	Subjects and treatment	Hearing test	Results
[37]	1842 postmenopausal women: 66 MHT 1774 untreated	Audiometry	E2 (endogenous or MHT) positively affects hearing Lower level of serum E2 correlates with decreased hearing sensitivity
[52]	109 postmenopausal women: 20 E2 30 E2+P 59 Ctl : untreated	Audiometry	E2 treatment positively affects hearing Mean air conduction for low frequencies (0.25, 0.5, 1, 2 kHz): E2 > E2+P and E2 > Ctl Mean air conduction for high frequencies (4, 6, 8, 10, 14, 16 kHz): E2 > E2+P
[50]	143 women around menopause: 47 premenopausal  32 perimenopausal  21 postmenopausal 43 MHT	Audiometry	E2 treatment positively affects hearing Postmenopausal women without MHT have decreased hearing sensitivity at 2 and 3 kHz compared to those under MHT Postmenopausal women without MHT have decreased hearing sensitivity at 2 and 3 kHz compared to pre- and peri-menopausal women
[49]	122 postmenopausal women: Transdermal E2 (patch) Transdermal E2 (gel)	ABR	E2 treatment positively affects ABR E2, in both groups, decreases wave latencies and interpeak intervals
[51]	47 menopausal women: 32 natural (E2+P) 15 surgically (E2)	ABR MLR	E2 treatment positively affects ABR and MLR ABR and MLR : E2 decreases wave latencies after 6 months of treatment
[54]	1 female (45 years old) + E2	Audiometry	E2 treatment negatively affects hearing MHT induces a sudden sensorineural hearing loss at low frequencies
[59]	124 menopausal women: 32 (E2+P) 30 (E2) 62 (untreated)	Audiometry DPOAE HINT	E2+P treatment negatively affects hearing and cochlear function E2+P decreases hearing sensitivity compared to the E2 and untreated groups E2+P decreases DPOAEs levels compared to the E2 and untreated groups E2+P decreases speech perception compared to the E2 and untreated groups
[53]	80972 women aged 27-44:  Naturally menopausal  Surgically menopausal MHT (E2 or E2+P) 22 years follow-up	Self-reported hearing loss	E2 (endogenous or MHT) negatively affects hearing  No significant association between menopausal status, natural or surgical, and risk of hearing loss. Older age at natural menopause was associated with higher risk of hearing loss. Among postmenopausal women, MHT is associated with higher risk of hearing loss Among postmenopausal women, longer duration of MHT is associated with higher risk of hearing loss
[55]	138 women (aged 16-67): XO (Turner patients) GH and E2 (or E2+P)	Audiometry	E2 treatment has no impact on hearing Sensorineural hearing loss in 57.2% Sensorineural hearing loss is not associated with E2 or GH treatment (independent of age)

*MHT* Menopausal Hormone Therapy, *E2* estradiol treatment, *E2+P* combined hormone therapy with estradiol and progesterone, *XO* partial or complete loss of one X chromosome, *GH* Growth Hormone, *ABR* Auditory Brainstem Response, *MLR* Middle Latency Response, *DPOAE* Distortion Product Otoacoustic Emission, *HINT* Hearing in Noise Threshold, *kHz* kilo Hertz (sound frequency)

hormonal status and responsiveness of each patient might impact the sensitivity of estrogenic treatment. Indeed, E2 upregulates both ER $\alpha$  and ER $\beta$  [64, 65], inferring that their expression could be diminished upon estrogen deficiency. This implies that a lack of endogenous estrogen at menopause or in TS could be associated with insufficient amounts of ER to mediate the beneficial estrogenic effects. In support

of this, the cochlear levels of ER $\beta$  are lower in the absence of estrogen production in a mouse model of TS [17] and in the aromatase-depleted ARKO mice [19] than those of control animals.

Collectively, these data suggest that the absence of hearing preservation by exogenous estrogen could be due to its association with progesterone, to non-optimal doses and to



variable estrogen sensitivities. A clear understanding of the molecular mechanisms underlying the effects of estrogen on hearing sense is, thus, needed to optimize hormonal treatments and potentially adjust them according to personalized parameters.

