

The fine-tuning of proteolytic pathways in Alzheimer's disease

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Received: 22 December 2015 / Revised: 31 March 2016 / Accepted: 21 April 2016 / Published online: 27 April 2016 - Springer International Publishing 2016

Abstract Several integrated proteolytic systems contribute to the maintenance of cellular homeostasis through the continuous removal of misfolded, aggregated or oxidized proteins and damaged organelles. Among these systems, the proteasome and autophagy play the major role in protein quality control, which is a fundamental issue in non-proliferative cells such as neurons. Disturbances in the functionality of these two pathways are frequently observed in neurodegenerative diseases, like Alzheimer's disease, and reflect the accumulation of protease-resistant, deleterious protein aggregates. In this review, we explored the sophisticated crosstalk between the ubiquitin–proteasome system and autophagy in the removal of the harmful structures that characterize Alzheimer's disease neurons. We also dissected the role of the numerous shuttle factors and chaperones that, directly or indirectly interacting with ubiquitin and LC3, are used for cargo selection and delivery to one pathway or the other.

Keywords Proteasome - Autophagy - Alzheimer's disease - Ubiquitin - Chaperone

Abbreviations

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Introduction

The maintenance of cellular homeostasis, cellular function and viability is mediated at least in part by extensive and specialized proteolytic systems that continuously process and remove intracellular misfolded proteins [\[1–3](#page-11-0)]. Protein degradation is critical in cell quality control, being central in numerous pathways such as cell cycle, cell growth and differentiation, apoptosis, regulation of transcriptional factors, carcinogenesis, and immune/inflammatory responses [\[1](#page-11-0)]. Disturbances in intracellular proteostasis trigger the accumulation of altered proteins and toxic aggregates that are widely recognized hallmarks of neurodegenerative diseases, including Alzheimer's disease (AD) [\[4](#page-11-0)]. Misfolded, unfolded or oxidized proteins expose hydrophobic regions normally hidden in their native conformation, thus being highly reactive to form oligomeric complexes. The harmful effect of such modifications is a deleterious gain of toxic function. In fact, oligomers represent the effective toxic specie responsible for synaptic and neuronal dysfunctions whereas large and insoluble aggregates work as reservoirs of bioactive oligomers [\[5–7](#page-11-0)].

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In addition, neurons are post-mitotic cells unable to dilute or remove abnormal protein aggregates via cell division and therefore are more sensitive to these toxic proteinaceous species compared to mitotic cells.

In AD, deposits of amyloid- β (A β) peptides and hyperphosphorylated tau protein derived from peptide/ protein misfolding and aggregation that ultimately produce amyloid plaques and neurofibrillary tangles [\[8](#page-11-0)]. The major consequence of the accumulation of such pathogenic aggregates is the downregulation of proteolytic pathways triggering a feed-forward loop that in the end destroy essential proteolytic networks. Eukaryotic cells contain a diverse set of proteases that mediate protein degradation and protein cleavage [[9\]](#page-11-0). However, two proteolytic machineries, namely the proteasomal system and autophagy, regulate the majority of protein catabolism and the interdependent regulation of these two sophisticated systems is responsible for overall protein quality control and proteostasis. Autophagy, literally ''self-eating'', is a proteolytic process in charge of the recycling of both extracellular and intracellular components. Autophagic pathways are divided into macroautophagy, chaperonemediated autophagy (CMA) and microautophagy, depending on the mechanism by which cellular cargoes are delivered to the lysosome [\[10](#page-11-0)]. Proteolysis by autophagy is finally mediated by lysosomal hydrolytic enzymes, such as phosphatases, nucleases, glycosidases, proteases, peptidases, sulfatases, and lipases [\[11](#page-12-0)]. In details, autophagy mediates the digestion of exogenous particles and longlived proteins, and contributes to the renewal of damaged and/or dysfunctional organelles [[1,](#page-11-0) [12](#page-12-0), [13\]](#page-12-0). Numerous papers reported on the occurrence of disturbances in autophagy in several human neurodegenerative disorders [\[11](#page-12-0), [14\]](#page-12-0). For example, in AD an altered lysosomal acidification and the lysosomal proteolytic disruption are major contributors to autophagy failure and its pathological consequences [\[15](#page-12-0)]. The inactivation of the autophagy-related proteins 5 or 7 (Atg5 and Atg7, respectively) leads to neurodegeneration with abnormal increase of intracellular proteins in inclusions bodies [[16,](#page-12-0) [17\]](#page-12-0). Moreover, AD patients with the familial amyloid precursor protein $(A\beta PP)$ Swedish mutation showed the accumulation of markers of the autophagy-lysosomal pathway and their colocalization with hyperphosphorylated tau protein [\[18](#page-12-0)].

The proteasomal proteolytic system, in turn, mediates the degradation of short-lived, oxidatively damaged, modified and misfolded proteins (collectively accounting for more than 70–80 % of intracellular proteins) both in the cytoplasm and the nucleus [\[19](#page-12-0)]. Proteasome targets include also regulatory proteins such as cyclins (cyclins A, B, D and E), cyclin-dependent kinases, cyclin-dependent kinase inhibitors (p21 and p27), inhibitory proteins (Fos and Myc) and tumour suppressors (cyclin B1, p53),

the degradation of which is a key event in cell cycle progression [\[20–28\]](#page-12-0). Proteasome activity differs from the lysosomal-dependent proteolysis because proteasomemediated protein degradation occurs at neutral pH and does not require calcium or organelle compartmentalization. The 20S proteasome can degrade substrates either alone or in association with regulatory particles to form a complex, the 26S proteasome, which specifically recognizes ubiquitin (Ub)-tagged proteins [\[19,](#page-12-0) [29](#page-12-0)]. This 26Smediated pathway of protein degradation is known as the Ub-proteasome system (UPS) and most of its substrates, as mentioned, have to be polyubiquitinated. Ubiquitination is a post-translational modification that forms an isopeptide bond between a substrate lysine residue and the C-terminus of Ub and requires a complex system of four different kinds of enzymes (known as E1–E2–E3–E4) [[19,](#page-12-0) [30](#page-12-0), [31](#page-12-0)]. The proteasome takes part in the ''quality control'' of newly synthesized proteins in association with the endoplasmic reticulum (ERAD, endoplasmic reticulum associated degradation). This pathway assures that improperly folded proteins in the ER are targeted for degradation by the UPS [[32,](#page-12-0) [33\]](#page-12-0). Different molecular chaperones, such as heat shock proteins (Hsps), help both newly synthesized and misfolded proteins reaching/ restoring their native and nontoxic conformation. If unsuccessful, irreversibly damaged proteins are driven to the UPS for final degradation [[34–37](#page-12-0)]. Several studies described dysfunctions in proteasome functionality in neurodegenerative diseases, including AD [\[38–40\]](#page-12-0). In particular, it was observed that the accumulation of abnormal deposits of pathogenic proteins, like prion protein, a-synuclein and huntingtin protein, inhibits the functionality of some UPS components, including the proteasome [\[41–43\]](#page-12-0). The proteasome and autophagic pathways were long considered as distinct and independent proteolytic systems. Conversely, numerous publications recently highlighted their intimate correlation and a considerable interplay between them, with the downregulation of one clearance machinery resulting in compensatory changes in the other pathway [\[4](#page-11-0), [44](#page-13-0)]. Several proteins, including sequestosome 1/p62 (SQSTM1/p62), neighbour of BRCA1 gene (NBR1), histone deacetylase 6 (HDAC6), optineurin (OPTN), nuclear dot protein 52 (NDP52), valosin containing protein (p97/VCP), autophagy-linked FYVE protein (Alfy) and Bcl-2-associated athanogene (BAG) proteins, serve as linkers between the two pathways facilitating this interplay, and possess the ability to directly or indirectly associate with both ubiquitin and components of the autophagic system. This review article focuses on the role of the proteasome and autophagy in AD, with a particular emphasis on their crosstalk and on the molecules that mediate this interplay.

