



## On some properties of partial quotients of the continued fraction expansion of $\sqrt{d}$ with even period

FUMINORI KAWAMOTO, YASUHIRO KISHI, AND KOSHI TOMITA

**Abstract.** Let  $d$  be a non-square positive integer such that the period of the continued fraction expansion of  $\sqrt{d}$  is even. We give some relations between some properties of partial quotients of the continued fraction expansion of  $\sqrt{d}$ , which emerge from numerical experiments.

**Mathematics Subject Classification.** Primary 11A55; Secondary 11R11, 11R29, 11R27.

**Keywords.** Continued fractions, Real quadratic fields, Class numbers.

**1. Introduction and main theorem.** Throughout this paper, let  $d$  be a non-square positive integer so that the minimal period  $\ell := \ell(d) = 2L$  of the continued fraction expansion

$$\sqrt{d} = [a_0, a_1, \dots, a_n, \dots] = [a_0, \overline{a_1, \dots, a_{L-1}, a_L, a_{L-1}, \dots, a_1, 2a_0}]$$

is even and greater than 2. We call the sequence  $a_1, \dots, a_{L-1}, a_L$  the *primary symmetric part* of the continued fraction expansion of  $\sqrt{d}$ . It is known that the partial quotients  $a_n$  ( $1 \leq n \leq L$ ) satisfy

$$a_n < \frac{2}{3}a_0 \quad (1 \leq n \leq L-1) \tag{1.1}$$

and

$$a_L \leq \frac{2}{3}a_0 \quad \text{or} \quad a_L \in \{a_0, a_0 - 1\}$$

(see, for example, Perron [9, Satz 3.14]). Define  $\omega_0 := \sqrt{d}$  and  $\omega_{n+1} := (\omega_n - [\omega_n])^{-1}$  for  $n \geq 0$ ; then  $a_n = [\omega_n]$ , where  $[ \ ]$  denotes the greatest integer function. Moreover, we can uniquely write  $\omega_n = (P_n + \sqrt{d})/Q_n$  with

---

The second author was partially supported by Grant-in-Aid for Scientific Research (C), No. 23540019, Japan Society for the Promotion of Science.

positive integers  $P_n, Q_n$  for each  $n \geq 1$ . Put  $\Delta := 4d$ ; then  $\Delta$  is a quadratic discriminant. Define

$$\mathcal{Q}_\Delta := \{Q_1, \dots, Q_L\}. \tag{1.2}$$

As we will state in Section 2,  $\mathcal{Q}_\Delta$  is the set which appears in a criterion for a real quadratic field  $\mathbb{Q}(\sqrt{\Delta})$  to have class number one (cf. Louboutin [7]). Furthermore, from the partial quotients  $a_n$  ( $n \geq 0$ ), we define non-negative integers  $p_n, q_n, r_n$  by

$$\begin{cases} p_0 = 1, & p_1 = a_0, & p_n = a_{n-1}p_{n-1} + p_{n-2} \quad (n \geq 2), \\ q_0 = 0, & q_1 = 1, & q_n = a_{n-1}q_{n-1} + q_{n-2} \quad (n \geq 2), \\ r_0 = 1, & r_1 = 0, & r_n = a_{n-1}r_{n-1} + r_{n-2} \quad (n \geq 2). \end{cases}$$

There are some relations between them and  $Q_n$  (cf. Lemma 2.1).

In the case where both of the conditions (c) and (d) below hold, it must hold that  $d \equiv 2, 3 \pmod{4}$  ([3, Theorem 2 (2)]). Thus, for any even positive integer  $\ell$ , we consider the positive integer  $d'_\ell$  which is defined by

$$d'_\ell := \min\{d > 0 \mid \ell(d) = \ell, d \equiv 2, 3 \pmod{4}\}.$$

We have stated in our previous paper [3] that for any even integer  $\ell$  in the range  $8 \leq \ell \leq 73478$ , the following four conditions hold for  $d = d'_\ell$  without exception:

- (a)  $d$  is square-free.
- (b) The class number of  $\mathbb{Q}(\sqrt{d})$  is equal to 1.
- (c)  $d$  is a positive integer with period  $\ell$  of minimal type for  $\sqrt{d}$ .
- (d) The primary symmetric part of the continued fraction expansion of  $\sqrt{d}$  is of ELE type.

Here, let us state the definitions of “minimal type” and “ELE type” in our situation.

For brevity, we put

$$A := q_\ell, \quad B := q_{\ell-1}, \quad C := r_{\ell-1},$$

and define

$$g(x) = Ax - (-1)^\ell BC, \quad h(x) = Bx - (-1)^\ell C^2, \quad f(x) = g(x)^2 + 4h(x).$$

Moreover, let  $s_0$  be the least integer for which  $g(s_0) > 0$ . Then  $d$  can be written uniquely as  $d = f(s)/4$  with some integer  $s \geq s_0$  ([4, Theorem 3.1]).

**Definition 1.1** ([4, Definition 3.1]). Under the above setting, if  $s = s_0$ , that is,  $d = f(s_0)/4$  holds, then we say that  $d$  is a *positive integer with period  $\ell$  of minimal type for  $\sqrt{d}$* .