## Otoprotective role of estrogen following injury

### Estrogen and noise-induced hearing loss

Although sex differences have been reported regarding noise-induced hearing loss [40, 66] it is still unclear whether it can be attributable to the circulating estrogen level. Indeed, men are highly exposed to occupational noise compared to women and this would potentially bias the interpretation of population studies. Fortunately, researchers can control the acoustic environment of animal models and those have proven very helpful in analyzing the potential of estrogen in noise-induced cochlear dysfunction. Interestingly, the susceptibility to excessive noise exposure is exacerbated by estrogen depletion following ovariectomy of female rats [67]. Similarly, the anti-estrogenic effect of Tamoxifen is able to potentiate the damaging effect of noise exposure in gerbils. Tamoxifen decreases the amplitude of DPOAE and increases the auditory threshold, suggesting a reduced electromotility of OHCs and a reduced hearing sensitivity, respectively [68]. The otoprotective effect of estrogen against acoustic trauma is mediated by ER $\beta$  receptor. Indeed, ER $\beta$ KO mice show increased sensitivity to noise exposure as they exhibit a higher temporary threshold shift compared to control and ER $\alpha$ KO mice [19]. Furthermore, in both WT and estrogen-deficient ARKO mice, a specific ER $\beta$  agonist (2,3-bis 4-hydroxyphenyl propionitrile, DPN) is able to reduce the threshold shift and thus to protect the cochlea from noise-induced damage [19]. While the invalidation of ER $\alpha$  does not affect the vulnerability to acoustic trauma, its selective agonist (propyl1H pyrazole-1,3,5-triyl trisphenol, PPT) was able to confer partial protection in ARKO mice [19]. The authors suggested it could reflect a mild agonistic action on ER $\beta$ ; however, PPT is also a weak activator of GPER [69] and the potential contribution of this membrane receptor still needs to be investigated.

### Estrogen and drug-induced hearing loss

Cisplatin is a mainstay of cancer treatment, even if it is one of the utmost ototoxic drugs in clinical practice. It predominantly affects the cochlear function because of its accumulation in the stria vascularis, where it persists for months-to-years following chemotherapy [70]. Studies performed in pediatric populations (less than 18 years old) pointed out

that male sex is a significant risk factor for cisplatin ototoxicity [71, 72]. Whether this increased damaging effect of the anticancer drug may be attributed to reduced estrogen levels is elusive as the concentration of circulating hormone is rather low and similar between males and females before puberty. However, a link with low estrogen level was demonstrated in experimental animals as ovariectomized rats display an increased risk of auditory damage after cisplatin treatment when compared to controls [73].

Aminoglycoside antibiotics constitute the treatment of choice for severe bacterial infections despite their toxic potential to the inner ear and kidney. Typically, aminoglycosides not only induce OHC death but also cause cochlear synaptopathy by disrupting the synapses between IHC and their afferent SGNs [74]. In cultured rat cochleae, E2 reduces the extent of OHC loss induced by gentamicin [75], suggesting an otoprotective role in this context as well. Whether estrogen also acts on neuritic outgrowth and contributes to synapse recovery in the damaged otic epithelium is unknown, but a similar property was demonstrated on the olfactory epithelium [76].

### Potential interference between estrogen and therapeutic agents

A very recent study confirmed a sex difference in noise-induced hearing loss in mice, but unexpectedly, also pointed out an opposite sex effect in the therapeutic efficacy of SAHA, a histone deacetylase inhibitor (HDACi) [77]. While females are less susceptible to acoustic trauma, the beneficial effect of HDACi was markedly reduced compared to that observed in noise-exposed males. The increased variability of the data collected within the female group and the reduced damage they experience upon sound exposure could provide a technical explanation for their lower benefit from SAHA administration; however, this observation could reveal biological relevance in case of interference between HDACi and estrogen-mediated pathways. Interestingly, a crosstalk between HDACi and estrogen signaling has been evidenced in breast cancer, where the inhibitor affects both positively and negatively the levels of ERs (reviewed in [78]). In ER $\alpha$ -negative cancer cells, HDACi causes the upregulation of ER $\alpha$  and/or ER $\beta$ , and thereby resensitizes the cells to tamoxifen anticancer therapy. On the contrary, in cancer cells expressing ER $\alpha$ , HDACi not only represses its transcription but also induces the hyperacetylation of the chaperone Hsp90, which is no longer able to protect ER $\alpha$  from degradation. By reducing the level of ER $\alpha$ , HDACi acts as an anti-estrogen and thus also exerts anticancer effects. Given that cochlear cells express ER $\alpha$ , it is tempting to think that HDACi could negatively modulate estrogen signalling and thereby reduce its protective effect against noise trauma in females. Whether the inhibitor is able to reduce the levels