The proteasomal system

The 20S proteasome

The proteasomal system regulates the intracellular degradation of oxidized proteins and transcriptional factors, and controls signal transduction, immune response, carcinogenesis, cell division, growth and differentiation, DNA repair, morphogenesis of neuronal networks, and apoptotic process [[1,](#page-11-0) [19](#page-12-0), [29](#page-12-0)]. The main particle of this machinery is the 20S proteasome that possesses the catalytic activities responsible for substrate degradation. Numerous studies suggest that its structure and biogenesis are highly conserved from yeast to mammals [[31,](#page-12-0) [45\]](#page-13-0). The 20S proteasome is a large, barrel-shaped complex with a molecular weight of about 700 kDa and constitutes up to 1 % of the total cellular protein. It consists of four stacked rings, two α -rings and two β -rings, delimiting an internal cavity and arranged as follows: $\alpha\beta\beta\alpha$. Each ring is made of seven distinct subunits leading to a definitive configuration $\alpha(1-7)\beta(1-7)\alpha(1-7) \alpha(1-7)$. The β -rings define the main internal chamber of the complex and carry the catalytic activity, whereas the outer α -rings regulate the substrate enter into the catalytic chamber and the binding of different regulatory proteins [[31](#page-12-0), [46\]](#page-13-0). Mutagenesis studies characterized subunits β 1, β 2 and β 5 as the subunits responsible for the catalytic activities. Subunit β 1 is associated with the caspase activity and possesses a limited branched chain amino acid preferring (BrAAP) activity whereas subunit β 2 has the trypsin-like (T-L) activity. Subunits β 5 accounts for the chymotrypsin-like (ChT-L) activity, but given its tendency to cleave after small neutral and branched side chains also the SNAAP and BrAAP activities can be assigned to this subunit [\[46,](#page-13-0) [47](#page-13-0)]. Substrates degradation originates small peptides with an average length of 8-12 amino acids. Studies of proteasome-mediated degradation revealed that the nucleophilic attack is mediated by the N-terminal threonine of the three catalytic β subunits [[48](#page-13-0)]. This feature classifies the proteasome as a member of the N-terminal nucleophile amino-hydrolase family. The free 20S proteasome constitutes a major portion of the total amount of proteasomes in cells suggesting an independent involvement of this complex in intracellular proteolysis [[49\]](#page-13-0). The 20S proteasome is usually found in its ''inactive'' form but regulatory proteins, unfolded proteins or proteasomal substrates can eventually activate it. Proteasome-mediated protein degradation requires the substrate unfolding and the contemporary opening of the gate formed by the N-terminal ends of the α 2, α 3 and α 4 subunits in the outer rings. Furthermore, hydrophobic residues exposed by damaged or improperly folded proteins make the proteins susceptible to degradation and induce proteasome conformational changes favouring its proteolytic activity [[50\]](#page-13-0).

The ubiquitin–proteasome system (UPS)

The interaction of the regulatory particle 19S with both α rings of the 20S proteasome generates a 2 MDa large complex, the so-called 26S proteasome, which is the main proteolytic component of the Ub pathway and responsible for the ATP-dependent degradation of Ub-tagged substrates [\[29](#page-12-0), [51\]](#page-13-0). Ub is a 76 amino acid protein highly conserved and universally distributed among eukaryotes, with only three amino acids differing between yeast and human Ub [[52\]](#page-13-0). Ub tagging controls and directs substrates for final degradation and recycling, and regulates key cellular processes including gene transcription, cell cycle progression, DNA repair, apoptosis, virus budding and receptor endocytosis [\[53](#page-13-0)]. Degradation of a protein via the UPS encompasses a cascade of enzymatic reactions. The first step regards the generation of a polyubiquitin chain and its covalent conjugation to the protein substrate, whereas the second step consists in the degradation of the tagged protein by the 26S proteasome (Fig. [1](#page-3-0)) [[51\]](#page-13-0). Substrate ubiquitination is a very complex process that requires the presence of four different classes of enzymes: E1 (Ub activating enzymes)—E2 (Ub-carrier proteins or Ub-conjugating enzymes, Ubcs)—E3 (Ub-protein ligases)—E4 (Ub conjugation factor). E1 activates, ATP-dependently, Ub in its C-terminal glycine residue. After activation, E2 transfers Ub from E1 to a member of the Ub-protein ligase family, E3, to which the substrate protein is specifically bound [\[19](#page-12-0), [51\]](#page-13-0). This enzyme catalyses the third step in the conjugation process, the covalent attachment of Ub to a lysine (K) residue of the substrate. After this, both E2 and E3 are released. The cyclic transfer of more Ub-molecules to the first Ub attached to the substrate is performed by these enzymes and by another enzyme, E4, and the soformed chain serves as the recognition signal for the final degradation [[19,](#page-12-0) [51,](#page-13-0) [54](#page-13-0), [55\]](#page-13-0). Once the degradation is completed, deubiquitinating enzymes, such as the ubiquitin carboxyl-terminal hydrolase L1 (UCHL1), release the single Ub-molecules from the Ub chains, in order to maintain the free pool of cellular Ub and to guarantee the formation of new chains [\[51](#page-13-0)]. Ub can be attached to the substrate as a single molecule at one or more amino acidic residues (respectively, monoubiquitination and multiple monoubiquitination) or as a polyubiquitin chain [\[56](#page-13-0)]. Monoubiquitination mainly regulate processes such as DNA repair, viral budding, and transcriptional regulation, whereas multiubiquitination is the principal signal for receptor endocytosis [\[57](#page-13-0), [58](#page-13-0)]. Depending on which of the

