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Hypersymmetry bounds and three-dimensional higher-spin black holes

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Abstract: We investigate the hypersymmetry bounds on the higher spin black hole parameters that follow from the asymptotic symmetry superalgebra in higher-spin anti-de Sitter gravity in three spacetime dimensions. We consider anti-de Sitter hypergravity for which the analysis is most transparent. This is a $osp(1|4) \oplus osp(1|4)$ Chern-Simons theory which contains, besides a spin-2 field, a spin-4 field and a spin-5/2 field. The asymptotic symmetry superalgebra is then the direct sum of two-copies of the hypersymmetric extension $W_{(2, \frac{5}{2},4)}$ of $W_{(2,4)}$, which contains fermionic generators of conformal weight 5/2 and bosonic generators of conformal weight 4 in addition to the Virasoro generators. Following standard methods, we derive bounds on the conserved charges from the anticommutator of the hypersymmetry generators. The hypersymmetry bounds are nonlinear and are saturated by the hypersymmetric black holes, which turn out to possess $1/4$ -hypersymmetry and to be "extreme", where extremality can be defined in terms of the entropy: extreme black holes are those that fulfill the extremality bounds beyond which the entropy ceases to be a real function of the black hole parameters. We also extend the analysis to other $sp(4)$ -solitonic solutions which are maximally (hyper)symmetric.

Keywords: Black Holes, Space-Time Symmetries, Classical Theories of Gravity, Gaugegravity correspondence

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Contents

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1 Introduction

Higher-spin anti-de Sitter gravity in three dimensions [\[1](#page-22-0)[–3](#page-22-1)] has attracted in recent years a great deal of interest. One result that triggered that interest has been the derivation of its asymptotic algebra, which is given by two copies of a nonlinear W -algebra, the detailed structure of which depends on the spin content of the model $[4, 5]$ $[4, 5]$. The nonlinear Walgebra extends by generators of higher conformal weights the asymptotic Virasoro algebra of pure AdS gravity [\[6](#page-22-4)]. It has the same classical central charge.

Another remarkable feature of the theory is that it admits black holes endowed with charges carried by the extra generators [\[7](#page-22-5)[–13\]](#page-22-6). These generalize the BTZ black holes of pure gravity [\[14,](#page-22-7) [15\]](#page-22-8). Although the causal structure of these black holes is difficult to define given that the spacetime geometry associated with the metric is not invariant under the gauge transformations of the higher spin gauge fields, one can study their thermodynamics by considering the Euclidean continuation of the theory. One can then define without ambiguity entropy, temperature and chemical potentials conjugate to the higher spin charges. In fact, it is through the Euclidean formulation that black holes are defined, as solutions of the Euclidean theory with solid torus topology that admit a well-defined thermodynamics.

The black hole entropy can be expressed as a function of the (extensive) global charges, i.e, the mass, angular momentum ("spin-2" charges) and higher spin charges. The entropy takes real values only when these parameters vary within a subregion of parameter space. Outside that region, the solution is not a black hole because it has no sensible thermodynamics (complex entropy). The extreme black holes are defined to be those that lie precisely on the boundary of the allowed region in parameter space. In the pure gravity case, where there exists a well-defined geometry, this definition coincides with the definition in terms of causal structure and coincident horizons. Extreme black holes are characterized by a different topology in the Euclidean formulation, because the thermal cycles, which are contractible in the non-extreme case where the solution has solid torus topology, cease to be so in the extremal case.

Extreme black holes in four dimensions have exceptional properties [\[16](#page-22-9)[–25\]](#page-23-0), which, in supersymmetric theories, can often be related to the fact that they possess supersymmetry and saturate Bogomol'nyi bounds. This feature was shown to hold also for extreme BTZ black holes in [\[26](#page-23-1)]. In the framework of higher spin theory, supersymmetry is naturally enlarged into a symmetry which contains fermionic generators of half-integer spins greater than 1/2, called "hypersymmetry generators". The asymptotic symmetry superalgebra of the corresponding three-dimensional models contains then fermionic generators of half-integer conformal weights greater than $3/2$ [\[27](#page-23-2)]. One may therefore wonder whether hypersymmetry has consequences similar to supersymmetry and, in particular, whether it implies also bounds on the conserved charges of higher spin black holes.

The simplest context in which to investigate this question is hypergravity, which contains just the hypersymmetry generators and no other fermionic symmetry. Hypergravity was pioneered in four dimensions in [\[28](#page-23-3)[–30](#page-23-4)], where no-go theorems were established. In three dimensions, however, the no-go theorems can be evaded [\[31\]](#page-23-5). Three-dimensional AdS hypergravity is a Chern-Simons theory with gauge superalgebra given by $osp(1|4) \oplus osp(1|4)$, with $sl(2,\mathbb{R})$ principally embedded in $sp(4)$ (in each sector). It has been the recent focus of study in [\[32,](#page-23-6) [33\]](#page-23-7). The theory contains a spin-2 field, a spin-5/2 field and a spin-4 field and has $\mathcal{N} = (1, 1)$ hypersymmetry (that is, the minimum amount of hypersymmetry in each sector, i.e., 4+4 hypersymmetry parameters). In the limit where the cosmological constant vanishes, the spin-4 field can be consistently decoupled, reproducing the theory of [\[31](#page-23-5)] (see also [\[34](#page-23-8)]).

We construct the black hole solutions of the theory, by introducing the chemical potentials in a manner that preserves manifestly the asymptotic symmetry. Preserving the asymptotic symmetry is of course a mandatory step if one wants to discuss its implications! We discuss the black hole thermodynamical properties and derive in particular the entropy as a function of the black hole conserved charges. This enables one to identify the extreme black holes.

We then investigate the hypersymmetry properties of the black holes. We show that the maximum amount of hypersymmetry that the black holes can have is one quarter of hypersymmetry on each side. The hypersymmetric black holes turn out to be extreme, even though not all extreme black holes are hypersymmetric. Furthermore, the hypersymmetric black holes saturate exactly the nonlinear bounds on the charges that follow from the asymptotic algebra. Therefore, hypersymmetry enables one to derive interesting bounds on the charges, just as supersymmetry.

It should be stressed that the bounds that emerge are nonlinear and ought to be so if the hypersymmetry bounds are to match the extremality bounds, given that the entropy is a nonlinear function of the charges.

We then turn to a different class of solutions, the so-called $sp(4)$ -solitons, which by construction are maximally (hyper)symmetric, i.e., they are invariant under global $OSp(1|4)$ transformations. Interestingly, the hypersymmetry bounds imply that the spin-4 charge of this class of solutions is nonnegative. Furthermore, it is found that the spin-4 chemical potential μ plays an interesting role, since it can be suitably fixed so that the configuration is able to retain up to half of the hypersymmetries in the Euclidean continuation. Indeed, if μ were absent, the hypersymmetries would be completely broken for "hot sp(4)-solitons".

Our paper is organized as follows. In section [2,](#page-3-0) we describe the model and show that its asymptotic symmetry superalgebra is given by two copies of the (classical limit of the) WB_2 -superalgebra, also known as $W(2, 5/2, 4)$ -superalgebra [\[35,](#page-23-9) [36\]](#page-23-10). We then prove (section [3\)](#page-6-0) that this superalgebra implies, with unitarity, nonlinear bounds on the bosonic charges, which are obtained by considering the anticommutators between the spin-5 $\frac{5}{2}$ charges. Next, in section [4,](#page-8-0) we construct and analyse the black hole solutions and the $sp(4)$ -solitons, discussing in particular the allowed range of parameters. We show that the black holes fulfill the hypersymmetry bounds but that only a subset of solitons, which we explicitly determine, do. Section [5](#page-13-0) is devoted to the study of the hypersymmetries of the solutions, i.e., to solving the Killing vector-spinor equation in the black hole and soliton backgrounds. We also show there that the hypersymmetric black holes are extremal. Section [6](#page-16-0) collects our concluding remarks. The paper is completed by two appendices. [A](#page-17-0)ppendix A gives our conventions on $osp(1|4)$ and provides the technical details of the derivation of the asymptotic symmetries. Appendix [B](#page-20-0) examines black holes associated with a different embedding of $sl(2)$ into $osp(1|4)$.

2 Asymptotic structure of hypergravity

AdS hypergravity in three-dimensional spacetimes with the minimum amount of hypersymmetry in each sector can be described as an $osp(1|4) \oplus osp(1|4)$ Chern-Simons theory. The (anti)commutation relations of $osp(1|4)$ are explicitly:

$$
[L_i, L_j] = (i - j) L_{i+j},
$$

\n
$$
[L_i, U_m] = (3i - m) U_{i+m},
$$

\n
$$
[L_i, S_p] = \left(\frac{3}{2}i - p\right) S_{i+p},
$$

\n
$$
[U_m, U_n] = \frac{1}{2^2 3} (m - n) \left((m^2 + n^2 - 4) \left(m^2 + n^2 - \frac{2}{3}mn - 9 \right) - \frac{2}{3} (mn - 6)mn \right) L_{m+n}
$$

\n
$$
+ \frac{1}{6} (m - n) (m^2 - mn + n^2 - 7) U_{m+n},
$$

\n
$$
[U_m, S_p] = \frac{1}{2^3 3} (2m^3 - 8m^2p + 20mp^2 + 82p - 23m - 40p^3) S_{i+p},
$$

\n
$$
\{S_p, S_q\} = U_{p+q} + \frac{1}{2^2 3} (6p^2 - 8pq + 6q^2 - 9) L_{p+q}.
$$

\n(2.1)

Here L_i , with $i = 0, \pm 1$, stand for the spin-2 generators that span the $sl(2, \mathbb{R})$ subalgebra, while U_m and S_p , with $m = 0, \pm 1, \pm 2, \pm 3$ and $p = \pm \frac{1}{2}$ $\frac{1}{2}, \pm \frac{3}{2}$ $\frac{3}{2}$, correspond to the spin-4 and fermionic spin- $\frac{5}{2}$ generators, respectively. Here, the word "spin" refers to the conformal weight of the corresponding asymptotic generators in the conformal algebra at infinity, see below.

The dynamics derives from the difference of two Chern-Simons actions, $I = I_{CS}[A^+]$ – $I_{CS}[A^-]$, with

$$
I_{CS}[A] = \frac{k_4}{4\pi} \int str \left[A dA + \frac{2}{3} A^3 \right],
$$
\n(2.2)

where the level, $k_4 = k/10$, is expressed in terms of the Newton constant and the AdS radius according to $k = \ell/4G$. In eq. [\(2.2\)](#page-4-0) str [\cdots] stands for the supertrace of the fundamental (5×5) matrix representation described in appendix [A](#page-17-0) and the gauge fields A^{\pm} correspond to the two independent copies of $osp(1|4)$.