of ER $\beta$  deserves further investigations, as it seems to be the major player in otoprotection. Of note, inhibiting HDAC was also shown to protect against gentamicin-induced hearing loss in guinea pigs [79]; however, differential efficacy between males and females was not investigated. Future experiments are needed to determine if, similarly to what has been shown in noise-induced hearing loss, HDACi treatment would provide sexually dimorphic responses because of a potential interaction with estrogen signaling.

Altogether, these studies underscore the importance of estrogen in preserving the auditory system from exogenous insults, and most importantly, highlight the crucial need to discriminate between males and females when addressing the auditory function or testing the potential of therapeutic candidates against hearing loss. Although the National Institutes of Health (NIH) issued a mandate in 2015 to include sex as a biological variable in all NIH-funded research, this recommendation is still poorly followed in the audition field [80, 81].

## Potential mechanisms of estrogen otoprotection

### Genomic or non-genomic action?

The precise mechanism by which estrogen ameliorates the hearing function during aging, acoustic trauma or ototoxic insult has not been specifically investigated yet. However, the recent implication of estrogen-related receptors (ERRs) as well as an ER coactivator may be considered as indicators that the transcriptional effect of ER, and thus the genomic action of estrogen, is crucial.

ERRs are orphan nuclear receptors that share a high degree of homology with ERs and act as constitutively active transcriptional factors [82]. Among the three members of this subgroup, both ERR $\beta$  and ERR $\gamma$ , which are particularly homologous to ER $\beta$ , display genetic variations that are associated with hearing impairments. Mutations in the ESRRB gene, encoding ERR $\beta$  protein, are responsible for an autosomal recessive form of non-syndromic hearing loss [83, 84]. Moreover, an ESRRB polymorphism is linked to increased susceptibility to noise exposure [85]. Regarding the gene encoding ERR $\gamma$ , a polymorphism is associated with lower hearing status [86] and a chromosomal translocation was recently discovered in a case of congenital sensorineural hearing loss [87]. The contribution of ERR $\beta$  and ERR $\gamma$  to the maintenance of hearing is further supported by genetic invalidation studies demonstrating that ERR $\beta$  knockout mice are deaf at 3 months of age [88], while ERR $\gamma$  depletion leads to hearing loss as soon as 5 weeks of age [86].