Fig. 1 Schematic representation of the collaboration among UPS, autophagic pathways and molecular adaptors. a The ubiquitination process is the first event in the removal of misfolded/aggregated proteins or cellular organelles. b Attachment of the protein substrate to a K48/K11-linked Ub chain is the signal for 26S proteasomemediated degradation. The chaperone BAG-1 selects substrates for proteasome degradation. c K63-linked Ub chains tag substrates to the autophagosome. p62, NBR1, NDP52 and OPTN directly associate

with Ub and LC3 driving ubiquitinated cargo to the autophagosome for final degradation. BAG3 and Alfy vehicle substrates to the autophagic pathway. Under condition of proteasomal impairment, HDAC6 and p97 favour the autophagic removal of aggregates. d The autophagosome fuses with the lysosome and the protein cargo is finally degraded in the lysosomal lumen by the action of specific hydrolases

seven lysines of Ub is tagged (K6, K11, K27, K29, K33, K48, or K63) a protein will be transferred for degradation to the proteasome or to an autophagosome. Although the biological role of polyubiquitination is still under debate, the ''classical'' attachment of the C-terminus of one ubiquitin to the K48 of an adjacent Ub (a chain of at least four Ub moieties is necessary), is considered an established marker for proteasomal degradation, whereas substrates with single Ub-molecules or polyubiquitin chains that are attached on other lysine residues, mainly K63, are destined to the autophagosomes [[53,](#page-13-0) [59\]](#page-13-0). Specific cellular proteins prevent the association of K63 Ub chains with proteasomes. Nathan et al. showed that proteins ESCRT (Endosomal Sorting Complex Required for Transport) and its components, STAM and Hrs, strongly associate with K63-ubiquitinated proteins and block their binding to proteasomes. In addition, they found that the Rad23 proteins, associate specifically with K48 conjugates and promote their binding to the 26S complex [[60\]](#page-13-0). However, the possibility that also unconventional polyubiquitin chains linkages, including those on K11 and K63, are involved in proteasome-mediated proteolysis has not been excluded [[53,](#page-13-0) [61,](#page-13-0) [62](#page-13-0)]. Upon K63-linked ubiquitination, adaptor molecules drive ubiquitinated substrates towards autophagy degradative pathway. The p62 protein is one of the most studied among these molecules and interacts with a higher affinity with monoubiquitinated and K63 polyubiquitinated chains through its Ub-associated (UBA) domain. Interestingly, in condition of proteasomal dysfunctions, p62 can also recognize K48-linked chains bringing them to the autophagosome [[63\]](#page-13-0). Similarly, HDAC6 and NBR1, showing preference for K63-linked polyubiquitin chains, favour autophagic removal of protein aggregates [\[64–66](#page-13-0)]. Besides their role in directing substrates toward autophagy, K63-linked chains are involved in DNA repair, inflammation, apoptosis, internalization of plasma membrane proteins, and protein sorting to multivesicular bodies [\[67–71](#page-13-0)]. In a broad spectrum of human neurodegenerative disorders, the biogenesis and autophagy-mediated clearance of inclusion bodies are enhanced and facilitated by K63-linked Ub modifications [[72,](#page-13-0) [73](#page-13-0)]. Components of the ubiquitination process and the nature of

the Ub linkage play a critical role in AD. The overexpression of the E3 ligase parkin decreases $\mathbf{A}\beta$ load and gliosis in the brain of AD transgenic mice and its overexpression protects against deficits in memory, locomotion and neuropsychiatric behaviours [[74\]](#page-13-0). In addition, parkin acts decreasing intracellular \overrightarrow{AB} levels and extracellular plaque deposition, attenuating caspase activity, preventing mitochondrial dysfunction and oxidative stress and restoring neurotransmitter synthesis [\[75](#page-13-0)]. UCHL1 increases free Ub level and accelerates the lysosomal degradation of $A\beta PP$ by promoting its ubiquitination. Furthermore, overexpression of UCHL1 reduces \overrightarrow{AB} production and ameliorates classical AD symptoms in a transgenic mouse model suggesting that UCHL1 may be a safe and effective disease-modifying strategy to treat AD [[76\]](#page-13-0). Interestingly, the final degradation of UCHL1 by the autophagy-lysosomal pathway is controlled by its parkin-mediated K63 linked polyubiquitination [\[77](#page-13-0)].

Autophagy

Autophagy is a highly conserved system of quality control by which cells capture intracellular components and deliver them to the lysosomal compartment where they are finally degraded [[78\]](#page-13-0). The products of this degradation are recycled for the synthesis of new molecules. Nutrient deficiency or other stress conditions upregulate this catabolic process in order to either provide the cells for alternative energy metabolism pathways or remove toxic components thus maintaining cellular homeostasis [\[79](#page-14-0)]. Autophagy was originally considered a non-selective pathway induced in response to stressful environment but recently it emerged as a highly selective process involved in the clearance of dysfunctional organelles, protein aggregates and intracellular pathogens [[80,](#page-14-0) [81](#page-14-0)]. Mounting evidences implicate autophagy flaws in numerous neurodegenerative conditions, particularly AD [[15–18\]](#page-12-0). In mammalian cells, there are three distinct types of autophagy differing in the way cargo proteins are delivered to the lysosomes: macroautophagy, CMA and microautophagy [[82\]](#page-14-0). Only proteins can be delivered to lysosomes via CMA, whereas macroautophagy and microautophagy concern the degradation of proteins and organelles [\[83](#page-14-0)].

Macroautophagy

Macroautophagy is a conserved process and the major lysosomal degradative pathway involving more than 30 autophagy-related genes (Atgs) [[84\]](#page-14-0). The process starts with the formation of double-membrane vesicles (phagophore) originated from the ER/Golgi, which engulfs the cytoplasmic cargo forming the autophagosome [\[79](#page-14-0), [83](#page-14-0)]. Once formed, autophagosomes move along microtubules toward the perinuclear microtubule-organizing centre (MTOC) of the neuron, where the concentration of lyso-somes is higher [\[85](#page-14-0)]. In response to specific stimuli, such as nutrient deprivation or rapamycin treatment, the activity of autophagy-inhibitory complexes (such as the mammalian target of rapamycin or mTOR, a serine–threonine kinase) is inhibited, contributing to autophagy activation. The autophagosome formation process consists of three phases: initiation, nucleation and elongation of the membrane. The unc-51-like kinase (ULK1) complex is activated upon the dissociation from mTOR and induces the phagophore. Membrane nucleation involves the formation of a complex between beclin-1 (BECN1, the mammalian homologue of Atg6) and phosphatidylinositol 3-kinase (PI3K), whose components are BECN1, PIK3, activating molecule in BECN1-regulated autophagy protein 1 (AMBRA1), vacuolar protein sorting 34 (Vps34), vacuolar protein sorting 15 (Vps15), and Atg14. ULK1 phosphorylates AMBRA1 and, upon phosphorylation, BECN1 promotes the local production of the lipid signalling molecule phosphatidylinositol 3-phosphate (PI3P) by Vps34 that recruits other Atg proteins allowing the expansion of the autophagosomal membrane [\[81](#page-14-0)]. The elongation step requires Atg3, Atg4, Atg7, Atg10, and an Atg5-Atg12-Atg16L1 complex to conjugate phosphatidylethanolamine (PE) to LC3 (microtubule-associated protein light 3). LC3 is the mammalian homologue of Atg8 and exists in two forms, namely LC3-I (cytosolic) and LC3-II (membrane-bound). In this phase, LC3-I is recruited into the autophagosome where LC3-II is generated by site-specific proteolysis and covalent conjugation to PE, leading to the translocation of LC3 from cytoplasm to the membrane of the forming autophagosomes. This event contributes to the closure of the membrane and to the complete formation of the autophagosome [[85,](#page-14-0) [86](#page-14-0)]. In the final step, the autophagosome merges with the lysosome that releases hydrolases, resulting in the degradation of the autolysosome content. The fusion process is regulated by several proteins such as the lysosome-associated membrane protein type 2A (LAMP2), the Rubicon-UVRAG complex, the soluble N-ethylmalemide sensitive factor attachment protein receptor (SNAREs), homotypic fusion and protein sorting (HOPS), Ras [rat sarcoma] like in rat brain (Rab), ESCRT, and LC3 [[78\]](#page-13-0). Autophagy can also be induced in a mTOR-independent manner with compounds that decrease inositol (Ins) or inositol 1,4,5-trisphosphate (IP_3) levels in the phosphoinositol-signalling pathway. These agents include drugs such as lithium and L-690,330 that inhibit inositol monophosphatase (IMPase), and carbamazepine and sodium valproate that inhibit inositol synthesis [\[87](#page-14-0)– [89](#page-14-0)]. Besides the non-selective engulfment, the autophagosomal vesicle membrane can also selectively recognize its