By using  $q_{L-1}, q_L, q_{L+1}$  and  $r_{L-1}, r_L, r_{L+1}$ , define integers  $u_1, u_2, w, v_1, v_2, z, \delta$  by

$$\begin{aligned} (r_L^2 - (-1)^L)(r_{L+1} + r_{L-1}) &= q_L v_1 + u_1 \quad (0 \leq u_1 < q_L), \\ (-1)^L(r_L - q_{L-1})r_L &= q_L z + w \quad (0 \leq w < q_L), \end{aligned}$$

$$(-1)^L(q_L - r_{L+1}) + z = q_L v_2 + u_2 \quad (0 \leq u_2 < q_L),$$

$$\delta = \begin{cases} 0 & \text{if } u_1 \leq u_2, \\ 1 & \text{if } u_1 > u_2, \end{cases}$$

and put

$$\gamma := q_L(\delta q_L + u_2 - u_1) + w,$$

$$\mu := \frac{1}{q_L} \{ \gamma(q_{L+1} + q_{L-1}) + 2(q_{L-1} - r_L) \}.$$

**Definition 1.2** ([3, Definition 1.1]). Under the above setting, if either “ $a_L \geq 2$  and  $\mu = a_L$ ” or “ $a_L \geq 4$  and  $\mu = a_L + 2$ ” holds, we say that the primary symmetric part  $a_1, a_2, \dots, a_L$  of the continued fraction expansion of  $\sqrt{d}$  is of *ELE type*.

In [3, Theorem 1], we proved theoretically that for a non-square positive integer  $d$ , if  $\ell(d)$  is even and greater than 4, we have

$$(c) \text{ and } (d) \iff Q_L = 2 \iff a_L \in \{a_0, a_0 - 1\} \tag{1.3}$$

except for  $d = 19 (= d'_6)$ .

**Remark 1.1.** For the exceptional case  $d = d'_6 = 19$ , we have  $Q_L = 2, a_L = a_0 - 1$ , and the conditions (a), (b), and (c) hold, but not (d) (cf. [3, Remark 1.1]).

From more numerical experiments, the authors have verified that all of the above four conditions (a)–(d) hold for  $d = d'_\ell$  for any even  $\ell$  in the range  $8 \leq \ell \leq 83552$  without exception, and all of the following four conditions (e)–(h) hold for  $d = d'_\ell$  for any even  $\ell = 2L$  in the range  $6 \leq \ell \leq 83552$  except for  $\ell = 14$ :

- (e)  $d \equiv 1 \pmod{3}$ .
- (f)  $\max\{a_1, \dots, a_{L-1}\}$  is equal to the largest integer less than  $2a_0/3$ .
- (g) There is only one index  $k$  ( $1 \leq k \leq L - 1$ ) such that  $a_k = \max\{a_1, \dots, a_{L-1}\}$ .
- (h)  $3 \in \{Q_1, \dots, Q_{L-1}\} (\subset \mathcal{Q}_{4d} = \mathcal{Q}_\Delta)$ .

**Remark 1.2.** To create the database necessary for our numerical experiment, we needed to calculate about 10 years using PARI/GP on a workstation equipped with Intel Xeon (R) X5550 dual processor. We think that if multiple devices equipped with recent processors are used, the database could be created in shorter time. We have performed this verification using the created database and Math::Pari the library of Perl. If the database is prepared on a solid-state drive, the verification time takes about 10 hours or less.

The aim of this paper is to prove the following theorem which gives some relations between the above conditions:

**Theorem 1.** *Under the above setting, assume that the minimal period  $\ell = \ell(d)$  is greater than 4.*

- (1) *The conditions (f) and (h) are equivalent.*

- (2) Suppose that both of the conditions (c) and (d) hold. If either the conditions (f) or (h) holds, then (g) holds.
- (3) Assume that  $d \equiv 2, 3 \pmod{4}$  and both of the conditions (a) and (b) hold. Then (c) and (d) hold except for the case  $d = 19$ . Moreover, the conditions (e) and (h) are equivalent.

**Remark 1.3.** For the exceptional case  $\ell = 14$ , we have  $d'_{14} = 134 \equiv 2 \pmod{3}$ . Put  $\Delta := 4 \cdot 134$ . Then  $L = 7$ ,  $2a_0/3 = 7 + (1/3)$ ,  $\langle a_1, \dots, a_{L-1} \rangle = \langle 1, 1, 2, 1, 3, 1 \rangle$ ,  $Q_\Delta = \{13, 10, 7, 14, 5, 17, 2\}$ , and none of the conditions (e), (f), and (h) holds. Moreover, we can verify that the conditions (c), (d), and (g) hold, so this is a counter-example to the converse of Theorem 1 (2).

