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# Gauged supergravities and their symmetry-breaking vacua in F-theory

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ABSTRACT: We first derive a class of six-dimensional  $(1,0)$  gauged supergravities arising from threefold compactifications of F-theory with background fluxes. The derivation proceeds via the M-theory dual reduction on an SU(3)-structure manifold with four-form  $G_4$ -flux. We then show that vacuum solutions of these six-dimensional theories describes four-dimensional flat space times a compact two-dimensional internal space with additional localized sources. This induces a spontaneous compactification to four space-time dimensions and breaks the supersymmetry from  $\mathcal{N} = 2$  to  $\mathcal{N} = 1$ , which allows the reduced theory to have a four-dimensional chiral spectrum. We perform the reduction explicitly and derive the  $\mathcal{N} = 1$  characteristic data of the four-dimensional effective theory. The match with fourfold reductions of F-theory is discussed and many of the characteristic features are compared. We comment, in particular, on warping effects and one-loop Chern-Simons terms generically present in four-dimensional F-theory reductions.

Keywords: Flux compactifications, F-Theory, Supersymmetric Effective Theories

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# Contents



# <span id="page-1-0"></span>1 Introduction

Recently much effort has focused on the study of F-theory compactifications. This includes both the study of F-theory vacua as well as the determination of the supersymmetric effective actions  $[1-4]$ . F-theory is a non-perturbative formulation of Type IIB string theory with space-time filling seven-branes, in which the complexified Type IIB string coupling is geometrized in the complex structure of an auxiliary two-torus. Crucial parts of the sevenbrane physics can then be captured geometrically by studying degenerations of this torus. More recently, it was argued that they also can admit appealing realizations of Grand Unified Theories [\[5](#page-34-2)[–12](#page-34-3)] and thus provide a very geometrical approach to phenomenological questions. The derivation of the effective actions are crucial both to infer corrections to the duality and to study phenomenological setups.

While there has been significant progress in the derivation of the leading classical effective action the inclusion of corrections predicted by string theory is still challenging. One of the obstacles to deriving these corrections is the fact that there is no low-energy effective action of F-theory and one has to take a detour via M-theory to infer properties of F-theory vacua and lower-dimensional effective actions [\[2](#page-34-4), [13](#page-34-5), [14](#page-34-6)]. The limit from Mtheory to F-theory is, however, very non-trivial and still has not been worked out for general four-dimensional F-theory reductions.

In order to gain some insights into this we can consider instead a reduction of Ftheory to six dimensions [\[15](#page-34-7)[–18\]](#page-34-8). Here the increased amount of supersymmetry and the requirement of anomaly cancellation restricts the discussion and simplifies the analysis. For this reason the effective action of F-theory reduced on a Calabi-Yau threefold was recently described in [\[17,](#page-34-9) [18\]](#page-34-8). In what follows we will consider generalisations of this reduction in which the M-theory dual is reduced on an  $SU(3)$  structure manifold with four-form flux. We will then understand the F-theory duals of these effects and show how they may modify the vacua of the 6D effective theories. Finlay we will show how these 6D effective theories may be further reduced to four dimensions and compared with F-theory reductions on Calabi-Yau fourfolds with similar modifications.

We begin our investigation by deriving the 6D effective gauged supergravity action obtained by reducing F-theory on an elliptically fibered Calabi-Yau threefold  $Y_3$  with background fluxes. These fluxes will correspond to worldvolume two-form flux located on the two-cycle S in the base  $B_2$  of  $Y_3$  wrapped by a seven-brane. In practice the derivation proceeds by uplifting M-theory on a Calabi-Yau threefold with a special class of four-form fluxes  $G_4$  for the M-theory three-form potential. The uplifted 6D supergravity actions admit a gauged shift symmetry of an axion in the universal hypermultiplet generally present in a Type IIB reduction to six dimensions.

We will also consider F-theory reductions in which extra massive  $U(1)$  symmetries arise. The M-theory duals of these will result from a reduction on an SU(3) structure manifold for which may be considered to be an appropriately small modification of the original Calabi-Yau threefold. Here the harmonic forms of the threefold are supplemented by additional non-harmonic forms for which the deviation from the harmonic constraint is parametrised by a constant similar to the flux parameters in the case described above. The effective theory again involves additional gauged shift symmetries of the hypermultiplet scalars [\[19\]](#page-34-10).

In certain cases we will see that the derived gauged theories may no longer admit 6D Minkowski solutions. However, we find that compactifying solutions exist which contain a 4D Minkowski factor and a compact two space  $\hat{\beta}$ . Generally the scalars in the 6D hypermultiplet moduli space have to admit a non-trivial profile over  $\hat{\mathcal{B}}$  for these solutions to exist. Concretely we find solutions preserving four supercharges with a profile for the axion  $\Phi$  and the volume V of the Calabi-Yau threefold for the F-theory reductions with 7-brane flux. Moreover, these solutions also describe a flux for the 6D gauge fields on the compact space  $\hat{\beta}$ . These new fluxes then ensure the self-duality of the total 7-brane flux on the worldvolume  $\mathcal{S} = \hat{\mathcal{B}} \times \mathcal{S}$ . The solutions are sourced by the presence of additional co-dimension-two localized sources. These sources fill the 4D Minkowski space and are points on  $\hat{\beta}$ . In what follows we will interpret them as additional seven-branes wrapping the entire base  $B_2$ .

Having found vacuum solutions with compact component  $\hat{\beta}$  of the 6D gauged supergravity theory we proceed to derive the 4D effective theory encoding the dynamics of the

fluctuations around the 6D backgrounds. The resulting 4D theory is shown to be a gauged supergravity theory consistent with an F-theory reduction to four dimensions. Remarkably the 6D solutions and the resulting four-dimensional effective action captures many crucial features of a general 4D F-theory reduction. We find that the conditions on the 6D solutions match with certain tadpole cancellation conditions, the 6D flux background lifts to a self-dual  $G_4$  in a fourfold reduction and a non-trivial warp factor is induced. When neglecting warping effects the 4D  $\mathcal{N} = 1$  characteristic data are shown to match the results of  $[2, 14, 20–22]$  $[2, 14, 20–22]$  $[2, 14, 20–22]$  $[2, 14, 20–22]$  $[2, 14, 20–22]$ . Similarly we will show that massive  $U(1)$  symmetries in the 6D reduction provide the same effects as massive  $U(1)$ s in the equivalent 4D F-theory reduction [\[21,](#page-35-1) [23\]](#page-35-2).

Reductions of 6D gauged supergravities to 4-dimensions, on compact spaces similar to those considered here, have been analysied in the past [\[24](#page-35-3)[–30](#page-35-4)] and higher dimensional origins for these theories have also been proposed [\[31,](#page-35-5) [32](#page-35-6)]. The reductions we consider here differ from these as the 6D theories we describe have only gauged hypermultiplet shift symmetries, so the fluxes that can be turned on have a different form. However, many of the qualitative effects are comparable. One reason for the interest in these sorts of solutions was based on the idea that local effects at the locations of certain branes in the 6D solutions may provide a natural solution to the cosmological constant problem [\[26](#page-35-7)[–28\]](#page-35-8). We will not comment further on this idea here but will briefly mention that in future work it may be interesting to investigate to what degree these mechanisms may be embedded in F-theory by means of an intermediate reduction such as that shown here.

This paper is organized as follows. In section [2](#page-3-0) we will determine the 6D effective theories arising in modified F-theory compactifications by examining the dual M-theory reductions. In section [3](#page-15-0) we will examine the vacua of the 6D effective theories and consider the subsequent reduction to 4D. These will then be matched with the effective theories of more direct 4D F-theory compactifications in section [4.](#page-25-0) In appendix [A](#page-30-0) we will list our conventions. Finally in appendix [B](#page-31-0) we will describe how certain 6D solutions we have described may be related to the 5D domain wall solutions of [\[33](#page-35-9)].

# <span id="page-3-0"></span>2 6D gauged supergravity from F-theory and M-theory

In this section we derive certain 6D gauged supergravities which may be obtained by reductions of F-theory. These 6D theories are arrived at by taking the F-theory limit of a 5D M-theory reduction on an SU(3) structure manifold with 4-form fluxes. In section [2.1](#page-4-0) we briefly recall the 5D gauged supergravity action arising as a reduction of M-theory on a Calabi-Yau threefold with  $G_4$  flux. We then evaluate the result for the special case of an elliptically fibered Calabi-Yau threefold. In section [2.2](#page-8-0) we derive an alternative gauged 5D supergravity which arises by deforming the Calabi-Yau to a SU(3) structure manifold. A general class of 6D gauged supergravities is reduced on a circle in section [2.3.](#page-10-0) The 5D actions of section [2.1](#page-4-0) and section [2.2](#page-8-0) are then matched to the 6D reduction of section [2.3](#page-10-0) after taking the F-theory limit in section [2.4.](#page-13-0) This allows to infer the 6D actions arising from a reduction of F-theory with either 7-brane fluxes or massive  $U(1)$ s.

## <span id="page-4-0"></span>2.1 M-theory on Calabi-Yau threefolds with fluxes

We begin by reviewing the reduction of M-theory on a Calabi-Yau threefold  $\hat{Y}_3$  with  $\hat{G}_4$ flux [\[34](#page-35-10), [35](#page-35-11)]. The at lowest order in derivatives the bosonic part of the 11D supergravity action is given by [\[36\]](#page-35-12)

<span id="page-4-1"></span>
$$
S^{(11)} = \int_{\mathcal{M}_{11}} \frac{1}{2} \hat{R} \hat{\ast} 1 - \frac{1}{4} \hat{G}_4 \wedge \hat{\ast} \hat{G}_4 - \frac{1}{12} \hat{C}_3 \wedge \hat{G}_4 \wedge \hat{G}_4 , \qquad (2.1)
$$

where  $\hat{G}_4 = d\hat{C}_3$  and  $\hat{R}$  is the 11D Ricci scalar for which we use the conventions outlined in appendix [A.](#page-30-0) Here we will indicate 11D objects by ˆˆ. When reducing this action on a Calabi-Yau threefold  $\hat{Y}_3$  we make the following ansatz for the 11D metric

$$
d\hat{s}^2 = g_{mn} dx^m dx^n + 2g_{\bar{i}j}^{(6)} d\bar{y}^{\bar{i}} dy^j , \qquad (2.2)
$$

where  $m = 0, \ldots 4$  is a world index on the 5D external space which is raised and lowered with the metric  $g_{mn}$  and  $i = 1, \ldots 3$  is a world index on the complex threefold which is raised and lowered with the metric  $g_{\bar{i}j}^{(6)}$ . In addition we will allow for a background flux  $\langle \hat{G}_4 \rangle = G_4^{\text{flux}}$  with indices along  $\hat{Y}_3$ . This flux can be expanded in a basis of four-forms  $\tilde{\omega}^{\Lambda}$ representing elements of  $H^4(\hat{Y}_3)$  as

<span id="page-4-4"></span>
$$
G_4^{\text{flux}} = \theta'_\Lambda \tilde{\omega}^\Lambda \ . \tag{2.3}
$$

The coefficients  $\theta'_{\Lambda}$  are in fact quantized and integral when expanded in an integral basis of  $H^4(\hat{Y}_3, \mathbb{Z})$ .

The real scalars parameterizing the variations of the Kähler structure of  $\hat{Y}_3$  are denoted by  $v^{\Lambda}$ , while the complex scalars parameterizing the changes in the complex structure of  $\hat{Y}_3$  are named  $z^{\kappa}$ . Infinitesimally they modify the Calabi-Yau metric by

<span id="page-4-5"></span>
$$
\delta g_{i\bar{j}} = -i(\omega_{\Lambda})_{i\bar{j}} \,\delta v^{\Lambda} \,, \qquad \delta g_{i j} \propto (\bar{\chi}_{\bar{\kappa}})_{i\bar{k}\bar{l}} \Omega^{\bar{k}\bar{l}} \,_{j} \,\delta \bar{z}^{\bar{\kappa}} \,, \tag{2.4}
$$

where  $\Lambda = 1, \ldots h^{1,1}(\hat{Y}_3)$  and  $\kappa = 1, \ldots h^{1,2}(\hat{Y}_3)$ . In these expressions we have introduced a basis  $\omega_{\Lambda}$  of  $(1,1)$ -forms representing elements of  $H^{1,1}(\hat{Y}_3)$ , and a basis  $\chi_{\kappa}$  of  $(2,1)$ -forms representing elements of  $H^{2,1}(\hat{Y}_3)$ .  $\Omega_3$  is the globally defined nowhere-vanishing holomorphic 3-form. Using the forms  $\omega_{\Lambda}$  one can also expand the Kähler form J of the Calabi-Yau manifold as  $J = v^{\Lambda} \omega_{\Lambda}$  defining the finite  $v^{\Lambda}$ . This means that the volume  $V = \int_{\hat{Y}_3} *_{6} 1$  of  $\hat{Y}_3$  is given in terms of  $v^{\Lambda}$  by

<span id="page-4-3"></span>
$$
\mathcal{V} = \frac{1}{3!} \int_{\hat{Y}_3} J \wedge J \wedge J = \frac{1}{3!} \mathcal{V}_{\Lambda \Sigma \Theta} v^{\Lambda} v^{\Sigma} v^{\Theta} , \qquad \mathcal{V}_{\Lambda \Sigma \Theta} = \int_{\hat{Y}_3} \omega_{\Lambda} \wedge \omega_{\Sigma} \wedge \omega_{\Theta} , \qquad (2.5)
$$

where  $V_{\Lambda\Sigma\Theta}$  are the triple intersection numbers. In what follows it is useful to separate off the volume and define the new scalars  $L^{\Lambda}$  which satisfy

<span id="page-4-2"></span>
$$
L^{\Lambda} = \frac{v^{\Lambda}}{\mathcal{V}^{1/3}}, \qquad \mathcal{N}_{(M)} \equiv \frac{1}{3!} \mathcal{V}_{\Lambda \Sigma \Theta} L^{\Lambda} L^{\Sigma} L^{\Theta} = 1. \qquad (2.6)
$$

When distributed into five-dimensional supermultiplets the scalars  $L^{\Lambda}$  are part of vector multiplets while the volume  $V$  is part of the universal hypermultiplet.

