

Phylogenetic Analysis of the Homologous Proteins of the Terminal Complement Complex Supports the Emergence of C6 and C7 Followed by C8 and C9

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Abstract. The plasma complement system comprises several activation pathways that share a common terminal route involving the assembly of the terminal complement complex (TCC), formed by C5b–C9. The order of emergence of the homologous components of TCC (C6, C7, C8 α , C8 β , and C9) has been determined by phylogenetic analyses of their amino acid sequences. Using all the sequence data available for C6–C9 proteins, as well as for perforins, the results suggested that these TCC components originated from a single ancestral gene and that C6 and C7 were the earliest to emerge. Our evidence supports the notion that the ancestral gene had a complex modular composition. A series of gene duplications in combination with a tendency to lose modules resulted in successive complement proteins with decreasing modular complexity. C9 and perforin apparently are the result of different selective conditions to acquire pore-forming function. Thus C9 and perforin are examples of evolutionary parallelism.

Key words: Evolution — Complement system — Terminal complement complex — Membrane attack complex — Perforin

Introduction

The terminal half of the complement cascade is a common pathway shared by all the pathways of complement activation. The proteins involved, C5, C6, C7, C8 α , C8 β , and C9, with the exception of C5, are comprised of several conserved cysteine-rich modules and a cysteine-poor region. Interestingly, the γ chain of C8 does not participate in the formation of the C5b–9 complex (Brickner and Sodetz 1984). Although C5b–9 is often called the membrane attack complex (MAC), this martial term is appropriate only for the defense against *Neisseria* pathogens and for the pathologic effects of C5b–9. It is more accurate to refer to C5b–9 as a terminal complement complex (TCC), because it is normally involved in signaling to host cells (reviewed by Nicholson-Weller and Halperin 1993). C5b–9 can insert into membranes and interact directly with G proteins, thus effecting signaling in the absence of a specific receptor (Niculescu et al. 1994). The first step in activating C5b–9 is to activate C5. While the amino acid sequence of C5 is homologous to that of C3 and C4 (Nonaka and Takahashi 1992), C6, C7, C8 α , C8 β , and C9 are homologous to perforin, the lytic protein of NK cells and cytotoxic lymphocytes (Young et al. 1986a). The similarity in size, sequence and function between perforin and C9 (reviewed by Tschopp et al. 1986; Young et al. 1986b), led to the hypothesis that C9 emerged from the original duplication of the common ancestral gene to perforins and to TCC

Table 1. Matrix of identity and distance values between C6–C9 and the perforins^a

	GenBank reference	Puffer C9	Trout C9	Rat C9	Mouse C9	Rabbit C9	Horse C9	Human C9
Puffer C9	1845349	—	68.3	48.44	47.29	47.74	48.16	47.59
Trout C9	116616	0.318	—	49.58	48.44	47.47	48.45	47.61
Rat C9	1256828	0.516	0.504	—	80.94	77.56	80.33	78.95
Mouse C9	755764	0.527	0.516	0.191	—	71.87	74.37	72.14
Rabbit C9	1352109	0.523	0.525	0.224	0.281	—	81.87	81.04
Horse C9	1352107	0.518	0.515	0.197	0.256	0.181	—	87.91
Human C9	1352108	0.524	0.524	0.211	0.279	0.19	0.121	—
Rabbit C8 α	1352105	0.65	0.649	0.613	0.594	0.614	0.588	0.614
Human C8 α	729167	0.657	0.646	0.606	0.598	0.602	0.59	0.61
Rabbit C8 β	1352106	0.675	0.665	0.62	0.616	0.641	0.634	0.64
Human C8 β	116612	0.658	0.659	0.605	0.607	0.617	0.628	0.628
Human C6	116609	0.644	0.65	0.639	0.624	0.632	0.641	0.635
Human C7	87197	0.656	0.645	0.612	0.622	0.609	0.615	0.612
Mouse perforin	110800	0.712	0.727	0.733	0.718	0.725	0.725	0.74
Rat perforin	548477	0.72	0.727	0.733	0.725	0.74	0.74	0.756
Human perforin	129819	0.735	0.727	0.74	0.733	0.733	0.74	0.756

^a The identity values computed by MEGA appear above the diagonal. The distance values under the diagonal were calculated by PAUP to reconstruct the phylogeny shown in Fig. 4.

proteins (Podack et al. 1988). According to this hypothesis C8, C7, and C6 should have successively emerged from C9 through later gene duplication events. Recently, a more complex evolutionary history for the TCC components has been advanced, proposing that the ancestral protein was a C6/C7-like molecule (Hobart et al. 1993, 1995; Hobart 1998) and that the evolution of these proteins proceeded from the complex to the simple. Despite the fact that more than a dozen amino acid sequences of C6–C9 are already available, no phylogenetic analysis has been reported to test the two hypotheses. Therefore, we carried out standard analyses with all available amino acid sequences of C6–C9 and perforins as outgroups. Reconstructed trees suggest that C6 and C7, which share the greatest similarity to each other (Haefflinger et al. 1989; DiScipio et al. 1988), were the earliest TCC components to emerge.

Materials and Methods

Phylogenetic Analysis of Amino Acid Sequences. Phylogenetic analyses were based on the amino acid sequence similarity of C6, C7, C8 α , C8 β , C9 (C6–C9), and perforin. All of these are mosaic proteins formed by a set of one to eight cysteine-rich modules of 30–70 amino acids each and one central cysteine-poor region of approximately 350 residues (González et al. 1996). The cysteine-rich regions include modules that show similarity to sequences in other proteins, namely, thrombospondin, low-density lipoprotein receptor, epidermal growth factor, complement factor I, and the short consensus repeats present in the “regulators of complement activation” gene cluster of the complement control proteins (Reid and Day 1989). The epidermal growth factor module and a partial cysteine-poor region are also present in perforin.