substrates, particularly in the case of damaged organelles or aggregated proteins. Selective autophagy recognizes ubiquitinated cargos through molecular adaptors including p62, NBR1, ALFY, NDP52, VCP and OPTN, which bind on one side to Ub and, on the other end, to autophagosomespecific proteins, such as LC3 (Fig. [1](#page-3-0)) [[85\]](#page-14-0). These regulatory proteins together with ubiquitinated substrates and heat shock proteins, like Hsp70, are components of aggresomes. These structures are transient cytoplasmic inclusions that serve as storage sites for misfolded/damaged proteins and their aggregates that are not immediately delivered to autophagosomes or degraded by the UPS, thus minimizing their toxicity [\[90](#page-14-0), [91](#page-14-0)]. In this selective form of macroautophagy, known as aggrephagy, harmful proteins are packaged into larger insoluble structures and driven to the MTOC near the nucleus with the aid of HDAC6, a key regulator of this pathway [\[90](#page-14-0)].

Chaperone-mediated autophagy (CMA)

Chaperone-mediated autophagy is a selective form of autophagy that differs from the above-described autophagic pathway in that vesicles are not involved in the transport of the cytosolic component to the lysosomes. Indeed, it regards the degradation of cytosolic proteins harbouring in their primary sequence the consensus pentapeptide motif KFERQ, which is recognized by the cytosolic chaperone heat shock cognate protein of 70 kDa (Hsc70). This binding is necessary for the lysosomal degradation of the substrate protein [\[92](#page-14-0)]. CMA is a multistep process including cargo recognition and lysosomal targeting, substrate binding and unfolding, substrate translocation and lysosomal degradation. Once identified, the substrate is unfolded and targeted by Hsc70 in the presence of co-chaperones (BAG1, Hip, Hop, and Hsp40/ DNAJB1, Hsp90) that modulate the interaction [[93\]](#page-14-0). At this point, the complex moves to the lysosomal membrane where it interacts with the cytosolic region of the monomeric form of the protein LAMP-2A. The multimerization of this single-span membrane protein is then necessary for substrate translocation into the lysosomal lumen where the rapid degradation finally occurs [\[94](#page-14-0), [95\]](#page-14-0). Interestingly, dynamic organization of LAMP-2A at the lysosomal membrane is specifically regulated by the functional interaction between Hsc70 and Hsp90. In details, Hsc70 was suggested to promote the organization of LAMP-2A into monomers or smaller complexes whereas the stability of LAMP-2A during the formation of the multimeric complex is maintained through its interaction with a form of Hsp90 located at the lysosomal membrane [[96\]](#page-14-0). Clearly, the coordinated activity of all the chaperones participating in this process is essential for the correct unfolding of the substrate and for its translocation and final degradation in

the lysosome. Interestingly, an internal direct crosstalk exists between CMA and macroautophagy, with the blockage of macroautophagy leading to up-regulation of CMA [\[97](#page-14-0)].

Microautophagy

Microautophagy is the third form of autophagy, well characterized in yeast but not completely in eukaryotic cells [[98\]](#page-14-0). It is a constitutive process but both rapamycin and starvation can induce it. Major functions of microautophagy are the maintenance of organelles size, membrane composition and cell survival under condition of nitrogen restriction [\[99](#page-14-0)]. In microautophagy, the transfer of protein substrates into the lysosomes occurs through a direct invagination of the lysosomal membrane resulting in vesicles budding into the lumen of the lysosome. These vesicles then pinch off into the lysosomal lumen and are degraded by lysosomal proteases [[79,](#page-14-0) [100](#page-14-0)]. For small particles and some proteins (non-selective microautophagy), the vacuolar membrane forms tubular invaginations from which small microautophagic vesicles pinch off. Conversely, when the degradation regards larger structures, including organelles, localized interactions with the vacuolar membrane and/or finger-like protrusions of the vacuole surround the targeted cellular component destined for degradation [[100,](#page-14-0) [101\]](#page-14-0). Selective forms of microautophagy were mainly described in yeasts and regard the degradation of peroxisomes (micropexophagy), of non-essential portions of the nucleus, and of mitochondria (micromitophagy) [\[99](#page-14-0)]. A novel form of microautophagy, named endosomal microautophagy, was shown to share molecular components, such as the Hsc70 protein, with both the other autophagic pathways and to contribute to the degradation of cytosolic elements upon internalization in late endosomes [[102,](#page-14-0) [103](#page-14-0)].

UPS and autophagy in AD

UPS and autophagy play a crucial role in the processing of proteins involved in the onset of AD and their activities are heavily downregulated by protein aggregates in AD neurons. The proteasome participates in $\mathsf{A}\mathsf{B}$ degradation and, at the same time, is affected by $\text{A}\beta$. In a 2003 research, Lopez Salon et al. demonstrated that the inhibition of the 26S proteasome with lactacystin promoted a marked decrease in $A\beta 42$ in primary cultures of cortical neurons and astrocytes, suggesting that the peptide could be a possible substrate of this enzymatic complex [\[104](#page-14-0)]. Treatment of neuronal cells with different $A\beta$ peptides and with aggregated forms of the amyloid protein induced a strong inhibition of the proteasomal complex [\[104–106](#page-14-0)].