To complete the reduction one also has to consider fluctuations of the M-theory threeform  $\hat{C}_3$ . Therefore, we make the ansatz

$$
\hat{G}_4 = d\xi^K \wedge \alpha_K - d\xi_K \wedge \beta^K + F'^{\Lambda} \wedge \omega_{\Lambda} + \mathcal{G}_4 + G_4^{\text{flux}}\,,\tag{2.7}
$$

where  $(\xi^K, \tilde{\xi}_K)$  are 5D scalars,  $F'^{\Lambda} = dA'^{\Lambda}$  are the field-strengths of 5D  $U(1)$  vectors  $A'^{\Lambda}$ , and  $\mathcal{G}_4 = d\mathcal{C}_3$  is the field strength of a 5D three-form  $\mathcal{C}_3$ . Here we have introduced a symplectic basis of three-forms  $(\alpha_K, \beta^K)$  on  $\hat{Y}_3$  representing elements of  $H^3(\hat{Y}_3)$  such that  $K = 0, \ldots, h^{1,2}(\hat{Y}_3)$ . For an appropriately chosen basis the only non-vanishing double intersections of the  $(\alpha_K, \beta^K)$  and  $(\omega_{\Lambda}, \tilde{\omega}^{\Lambda})$  are

<span id="page-5-1"></span><span id="page-5-0"></span>
$$
\int_{\hat{Y}_3} \alpha_K \wedge \beta^L = \delta_K{}^L, \qquad \int_{\hat{Y}_3} \omega_\Lambda \wedge \tilde{\omega}^\Sigma = \delta_\Lambda{}^\Sigma. \tag{2.8}
$$

Let us next turn to the determination of the 5D effective action by inserting the reduction ansatz into [\(2.1\)](#page-4-1). Integrating over the Calabi-Yau space and carrying out a Weyl rescaling  $g_{mn} \to V^{-\frac{2}{3}} g_{mn}$  to bring the effective action into the 5D Einstein frame, we find that

$$
S_{(M)}^{(5)} = \int_{\mathcal{M}_5} \left[ \frac{1}{2} R * 1 - \frac{1}{2} G_{\Lambda \Sigma} dL^{\Lambda} \wedge * dL^{\Sigma} - \frac{1}{2} G_{\Lambda \Sigma} F'^{\Lambda} \wedge * F'^{\Sigma} \right. \\ - \left. - \frac{1}{12} \mathcal{V}_{\Lambda \Sigma \Theta} A'^{\Lambda} \wedge F'^{\Sigma} \wedge F'^{\Theta} - \frac{1}{4 \mathcal{V}^2} d\mathcal{V} \wedge * d\mathcal{V} - \frac{1}{4} \mathcal{V}^2 \mathcal{G}_4 \wedge * \mathcal{G}_4 \right. \\ - \left. - \frac{1}{4} (\xi^K d \tilde{\xi}_K - \tilde{\xi}_K d \xi^K + 2A'^{\Lambda} \theta'_{\Lambda}) \wedge \mathcal{G}_4 - g_{\kappa \bar{\kappa}} dz^{\kappa} \wedge * d\bar{z}^{\bar{\kappa}} \right. \\ \left. + \frac{1}{4 \mathcal{V}} (\text{Im} M)^{KL} (d \tilde{\xi}_K - M_{KM} d \xi^M) \wedge * (d \tilde{\xi}_L - \bar{M}_{LN} d \xi^N) - \frac{1}{8 \mathcal{V}^2} G^{\Lambda \Sigma} \theta'_{\Lambda} \theta'_{\Sigma} * 1 \right],
$$

where  $M_{KM}(z,\bar{z})$  is a complex matrix depending on the scalars  $z^{\kappa}$ , and  $G_{\Lambda\Sigma}(L)$  is a real matrix depending on the scalars  $L^{\Lambda}$ . The inverse of  $G_{\Lambda\Sigma}$  is denoted by  $G^{\Lambda\Sigma}$ , while the inverse of  $\text{Im}M_{KM}$  is denoted by  $(\text{Im}M)^{KM}$ . Explicitly  $G_{\Lambda\Sigma}$  is derived to be

$$
G_{\Lambda\Sigma} = \frac{1}{2} \frac{1}{\mathcal{V}^{1/3}} \int_{\hat{Y}_3} \omega_{\Lambda} \wedge *_6 \omega_{\Sigma} = -\frac{1}{2} (\partial_{L^{\Lambda}} \partial_{L^{\Sigma}} \ln \mathcal{N}_{(M)})|_{\mathcal{N}_{(M)}=1},
$$
(2.10)

with  $\mathcal{N}(M)$  being the cubic polynomial in  $L^{\Lambda}$  defined in [\(2.6\)](#page-4-2) but evaluated at 1 only after taking the derivative. The explicit expressions for the metric  $g_{\kappa \bar{\kappa}}(z, \bar{z})$  and the complex matrix  $M_{KM}(z, \bar{z})$  can be found in equations [\(A.4\)](#page-31-1) and [\(A.6\)](#page-31-2) of appendix [A.](#page-30-0) We will not need their precise form in the following.

To bring the action [\(2.9\)](#page-5-0) into a standard supersymmetric form one first has to dualize the three-form  $C_3$  into a 5D scalar  $\Phi$ . We thus introduce a term in the action which imposes the Bianchi identity for  $\mathcal{G}_4$  given by

$$
\Delta S_{(M)}^{(5)} = \int_{\mathcal{M}_5} -\frac{1}{4} d\Phi \wedge \mathcal{G}_4.
$$
\n(2.11)

Upon varying the action with respect to  $G_4$ , now treated as a fundamental field, we find the equation

$$
2V^{2} *_{5} G_{4} + d\Phi + 2A^{\prime\Lambda}\theta^{\prime}_{\Lambda} + \xi^{K}d\xi_{K} - \tilde{\xi}_{K}d\xi^{K} = 0.
$$
 (2.12)

Substituting this back into the effective action [\(2.9\)](#page-5-0) gives the effective action with  $\mathcal{G}_4$ dualized. At this point it is useful to make a redefinition  $\Phi \to \Phi + \tilde{\xi}_K \xi^K$  in order to move into a basis where the scalar  $\tilde{\xi}_K$  is purely axionic, which will be important for comparison with what follows. This gives the 5D effective action

$$
S_{(M)}^{(5)} = \int_{\mathcal{M}_5} \left[ \frac{1}{2} R * 1 - \frac{1}{2} G_{\Lambda \Sigma} dL^{\Lambda} \wedge * dL^{\Sigma} - \frac{1}{2} G_{\Lambda \Sigma} F'^{\Lambda} \wedge * F'^{\Sigma} \right. \\
\left. - \frac{1}{12} \mathcal{V}_{\Lambda \Sigma \Theta} A'^{\Lambda} \wedge F'^{\Sigma} \wedge F'^{\Theta} - \frac{1}{4 \mathcal{V}^2} d\mathcal{V} \wedge * d\mathcal{V} \right. \\
\left. - \frac{1}{16 \mathcal{V}^2} (D\Phi + 2\xi^K d\tilde{\xi}_K) \wedge * (D\Phi + 2\xi^K d\tilde{\xi}_K) - g_{\kappa \bar{\kappa}} dz^{\kappa} \wedge * d\bar{z}^{\bar{\kappa}} \right. \\
\left. + \frac{1}{4 \mathcal{V}} (\text{Im} M)^{KL} (d\tilde{\xi}_K - \bar{M}_{KM} d\xi^M) \wedge * (d\tilde{\xi}_L - M_{LN} d\xi^N) - V_{\text{flux}}^{(5)} * 1 \right], \tag{2.13}
$$

where we have abbreviated the invariant derivative  $D\Phi$  and the scalar potential  $V_{\text{flux}}^{(5)}$  as

<span id="page-6-0"></span>
$$
D\Phi = d\Phi + 2A'^{\Lambda}\theta'_{\Lambda}, \qquad V_{\text{flux}}^{(5)} = \frac{1}{8\mathcal{V}^2}G^{\Lambda\Sigma}\theta'_{\Lambda}\theta'_{\Sigma}. \qquad (2.14)
$$

These gaugings and the potential they induce then describe the deformation away from the ungauged 5D supergravity caused by the background flux  $G_4^{\text{flux}}$ .

The M-theory/F-theory duality, which we wish to use in order to lift this 5D action in the F-theory limit, only applies when the Calabi-Yau manifold  $\hat{Y}_3$  is an elliptic fibration. More precisely,  $\hat{Y}_3$  can be the resolution of a singular elliptic fibration over some base twofold  $B_2$ . When such spaces are considered the divisors of  $\hat{Y}_3$  can be split up into three sets with different origins. Here we will label  $\omega_0$  as the duals of the divisor associated to the section of the elliptic fibration,  $\omega_{\alpha}$  are the duals of the divisors associated with divisors of the base, and  $\omega_i$  are the duals of the divisors associated with the resolution of the singularities of the elliptic fibration. The 5D vector multiplets are then similarly split so that the vectors are decomposed as  $A'^{\Lambda} = (A'^{0}, A'^{\alpha}, A'^{i})$  and the scalars as  $L^{\Lambda} = (R, L^{\alpha}, L^{i})$ . The intersection numbers  $\mathcal{V}_{\Lambda\Sigma\Theta}$  also become constrained such that

<span id="page-6-2"></span>
$$
\mathcal{V}_{000} = \Omega_{\alpha\beta} a^{\alpha} a^{\beta} , \qquad \qquad \mathcal{V}_{00\alpha} = \Omega_{\alpha\beta} a^{\beta} \qquad \qquad \mathcal{V}_{0\alpha\beta} = \Omega_{\alpha\beta} ,
$$
\n
$$
\mathcal{V}_{\alpha\beta\gamma} = 0 , \qquad \qquad \mathcal{V}_{0i\Lambda} = 0 , \qquad \qquad \mathcal{V}_{\alpha\beta i} = 0 ,
$$
\n
$$
\mathcal{V}_{\alpha ij} = -C_{ij} \Omega_{\alpha\beta} b^{\beta} , \qquad \qquad \mathcal{V}_{ijk} \neq 0 , \qquad (2.15)
$$

where  $C_{ij}$  is the Cartan matrix of the group associated with the singularity resolution of the Calabi-Yau manifold.

To shift to a basis in which we can lift up to a 6D theory F-theory reduction it is helpful to make the following field redefinitions

<span id="page-6-1"></span>
$$
M^{0} = 2R, \t M^{\alpha} = \frac{1}{2}L^{\alpha} + \frac{1}{4}K^{\alpha}R, \t M^{i} = \frac{1}{2}L^{i},
$$
  
\n
$$
A^{0} = 2A^{0}, \t A^{\alpha} = \frac{1}{2}A'^{\alpha} + \frac{1}{4}K^{\alpha}A'^{0}, \t A^{i} = \frac{1}{2}A'^{i},
$$
  
\n
$$
\theta_{0} = \frac{1}{2}\theta'_{0} - \frac{1}{4}K^{\alpha}\theta'_{\alpha}, \t \theta_{\alpha} = 2\theta'_{\alpha}, \t \theta_{i} = 2\theta'_{i}. \t (2.16)
$$

In terms of these redefined fields the scalar  $\mathcal{N}_{(M)}$  then takes the form

$$
\mathcal{N}(M) \equiv \Omega_{\alpha\beta} M^0 M^{\alpha} M^{\beta} - 4\Omega_{\alpha\beta} b^{\alpha} C_{ij} M^{\beta} M^{i} M^{j} + \frac{1}{192} \Omega_{\alpha\beta} a^{\alpha} a^{\beta} M^0 M^0 M^0 + \frac{1}{2} \Omega_{\alpha\beta} b^{\alpha} C_{ij} M^0 K^{\beta} M^{i} M^{j} + \frac{4}{3} \mathcal{V}_{ijk} M^{i} M^{j} M^{k} = 1. \quad (2.17)
$$

The fields can be arranged into multiplets of the 5D supersymmetry. For example the 5D metric  $g_{mn}$  together with one of the vectors  $A_m^0$  form the bosonic part of the 5D gravity multiplet. The remaining  $h^{1,1}(\hat{Y}_3) - 1$  vectors combine with the constrained scalars  $L^{\Lambda}$  to form  $n_V^5 = h^{1,1}(\hat{Y}_3) - 1$  vector multiplets. Finally, we note that the  $4(h^{1,2}(\hat{Y}_3) + 1)$  scalars given by  $q^u = (\mathcal{V}, \Phi, z^k, \bar{z}^{\bar{k}}, \xi^K, \tilde{\xi}_K)$  belong to  $n_H^5 = h^{1,2}(\hat{Y}_3) + 1$  hypermultiplets. The resulting 5D action is then given by

