Inflammation Research



Systemic inflammation, neuroinflammation and perioperative neurocognitive disorders

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Abstract

Perioperative neurocognitive disorder (PND) is a common disorder following anesthesia and surgery, especially in the elderly. The complex cellular and molecular processes are involved in PND, but the underlying pathogenesis of which remains inconclusive due to conflicting data. A growing body of evidence has been shown that perioperative systemic inflammation plays important roles in the development of PND. We reviewed the relevant literature retrieved by a search in the PubMed database (on July 20, 2023). The search terms used were "delirium", "post operative cognitive dysfunction", "perioperative neurocognitive disorder", "inflammation" and "systemic", alone and in combination. All articles identified were Englishlanguage, full-text papers. The ones cited in the review are those that make a substantial contribution to the knowledge about systemic inflammation and PNDs. The aim of this review is to bring together the latest evidence for the understanding of how perioperative systemic inflammation mediates neuroinflammation and brain injury, how the inflammation is regulated and how we can translate these findings into prevention and/or treatment for PND.

Keywords Systemic inflammation \cdot Inflammatory mediators \cdot Neuroinflammation \cdot Perioperative neurocognitive disorders (PNDs)

Abbreviations

PND	Perioperative neurocognitive disorders
POCD	Postoperative neurocognitive disorder
LPS	Lipopolysaccharide
TNF-α	Tumor necrosis factor α
IL	Interleukin
IFN	Interferon
DAMP	Damage-associated molecular pattern
HMGB1	High mobility group box 1
BMDMs	Bone marrow-derived monocytes
TLR	Toll-like receptor
NF-κB	Nuclear factor-kappa B
MCP-1	Monocyte chemotactic protein-1
MIP	Macrophage inflammatory protein

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NVU	Neurovascular unit
CNS	Central nervous system
BBB	Blood–brain barrier
CSF	Cerebrospinal fluid
BCB	Blood-cerebrospinal fluid barrier
СР	Choroid plexus
CVO	Circumventricular organ
ECs	Endothelial cells
CCL2	C–C motif chemokine ligand 2
NSAIDs	Non-steroidal anti-inflammatory drugs
DEX	Dexmedetomidine

Introduction

Cognitive alteration affecting patients following anesthesia and surgery is a heterogenous set of conditions, which includes any form of acute event (postoperative delirium) and cognitive decline diagnosed up to 30 days after the procedure (delayed neurocognitive recovery) and up to 12 months (postoperative neurocognitive disorder, POCD) [1]. Previously, all forms of the impairment were called POCD, but more recently, perioperative neurocognitive disorders (PNDs) are recommended to be used as an

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overarching term for cognitive impairment identified in the perioperative period [1]. PND has been recognized as a consequence of anesthesia as early as 1887 [2], and a common complication of cardiac surgery in the elderly described by Bedford in 1955 [3]. Following its modern description, the agreements of definitions and diagnostic criteria of PND have been made in 2018 [1].

Since the 1950's, advanced age has been identified to be one of the strongest associations for PND after major noncardiac surgery [4]. The incidence of PND is reported to be anywhere between 9 and 54% after surgery in adults over age 65 [5–7]. In fact, cognitive dysfunction is common in adult patients of all ages at hospital discharge after major non-cardiac surgery [4]. PND is associated with adverse outcomes, including significant complications including dementia, and increased mortality, thereby producing a significant burden on the healthcare system [8-10]. The pathogenesis of PND is multifaceted, which might be associated with anesthesia, tissue damage, neuroinflammation, surgical stress, psychological stress and so on. Growing evidence indicates that systemic inflammation following surgery and anesthesia is involved in PND, in which inflammatory signaling molecules have been identified. The purpose of this review is to summarize the literature to date concerning PND and the cellular mechanisms involved in systemic inflammation underlying the pathogenesis of PND in pre-clinical and human studies.