The amino- and carboxyl-terminal thrombospondin, low-density lipoprotein receptor, cysteine-poor, and epidermal growth factor modules of all amino acid sequences available for C6–C9 in the GenBank

database, as well as the cysteine-poor and epidermal growth factor sequences of human, rat, and mouse perforins, were initially aligned using the Clustal algorithm (Higgins and Sharp 1988) in DNAMAN (Lynnon BioSoft 1994–1997) and then adjusted by eye. Sequences included *Homo sapiens* (human) C6, C7, C8 α , C8 β , and C9; *Oryctolagus cuniculus* (rabbit) C8 α , C8 β , and C9; and *Rattus norvegicus* (rat), *Mus musculus* (mouse), *Equus caballus* (horse), *Fugu rubripes* (puffer fish), and *Oncorhynchus mykiss* (rainbow trout) C9. A branch-and-bound parsimony analysis was carried out by 100 bootstrap replicates with a prerelease version (4.0.0d61a) of PAUP (Swofford 1990), using the perforins as outgroups. Only the thrombospondin, low-density lipoprotein receptor, and epidermal growth factor modules plus the cysteine-poor region were included in the analysis because they are present in all the TCC proteins and can be reliably aligned, excluding all sites for which positional homology is uncertain (Swofford et al. 1996) (Fig. 1). All other conditions were set at “default” for PAUP analysis. Distance phylogenetic analyses were also performed with PAUP by neighbor-joining searches in a bootstrap of 100 replicates. The distance measure is equal to the mean character difference. All other conditions were set at “default.”

Relative Rate Test. Evolutionary rates of change of perforins and TCC proteins were evaluated using the two-cluster relative rate test (Takezaki et al. 1995) for the Poisson pairwise distances of the aligned sequences. The test was carried out on all sequences that were used for phylogenetic analysis, as well as on individual modules; gaps within sequences were always excluded. The relative rate test calculations were performed using the program PHYLTEST (Kumar 1996). In this test, L_a and L_b are the averages of observed numbers of substitutions per site from the common ancestor of each sequence cluster; then $L_a = L_b$ is the null hypothesis under the constancy of the molecular clock, i.e., $\delta = L_a - L_b = 0$. Because the variance of δ can be estimated, the deviation of δ from 0 and thus the constancy of evolutionary rates between lineage A and lineage B could be examined with a two-tailed normal deviate test, where the statistic Z should not be larger than 1.96 to accept the rate constancy (null hypothesis) at the 5% level.

Statistic Analyses. Identity was estimated by pairwise comparison of the sequences used for phylogenetic analysis as well as of individual

Table 1. Extended

Rabbit C8 α	Human C8 α	Rabbit C8 β	Human C8 β	Human C6	Human C7	Mouse perforin	Rat perforin	Human perforin
34.9	34.2	32.43	34.06	35.54	34.32	28.03	27.27	25.76
34.97	35.32	33.42	33.97	34.92	35.41	26.52	26.52	26.52
38.66	39.36	37.96	39.51	36.14	38.77	25.95	25.95	25.19
40.64	40.18	38.39	39.32	37.58	37.77	27.48	26.72	25.95
38.55	39.83	35.89	38.34	36.83	39.14	26.72	25.19	25.95
41.16	40.99	36.62	37.23	35.93	38.53	26.72	25.19	25.19
38.55	38.95	36.	37.23	36.53	38.84	25.19	23.66	23.66
—	83.7	42.74	42.47	41.18	37.06	30.3	30.3	30.3
0.161	—	42.47	42.2	40.91	38.42	29.55	31.06	28.79
0.571	0.574	—	87.66	37.77	36.24	24.44	25.19	25.19
0.574	0.577	0.121	—	38.32	36.8	25.19	25.93	25.93
0.587	0.59	0.621	0.616	—	43.8	31.85	31.85	31.11
0.628	0.615	0.637	0.631	0.561	—	30.23	31.78	30.23
0.689	0.697	0.748	0.741	0.674	0.69	—	83.69	65.96
0.689	0.682	0.741	0.733	0.674	0.674	0.162	—	66.67
0.689	0.705	0.741	0.733	0.681	0.69	0.338	0.331	—

homologous modules of C6–C9 and perforins. Identity (I) between a given pair of sequences was calculated by substituting into $I = 1 - P \times 100$, where P is the number of differences (P distance) obtained using MEGA (Kumar et al. 1994). To estimate if the individual modules have diverged at different rates, the means and standard deviations were calculated from the identity values of individual modules. The statistical significance of differences in identity values among modules was tested using the Wilcoxon two-sample test included in the SAS Institute package (SAS Institute, 1985), at a 5% significance level.