As explained in [\[37](#page-23-11)], by virtue of a suitable choice of gauge group elements, $g_{\pm} = g_{\pm}(r)$, the radial dependence of the asymptotic form of the connections can be completely gauged away, so that $A^{\pm} = g_{\pm}^{-1} a^{\pm} g_{\pm} + g_{\pm}^{-1} d g_{\pm}$, with

$$
a^{\pm} = a_{\varphi}^{\pm} (t, \varphi) d\varphi + a_{t}^{\pm} (t, \varphi) dt.
$$
 (2.3)

Then, following the lines of [\[4](#page-22-2), [5](#page-22-3), [27](#page-23-2), [38\]](#page-23-12), the asymptotic behaviour of the dynamical fields at a fixed time slice $t = t_0$, is assumed to be such that deviations with respect to the reference background go along the highest weight generators, i.e.,

$$
a_{\varphi}^{\pm} = L_{\pm 1} - \frac{2\pi}{k} \mathcal{L}^{\pm} (t, \varphi) L_{\mp 1} + \frac{\pi}{5k} \mathcal{U}^{\pm} (t, \varphi) U_{\mp 3} - \frac{2\pi}{k} \psi^{\pm} (t, \varphi) \mathcal{S}_{\mp \frac{3}{2}}.
$$
 (2.4)

These fall-off conditions are maintained under a restricted set of gauge transformations, $\delta a^{\pm} = d\Omega^{\pm} + [a^{\pm}, \Omega^{\pm}],$ where each of the Lie-algebra-valued parameters

$$
\Omega^{\pm} = \Omega^{\pm} \left[\epsilon_{\pm}, \chi_{\pm}, \vartheta_{\pm} \right],
$$

depend on two bosonic and one Grassmann-valued functions of t and φ , given by ϵ_+, χ_+ , and ϑ_{\pm} , respectively. The explicit form of Ω^{\pm} is given in appendix [A.](#page-17-0) The fields \mathcal{L}^{\pm} , \mathcal{U}^{\pm} , and ψ^{\pm} transform in a precise way under the gauge transformations generated by Ω^{\pm} , which is also given in appendix [A.](#page-17-0)

In order to preserve the asymptotic symmetries under evolution in time, the asymptotic form of the Lagrange multiplier has to be of the form

$$
a_t^{\pm} = \pm \Omega^{\pm} [\xi_{\pm}, \mu_{\pm}, \varrho_{\pm}], \qquad (2.5)
$$

where Ω^{\pm} is defined in [\(A.4\)](#page-18-0), and the "chemical potentials" $\xi_{\pm}, \mu_{\pm}, \varrho_{\pm}$ are assumed to be arbitrary functions of t, φ that are fixed at the boundary. It is worth pointing out that the asymptotic form of a_t is preserved under the asymptotic symmetries provided the field equations are fulfilled in the asymptotic region, and the parameters $\epsilon, \chi, \vartheta$ that describe the asymptotic symmetries satisfy suitable "deformed chirality conditions" given by differential equations of first order in time (see [\[11](#page-22-10), [13\]](#page-22-6)).

Following the canonical approach [\[39](#page-24-0)], the asymptotic structure described above allows to readily find the explicit form of the generators associated to the asymptotic symmetries [\[27\]](#page-23-2), which reads

$$
\mathcal{Q}^{\pm} \left[\epsilon_{\pm}, \chi_{\pm}, \vartheta_{\pm} \right] = - \int d\varphi \left(\epsilon_{\pm} \mathcal{L}^{\pm} + \chi_{\pm} \mathcal{U}^{\pm} - i \vartheta_{\pm} \psi^{\pm} \right) . \tag{2.6}
$$

Since the Poisson brackets fulfill $[Q[\eta_1], Q[\eta_2]]_{PB} = -\delta_{\eta_1} Q[\eta_2]$, the algebra of the canonical generators can be easily found by virtue of the transformation law of the fields in eqs. [\(A.6\)](#page-19-0) and [\(A.7\)](#page-19-1). In Fourier modes, $X = \frac{1}{2i}$ $\frac{1}{2\pi} \sum_m X_m e^{im\varphi}$, the algebra is given by

$$
i [L_m, L_n]_{PB} = (m - n) L_{m+n} + \frac{k}{2} m^3 \delta_{m+n}^0,
$$

\n
$$
i [L_m, U_n]_{PB} = (3m - n) U_{m+n},
$$

\n
$$
i [L_m, \psi_n]_{PB} = \left(\frac{3}{2}m - n\right) \psi_{m+n},
$$

\n
$$
i [U_m, U_n]_{PB} = \frac{1}{2^2 3^2} (m - n) (3m^4 - 2m^3 n + 4m^2 n^2 - 2mn^3 + 3n^4) L_{m+n}
$$

\n
$$
+ \frac{1}{6} (m - n) (m^2 - mn + n^2) U_{m+n} - \frac{2^3 3\pi}{k} (m - n) \Lambda_{m+n}^{(6)}
$$

\n
$$
- \frac{7^2 \pi}{3^2 k} (m - n) (m^2 + 4mn + n^2) \Lambda_{m+n}^{(4)} + \frac{k}{2^3 3^2} m^7 \delta_{m+n}^{0},
$$

\n
$$
i [U_m, \psi_n]_{PB} = \frac{1}{2^2 3} (m^3 - 4m^2 n + 10mn^2 - 20n^3) \psi_{m+n} - \frac{23\pi}{3k} i \Lambda_{m+n}^{(11/2)}
$$

\n
$$
+ \frac{\pi}{3k} (23m - 82n) \Lambda_{m+n}^{(9/2)},
$$

\n
$$
i [\psi_m, \psi_n]_{PB} = U_{m+n} + \frac{1}{2} (m^2 - \frac{4}{3}mn + n^2) L_{m+n} + \frac{3\pi}{k} \Lambda_{m+n}^{(4)} + \frac{k}{6} m^4 \delta_{m+n}^0,
$$

where $\Lambda_m^{(l)}$ stand for the expansion of the nonlinear terms in $(A.8)$, while fermionic modes are labeled by integers or half-integers in the case of periodic or antiperiodic boundary conditions, respectively. The modes are assumed to fulfill the following reality conditions: $(L_m)^* = L_{-m}, (U_m)^* = U_{-m}, (\psi_m)^* = \psi_{-m}$ so that the functions $\mathcal{L}^{\pm}, \mathcal{U}^{\pm}$ and ψ^{\pm} are real. The fermionic functions ψ^{\pm} are naturally antiperiodic when the spatial sections are

homeomorphic to the plane. However, for the punctured plane topology, different spin structures are possible and the fermionic functions ψ^{\pm} can be periodic or antiperiodic.

In the case of antiperiodic boundary conditions, the wedge algebra of (2.7) reduces for each copy to $osp(1|4)$. Indeed, dropping the nonlinear terms, the algebra (2.7) reproduces the one in (2.1) once the modes are restricted according to $|n| < s$, where s is the conformal weight of the generators, provided the zero mode of L_n is shifted as $L_0 \to L_0 + \frac{k}{4n}$ $\frac{k}{4\pi}$.

The asymptotic symmetry algebra (2.7) corresponds to the classical limit of $WB₂$, also known as $W(2, 5/2, 4)$ [\[35,](#page-23-9) [36\]](#page-23-10). The spin- $\frac{5}{2}$ fermionic generators ψ_m are the "hypersymmetry" generators.

3 Hypersymmetry bounds from asymptotic algebra

3.1 Derivation of the bounds

It is natural to wonder whether hypersymmetry has similar consequences as supersymmetry. In particular, it is of interest to investigate whether hypersymmetry also implies the existence of bounds for the bosonic conserved charges. In order to pursue this task, we consider bosonic configurations carrying global charges with only zero modes, given by $L_0 = 2\pi\mathcal{L}$ and $U_0 = 2\pi\mathcal{U}$, for each copy ("rest frame" [\[13\]](#page-22-6)).

It turns out that hypersymmetry bounds do exist, and that these searched-for hypersymmetry bounds can be derived from unitarity by following the same semi-classical considerations as in the case of supergravity [\[40](#page-24-1)[–45\]](#page-24-2). It should be noted in that respect that the quantum $W(2, 5/2, 4)$ -superalgebra, with the unitarity conditions $L_m^{\dagger} = L_{-m}$, $U_m^{\dagger} = U_{-m}, \, \psi_m^{\dagger} = \psi_{-m}$ implied by the classical reality conditions, admits arbitrarily large values of the central charge [\[35,](#page-23-9) [36](#page-23-10)]. This allows one to take the classical limit. In the sequel, we shall drop terms that are subdominant in that limit.

To derive the hypersymmetry bounds, one starts from the anticommutators of the hypersymmetry generators in [\(2.7\)](#page-5-0) with $m = -n = p \ge 0$. In the rest frame, these are found to reduce to

$$
(2\pi)^{-1} \left(\hat{\psi}_p \hat{\psi}_{-p} + \hat{\psi}_{-p} \hat{\psi}_p \right) = \hat{\mathcal{U}} + \frac{5}{3} p^2 \hat{\mathcal{L}} + \frac{3\pi}{k} \hat{\mathcal{L}}^2 + \frac{k}{12\pi} p^4 \,. \tag{3.1}
$$

Now, the hermitian operator $\hat{\psi}_p \hat{\psi}_{-p} + \hat{\psi}_{-p} \hat{\psi}_p$ is positive definite. This implies, in the classical limit, that the global charges fulfill the bounds

$$
B_p \equiv \mathcal{U} + \frac{5}{3}p^2 \mathcal{L} + \frac{3\pi}{k} \mathcal{L}^2 + \frac{k}{12\pi} p^4 \ge 0.
$$
 (3.2)

These bounds are manifestly nonlinear.

3.2 Strongest bound

In the periodic case, the bound $B_0 \geq 0$ for $p = 0$ reads

$$
B_0 \equiv \mathcal{U} + \frac{3\pi}{k} \mathcal{L}^2 \ge 0, \qquad (3.3)
$$

and one has

$$
B_p = B_0 + \frac{5}{3}p^2 \mathcal{L} + \frac{k}{12\pi}p^4.
$$
 (3.4)

This shows that when $\mathcal{L} \geq 0$, the bound for $p = 0$ is the strongest one in the sense that $B_0 \geq 0$ implies $B_p > 0$. In the anti-periodic case, one can also write $B_p = B_{\frac{1}{2}} + A_p$ where A_p is manifestly positive when $\mathcal{L} \geq 0$, but this observation is less useful than in the periodic case because $\mathcal L$ is in general not positive for the anti-periodic physically interesting solutions.