**2. Preliminaries.** First we review a part of the works of Perron [9] and Halter-Koch [2].

**Lemma 2.1.** Under the above setting, the following holds:

- (1)  $P_n + P_{n+1} = a_n Q_n$  ( $n \geq 0$ ) ([9, §20, (10), p.69], [2, Theorem 2.2.6.1.b]).
- (2)  $Q_n Q_{n+1} = d - P_{n+1}^2$  ( $n \geq 0$ ) ([9, §20, (11), p.69], [2, Theorem 2.2.6.1.c]).
- (3) Suppose that  $1 \leq n \leq \ell - 1$ . Then  $P_{n+1} = P_n$  if and only if  $\ell = 2n$  ([9, §25, Satz 3.11, p.82], [2, Theorem 2.3.5.6]).
- (4)  $Q_n \geq 3$  ( $1 \leq n \leq L - 1$ ) ([9, §25, Satz 3.13, p.84]).
- (5)  $a_n Q_n \leq 2a_0$  ( $1 \leq n \leq \ell - 1$ ) ([9, §25, (8), p.85], [4, Lemma 2.2]).
- (6)  $(-1)^n Q_n = p_n^2 - dq_n^2$  ( $n \geq 0$ ) ([9, §27, (2), p.92], [2, Theorem 2.3.5.1.e]).
- (7)  $q_\ell = q_L(q_{L+1} + q_{L-1})$  ([5, (3.2)], [2, Theorem 2.3.5.6.b]).
- (8)  $p_L = Q_L(q_{L+1} + q_{L-1})/2$  ([5, (3.5)], [2, Theorem 2.3.5.6.a]).

Now, let us prove the following proposition.

**Proposition 2.1.** Under the above setting, let  $c$  be the largest integer less than  $2a_0/3$  and assume  $d \neq 14$ . For  $1 \leq k \leq L - 1$ ,  $Q_k = 3$  if and only if  $c = a_k = \max\{a_1, \dots, a_{L-1}\}$ .

*Proof.* We note that

$$a_0 < \sqrt{d} < a_0 + 1; \quad a_k < \omega_k < a_k + 1.$$

Assume that  $Q_k = 3$  for some  $k$ ,  $1 \leq k \leq L - 1$ . Since  $\omega_k = (P_k + \sqrt{d})/Q_k$  is reduced ([2, Theorems 2.3.5, 2.2.2.2]) and  $Q_k = 3$ , we have

$$-1 < \frac{P_k - \sqrt{d}}{3}$$

and  $\sqrt{d} - 3 < P_k$ . Since  $a_0 < \sqrt{d}$ , we have  $a_0 - 3 < P_k$  and

$$a_0 - 2 \leq P_k. \tag{2.1}$$

Hence,

$$2a_0 - 2 < a_0 - 2 + \sqrt{d} \leq P_k + \sqrt{d} = 3\omega_k < 3(a_k + 1)$$

and  $2a_0 - 1 \leq 3(a_k + 1)$ . Hence,

$$\frac{2}{3}a_0 \leq a_k + \frac{4}{3}. \tag{2.2}$$

On the other hand, inequality (1.1) leads to

$$a_k < \frac{2}{3}a_0. \tag{2.3}$$

By (2.2) and (2.3), therefore, we obtain

$$a_k < \frac{2}{3}a_0 \leq a_k + \frac{4}{3}$$

and

$$2a_0 = 3a_k + \delta \tag{2.4}$$

for some  $\delta \in \{1, 2, 3, 4\}$ . If  $\delta \neq 4$ , then  $a_k < 2a_0/3 \leq a_k + 1$  and  $c = a_k$ . Then by (1.1), we get

$$a_k = \max\{a_1, \dots, a_{L-1}\}.$$

Now, we prove  $\delta \neq 4$ .

In the case  $2 \nmid a_k$ , we easily see  $\delta \neq 4$  by taking (2.4) modulo 2.

Next, let us create a contradiction by assuming that  $2 \mid a_k$  and  $\delta = 4$ . Putting  $a_k = 2t$  with some  $t \in \mathbb{N}$ , we have

$$a_0 = 3t + 2$$

by (2.4). Then by  $a_0 < \sqrt{d}$ ,  $\omega_k < a_k + 1$ , and  $Q_k = 3$ , we have

$$\frac{P_k + 3t + 2}{3} = \frac{P_k + a_0}{3} < \frac{P_k + \sqrt{d}}{3} = \omega_k < a_k + 1 = 2t + 1$$

and  $P_k < 3t + 1$ . Hence,

$$P_k \leq 3t. \tag{2.5}$$

On the other hand, it follows from (2.1) that

$$3t \leq P_k. \tag{2.6}$$

By (2.5) and (2.6), we get  $P_k = 3t$ . Thus, we see from Lemma 2.1 (1) that

$$P_{k+1} = a_k Q_k - P_k = 2t \cdot 3 - 3t = 3t = P_k.$$

Then by Lemma 2.1 (3), we get  $k = L$ , which is a contradiction.

Conversely, we assume  $c = a_k$ , where  $a_k := \max\{a_1, \dots, a_{L-1}\}$ . Then the inequalities  $a_k < 2a_0/3 \leq a_k + 1$  hold. Hence, by Lemma 2.1 (5), we have

$$a_k + 1 \geq \frac{2}{3}a_0 \geq \frac{a_k Q_k}{3}. \tag{2.7}$$

Now we suppose that  $Q_k \geq 4$ . Then (2.7) implies that  $3 \geq a_k$  and

$$[\sqrt{d}] = a_0 \leq \frac{3}{2}(a_k + 1) \leq \frac{3}{2}(3 + 1) = 6.$$

Thus we obtain  $d < 7^2$ . Therefore, if  $d \geq 7^2 = 49$ , then  $Q_k \leq 3$ , and  $Q_k = 3$  by Lemma 2.1 (4). Finally, there are only 16 positive integers  $d < 49$  such that the minimal period  $\ell = \ell(d)$  is even and greater than 2. In each case,  $\ell$ ,  $a_0$ ,  $c$ ,  $\langle a_1, \dots, a_{L-1} \rangle$ , and  $Q_n$  ( $1 \leq n \leq L - 1$ ) are as follows:

$d$	$\ell$	$a_0$	$c$	$\langle a_1, \dots, a_{L-1} \rangle$	$Q_1$	$Q_2$	$Q_3$	$Q_4$	$Q_5$
7	4	2	1	$\langle 1 \rangle$	3				
14	4	3	1	$\langle 1 \rangle$	5				
19	6	4	2	$\langle 2, 1 \rangle$	3	5			
21	6	4	2	$\langle 1, 1 \rangle$	5	4			
22	6	4	2	$\langle 1, 2 \rangle$	6	3			
23	4	4	2	$\langle 1 \rangle$	7				
28	4	5	3	$\langle 3 \rangle$	3				
31	8	5	3	$\langle 1, 1, 3 \rangle$	6	5	3		
32	4	5	3	$\langle 1 \rangle$	7				
33	4	5	3	$\langle 1 \rangle$	8				
34	4	5	3	$\langle 1 \rangle$	9				
43	10	6	3	$\langle 1, 1, 3, 1 \rangle$	7	6	3	9	
44	8	6	3	$\langle 1, 1, 1 \rangle$	8	5	7		
45	6	6	3	$\langle 1, 2 \rangle$	9	4			
46	12	6	3	$\langle 1, 3, 1, 1, 2 \rangle$	10	3	7	6	5
47	4	6	3	$\langle 1 \rangle$	11				

From this, we see that  $c = a_k$  if and only if  $d = 7, 14, 19, 22, 28, 31, 43, 46$ , where  $k = 1, 1, 1, 2, 1, 3, 3, 2$ , respectively. We easily verify that  $Q_k = 3$  except for  $d = 14$ . This completes the proof.  $\square$

Next we review the fundamental properties of orders of real quadratic fields (cf. [2]). Let  $\Delta_K$  be the discriminant of the real quadratic field  $K := \mathbb{Q}(\sqrt{\Delta})$ . Then there is a unique positive integer  $f_\Delta$  such that  $\Delta = \Delta_K f_\Delta^2$  ([2, Theorem 1.1.6.2]). We call  $f_\Delta$  the *conductor* of  $\Delta$ . Moreover, we define the *quadratic order*  $\mathcal{O}_\Delta$  with discriminant  $\Delta$  by

$$\mathcal{O}_\Delta := \mathbb{Z} + \mathbb{Z} \frac{\sqrt{\Delta}}{2} = \mathbb{Z} + \mathbb{Z}\sqrt{d}.$$

Here we note that  $\Delta = 4d$  in our situation.

For the generators of the unit group  $\mathcal{O}_\Delta^\times$ , the following holds:

**Proposition 2.2** ([2, Theorems 2.2.9.2, 2.3.5.4, 5.2.1.2]). *Let  $\Delta, \omega_n, p_n, q_n$  ( $n \geq 0$ ) be as above, and put*

$$\varepsilon_\Delta := \prod_{n=1}^{\ell} \omega_n (> 1).$$

*Then we have  $\mathcal{O}_\Delta^\times = \langle -1, \varepsilon_\Delta \rangle$  and  $\varepsilon_\Delta^k = p_{k\ell} + q_{k\ell}\sqrt{d}$  for any  $k \geq 0$ .*

For a non-zero ideal  $\mathfrak{a}$  of  $\mathcal{O}_\Delta$ , we denote the absolute norm of  $\mathfrak{a}$  by

$$N_\Delta(\mathfrak{a}) := (\mathcal{O}_\Delta : \mathfrak{a}).$$

Regarding the ideal decomposition, let us introduce the following three propositions.

**Proposition 2.3** ([2, Theorem 5.8.1]). *Let  $\mathfrak{a}$  be a non-zero ideal of  $\mathcal{O}_\Delta$  with  $(N_\Delta(\mathfrak{a}), f_\Delta) = 1$ . Then  $\mathfrak{a}$  can be written as a product of prime ideals of  $\mathcal{O}_\Delta$  in a unique way.*

**Proposition 2.4** ([2, Theorem 5.8.8]). *For a quadratic discriminant  $\Delta > 0$  and a prime  $p$ , define the Kronecker symbol  $\chi_\Delta$  using the Legendre symbol by*

$$\chi_\Delta(p) := \begin{cases} \left(\frac{\Delta}{p}\right) & \text{if } p \nmid \Delta \text{ and } p \neq 2, \\ (-1)^{(\Delta^2-1)/8} & \text{if } p \nmid \Delta \text{ and } p = 2, \\ 0 & \text{if } p \mid \Delta. \end{cases}$$