Results

Phylogenetic Analysis. All C6, C7, C8 α , C8 β , and C9 share thrombospondin, low-density lipoprotein receptor, cysteine-poor, and epidermal growth factor modules, with a total of 444 positions, including 314 informative positions (Fig. 1). Perforins share part of the cysteine-poor and the complete epidermal growth factor modules, involving 168 positions that can be reliably aligned to C6–C9, including 133 informative positions. Identity values from pairwise comparison of sequences range between 23.66 and 87.91 (Table 1). The branch-and-bound parsimony analysis resulted in a tree showing C8 α and C8 β grouped and segregated from all seven C9, C6, and C7 (Fig. 2). The internal arrangement of the C9 group, with the exception of horse C9, is in good agreement with the well-known phylogeny of these species. However, there was no resolution for the order of emergence of TCC components, as all C6–C9 appear to emerge at the base of the branch of the complement proteins. In contrast, a neighbor-joining analysis using PAUP resulted in a fully resolved tree with bootstrap values better than those obtained by parsimony phylogenetic analysis (Fig. 3). In this tree, the clade formed by C6 and C7 is placed as the sister group of the rest of the TCC com-

ponents. The only anomaly in the arrangement of C9 sequences is the placement of horse C9, which also occurred in the parsimony analysis. Distance values obtained in this analysis are also shown in Table 1. One explanation for the lack of resolution of the parsimony analysis is related to the mosaic structure of C6–C9 genes; if the modules in the different proteins change at different rates, the definition of some relationships could be hindered in a parsimony analysis.

Rate of Evolutionary Change of TCC Protein Genes. To determine the rate of evolutionary change within the complete sequence data used for the analysis, a relative rate test was carried out using all pairwise comparisons among proteins (Fig. 4). Each point on the graph represents the Z value obtained by comparing the distances between a given pair of TCC proteins and perforins. Two groups of change trends were observed: C8 β proteins group with all C9 except human (Fig. 4A), whereas human and rabbit C8 α group with C6 and C7, which follow an opposite rate trend (Fig. 4C). A significant rate divergence (at the 5% confidence level) was observed only when human C9 was compared with C6 and C7 (Figs. 4B and C), however, divergences close to the cutoff value of 1.96 were also observed between human C9 and both C8 α (Fig. 4C). Most of the other pairwise comparisons with human C9 resulted in Z values higher than the rest (Fig. 4A and B). Additional relative rate tests carried out on pairwise comparisons of individual cysteine-poor or epidermal growth factor modules indicated that significant deviations of the rate constancy were caused by the cysteine-poor module (not shown). This divergence in the change rates most likely explains the lack of resolution of the parsimony phylogenetic analysis, which does not consider heterogeneity in evolutionary rates.

C9puffer CV...WSRWAPWSPDPCNTNRRRSRVVEVFGQFAGIACQGSV..GDREYCIITNAKCNLPPRECSDSSEFQC..EGGSCIKLRLKCNQDYDCEDGS..DE..D..CE..PLRKT..CPPTVLDTN 144
 C9trout CV...WSRWSEWTPCNSCTKIRHRSRSVVEVFGQFAGIACQGSV..QPIGBOQRCSTDAVCBQALPSECGSIEFTC..EGGACIKLRLKCNQDYDCEDGS..DE..D..CE..PVRKP..CRDKLYDTN 127
 C9rat CRM...STWSQWQCDPCLKQRFRRSRSMEVEFGQFAGIACQGSV..DALGDRQCEPTDQCEBEVQEN..C..GNDPQC..ETGRICIKRLLKCNQDYDCEDGS..DESD..CESDP..RLP..CRDRVVEES 161
 C9mus CRM...SPWSNWSQCDPCLKQRFRRSRSMEVEFGQFAGIACQGSV..DVLGDRQCEPTDQCEBEVQEN..C..GNDPQC..ETGRICIKRLLKCNQDYDCEDGS..DESD..CESDP..RTP..CRDRVVEES 128
 C9rabb CRM...SPWSSEWQCDPCLKQRFRRSRSMEVEFGQFAGIACQGSV..DALGDRRACIPTDQCEBEVQEN..D..CEKDEPFGC..TGRICIKRLLKCNQDYDCEDGS..DEDD..CETEP..RLT..CRNREVOES 151
 C9horse CRM...SPWSSEWQCDPCLKQRFRRSRSMEVEFGQFAGIACQGSV..DAVGDRRQCEPTDQCEBEVQEN..D..CEKDEPFGC..TGRICIKRLLKCNQDYDCEDGS..DEDD..CENPD..RPP..CRDRVVEES 150
 C9human CRM...SPWSSEWQCDPCLKQRFRRSRSMEVEFGQFAGIACQGSV..DAVGDRRQCEPTDQCEBEVQEN..D..CEKDEPFGC..TGRICIKRLLKCNQDYDCEDGS..DEDD..CESEP..RPP..CRDRVVEES 150
 C8arabb CQL...SNWSEWQCDPCLKQRFRRSRSMEVEFGQFAGIACQGSV..DIW..DRASCYSSTPACTLRPAQ..C..GQDFQCKETGRCLKRHLVCNQGDDQDLGDS..DEDD..CEDVRAIDEDCSQYV..PT 146
 C8ahuman CQL...SNWSEWQCDPCLKQRFRRSRSMEVEFGQFAGIACQGSV..DIW..DRASCYSSTPACTLRPAQ..C..GQDFQCKETGRCLKRHLVCNQGDDQDLGDS..DEDD..CEDVRAIDEDCSQYV..PT 146
 C8brabb CEL...SNWSEWQCDPCLKQRFRRSRSMEVEFGQFAGIACQGSV..CATSRPCRSQVR..CEG..FVCAQITGRVCVNRLLKCNQDYDCEDGS..DEAN..CRRIYKK...CHHEMEQYV 170
 C8bbhuman CEL...SNWSEWQCDPCLKQRFRRSRSMEVEFGQFAGIACQGSV..CVNTRPCRSQVR..CEG..FVCAQITGRVCVNRLLKCNQDYDCEDGS..DEAN..CRRIYKK...CQHEMEQYV 170
 C6human CLG...GDFGQFAGIACQGSV..DPCDICEKQSVRSVLRPSQFQGGPCTEPLVAFQP..CIPSKLCKI..BEADC..KMKFRCD..SGRCIARLKCENQDNDGDS..DEAN..CGRYKAV...CTRYFN..PI 187
 C7human CQWDFYAPWSE...CNGCTKTQTRRRSRVAVYGOYGGQPCVNAF..ETQS..CBPTRGCTP..EE..GC..GERFRFCF..SGQCISKSLVCNQGDDQDLGDS..DRCEDSE..RRPSCDIDK..PP 132
 performus
 perforat
 perfohum