3.3 Expression in terms of eigenvalues

It is convenient to express the bounds as

$$
\left(p^2 + \lambda_{[+]}^2\right)\left(p^2 + \lambda_{[-]}^2\right) \ge 0\,,\tag{3.5}
$$

with

$$
\lambda_{[\pm]}^2 = \frac{10\pi}{k} \left(\mathcal{L} \pm \frac{4}{5} \sqrt{\mathcal{L}^2 - \frac{3k}{16\pi} \mathcal{U}} \right) . \tag{3.6}
$$

This is because the $\pm \lambda_{[\pm]}$ precisely coincide with the (non-zero) eigenvalues of the dynamical gauge field a_{φ} , given by

$$
\lambda_{\left[\pm\right]}^{2} = \frac{1}{4} \left(str \left[a_{\varphi}^{2} \right] \pm \sqrt{4str \left[a_{\varphi}^{4} \right] - str \left[a_{\varphi}^{2} \right]^{2}} \right). \tag{3.7}
$$

Note that the eigenvalues of an $sp(4,\mathbb{R})$ matrix come in opposite pairs $\pm\lambda$, and that if λ is an eigenvalue, so is λ^* . Since the holonomies of the dynamical gauge field (2.4) along a circle

$$
\mathcal{H}_{\varphi} = \mathcal{P}e^{\int a_{\varphi} d\varphi} = e^{2\pi a_{\varphi}},\tag{3.8}
$$

are completely characterized by the eigenvalues of a_{φ} , the bounds [\(3.5\)](#page-7-2) can be viewed, for each integer or half-integer p (depending on the periodicity conditions) as bounds on the holonomies.

3.4 The case of real eigenvalues

An interesting and important case corresponds to real eigenvalues $\lambda_{[\pm]}$, because the bounds [\(3.5\)](#page-7-2) are then automatically fulfilled. The condition that the eigenvalues are real implies that $\mathcal{L} \geq 0$ since \mathcal{L} is, up to a positive numerical factor, the sum of the squares of the eigenvalues. Furthermore, one has

$$
-\mathcal{L}^2 \le \frac{k}{3\pi} \mathcal{U} \le \frac{2^4}{3^2} \mathcal{L}^2 \,. \tag{3.9}
$$

The second inequality expresses that the argument under the square root in [\(3.6\)](#page-7-3) is a positive real number, so that the squares of the eigenvalues are real. The first inequality expresses that $\lambda_{[-]}^2 \geq 0$. When $\lambda_{[-]}^2 = 0$, the bound (3.2) (or (3.5)) with $p = 0$ ("strongest") bound"), available for periodic boundary conditions, is saturated. The other bounds ($p >$ 0) are fulfilled, but never saturated.

It should be stressed that the status of the two inequalities in [\(3.9\)](#page-7-4) is rather different. Only the first one does saturate one of the bounds in [\(3.5\)](#page-7-2) and can be related as we shall discuss to hypersymmetry, corresponding to states annihilated by $\hat{\psi}_0$. So, while the first inequality is a consequence of hypersymmetry with $p = 0$ and holds whether the eigenvalues are real or not, the other inequality arises from the extra requirement that the eigenvalues be real, which is not an a priori necessity and is indeed not fulfilled by some of the solutions.

4 Bosonic solutions

We now explore the set of regular bosonic solutions of the theory that fulfill the bounds [\(3.5\)](#page-7-2), including the cases that saturate them, which are expected to possess globally-defined "Killing vector-spinors".

4.1 General considerations

The searched for solutions only carry zero-mode bosonic charges that fulfill the asymptotic fall-off in [\(2.4\)](#page-4-2), and hence, they are globally described by gauge fields of the form

$$
a_{\varphi}^{\pm} = L_{\pm 1} - \frac{2\pi}{k} \mathcal{L}^{\pm} L_{\mp 1} + \frac{\pi}{5k} \mathcal{U}^{\pm} U_{\mp 3} \,, \tag{4.1}
$$

where \mathcal{L}^{\pm} and \mathcal{U}^{\pm} stand for the global charges. Since the solutions do not carry fermionic charges, their corresponding "chemical potentials" ϱ_{\pm} can be consistently set to vanish; and for the sake of simplicity, we assume hereafter that the remaining (bosonic) ones ξ_+,μ_+ , which are held fixed at the boundary in the grand canonical ensemble, are constant. Hence, according to [\(2.5\)](#page-5-1), the Lagrange multipliers, given by the time component a_t^{\pm} of the gauge fields, are given by

$$
a_t^{\pm} = \xi_{\pm} a_{\varphi}^{\pm} + \mu_{\pm} \left[-U_{\pm 3} - \frac{6\pi}{k} \mathcal{U}^{\pm} L_{\mp 1} + \frac{6\pi}{k} \mathcal{L}^{\pm} U_{\pm 1} - \frac{\pi}{k} \left(\mathcal{U}^{\pm} + \frac{12\pi}{k} \left(\mathcal{L}^{\pm} \right)^2 \right) U_{\mp 1} + \frac{22}{15} \frac{\pi^2}{k^2} \left(\mathcal{U}^{\pm} + \frac{60\pi}{11k} \left(\mathcal{L}^{\pm} \right)^2 \right) \mathcal{L}^{\pm} U_{\mp 3} \right].
$$
 (4.2)

Regularity of the solution then requires the holonomy along any contractible cycle $\mathcal C$ to be trivial. In the case of $\cos\varphi(1|4)$ this condition reads

$$
\mathcal{H}_{\mathcal{C}} = \mathcal{P}e^{\int_{\mathcal{C}} a} = \Gamma^{\pm} = \begin{pmatrix} \pm \mathbb{1}_{4 \times 4} & 0 \\ 0 & 1 \end{pmatrix}, \tag{4.3}
$$

where the plus or the minus signs correspond to the different spin structures, i.e., fermions that fulfill periodic or antiperiodic boundary conditions, respectively. Note that Γ^- anticommutes with the fermionic generators, i.e., $\{\Gamma^-, \mathcal{S}_p\} = 0$, as it should.

Depending on the solutions under consideration, the contractible circles along which to impose triviality may correspond to either Euclidean time or the angular coordinate. The corresponding configurations describe black holes or solitonic-like solutions, respectively. The analysis of these classes of solutions, including whether they fulfill or saturate the bounds in [\(3.5\)](#page-7-2) is discussed in what follows.

4.2 Black holes with spin-4 charges

4.2.1 Euclidean continuation

Whenever massless higher spin fields are included, the metric does not define an invariant geometry since it transforms under the higher spin gauge symmetries. This makes the definition of the black holes in terms of horizons associated with the metric causal structure unavailable. Black holes can still be defined, however, through the Euclidean continuation, as solutions admitting a well-defined thermodynamics (real temperature, entropy and thermodynamic parameters) [\[7,](#page-22-5) [8,](#page-22-11) [13\]](#page-22-6). This approach is equivalent to the metric one when both are at one's disposal, but appears to be the only one for higher spin gravity.

As shown in [\[46](#page-24-3)], the Euclidean black hole manifold has the topology of the solid torus for pure gravity. This remains true for higher spin gravity [\[7,](#page-22-5) [8](#page-22-11), [13\]](#page-22-6). Furthermore, the Euclidean continuation is defined by letting the gauge fields take complex values in such a way that $A = A^+$ and $A^{\dagger} = -A^-$. Therefore, the relationship between Euclidean fields and chemical potentials with their Lorentzian counterparts reads

$$
\mathcal{L} = \mathcal{L}^+ \,, \qquad \qquad \mathcal{U} = \mathcal{U}^+ \,; \qquad \qquad \mathcal{L}^* = \mathcal{L}^- \,, \qquad \qquad \mathcal{U}^* = \mathcal{U}^- \,, \qquad (4.4)
$$

$$
\xi = \xi_+,
$$
 $\mu = \mu_+;$ $\xi^* = \xi_-,$ $\mu^* = \mu_-,$ (4.5)

and hence, by virtue of (2.4) and (2.5) , the Euclidean solution is given by

$$
a = \left(L_1 - \frac{2\pi}{k} \mathcal{L} L_{-1} + \frac{\pi}{5k} \mathcal{U} U_{-3} \right) d\varphi - \left\{ i \xi \left(L_1 - \frac{2\pi}{k} \mathcal{L} L_{-1} + \frac{\pi}{5k} \mathcal{U} U_{-3} \right) - i \mu \left[U_3 - \frac{6\pi}{k} \mathcal{L} U_1 - \frac{22}{15} \frac{\pi^2}{k^2} \left(\mathcal{U} + \frac{60\pi}{11k} \mathcal{L}^2 \right) \mathcal{L} U_{-3} + \frac{\pi}{k} \left(\mathcal{U} + \frac{12\pi}{k} \mathcal{L}^2 \right) U_{-1} + \frac{6\pi}{k} \mathcal{U} L_{-1} \right] \right\} d\tau, \tag{4.6}
$$

where $\tau = it$.

It is worth emphasizing that since the chemical potentials are already incorporated along the temporal components of the connection, the analysis can be performed for a fixed range of the coordinates. Thus, we assume henceforth that $0 < \tau \leq 1$, and $0 < \varphi \leq 2\pi$.

4.2.2 Black hole solutions

On the solid torus, the temporal ("thermal") circles corresponding to Euclidean time are contractible. These are the only contractible cycles along which the regularity condition is not automatically satisfied and must therefore be imposed. Hence the regularity condition of the gauge field (4.3) reads for black hole solutions

$$
\mathcal{H}_{\tau} = e^{a_{\tau}} = \Gamma^{\pm} \,,\tag{4.7}
$$

which implies that the eigenvalues of a_{τ} , that are obtained by making the replacement $a_{\varphi} \rightarrow a_{\tau}$ in eq. [\(3.7\)](#page-7-5) have to be given by $\pm 2\pi i m$ and $\pm 2\pi i n$, with m, n being integers or half-integers for $\Gamma^+ = \mathbb{1}$ or Γ^- , respectively. The regularity condition then reduces to

$$
str\left[a_{\tau}^{4}\right] = 32\pi^{4}\left(m^{4} + n^{4}\right),\tag{4.8}
$$

$$
str\left[a_{\tau}^{2}\right] = -8\pi^{2}\left(m^{2} + n^{2}\right),\tag{4.9}
$$

so that once evaluated in the Euclidean solution [\(4.6\)](#page-9-3), it reads

$$
\frac{1}{2}\left(\frac{k}{\pi}\xi\right)^{4}\left(41\mathcal{L}^{2}-\frac{3k}{\pi}\mathcal{U}\right)+\frac{3k}{\pi}\left(2^{4}\mu\right)^{3}\xi\left[\mathcal{L}^{5}+\frac{k}{48\pi}\left(41\mathcal{L}^{2}-\frac{5^{2}k}{2^{33}\pi}\mathcal{U}\right)\mathcal{L}\mathcal{U}\right] \n-3\mu\left(\frac{4k}{\pi}\xi\right)^{3}\left(\mathcal{L}^{2}-\frac{17k}{24\pi}\mathcal{U}\right)\mathcal{L}+3^{3}\left(\frac{2^{3}k}{\pi}\mu\xi\right)^{2}\left[\mathcal{L}^{4}-\frac{3k}{2^{4}\pi}\left(\mathcal{L}^{2}-\frac{5^{2}k}{3^{3}\pi}\mathcal{U}\right)\mathcal{U}\right] \n+\left(2^{3}\mu\right)^{4}\left\{\frac{41}{2}\mathcal{L}^{6}+\frac{7k}{12\pi}\left[2^{3}3^{2}\mathcal{U}\mathcal{L}^{4}+\frac{5^{2}k}{2^{5}\pi}\left(\frac{23}{3}\mathcal{L}^{2}-\frac{5^{2}k}{7\pi}\mathcal{U}\right)\mathcal{U}^{2}\right]\right\}-\frac{k^{6}}{\pi^{2}}\left(m^{4}+n^{4}\right)=0, \n2^{6}\mu^{2}\mathcal{L}^{3}+\frac{28k}{3\pi}\left(\mu^{2}\mathcal{U}+\frac{3k}{28\pi}\xi^{2}\right)\mathcal{L}+\frac{2^{2}k^{2}}{\pi^{2}}\mu\xi\mathcal{U}-\frac{k^{3}}{5\pi}\left(m^{2}+n^{2}\right)=0.
$$