AB oligomers accumulation is responsible for neuronal cells death in transgenic mice through the induction of ER stress, endosomal/lysosomal leakage, and mitochondrial dysfunction [\[107](#page-14-0)]. Furthermore, through the degradation of the γ -secretase activating protein (GSAP) the proteasome system regulates \widehat{ABPP} metabolism and \widehat{AB} formation [\[108](#page-14-0)]. In addition, several research groups established that full-length \widehat{ABPP} undergo degradation by the UPS upon ubiquitination and that, when proteasome activity is inhibited, \widehat{A} β PP co-localizes and interacts with aggresome markers [\[109–112](#page-14-0)]. There are evidences that indicate the UPS as responsible for tau protein degradation, albeit other studies suggest that tau is not an effective substrate of the proteasome [\[113](#page-14-0), [114](#page-14-0)]. In AD neurons, tau becomes hyperphosphorylated and forms filamentous inclusions called paired helical filaments (PHFs), which are the main constituents of neurofibrillary tangles (NFT). In vivo and in vitro experiments using lactacystin showed the accumulation, insolubility and ubiquitination of tau proteins and of phosphorylated tau, respectively [\[115](#page-15-0), [116](#page-15-0)]. Numerous evidences indicate the presence of tau aggregates in immunoprecipitates of proteasome subunits and the localization and accumulation of Ub in both PHFs and NFTs [\[39](#page-12-0), [117](#page-15-0)]. These findings identify bound PHF-tau as the reason for impaired proteasome function in AD brain [\[39](#page-12-0)]. In addition, it was shown that tau removal might be accomplished by the 20S and 26S proteasomes, thus both in Ub-independent and Ub-dependent (and ATP-dependent) manner [[118,](#page-15-0) [119\]](#page-15-0). The development of proteasome inhibition in AD can be also due to $\mathbf{A}\mathbf{B}$ - and tau-independent mechanisms, including mutations in genes encoding for components of the UPS and excessive oxidative stress. A mutant form of Ub B, the UBB $+1$ protein, is known to accumulate in disease-specific aggregates, to inhibit the proteasome and therefore to contribute to the disease progression [\[120](#page-15-0), [121\]](#page-15-0). Recently, Bilguvar et al. identified a homozygous missense mutation within the Ub-binding domain of UCHL1 that almost completely abolish the hydrolase activity leading to a childhood-onset multisystem neurodegenerative syndrome and definitely linking the loss of UCHL1 function with a broad range of neurodegenerations [[122\]](#page-15-0). Abnormal levels of oxidative and nitrosative stress favour both structural modifications of the proteasome, such as protein carbonyls, 4-hydroxynonenalconjugation, neuroprostane-conjugation, and increased levels of oxidized substrates, this latter associating with the loss of the 20S proteasome activity [\[38](#page-12-0), [123](#page-15-0), [124\]](#page-15-0). UCHL1 is an extremely susceptible target of oxidative damage and numerous findings, based also on redox proteomics analysis, evidenced its specific oxidative modifications in AD brains, including carbonyl formation, methionine and cysteine oxidation providing an additional direct link between

oxidative damage to the proteasomal machinery and the pathogenesis of AD [[125–127\]](#page-15-0).

Autophagy is considerably involved in amyloid degradation [[128\]](#page-15-0). Autophagy and the BECN1–PIK3C3 complex regulate AbPP processing in AD [\[129](#page-15-0)]. Autophagic vacuoles contain AbPP and are highly enriched in active enzymes needed to generate $\mathbf{A}\beta$ (γ -secretase components, β -secretase activity and, to a lesser extent, BACE and γ -secretase activity) [\[130](#page-15-0)]. The autophagic-lysosomal system plays a role in the clearance of tau and the use of autophagy inhibitors delays tau degradation and favours the formation of high molecular weight species of tau including oligomers and insoluble aggregates [[131\]](#page-15-0). Additionally, autophagy stimulation successfully reduces the number of tau inclusions and improves nerve cell survival in a mouse model of human tauopathy [\[132](#page-15-0)]. The lysosomal hydrolase cathepsin D was shown to degrade tau proteins in cultured hippocampal slices [\[133](#page-15-0)]. The involvement of autophagy in the pathology of AD is extensively documented. The observation in AD human brain tissues of accumulated autophagic and lysosomal markers indicated a defect of the autophagosome–lysosome pathway that contribute to the development of tau pathology [\[18](#page-12-0)]. The analysis of neocortical biopsies from AD brains revealed the striking accumulation of immature autophagic vacuoles in dystrophic neurites, suggesting that their transport and maturation to lysosomes may be impaired in such neurodegenerative condition [\[134](#page-15-0)]. Again, AD-associated disruption of lysosomal proteolysis slowed the axonal transport of autolysosomes, late endosomes, and lysosomes and caused their selective accumulation within dystrophic axons [\[135](#page-15-0)]. Presenili-1 was shown to be fundamental for correct lysosomal acidification and its mutations that are responsible for an early-onset form of AD determine a defective lysosomal proteolysis [[136\]](#page-15-0). In the brain at early stages of sporadic AD and in the $PS1/A\beta PP$ transgenic mouse model of AD pathology, macroautophagy is both induced and impaired, leading to the accumulation of $A\beta$ containing autophagic vacuoles within affected neurons [\[137](#page-15-0)]. Defective autophagic recycling of mitochondria and consequent mitochondria accumulation were observed in hippocampal brain samples of sporadic AD patients [\[138](#page-15-0)]. CMA is involved in normal tau degradation upon Hsc70 recognition of one of the two targeting motifs in the tau sequence. Differently, mutant tau variants, once bound to LAMP-2A, are only partially internalized and they remained associated with the lysosomal surface where they form oligomeric structures. This process alters the lysosomal membrane integrity and blocks the normal lysosomal functionality finally contributing to AD pathogenesis [\[139](#page-15-0)]. Besides tau, the regulator of calcineurin 1 (RCAN1) represents another link between CMA and AD. The degradation of RCAN1 is mediated by the proteasome and CMA and, as observed in AD and Down syndrome patients, their inhibition contributes to RCAN1 overexpression in the brain, which may eventually lead to disrupted neural function and neurodegeneration [\[140](#page-15-0)]. It has been suggested that the effects of mutant tau and the abnormal levels of RCAN1 are interconnected and both contribute to the severity of the AD pathology [\[95](#page-14-0)].

The AD neuropathology, with the associated alterations in both UPS and autophagy, frequently characterizes Down Syndrome (DS)-affected subjects, with an early onset usually after the age of 40. Since numerous similarities exist between the two pathological conditions, DS is considered an optimal model for the study of the pathophysiological events that occur early in AD. This disorder is a genetic condition due to the partial or complete triplication of chromosome 21, which harbours the gene encoding for the $A\beta PP$ resulting in premature and excessive amyloid production and deposition. Recent findings suggest that DS brains are extremely vulnerable to oxidative stress and, prior to significant AD pathology, show early disturbances of the proteostasis network possibly linked to this increased oxidative condition. In details, Di Domenico et al. found general oxidative damage in lipids and proteins, such as glucose-regulated protein 78, UCH-L1, cathepsin D, V0-type proton ATPase subunit B and glial fibrillary acidic protein, which coupled with decreased activity of the proteasome and impaired autop-hagy [\[141](#page-16-0), [142\]](#page-16-0). These data indicate that oxidative damage accumulation is a central event in DS and that, dramatically altering proteostasis network, it ultimately contributes to the development of AD pathogenesis.