C9puffer BQGRTA..GYGINL..GADPRMPPNND..FPNG..RCDKVRNPNLQLDRLPWNIQVGLVYQVTNI..KNKSPMRVYGRQLSTYRMRSHQ..LQVADFEVAHVKSPLPEYKGI..YFAFLE..D 324
 C9trout BQGRTA..GYGINL..GMEIRINPPNND..FYNG..MCKNKNININNEYNRLPWNVGLLNYEYTTI..KNKSPMRVYGRQLSTYRMRSHQ..LQVADFEVAHVKSPLPEYKGI..YFAFLE..D 311
 C9rat ELGRTA..GYGINL..GMEIRLTPPDNE..FYNG..LCDRVRDENTLTYRKPWNVAVLAYEYLSQK..KMLHYRGMQLGRFVVRNRD..VMTTTELDVVKALPVSYEKGE..YFGLF..T 356
 C9mus ELGRTA..GYGINL..GMEIRLTPPDNE..FYNG..LCDRVRDENTLTYRKPWNVAVLAYEYLSQK..KMLHYRGMQLGRFVVRNRD..VMTTTELDVVKALPVSYEKGE..YFGLF..T 319
 C9rabb ELARTA..GYGINL..GMDPLATPPDNE..YYNG..LCDRVRDENTLTYRKPWNVAVLAYEYSSQ..KEKMLLVKGIQLGRFVVRNRD..VMTTTELDVVKALPVSYEKGE..YFAFLE..T 346
 C9horse ELARTA..GYGINL..GMDPLATPPDNE..YYNG..LCDRVRDENTLTYRKPWNVAVLAYEYSSQ..KEKMLLVKGIQLGRFVVRNRD..VMTTTELDVVKALPVSYEKGE..YFAFLE..T 341
 C9human ELARTA..GYGINL..GMDPLATPPDNE..FYNG..LCDRVRDENTLTYRKPWNVAVLAYEYSSQ..KEKMLLVKGIQLGRFVVRNRD..VMTTTELDVVKALPVSYEKGE..YFAFLE..T 341
 C8arabb PGSKQALGY..NILTQBEAGS..YVDARYY..GRCCTVYNGEWRHVRYPVPCR..LHHNGEK..KYN..EMRIPTKQTAHFKMRKDD..IIMDEGMLQSLMELPQNYNGM..YSKFN..D 336
 C8ahuman PGSKQALGY..NILTQBEAGS..YVDASY..GQCCTVYNGEWRHVRYPVPCR..LYYGVNEK..KFI..ETRIPTKQTAHFKMRKDD..IIMDEGMLQSLMELPQNYNGM..YAKFN..D 336
 C8brabb ATGSLAS..GINLPTNSPEG..PYLDHRYAAGG..CNPHYILDMR.....FSHT..KSK..FLHARGALEVAHYKL..KPRNMLHVDLQVORVORPLEYSYGE..YRD..LFRD 339
 C8bbhuman ATGSLAS..GINLPTNSPEG..PYLDHRYAAGG..CNPHYILDMR.....FSHT..KSK..FLHARGALEVAHYKL..KPRNMLHVDLQVORVORPLEYSYGE..YRD..LFRD 339
 C6human PISVQLM..GNGFHELAGE..PRGEVLDNS..FT..GGICKTVKSRSTSNPYRVPAN...LENVASHK..KDSSEIRIHKVMKLNFTT..KAKDLHSDVFLKALNHLPLEYM..SALYSR..IFDD 360
 C7human PNIELT..GNGYELTQGVYR..VINTKSF...GGQCRKVFSGDGKDFYRLSGN...VLSYT...HKGKSYQLLVYENTVEVAQFINNNEPEFLQALPEPPEKLSHLPSLYDYSY..YRR..LIDQ 296
 performusYRR..LISS 217
 perforatYRR..LISS 217
 perfohumYLR..LISN 217