Systemic inflammation and cognitive dysfunction

Systemic factors (such as plasma proteins, immune cells), mediated by blood, have been shown to be as mediators of brain homeostasis and modulate brain function [11, 12]. A large number of studies have shown that systemic inflammation can induce or exacerbate neurological symptoms including anxiety, depression, and cognitive dysfunction [13, 14]. For example, systemic inflammation induced by psoriasis has been shown to be associated with an increased risk of developing dementia [15]. Another epidemiological study suggests that chronic periodontitis is closely associated with the incidence and progression of cognitive impairment [16], which is confirmed in animals [17]. Yamanaka's study showed that systemic inflammation treated by lipopolysaccharide (LPS)-induced cognitive dysfunction in aged rats [18]. In a randomized, placebo-controlled study in healthy men, systemic inflammation induced by an intravenous injection of LPS altered functional connectivity of resting state networks in brain [19]. A single intraperitoneal injection of tumor necrosis factor- α (TNF- α) in mice increased serum level of the proinflammatory mediators in a doseand time-dependent manner and affects the central nervous system at a neuroimmune and behavioral level [20]. In preoperational glioma patients, increased interleukin-2 (IL-2) levels were positively correlated with cognitive impairment [21]. Certain cytokines such as interferon (IFN)- α and IL-2 are often used in the treatment certain cancers and chronic diseases. Cognitive impairment was reported in those patients who received those medications [22]. In critically ill patients, the proinflammatory cytokine IL-8 was associated with delirium [23]. Clinical studies showed that higher circulating levels of IL-6 or IL-1 β were associated with worse cognitive function and steeper cognitive decline [24, 25]. These results suggest that systemic inflammation may play an important role in modulating cerebral function. The periphery-to-brain communication pathways mediate inflammation-associated brain function.

Systemic inflammation following surgery/ anesthesia and PND

The innate immune system is a generic component of the organism's response to infection or tissue damage, which includes surface barriers, the complement system, and inflammatory mediators produced by immune cells [26]. Surgery triggers the innate immune system to launch a systemic inflammatory response (see Fig. 1). It is well known that tissue damage due to surgery triggers local inflammation at the surgical site, where cellular injury can release endogenous damage-associated molecular patterns (DAMPs) that activate innate immunity and promote and exacerbate the inflammatory response [27, 28]. Among the DAMPs, high mobility group box 1 (HMGB1) is the most studied. HMGB1, as a major DAMP, is a nuclear protein that is present in almost all eukaryotic cells and can be released by necrotic cells during tissue injury [29]. It can interact with multiple receptors of circulating bone marrow derived monocytes (BMDMs), including the receptor for advanced glycation end products (RAGE), toll-like receptor (TLR) 2, and TLR4 [29], and induce activation of nuclear factor-kappa B (NF-ĸB), and production of proinflammatory cytokines. The systemic inflammatory response is observed in rodent models during the perioperative period [30–36]. Similar inflammatory changes have been described in clinical samples. For example, after orthopedic surgery, statistically significant changes were present in IL-5, IL-6, IL-8, IL-10, monocyte chemotactic protein-1 (MCP-1), macrophage inflammatory protein (MIP)-1a and receptor for advanced glycation end products in plasma [37]. In adult patients, the cytokine response to open cholecystectomy stimulated both the pro-inflammatory (IL-1β, IL-6 and TNF- α) and the anti-inflammatory (IL-4) components, while this response was absent in laparoscopic cholecystectomy [38]. The complement system is another key component in



Fig. 1 The innate immune system is activated following surgical injury and releases peripheral inflammatory mediators. High mobility group box 1 protein (HMGB1) is rapidly released in response to injury, which activates nuclear factor-kappa B (NF- κ B) signaling pathways in bone marrow derived monocytes (BMDMs), increasing

transcription of inflammatory genes such as interleukin (IL), tumor necrosis factor alpha (TNF- α), monocyte chemotactic protein-1 (MCP-1), macrophage inflammatory protein (MIP)-1 α . Thus, a systemic inflammatory response is induced

the inflammatory response, which can be activated by the DAMPs after surgery [39]. Clinical studies demonstrated that there is early complement component 3 (C3) activation, represented by plasma C3 depletion and upregulation of cleaved forms of C3 in patients undergoing surgery [40, 41].