Generically, this condition allows to express the chemical potentials in terms of the global charges, or equivalently, in terms of the eigenvalues of a_{φ} , given by (3.7) , and therefore

$$
\xi = \frac{\pi}{5^2} \left[\frac{9m\lambda_{[-]}^3 - 41 \left(m\lambda_{[+]} - n\lambda_{[-]}\right) \lambda_{[-]} \lambda_{[+]} - 9n\lambda_{[+]}^3}{\left(\lambda_{[-]}^2 - \lambda_{[+]}^2 \right) \lambda_{[-]} \lambda_{[+]}} \right],
$$

$$
\mu = \frac{6\pi}{5} \left[\frac{m\lambda_{[-]} - n\lambda_{[+]}}{\left(\lambda_{[-]}^2 - \lambda_{[+]}^2 \right) \lambda_{[-]} \lambda_{[+]}} \right].
$$
 (4.10)

Note that for generic values of m, n , the holonomy around the thermal circle becomes nontrivial in the cases that fulfill $(\lambda_{[-]}^2 - \lambda_{[+]}^2) \lambda_{[-]}\lambda_{[+]} = 0$, and consequently, the chemical potentials turn out to be arbitrary. This corresponds to the extremal cases, with zero temperature $T (\xi \to \infty)$, for which the topology of the Euclidean manifold changes.^{[1](#page-10-1)}

The branch that it is connected with the BTZ black hole, for which $\mathcal{U} = 0$ and $\mathcal{L} > 0$, and hence $\lambda_{[+]} = 3\lambda_{[-]} = 3\sqrt{\frac{2\pi}{k}}$ $\frac{2\pi}{k}\mathcal{L}$, corresponds to $n=\frac{1}{2}$ $\frac{1}{2}$ and $m = \frac{3}{2}$ $\frac{3}{2}$.

4.2.3 Entropy

Following the canonical approach, the correct expression for the black hole entropy was found in [\[47](#page-24-4), [48](#page-24-5)], and further developed in [\[49](#page-24-6)] and [\[13\]](#page-22-6). In our conventions, which agree with the ones in [\[13\]](#page-22-6), the black hole entropy is given by

$$
S = -2k_4 \text{Im} \left(\text{str} \left[a_\tau a_\varphi \right] \right)_{\text{on-shell}} , \qquad (4.11)
$$

which for the solution (4.6) , is readily evaluated to be

$$
S = 8\pi \text{Re} \left[\xi \mathcal{L} + 2\mu \mathcal{U} \right]_{\text{on-shell}} . \tag{4.12}
$$

The regularity conditions in [\(4.10\)](#page-10-2) then imply

$$
S = \frac{4\pi k}{5} \text{Re}\left(m\lambda_{[+]} + n\lambda_{[-]}\right) ,\qquad (4.13)
$$

¹Remarkably, the extremal solutions can be "regularized" for special values of m, n, so that the topology remains the one of a solid torus. This occurs in the following cases: $m = 0$ ($\lambda_{[+]} = 0$), $n = 0$ ($\lambda_{[-]} = 0$), $m = n \; (\lambda_{[+]} = \lambda_{[-]}),$ and $m = -n \; (\lambda_{[+]} = -\lambda_{[-]}),$ where the brackets stand for the cases that are regularized. None of these cases corresponds to the BTZ branch.

which, by virtue of (3.6) , allows one to express the black hole entropy in terms of the global charges \mathcal{L}, \mathcal{U} . For the branch that is connected to the BTZ black hole $(n = \frac{1}{2})$ $\frac{1}{2}$, $m = \frac{3}{2}$ $(\frac{3}{2}),$ the entropy is given by

$$
S = \frac{2\pi k}{5} \text{Re}\left(3\lambda_{[+]} + \lambda_{[-]}\right) \,. \tag{4.14}
$$

In terms of the global charges, the entropy reads

$$
S = 4\pi\sqrt{2\pi k\mathcal{L}} \operatorname{Re}\left[\frac{1}{2\sqrt{5}}\left(\sqrt{1 - \frac{4}{5}\sqrt{1 - \frac{3k\mathcal{U}}{16\pi\mathcal{L}^2}}} + 3\sqrt{1 + \frac{4}{5}\sqrt{1 - \frac{3k\mathcal{U}}{16\pi\mathcal{L}^2}}}\right)\right].
$$
 (4.15)

Therefore, in the Lorentzian continuation the entropy acquires the following form:

$$
S = \pi \sqrt{\frac{2}{5} \pi k} \left[\sqrt{\mathcal{L}^+} \left(\sqrt{1 - \frac{4}{5} \sqrt{1 - \frac{3k\mathcal{U}^+}{16\pi \left(\mathcal{L}^+\right)^2}}} + 3 \sqrt{1 + \frac{4}{5} \sqrt{1 - \frac{3k\mathcal{U}^+}{16\pi \left(\mathcal{L}^+\right)^2}}} \right) + \sqrt{\mathcal{L}^-} \left(\sqrt{1 - \frac{4}{5} \sqrt{1 - \frac{3k\mathcal{U}^-}{16\pi \left(\mathcal{L}^-\right)^2}}} + 3 \sqrt{1 + \frac{4}{5} \sqrt{1 - \frac{3k\mathcal{U}^-}{16\pi \left(\mathcal{L}^-\right)^2}}} \right) \right].
$$
\n(4.16)

In order to have a sensible thermodynamics, the entropy must be a real quantity. This is so provided the eigenvalues $\lambda_{[\pm]}$ are real. Thus, one must impose $\mathcal{L}^{\pm} \geq 0$, and, as explained in section [3,](#page-6-0)

$$
-(\mathcal{L}^{\pm})^2 \le \frac{k}{3\pi} \mathcal{U}^{\pm} \le \frac{2^4}{3^2} (\mathcal{L}^{\pm})^2.
$$
 (4.17)

4.2.4 Extremal black holes

Extremal black holes are defined to be black holes, the parameters of which lie at the frontier of the allowed range. Thus, we have two types of extremal black holes, those for which

$$
-(\mathcal{L}^{\pm})^2 = \frac{k}{3\pi} \mathcal{U}^{\pm},\qquad(4.18)
$$

and those for which

$$
\frac{k}{3\pi}U^{\pm} = \frac{2^4}{3^2} \left(\mathcal{L}^{\pm}\right)^2.
$$
 (4.19)

Note that when $\mathcal{L}^{\pm} = 0$, the spin 4 charge vanishes, $\mathcal{U}^{\pm} = 0$. This is the zero mass BTZ black hole.

The first type of extremal black holes [\(4.18\)](#page-11-1) saturates the hypersymmetry bounds [\(3.2\)](#page-6-3). And conversely, the black holes that saturate the hypersymmetry bound (3.2) are extremal. This is because the bounds (3.2) and (4.18) are identical. For this family, the spin-4 charge is non-positive.

The other type [\(4.19\)](#page-11-2) of extremal black holes do not saturate the hypersymmetry bounds. Note, however, that they do fulfill these unitarity bounds since the eigenvalues are real. We do not have yet a direct physical interpretation of this other extremality bound [\(4.19\)](#page-11-2).

It is worth highlighting that the black hole entropy is sensitive to the sign of the spin-4 charges \mathcal{U}^{\pm} . Indeed, for a fixed value of the spin-2 charges, the allowed range of positive spin-4 charges turns out to be wider, according to the bounds in (4.17) , than that of the negative ones.

4.3 Spin-4 solitonic-like solutions

When the contractible circle along which the regularity condition is not automatic corresponds to the angular coordinate, one finds solitonic-like solutions. These solutions are defined in the Lorentzian sector and are the analogs of the conical defects and surpluses investigated in [\[50](#page-24-7)[–54\]](#page-24-8).

The regularity condition [\(4.3\)](#page-8-2) now reads

$$
\mathcal{H}_{\varphi} = e^{2\pi a_{\varphi}} = \Gamma^{\pm} \,,\tag{4.20}
$$

for both copies of the gauge field. It implies that the eigenvalues of a_{φ} in eq. [\(3.7\)](#page-7-5) are given by $\pm im$ and $\pm in$, with $m, n \neq 0$, and $m^2 \neq n^2$, where m, n stand for integers or halfintegers for Γ^+ or Γ^- , respectively. To avoid multiple counting of solutions, we shall impose without loss of generality $m > n > 0$, and we also examine for completeness both the anti-periodic and periodic boundary conditions. When regularity holds, the global charges are consequently quantized according to

$$
\mathcal{L} = -\frac{k}{20\pi} \left(m^2 + n^2 \right) \; ; \; \mathcal{U} = \frac{k}{2^4 5^2 3\pi} \left(m^2 - 9n^2 \right) \left(n^2 - 9m^2 \right) \,, \tag{4.21}
$$

expressions which are symmetric under $m \leftrightarrow n$.

As explained in section [3,](#page-6-0) the bosonic charges have to fulfill the hypersymmetry bounds in eq. [\(3.5\)](#page-7-2), which become

$$
(p2 - m2) (p2 - n2) \ge 0.
$$
 (4.22)

These bounds must hold for all p's. Now, if $p \geq m$, the bounds are satisfied since both $p^2 - m^2$ and $p^2 - n^2$ are then ≥ 0 . If $p < m$, the bound will be satisfied only if $p \leq n$, and this will occur for all $p < m$ if and only if $n = m - 1$. We thus see that the subset of solitonic-like solutions for which the bounds hold are characterized by

$$
m = n + 1. \tag{4.23}
$$

The solutions which do not fulfill this condition are not compatible with unitarity (in the large c limit we are considering), which, as we have seen, underlies the hypersymmetry bounds.