<span id="page-7-3"></span><span id="page-7-2"></span>
$$
S_{(M)}^{(5)} = \int_{\mathcal{M}_5} \left[ \frac{1}{2} R * 1 - \frac{1}{2} G_{\Lambda \Sigma} dM^{\Lambda} \wedge * dM^{\Sigma} - \frac{1}{2} h_{uv} Dq^u \wedge * Dq^v \right. \left. (2.18) \right.
$$
  

$$
- \frac{1}{2} G_{\Lambda \Sigma} F^{\Lambda} \wedge *F^{\Sigma} - \frac{1}{12} \mathcal{N}_{\Lambda \Sigma \Theta} A^{\Lambda} \wedge F^{\Sigma} \wedge F^{\Theta} - V_{\text{flux}}^{(5)} * 1 \right],
$$

where  $h_{uv}$  is the hypermultiplet target space metric which can be read off by comparison with  $(2.13)$  and

$$
G_{\Lambda\Sigma}(M) = -\frac{1}{2}(\partial_{M^{\Lambda}}\partial_{M^{\Sigma}}\ln \mathcal{N}_{(M)})|_{\mathcal{N}_{(M)}=1}, \qquad \mathcal{N}_{\Lambda\Sigma\Theta} = (\partial_{M^{\Lambda}}\partial_{M^{\Sigma}}\partial_{M^{\Theta}}\mathcal{N}_{(M)})|_{\mathcal{N}_{(M)}=1}.
$$
\n(2.19)

In this alternative basis the gauge invariant derivatives and the scalar potential are now given by

$$
Dq^{u} = \begin{cases} d\Phi + 2A^{\Lambda}\theta_{\Lambda} & \text{if } q^{u} = \Phi, \\ dq^{u} & \text{if } q^{u} \neq \Phi, \end{cases} \qquad V_{\text{flux}}^{(5)} = \frac{1}{8\mathcal{V}^{2}} G^{\Lambda\Sigma}\theta_{\Lambda}\theta_{\Sigma}. \tag{2.20}
$$

In general the potential of a 5D  $N=1$  theory is given by [\[33](#page-35-9), [37\]](#page-35-13)

$$
V^{(5)} = -4(G^{\Lambda\Sigma} - 2M^{\Lambda}M^{\Sigma})P_{\Lambda A}{}^{B}P_{\Sigma B}{}^{A} + \frac{1}{2}h_{uv}k_{\Lambda}^{u}k_{\Sigma}^{u}M^{\Lambda}M^{\Sigma} ,\qquad (2.21)
$$

where  $k_{\Lambda}^u$  are the killing vectors which define the gaugings as  $Dq^u = dq^u + k_{\Lambda}^u A^{\Lambda}$  and  $P_{\Lambda A}{}^B$ is a function of the hypermultiplet degrees of freedom, valued in the adjoint of SU(2) and is related to the SU(2) part of the hypermultiplet curvature  $K_{uv}$  by

<span id="page-7-1"></span><span id="page-7-0"></span>
$$
k_{\Lambda}^u K_{uvA}{}^B = \nabla_v P_{\Lambda A}{}^B. \tag{2.22}
$$

The potential found in M-theory reduction we have carried out here results from a special case of this in which [\(2.21\)](#page-7-0) becomes simplified as

$$
P_{\Lambda A}{}^{B}P_{\Sigma B}{}^{A} = -\frac{1}{16}k_{\Lambda}^{u}k_{\Sigma}^{v}h_{uv}.
$$
\n(2.23)

We may then chose a gauge in which this is satisfied as

<span id="page-7-4"></span>
$$
P_{\Lambda A}{}^{B} = \frac{i}{8\mathcal{V}} \theta_{\Lambda} \sigma^{3}{}_{A}{}^{B} \,, \tag{2.24}
$$

where  $\sigma^3$  is the Pauli matrix. Substituting this back into  $(2.21)$  then results in the potential [\(2.20\)](#page-7-1).

Let us note here that only shift symmetries are gauged by turning on the flux  $G_4^{\text{flux}}$ . In the M-theory reduction on the resolved  $\hat{Y}_3$  there is no charged 5D matter in the effective theory and all gauge fields are  $U(1)$  fields. This can be attributed to the fact that this 5D theory corresponds to an 6D F-theory compactification on an extra circle when pushing the theory to the 5D Coulomb branch.

#### <span id="page-8-0"></span>2.2 M-theory on SU(3) structure sixfolds

In addition to turning on the flux as described above we may also consider reductions on a more general class of real six manifolds  $\hat{Z}_6$  that are no longer Calabi-Yau manifolds [\[38](#page-35-14)[–42\]](#page-36-0). Concretely we will consider in the following six manifolds  $\hat{Z}_6$  that admit SU(3) structure but which are in general neither Kähler, nor complex, and do not have vanishing Ricci curvature. However, as a result of the  $SU(3)$  structure they do admit a globally defined, no-where vanishing two-form J and three-form  $Ω$ . In contrast to Calabi-Yau spaces with harmonic  $J$ ,  $\Omega$  one now has

$$
dJ \neq 0, \qquad d\Omega \neq 0, \qquad (2.25)
$$

while we still impose

$$
dJ \wedge J = 0 \tag{2.26}
$$

To perform the reduction we must then expand in a basis that includes both the harmonic forms that we considered before and also a different set of non-closed and exact forms. To avoid extensive notation we will use the same indices as in section [2.1](#page-4-0) and will extend the range of  $\Lambda$  and  $K$  to include the non-harmonic forms. These then satisfy

<span id="page-8-1"></span>
$$
d\alpha_K = e'_{K\Lambda}\tilde{\omega}^{\Lambda}, \qquad d\beta^K = 0, \qquad d\omega_{\Lambda} = e'_{K\Lambda}\beta^K, \qquad d\tilde{\omega}^{\Lambda} = 0. \tag{2.27}
$$

The deviation from Calabi-Yau condition is then described by the constants  $e'_{K\Lambda}$ . These deviations are introduced such that the expanded basis preserves the form of the inter-section conditions [\(2.5\)](#page-4-3) and [\(2.8\)](#page-5-1) now integrated over  $\hat{Z}_6$ . Moreover, we restrict to the case that

$$
\omega_{\Lambda} \wedge \beta^{K} = 0, \qquad (2.28)
$$

at least in all integrals. This mimics the conditions valid in Calabi-Yau reductions and accounts for the fact that no one-forms are used in the reduction ansatz.

The dimensional reduction of M-theory on  $\hat{Z}_6$  is performed in analogy with section [2.1](#page-4-0) but taking into account the properties [\(2.27\)](#page-8-1) of the forms. For simplicity we will include the flux  $G_4^{\text{flux}}$  only at the end of the discussion. The expansion of the M-theory three-form then takes the form

$$
\hat{G}_4 = d\xi^K \alpha_K - D\tilde{\xi}_K \beta^K + F'^{\Lambda}\omega_{\Lambda} + \mathcal{G}_4 + \xi^K e'_{K\Lambda} \tilde{\omega}^{\Lambda} \,, \tag{2.29}
$$

where

$$
D\tilde{\xi}_K = d\tilde{\xi}_K + e'_{K\Lambda} A'^{\Lambda} \ . \tag{2.30}
$$

In order to perform the F-theory lift it will again be necessary to split the index  $\Lambda$  into directions associated to the divisors of different origins. In doing this we now extended the range of the index i appearing the the decomposition in order to include the additional non-harmonic 2-forms in  $(2.27)$ . This means that when making the basis change  $(2.16)$  we may then define

$$
e_{K0} = 0, \t e_{K\alpha} = 0, \t e_{Ki} = 2e'_{Ki}. \t (2.31)
$$

When carrying out this decomposition we will also extend the definition of  $C_{ij}$  appearing in [\(2.15\)](#page-6-2) so that now only the part associated with the harmonic 2-forms corresponds to the Cartan matrix of the gauge group, associated with the singularity resolution. Reducing as before, carrying out the rescalings and dualizing the three-from with field strength  $\mathcal{G}_4$ into a scalar Φ we find that

$$
S_{(M)}^{(5)} = \int_{\mathcal{M}_5} \left[ \frac{1}{2} R * 1 - \frac{1}{2} G_{\Lambda \Sigma} dM^{\Lambda} \wedge * dM^{\Sigma} - \frac{1}{2} h_{uv} Dq^u \wedge * Dq^v \right. \\ \left. - \frac{1}{2} G_{\Lambda \Sigma} F^{\Lambda} \wedge *F^{\Sigma} - \frac{1}{12} \mathcal{N}_{\Lambda \Sigma \Theta} A^{\Lambda} \wedge F^{\Sigma} \wedge F^{\Theta} - V_{\text{geom}}^{(5)} * 1 \right], \quad (2.32)
$$

where  $G_{\Lambda\Sigma}(M)$  is formally obtained by the same generating function  $\mathcal{N}_{(M)}$  as in [\(2.17\)](#page-7-2). The gaugings that appear here are now given by

<span id="page-9-2"></span><span id="page-9-1"></span>
$$
Dq^{u} = \begin{cases} d\Phi + A^{\Lambda}e_{K\Lambda}\xi^{K} , & \text{if } q^{u} = \Phi ,\\ d\tilde{\xi}_{K} + A^{\Lambda}e_{K\Lambda} , & \text{if } q^{u} = \tilde{\xi}_{K} ,\\ dq^{u} , & \text{if } q^{u} \neq \Phi , \tilde{\xi}_{K} . \end{cases}
$$
(2.33)

These can be brought into a simplified form by once again making a field redefinition  $\Phi \to \Phi + \xi^K \tilde{\xi}_K$  which modifies the hypermultiplet metric to match that shown in [\(2.13\)](#page-6-0). When this is done the scalar  $\tilde{\xi}_K$  has a standard gauged shift symmetry and is the only scalar with a gauge covariantized derivative such that  $D\tilde{\xi}_K = d\tilde{\xi}_K + A^{\Lambda}e_{K\Lambda}$ .

The potential  $V_{\text{geom}}^{(5)}$  now contains contributions which arise in the M-theory reduction from both the  $\hat{G}_4$  kinetic term and from the internal space Ricci scalar. These combine to give a total potential which agrees with that which is required by supersymmetry  $(2.21)$ for the gaugings we have described. The SU(2) adjoint valued functions  $P_{\Lambda A}{}^B$  can also be derived by reducing the 11D gravitino variation and reading off the relevant term as described in [\[40,](#page-36-1) [43\]](#page-36-2). For both the fluxes and the geometric deformations we have described here this gives

$$
v^{\Lambda} \mathcal{P}_{\Lambda}^{3} = \frac{i}{8\mathcal{V}} \int_{\hat{Z}_{6}} J \wedge G_{4} , \qquad v^{\Lambda} \mathcal{P}_{\Lambda}^{1} + i v^{\Lambda} \mathcal{P}_{\Lambda}^{2} = \frac{i}{8\sqrt{\mathcal{V}}} e^{\frac{1}{2}K_{c}} \int_{\hat{Z}_{6}} \Omega \wedge dJ , \qquad (2.34)
$$

where  $K_c$  is the Kähler potential for the complex structure deformations  $z^{\kappa}$  and we have expanded  $P_{\Lambda A}{}^B$  in terms of the Pauli matrices as

<span id="page-9-0"></span>
$$
P_{\Lambda A}{}^{B} = P_{\Lambda}^{x} \sigma^{x}{}_{A}{}^{B} \,, \tag{2.35}
$$

for  $x = 1, 2, 3$ . We note that for the SU(3) structure reductions we have considered this gives

$$
P_{\Lambda A}{}^{B} = \frac{ie^{\frac{1}{2}K_{c}}}{16\sqrt{\mathcal{V}}}e_{K\Lambda}(Z^{K} + \bar{Z}^{K})\sigma^{1}{}_{A}{}^{B} + \frac{e^{\frac{1}{2}K_{c}}}{16\sqrt{\mathcal{V}}}e_{K\Lambda}(Z^{K} - \bar{Z}^{K})\sigma^{2}{}_{A}{}^{B} + \frac{i}{8\mathcal{V}}e_{K\Lambda}\xi^{K}\sigma^{3}{}_{A}{}^{B},
$$
(2.36)

where  $Z^K$  are the scalars that appear in the expansion of  $\Omega$  such that we may chose a basis in which  $Z^K = \{1, z^{\kappa}\}.$ 

To close this section let us also add the terms arising from a nontrivial background flux  $G_4^{\text{flux}}$ . Combining the gaugings  $(2.20)$  with the gauging induced by the non-vanishing  $e_{K\Lambda}$  one finds

<span id="page-10-1"></span>
$$
Dq^{u} = \begin{cases} d\Phi + 2A^{\Lambda}\theta_{\Lambda}, & \text{if } q^{u} = \Phi, \\ d\tilde{\xi}_{K} + A^{\Lambda}e_{K\Lambda}, & \text{if } q^{u} = \tilde{\xi}_{K}, \\ dq^{u}, & \text{if } q^{u} \neq \Phi, \tilde{\xi}_{K}. \end{cases}
$$
(2.37)

The total potential may then be derived from  $(2.34)$  and  $(2.21)$ . The modifications  $(2.37)$ encode the deviations from a standard Calabi-Yau reduction of M-theory. In the next sections we will demonstrate the up-lift of this five-dimensional gauged supergravity theory to six-dimensions. This will then be interpreted as performing the M-theory to F-theory limit.

#### <span id="page-10-0"></span>2.3 Circle reduction of gauged 6D supergravity

Having derived the 5D gauged supergravities obtained by M-theory compactifications we will now turn to the F-theory side. The starting point will be a general  $6D(1,0)$  gauged supergravity [\[44,](#page-36-3) [45\]](#page-36-4). We will dimensionally reduce this theory on a circle and then determine the couplings by comparison with the M-theory reduction.