- (1) *If  $\chi_\Delta(p) = 1$ , then there are only two prime ideals  $\mathfrak{p}$  and  $\mathfrak{p}'$  of  $\mathcal{O}_\Delta$  containing  $p$ , which satisfy  $p\mathcal{O}_\Delta = \mathfrak{p}\mathfrak{p}'$ ,  $\mathfrak{p} \neq \mathfrak{p}'$ , and  $N_\Delta(\mathfrak{p}) = N_\Delta(\mathfrak{p}') = p$ .*
- (2) *If  $\chi_\Delta(p) = -1$ , then  $p\mathcal{O}_\Delta$  is the only prime ideal of  $\mathcal{O}_\Delta$  containing  $p$ .*
- (3) *If  $\chi_\Delta(p) = 0$ , then there is a unique prime ideal  $\mathfrak{p}$  of  $\mathcal{O}_\Delta$  containing  $p$ . Moreover, if  $p \nmid f_\Delta$ , then we have  $p\mathcal{O}_\Delta = \mathfrak{p}^2$  and  $N_\Delta(\mathfrak{p}) = p$ .*

**Remark 2.1.** For any prime  $p$ , the value of  $\chi_\Delta(p)$  coincides with the one of the quadratic symbol  $Q_\Delta(p)$ , as in [2, Theorem 5.8.8].

**Proposition 2.5** ([2, Theorem 2.3.5.3]). *Let  $p_n, q_n (n \geq 0)$  be as above. Then we have*

$$p_{n+k\ell} - q_{n+k\ell}\sqrt{d} = (p_n - q_n\sqrt{d})(p_\ell - q_\ell\sqrt{d})^k$$

for any  $n \geq 1, k \geq 0$ .

Now, we can prove the following proposition.

**Proposition 2.6** *Let the notation be as above. For  $1 \leq n \leq L - 1$ , assume that  $Q_n$  is a prime greater than  $Q_L$ . Then we have  $Q_{n_1} \neq Q_n$  for any  $n_1 \neq n, 1 \leq n_1 \leq L - 1$ .*

*Proof.* For brevity, we put  $p := Q_n$ . By Lemma 2.1 (4), we note that  $p \geq 3$ . Suppose that  $p \mid f_\Delta$ . Then we have  $p^2 \mid \Delta_K f_\Delta^2 = \Delta = 4d$ , and hence  $p^2 \mid d$ . By Lemma 2.1 (6), we obtain the congruence

$$(-1)^n p = (-1)^n Q_n = p_n^2 - dq_n^2 \equiv p_n^2 \pmod{p^2},$$

which is impossible. Thus we get  $p \nmid f_\Delta$ . Since  $N_\Delta(p\mathcal{O}_\Delta) = p^2$  is coprime to  $f_\Delta$ , it follows from Proposition 2.3 that  $p\mathcal{O}_\Delta$  can be written as a product of prime ideals of  $\mathcal{O}_\Delta$  in a unique way.

Let  $n_1 \neq n, 1 \leq n_1 \leq L - 1$ . Without loss of generality, we can assume that  $n_1 < n$ . Now we will create a contradiction by assuming that  $Q_{n_1} = Q_n$ .

- (I) The case where  $p \nmid \Delta = 4d$ . Noting that  $Q_n = p \neq 2$ , we have  $d \equiv P_{n+1}^2 \pmod{p}$  by Lemma 2.1 (2) and

$$\chi_\Delta(p) = \left(\frac{4d}{p}\right) = \left(\frac{d}{p}\right) = 1.$$

Hence by Proposition 2.4, we have the decomposition

$$p\mathcal{O}_\Delta = \mathfrak{p}\mathfrak{p}', \mathfrak{p} \neq \mathfrak{p}', N_\Delta(\mathfrak{p}) = N_\Delta(\mathfrak{p}') = p.$$

On the one hand, by putting  $\alpha := p_n + q_n\sqrt{d}$  and  $\alpha' := p_n - q_n\sqrt{d}$ , it follows from Lemma 2.1 (6) that  $(-1)^n p = \alpha\alpha'$ , and so  $p\mathcal{O}_\Delta = (\alpha\mathcal{O}_\Delta)(\alpha'\mathcal{O}_\Delta)$ . Now we let  $\mathfrak{p} = \alpha\mathcal{O}_\Delta$ . Then  $\mathfrak{p}' = \alpha'\mathcal{O}_\Delta$ . On the other hand, by putting  $\beta := p_{n_1} + q_{n_1}\sqrt{d}$  and  $\beta' := p_{n_1} - q_{n_1}\sqrt{d}$ , we get  $(-1)^{n_1} p = (-1)^{n_1} Q_{n_1} = \beta\beta'$  similarly. Then we obtain  $p\mathcal{O}_\Delta = (\beta\mathcal{O}_\Delta)(\beta'\mathcal{O}_\Delta)$ . By the uniqueness of the decomposition into prime ideals, we have  $\beta\mathcal{O}_\Delta = \mathfrak{p}$  ( $= \alpha\mathcal{O}_\Delta$ ) or  $\beta'\mathcal{O}_\Delta = \mathfrak{p}$ . Thus, there is  $\eta \in \mathcal{O}_\Delta^\times$  such that

$$p_{n_1} \pm q_{n_1}\sqrt{d} = (p_n + q_n\sqrt{d})\eta.$$

By Proposition 2.2, we can express  $\eta = \pm\varepsilon_\Delta^k$  for some integer  $k$ .