We shall call the solitonic-like solutions that fulfill (4.23) the "allowed class". This allowed class of solitonic-like solutions is labelled by a single integer n, and the global charges are given by

$$
\mathcal{L} = -\frac{k}{20\pi} \left(2n^2 + 2n + 1 \right) \le -\frac{k}{8\pi} \,, \tag{4.24}
$$

with

$$
\mathcal{U} = \frac{16\pi}{3k} \left(\mathcal{L} + \frac{k}{32\pi} \right) \left(\mathcal{L} + \frac{k}{8\pi} \right) \ge 0, \tag{4.25}
$$

so that negative spin-4 charges become excluded.

For any solution in the allowed class, the hypersymmetry bounds are saturated for two values of p, given by $p = n$ and $p = m = n + 1$. It is also interesting to note that the upper bound for the charges $\mathcal L$ in [\(4.24\)](#page-12-2) is attained for $n=\frac{1}{2}$ $\frac{1}{2}$, available in the case of antiperiodic boundary conditions. This configuration has $\mathcal{L}^{\pm} = -\frac{k}{8a}$ $\frac{\kappa}{8\pi}$ and saturates also the lower bound $U^{\pm} = 0$ for the other charge U^{\pm} . It is just AdS₃. In the case of periodic boundary conditions, the extremal values for the charges cannot be reached and the solutions are necessarily endowed with spin-4 charges, whose lowest values, given by $\mathcal{U}^{\pm} = \frac{7k}{2^43}$ $\frac{7k}{2^43\pi}$ are attained for $n = 1$. This solution has also largest values of the spin-2 charges, given by $\mathcal{L}^{\pm} = -\frac{k}{4\pi}$ $\frac{k}{4\pi}$.

5 Solutions with unbroken hypersymmetry

5.1 Killing vector-spinor equation

We explore in this section the hypersymmetry properties of the bosonic solutions described above, given by [\(4.6\)](#page-9-3). That is, we look for configurations that are globally invariant under hypersymmetry transformations. The invariance condition reads

$$
\delta a = d\theta + [a, \theta] = 0,\tag{5.1}
$$

where the gauge parameter θ is fermionic, i.e., $\theta = \theta^p \mathcal{S}_p$ for both copies. Eq. [\(5.1\)](#page-13-2) is the "Killing vector-spinor equation".

Since the connection is flat, it can locally be expressed as $a = g^{-1} dg$. It follows that the general solution of the Killing vector-spinor equation [\(5.1\)](#page-13-2) is given by $\theta = g^{-1}\theta_0 g$, where θ_0 is a constant chosen in such a way that the product $g^{-1}\theta_0g$ is globally well defined (even though q is generically not). This shows that the maximum number of hypersymmetries is equal to four (number of components of θ_0) on each side. There will be less hypersymmetries in general because of the constraints on θ_0 .

In order to obtain the explicit form of the Killing vector-spinors θ , we follow a shortcut that circumvents finding explicitly the 5×5 matrix group element q. By definition, global symmetries are particular asymptotic symmetries. Hence the Killing vector-spinors are of the form $\theta = \Omega[0,0,\vartheta]$, with Ω given by [\(A.4\)](#page-18-0). In the case of the plus copy $(a_{\varphi} = a_{\varphi}^+)$ on which we shall again focus our attention for definiteness, θ is given by

$$
\theta = -\vartheta S_{\frac{3}{2}} + \vartheta' S_{\frac{1}{2}} - \frac{1}{2} \left(\vartheta'' - \frac{6\pi}{k} \mathcal{L} \vartheta \right) S_{-\frac{1}{2}} + \frac{1}{6} \left(\vartheta'' - \frac{14\pi}{k} \mathcal{L} \vartheta \right)' S_{-\frac{3}{2}}, \quad (5.2)
$$

where $\vartheta = \vartheta(t, \varphi)$. This function must now be determined so that $\delta a_{\varphi} = 0$ strictly, and not just asymptotically.

Preserving the form of the dynamical fields, $\delta a_{\varphi} = 0$, reduces to the requirement that $\delta \mathcal{L} = \delta \mathcal{U} = \delta \psi = 0$. Since the spin-5/2 field ψ is equal to zero for the above solutions,

the variation of the bosonic fields identically vanishes. The variation of the fermionic ones yields the following condition

$$
\delta\psi = -\left(\mathcal{U} + \frac{3\pi}{k}\mathcal{L}^2\right)\vartheta + \frac{5}{3}\mathcal{L}\vartheta'' - \frac{k}{12\pi}\vartheta'''' = 0.
$$
 (5.3)

Analogously, preserving the form of the Lagrange multipliers, $\delta a_t = 0$, gives

$$
\dot{\vartheta} = \left[\left(\xi + \frac{82\pi}{3k} \mu \mathcal{L} \right) \vartheta - \frac{5}{3} \mu \vartheta'' \right]'.
$$
\n(5.4)

The equations [\(5.3\)](#page-14-1), [\(5.4\)](#page-14-2) can be trivially integrated. To that end, it is useful to express them in terms of the eigenvalues of a_{φ} , so that they read

$$
\vartheta'''' - \left(\lambda_{[+]}^2 + \lambda_{[-]}^2\right)\vartheta'' + \lambda_{[+]}^2\lambda_{[-]}^2\vartheta = 0, \qquad (5.5)
$$

$$
\dot{\vartheta} - \left[\left[\xi + \frac{41}{30} \mu \left(\lambda_{[+]}^2 + \lambda_{[-]}^2 \right) \right] \vartheta - \frac{5}{3} \mu \vartheta'' \right]' = 0. \tag{5.6}
$$

In the case of the minus copy $(a_{\varphi} = a_{\varphi}^-)$, the Killing vector-spinor equations correspond to (5.5) , (5.6) , with $t \rightarrow -t$.

In the case of a generic bosonic solution, eq. [\(5.5\)](#page-14-3) integrates as

$$
\vartheta = \mathcal{A}_1 e^{\lambda_{[+]} \varphi} + \mathcal{A}_2 e^{-\lambda_{[+]} \varphi} + \mathcal{A}_3 e^{\lambda_{[-]} \varphi} + \mathcal{A}_4 e^{-\lambda_{[-]} \varphi},\tag{5.7}
$$

where the functions $A_I = A_I(t)$ are restricted by [\(5.6\)](#page-14-4). We successively analyze these restrictions for the black hole solutions and the soliton-like solutions.

5.2 Black holes with spin-4 charges

As discussed in section [4.2,](#page-9-0) the eigenvalues are real for a generic black hole solution. Accordingly, the vector-spinor ϑ in [\(5.7\)](#page-14-5) clearly cannot fulfill neither periodic nor antiperiodic boundary conditions in the generic case. Therefore, a generic black hole solution breaks all the hypersymmetries. This is in agreement with the fact that they fulfill, but do not saturate, the hypersymmetry bounds [\(3.5\)](#page-7-2). This situation covers also the second type of extremal black holes with $\lambda_{[+]} = \lambda_{[-]} \neq 0$ ($\mathcal{U} = 16\pi \mathcal{L}^2/(3k)$), for which, in spite of the fact that equation [\(5.5\)](#page-14-3) integrates in a different way given the degeneracy of the eigenvalues,

$$
\vartheta = \mathcal{A}_1 e^{\lambda_{[+]} \varphi} + \mathcal{A}_2 e^{-\lambda_{[+]} \varphi} + \mathcal{A}_3 \varphi e^{\lambda_{[+]} \varphi} + \mathcal{A}_4 \varphi e^{-\lambda_{[+]} \varphi}, \tag{5.8}
$$

the vector-spinor also fails to fulfill the periodicity conditions unless the A_I 's all vanish.

For extremal black holes of the first type, i.e., with $\lambda_{[-]} = 0$ ($\mathcal{U} = -3\pi \mathcal{L}^2/k$), the situation is different. The solution of equation (5.5) is given by (the eigenvalue 0 is degenerate)

$$
\vartheta = \mathcal{A}_1 e^{\lambda_{[+]} \varphi} + \mathcal{A}_2 e^{-\lambda_{[+]} \varphi} + \mathcal{A}_3 \varphi + \mathcal{A}_4.
$$
\n
$$
(5.9)
$$

This expression fulfills periodic boundary conditions only if $A_1 = A_2 = A_3 = 0$. The remaining equation [\(5.6\)](#page-14-4) then reduces to $\dot{\theta} = 0$, and hence, this class of extremal black holes possesses a single constant Killing vector-spinor ϑ . This result goes hand in hand with the fact that the hypersymmetry bound (3.5) with $p = 0$ is saturated. In the limiting case $\lambda_{[+]} = \lambda_{[-]} = 0 \; (\mathcal{L} = \mathcal{U} = 0)$, one arrives to the same conclusion. Indeed, equation [\(5.5\)](#page-14-3) now becomes $\vartheta''' = 0$, which gives

$$
\vartheta = \mathcal{A}_3 \varphi^3 + \mathcal{A}_2 \varphi^2 + \mathcal{A}_1 \varphi + \mathcal{A}_0, \qquad (5.10)
$$

so that periodicity implies that $\vartheta = \mathcal{A}_0(t)$, while equation [\(5.6\)](#page-14-4) again reads $\dot{\vartheta} = 0$, implying that ϑ is a constant.

In summary, black holes admit at most one quarter of the hypersymmetries on each copy. Moreover, hypersymmetric black holes saturate the strongest bound that follows from the asymptotic symmetry algebra, and they are extreme. Nevertheless, not all extreme black holes are hypersymmetric.

5.3 Spin-4 solitonic-like solutions

It is easy to verify that for the solitonic-like configurations endowed with spin-4 charges of section [4.3,](#page-12-0) the regularity conditions imply that the solutions are invariant under global $OSp(1|4)$ transformations. Thence, they are maximally (hyper)symmetric.

The explicit expression of the Killing vector-spinors is given by eq. [\(5.7\)](#page-14-5), with $\lambda_{[+]}^2 =$ $-m^2$ and $\lambda_{[-]}^2 = -n^2$, i.e., $\vartheta = A_{[+]}e^{im\varphi} + A_{[+]}^*e^{-im\varphi} + A_{[-]}e^{in\varphi} + A_{[-]}^*e^{-in\varphi}$, where the (half) integers m, n fulfill $m > n > 0$. The form of the functions $\mathcal{A}_{[\pm]} = \mathcal{A}_{[\pm]}(t)$ is then fixed by eq. (5.6) , and hence the Killing vector-spinors are given by

$$
\vartheta = \mathcal{B}_{[+]} e^{im(\varphi + \omega_{m,n}t)} + \mathcal{B}_{[+]}^* e^{-im(\varphi + \omega_{m,n}t)} + \mathcal{B}_{[-]} e^{in(\varphi + \omega_{n,m}t)} + \mathcal{B}_{[-]}^* e^{-in(\varphi + \omega_{n,m}t)}, \quad (5.11)
$$

where

$$
\omega_{m,n} = \xi - \frac{1}{30} \left(41m^2 - 9n^2 \right) \mu \,,
$$

and $\mathcal{B}_{[\pm]}$ are constants. Note that for the other copy $(a_{\varphi} = a_{\varphi}^-)$, the Killing vector-spinors are obtained from [\(5.11\)](#page-15-1), making $t \rightarrow -t$.