The 6D theory is specified by a "pseudo action" in the sense that self-duality conditions for three-form field strengths need to be imposed by hand after variation of the action. In the following we will indicate 6D quantities by a  $\hat{ }$ . The 6D tensor multiplets contain a scalar  $\hat{j}^{\alpha}$  and a two-form  $\hat{B}^{\alpha}$  with field strength  $\hat{G}^{\alpha}$  as bosonic degrees of freedom. The bosonic fields of the 6D hypermultiplets describe four scalars  $\hat{q}^U$  each. The bosonic components of the 6D vector multiplets contain only the vectors  $\hat{A}^{I}$ . These are in general non-Abelian with field strength  $\hat{F}^I = d\hat{A}^I + \frac{1}{2}$  $\frac{1}{2} f^I_{JK} \hat{A}^J \wedge \hat{A}^K$ . At lowest order in derivatives the pseudo-action is given by

$$
S^{(6)} = \int_{\mathcal{M}_6} \left[ \frac{1}{2} \hat{R} \hat{*} 1 - \frac{1}{4} \hat{g}_{\alpha\beta} \hat{G}^{\alpha} \wedge \hat{*} \hat{G}^{\beta} - \frac{1}{2} \hat{g}_{\alpha\beta} d\hat{j}^{\alpha} \wedge \hat{*} d\hat{j}^{\beta} - \frac{1}{2} \hat{h}_{UV} \hat{D} \hat{q}^U \wedge \hat{*} \hat{D} \hat{q}^V \right. \\
\left. - 2 \Omega_{\alpha\beta} \hat{j}^{\alpha} b^{\beta} C_{IJ} \hat{F}^I \wedge \hat{*} \hat{F}^J - \Omega_{\alpha\beta} b^{\alpha} C_{IJ} \hat{B}^{\beta} \wedge \hat{F}^I \wedge \hat{F}^J - \hat{V}^{(6)} \hat{*} \hat{1} \right], \quad (2.38)
$$

with self-duality condition

$$
\hat{g}_{\alpha\beta}\hat{*}\hat{G}^{\beta} = \Omega_{\alpha\beta}\hat{G}^{\beta}, \text{ where } \hat{G}^{\alpha} = d\hat{B}^{\alpha} + 2b^{\alpha}\hat{\omega}^{cs}, \quad d\hat{\omega}^{cs} = C_{IJ}\hat{F}^I \wedge \hat{F}^J. \quad (2.39)
$$

The couplings  $b^{\alpha}, \Omega_{\alpha\beta}$  and  $C_{IJ} = \text{Tr}(T_I T_J)$  are constants defining the theory. The  $\hat{j}^{\alpha}$ appear in the metric for the tensor multiplets and are normalized as

<span id="page-10-2"></span>
$$
g_{\alpha\beta} = 2\hat{j}_{\alpha}\hat{j}_{\beta} - \Omega_{\alpha\beta}, \qquad \hat{j}^{\alpha}\hat{j}^{\beta}\Omega_{\alpha\beta} = 1, \qquad \hat{j}_{\alpha} = \Omega_{\alpha\beta}\hat{j}^{\beta}.
$$
 (2.40)

Here  $\alpha = 0, \ldots n_T^6$  is an index in the fundamental of  $SO(n_T^6, 1)$  which counts the  $n_T^6$  tensor multiplets,  $I = 1, \ldots$  dim(G) is an index in the adjoint of G which counts the dim(G) vector multiplets and  $U = 1, ..., 4n_H^6$  is an index which counts the  $n_H^6$  hypermultiplets.

As in the 5D case the hypermultiplet gaugings define the covariant derivatives and potential

$$
\hat{D}q^{U} = d\hat{q}^{U} + \hat{A}^{I}\hat{k}_{I}^{U}, \qquad \hat{V}^{(6)} = -\frac{1}{4}\frac{1}{\Omega_{\alpha\beta}\hat{j}^{\alpha}b^{\beta}}C^{-1IJ}\hat{A}_{U}{}^{A}{}_{B}\hat{A}_{V}{}^{B}{}_{A}\hat{k}_{I}^{U}\hat{k}_{J}^{V}, \qquad (2.41)
$$

where  $\hat{k}_I^U$  and  $\hat{A}_U{}^A{}_B$  are in general functions of the hypermultiplet scalars. Here  $A = 1, 2$  is an index in the fundamental of the SU(2) R-symmetry of the 6D theory. The hypermultiplet gaugings induce a transformation of objects which carry the the 6D R-symmetry index such that the covariant derivative of the 6D supersymmetry parameter appearing in the gravitino variation is given by

$$
\hat{D}_M \hat{\epsilon}^A = \hat{\nabla}_M \hat{\epsilon}^A + \hat{D}_M \hat{q}^U \hat{A}_U{}^A{}_B \hat{\epsilon}^B \,. \tag{2.42}
$$

The covariant derivative of the 6D gravitino  $\hat{\psi}_M^A$  appearing in the gauged Rarita-Schwinger term also has this structure.

In order to make contact with the 5D theory we have found in the previous section and obtain the F-theory lift we reduce this action on a circle. The ansatz for the metric is

$$
\hat{s}_{(6)}^2 = g_{mn} dx^m dx^n + r^2 (dy - A^0)^2, \qquad (2.43)
$$

where  $A^0$  is the Kaluza-Klein vector, r the circle circumference and y the coordinate along the circle. The vector and tensor fields are reduced as

$$
\hat{A}^{I} = A^{I} + \zeta^{I}(dy - A^{0}), \qquad \hat{B}^{\alpha} = B^{\alpha} + (A^{\alpha} + 2b^{\alpha}C_{IJ}\zeta^{I}A^{J}) \wedge (dy - A^{0}). \tag{2.44}
$$

Substituting this ansatz into the action, integrating over the circle direction, performing a Weyl rescaling of the 5D metric  $g_{mn} \to r^{-\frac{2}{3}} g_{mn}$  and using the self duality constraint results in a 5D action with, in general, adjoint scalars  $\zeta^I$  and non-Abelian vectors  $A^I$ . We will not display the whole non-Abelian action here, since we are mostly interested in the Coulomb branch of the theory.

As the 5D M-theory reduction results in the Abelian theories defined by [\(2.18\)](#page-7-3) and [\(2.32\)](#page-9-1), this must be compared with the Coulomb branch of the circle reduced action. The 5D Coulomb branch is obtained by giving the adjoint scalars  $\zeta^I$  a vacuum expectation value that breaks the gauge group as  $G \to U(1)^{rank(G)}$ . We therefore restrict the vectors to those which gauge only this Cartan sub-algebra of G which we label as  $A^i$ with  $i = 1, ..., \text{rank}(G)$ , for these Cartan elements one has  $f^I{}_{ij} = 0$  so that  $F^i = dA^i$ . The scalars in the vector multiplets are accordingly denoted by  $\zeta^i$ . To determine the action in the Coulomb branch is in general a hard task, since it requires us to integrate out massive fields that gained their mass due to the breaking of G. In the following we will display the truncated action. More precisely, we drop all massive modes that gained their mass by moving to the Coulomb branch and the Kaluza-Klein reduction and do not include corrections arising after integrating out these massive modes. In principle, one has to compute the Wilsonian effective action after integrating out both massive Coulomb branch modes and Kaluza-Klein modes [\[18](#page-34-8)]. The retained fields also include hypermultiplets that admit scalars with a gauged 6D shift symmetry. These gaugings will be induced by fluxes or the non-Calabi-Yau geometry in the F-theory setup. In summary, we will restrict the hypermultiplet scalars to the set  $q^u$ , where  $u = 1, \ldots, n_{H(\text{Coulomb})}^6$ , which are neutral under the gaugings or have only shift symmetries so that  $k_i^u = \text{const.}^1$  $k_i^u = \text{const.}^1$  These restrictions are made in a supersymmetric way so that whole multiplets are truncated from the action.

With this restrictions in mind, we are now able to present the 5D action after circle reduction. In order bring the action into a more standard form it will be necessary to define the coordinates of the scalar target space [\[18](#page-34-8)]

<span id="page-12-1"></span>
$$
M^{0} = r^{-\frac{4}{3}}, \qquad M^{\alpha} = r^{\frac{2}{3}}(j^{\alpha} + 2b^{\alpha}r^{-2}C_{ij}\zeta^{i}\zeta^{j}), \qquad M^{i} = r^{-\frac{4}{3}}\zeta^{i}. \qquad (2.45)
$$

The action then reads

$$
S_{(F)}^{(5)} = \int_{\mathcal{M}_5} \left[ \frac{1}{2} R * 1 - \frac{1}{2} h_{uv} Dq^u \wedge * Dq^v - \frac{1}{2} G_{\Lambda\Sigma} dM^\Lambda \wedge * dM^\Sigma \right. \\
\left. - \frac{1}{2} G_{\Lambda\Sigma} F^\Lambda \wedge *F^\Sigma - \frac{1}{12} (\mathcal{V}_{\Lambda\Sigma\Theta}^{\text{red}} + X_{\Lambda\Sigma\Theta}^{\text{red}}) A^\Lambda \wedge F^\Sigma \wedge F^\Theta - V_{\text{red}}^{(5)} * 1 \right], \tag{2.46}
$$

where the covariant derivatives for the hypermultiplet scalars are given by  $Dq^u = dq^u +$  $A^{i}k_{i}^{u}$ . The metric  $G_{\Lambda\Sigma}$  depends on the scalars  $M^{\Lambda} = (M^{0}, M^{\alpha}, M^{i})$  and is given by

$$
G_{\Lambda\Sigma} = -\frac{1}{2} (\partial_{M^{\Lambda}} \partial_{M^{\Sigma}} \ln \mathcal{N}_{(F)})|_{\mathcal{N}_{(F)}=1}, \qquad \mathcal{N}_{(F)} \equiv \mathcal{N}_{(F)}^{\mathcal{P}} + \mathcal{N}_{(F)}^{\mathcal{np}}, \qquad (2.47)
$$

where

$$
\mathcal{N}_{(F)}^{\text{p}} \equiv \Omega_{\alpha\beta} M^0 M^\alpha M^\beta - 4\Omega_{\alpha\beta} b^\alpha C_{ij} M^\beta M^i M^j \,, \quad \mathcal{N}_{(F)}^{\text{np}} \equiv 4\Omega_{\alpha\beta} b^\alpha b^\beta C_{ij} C_{kl} \frac{M^i M^j M^k M^l}{M^0} \,. \tag{2.48}
$$

Let us note that when inserting the definitions [\(2.45\)](#page-12-1) into this form of  $\mathcal{N}_{(F)}$  one indeed finds that  $\mathcal{N}_{(F)} = 1$  as a consequence of  $j^{\alpha} j^{\beta} \Omega_{\alpha\beta} = 1$ . The coefficients of the Chern-Simons-type terms are separated into constant couplings  $\mathcal{V}_{\Lambda \Sigma \Theta}^{\text{red}}$  and field-dependent couplings  $X_{\Lambda \Sigma \Theta}^{\text{red}}(M)$ . The former are given by

<span id="page-12-4"></span><span id="page-12-3"></span><span id="page-12-2"></span>
$$
\mathcal{V}_{\Lambda\Sigma\Theta}^{\text{red}} = \partial_{M\Lambda}\partial_{M\Sigma}\partial_{M\Theta} \mathcal{N}_{(F)}^{\text{p}} , \qquad (2.49)
$$

The field dependent Chern-Simons couplings are only symmetric in the last two indices  $X_{\Lambda \Sigma \Theta}^{\text{red}} = X_{\Lambda (\Sigma \Theta)}^{\text{red}}$ . They are given by

$$
X_{0\Lambda\Sigma}^{\text{red}} = X_{\alpha\Lambda\Sigma}^{\text{red}} = 0, \qquad X_{i\Lambda\Sigma}^{\text{red}} = \frac{3}{4} \partial_{M^i} \partial_{M^{\Lambda}} \partial_{M^{\Sigma}} \mathcal{N}_{(F)}^{\text{np}} , \qquad (2.50)
$$

<span id="page-12-0"></span><sup>&</sup>lt;sup>1</sup>One way of seeing this constraint is to notice that the truncation of the non-Abelian gauge fields  $A<sup>I</sup>$ , which gauge the symmetries of a set of scalars  $q^U$ , has to be compatible with the equations of motion. On the Coulomb branch we split the vectors into as  $A^I = \{A^i, A^{I'}\}$  where  $A^i$  are the gauge fields associated to the Cartan sub-algebra and  $A^{I'}$  are the rest, and then set  $A^{I'}$  to zero. This is consistent if the  $A^{I'}$  field equation  $D * F^{I'} = -k_U^{I'} * Dq^U + \dots$  remains satisfied when the truncation is carried out. Then decomposing the scalars  $q^U$  as  $q^U = \{q^u, q^{U'}\}$  where  $k_u^{I'} = 0, k_{U'}^{I'} \neq 0$  we see that when  $A^{I'}$  is set to zero we must also set  $q^{U'}$  to zero on the right. For this reason scalars that are charged under the truncated vectors must also be truncated. However the scalars that remain  $q^u$  may still be charged under the remaining vectors so that  $k_u^i \neq 0$  as is seen in our constructions.