C9puffer YGTHYTKNGKSGGEYE..LVY..VLNQDTIKAKN..LTE..RKIQRCIKIGIVVDNVMVTSGKGSLESAVTMMWARTIASA..PALINSEBPIIYM..LIPTDIPGA..NSRIANLQKQATADYVAB 488
 C9trout YGTHYTRNGKSGGEHQ..LVY..VLNQDTIKDKK..LTE..RKLQDCIKVIGILVDKVIIVTRGGTLEAAVAMWARTVGDG..PALLSSEBPIIQT..LIPLSMPDA..NTRRLNMQRATQVEYAB 475
 C9rat YGTHYSSTGSLGGQYE..LIY..VLDKASMKK..GVE..LSDYKRCL..GFIIDVVISIRGGTRQKAVLWASSLDDA..PALISQKLSPIY..LPLTKMDA..YAKQNMKRAIDBYVME 526
 C9mus YGTHYSSTGSLGGQYE..LIY..VLDKASMKK..GVD..LNDYKICL..GFIIDVVISIRGGTRQKAVLWASSLDDA..PALISQKLSPIY..LPLTKMDA..YAKQNMKRAIDBYVME 487
 C9rabb YGTHYSSTGSLGGQYE..LIY..VLDKASMKK..GIE..LNDYKICL..GFIIDVVISIRGGTRQKAVLWASSLDDA..PALISQKLSPIY..LPLTKMDA..YAKQNMKRAIDBYVME 514
 C9horse YGTHYSSTGSLGGQYE..LIY..VLDKASMDQK..GVE..LRDIQRCL..GFIIDVVISIRGGTRQKAVLWASSLDDA..PALISQKLSPIY..LPLTKMDA..YAKQNMKRAIDBYVME 504
 C9human YGTHYSSTGSLGGQYE..LIY..VLDKASMKK..GVE..LKDIKRCL..GFIIDVVISIRGGTRQKAVLWASSLDDA..PALISQKLSPIY..LPLTKMDA..YAKQNMKRAIDBYVME 504
 C8arabb YGTHYITSGSGGIYE..YILYLNTKMKMESL..GITS..EDISSCE..GGF..KDIISRVRGSSGWSGGLWGRSLKYN..PVVIDFEMOPIHEVLRHTSLGLPL..EAKQNLRALDQYVME 495
 C8ahuman YGTHYITSGSGGIYE..YILYLNTKMKMESL..GITS..EDISSCE..GGF..KDIISRVRGSSGWSGGLWGRSLKYN..PVVIDFEMOPIHEVLRHTSLGLPL..EAKQNLRALDQYVME 493
 C8brabb FGNHFTTEAVLGGIYE..YTLINMKEAMER..ADYSLNDYQACAKNDF..DLVVLVRGGASHEITLWGDVAVQY..PALIKIKVPEPLYE..LYTATDV..AYSSTVQNMQRQALBEPFQGE 499
 C8bbhuman FGNHFTTEAVLGGIYE..YTLINMKEAMER..ADYSLNDYQACAKNDF..DLVVLVRGGASHEITLWGDVAVQY..PALIKIKVPEPLYE..LYTATDV..AYSSTVQNMQRQALBEPFQGE 499
 C6human FGNHFTTEAVLGGIYE..YTLINMKEAMER..ADYSLNDYQACAKNDF..DLVVLVRGGASHEITLWGDVAVQY..PALIKIKVPEPLYE..LYTATDV..AYSSTVQNMQRQALBEPFQGE 499
 C7human YGTHYLSQSGSLGGQYRVLVY..VDSKEL..KQNDP..NSVBEKCKKSSGW.....IRGGAGFISGLWASVYT..NLPQVVKQKLTPLYE..LYKEVPC..AS..VKKLYLKWALBEYL... 447
 performus YGTHFITAVDLGG..RISVLTALRTCQLTLD..GLTAD..EYGDCLNVEA.....LGGFLDSTHDLWTASLPSN..PGLVDYSLBPLHT..LLEBQNP.....KRALRQALSHYVMS 369
 perforat YGTHFITAVDLGG..RISVLTALRTCQLTLD..GLTAD..EYGDCLNVEA.....LGGFLDSTHDLWTASLPSN..PGLVDYSLBPLHT..LLEBQNP.....KRALRQALSHYVMS 369
 perfohum YGTHFITAVDLGG..RISVLTALRTCQLTLD..GLTAD..EYGDCLNVEA.....LGGFLDSTHDLWTASLPSN..PGLVDYSLBPLHT..LLEBQNP.....KRALRQALSHYVMS 369

C9puffer YNV...CKRCPCHNGGTALLLDGKCI CMCSNLPEGLG..CQWSCWSSWNSQC..GQKRSRTRYCNTEGVL...GARCRGEIRSEYIC 586
 C9trout YSV...CKKCPCHNGGSLALLLDGKCLCLCPQFEGLA..CQWSCWAAWSSGCS..GKRIKTRSCNTQGLS...DATCRGDIVTEYDC 574
 C9rat FSARK...CYPQCGGTALLLDGQCMCSCTIKFKGIA..CE..... 562
 C9mus FSTKR...CYPCLNGGTIILLDGGCLCSPMMFRMA..CE..... 523
 C9rabb FSTKK...CSPQCGGTALLLDGQCLCTCPMFEFTA..CE..... 550
 C9horse FSVRK...CHPQCGGTVIQIDGQCLCSCPIAFEGIA..CE..... 540
 C9human FSVRK...CHTQCGGTVILMDGKCLCACPFKFEFTA..CE..... 540
 C8arabb F...NACRCGPFNNKPILEGTSRCRCQCSLGLQPA..CEWSCWSSWNSPCTAGTRERRRE..CNPAPQNGGACPCGHRVQTQC 585
 C8ahuman F...NACRCGPFNNKPILEGTSRCRCQCSLGLQPA..CEWSCWSSWNSPCTAGTRERRRE..CNPAPQNGGASCPGRKVTQAC 584
 C8brabb VSP...CRCAPCQCGVPLVKGSRDCDCI CPVFGQSA..CEWSCWSSWNSGSGQKTRRRQ..CNPAPQDGGSPCSGPASETLAC 590
 C8bbhuman VSS...CHCAPCQCGVPLVKGSRDCDCI CPVFGQSA..CEWSCWSSWNSGSGQKTRRRQ..CNPAPQDGGSPCSGPASETLAC 590
 C6human FDP...CQCAPCQCGVPLVKGSRDCDCI CPVFGQSA..CEWSCWSSWNSGSGQKTRRRQ..CNPAPQDGGSPCSGPASETLAC 611
 C7human DP...CHCRPQCGVPLVKGSRDCDCI CPVFGQSA..CEWSCWSSWNSPVCVQKKTTRR..ECNFPFSGGSRSCVGETTSTQC 543
 performus RARWQNS..RPRS..GQKSSSDSCQCBQDSKVTINQDC..... 406
 perforat RARWRDCN..RPCRA..GQKSSSDSCQCBQDSKVTINQDC..... 406
 perfohum RARWRDCS..RPCPP..GRQSPRDPQCVCVCHGSAVTTQDC..... 406