For the allowed class of solutions that fulfills the hypersymmetry bounds $(m - n = 1)$, the Killing vector-spinors are characterized by $\omega_{m,n} = \xi - (32n^2 - 18n - 9) \mu/30$, and $\omega_{n,m} = \xi - (32n^2 + 82n + 41)\mu/30.$

We also see that the solutions in the non allowed class that violates the hypersymmetry bounds are nevertheless maximally hypersymmetric. This result should not come as a surprise because it is very similar to what is encountered for supersymmetry in $2 + 1$ supergravity. The conical surplus solutions with an excess angle that is an integer multiple of 2π are maximally supersymmetric since they have the maximum number of Killing spinors. Nevertheless, they violate the unitarity bounds that follow from the asymptotic supersymmetry algebra [\[26](#page-23-1)].

It is of interest to extend the present hypersymmetry analysis to the Euclidean continuation ("hot solitons" with inverse temperature determined by the periodicity in Euclidean time). Remarkably, one finds that half of the hypersymmetries remain on each copy, provided the spin-4 chemical potential is suitably switched on. This can be seen as follows. The Euclidean Killing vector-spinor is given by

$$
\vartheta = \mathcal{B}_1 e^{m(i\varphi + \omega_{m,n}\tau)} + \mathcal{B}_2 e^{-m(i\varphi + \omega_{m,n}\tau)} + \mathcal{B}_3 e^{n(i\varphi + \omega_{n,m}\tau)} + \mathcal{B}_4 e^{-n(i\varphi + \omega_{n,m}\tau)},\tag{5.12}
$$

where \mathcal{B}_I stand for complex constants. Therefore, since $\omega_{m,n} \neq \omega_{n,m}$, periodicity in Euclidean time can only be achieved provided one of the ω 's vanish, and the constants associated to the other ω also do. Hence, for the case with $\omega_{m,n} = 0$, the spin-4 chemical potential has to be fixed according to

$$
\mu = \frac{30}{41m^2 - 9n^2} \xi \,, \tag{5.13}
$$

while if $\omega_{n,m} = 0$, it is given by (5.13) with $m \leftrightarrow n$.

Note that when the hypersymmetry bounds are fulfilled, eq. [\(5.13\)](#page-16-1) implies that the spin-4 chemical potential has the same sign as ξ , except in the case of $\mathcal{B}_3 = \mathcal{B}_4 = 0$, with $n = \frac{1}{2}$ $\frac{1}{2}$ ("hot anti-de Sitter space"), for which $\mu = -3\xi$. It should be stressed that if the spin-4 chemical potential were absent, all of the hypersymmetries would be necessarily broken. The existence of Euclidean solutions with enhanced symmetry might signal a phase transition. We hope to return to this question in the future.

6 Concluding remarks

In this article we have shown that hypersymmetry implies interesting bounds on the solutions of three-dimensional AdS hypergravity. We have investigated the black hole solutions of that theory and have established that these are well defined provided their charges \mathcal{L} and U fulfill the inequalities $\mathcal{L} \geq 0, -\frac{3\pi}{k}$ $\frac{3\pi}{k}\mathcal{L}^2 \leq \mathcal{U} \leq \frac{16\pi}{3k}\mathcal{L}^2$. We have shown that the inequality $-\frac{3\pi}{k}$ $\frac{2\pi}{k}\mathcal{L}^2 \leq U$ can be directly inferred from unitarity and the asymptotic $W(2, 5/2, 4)$ algebra, which admits a large central charge (classical) limit. Furthermore, we have proved that the extremal black holes that saturate the hypersymmetry bound $\left(-\frac{3\pi}{k}\right)$ $\frac{3\pi}{k}\mathcal{L}^2=\mathcal{U}$ have 1 $\frac{1}{4}$ -hypersymmetry.

We have also applied the analysis to soliton-like solutions and have exhibited the solutions compatible with the hypersymmetry bounds. We found that for soliton-like solutions, the spin-2 charge fulfills $\mathcal{L} \leq -\frac{k}{8\pi}$, while the hypersymmetry bound implies $\mathcal{U} \geq 0$. It should be noted in that respect that a different class of conical defects was discussed in [\[32](#page-23-6)] in the case of $Sp(4)$.

The simplicity of hypergravity is an advantage for bringing hypergravity bounds in the limelight, but it is at the same time a shortcoming since one gets only one bound involving the higher spin charge, and no bound on the asymptotic Virasoro generators. To get more bounds, and in particular bounds on the asymptotic Virasoro generators from the asymptotic algebra [\[26](#page-23-1)], one needs to consider a theory which is not only hypersymmetric, but which possesses also the traditional supersymmetry with the corresponding generators of conformal weight 3/2.

One might expect that a candidate might be the $sl(3|2) \oplus sl(3|2)$ (more generally, $sl(N|N-1) \oplus sl(N|N-1)$) higher spin supergravity theory, which has been studied in [\[55,](#page-24-9) [56](#page-24-10)]. This theory is a supersymmetric and hypersymmetric extension of the spin 3-gravity described by the algebra $sl(3,\mathbb{R})\oplus sl(3,\mathbb{R})$. The corresponding black holes that manifestly preserve the boundary conditions have been studied in [\[11](#page-22-10), [13\]](#page-22-6). For some values of the mass, angular momentum and spin-3 charges, one gets extreme black holes, beyond which

the entropy ceases to be real. One might wonder whether these extreme black holes also saturate bounds following from the asymptotic algebra. However, given the way one must embed $sl(2,\mathbb{R})$ into $sl(3|2)$ in order to avoid unphysical features (such as fermionic integer spin fields), it turns out that the spin-3 black holes are not solutions of the $sl(3|2) \oplus sl(3|2)$ theory, unless additional spin-2 charges are turned on. This prevents a discussion of the original (unmodified) bounds of the pure $sl(3,\mathbb{R})$ theory.

Furthermore - and more importantly - there are unitarity difficulties with the large central charge limit of the corresponding quantum algebra, preventing an analysis of the type given in the text. It can indeed be shown that unitary representations of the quantum algebra $W(2, 3/2, 3, 5/2)$, also known as WA_2 , exist provided c is suitably bounded from above [\[57](#page-24-11), [58\]](#page-24-12).

Finally, we emphasize that we introduced the chemical potentials in a manner that did not modify the asymptotic symmetry [\[11](#page-22-10)]. Had we proceeded differently, the asymptotic analysis would be different, and hence, also the bounds that one can derive from it. This is discussed in appendix [B.](#page-20-0)

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A Remarks on $osp(1|4)$ and $WB₂$ algebras

In the fundamental (5×5) matrix representation of $osp(1|4)$, the generators can be explicitly written as

$$
L_{-1} = \begin{pmatrix} 0 & -\sqrt{3} & 0 & 0 & 0 \\ 0 & 0 & -2 & 0 & 0 \\ 0 & 0 & 0 & -\sqrt{3} & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix} ; \qquad L_{1} = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 \\ \sqrt{3} & 0 & 0 & 0 & 0 \\ 0 & 2 & 0 & 0 & 0 \\ 0 & 0 & \sqrt{3} & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix} ; \qquad L_{0} = \frac{1}{2} \begin{pmatrix} 3 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & -3 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix} , \qquad (A.1)
$$

\n
$$
U_{-3} = \begin{pmatrix} 0 & 0 & 0 & -10 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix} ; \qquad U_{-2} = \frac{5}{\sqrt{3}} \begin{pmatrix} 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix} ; \qquad U_{-1} = \frac{2}{\sqrt{3}} \begin{pmatrix} 0 & -1 & 0 & 0 & 0 \\ 0 & 0 & \sqrt{3} & 0 & 0 \\ 0 & 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix} ,
$$

$$
U_3 = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 10 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix} ; \qquad U_2 = \frac{5}{\sqrt{3}} \begin{pmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix} , \qquad U_1 = \frac{2}{\sqrt{3}} \begin{pmatrix} 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & -\sqrt{3} & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix} , \qquad (A.2)
$$

with

$$
S_{-\frac{3}{2}} = \begin{pmatrix} 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -5 & 0 \end{pmatrix} ; \qquad S_{-\frac{1}{2}} = \frac{1}{\sqrt{3}} \begin{pmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 5 & 0 & 0 \end{pmatrix} ,
$$

\n
$$
S_{\frac{1}{2}} = \frac{1}{\sqrt{3}} \begin{pmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & -5 & 0 & 0 & 0 \end{pmatrix} ; \qquad S_{\frac{3}{2}} = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 5 & 0 & 0 & 0 & 0 \end{pmatrix} . \qquad (A.3)
$$

In order to carry out the Euclidean continuation, it is worth pointing out that the osp (4) matrices fulfill the following properties: $L_i^{\dagger} = (-1)^i L_{-i}$ and $U_i^{\dagger} = (-1)^i U_{-i}$.