Finally, let us discuss the scalar potential, by reducing the 6D action we find

<span id="page-13-1"></span>
$$
V_{\text{red}}^{(5)} = \frac{1}{4}r^{-\frac{2}{3}} \frac{1}{\Omega_{\alpha\beta}j^{\alpha}b^{\beta}} C^{-1ij} A_u{}^A{}_B A_v{}^B{}_A k_i^u k_j^v + \frac{1}{2}r^{-\frac{8}{3}} h_{uv}\zeta^i \zeta^j k_i^u k_j^v . \tag{2.51}
$$

To compare this with the 5D result it is useful to rewrite this expression using the inverse metric  $G^{\Sigma\Lambda}$ . This requires us to explicitly invert  $G_{\Sigma\Lambda}$  computed using [\(2.47\)](#page-12-2) and [\(2.48\)](#page-12-3). To do this one uses standard inversion formulas for block matrices to find

$$
G^{ij} = \tilde{G}^{ij} + \tilde{G}\tilde{G}^{ik}\tilde{G}_k\tilde{G}^{jl}\tilde{G}_l = \frac{1}{4}r^{-\frac{2}{3}}\frac{1}{\Omega_{\alpha\beta}j^{\alpha}b^{\beta}}C^{-1ij} + 2r^{-\frac{8}{3}}\zeta^i\zeta^j, \qquad (2.52)
$$

where we have applied

$$
\tilde{G} = (G_{00} - G_{0\alpha}(G_{\alpha\beta})^{-1}G_{0\beta} - \tilde{G}_i\tilde{G}^{ij}\tilde{G}_j)^{-1}, \quad \tilde{G}_i = (G_{0i} - G_{i\alpha}(G_{\alpha\beta})^{-1}G_{0\beta}),
$$
  

$$
\tilde{G}^{ij} = (G_{ij} - G_{i\alpha}(G_{\alpha\beta})^{-1}G_{\beta j})^{-1}.
$$
\n(2.53)

and inserted the results for the components of  $G_{\Lambda\Sigma}$  which may be read off from [\(2.47\)](#page-12-2). Substituting this into potential  $(2.51)$  we find that this can be rewritten as

<span id="page-13-3"></span>
$$
V_{\text{red}}^{(5)} = -\left( (G^{ij} - 2M^i M^j) A_u{}^A{}_B A_v{}^B{}_A k_i^u k_j^v - \frac{1}{2} h_{uv} M^i M^j k_i^u k_j^v \right). \tag{2.54}
$$

#### <span id="page-13-0"></span>2.4 Lifting to 6D F-theory

We now wish to match the 5D theory  $(2.46)$  arising after circle reduction of 6D supergravity with the 5D theories  $(2.18)$  and  $(2.32)$  in the reduction of 11D supergravity. As the actions are both supersymmetric, this can be done by matching the hypermultiplet gaugings, the potential and the scalar  $N$ .

We will first review the matching of  $\mathcal{N}_{(M)}$  given in  $(2.17)$  with  $\mathcal{N}_{(F)}$  given in  $(2.48)$ . To do this we first note that the F-theory lift applies in the limit in which the volumes of the elliptic fibre and the resolution blowups vanish but where the threefold volume remains finite. The effect of taking this limit on the effective action results in a rescaling of the scalars as

$$
M^{0} \to \epsilon M^{0}, \qquad \qquad M^{\alpha} \to \epsilon^{-\frac{1}{2}} M^{\alpha}, \qquad \qquad M^{i} \to \epsilon^{\frac{1}{4}} M^{i}. \qquad (2.55)
$$

and then taking the limit as  $\epsilon \to 0$ . When this is done  $\mathcal{N}_{(M)}$  becomes

<span id="page-13-4"></span><span id="page-13-2"></span>
$$
\mathcal{N}_{(M)} = \Omega_{\alpha\beta} M^0 M^\alpha M^\beta - 4\Omega_{\alpha\beta} b^\alpha C_{ij} M^\beta M^i M^j. \tag{2.56}
$$

Next we consider [\(2.48\)](#page-12-3) this consists of a polynomial and a non-polynomial part. The polynomial part  $\mathcal{N}_{(F)}^{\text{p}}$  matches [\(2.56\)](#page-13-2) and the non-polynomial part  $\mathcal{N}_{(F)}^{\text{np}}$  $\binom{r}{F}$  can be interpreted as a one-loop correction as discussed in [\[18\]](#page-34-8). Furthermore,  $\mathcal{N}_{(F)}^{\text{np}}$  $I_{(F)}^{\text{np}}$  is proportional to the contraction  $b^{\alpha}b^{\beta}\Omega_{\alpha\beta}$  that characterizes the 6D one-loop anomalies.

Let us now discuss the hypermultiplet gaugings induced by  $G_4$ -fluxes. To do this we compare the gaugings that appear in  $(2.20)$  with the gaugings  $(2.46)$ . We note from  $(2.46)$ that only gaugings associated with the vectors  $A_m^i$  are present. This implies that the  $G_4$ fluxes corresponding to  $\theta'_0$ ,  $\theta'_\alpha$  in [\(2.3\)](#page-4-4), or equivalently to the fluxes  $\theta_0$ ,  $\theta_\alpha$  defined in [\(2.16\)](#page-6-1), cannot be lifted to F-theory. The 6D Killing vectors are related to the remaining fluxes and one has

$$
k_i^{\Phi} = 2\theta_i, \qquad \theta_0 = \theta_\alpha = 0, \qquad (2.57)
$$

with all other components of the Killing vectors vanishing. It is easy to check that these  $k_i^u$  indeed satisfy the Killing vector equations

$$
\mathcal{L}_{k_i} h_{uv} = k_i^w \partial_w h_{uv} + \partial_u k_i^w h_{wv} + \partial_v k_i^w h_{wu} = k_i^{\Phi} \partial_{\Phi} h_{uv} = 0, \qquad (2.58)
$$

as  $k_i^v$  are constant and the metric (which can be read off from  $(2.13)$ ) is independent of  $\Phi$ .

We can see that these allowed gaugings can be lifted to F-theory by considering the reduction of Type IIB Supergravity on an orientifold quotient of K3 with D7-Branes which represents the week coupling limit of the F-theory reduction. In this reduction the D7 brane action contains a term of the form

<span id="page-14-0"></span>
$$
\int_{D7} \hat{C}_4 \wedge \text{Tr}(\hat{F} \wedge \hat{F}), \qquad (2.59)
$$

where  $\hat{ }$  now indicates a 10D quantity,  $\hat{F}$  is the field strength for the gauge field on the D7 brane and  $\hat{C}_4$  is the IIB Ramond-Ramond 4-form. To avoid breaking the 6D Lorentz symmetry of the reduced theory the D7 brane must fill the lower dimensions and wrap a 2-cycle S on the internal space. When a flux is turned on such that  $\hat{F}^i = C^{-1ij}\theta_i[S]$ , where  $[S]$  is the 2-form which is the Poincaré dual of S, this gives

$$
\int_{D7} \hat{\tilde{C}}_4 \wedge \text{Tr}(\hat{\tilde{F}} \wedge \hat{\tilde{F}}) = \int_{\mathcal{M}_6} 2\hat{C}_4 \wedge C_{ij} \hat{F}^i \int_S C^{-1jk} \theta_k[S] = \int_{\mathcal{M}_6} 2\hat{C}_4 \wedge \hat{F}^i \theta_i, \qquad (2.60)
$$

when the 6D 4-form  $\hat{C}_4$  is dualized to the scalar  $\hat{\Phi}$  this term is responsible for the appearance of the gauging in the 6D covariant derivative  $D\hat{\Phi} = d\hat{\Phi} + 2\theta_i \hat{A}^i$ . From this we understand that the F-theory dual of the 4-form flux we have described is flux on the world volume of the 7-branes.

Next we can match the potentials. To do this we simply note that comparing [\(2.21\)](#page-7-0) with  $(2.54)$  we find that

$$
P_{iA}{}^{B} = \frac{1}{2} k_i^u A_u{}^{A}{}_{B} , \qquad P_{0A}{}^{B} = P_{\alpha A}{}^{B} = 0 . \qquad (2.61)
$$

Then for the potential induced by the flux gaugings in 5D where [\(2.24\)](#page-7-4) applies the 6D potential is given by

$$
\hat{V}_{\text{flux}}^{(6)} = \frac{1}{32\Omega_{\alpha\beta}\hat{j}^{\alpha}b^{\beta}\hat{\mathcal{V}}^2}C^{-1ij}\theta_i\theta_j.
$$
\n(2.62)

This potential has a runaway direction for the scalars  $\hat{j}^{\alpha}$  and  $\hat{\mathcal{V}}$  and as a result the 6D theory effective theory has no maximally symmetric solutions. We will discuss the non-maximally symmetric solution which replace this in the next section.

We can also up-lift the gaugings induced in the reduction on the  $SU(3)$  structure manifold. As before we compare the gaugings that are arise in the circle reduction [\(2.46\)](#page-12-4)

with  $(2.33)$  to find that the only non-vanishing killing vectors of the 5D hypermultiplet target space are  $k_i^{\tilde{\xi}_K} = e_{Ki}$  with all other components of the killing vectors vanishing.

We can also consider the F-theory duals of these lifted SU(3) structure deformations. Here we find that the gaugings of the 6D effective theories are caused in the IIB reduction by the presence of extra massive  $U(1)$  symmetries. To see this we can note that when these symmetries are included there will be an additional term of the from

$$
\int_{D7} \hat{C}_6 \wedge \text{Tr}(\hat{F}),\tag{2.63}
$$

where  $\hat{C}_6$  is the Ramond-Ramond 6-form and these extra U(1) branes wrap new cycles  $S_i$ on the base  $B_2$ . To reduce these extra terms to 6D we expand  $\hat{C}_6 = \hat{Z}_4^K \wedge i_\eta \alpha_K$ , where  $\eta$ is a vector that projects  $\alpha_K$  to a 2-form on the base, and then integrate over  $S_i$ . This then gives rise to extra terms in the 6D action of the form

$$
\int_{D7} \hat{C}_6 \wedge \text{Tr}(\hat{F}) = \int_{\mathcal{M}_6} \hat{Z}_4^K \wedge \hat{F}^i \int_{S_i} i_\eta \alpha_K = \int_{\mathcal{M}_6} \hat{Z}_4^K \wedge \hat{F}^i e_{iK} . \tag{2.64}
$$

When the 4-form  $\hat{Z}_4^K$  is dualized to give the scalar  $\hat{\tilde{\xi}}_K$  this term then gives rise to gaugings present in our 6D effective theory. We note from this that if we make the gauge choice as described in section [2.2](#page-8-0) and expand  $\alpha_K$  into  $\alpha_0$  and  $\alpha_K$  then, as  $i_n\alpha_0$  is a (2,0)-form and  $S_i$  is a (1, 1)-cycle, we see that  $e_{0i} = 0$  for the F-theory gaugings we describe here. These are then dual to a restricted set of SU(3) structure deformations which also satisfy this constraint.

As before we can also compare the scalar potentials find that in this case

<span id="page-15-1"></span>
$$
\hat{V}_{\text{U}(1)}^{(6)} = \frac{1}{32\Omega_{\alpha\beta}\hat{j}^{\alpha}b^{\beta}} C^{-1ij} \left( \frac{1}{\mathcal{V}^2} e_{\kappa i} e_{\lambda j} \xi^{\kappa} \xi^{\lambda} + \frac{e^{K_c}}{\mathcal{V}} e_{\kappa i} e_{\lambda j} z^{\kappa} \bar{z}^{\lambda} \right). \tag{2.65}
$$

When interpreted as coming from D7-branes the potential arises by expanding the Dirac-Born-Infeld action. The first term of the potential depends on the Wilson line scalars, while the second term depends on the D7-brane deformations. The latter indicates that certain D7-brane deformations are actually massive since they require it to wrap a nonsupersymmetric cycle.

## <span id="page-15-0"></span>3 Vacua and reductions to 4D

In this section we will find and comment on certain vacua of the 6D effective theories that result from the F-theory compactifications we have described. In doing this we will approach the effective theories that result from 7-brane fluxes and massive  $U(1)$  symmetries separately. In section [3.1](#page-16-0) we will describe the vacua of the 6D theory deformed by fluxes. As this effective theory has a potential with runaway directions a maximally symmetric solution is not possible and is replaced by vacua which locally describe 4D flat space times a 2D compact internal space. In section [3.2](#page-20-0) we will consider the 4D effective theories that result from a reduction on the compact 2D part of the solution. In section [3.3](#page-24-0) we will describe the vacua and reductions of the 6D effective theories that result from additional massive  $U(1)$  symmetries.

## <span id="page-16-0"></span>3.1 Vacua of 6D F-theory with 7-brane fluxes

As we have mentioned the 6D gauged supergravity that represents our F-theory reduction with D7-brane flux has no maximally symmetric solution. For this reason it is interesting to investigate what the vacua are. These vacua must solve the 6D equations of motion combined with the pseudo action constraint which are given by

$$
\hat{R}_{MN} = + \frac{1}{4} \hat{g}_{\alpha\beta} \hat{G}^{\alpha}{}_{M}{}^{RS} \hat{G}^{\beta}{}_{NRS} - \frac{1}{24} \hat{g}_{\alpha\beta} \hat{G}^{\alpha}{}^{RST} \hat{G}^{\beta}{}_{RST} \hat{g}_{MN} \n+ 4\Omega_{\alpha\beta} \hat{j}^{\alpha} b^{\beta} C_{IJ} \hat{F}^{I}{}_{M}{}^{R} \hat{F}^{J}{}_{NR} - \frac{1}{2} \Omega_{\alpha\beta} \hat{j}^{\alpha} b^{\beta} C_{IJ} \hat{F}^{IRS} \hat{F}^{J}{}_{RS} \hat{g}_{MN} \n+ \hat{g}_{\alpha\beta} \partial_{M} \hat{j}^{\alpha} \partial_{N} \hat{j}^{\beta} + \hat{h}_{UV} \hat{D}_{M} \hat{q}^{U} \hat{D}_{N} \hat{q}^{V} + \frac{1}{2} \hat{V}_{(6)} \hat{g}_{MN}, \nd(\hat{h}_{UV} \hat{*} \hat{D} \hat{q}^{V}) = \frac{1}{2} \partial_{U} \hat{h}_{VW} \hat{D} \hat{q}^{V} \wedge \hat{*} \hat{D} \hat{q}^{W} + \hat{h}_{VW} \partial_{U} \hat{k}_{I}^{V} \hat{A}^{I} \wedge \hat{*} \hat{D} \hat{q}^{W} + \partial_{U} \hat{V}_{(6)} \hat{*} \mathbf{1}, \nd(\Omega^{\alpha\beta} \hat{g}_{\beta\gamma} \hat{*} \hat{d} \hat{j}^{\gamma}) = \hat{j}_{\beta} \hat{G}^{\alpha} \wedge \hat{*} \hat{G}^{\beta} + 2 \hat{j}_{\beta} \hat{d} \hat{j}^{\alpha} \wedge \hat{*} \hat{d} \hat{j}^{\beta} + 2b^{\alpha} C_{IJ} \hat{F}^{I} \wedge \hat{*} \hat{F}^{J} - \frac{1}{\Omega_{\beta\gamma} \hat{j}^{\beta} b^{\gamma}} b^{\alpha} \hat{V}_{(6)} \hat{*} \mathbf{1}, \n\hat{D}(4\Omega_{\alpha\beta} \hat{j}^{\alpha} b^{\beta} \hat{*} \hat{F}^{I}) = - \hat{h}_{UV} C^{-1IJ} \hat{k}_{J}^{U} \hat
$$

This set of equations includes both the fields that correspond to the Coulomb branch, which we have a good understanding of from the M-theory reduction, as well as the large set of additional degrees of freedom that arise from branes warping shrinking cycles when the F-theory limit is taken. This second set of fields is more mysterious, owing to its nonperturbative origins and consequently we do not know the exact details of the associated couplings. However, when looking for vacua this is not a problem as we know that these additional fields can be consistently truncated out of the theory, leaving only the fields and couplings for which the details are known. For this reason we will only consider vacua which have non-trivial dependence on the Coulomb branch fields.