UPS and autophagy crosstalk in AD

The UPS and autophagy were extensively considered as two essentially independent cellular catabolic pathways with difference in substrates, mechanisms and speed of degradation (autophagy is a slower process). Nevertheless, recent advances strongly suggest that their activities are carefully orchestrated and some crosstalk mechanisms have been suggested. Interestingly, the two pathways can both degrade ubiquitinated substrates and share common substrates, such as α -synuclein and regulatory proteins [\[143\]](#page-16-0). In addition, under specific conditions, autophagy can selectively degrade short-lived proteins, whereas the UPS can degrade long-lived proteins [[144,](#page-16-0) [145](#page-16-0)]. In neurodegenerative conditions, where the accumulation of toxic species becomes prominent, cells can reorganize proteolysis regulating the communication between the two proteolytic pathways. Furthermore, the accumulation of amyloid- β plaques, a major hallmark of the pathology,

is influenced by both the UPS system and autophagy [[146,](#page-16-0) [147](#page-16-0)]. When one proteolytic system is damaged and shows a reduced functionality, the enhanced activity of the other pathway may become a compensatory mechanism necessary to protect neuronal cells against the accumulation of toxic species. An example of the inter-regulation between the UPS and autophagy is the observation that impairment in the UPS-mediated degradation leads to an increased autophagic function. The activation of this compensatory mechanism allows cells to reduce the number of aggregates formed in response to proteasomal inhibition [[148,](#page-16-0) [149\]](#page-16-0). Pandey et al. found that HDAC6 dependent compensatory autophagy was induced in Drosophila melanogaster in response to mutations affecting the proteasome, suggesting that damages to the autophagic pathway might predispose to neurodegenerative processes [[149,](#page-16-0) [150\]](#page-16-0). Similarly, Iwata et al. demonstrated the induction of the autophagosome formation in response to impaired UPS activity. They described an HDAC6 dependent retrograde transport on microtubules responsible for the recruitment of autophagy-related proteins and lysosomes to pericentriolar cytoplasmic inclusion bodies. This mechanism efficiently and selectively enhanced the autophagic degradation of aggregated huntingtin [\[151](#page-16-0)]. We previously dissected the regulation of the two proteolytic systems in SH-SY5Y cells overexpressing either the wild-type \widehat{ABPP} gene or the 717 valine-to-glycine $A\beta PP$ -mutated gene. The overexpression of the $A\beta PP$, besides increasing oxidative stress, correlated with a reorganization of the cellular proteolytic machineries with marked inhibition of proteasome activities, impairment in the autophagic flux and increased HDAC6 expression as an attempt to activate compensatory autophagy [[152](#page-16-0)]. Interestingly, we observed that the induction of pharmacological inhibition of one system promoted a compensatory reaction of the other, with a bidirectional effect [[153\]](#page-16-0). Furthermore, the wild-type or mutated \widehat{ABPP} sequence influenced proteasome or autophagy activities in response to treatment with specific inhibitors and, upon MG132 administration, significantly enhanced the induction in cathepsin B [[153\]](#page-16-0). Using the same AD model, we recently demonstrated that ghrelin, an orexigenic hormone involved in the onset and progression of neurodegenerative disorders, affects the crosstalk between UPS and autophagy successfully promoting the proteasome functionality in response to a compromised autophagy [[154](#page-16-0)]. The induction of proteasomal inhibition in embryonic rat cortical neurons activated macroautophagy and the lysosomal pathway with the resulting dissolution of ubiquitinated inclusions into small aggregates, without a direct influence on neuronal cell death [[155\]](#page-16-0).

Molecules mediators of the crosstalk

Numerous proteins mediators with crucial regulatory roles in the UPS-autophagy crosstalk in AD have been identified and classified based on their ability to bind or not the ubiquitin protein and the autophagy-related protein LC3. Proteins and their domains are summerized in Fig. 2 and their role and function are reviewed below.

p62

p62, also called SQSTM1, is a highly conserved cytosolic protein that functions as an adaptor molecule driving ubiquitinated proteins to the autophagic cascade [[156\]](#page-16-0). p62 was detected in inclusion bodies in many protein conformational diseases, including Lewy bodies-containing α synuclein in Parkinson's disease, neurofibrillary tangles of tau protein in AD and huntingtin aggregates in Huntington's disease [[157,](#page-16-0) [158](#page-16-0)]. p62 itself is then removed by autophagy and accumulates in the cell when this pathway is altered. p62 contains numerous interacting motifs through which it associates with several proteins to regulate their homeostasis, trafficking, aggregation and degradation [159]. The ability of p62 to act as shuttle for autophagic cargo depends on its specific interaction with Atg8/LC3/ GABARAP proteins. Specifically, p62 shows a short LIR (LC3-interacting region) sequence of 22-amino acids responsible for the interaction with LC3-II, the active form of LC3, an autophagosomal marker (Fig. 2) [[160\]](#page-16-0). As previously mentioned, p62 harbours in its C-terminal region an Ub-associated (UBA) domain through which the protein interacts non-covalently with ubiquitinated proteins [\[161](#page-16-0)]. In addition, at its N-terminus p62 has a Phox-BEM1 (PB1) domain, a protein–protein interaction domain that can assume an Ub-like folding and can directly bind to the proteasomal subunit S5a and other proteins containing the same domain, including p62. p62 supports tau polyubiquitination by the ligase TRAF6, shuttles polyubiquitinated tau to the proteasome and favours the autophagy-mediated clearance of aggregated tau [\[162](#page-16-0)]. In addition, Seibenhener et al. demonstrated that p62 favours tau degradation binding the proteasome through its N-terminal PB1 domain and interacting with polyubiquitinated tau through the UBA domain [[161\]](#page-16-0). p62 plays a key role in the regulation of aggregation and in the formation of inclusion bodies. A decline in p62 expression or a decrease in proteasome activity contributed to accumulation of insoluble/aggregated K63-polyubiquitinated tau [[161\]](#page-16-0). Studies on p62 knockout mice clearly demonstrated that the deficiency of the p62 protein leads to accumulation of hyperphosphorylated tau and neurofibrillary tangles accompanied by evident other symptoms of neurodegeneration [[163\]](#page-16-0). Other studies in tauopathies and synucleinopathies demonstrated that Ub-containing protein inclusions show positive staining for $p62$ [[157\]](#page-16-0). The same authors evidenced that $p62$ immunoreactivity appears early during neurofibrillary pathogenesis and is invariably and stably present in neurofibrillary tangles [[164\]](#page-16-0). In a p62-null mouse model, the

Fig. 2 Proteins involved in the mediation of the crosstalk between the UPS and autophagy in Alzheimer's disease. They are classified based on their ability to interact with Ub and LC3. BUZ, Ub-binding zincfinger domain; PB1, Phox and Bem1p domain; ZZ, Zinc-finger domain; TB, TRAF6 binding domain; LIR, LC3-interacting domain; KIR, Keap1-interacting region; UBA, Ub-associated domain; CC, coiled-coil domain; SKICH, SKIP– carboxyl homology domain; Gal-8, Galectin-8 binding domain; UBZ, Ub-binding zincfinger domain; NLS, nuclear localization signal; BAG, Bcl-2 associated athanogene 1 domain; BEACH, BEACH domain; WD40, WD40 repeats; FYVE, Fab1,YOTB/ZK632.12, Vac1, and EEA1 domain; WW, WW domain

lack of p62 inhibited the formation of Ub-positive protein aggregates in neurons with impaired autophagy, indicating that p62 plays an important role in inclusion body formation [\[165](#page-16-0)].