One easily verifies along standard lines that the asymptotic form of the $osp(1|4)$ -valued gauge fields a_{φ}^{\pm} in [\(2.4\)](#page-4-2) is maintained under gauge transformations given by

$$
\Omega^{\pm} \left[\epsilon_{\pm}, \chi_{\pm}, \vartheta_{\pm}\right] = \epsilon_{\pm}\left(t, \varphi\right) L_{\pm 1} - \chi_{\pm}\left(t, \varphi\right) U_{\pm 3} \mp \vartheta_{\pm}\left(t, \varphi\right) \mathcal{S}_{\pm \frac{3}{2}} + \eta^{\pm}\left[\epsilon_{\pm}, \chi_{\pm}, \vartheta_{\pm}\right], \quad \text{(A.4)}
$$

with

$$
\eta^{\pm} \left[\epsilon_{\pm}, \chi_{\pm}, \vartheta_{\pm} \right] = -\frac{3\pi}{k} \left(i\psi^{\pm} \vartheta_{\pm} + \frac{2}{3} \epsilon_{\pm} \mathcal{L}^{\pm} + 2 \chi_{\pm} \mathcal{U}^{\pm} - \frac{k}{6\pi} \epsilon_{\pm}^{\prime\prime} \right) L_{\mp 1} \mp \epsilon_{\pm}^{\prime} L_{0}
$$

+ $\frac{6\pi}{k} \left(\chi_{\pm} \mathcal{L}^{\pm} - \frac{k}{12\pi} \chi_{\pm}^{\prime} \right) U_{\pm 1} \mp \frac{2\pi}{k} \left(\chi_{\pm} \mathcal{L}^{\pm\prime} + \frac{8}{3} \chi_{\pm}^{\prime} \mathcal{L}^{\pm} - \frac{k}{12\pi} \chi_{\pm}^{\prime\prime\prime} \right) U_{0}$
- $\frac{\pi}{2k} \left[i\psi^{\pm} \vartheta_{\pm} + 2 \left(\mathcal{U}^{\pm} - \frac{1}{2} \mathcal{L}^{\pm\prime\prime} + \frac{12\pi}{k} \left(\mathcal{L}^{\pm} \right)^{2} \right) \chi - \frac{11}{3} \chi_{\pm}^{\prime} \mathcal{L}^{\pm\prime} \right]$
- $\frac{14}{3} \chi_{\pm}^{\prime\prime} \mathcal{L}^{\pm} + \frac{k}{12\pi} \chi_{\pm}^{(4)} \right] U_{\mp 1} \pm \chi_{\pm}^{\prime} U_{\pm 2} \pm \frac{\pi}{2k} \left[i\psi^{\pm} \vartheta_{\pm}^{\prime} + \frac{1}{5} i\psi^{\pm} \vartheta_{\pm} \right]$
- $\frac{5}{3} \chi_{\pm}^{\prime\prime} \mathcal{L}^{\pm\prime} - \frac{4}{3} \mathcal{L}^{\pm} \chi_{\pm}^{\prime\prime\prime} + \frac{2}{5} \left(\mathcal{U}^{\pm} - \frac{1}{2} \mathcal{L}^{\pm\prime\prime} + \frac{18\pi}{k} \left(\mathcal{L}^{\pm} \right)^{2} \right) \chi_{\pm} + \frac{6}{5} \left(\mathcal{U}^{\pm} - \frac{7$

$$
-\chi_{\pm}'''L^{\pm\prime} - \frac{4}{5}\epsilon_{\pm}\mathcal{U}^{\pm} + \frac{2}{3}\left(\mathcal{U}^{\pm} - \frac{13}{10}\mathcal{L}^{\pm\prime\prime} + \frac{272\pi}{15k}\left(\mathcal{L}^{\pm}\right)^{2}\right)\chi_{\pm}''
$$

+ $\frac{8}{15}\left(\mathcal{U}^{\pm} - \frac{17}{24}\mathcal{L}^{\pm\prime\prime} + \frac{241\pi}{12k}\left(\mathcal{L}^{\pm}\right)^{2}\right)^{\prime}\chi_{\pm} + \frac{40\pi}{3k}\left[i\psi^{\pm}\psi^{\pm\prime} - \frac{11}{5^2}\mathcal{U}^{\pm}\mathcal{L}^{\pm}\right]$
- $\frac{12\pi}{5k}\left(\mathcal{L}^{\pm}\right)^{3} + \frac{k}{10^{2}\pi}\left(\mathcal{U}^{\pm} - \frac{1}{2}\mathcal{L}^{\pm\prime\prime}\right)^{\prime\prime} + \frac{3^{2}}{5^{2}}\left(\mathcal{L}^{\pm\prime}\right)^{2} + \frac{23}{50}\mathcal{L}^{\pm\prime\prime}\mathcal{L}^{\pm}\right]\chi_{\pm}$
- $\frac{5}{9}\chi_{\pm}^{(4)}\mathcal{L}^{\pm} + \frac{k}{180\pi}\chi_{\pm}^{(6)}\right\}U_{\mp 3} - \frac{2\pi}{k}\left[\epsilon_{\pm}\psi^{\pm} + \frac{1}{2}\vartheta_{\pm}\mathcal{L}^{\pm\prime} + \frac{7}{6}\vartheta_{\pm}^{\prime}\mathcal{L}^{\pm}\right]$
- $\frac{5}{3}\left(\psi^{\pm\prime\prime} + \frac{52\pi}{5k}\mathcal{L}^{\pm}\psi^{\pm}\right)\chi_{\pm} - \frac{25}{6}\chi_{\pm}^{\prime}\psi^{\pm\prime} - \frac{17}{6}\chi_{\pm}^{\prime}\psi^{\pm} - \frac{k}{12\pi}\vartheta_{\pm}^{\prime\prime\prime\prime}\right]\mathcal{S}_{\mp\frac{3}{2}}$
+ $\frac{3\pi}{k}\left(\vartheta_{\pm}\mathcal{L}^{\pm} - \frac{10}{3}\chi_{\pm}\psi^{\pm\prime} -$

provided the fields \mathcal{L}^{\pm} , \mathcal{U}^{\pm} , ψ^{\pm} transform according to

$$
\delta \mathcal{L}^{\pm} = 2 \epsilon_{\pm}' \mathcal{L}^{\pm} + \epsilon_{\pm} \mathcal{L}^{\pm'} - \frac{k}{4\pi} \epsilon_{\pm}''' + 3\mathcal{U}^{\pm'} \chi_{\pm} + 4\mathcal{U}^{\pm} \chi_{\pm}' + \frac{5}{2} i \psi^{\pm} \psi_{\pm}' + \frac{3}{2} i \psi^{\pm'} \psi_{\pm} ,
$$

\n
$$
\delta \psi^{\pm} = \frac{5}{2} \epsilon_{\pm}' \psi^{\pm} + \epsilon_{\pm} \psi^{\pm'} - \left(\mathcal{U}^{\pm} - \frac{1}{2} \mathcal{L}^{\pm''} + \frac{3\pi}{k} \Lambda_{\pm}^{(4)} \right) \psi_{\pm} + \frac{5}{3} \left(\mathcal{L}^{\pm} \psi_{\pm}' - \frac{k}{20\pi} \psi_{\pm}''' \right)'
$$

\n
$$
+ \frac{82\pi}{3k} \left(\Lambda_{\pm}^{(9/2)'} - \frac{23}{82} \Lambda_{\pm}^{(11/2)} - \frac{5k}{82\pi} \psi^{\pm'''} \right) \chi_{\pm} + \frac{35\pi}{k} \left(\Lambda_{\pm}^{(9/2)} - \frac{k}{6\pi} \psi^{\pm''} \right) \chi_{\pm}'
$$

\n
$$
- 7\chi_{\pm}'' \psi^{\pm'} - \frac{35}{12} \chi_{\pm}''' \psi^{\pm} , \tag{A.6}
$$

$$
\delta\mathcal{U}^{\pm} = 4\epsilon_{\pm}'\mathcal{U}^{\pm} + \epsilon_{\pm}\mathcal{U}^{\pm'} + \frac{23\pi}{3k}i\left(\Lambda_{\pm}^{(11/2)} + \Lambda_{\pm}^{(9/2)'} - \frac{k}{92\pi}\psi^{\pm'''}\right)\vartheta_{\pm} - \frac{7}{4}i\psi^{\pm'}\vartheta_{\pm}''
$$

$$
- \frac{35}{12}i\psi^{\pm}\vartheta_{\pm}''' + \frac{35\pi}{k}i\left(\Lambda_{\pm}^{(9/2)} - \frac{k}{60\pi}\psi^{\pm''}\right)\vartheta_{\pm}' - \frac{1}{6}\left[\left(\mathcal{U}^{\pm} - \frac{1}{2}\mathcal{L}^{\pm''}\right)''
$$

$$
+ \frac{144}{k}\left(\Lambda_{\pm}^{(6)} - \frac{49}{216}\Lambda_{\pm}^{(4)''}\right)\right]'\chi_{\pm} - \frac{5}{6}\left[\left(\mathcal{U}^{\pm} - \frac{2}{3}\mathcal{L}^{\pm''}\right)'' + \frac{288}{5k}\Lambda_{\pm}^{(6)}\right]\chi_{\pm}'
$$

$$
+ \frac{14}{9}\left(\mathcal{L}^{\pm''} - \frac{27}{28}\mathcal{U}^{\pm} - \frac{21\pi}{k}\Lambda_{\pm}^{(4)}\right)'\chi_{\pm}'' + \frac{7}{3}\left(\mathcal{L}^{\pm''} - \frac{3}{7}\mathcal{U}^{\pm} - \frac{28\pi}{3k}\Lambda_{\pm}^{(4)}\right)\chi''' \quad (A.7)
$$

$$
+ \frac{35}{18}\mathcal{L}^{\pm'}\chi_{\pm}^{(4)} + \frac{7}{9}\mathcal{L}^{\pm}\chi_{\pm}^{(5)} - \frac{k}{144\pi}\chi_{\pm}^{(7)},
$$

where

$$
\Lambda_{\pm}^{(4)} = (\mathcal{L}^{\pm})^{2},
$$
\n
$$
\Lambda_{\pm}^{(9/2)} = \mathcal{L}^{\pm} \psi^{\pm},
$$
\n
$$
\Lambda_{\pm}^{(11/2)} = \frac{27}{23} \mathcal{L}^{\pm \prime} \psi^{\pm},
$$
\n
$$
\Lambda_{\pm}^{(6)} = -\frac{7}{18} \mathcal{U}^{\pm} \mathcal{L}^{\pm} - \frac{8\pi}{3k} (\mathcal{L}^{\pm})^{3} + \frac{295}{432} (\mathcal{L}^{\pm \prime})^{2} + \frac{22}{27} \mathcal{L}^{\pm \prime \prime} \mathcal{L}^{\pm} + \frac{25}{12} i \psi^{\pm} \psi^{\pm \prime}.
$$
\n(A.8)

Here prime denotes the derivative with respect to φ . Note that the appearance of the imaginary unit "i" in the product of (real) Grassmann variables is because we have adopted the following convention: $(\vartheta_1 \vartheta_2)^* = \vartheta_2^* \vartheta_1^* = -\vartheta_1 \vartheta_2$.