In what follows we will be particularly interested in 4D vacua of this 6D theory, we therefore split the 6D world index  $M = 0, \ldots 5$  into  $\mu = 0, \ldots 3$  and  $a = 1, 2$  and we will look for a solution for which the 6D metric is a warped product of 4D Minkowski and some internal space so that

$$
d\hat{s}^{2} = e^{2W(y^{c})} \eta_{\mu\nu} dx^{\mu} dx^{\nu} + g_{ab}(y^{c}) dy^{a} dy^{b}.
$$
 (3.2)

This splitting means that in the vacuum we must have

$$
\hat{G}^{\alpha} = 0, \qquad \text{and} \qquad \hat{F}^{i} = \frac{1}{2} \check{F}^{i}_{ab} dy^{a} \wedge dy^{b}, \qquad (3.3)
$$

in order to preserve the 4D Lorentz symmetry. The Killing spinor equation then simply reads

<span id="page-16-1"></span>
$$
\delta \hat{\psi}_M^A = \hat{\nabla}_M \hat{\epsilon}^A + \hat{D}_M \hat{q}^u \hat{A}_u{}^A{}_B \hat{\epsilon}^B = 0. \tag{3.4}
$$

By considering this equation with the free index pointing in the  $\mu$  direction we find

$$
\delta\hat{\psi}^A_\mu = \partial_\mu \hat{\epsilon}^A + \frac{1}{4} \hat{\omega}_{\mu\nu\rho} \hat{\Gamma}^{\nu\rho} \epsilon^A + \frac{1}{2} \hat{\omega}_{\mu\nu a} \hat{\Gamma}^{\nu} \hat{\Gamma}^a \hat{\epsilon}^A + \frac{1}{4} \omega_{\mu ab} \hat{\Gamma}^{ab} \hat{\epsilon}^A + \hat{D}_m \hat{q}^u \hat{A}_u{}^A{}_B \hat{\epsilon}^B = 0 \,. \tag{3.5}
$$

The term with  $\hat{\omega}_{\mu\nu a}$  cannot cancel anything, so must vanish independently. However, this means that

$$
0 = \hat{\omega}_{\mu\nu a} = \partial_a W e^{2W} \eta_{\mu\nu} \,. \tag{3.6}
$$

So in order for the vacuum to preserve 4D Lorentz invariance we must have  $\partial_a W = 0$ . With an appropriate 4D coordinate redefinition we can then absorb the constant warp factor to give the 6D metric

$$
d\hat{s}^2 = \eta_{\mu\nu} dx^{\mu} dx^{\nu} + g_{ab}(y^c) dy^a dy^b, \qquad (3.7)
$$

which we shall consider from now on. The for the  $\hat{R}_{\mu\nu}$  field equation to be satisfied we then require that in the vacuum

<span id="page-17-0"></span>
$$
\Omega_{\alpha\beta}\hat{j}^{\alpha}b^{\beta}C_{ij}\hat{F}^{iab}\hat{F}^{j}_{ab} = \hat{V}_{(6)}.
$$
\n(3.8)

Substituting this into the  $\hat{j}^{\alpha}$  field equation we find that we may set  $d\hat{j}^{\alpha} = 0$  as the runaway direction for  $\hat{j}^{\alpha}$  in the potential has been balanced by the  $\hat{j}^{\alpha}$  dependence of the flux term. By performing a constant conformal rescaling of the internal space such that  $g_{ab} \to 8\Omega_{\alpha\beta} \langle \hat{j}^\alpha \rangle b^\beta g_{ab}$  we may then absorb the constant background value of  $\hat{j}^\alpha$  in all subsequent equations.

By considering the  $\hat{q}^u$  field equation we find that we can consistently set all the scalars to some constant values apart from  $\hat{V}$  which has a runaway direction in the scalar potential and  $\hat{\Phi}$  which acts as a Stueckelberg field for the gauge potential. The scalars  $\hat{z}^{\kappa}$  describe the complex structure moduli of the elliptically fibered Calabi-Yau threefold in our M-theory reduction. Some of these degrees of freedom must therefore also describe the complex structure modulus  $\hat{\tau}$  of the auxiliary torus in the F-theory reduction. This means that the kinetic terms for the scalars  $\hat{z}^{\kappa}$  may be expanded in terms a kinetic term for  $\hat{\tau}$  and kinetic terms for the remaining complex structure moduli as

$$
-\hat{g}_{\kappa \bar{\kappa}} d\hat{z}^k \wedge *d\hat{z}^{\bar{k}} = -\frac{1}{4} \frac{1}{\text{Im}\hat{\tau}^2} d\hat{\tau} \wedge *d\hat{\tau} + \dots \tag{3.9}
$$

In what follows we will allow  $\hat{\tau}$  to vary non-trivially over the 2-dimensional internal space but, to simplify our construction, we will fix the remaining complex structure moduli to be constant.

To summarize we propose that in our background

$$
\hat{\mathcal{V}} = \check{\mathcal{V}}, \qquad \hat{\Phi} = \check{\Phi}, \qquad \hat{\tau} = \check{\tau}, \qquad \hat{F}^i = \check{F}^i, \qquad (3.10)
$$

where  $\check{\mathcal{V}}$  and  $\check{\Phi}$  are real functions of the internal space,  $\check{\tau}$  is a complex function of the internal space and  $\check{F}^i$  is a real 2-form field strength on the internal space. All other fields of the 6D theory then vanish on the background.

Using these arguments many of the 6D field equations are solved and the remaining set are greatly simplified giving

$$
R_{ab} = \frac{1}{2\text{Im}\check{\tau}^2} \partial_{(a}\check{\tau}\partial_{b)}\check{\tau} + \frac{1}{2\check{\mathcal{V}}^2} \partial_{a}\check{\mathcal{V}}\partial_{b}\check{\mathcal{V}} + \frac{1}{8\check{\mathcal{V}}^2} D_{a}\check{\Phi}D_{b}\check{\Phi} + \frac{1}{2\check{\mathcal{V}}^2} C^{-1ij}\theta_{i}\theta_{j}g_{ab},
$$
  
\n
$$
C_{ij}d(*_{2}\check{F}^{j}) = -\frac{1}{2\check{\mathcal{V}}^2}\theta_{i} *_{2} D\check{\Phi},
$$
  
\n
$$
C_{ij}\check{F}^{i} *_{2}\check{F}^{j} = \frac{1}{\check{\mathcal{V}}^2} C^{-1ij}\theta_{i}\theta_{j} *_{2} 1,
$$
  
\n
$$
d *_{2} d\check{\tau} = \frac{-i}{\text{Im}\check{\tau}} d\check{\tau} \wedge *_{2} d\check{\tau}
$$
  
\n
$$
d *_{2} d\check{\mathcal{V}} = \frac{1}{\check{\mathcal{V}}} d\check{\mathcal{V}} \wedge *_{2} d\check{\mathcal{V}} - \frac{1}{4\check{\mathcal{V}}} D\check{\Phi} \wedge *_{2} D\check{\Phi} - \frac{1}{\check{\mathcal{V}}} C^{-1ij}\theta_{i}\theta_{j} *_{2} 1,
$$
  
\n
$$
d\left(\frac{1}{\check{\mathcal{V}}^2} *_{2} D\check{\Phi}\right) = 0,
$$
\n(3.11)

where we have used that that  $\check{F}^i_{ab}$  must be proportional to the 2D epsilon tensor as it is a top form on the internal space.

As the internal space is two-dimensional the 2D Ricci scalar must satisfy  $R_{ab} = \frac{1}{2}Rg_{ab}$ . So the R.H.S. of the  $R_{ab}$  field equation must also be proportional to  $g_{ab}$ . We solve this by setting

$$
D\check{\Phi} = -2 *_{2} d\check{\mathcal{V}}, \qquad \qquad *_{2} d\check{\tau} = id\check{\tau}, \qquad (3.12)
$$

which also solves the  $\check{\Phi}$  and  $\check{\tau}$  field equations. The two equations for  $\check{F}^i$  are then solved if

<span id="page-18-1"></span><span id="page-18-0"></span>
$$
\check{F}_{ab}^i = \frac{1}{\check{\mathcal{V}}} C^{-1ij} \theta_j \epsilon_{ab} . \tag{3.13}
$$

Furthermore we note that acting with the exterior derivative on [\(3.12\)](#page-18-0) and using [\(3.13\)](#page-18-1) we recover the equation of motion for  $\mathcal{V}$ .

The remaining field equations then describe the geometry of the internal space and the profile of the scalars  $\check{\mathcal{V}}$  and  $\check{\tau}$  on that space. These read

$$
R = -\nabla^a \nabla_a \ln(\check{\mathcal{V}} \text{Im}\check{\tau}), \qquad \nabla^a \nabla_a \check{\mathcal{V}} + \frac{1}{\check{\mathcal{V}}} C^{-1ij} \theta_i \theta_j = 0, \qquad \nabla^a \nabla_a \check{\tau} = 0. \tag{3.14}
$$

To identify the surviving supersymmetry preserved by this background we can use the Killing spinor equation  $(3.4)$  with the free index pointing in the a direction. If we assume that

<span id="page-18-3"></span><span id="page-18-2"></span>
$$
\hat{\epsilon}^A = e^{\eta(y^a)\epsilon_{ab}\Gamma^{ab}} \epsilon_0^A \,, \tag{3.15}
$$

where  $\epsilon_0^A$  is a constant spinor, then we find that the Killing spinor equation reads

$$
\partial_a \eta \epsilon_{bc} \Gamma^{bc} \hat{\epsilon}^A + \frac{1}{4} \omega_{abc} \hat{\Gamma}^{bc} \hat{\epsilon}^A + D_a \check{\Phi} \hat{A}_{\Phi}{}^A{}_B \hat{\epsilon}^B + D_a \check{\tau} \hat{A}_{\tau}{}^A{}_B \hat{\epsilon}^B + D_a \check{\tau} \hat{A}_{\bar{\tau}}{}^A{}_B \epsilon^B = 0. \tag{3.16}
$$

As the internal space is two dimensional we can use the identity  $\omega_{abc} = \omega_a \epsilon_{bc}$  to write this expression in terms of  $\omega_a$  and simplify the algebra involved.

We can then make a choice of gamma matrix decomposition where

$$
\Gamma^{\mu} = \gamma^{\mu} \otimes \gamma^{3}, \qquad \Gamma^{a} = \mathbb{1} \otimes \gamma^{a}, \qquad (3.17)
$$

where  $\gamma^{\mu}$  are the 4D gamma matrices,  $\gamma^{a}$  are the 2D gamma matrices and  $\gamma^{3} = i\gamma^{1}\gamma^{2}$ . Next we can chose a gauge in which

$$
\hat{A}_{\tau}{}^{A}{}_{B} = \frac{i}{8 \text{Im}\hat{\tau}} \sigma^{3A}{}_{B} \qquad \hat{A}_{\bar{\tau}}{}^{A}{}_{B} = \frac{i}{8 \text{Im}\hat{\tau}} \sigma^{3A}{}_{B} , \qquad \hat{A}_{\Phi}{}^{A}{}_{B} = \frac{i}{8 \hat{\mathcal{V}}} \sigma^{3A}{}_{B} , \qquad (3.18)
$$

If we then impose the constraint

<span id="page-19-2"></span><span id="page-19-1"></span>
$$
\sigma^{3A}{}_{B}\epsilon^{B} = \gamma^{3}\epsilon^{A},\tag{3.19}
$$

which implies that the background breaks half the supersymmetry of the 6D theory, we find that  $(3.16)$  and  $(3.12)$  then imply

$$
\partial_a \eta + \frac{1}{4} \omega_a = \frac{1}{8} \epsilon_{ab} \partial^b \ln(\tilde{\mathcal{V}} \text{Im} \tilde{\tau}). \tag{3.20}
$$

As the 2D Ricci scalar takes the simple form in terms of  $\omega_a$ 

<span id="page-19-0"></span>
$$
R = 2\epsilon^{ab}\nabla_a\omega_b\,,\tag{3.21}
$$

we find that substituting  $(3.20)$  into this gives  $(3.14)$ . So the vacua we have found do indeed break the supersymmetry of the 6D theory by a half.