(I-A) Assume that  $k \geq 0$ . Then by Propositions 2.2 and 2.5, we have

$$p_{n_1} \pm q_{n_1}\sqrt{d} = \pm(p_n + q_n\sqrt{d})(p_\ell + q_\ell\sqrt{d})^k = \pm(p_{n+k\ell} + q_{n+k\ell}\sqrt{d}),$$

and hence,  $p_{n_1} = \pm p_{n+k\ell}$ . Since  $p_{n_1} > 0$  and  $p_{n+k\ell} > 0$ , it must hold that  $p_{n_1} = p_{n+k\ell}$ . Since the sequence  $\{p_n\}_{n \geq 1}$  is strictly monotonically increasing, we have  $n_1 = n + k\ell$ . Then by  $n_1 \leq L - 1 < 2L = \ell$ , we get  $k = 0$ , and hence,  $n_1 = n$ . This is a contradiction.

(I-B) Next, we consider the case  $k < 0$ . Then by (I-A), we have

$$(p_{n_1} \pm q_{n_1}\sqrt{d})\varepsilon_\Delta^{-k} = \pm(p_n + q_n\sqrt{d}), \quad -k > 0.$$

Assume that the sign on the left hand side is  $+$ . By a similar argument as in (I-A), we have

$$p_{n_1+(-k)\ell} + q_{n_1+(-k)\ell}\sqrt{d} = \pm(p_n + q_n\sqrt{d}),$$

and hence,  $p_{n_1+(-k)\ell} = \pm p_n$ . Thus we get  $p_{n_1+(-k)\ell} = p_n$ . Since the sequence  $\{p_n\}_{n \geq 1}$  is strictly monotonically increasing, we obtain  $n = n_1 + (-k)\ell \geq n_1 + \ell > \ell$ , which is a contradiction. Therefore, the sign on the left hand side must be  $-$  and we have

$$(p_{n_1} - q_{n_1}\sqrt{d})\varepsilon_\Delta^{-k} = \pm(p_n + q_n\sqrt{d}).$$

Multiplying both sides by  $p_{n_1} + q_{n_1}\sqrt{d}$ , we obtain

$$(-1)^{n_1} p \varepsilon_\Delta^{-k} = \pm(p_n + q_n\sqrt{d})(p_{n_1} + q_{n_1}\sqrt{d}).$$

Then by Proposition 2.2, we obtain

$$(-1)^{n_1} p(p_{-k\ell} + q_{-k\ell}\sqrt{d}) = \pm\{(p_n p_{n_1} + d q_n q_{n_1}) + (p_n q_{n_1} + p_{n_1} q_n)\sqrt{d}\}.$$

Hence,  $(-1)^{n_1} = \pm 1$  and

$$p \cdot q_{-k\ell} = p_n q_{n_1} + p_{n_1} q_n.$$

From the assumption  $n_1 < n$ , we have

$$p \cdot q_\ell \leq p \cdot q_{-k\ell} < p_n q_n + p_n q_n = 2p_n q_n. \tag{2.8}$$

On the other hand, by using (7), (8) of Lemma 2.1 and the assumption  $p > Q_L$ , we have

$$2p_L q_L = Q_L(q_{L+1} + q_{L-1})q_L = Q_L q_\ell < p \cdot q_\ell. \tag{2.9}$$

By (2.8) and (2.9), we have  $p_L q_L < p_n q_n$ , which contradicts  $L > n$ .



- (II) The case where  $p \mid \Delta$ . Since  $\chi_\Delta(p) = 0$  and  $p \nmid f_\Delta$ , we have the decomposition

$$p\mathcal{O}_\Delta = \mathfrak{p}^2, \quad N_\Delta(\mathfrak{p}) = p$$

by Proposition 2.4. By putting  $\alpha := p_n + q_n\sqrt{d}$  and  $\alpha' := p_n - q_n\sqrt{d}$ , it follows from Lemma 2.1 (6) that  $(-1)^n p = \alpha\alpha'$ , and so  $p\mathcal{O}_\Delta = (\alpha\mathcal{O}_\Delta)(\alpha'\mathcal{O}_\Delta)$ . We let  $\mathfrak{p} = \alpha\mathcal{O}_\Delta$ . Then by the uniqueness of the decomposition into prime ideals, we have  $\alpha'\mathcal{O}_\Delta = \mathfrak{p}' = \mathfrak{p}$ . On the other hand, by putting  $\beta := p_{n_1} + q_{n_1}\sqrt{d}$  and  $\beta' := p_{n_1} - q_{n_1}\sqrt{d}$ , we get  $p\mathcal{O}_\Delta = (\beta\mathcal{O}_\Delta)(\beta'\mathcal{O}_\Delta)$  similarly. Hence, also by the uniqueness of the decomposition into prime ideals, we have

$$\beta\mathcal{O}_\Delta = \mathfrak{p} = \mathfrak{p}' = \alpha\mathcal{O}_\Delta.$$

Thus, there exist some  $\eta \in \mathcal{O}_\Delta^\times$  and  $k \in \mathbb{Z}$  such that

$$p_{n_1} + q_{n_1}\sqrt{d} = (p_n + q_n\sqrt{d})\eta, \quad \eta = \pm\varepsilon_\Delta^k.$$

By the same argument as in (I-A) and the first half of (I-B), we get respectively  $n_1 = n$  and  $n > \ell$ , which is a contradiction. The proof is now completed.  $\square$

In the final part of this section, we introduce a result of Louboutin. Let  $h_\Delta$  denote the class number of the real quadratic order  $\mathcal{O}_\Delta$  with discriminant  $\Delta$  (cf. [2, Theorem 5.5.8]).