The effects of anesthetic agents on the immune system have been investigated at both mechanistic and clinical levels in animals and human [42, 43]. A brief exposure to isoflurane general anesthesia, without induced surgical stress, significantly increased serum IL-1ß in children undergoing MRI examination [44]. In single-drug propofol anesthesia without any surgical intervention in healthy subjects, propofol significantly decreased the levels of hepatocyte growth factor, IFN-y-induced protein 10, and increased the levels of IL-17, IL-5, IL-7 and platelet-derived growth factor, suggesting propofol seemed to induce mixed pro- and antiinflammatory effects on the immune system [45]. The latest literature shows that sevoflurane general anesthesia without surgery, even in older adults, did not provoke an inflammatory state in the early hours after exposure [46]. The data suggest that anesthetic agents appear to have effects on the immune system but the inflammatory responses they induce may be far outweighed by the contribution of patient and surgical factors.

The systemic inflammation following surgery contribute to persistent cognitive decline in rodent models [30–36, 47]. Increased levels of HMGB1 after surgery and anesthesia have been described in preclinical models of cognitive impairment [48–51]. The elevations of HMGB1 were also observed in patients with PND after major gastrointestinal surgery [52]. Another clinical study showed that surgeryinduced acute systemic inflammation is followed by a rapid and transient activation of the brain immune system [53]. After coronary artery surgery, elevated postoperative concentrations of IL-6 and C-reactive protein are associated

with short- and medium-term impairment of cognitive functions in patients [54]. POCD patients were associated with higher postoperative plasma levels of malondialdehyde, and higher IL-1 β [55], TNF- α and IL-6 [56]. In a prospective biomarker cohort study, IL-8 exhibited a strong correlation with delirium severity in surgical patients [57]. Hyperbaric oxygen and remote ischemic preconditioning could mitigate surgery-induced cognitive impairment in animals and elderly patients, respectively, and this may be associated with the attenuation of systemic inflammation [58, 59]. Blockade of either TNF- α or IL-6 using antibodies effectively reduced POCD in rodents [60, 61]. Moreover, in a murine model of orthopedic surgery, C3a receptor blockade improved hippocampal-dependent memory function, suggesting that complement activation may play a role in the mechanisms underlying PND development [62].

These results suggest that surgery and anesthesia can induce systemic inflammation, which may play important roles in the pathophysiological process of PND, although it has been demonstrated that in young patients, there is no effect of anesthesia on postoperative cognitive functions. There is no association of inflammatory markers with respect to the patient's cognitive status [63].

How inflammatory signals access the brain: barriers and conduits

Blood-brain barrier

The brain vasculature serves two roles: as a conduit to supply nutrients and as a barrier to block inflammatory insults [11]. The neurovascular unit (NVU), defined by the structural cellular composition of neurons, glia (e.g., astrocytes, microglia) and vascular cells (endothelium and mural cells including pericytes and smooth muscle cells), is critical to normal central nervous system (CNS) functioning [64]. The blood-brain barrier (BBB) is centrally positioned within the NVU and accomplishes these two roles [11]. BBB is formed by brain endothelial cells of the capillary wall, astrocyte end-feet and pericytes embedded in the capillary basement membrane [64], and it maintains a tightly controlled chemical composition of the neuronal milieu that is required for proper neuronal functioning [64]. Cerebral endothelial cells interact with the surrounding basal lamina, as well as astrocytic end-feet processes, pericytes and neurons [65, 66]. Astrocytes and pericytes are both involved in modulating brain endothelial permeability and in BBB maintenance [65, 66].

Growing evidence shows that BBB breakdown is associated with the pathogenesis of different neurological disorders and complex multifactorial diseases [67]. A Nation's study showed that individuals with early cognitive dysfunction develop brain capillary damage and BBB breakdown in the hippocampus [68]. Studies in several animal models suggest changes in BBB permeability in PND [69, 70]. IL-17A in serum increases after surgical stress and contributes to PND disorders through BBB dysfunction [71]. Preclinical studies have shown that peripheral pro-inflammatory cytokines (e.g. TNF- α , IL-1,6) following anesthesia/surgery might induce BBB dysfunction (see Fig. 2). The changes in BBB permeability may be associated with influx of inflammatory mediators and contribute to cognitive impairment [51, 69]. In addition, BBB breakdown facilitates BMDMs to be recruited to the CNS [32]. The central recruitment of BMDMs is a

Fig. 2 The peripheral inflammatory mediators pass through the barriers and conduits to modulate brain function. There are several mechanisms existing by which peripheral inflammatory signal can be transmitted to the brain, including **a** transport across the blood–brain barrier (BBB) and **b** blood–cerebrospinal fluid (CSF) barrier (BCB); or entry via **c** the circumventricular regions (CVOs); **e** direct neural pathways (afferent vagal fibers); and **d** other pathways necessary mechanism in POCD [72]. Therefore, changes in the BBB are likely partially responsible for the ways in which systemic inflammatory mediators modulate brain function following surgery and anesthesia.