NBR1

NBR1 was originally cloned as a candidate gene for the ovarian cancer antigen CA125 [[166\]](#page-16-0) and then proposed as another autophagic receptor for ubiquitinated substrates [\[64](#page-13-0)]. NBR1 directly interacts with p62. The two proteins show a poorly conserved primary sequence with NBR1 more than twice as large as p62 but with similar interacting motifs [\[64](#page-13-0)]. In fact, NBR1 contains both a LIR domain through which it interacts with LC3-II and a C-terminal UBA domain interacting with Ub, with a preference toward the K63-linked polyUb chain (Fig. [2\)](#page-8-0). The interaction with p62 is mediated by the PB1 domain and its homodimerization occurs via the N-terminal two coiled-coil domains. NBR1 is an autophagic substrate, it is degraded in a LIRdependent manner and, as shown in p62-deficient cells, in the absence of p62 [[64\]](#page-13-0). Proteasome inhibition did not affect NBR1 levels, which in turn were dramatically increased upon blocking lysosomal acidification [\[64](#page-13-0)]. NBR1, together with p62, plays a role in the sequestration of misfolded and ubiquitinated proteins in p62 bodies and both proteins are necessary for the final autophagic-mediated degradation of such substrates [[167\]](#page-16-0). Lamark et al. described a model for the degradation of ubiquitinated cargo by selective autophagy where oligomers of NBR1 and polymeric forms of p62 act as adaptors or cargo receptors linking the ubiquitinated substrate to the nascent autophagosome [\[167](#page-16-0)].

OPTN

OPTN is a 577 amino acid protein encoded by the OPTN gene, whose mutations are associated with normal tension glaucoma and amyotrophic lateral sclerosis. It is a cytoplasmic protein ubiquitously expressed in the heart, brain, skeletal muscle, liver, and the eye [[168\]](#page-16-0). This protein contains several domains including an Ub-binding domain (UBD), that allows the binding to both K63 and linear chains, and a LC3-interacting region (LIR), through which it binds and brings polyubiquitinated cargoes to autophagosomes (Fig. [2\)](#page-8-0) [\[169](#page-16-0)]. OPTN contributes to a wide range of cellular functions such as vesicle trafficking, maintenance of the Golgi apparatus, NF-KB pathway, antibacterial and antiviral signalling, cell division control, and autophagy. As p62, OPTN can polymerize and become a substrate for autophagic degradation [[169\]](#page-16-0). Its role as an autophagy receptor can be both Ub-dependent and independent [[169,](#page-16-0) [170](#page-17-0)]. The processing of endogenous OPTN is mainly mediated by the UPS. Overexpression of wildtype or mutant E50 K OPTN in RGC-5 cells downregulated the level of proteasome β 5 subunit and enhanced LC3-II indicating that the UPS function was compromised whereas autophagy was induced [\[171](#page-17-0)]. Cho et al. demonstrated that OPTN favours the autophagic clearance of extracellular \overrightarrow{AB} by microglia mediating the interaction of the amyloid protein with LC3-II [[172\]](#page-17-0). OPTN immunoreactivity was widely detected not only in inclusions in amyotrophic lateral sclerosis but also in senile plaques and neurofibrillary tangles in AD and other neurodegenerations [\[173](#page-17-0)]. Interestingly, these aggregates show also positive staining for Ub and p62. The same authors suggested that the expression of OPTN could be upregulated in these pathological conditions [\[173](#page-17-0)].

NDP52

NDP52 is expressed in neurons, microglia and astrocytes [\[174](#page-17-0)]. NDP52 was principally characterized for its role in the autophagic removal of cytosolic bacteria. On this regard, several mechanisms of action were described and one of them is based on the property of the protein to detect galectin-8-positive bacteria. Galectin-8 controls endosomal and lysosomal integrity and individuate bacteria presence by binding host glycans exposed on damaged Salmonellacontaining vacuoles. Upon recognition, galectin 8 recruits NDP52 and activates antibacterial autophagy [\[175](#page-17-0)]. In addition, other studies showed how human cells utilize the Ub system and NDP52 to activate autophagy against bacteria [\[176](#page-17-0), [177](#page-17-0)]. The Ub-binding preference of NDP52 is not established. In AD, NDP52 co-localizes with both phosphorylated tau and intracellular $\mathbf{A}\beta$ indicating a role in the autophagic clearance of both proteins [\[174](#page-17-0)]. On this regard, NDP52 is strongly regulated by Nrf2 and plays a role in the amelioration of AD symptoms through the clearance of phosphorylated tau [[178\]](#page-17-0).

HDAC6

HDAC6 is a multidomain microtubule-associated deacetylase not only dedicated to genomic functions, but also involved in cytoplasmic pathways. HDAC6 has a number of cytoplasmic substrates including α -tubulin, cortactin, Hsp90 and peroxiredoxin. Differently from p62 and NBR1, HDAC6 possesses no LIR. It binds to Ub via a highly conserved Zn-finger Ub-binding domain and it shows preference for K63-linked Ub chains. The binding to polyubiquitinated proteins and dynein molecular motors provides HDAC6 with the ability to act as a physical link between ubiquitinated cargo and transport machinery. In addition, HDAC6 is involved in the formation and clearance of aggresomes, structures required for the autophagic degradation of abnormal aggregated proteins [\[151](#page-16-0), [179](#page-17-0)]. Cells deficient in HDAC6 fail to clear misfolded protein aggregates from the cytoplasm, cannot form aggresomes properly, and are hypersensitive to the accumulation of misfolded proteins [\[179](#page-17-0)]. HDAC6 also facilitates the fusion of autophagosomes with lysosomes leading to autophagic clearance of substrates [[180\]](#page-17-0). Pharmacological inhibition of HDAC6 in oligodendrocytes alters the assembly of protein aggregates formed in response to proteasomal inhibition and leads to the accumulation of autophagosomal vacuoles and increase in LC3-II immunoreactivity as a consequent of impairment of the autophagic flux [\[181](#page-17-0)]. Yan et al. suggested a crucial role for p62 in regulating HDAC6 activity [\[182](#page-17-0)]. They identified a specific binding domain of p62 which interacts with a catalytic domain of HDAC6 resulting in the modulation of the deacetylase activity. Lack of p62 favours HDAC6 hyper-activation with elevated de-acetylation of the HDAC6 specific substrates α -tubulin and cortactin [\[182](#page-17-0)]. HDAC6 activity can be also modulated by tau protein that, upon binding, decreases the functionality of the deacetylase with a consequent increase in tubulin acetylation, as observed in AD [[183\]](#page-17-0). An excess of tau protein, as a HDAC6 inhibitor, prevents the induction of autophagy upon inhibition of proteasome function [\[183](#page-17-0)]. Recent evidences suggested a role for HDCA6 in the mediation of the crosstalk between the UPS and autophagy. Using Drosophila models of neurodegenerative diseases, Pandey et al. revealed that HDAC6 was able to suppress degeneration associated with proteasome mutations and impairment through the activation of autophagy as a compensatory degradation system [[149,](#page-16-0) [150](#page-16-0)]. The authors suggested that increasing HDAC6 levels could be a strategy to enhance autophagy in neurodegeneration thus favouring the elimination of toxic species [\[149](#page-16-0)]. In addition, in an AD cellular model with evident impairment in proteasome functionality we described an increased expression of HDAC6 as an attempt to activate compensatory autophagy [\[152](#page-16-0)].