**Theorem 2** ([7, Theorem 3]). *Under the above setting, assume that  $d$  is a square-free positive integer with  $d \equiv 2, 3 \pmod{4}$  and put  $K := \mathbb{Q}(\sqrt{\Delta})$ . Then we have  $f_\Delta = 1, \Delta = \Delta_K$ , and  $h_\Delta$  coincides with the class number of  $K$ . Furthermore, define the set  $\mathcal{S}_\Delta$  by*

$$\mathcal{S}_\Delta := \{p \mid p \text{ is a prime, } \chi_\Delta(p) \neq -1, p < \sqrt{\Delta}/2\}.$$

Then we have

$$h_\Delta = 1 \iff \mathcal{S}_\Delta \subset \mathcal{Q}_\Delta,$$

where  $\mathcal{Q}_\Delta$  is defined as in (1.2).

### 3. Proof of Theorem 1.

*Proof of (1) of Theorem 1.* The assertion is given by Proposition 2.1 immediately.  $\square$

*Proof of (2) of Theorem 1.* Assume that both of (c) and (d), and at least one of (f) and (h) hold. Since  $\ell(d) \geq 6$ , we have  $d \neq 14$  (cf. the proof of Proposition 2.1). Then by Proposition 2.1, there exists some  $k, 1 \leq k \leq L - 1$ , such that

$$a_k = \max\{a_1, \dots, a_{L-1}\} \text{ and } Q_k = 3.$$

On the other hand, it follows from (1.3) that  $Q_L = 2$ . Since 3 is a prime number and  $3 > 2 = Q_L$ , the uniqueness of  $k$  follows from Proposition 2.6. Thus the condition (g) holds.  $\square$

*Proof of (3) of Theorem 1.* Assume that  $d \equiv 2, 3 \pmod{4}$  and both of the conditions (a) and (b) hold. From assumptions (a) and (b) and genus theory,  $d$  is of the form  $d = q, 2q, q_1q_2, p$ , or  $2$ , where  $q, q_i \equiv 3 \pmod{4}$  and  $p \equiv 1 \pmod{4}$  are primes (cf. [2, Theorem 5.6.13.4]). Since  $d \equiv 2, 3 \pmod{4}$  and  $\ell(d) > 1$ ,  $d$  must be of the form  $d = q, 2q$ . Golubeva [1, Proof of Corollary 2] (resp. Kubo [6, Theorem A], Louboutin [8, Lemma 3]) proved that if  $d = q$  (resp.  $d = 2q$ ), then  $Q_L = 2$  holds. Hence by (1.3), if  $d \neq 19$ , then both of the conditions (c) and (d) hold. Moreover, we note that  $3 \nmid d$ , so  $3 \nmid \Delta$  since we have  $d \geq 19$  as  $\ell \geq 6$  (cf. the proof of Proposition 2.1) and  $d$  is of the form  $d = q, 2q$ .

Next, we assume that the condition (e) holds. Then by  $3 \nmid \Delta$ , we have

$$\chi_\Delta(3) = \left(\frac{\Delta}{3}\right) = \left(\frac{d}{3}\right) = 1 \neq -1.$$

Moreover, we have  $3 < \sqrt{d} = \sqrt{\Delta}/2$ . Hence  $3 \in \mathcal{S}_\Delta$ . The assumptions (a) and (b) imply, by Theorem 2, that  $\mathcal{S}_\Delta \subset \mathcal{Q}_\Delta$ . Therefore, we get  $3 \in \mathcal{Q}_\Delta$ . Since  $Q_L = 2$ , we have  $3 \in \{Q_1, \dots, Q_{L-1}\}$ , that is, the condition (h) holds.

Conversely, we assume that the condition (h) holds. By Lemma 2.1 (2), we have  $d \equiv P_{k+1}^2 \pmod{3}$  for some  $k, 1 \leq k \leq L - 1$ . From this together with  $3 \nmid d$ , we easily see that the condition (e) holds. □

**4. Remarks and examples.** We give an example showing that the indices of  $\max\{a_1, \dots, a_{L-1}\}$  and  $\min\{Q_1, \dots, Q_{L-1}\}$  do not always coincide with each other in the case where the condition (f) does not hold. Let  $d = 858854366 \equiv 2 \pmod{4}$ . Then we have

$$\sqrt{858854366} = [29306, \overline{4, 1, 1, 1, 1, 8, 1, 3, 1, 4, 9, 29306, 9, 4, 1, 3, 1, 8, 1, 1, 1, 1, 4, 58612}]$$

and  $Q_n (1 \leq n \leq 11)$  are as follows:

$n$	1	2	3	4	5	6	7	8	9	10	11
$Q_n$	12730	30769	25189	23890	33367	6235	42503	12470	39077	11945	6365

From these, we see that  $a_{11} = \max\{a_1, \dots, a_{L-1}\}$ ,  $Q_6 = \min\{Q_1, \dots, Q_{L-1}\}$ , and (f) does not hold. We can also verify that both of the conditions (c) and (d) hold.