Blood-cerebrospinal fluid barrier

The blood-cerebrospinal fluid (CSF) barrier (BCB) is another interface between the circulatory system and CNS (see Fig. 2), which shapes brain function in health and pathology by integrating signals from the brain with signals coming from the circulation [73–76]. The BCB is made of the choroid plexus (CP) present in all four ventricles of the brain. The CP is a vascular convolute, consisting of epithelial cells bound together by tight junctions and stromal compartment vascularized by highly permeable fenestrated capillaries [77]. It is involved in the production of CSF and actively regulates the synthesis, composition, and circulation of CSF [78]. There is no major diffusional barrier between the brain parenchyma and CSF. Thus, materials coming from the circulation present in CSF are free to enter the brain. Under physiological conditions, the CP is key to delivering micronutrients, growth factors, and neurotrophins to neuronal networks through the CSF from systemic blood delivered to the leaky microvasculature of the CP [79]. Understandably, the CP is crucial for the homeostatic regulation of the brain microenvironment along with the BBB [80]. In addition, the CP is an important gateway for the entry of immune cells into the brain [81]. Its dysfunction induced by inflammatory mediators or oxidative stress may cause brain damage [82-85]. A preclinical study showed that choroidal



BCB dysfunction occurred 1 day after surgery and anesthesia. The alteration was associated with neuroinflammation and PND [62]. A clinical study examined BCB integrity of hospitalized patients by CSF to plasma albumin ratio. The results showed that BCB dysfunction may be relevant for delirium pathophysiology when it occurs [86]. Further study is warranted to investigate whether the systemic inflammation after surgery induces dysfunction of BCB and then mediates PND. It remains unknown whether the close contact between the CP and some brain structures makes it easier for substances derived from the CP to affect certain brain functions.

Circumventricular organs

Circumventricular organs (CVOs) are highly vascularized structures, which are located around the third and fourth ventricles and mainly include the organum vasculosum of the laminae terminalis, the subfornical organ, the area postrema and the median eminence, which are specialized brain regions characterized by the lack of a BBB [87] (see Fig. 2). Moreover, neurons and glial cells of the CVOs express cytokine receptors including IL-1 and TNF- α receptor 1 [88, 89]. Thus, circulating substances could reach the CVOs, which communicate with other brain areas and CSF through complex neural networks and tanycytes (specialized ependymal cells lining the ventricular spaces), respectively [90, 91]. It seems reasonable to suppose that inflammatory mediators in the blood following surgery and anesthesia could leak into the CVOs and regulate their functions. However, it is not clear whether the procedure significantly occurs in PND.

Vagal afferents

The vagus nerve is the tenth cranial nerve. It contains both motor (efferent) and sensory (afferent) components [92]. Its afferent nerves can carry an extensive range of signals from periphery to the brain, specifically to the nucleus tractus solitarius, and spread from there to secondary projection regions of the vagus such as the parabrachial nucleus (see Fig. 2). Vagal afferent nerves can rapidly activate central inflammatory pathways, when they are stimulated by immune factors in the periphery [93, 94]. For example, some studies found that vagal afferents induced central expression of inflammatory mediators in response to peripheral pro-inflammatory stimuli and thereby affect sleep [95–97]. Romanovsky's studies conclude that chemosensitive afferent fibers traveling within the abdominal vagus constitute a necessary component of the afferent mechanism of the febrile response to low doses of blood-borne prostaglandins of the E series [98]. The data indicate that peripheral inflammation may alter central function through vagal afferents. Further studies will allow a better understanding of whether there

are other neural routes by which peripheral inflammatory signals gain access to the brain except for the vagus nerve, and how these neural routes modulate brain function following surgery and anesthesia.