Excluded sites
 1-36, 200-268, 369-404, 425-441, 525-545
 1-18, 183-255, 356-391, 412-428, 512-533
 1-53, 217-300, 399-441, 462-479, 563-567
 1-20, 182-263, 362-402, 423-440, 524-528
 1-42, 207-290, 389-429, 450-467, 551-557
 1-42, 206-285, 384-419, 440-457, 541-547
 1-42, 206-285, 384-420, 441-457, 541-559
 1-38, 201-281, 380-417, 436-446, 531-542
 1-38, 201-281, 380-417, 435-445, 530-541
 1-64, 210-284, 384-421, 439-451, 536-547
 1-64, 210-284, 384-421, 439-451, 536-547
 1-81, 240-304, 405-442, 456-471, 554-567, 612-934
 1-27, 188-242, 343-376, 389-405, 488-502, 546-843
 1-210, 262-302, 314-326, 407-554
 1-210, 262-302, 314-326, 407-554
 1-211, 263-303, 315-327, 406-555

Bold = Identical positions
Underlined = Same group amino acids
 □ = Informative position
 ▴ = Points where variable sites were excluded
 ▾ = Thrombospondin module
 ▬ = Low Density Lipoprotein receptor module
 = Cysteine-poor region
 ▬ = Epidermal Growth Factor module

Fig. 1. Alignment of partial amino acid sequences of C6-C9 and perforins used for the phylogenetic analyses. All sites for which positional homology was uncertain were excluded (listed at the end of the alignment). Numbering of sequences is used as they appear in GenBank. puffer, puffer fish; trout, rainbow trout; mus, mouse; rabb, rabbit; performus, mouse perforin; perforat, rat perforin; perfohum, human perforin.

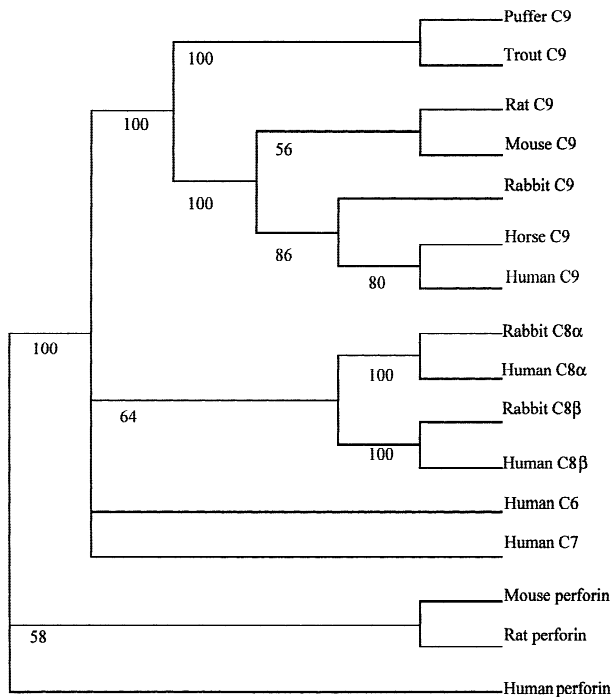


Fig. 2. Parsimony analysis. Fifty percent majority-rule consensus tree resulting from a branch-and-bound analysis of the amino acid sequences of C6–C9 with 100 bootstrap replicates. Perforins were used as outgroups.

If a given module has changed at a rate significantly different from the others, its identity values should correlate with these differences. As shown in Table 2, identity values of the low-density lipoprotein and carboxyl-terminal thrombospondin modules are significantly different from those of the other modules, whereas the cysteine-poor and amino-terminal thrombospondin identity values are not significantly different from that of the epidermal growth factor module. The mean of identity values within the cysteine-poor region is the lowest, suggesting that this module has changed more rapidly than the rest.

Discussion

Our results from the distance phylogenetic analysis using all deduced amino acid sequences available for perforins and C6–C9 suggest that C6 and C7 were the earliest of the homologous TCC proteins to emerge during evolution. Perforins have a simple modular composition including single cysteine-poor and epidermal growth factor modules. Among TCC components, the C9 proteins are the simplest, with cysteine-poor and epidermal growth factor modules like the perforins, plus thrombospondin and low-density lipoprotein receptor modules. In addition to the cysteine-poor, epidermal growth factor, low-density lipoprotein receptor, and thrombospondin modules of C9, C8 α and C8 β possess an extra throm-

Table 2. Average pairwise identity values for individual thrombospondin, low-density lipoprotein receptor, cysteine-poor, and epidermal growth factor modules among TCC proteins

Module	Average	SD ^a
Low-density lipoprotein receptor	63.9	11.5 a
Thrombospondin C terminal	50.1	11.5 b
Thrombospondin N Terminal	43.8	15.3 c
Epidermal growth factor	42.2	16.7 cd
Cysteine-poor region	38.4	16.7 d

^a Letters following the SD values show the results from a Wilcoxon two-sample test. Data followed by different letters are significantly different from each other ($p = 0.05$).

bospondin module (also present in C9 from fish). The more complex modular structures of C6 and C7 show additionally two short consensus repeats and two complement factor I modules; finally, C6 also possesses one more amino-terminal thrombospondin module (Hobart et al. 1995). The tree obtained from our distance analysis suggests that duplication of the ancestral gene with a complex modular composition evolved through two pathways, each characterized by a tendency to lose modules: one pathway led to the simple structure of perforin, which functions independently to form pores. The second evolutionary pathway produced the ancestor of C6–C7, with its complex modular structure. Further duplications and loss of modules led successively to C8 and C9. The TCC evolutionary pathway, unlike the perforin pathway, retained the evolutionary intermediate proteins (C6–C8), presumably because of their function in signaling, *vide infra*.