Similarly we can look at the killing spinor equation coming from the variation of the vector multiplet fermions. This gives reads

$$
\hat{F}_{ab}^i \hat{\Gamma}^{ab}\hat{\epsilon}^A + \frac{C^{-1\,ij}}{\Omega_{\alpha\beta}\hat{j}^{\alpha}b^{\beta}}\hat{k}_{j}^u\hat{A}_u{}^A{}_B\hat{\epsilon}^B = 0\,. \tag{3.22}
$$

Again substituting [\(3.13\)](#page-18-1) and [\(3.18\)](#page-19-1) into this we find that this equation is satisfied on the constraint [\(3.19\)](#page-19-2) and so again we find that this background breaks half the supersymmetry of the 6D action.

We can now consider solutions to [\(3.14\)](#page-18-3). These may have either constant or varying  $\check{\tau}$ but must have a non-trivial profile for  $\check{\mathcal{V}}$  due to the runaway potential. The solutions with constant  $\check{\tau}$  correspond to the F-theory lift of 5D domain wall solutions and are described in appendix [B.](#page-31-0) However, here we will focus instead on solutions which are dominated by a strongly varying  $\check{\tau}$  profile. These will correspond to the presence of extra co-dimension 2 sources, for the non-constant  $\check{\tau}$ , in our construction.

When  $\theta^i = 0$  and  $\check{\mathcal{V}}$  is constant these sorts of solutions are known and are related to cosmic strings [\[46\]](#page-36-5). In this case we may work in a coordinate system where

<span id="page-19-3"></span>
$$
ds^{2} = \eta_{\mu\nu} dx^{\mu} dx^{\nu} + \Omega(z, \bar{z}) dz d\bar{z}, \qquad (3.23)
$$

in which the self duality condition on  $\check{\tau}$  becomes

$$
\partial_{\bar{z}}\tilde{\tau} = 0, \qquad \partial_{\bar{z}}\tilde{\tau} = 0. \qquad (3.24)
$$

The solution to the resulting field equations is complicated as there is no known solution with finite energy per unit length for which  $\check{\tau}$  is both sourced and continuous. Instead the solutions for  $\check{\tau}$  have discontinuities at which  $\check{\tau}$  undergoes an  $SL(2,\mathbb{Z})$  transformation. The solutions are then described by the modular invariant function  $j(\tilde{\tau})$  as

<span id="page-20-1"></span>
$$
j(\check{\tau}) = \frac{P(z)}{Q(z)},\tag{3.25}
$$

for polynomials  $P$  and  $Q$  which share no roots. The roots of these functions then determine the locations and numbers of the co-dimension 2 sources.

The z dependence of the metric is then determined by the remaining field equation

<span id="page-20-3"></span>
$$
\partial_{\bar{z}} \partial_z \ln \Omega = \partial_{\bar{z}} \partial_z \ln(\text{Im}\tilde{\tau}), \qquad (3.26)
$$

which has the modular invariant nowhere vanishing solution

$$
\Omega = \text{Im}\,\tilde{\tau}|\eta(\tilde{\tau})|^4 \prod_{n=1}^{N} |(z - z^n)^{-\frac{1}{12}}|^2, \qquad (3.27)
$$

for N co-dimension 2 sources located at the  $z<sup>n</sup>$ . When  $N > 12$  the internal space becomes compact and is given by  $\mathbb{P}^1$ . In this case the only allowed solution has  $N = 24$ . As we are interested in compact solutions here this special case will be of particular relevance.

We now consider turning back on the fluxes  $\theta^i$ . When this is done we modify the metric ansatz so that

$$
ds^{2} = \eta_{\mu\nu} dx^{\mu} dx^{\nu} + \check{\mathcal{V}}(z,\bar{z}) \Omega(z,\bar{z}) dz d\bar{z}, \qquad (3.28)
$$

This ansatz means that the field equations [\(3.24\)](#page-19-3) and [\(3.26\)](#page-20-1) are unmodified when  $\check{\mathcal{V}}$  and  $\theta^i$  are turned on. The remaining field equation for  $\check{\mathcal{V}}$  now becomes

<span id="page-20-2"></span>
$$
\partial_{\bar{z}} \partial_z \tilde{\mathcal{V}} + C^{-1ij} \theta_i \theta_j \Omega = 0, \qquad (3.29)
$$

solutions to this equation will then describe the geometry of the internal space in the presence of the fluxes  $\theta^i$  which deform the  $\mathbb{P}^1$  into a new compact space  $\hat{\beta}$ .

#### <span id="page-20-0"></span>3.2 Reduction of the flux deformed effective theory to 4D

Let us now consider an ansatz for fluctuations about this background for which the internal space is given by  $\hat{\beta}$ . As our M-theory analysis gives only information about the Coulomb branch of the 6D effective theory we will only consider fluctuations in the Coulomb branch fields in our ansatz. This could later be completed to the full set of fields that would be present in the complete F-theory reduction.

In order to simplify our discussion we will work in the limit where  $\theta^i$  are small and so we may neglect terms in the reduction which are higher order than  $(\theta^i)^2$ . The advantage of doing this is that we do not need to explicitly solve the equation  $(3.29)$  as only structures which are linear in  $\check{\mathcal{V}}$  contribute to the effective action.

We then make an ansatz for the fluctuations where

$$
d\hat{s}^{2} = e^{2\phi} g_{\mu\nu} dx^{\mu} dx^{\nu} + e^{-2\phi} \left( 1 + \frac{C^{-1ij} \theta_{i} \theta_{j}}{\mathcal{V}} (\Delta - \Psi) \right) \Omega dz d\bar{z} ,
$$
  
\n
$$
\hat{G}^{\alpha} = (dB^{\alpha} + 2b^{\alpha} C_{ij} F^{i} \wedge A^{j}) + (dk^{\alpha} + 4b^{\alpha} \theta_{i} A^{i}) \wedge *_2 1 ,
$$
  
\n
$$
\hat{F}^{i} = C^{-1ij} \theta_{j} *_2 1 + F^{i} ,
$$
  
\n
$$
\hat{j}^{\alpha} = j^{\alpha} ,
$$
  
\n
$$
\hat{\Psi} = \Psi (1 + C^{-1ij} \theta_{i} \theta_{j} \Psi) + \check{\Phi}
$$
  
\n(3.30)

where the function  $\Delta$  is related to the background value  $\check{\mathcal{V}}$  such that

<span id="page-21-0"></span>
$$
\check{\mathcal{V}} = 1 + C^{-1ij}\theta_i \theta_j \Delta \,, \tag{3.31}
$$

so that at the order to which we are working  $(3.29)$  becomes

$$
\partial_{\bar{z}}\partial_z\Delta + \Omega = 0, \qquad (3.32)
$$

and  $\Psi$  is a constant defined such that

$$
\int_{\hat{\mathcal{B}}} \Psi \Omega dz \wedge d\bar{z} = \int_{\hat{\mathcal{B}}} \Delta \Omega dz \wedge d\bar{z} .
$$
\n(3.33)

This ansatz satisfies the Bianchi identities for the 6D fields  $(2.40)$  when  $F^i = dA^i$ .

In addition to these fluctuations it will be possible to turn on some additional 4D fluctuations in the 6D hypermultiplet scalars  $(\hat{\mathcal{V}}, \hat{\Phi}, \hat{\xi}^K, \hat{\xi}_K, \hat{z}^{\kappa}, \hat{z}^{\kappa})$ . As the fermions of the 6D theory must be expanded in terms of the constrained background spinor [\(3.19\)](#page-19-2) we find that only half the hypermultiplet degrees of freedom we can be turned on. For the universal hypermultiplet  $(\hat{\mathcal{V}}, \hat{\Phi}, \hat{\xi}^0, \hat{\xi}_0)$  we have already identified that the fluctuations in  $\mathcal{V}$ and  $\Phi$  will be turned on, so fluctuations in  $\xi^0$  and  $\tilde{\xi}_0$  are forced to vanish. Alternatively we may divide the remaining hypermultiplets  $(\hat{\xi}^{\kappa}, \hat{\xi}_{\kappa}, \hat{z}^{\kappa}, \hat{z}^{\kappa})$  as  $\kappa = {\kappa', \kappa'}$  and turn on fluctuations in  $(\xi^{\kappa'}, \tilde{\xi}_{\kappa'})$  and  $(z^{k'}, \bar{z}^{k'})$  such that

$$
\hat{\xi}^{\kappa'} = \xi^{\kappa'} \left( 1 + \frac{1}{2} C^{-1ij} \theta_i \theta_j \Psi \right), \quad \hat{\xi}_{\kappa'} = \tilde{\xi}_{\kappa'} \left( 1 + \frac{1}{2} C^{-1ij} \theta_i \theta_j \Psi \right), \quad \hat{z}^{k'} = z^{k'}.
$$
 (3.34)

where  $\kappa' = 1, \ldots, n_s$  and  $k' = 1, \ldots, h^{1,2}(\hat{Y}_3) - n_s$ . As we will see later supersymmetry then requires that this splitting is performed such that  $M_{\kappa' \lambda'}$  is an anti-holomorphic function of  $z^{k'}$ . This can be achieved by performing the split such that  $M_{\kappa' k'} = M_{\kappa' 0} = 0$  as is shown in [\[47](#page-36-6)[–49](#page-36-7)].

Substituting this into the action and keeping only terms up to and including quadratic order in  $\theta^i$  we may then reduce the 6D action to 4D. Following this we can impose the self

duality condition for  $\hat{G}^{\alpha}$  in the standard way and can simplify the action by making the redefinition  $\rho^{\alpha} = e^{-2\phi} j^{\alpha}$ . The resulting 4D effective theory is then given by

$$
S^{(4)} = \int_{\mathcal{M}_4} \left[ \frac{1}{2} R * 1 - \frac{1}{2} \tilde{g}_{\alpha\beta} D k^{\alpha} \wedge * D k^{\beta} - \frac{1}{2} \tilde{g}_{\alpha\beta} d\rho^{\alpha} \wedge * d\rho^{\beta} - \frac{1}{4 \mathcal{V}^2} d\mathcal{V} \wedge * d\mathcal{V} \right. \\
\left. - \frac{1}{16 \mathcal{V}^2} (D \Phi + 2 \xi^{\kappa'} d \tilde{\xi}_{\kappa'}) \wedge * (D \Phi + 2 \xi^{\kappa'} d \tilde{\xi}_{\kappa'}) \right. \\
\left. + \frac{\text{Im} M^{\kappa' \lambda'}}{4 \mathcal{V}} (d \tilde{\xi}_{\kappa'} - M_{\kappa' \delta'} d \xi^{\delta'}) \wedge * (d \tilde{\xi}_{\lambda'} - \bar{M}_{\lambda' \gamma'} d \xi^{\gamma'}) - g_{k' \bar{k}'} d z^{k'} \wedge * d \bar{z}^{\bar{k}'} \right. \\
\left. - 2 \Omega_{\alpha\beta} b^{\alpha} \rho^{\beta} C_{ij} F^{i} \wedge * F^{j} - 2 \Omega_{\alpha\beta} b^{\alpha} k^{\beta} C_{ij} F^{i} \wedge F^{j} - V_{\text{flux}}^{(4)} * 1 \right], \tag{3.35}
$$

where

$$
V_{\text{flux}}^{(4)} = \frac{C^{-1ij}\theta_i\theta_j}{32\rho_\alpha b^\alpha} \left(\frac{1}{\mathcal{V}} - \frac{8\rho_\alpha b^\alpha}{|\rho|^2}\right)^2, \qquad \tilde{g}_{\alpha\beta} = 2\frac{\rho_\alpha \rho_\beta}{|\rho|^4} - \frac{\Omega_{\alpha\beta}}{|\rho|^2}, \qquad (3.36)
$$

and the gaugings are given by

<span id="page-22-0"></span>
$$
Dk^{\alpha} = dk^{\alpha} + 4b^{\alpha}\theta_i A^i, \qquad D\Phi = d\Phi + 2\theta_i A^i. \qquad (3.37)
$$

The potential appearing here has 3 separate contributions arising from the internal space Ricci tensor, the Kinetic term associated with the non-vanishing 2-form fluxes and the reduction of the 6D potential. These then combine to give the perfect square appearing in the 4D effective theory.

We note here that the 4D effective theory is not gauge invariant due to the presence of the term  $\Omega_{\alpha\beta}b^{\alpha}k^{\beta}C_{ij}F^i\wedge F^j$  and the gauged shift symmetry for  $k^{\alpha}$ . This non-invariance is of the sort required to cancel chiral anomalies in the 4D theory and descends from the equivalent Green-Schwarz mechanism in the 6D action which was required to cancel the anomalies present there  $[50–52]$  $[50–52]$  $[50–52]$ . This anomalous variation in the 4D theory is crucially related to the flux that has been turned on on  $\hat{\mathcal{B}}$ , as without this the shift symmetry of  $k^{\alpha}$ is not gauged, so the action is classically invariant.