The transformation law of the fields then allows one to readily find the Poisson bracket algebra of the canonical generators, which for each copy reads

$$
[\mathcal{L}(\varphi), \mathcal{L}(\phi)]_{PB} = -2\delta'(\varphi - \phi)\mathcal{L}(\varphi) - \delta(\varphi - \phi)\mathcal{L}'(\varphi) + \frac{k}{4\pi}\delta'''(\varphi - \phi),
$$

\n
$$
[\mathcal{L}(\varphi), \mathcal{U}(\phi)]_{PB} = -4\delta'(\varphi - \phi)\mathcal{U}(\varphi) - 3\delta(\varphi - \phi)\mathcal{U}'(\varphi),
$$

\n
$$
[\mathcal{L}(\varphi), \psi(\phi)]_{PB} = -\frac{5}{2}\delta'(\varphi - \phi)\psi(\varphi) - \frac{3}{2}\delta(\varphi - \phi)\psi'(\varphi),
$$

\n
$$
[\mathcal{U}(\varphi), \psi(\phi)]_{PB} = \frac{7}{12}\left(\psi''(\varphi) - \frac{60\pi}{k}\Lambda^{(9/2)}(\varphi)\right)\delta'(\varphi - \phi) + \frac{7}{4}\psi'\delta''(\varphi - \phi),
$$

\n
$$
+ \frac{1}{12}\left[\psi'''(\varphi) - \frac{92\pi}{k}\left(\Lambda^{(11/2)}(\varphi) + \Lambda^{(9/2)'}(\varphi)\right)\right]\delta(\varphi - \phi),
$$

\n
$$
+ \frac{35}{12}\psi(\varphi)\delta''''(\varphi - \phi),
$$

\n
$$
= \frac{1}{12}\delta'(\varphi)\delta''''(\varphi - \phi),
$$

\n
$$
= \frac{1}{12}\delta(\varphi)\delta''(\varphi - \phi),
$$

\n(4.9)

$$
\begin{split} \left[\mathcal{U}\left(\varphi\right),\mathcal{U}\left(\phi\right)\right]_{PB} &= \frac{5}{6} \left[\left(\mathcal{U}\left(\varphi\right) - \frac{2}{3}\mathcal{L}''\left(\varphi\right)\right)'' + \frac{288\pi}{5k} \Lambda^{(6)}\left(\varphi\right) \right] \delta'\left(\varphi - \phi\right) \\ &+ \frac{1}{6} \left[\left(\mathcal{U}\left(\varphi\right) - \frac{1}{2}\mathcal{L}''\left(\varphi\right) - \frac{98\pi}{3k} \Lambda^{(4)}\left(\varphi\right)\right)'' + \frac{144\pi}{k} \Lambda^{(6)}\left(\varphi\right) \right] \delta\left(\varphi - \phi\right) \\ &+ \frac{3}{2} \left(\mathcal{U}\left(\varphi\right) - \frac{28}{27}\mathcal{L}''\left(\varphi\right) + \frac{196\pi}{9k} \Lambda^{(4)}\left(\varphi\right)\right)' \delta''\left(\varphi - \phi\right) \end{split} \tag{A.10}
$$

$$
+\left(\mathcal{U}\left(\varphi\right)-\frac{7}{3}\mathcal{L}''\left(\varphi\right)+\frac{196\pi}{9k}\Lambda^{(4)}\left(\varphi\right)\right)\delta'''(\varphi-\phi) -\frac{35}{18}\mathcal{L}'\left(\varphi\right)\delta^{(4)}(\varphi-\phi)-\frac{7}{9}\mathcal{L}\left(\varphi\right)\delta^{(5)}(\varphi-\phi)+\frac{k}{144\pi}\delta^{(7)}(\varphi-\phi) ,\ni\left[\psi\left(\varphi\right),\psi\left(\phi\right)\right]_{PB}=\left(\mathcal{U}\left(\varphi\right)-\frac{1}{2}\mathcal{L}''\left(\varphi\right)+\frac{3\pi}{k}\Lambda^{(4)}\left(\varphi\right)\right)\delta(\varphi-\phi)-\frac{5}{3}\mathcal{L}'\left(\varphi\right)\delta'\left(\varphi-\phi\right) -\frac{5}{3}\mathcal{L}\left(\varphi\right)\delta''(\varphi-\phi)+\frac{k}{12\pi}\delta^{(4)}\left(\varphi-\phi\right) ,
$$

(the Poisson bracket between two fermionic fields being symmetric).

B Black holes in a different embedding

In the case of an action principle described by two copies of $sp(4)$, a different proposal for black hole solutions has been given in [\[59\]](#page-24-13). That article follows the lines of [\[7](#page-22-5), [8](#page-22-11)], and hence the solution does not fit within our boundary conditions. For the plus copy of the gauge field, which we denote by \tilde{a}^+ , the solution reads^{[2](#page-20-1)}

$$
\tilde{a}^{+} = \left(L_{1} - \frac{2\pi}{k}\hat{\mathcal{L}}^{+}L_{-1} + \frac{\pi}{5k}\hat{\mathcal{U}}^{+}U_{-3}\right)dx^{+} + \hat{\mu}^{+}\left[-U_{3} + \frac{6\pi}{k}\hat{\mathcal{L}}^{+}U_{1} - \frac{6\pi}{k}\hat{\mathcal{U}}^{+}L_{-1} + \frac{22\pi^{2}}{15k^{2}}\left(\hat{\mathcal{U}}^{+} + \frac{60\pi}{11k}\hat{\mathcal{L}}^{+}\right)\hat{\mathcal{L}}^{+}U_{-3} - \frac{\pi}{k}\left(\hat{\mathcal{U}}^{+} + \frac{12\pi}{k}\left(\hat{\mathcal{L}}^{+}\right)^{2}\right)U_{-1}\right]dx^{-},
$$
\n(B.1)

where $x^{\pm} = \hat{t}/\ell \pm \varphi$.

²The relationship between the variables in [\(B.1\)](#page-20-2) and the ones in [\[59](#page-24-13)], here denoted by $\tilde{\mathcal{L}}$, $\tilde{\mathcal{W}}$, $\tilde{\mu}$, and $W_n^{(4)}$, is given by $\tilde{\mathcal{L}} = \frac{2\pi}{k} \hat{\mathcal{L}}$, $\tilde{\mathcal{W}} = \frac{\pi}{3k} \hat{\mathcal{U}}$, $\tilde{\mu} = -\frac{5}{3} \hat{\mu}$, $W_n^{(4)} = \frac{3}{5} U_n$.

The asymptotic form of \tilde{a}^+_{φ} does not match the one of our boundary conditions (2.4) , and exactly as shown in [\[13\]](#page-22-6), it can be proved that after a suitable permissible gauge transformation, the gauge field can be written in terms of highest weights in the nonprincipal embedding that corresponds to the decomposition: $sp(4) = \mathcal{D}_1 \oplus 2\mathcal{D}_{1/2} \oplus 3\mathcal{D}_0$. This solution cannot carry therefore spin-4 charges, but instead, apart from the mass and the angular momentum, it is endowed with two bosonic spin-3/2 and three spin-1 charges per copy.

This class of solutions are described by the Chern-Simons action principle based on two copies of $osp(1|4)$, as in the text, but with a different set of boundary conditions that are adapted to the highest weight generators of the alternative embedding. The decomposition of the full $osp(1|4)$ is now given by $osp(1|4) = (D_1 \oplus 2D_{1/2} \oplus 3D_0) \oplus (D_{1/2} \oplus 2D_0)$, so that it includes also one Majorana gravitino, and two Grassmann-valued spin-1 fields, per copy.

Black hole thermodynamics: in order to match our conventions, the Euclidean contin-uation of [\(B.1\)](#page-20-2) is obtained through $\hat{t} = -\ell \hat{\xi} i \tau$, with $0 < \tau \leq 1$. Hence, in the branch that is continuously connected with the BTZ black hole, the regularity condition of the solution, given by [\(4.7\)](#page-9-4), implies that the chemical potentials turn out to be fixed according to

$$
\hat{\xi} = \frac{\pi}{50} \left[\frac{\left(3\hat{\lambda}_{[+]} + \hat{\lambda}_{[-]}\right) \left(3\left(\hat{\lambda}_{[+]}^2 - 3^2 \hat{\lambda}_{[-]}^2\right) + 40\hat{\lambda}_{[+]} \hat{\lambda}_{[-]}\right)}{\left(\hat{\lambda}_{[-]}^2 - \hat{\lambda}_{[+]}^2\right) \hat{\lambda}_{[-]} \hat{\lambda}_{[+]}}\right],
$$
\n(B.2)

$$
\hat{\mu} = \frac{30\left(\hat{\lambda}_{[+]} - 3\hat{\lambda}_{[-]}\right)}{\left(3\hat{\lambda}_{[+]} + \hat{\lambda}_{[-]}\right)\left(3\left(\hat{\lambda}_{[+]}^2 - 3^2\hat{\lambda}_{[-]}^2\right) + 40\hat{\lambda}_{[+]} \hat{\lambda}_{[-]}\right)},
$$
\n(B.3)

where

$$
\hat{\lambda}_{[\pm]}^2 = \frac{10\pi}{k} \left(\hat{\mathcal{L}} \pm \frac{4}{5} \sqrt{\hat{\mathcal{L}}^2 - \frac{3k}{16\pi} \hat{\mathcal{U}}} \right),\tag{B.4}
$$

stand for the eigenvalues of \tilde{a}^+ . Thus, the corresponding Euclidean entropy [\(4.11\)](#page-10-3) is given by

$$
S = \frac{2\pi k}{5} \text{Re} \left(3\lambda_{[+]} + \lambda_{[-]} \right) = \frac{4\pi k}{5} \text{Re} \left[\frac{\left(\hat{\lambda}_{[+]}^2 + \hat{\lambda}_{[-]}^2 \right) \left(3^3 \left(\hat{\lambda}_{[+]}^2 - \hat{\lambda}_{[-]}^2 \right) + 2^7 \hat{\lambda}_{[+]} \hat{\lambda}_{[-]} \right)}{9 \left(\hat{\lambda}_{[+]}^3 - 3 \hat{\lambda}_{[-]}^3 \right) + 41 \left(3 \hat{\lambda}_{[+]} - \hat{\lambda}_{[-]} \right) \hat{\lambda}_{[+]} \hat{\lambda}_{[-]} } \right], \tag{B.5}
$$

which disagrees with the expression found in [\[59](#page-24-13)], that reads $\hat{S} = \frac{2\pi k}{5} \text{Re} \left(3\hat{\lambda}_{[+]} + \hat{\lambda}_{[-]} \right)$. Nonetheless, the bounds that guarantee that the entropy is a real nonnegative quantity, saturate for the same cases in both expressions, which corresponds to $\hat{\lambda}_{[-]} = 0$ ($\hat{\mathcal{U}} =$ $-\frac{3\pi}{k}$ $(\hat{k}\hat{k}^2)\hat{k}$, and $\hat{\lambda}_{[+]} = \hat{\lambda}_{[-]} = 0$ $(\hat{\mathcal{U}} = \hat{\mathcal{L}} = 0)$, so that the holonomy around the thermal circle is no longer trivial. In these cases, the Killing vector-spinor equation [\(5.1\)](#page-13-2) admits a single globally defined solution, described by a constant Killing vector-spinor given by [\(5.2\)](#page-13-3), with $\vartheta = \vartheta_0$ and $\mathcal{L} = \mathcal{L}$.

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