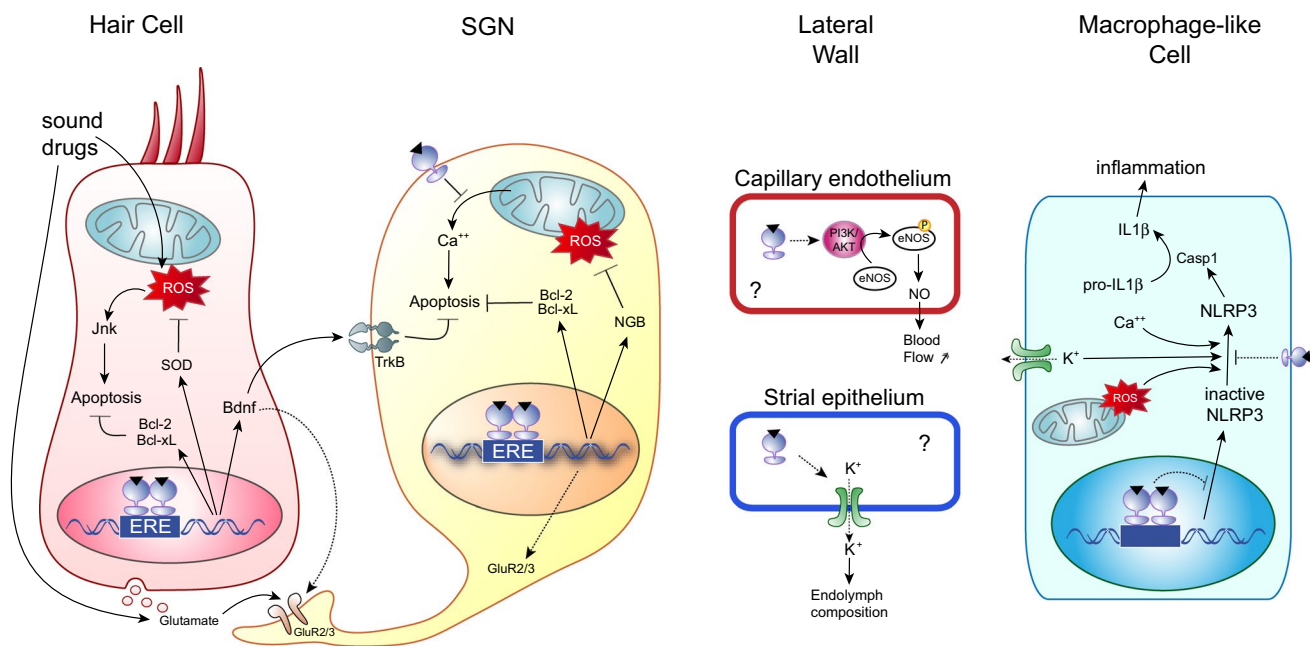
ERRs share common transcription targets with ERs as they are able to bind and regulate some ERE-containing

genes. It is, thus, tempting to speculate that the beneficial role of estrogen on hearing would, at least in part, be mediated through the classical genomic action. This hypothesis is further strengthened by the discovery that Wbp2, a transcriptional coactivator for ER, is essential for hearing [89]. Whether non-genomic actions of estrogen also contribute to hearing preservation is still an open question. Future experiments making use of membrane-impermeable estrogen, GPER agonists or antagonists as well as transgenic mouse expressing mutant forms of ER $\alpha$  or ER $\beta$ , which localize either at the membrane or exclusively in the nucleus [90], would be necessary to answer this question.

### Potential mechanisms in cochlear HCs

The sensory HCs are the primary decoder of sound and their death leads to irreversible hearing loss. The increased amplitudes of ABR wave I and of OAEs in women compared to men point out for a role of estrogen on the functionality of HCs (see [Estrogenic influence on hearing](#) section). In mouse model, ER $\beta$  deficiency leads to the premature degeneration of the sensory epithelium [18] and cultured HCs were shown to be protected from gentamicin exposure by an estrogenic treatment [75]. The cochlear HCs are, thus, strong candidates to benefit from estrogen actions. The accumulation of reactive oxygen species (ROS) and consequent oxidative stress is recognized as a major contributor to the onset and progression of HC death following acoustic trauma, ototoxic insults or aging [91, 92]. Estrogen is known to protect the bone, cardiovascular and central nervous systems against aging or damage by regulating the redox balance (reviewed in [93–96]). Estrogen displays an intrinsic free-radical scavenging capacity [97, 98]; however, this antioxidant activity requires high concentrations of the steroid, suggesting that it is not the only mechanism involved in cell protection. Estrogen also enhances the expression of Superoxide Dismutases (SOD) in the heart and the brain [99, 100] and the activity of those antioxidant enzymes is increased in the blood cells of women under MHT [101, 102]. A similar increase in the antioxidant defense of HCs (Fig. 4) would clearly improve cell survival and would preserve the hearing function. Indeed, antioxidant treatment with *N*-acetylcysteine (NAC) protects HCs and reduces the incidence of presbycusis, drug or noise-induced hearing loss in animal models and in humans [103–105].