Our results suggest a single origin for C6–C9 proteins and that the minimum common ancestor of the terminal components must have possessed a complex modular structure, in agreement with the concept that it extended from the amino-terminal region of C6 up to exon 10 or 11 of the carboxyl-terminal of C8 (Hobart et al. 1993, 1995; Hobart 1998). The fact that puffer fish and trout C9's are similar in their modular composition to human rabbit C8 α and C8 β (Yeo et al. 1997; Tomlinson et al. 1993), and different from the more recent C9 of mammals, might be indicative of this tendency to lose modules after the emergence of the ancestral complex gene. Hobart et al. (1993, 1995; Hobart 1998) also proposed that C6 and C7 emerged first, then C8, and later C9. In their genetic analysis of C6 and C7 proteins, they noted that intron–exon boundaries occurred commonly within modules, as opposed to between modules. This complex intron–exon relationship between the low-density lipoprotein receptor and the cysteine-poor, as well as between the low-density lipoprotein receptor and the adjacent thrombospondin module is conserved from C6 to C9. Thus, it would be unlikely that C9 could have arisen by simple exon shuffling.

The earlier emergence of C6 and C7 has functional implications: C5b and C5b6 cannot signal, but C5b67

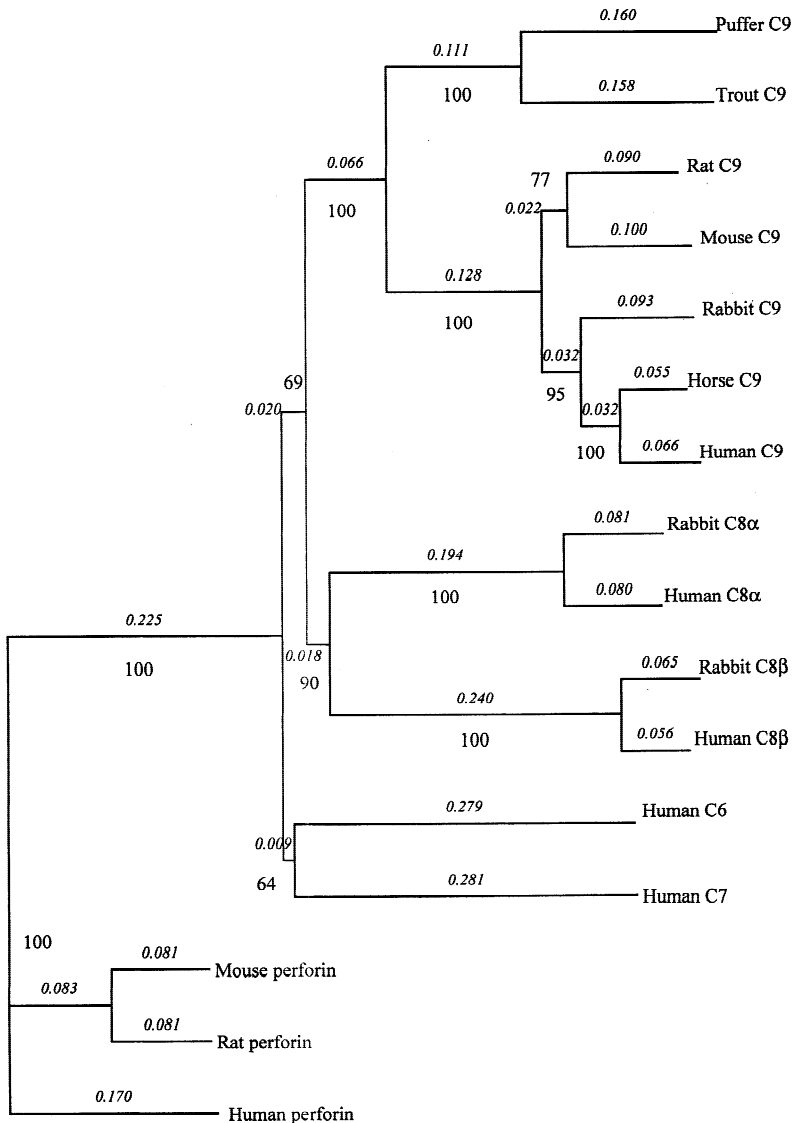


Fig. 3. Distance analysis. Fifty percent majority-rule consensus tree resulting from a neighbor-joining analysis of the amino acid sequences of C6–C9 with 100 bootstrap replicates. Branch lengths (in *italics*) and bootstrap values are also shown. Perforins were used as outgroups.