p97 (VCP)

 $p97/VCP$ is a AAA+ protein with an ATPase activity involved in various cellular processes such as membrane fusion, apoptosis, cell cycle regulation, DNA damage repair, regulation of transcription, metabolic modulation, and protein degradation [[184–187\]](#page-17-0). Phosphorylation and acetylation at specific amino-acidic residues and interaction with a wide number of cofactors regulates the activity of the enzyme [\[184](#page-17-0), [188,](#page-17-0) [189\]](#page-17-0). p97 acts as a Ub-selective chaperone using the energy generated from the hydrolysis of ATP to induce conformational changes of target proteins. These ubiquitinated substrates are separated from

their protein complexes and then released for proteasomal degradation or recycling [\[186\]](#page-17-0). p97/VCP has also the ability to interact with HDAC6 and to modulate its functionality, originating a system able to determine the fate of ubiquitinated cellular proteins [[190\]](#page-17-0). Interestingly, in condition of proteasomal impairment, the two proteins encourage the accumulation of misfolded proteins in aggresomes. p97 contributes also to the autophagosome formation. Mutations in p97 were associated with the IBMPFD (inclusion body myopathy with early-onset Paget disease and frontotemporal dementia) disease. Cells expressing the mutant form of p97 that correlates with this pathology display increased levels of the autophagosome markers p62 and LC3 II [[191\]](#page-17-0). In addition, autophagic vesicles that accumulate in response to p97 mutations are extremely rich in Ub suggesting that p97 may be selectively required for autophagic degradation of ubiquitinated substrates [[192\]](#page-17-0). Halawani et al. demonstrated that p97 is a substrate of Caspase-6 and that the cleavage generates a fragment able to impair UPS-mediated protein degradation in AD [[193\]](#page-17-0). A role for p97 was described also in selective autophagy, specifically in the removal of mitochondria (mitophagy), peroxisomes (pexophagy) and 60S ribosomal subunit (ribophagy) [[189\]](#page-17-0).

Alfy

Alfy is another molecular link between autophagy and the proteasome system, but differently from the previously described molecules, it does not bind Ub. Alfy is a large protein member of the FYVE-domain family of proteins and is implicated in membrane trafficking. The FYVE domain is a zinc-finger domain shown to interact specifically with PI3P, which plays an important role in endosomal and autophagosomal membrane traffic. Alfy co-localizes with autophagic but not endocytic markers [\[194](#page-17-0)]. Simonsen et al. proposed that Alfy might recognize protein aggregates and then act as a scaffold for the autophagic machinery [\[195](#page-17-0)]. In normal condition, Alfy localizes in the nucleus but upon induction of autophagy, accumulation of Alfy-positive structures was detected in the cytoplasm, with interactions with autophagic markers. In addition, the inhibition of proteasome-mediated degradation caused a strong increase in the number of cytoplasmic Alfy-positive structures [[195\]](#page-17-0). Alfy and p62 were shown to interact forming protein bodies that contain misfolded and ubiquitinated substrates then degraded by autophagy [\[196](#page-17-0)]. The importance of Alfy in removing toxic aggregates was confirmed by a study on a fruit flies mutant for the Alfy homologue, blue cheese (Bchs), which showed a reduced life span due to accumulation of ubiquitinated protein aggregates in the brain [\[197](#page-17-0)].

BAG-1 and BAG-3

Other co-chaperones known to be involved in the regulation of the interplay between the UPS and autophagy are members of the BAG protein family. BAG1 and BAG3 regulate the trafficking of polyubiquitinated substrates: BAG1 directs them to the proteasomal system, whereas BAG3, facilitates the degradation of substrates via the autophagic process interaction with p62 [\[198](#page-17-0)]. Although BAG3 is poorly expressed in young cells, an increase in the BAG3/BAG1 ratio was observed in ageing, indicating that autophagy is predominant in aged cells, because of accumulated protein aggregates that cannot be degraded by the proteasome [[198\]](#page-17-0). These proteins can bind through their BAG domain to chaperones of the Hsc/Hsp70 family, thus modulating chaperone function [[198](#page-17-0)]. Both BAG1 and BAG3 are involved in tau degradation in AD. Elliott et al. demonstrated the Hsc70-dependent interaction between BAG-1 and tau protein. They found that BAG-1 favours accumulation of tau protein by inhibiting its proteasomal degradation and that it co-localizes with aggregated tau in an AD mouse model suggesting an involvement of BAG-1 in the AD pathogenesis [\[199](#page-17-0)]. The same authors evidenced a significant increase of the BAG-1M isoform in the hippocampus of AD patients. In addition, BAG-1 was also found to co-localize and physically associate with intracellular tau and amyloid [[200\]](#page-18-0). BAG3 regulates the clearance of tau in neurons through selective autophagy. In fact, the activation of autophagy consequent to proteasome inhibition resulted in upregulation of BAG3 and in a significant decrease in tau and phospho-tau levels [\[201](#page-18-0)].

Conclusion

The proteasome and autophagy are two proteolytic systems with a fundamental role in protein quality control and in the maintenance of cellular homeostasis. Alterations that reduce their functionality favour accumulation of toxic protein aggregates that alter neuronal trafficking and trigger neurons death as reported in numerous protein conformational disorders, including AD. In AD, amyloid peptides and tau protein tend to aggregate and form oligomers with a high β -sheet content that favours the formation of extracellular plaques and neurofibrillary tangles. These inclusions can impair the proteasome as well as autophagy interacting with various strategic components of the two proteolytic pathways. In this review, we described the sophisticated crosstalk between UPS and autophagy in the removal of such deleterious structures. The fine collaboration between these two pathways, with the inhibition of one system favouring the activation of the other, is essential to protein quality control in neurons. This interplay is rigorously coordinated by numerous shuttle factors and chaperones that are used for cargo selection and delivery to one system or the other. Specific protein domains allow these components to interact with Ub and LC3 thus determining the fate of the substrate. Besides their role in the control of protein degradation, they are also extremely useful to the cell to sequester toxic species in inclusion bodies, especially if proteolytic pathways are for some reasons defective. Thanks to this ability, which prevents harmful molecules from interfering and altering other fundamental intracellular processes, these shuttle molecules gain a role also in cell survival and in the delay of the progression of the neurodegeneration [\[179](#page-17-0), [202](#page-18-0)]. The thorough control and manipulation of all the actors playing in cellular proteolysis could be therefore a promising strategy in the view of developing pharmacological interventions for therapeutic goals in AD and other neuropathies characterized by detrimental inclusions. Undoubtedly, additional data are needed to gain a better understanding of the connections between UPS and autophagy.

Acknowledgments This work was in part funded by the University of Camerino.

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