Whereas low levels of ROS are crucial to many physiological functions, an excessive production of ROS triggers oxidative damage to lipids, proteins and DNA and leads to apoptosis [106]. Nakamagoe et al. demonstrated that estrogen is able to reduce gentamicin-induced apoptosis of HCs by inhibiting the JNK pro-apoptotic pathway [75]. This protective effect of estrogen is suggested to be dependent on ER $\alpha$  or ER $\beta$  receptors, as it is prevented by their common



**Fig. 4** Potential mechanisms of hearing preservation by estrogen in different cochlear cell types. Estrogen increases the expression of the anti-oxidant genes SOD and thereby could reduce ROS-induced apoptosis in HCs, which is mediated by Jnk. Furthermore, the direct upregulation of anti-apoptotic genes such as Bcl2 and Bcl-xL could be involved HC and SGN survival. E2 enhances Bdnf expression from the HCs, which in turn promotes SGN survival through specific TrkB receptors. In addition, high concentrations of Bdnf could lower the risk of synaptic disruption upon insult. Noise trauma causes excessive release of glutamate from the sensory HCs that can lead to SGN apoptosis through the mitochondrial pathway and ROS overload. In this context, E2 improves SGN survival by reducing  $\text{Ca}^{2+}$  efflux. NGB could also be involved in neuroprotection as it is an E2

antagonist ICI182780 [107]. Estrogen could also inhibit ROS-induced apoptosis in cochlear HCs by upregulating anti-apoptotic factors. Among those, Bcl-2 and Bcl-X<sub>L</sub> are known to be upregulated by E2 in neurons [108, 109] and the presence of ERE sequences within these genes suggest that they could be direct targets of ERs [109, 110]. Alternatively, Bcl-2 could be induced by estrogen following the rapid activation of PI3K/AKT signaling pathway [111]. The exact mechanism by which estrogen ameliorates cochlear HC survival remains to be determined, but the existing data on ER $\beta$ KO mouse demonstrate that ER $\beta$  is necessary to impede the progressive loss of HCs during aging [18].

### Potential neuroprotective mechanisms in the spiral ganglion

ER $\beta$ -deficient animals display neuronal degeneration in the brain of young adults [112] as well as in the spiral ganglion of aged mice [18]. In many cases, SGN loss is secondary to HC death but, in ER $\beta$ KO cochleae, signs of neuronal

target and a potent ROS scavenger. The beneficial effect of estrogen in the stria vascularis and the spiral ligament has not been investigated; however, it could play a vasorelaxant action through eNOS activation in cochlear capillaries and would thereby maintain cochlear homeostasis by regulating blood flow. E2 is also known to regulate many ion channels, including K<sup>+</sup> channels expressed in strial cells that are crucial for endolymph composition and mechanotransduction. Finally, estrogen could reduce cochlear inflammation by inhibiting NLRP3 expression or activation in cochlear resident macrophage-like cells. Through its antioxidant action or via the regulation of Ca<sup>2+</sup> or K<sup>+</sup> fluxes, E2 would inhibit the release of pro-inflammatory cytokines such as IL1 $\beta$

damage are detected before sensory cell death, as Stenberg et al. reports the presence of swollen afferent terminals beneath normal IHCs [17]. Interestingly, similar observations were made in the estrogen-deficient Turner mouse [17] and upon genetic invalidation of Wbp2 [89], suggesting that cochlear synaptopathy is a direct consequence of reduced estrogen signaling. Such morphological abnormalities of SGN peripheral endings are classically associated with glutamate excitotoxicity after acoustic trauma, even when it only causes a temporary threshold shift, and thus a reversible hearing loss (reviewed in [113]). In these cases, synaptic disruption is crucially implicated in cochlear dysfunction, as SGNs and HCs are preserved during the period of hearing threshold changes. Noteworthy, ER $\beta$ KO mice show increased sensitivity to moderate sound exposure, but the density and the morphology of ribbon synapses have not been studied [19]. Nevertheless, this enhanced vulnerability to acoustic overexposure in young adults might account for deafness of ER $\beta$ KO animals at later stages, as temporary threshold shifts are increasingly recognized as a risk factor

for delayed SGN loss and premature age-related hearing loss [114]. The slow degeneration of auditory neurons would be consequent to the early synaptic disruption and neurite retraction, which reduces the neuronal supply in the neurotrophins that are released from the target sensory epithelium.