Other conduits

Recent studies have shown that the existence of direct vascular channels connects skull bone marrow and the brain surface (meninges), capable of supplying monocytes and neutrophils to the meninges and CNS parenchyma under homeostatic and pathological conditions such as stroke, meningitis and leukaemia [99–102] (see Fig. 2). It remains unknown whether the skull bone marrow-derived neutrophils are more likely to migrate to the meninges and infiltrate the CNS parenchyma and, therefore, affect brain function through the potential skull-to-brain conduit following surgery and anesthesia.

Cellular targets of systemic inflammatory factors

Brain endothelial cells

Cerebral endothelial cells (ECs) are critical components of the BBB, which exhibit a specialized continuous rim of tight junctions and low rate of transcytosis [103]. ECs possess a number of cytokine receptors and adhesion molecules on their luminal side, so they can sense the systemic environment and respond to it [103, 104]. For example, TNF- α receptors [105] and IL-1 receptors [106] are expressed in ECs of brain venules, whose activation was found to be closely associated with local prostaglandin synthesis, microglial activation, monocyte recruitment and BBB breakdown [106–109], all of which are associated with cytokine influx and cognitive impairment [105, 109]. In addition, C3a receptor is expressed on brain endothelial cells [110]. The C3a/ C3aR signaling through endothelial cells promotes vascular inflammation. The complement-mediated signaling impacts vascular health [62, 111]. Perioperative monocytes and inflammatory mediators in blood may interact with ECs, which cause loss of BBB integrity [104], upregulation of cell adhesion and cytokine receptors, and increase of cytokine production and other pro-inflammatory mediators [112, 113]. Activation and/or dysfunction of ECs induced by the peripheral mediators might play an important role in the pathophysiology of PND. But how the mediators change the ECs and impair cognitive function remains to be explored.

Pericytes

Brain pericytes are a critical component of the BBB/NVU and are located directly on the capillary wall and share a common basement membrane with endothelial cells and are in contact with astrocytes, neurons and other glial cells [76, 77]. They play important roles in many neurovascular functions such as regulation of cerebral blood flow, BBB formation and maintenance and angiogenesis [114]. Duan's study showed that systemic inflammation induces C-C motif chemokine ligand 2 (CCL2) production by pericytes which rapidly relay the inflammatory signals from the circulatory system to neurons via chemokine CCL2 leading to neuronal hyperexcitability [115]. Pericytes secrete several proinflammatory mediators following immunological activation, including IL-1 β , TNF- α , IFN- γ , IL-6 and IL-4 [104, 116]. Furthermore, pericyte degeneration has been shown to mediate changes of BBB permeability/or function and leads to cognitive impairment [68, 104, 117, 118]. The inflammatory signals may target pericytes after surgery resulting in CNS damage.

Microglia

Microglia are a type of neuroglia occurring in the CNS. They originate from the yolk sac during embryogenesis and can be defined as tissue-resident macrophages [119, 120]. It is shown that the cellular processes of microglia are extremely plastic in the healthy brain and respond rapidly to pathological conditions such as inflammation. The peripherally produced cytokines can trigger neuroinflammation by activating microglia [32, 37], resulting in direct neurotoxicity and a cognitive decline following surgery. For example, peripheral TNF-α signaling was required to stimulate microglia to produce MCP-1/CCL2 which facilitates the blood monocytes enter the CNS via transcellular and paracellular routes [32, 121, 122], and these migrated monocytes differentiate microglia-like cells, play roles complementary to those of the resident microglia. Accumulating evidence indicates that anesthesia and surgery cause different degrees of microglial activation. The activation results in an inflammatory cascade promoting the synthesis and the secretion of inflammatory mediators [123, 124]. The activated microglia are the primary source of inflammatory cytokines which regulate microglia under feedback control [125]. The amplifying neuroinflammation and microglial activation could contribute to the development of PND [31, 126-129].

Astrocytes

Astrocytes are the most common glial cell in the CNS and perform a variety of important functions, including recycling neurotransmitters, modulating metabolic homeostasis, provision of nutrients to the neurons, composing the BBB, and participating in immune responses [130]. Systemic inflammation following surgery and anesthesia induces the development of cognitive dysfunction, in which activation of astrocytes is a key component [30, 131–133]. The phenotype of A1 astrocytes (a subtype of reactive astrocytes) is strongly induced by IL-1 α , TNF and complement component 1, subcomponent q [134], suggesting these astrocytes are hyper-reactive to systemic inflammation. Therefore, it is possible that perioperative period systemic inflammation mediators can cross the BBB and could contribute to this neurotoxic astrocyte phenotype, which release a broad range of pro-inflammatory mediators that lead to neuroinflammation and neuronal injury.