*Example 4.1.* For each even  $\ell, 6 \leq \ell \leq 83552$  except for  $\ell = 14$ , as we have stated in Section 1,  $\max\{a_1, \dots, a_{L-1}\}$  is equal to the largest integer less than  $2a_0/3$  for  $d = d'_\ell$ . In Table 1, we list the minimal element  $d'_\ell$  with period  $\ell$ , the largest integer  $c$  less than  $2a_0/3$ , and a part of the partial quotients  $\langle a_1, \dots, a_{L-1} \rangle$  of the continued fraction expansion of  $\sqrt{d'_\ell}$  for each even integer  $\ell$  with  $6 \leq \ell \leq 32$ . We can observe that the maximal elements in

TABLE 1. A part of the partial quotients of the continued fraction expansion of  $\sqrt{d'_\ell}$

$\ell$	$d'_\ell$	$c$	$\langle a_1, \dots, a_{L-1} \rangle$
6	19	2	$\langle \underline{2}, 1 \rangle$
8	31	3	$\langle 1, 1, \underline{3} \rangle$
10	43	3	$\langle 1, 1, \underline{3}, 1 \rangle$
12	46	3	$\langle 1, \underline{3}, 1, 1, 2 \rangle$
14	134	7	$\langle 1, 1, 2, 1, \underline{3}, 1 \rangle$
16	94	5	$\langle 1, 2, 3, 1, 1, \underline{5}, 1 \rangle$
18	139	7	$\langle 1, 3, 1, 3, \underline{7}, 1, 1, 2 \rangle$
20	151	7	$\langle 3, 2, \underline{7}, 1, 3, 4, 1, 1, 1 \rangle$
22	166	7	$\langle 1, \underline{7}, 1, 1, 1, 2, 4, 1, 3, 2 \rangle$
24	271	10	$\langle 2, 6, \underline{10}, 1, 4, 1, 1, 2, 1, 2, 1 \rangle$
26	211	9	$\langle 1, 1, \underline{9}, 5, 1, 2, 2, 1, 1, 4, 3, 1 \rangle$
28	334	11	$\langle 3, 1, 1, 1, 2, 5, 1, 2, 2, \underline{11}, 1, 3, 7 \rangle$
30	379	12	$\langle 2, 7, 3, 2, 2, 6, \underline{12}, 1, 4, 1, 1, 1, 3, 4 \rangle$
32	463	13	$\langle 1, 1, \underline{13}, 1, 5, 4, 1, 1, 1, 1, 2, 2, 6, 1, 3 \rangle$

$\{a_1, \dots, a_{L-1}\}$ , which are underlined in the table, coincide with  $c$  except for  $\ell = 14$ .

**Publisher’s Note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

### References

- [1] Golubeva, E.P.: Quadratic irrationalities with a fixed length of the period of continued fraction expansion, Zap. Nauchn. Sem. Leningrad. Otdel. Mat. Inst. Steklov. (LOMI) **196** (1991), Modul. Funktsii Kvadrat. Formy. 2, 5–30, 172; translation in J. Math. Sci. **70**(6), 2059–2076 (1994)
- [2] Halter-Koch, F.: Quadratic Irrationals: An Introduction to Classical Number Theory. CRC Press, Boca Raton, FL (2013)
- [3] Kawamoto, F., Kishi, Y., Tomita, K.: Continued fraction expansions with even period and priary symmetric parts with extremely large end. Comm. Math. Univ. Sancti Pauli **64**(2), 131–155 (2015)
- [4] Kawamoto, F., Tomita, K.: Continued fractions and certain real quadratic fields of minimal type. J. Math. Soc. Japan **60**(3), 865–903 (2008)
- [5] Kawamoto, F., Tomita, K.: Continued fractions with even period and an infinite family of real quadratic fields of minimal type. Osaka J. Math. **46**(4), 949–993 (2009)
- [6] Kubo, K.: Relations between the primary symmetric parts and positive integers of minimal type in continued fraction expansions. Tokyo University of Science, Master thesis (2019) (in Japanese)

- [7] Louboutin, S.: Continued fractions and real quadratic fields. *J. Number Theory* **30**, 167–176 (1988)
- [8] Louboutin, S.: On the continued fraction expansions of  $\sqrt{p}$  and  $\sqrt{2p}$  for primes  $p \equiv 3 \pmod{4}$ . In: Chakraborty, K., Hoque, A., Pandey, P. (eds.) *Class Groups of Number Fields and Related Topics*, pp. 175–178. Springer, Singapore (2020)
- [9] Perron, O.: *Die Lehre von den Kettenbrüchen, Band I: Elementare Kettenbrüche*, 3te Aufl. B.G. Teubner Verlagsgesellschaft, Stuttgart (1954)

FUMINORI KAWAMOTO  
Gakushuin University  
1-5-1 Mejiro, Toshima-ku  
Tokyo 171-8588  
Japan

YASUHIRO KISHI  
Aichi University of Education  
1 Hirosawa Igaya-cho  
Kariya-shi, Aichi 448-8542  
Japan  
e-mail: [ykishi@uecc.aichi-edu.ac.jp](mailto:ykishi@uecc.aichi-edu.ac.jp)

KOSHI TOMITA  
Meijo University  
1-501 Shiogamaguchi, Tenpaku-ku  
Nagoya-shi, Aichi 468-8502  
Japan

Received: 7 August 2019