At the molecular level, the estrogenic regulation of Brain-derived neurotrophic factor (Bdnf) could be involved in SGN preservation (Fig. 4). Meltser et al. showed that young ER $\beta$ KO mice express lower levels of Bdnf and that the ER $\beta$  agonist DPN induces its transcriptional upregulation in control mice [19]. Promoter studies have indicated the presence of an ERE upstream of the transcriptional start site of Bdnf, further suggesting that it is a direct target of ER [115]. Estrogen and ER $\beta$  would, therefore, be required to guarantee high Bdnf secretion from the sensory epithelium and ensure SGN survival. Whether endogenous Bdnf could also be involved in the maintenance of cochlear synaptic contacts at postnatal stages is less clear [116, 117], but high doses of Bdnf agonist are able to partially protect against synaptic loss and improve the hearing function following acoustic trauma [118]. Synapse deterioration in the absence of estrogen signaling could also be due to changes in the expression level of post-synaptic proteins present in afferent terminals. Most importantly, the glutamate receptor subunit GluR2/3 is downregulated in Wbp2KO cochleae and, accordingly, post-synaptic densities are disorganized [89]. In hippocampal neurons, brain-derived E2 has already been reported to modulate glutamatergic synapses [119]. Local estrogen increases post-synaptic sensitivity in both sexes, but unexpectedly its action is mediated by ER $\beta$  in males, whereas it acts through GPER in females. Such differences in E2 action modes have been referred to as latent sex differences, as they lead to the same functional endpoint [120, 121], but this raises the interesting question whether different estrogen receptor sensitivities to the local neuromodulator could in some instances underlie a sex dimorphic response.

Estrogen could also exert a direct action on neuronal survival by impeding apoptotic cascades or enhancing their antioxidant defense (Fig. 4). For instance, estrogen was shown to inhibit glutamate-induced cell death in cultured neurons and rodent models of excitotoxic injury and the underlying mechanisms include ER-dependent mitochondrial Ca<sup>2+</sup> sequestration and Bcl2 upregulation [122, 123]. In other contexts involving oxidative stress and ischemic injury, the neuroprotective effect of estrogen relies on the strong upregulation of Neuroglobin (NGB), a potent ROS scavenger [124]. Besides its intrinsic capacity to reduce the amount of endogenous ROS, NGB inhibits the mitochondrial-dependent pathway of apoptosis. Interestingly, the neuroprotective effect of estrogen-induced NGB on H<sub>2</sub>O<sub>2</sub>-induced apoptosis was shown to be reliant on ER $\beta$  [125]. In the mammalian cochlea, NGB is expressed in SGNs and its expression seems to be reduced in post-mortem samples of human

diagnosed with hearing loss [126, 127]. Whether estrogen signaling upregulates NGB in the SGNs and whether NGB is involved in its ER $\beta$ -dependent protective effects on SGN survival during aging or acoustic trauma need to be elucidated in future experiments.

### Potential mechanisms in the lateral wall

The analyses of ER $\beta$ KO cochleae did not include a close inspection of the cochlear lateral wall. Therefore, it is still unknown whether estrogen could exert a protective role by acting on the stria vascularis or the spiral ligament. However, these structures are crucial for cochlear homeostasis as they are involved in ion transport to generate the exquisite endocochlear potential (EP) and their functional disruption can lead to cochlear degeneration [128]. Amongst the various ion channels that are regulated by estrogen (reviewed in [129, 130]), Kcnq1 and Kcnj10/Kir4.1 are crucial for K<sup>+</sup> secretion into the endolymph and the auditory function [131, 132]. Estrogen dose-dependently affects K<sup>+</sup> currents in stria vascularis cell cultures, but intriguingly estrogen was responsible for a reduction of K<sup>+</sup> secretion [133].

The maintenance of cochlear homeostasis is also reliant on the dense capillary networks present in the lateral wall [134]. In the vascular system, estrogen promotes a rapid and protective endothelial-dependent vasodilation response by enhancing nitric oxide (NO) production. Through an ER-dependent mechanism, estrogen directly stimulates PI3K and Akt signaling pathways, which in turn phosphorylate and activate the endothelial NO synthase, eNOS [135]. As eNOS is expressed in the blood vessels of the stria vascularis and the spiral ligament [136] and its activity increases the cochlear blood flow [137], it is plausible that, in the cochlea, estrogen activates eNOS and mediates a vasorelaxant effect that would offset the mechanisms leading to reduced cochlear blood flow.