Oligodendrocytes

Oligodendrocytes are the myelinating cells of the CNS. They are the end product of a cell lineage which has to undergo a complex and precisely timed program of proliferation, migration, differentiation, and myelination to finally produce the insulating sheath of axons [135]. Activation of the immune-inflammatory response system and injuries in the neuronal cytoskeleton, oligodendrocytes, astrocytes, glial cells, and myelin sheath are involved in the pathophysiology of delirium following hip fracture surgery [136]. The oligodendrocyte lineage damage varies according to the inflammatory stimulus, i.e., systemic inflammation or cytokine IL-1 β impair the oligodendrocyte lineage in the developing brain [137]. There is no information about anesthesia and surgery effect on oligodendrocytes involving in PND.

Neurons

Ultimately, all neuro-modulatory systemic inflammatory mediators must influence neurons to have an impact on cognitive function, which involves not only transduction through different types of non-neuronal cells, but also direct binding to receptors on neurons. In fact, receptors for proinflammatory cytokines are expressed in neurons, which can be directly bound by systemic cytokines to alter electrical properties and circuit integration. For example, IL-1 receptors were found to be expressed typically in neurons of the hippocampus [138, 139]. IL-1 β induced prolonged hippocampal neurons expression of $\alpha 5 \gamma$ -Aminobutyric acid sub-type A (a5GABAA) receptor whose activation appears to impair memory via activation of IL-1 receptors and p38 MAPK-dependent signaling [140]. Activation of TNF receptor expressed in neurons of the brain leads to neuronal death, which is involved in neural development and neurological diseases [141, 142]. Theoretically, neurons in the brain have



neuron destruction

ability to directly sense the inflammatory milieu of brain following surgery and anesthesia. Whether and how systemic inflammatory mediators cause neuronal and synaptic destruction and impair neurocognitive function after surgery warrants further research in the future.

Systemic inflammation as a therapeutic target

Neuroinflammation has become a key hallmark of neurological complications including PND [143-145]. As mentioned above, systemic inflammatory mediators mainly activate microglia and astrocytes, followed by enhanced neuroinflammation, resulting in neuronal and synaptic destruction and cognitive dysfunction [123, 146–148]. Huang's study demonstrated that non-steroidal anti-inflammatory drugs (NSAIDs) can act rapidly to attenuate systemic inflammation and glial activation and negatively modulate neuropathological changes to improve cognition following surgery in an animal model [30]. Clinically, cyclooxygenase-2 inhibitors (a type of NSAIDs) or Dexmedetomidine (DEX, an important anesthetic adjuvant) have been associated with significantly reduced incidence of POCD and better neurocognitive function [149–151]. This effect may be attributed to inhibiting inflammatory mediators including IL-6, IL-8, TNF- α , and C-reactive protein [45, 152]. However, there is a study showing that DEX confer better postoperative neurocognitive function for elderly patients who received total knee arthroplasty that is unrelated to the modulation of DEX on peripheral inflammation [153]. In addition, more conventional anti-inflammatory drugs (dexamethasone) given perioperatively have yielded variable results in clinical trials [154–156]. Thus, the anti-inflammatory strategy as preventive and therapeutic procedures for PND remains to be further determined.

Conclusions

Systemic inflammation induced by surgery and anesthesia has been associated with brain injury and PND. The effect may be exerted via inflammatory mediators to exaggerate neuroinflammation involving in neurons, glia and other brain cells, and eventually cause neuronal and synaptic destruction (see Fig. 3). This potential mechanism suggests that regulating the perioperative inflammatory response to surgery may prevent and treat PND. However, the details of the association between surgery, peripheral and central neuroinflammation, and PND development remains unclear. Further animal and human studies are essential to elucidate the underlying cellular mechanisms. Having more insights about systemic inflammation-to-brain injury will be helpful to translate them into clinical practice.

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Declarations

Competing interests The authors declare that they have no competing interests.

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