can transduce intracellular signals by two mechanisms (Vanguri and Shin 1988; Niculescu et al. 1993). C5b67 can insert into the membrane (Hammer et al. 1975) and signal using Gi (Niculescu et al. 1994) proteins or can refold and signal from outside the cell (Wang et al. 1995), also using Gi proteins (Wang et al. 1996). Importantly, C5b67 is the smallest functioning signaling unit of the TCC. The subsequent emergence of C8 would have allowed a floppy C5b678 channel to form (Gee et al. 1980), which enhanced the basal Gi signaling with a modest Ca^{2+} flux. Finally, the emergence of C9 would allow a rigid channel to form and a major Ca^{2+} flux could supplement the basal Gi signaling (Michaels et al. 1976). The functional consequences of the incremental signaling afforded when C8 or C8 plus C9 is added to membrane-inserted C5b–7 are well documented (Niculescu et al. 1993, 1997). Although purified C9 can polymerize by itself to form a channel in vitro, the conditions necessary for this activity are not physiologic, i.e., a high molar concentration of C9, an absence of C5b–8, and an ab-

sence of control proteins (DiScipio and Hugli 1985). In normal circumstances C8 can bind only to C5b–7, while C9 can bind only to C5b–8. This enforced order of reactivity provides further functional evidence that the order of evolution was from C6 to C9.

In summary, our phylogenetic analysis strongly supports the earlier emergence of C6 and C7, followed successively by C8 and C9. The functional similarities between C9 and perforins have two independent origins in the phylogeny presented, and the selective conditions that led to these two proteins with pore-forming ability were presumably different. The need for a cell lytic potential led to the evolution of perforin, whereas the selective advantage of enhancing the C5b–8 signal through increasing the Ca^{2+} flux led to the evolution of C9. The divergence after the duplication of the common ancestral gene, which gave rise through separate pathways to the pore-forming perforin and C9, is supported by the fact that nonhomologous regions appear to be responsible for the lytic activity in both proteins (Liu et al. 1995). Ac-

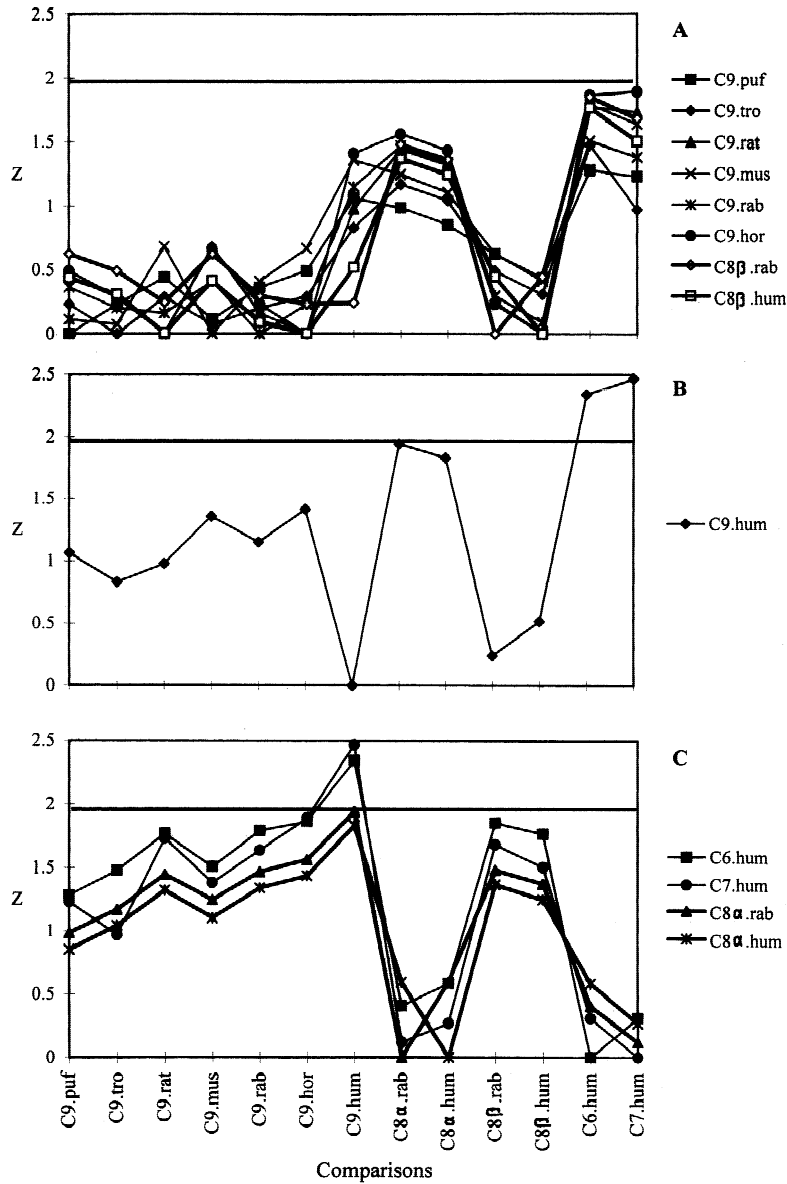


Fig. 4. Heterogeneity of evolutionary change among C6–C9. Statistic Z values obtained from the relative rate test by pairwise comparisons among sequences. Each point on the graph represents the Z value obtained from comparing the distance from a couple of sequences to the performins. Points are joined by lines only for presentation purposes. The horizontal line shows the cutoff value (above 1.96 is considered to be out of a constant evolution rate). C9.puf, puffer fish C9; C9.tro, rainbow trout C9; C9.mus, mouse C9; C9.rat, rat C9; C9.rab, rabbit C9; C9.hor, horse C9; C9.hum, human C9; C8α.rab, rabbit C8α; C8α.hum, human C8α; C8β.rab, rabbit C8β; C8β.hum, human C8β; C6.hum, human C6; C7.hum, human C7.

cording to this view, the pore-forming ability shared by C9 and perforin is an example of parallelism.

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