Whether ER $\beta$  preserves cochlear homeostasis by fine-tuning ion transport and endolymph composition in addition to regulating cochlear blood flow should be addressed in future studies. Most importantly, ER $\beta$  expression studies in mouse and humans are still required to clarify the existence of estrogen signaling in this cochlear compartment.

### Protection against cochlear inflammation

The inflammatory response is necessary to tailor the appropriate adaptive immune response upon infection and is also crucial for tissue homeostasis. In some instances, excessive or prolonged inflammation may be deleterious and there is increasing evidence that drug-, noise- or aging-induced hearing loss are closely associated with local inflammation [138]. As estrogen is well known to exert anti-inflammatory effects in the aging or injured brain and thereby contributes

to neuroprotection, some of the underlying mechanisms could, thus, be of critical importance to cochlear function.

Recently, estrogen was shown to reduce neuroinflammation by inhibiting NLRP3 inflammasome [139, 140]. This multisubunit complex assembles in innate immune cells upon specific triggers related to cellular stress. NLRP3 activates caspase1 that induces the maturation and release of IL1 $\beta$  and IL18 pro-inflammatory cytokines [141]. Increased NLRP3-mediated inflammation in the hippocampus of ovariectomized mice could be prevented by E2 or DPN, suggesting an anti-inflammatory action through ER $\beta$  [140]. Inflammasome is also a key initiator of cochlear inflammation and a recent study suggests its involvement in the pathophysiology of presbycusis [142]. Moreover, NLRP3 gain-of-function mutations cause syndromic and non-syndromic sensorineural hearing loss, characterized by increased vascular permeability and cochlear autoinflammation [143]. This report also reveals that NLRP3-positive cells are resident macrophage–monocyte-like cells, which are distributed throughout the cochlea, along the auditory nerve, the spiral ganglion, the stria vascularis and the spiral ligament. Importantly, IL1 $\beta$  blockade therapy is able to improve the hearing status of some NLRP3-affected individuals [143] as well as patients suffering from a distinct form of hearing disorder associated with exacerbated inflammation [144]. Recently, an agonist of Peroxisome proliferator-activated receptor (PPAR) was shown to attenuate noise-induced hearing loss in rats by reducing the cochlear expression of IL1 $\beta$  [145]. If estrogen negatively regulates NLRP3 in the cochlea following environmental stress or aging, it would, thus, be a potent agent useful in therapeutic strategies to reduce the damaging effect of local inflammation and to protect against hearing loss.

## Conclusion and perspectives

The benefits of estrogen action are not limited to the reproductive, central nervous and cardiovascular systems, as the hormone also plays a role in the inner ear. A positive influence of estrogen on hearing function has been suggested for long and continues to be experimentally evidenced in many studies. Because the hearing sensibility is correlated with the level of estrogen, there is a sex gap in audition and females are protected against hearing loss until menopause. While the use of estrogen in MHT has been shown to delay or minimize hearing loss in some studies, it has been contradicted by a number of reports. The MHT regimen, dose and administration route as well as the age, hormonal status and responsiveness of the patients are critical parameters that greatly impact the estrogen outcome on hearing.

All estrogen receptors are expressed in the cochlea at varying levels but ER $\beta$  seems to play a predominant role

in the maintenance of the cochlear function during aging or following acoustic trauma. By acting in the sensory HCs, the SGNs and possibly in the stria vascularis, estrogen signaling through ER $\beta$  could enhance antioxidant, anti-apoptotic and anti-inflammatory responses that would all contribute to hearing preservation. These effects are frequently mediated through the classical genomic action of estrogen although some membrane-initiated signaling involving MAPK, PKA and PI3K probably occurs as well. These estrogen actions open obvious therapeutic doors; however, future experiments are still needed to explore the precise mechanisms of otoprotection and to clearly identify the selective estrogen receptor modulator and the therapeutic strategy that could offer hearing preservation.

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