In order to make the supersymmetry of this effective theory more apparent we can write the reduced action as

$$
S^{(4)} = \int_{\mathcal{M}_4} \left[ \frac{1}{2} R * 1 - K_{I\bar{J}} D Y^I \wedge * D \bar{Y}^{\bar{J}} - 2 \text{Re}(f) C_{ij} F^i \wedge * F^j - 2 \text{Im}(f) C_{ij} F^i \wedge F^j - \frac{1}{8 \text{Re}(f)} C^{-1ij} D_i D_j * 1 \right],
$$
 (3.38)

where  $DY^I = dY^I + X^I_i A^i$  and  $Y^I$  can be divided into  $Y^I = \{T_{\mathcal{B}}, T_{\alpha}, x_{\kappa'}, z^{k'}\}$ . The complex fields given here are related to the real fields appearing in the reduced action by

$$
T_{\mathcal{B}} = \mathcal{V} + i\frac{1}{2}(\Phi + M_{\kappa'\lambda'}\xi^{\kappa'}\xi^{\lambda'}), \quad T_{\alpha} = \Omega_{\alpha\beta}(\rho^{\alpha} - ik^{\alpha}), \quad x_{\kappa'} = \tilde{\xi}_{\kappa'} - M_{\kappa'\lambda'}\xi^{\lambda'}.
$$
 (3.39)

The Kähler potential and gauge coupling functions are then given by

<span id="page-22-3"></span><span id="page-22-2"></span><span id="page-22-1"></span>
$$
K = K(T_{\mathcal{B}}, x_{\kappa'}) + K(T_{\alpha}) + K(z^{k'}), \qquad f = T_{\alpha} b^{\beta}.
$$
 (3.40)



We note here that for this Kähler potential to reproduce the reduced action  $(3.35)$  the function of the truncated complex structure moduli  $M_{\kappa'\lambda'}$  must now be anti-holomorphic in  $z^{k'}$  as mentioned above.

) and  $\kappa$ 

 $\kappa' = 1, \ldots, n$ 

Complex field | Real components | Index range

 $\tilde{\xi}_{\kappa'}, \xi^{\kappa'}$ 

<span id="page-23-0"></span> $A^i_\mu$ 

 $\frac{1}{2}(T_{\mathcal{B}} + \bar{T}_{\mathcal{B}}) - \frac{1}{8}$ 

The gaugings are then given by

 $T_{\mathcal{B}}$   $(\mathcal{V}, \Phi)$  $T_{\alpha}$   $(\rho^{\alpha}, k^{\alpha})$ 

 $x_{\kappa'}$ 

 $z^{k'}$ 

 $K(T_{\mathcal{B}}, x_{\kappa'}) = -\ln\left(\frac{1}{2}\right)$ 

 $K(T_\alpha) = -\ln\left(\frac{1}{4}\right)$ 

where

$$
X_i^{T_B} = i\theta_i, \qquad X_i^{T_\alpha} = -4ib_\alpha\theta_i, \qquad (3.42)
$$

 $\frac{1}{8} \text{Im} M^{\kappa' \lambda'} (x_{\kappa'} - \bar{x}_{\kappa'}) (x_{\lambda'} - \bar{x}_{\lambda'}) \bigg)$ 

and the potential may be determined from

$$
D_i = -\frac{\theta_i}{T_{\mathcal{B}} + \bar{T}_{\mathcal{B}} - \frac{1}{4} \text{Im} M^{\kappa' \lambda'} (x_{\kappa'} - \bar{x}_{\kappa'})(x_{\lambda'} - \bar{x}_{\lambda'})} + \frac{8\theta_i (T_{\alpha} + \bar{T}_{\alpha}) b^{\alpha}}{\Omega^{\alpha \beta} (T_{\alpha} + \bar{T}_{\alpha})(T_{\beta} + \bar{T}_{\beta})}, \quad (3.43)
$$

which satisfies the standard D-term relation

<span id="page-23-1"></span>
$$
i\partial_{\bar{I}}D_i = K_{\bar{I}J}X_i^J.
$$
\n(3.44)

To summarize we note that this reduction gives an  $N=1$  supersymmetric action with the field content listed in table [1.](#page-23-0)

Here  $n<sub>s</sub>$  is the number associated with the hypermultiplet splitting in the reduction of the 6D theory and  $n_{\text{SU(3)}}$  is the number of additional non-harmonic 2-forms introduced when turning on the  $SU(3)$  structure deformations in the reduction of M-theory.

The effective theory has certain gauged shift symmetries that depend on the parameters  $\theta^i$ . Some of these shift symmetries originate from the gauge shift symmetry of the 6D action, while others arise as a result of the fluxes on  $\hat{\beta}$  that must be turned on in the reduction of the 6D theory. In what follows we will show that this action can be interpreted as being a particular limit of F-theory reduced to 4D on a Calabi-Yau fourfold with 7-brane fluxes.

## <span id="page-24-0"></span>3.3 Vacua and reductions of 6D F-theory with massive  $U(1)$  symmetries

We may also consider the vacua that arise in the 6D F-theory reductions with massive  $U(1)$  symmetries. However, here the analysis is significantly simpler. This is because the potential in this case is given by [\(2.65\)](#page-15-1) which is minimised by when

$$
e_{\kappa i}\hat{\xi}^{\kappa} = e_{\kappa i}\hat{z}^{\kappa} = 0, \tag{3.45}
$$

This sets the potential to zero in the vacuum so [\(3.8\)](#page-17-0) can be solved with out the need for any fluxes on the 2D internal space to be turned on. The solutions to these theories then simply correspond to the standard cosmic string solutions we have described in sec-tion [3.1,](#page-16-0) with no additional deformation related to the scalar  $\hat{V}$ , which is now constant. The reduction of the action then proceeds as shown in the previous section but now with  $\theta_i = 0$ . As the massive U(1) gaugings pick out certain 6D hypermultiplet scalars  $\tilde{\xi}_{\kappa}$  which have gauged shift symmetries we find that fluctuations in these scalars must be turned on in the reduction to 4D.

The action for the 4D effective theory is then given by

$$
S^{(4)} = \int_{\mathcal{M}_4} \left[ \frac{1}{2} R * 1 - \frac{1}{2} \tilde{g}_{\alpha\beta} dk^{\alpha} \wedge * dk^{\beta} - \frac{1}{2} \tilde{g}_{\alpha\beta} d\rho^{\alpha} \wedge * d\rho^{\beta} - \frac{1}{4\mathcal{V}^2} d\mathcal{V} \wedge * d\mathcal{V} \right.- g_{k' \bar{k}'} dz^{k'} \wedge * d\bar{z}^{\bar{k}'} - \frac{1}{16\mathcal{V}^2} (d\Phi + 2\xi^{\kappa'} D\tilde{\xi}_{\kappa'}) \wedge * (d\Phi + 2\xi^{\kappa'} D\tilde{\xi}_{\kappa'}) + \frac{\text{Im} M^{\kappa'\lambda'}}{4\mathcal{V}} (D\tilde{\xi}_{\kappa'} - M_{\kappa'\delta'} d\xi^{\delta'}) \wedge * (D\tilde{\xi}_{\lambda'} - \bar{M}_{\lambda'\gamma'} d\xi^{\gamma'}) - 2\Omega_{\alpha\beta} b^{\alpha} \rho^{\beta} C_{ij} F^{i} \wedge * F^{j} - 2\Omega_{\alpha\beta} b^{\alpha} k^{\beta} C_{ij} F^{i} \wedge F^{j} - V_{\text{U}(1)}^{(4)} * 1 \right], \quad (3.46)
$$

where the potential and gaugings are now

$$
V_{\text{U}(1)}^{(4)} = \frac{C^{-1ij} e_{\kappa'i} e_{\lambda'j} \xi^{\kappa'} \xi^{\lambda'}}{32 \mathcal{V}^2 \rho_{\alpha} b^{\alpha}}, \qquad D\tilde{\xi}_{\kappa'} = D\tilde{\xi}_{\kappa'} + e_{\kappa'i} A^i. \qquad (3.47)
$$

As before this action can be derived from the standard supersymmetric form [\(3.38\)](#page-22-1) where the Kähler potential and gauge coupling function are given by  $(3.40)$ . However, the gaugings and D-Terms are now modified and are instead given by

$$
X_i^{x_{\kappa'}} = e_{\kappa'i}, \qquad D_i = \frac{e_{\kappa'i} \frac{1}{i^2} \text{Im} M^{\kappa'\lambda'}(x_{\lambda'} - \bar{x}_{\lambda'})}{T_{\mathcal{B}} + \bar{T}_{\mathcal{B}} - \frac{1}{4} \text{Im} M^{\kappa'\lambda'}(x_{\kappa'} - \bar{x}_{\kappa'})(x_{\lambda'} - \bar{x}_{\lambda'})} \,. \tag{3.48}
$$

which again satisfies  $(3.44)$ .

Finally we may consider the effect of turning on both 7-brane fluxes and massive U(1) symmetries simultaneously. The 6D potential is now given by

$$
\hat{V}^{(6)} = \frac{1}{32\Omega_{\alpha\beta}\hat{j}^{\alpha}b^{\beta}}C^{-1ij}\left(\frac{1}{\hat{V}^{2}}(\theta_{i} + e_{\kappa i}\hat{\xi}^{\kappa})(\theta_{i} + e_{\lambda j}\hat{\xi}^{\lambda}) + \frac{e^{K_{c}}}{\hat{V}}e_{\kappa i}e_{\lambda j}\hat{z}^{\kappa}\hat{z}^{\lambda}\right).
$$
(3.49)

To make the vacua that arise from this more apparent it is useful to separate  $\theta_i$  into those which describe fluxes for the massive U(1) symmetries which we will call  $\theta_i^{U(1)}$  $\int_{i}^{\cup (1)}$  and

those which describe fluxes in the Cartan sub-algebra of the 7-brane field strengths  $\theta_i^c$ . These satisfy

$$
C^{-1ij}\theta_i^c \theta_j^{U(1)} = C^{-1ij}\theta_i^c e_{\kappa j} = 0, \qquad (3.50)
$$

so the potential may be divided as

$$
\hat{V}^{(6)} = \frac{1}{32\Omega_{\alpha\beta}\hat{j}^{\alpha}b^{\beta}}C^{-1ij}\left(\frac{1}{\hat{\mathcal{V}}^2}\theta_i^c\theta_i^c + \frac{1}{\hat{\mathcal{V}}^2}(\theta_i^{U(1)} + e_{\kappa i}\hat{\xi}^{\kappa})(\theta_i^{U(1)} + e_{\lambda j}\hat{\xi}^{\lambda}) + \frac{e^{K_c}}{\hat{\mathcal{V}}}e_{\kappa i}e_{\lambda j}\hat{z}^{\kappa}\hat{z}^{\lambda}\right).
$$
\n(3.51)

This is then minimised when

$$
\theta_i^{\text{U}(1)} + e_{\kappa i} \hat{\xi}^{\kappa} = e_{\kappa i} \hat{z}^{\kappa} = 0, \tag{3.52}
$$

and the resulting vacuum is then simply that described in section [3.1](#page-16-0) when only the fluxes  $\theta_i^c$  are turned on. Reducing to 4D as before then gives an effective theory described by the action  $(3.38)$  with a Kähler potential and gauge coupling function given by  $(3.40)$  but now with the gaugings

$$
X_i^{T_B} = i\theta_i^{U(1)} + i\theta_i^c, \qquad X_i^{T_\alpha} = -4ib_\alpha\theta_i^c, \qquad X_i^{x_{\kappa'}} = e_{\kappa'i}, \qquad (3.53)
$$

and where the a D-term potential is given by

$$
D_i = -\frac{\theta_i^c + \theta_i^{U(1)} - \frac{1}{2i}e_{\kappa'i}\mathrm{Im}M^{\kappa'\lambda'}(x_{\lambda'} - \bar{x}_{\lambda'})}{T_{\mathcal{B}} + \bar{T}_{\mathcal{B}} - \frac{1}{4}\mathrm{Im}M^{\kappa'\lambda'}(x_{\kappa'} - \bar{x}_{\kappa'})(x_{\lambda'} - \bar{x}_{\lambda'})} + \frac{8\theta_i^c(T_{\alpha} + \bar{T}_{\alpha})b^{\alpha}}{\Omega^{\alpha\beta}(T_{\alpha} + \bar{T}_{\alpha})(T_{\beta} + \bar{T}_{\beta})},
$$
(3.54)

This again satisfies [\(3.44\)](#page-23-1) and so gives a supersymmetric action that can be related to F-theory reduced to 4D with 7-brane fluxes and massive  $U(1)$  symmetries.

## <span id="page-25-0"></span>4 4D F-theory interpretation

The vacua of the 6D theory that we identify here can be related to vacua of F-theory on a fourfold  $\hat{Z}_4$  which is an elliptic fibration over a base  $B_3$ . The threefold base is chosen to be the direct product

<span id="page-25-1"></span>
$$
B_3 = B_2 \times \mathcal{B},\tag{4.1}
$$

where  $\mathcal{B}$  is the  $\mathbb{P}^1$  considered in section [3.1](#page-16-0) before taking into account the back reaction of the flux, which modifies the solution to  $\hat{\beta}$ . This threefold base then admits a Kähler structure inherited from  $B_2$  and  $\mathcal{B}$ . Furthermore, we propose that the fourfold  $\hat{Z}_4$  with base  $B_3$  can also be formed by fibering a the threefold  $\hat{Z}_3$  over  $\hat{B}$ . As before we will consider this threefold to be an elliptic fibration over a base  $B_2$ . Such a construction is well-known for Calabi-Yau fourfolds and threefolds, see for example [\[53](#page-36-10)], but is expected to extend to the more general case considered here. In fact,  $\hat{Z}_3$  and  $\hat{Z}_4$  naturally arise as resolutions of singular Calabi-Yau manifolds in order to be in accord with the interpretation of the massive  $U(1)$ 's presented in section [2.4](#page-13-0) [\[23](#page-35-2)].

The solution will then describe two sets of 7-branes, a class of 7-branes, including the rank $(G)$  7-branes generating the original non-abelian gauge group G, will wrap cycles on

the base  $B_2$  as well as wrapping  $\beta$  and filling the lower 4-dimensions. In addition to this 24 7-branes will wrap the whole of  $B_2$  and fill the lower 4-dimensions. This number can be determined noting that for a direct product [\(4.1\)](#page-25-1) one has  $c_1(B_3) = c_1(B_2) + c_1(\mathcal{B})$ . By integrating the Kodaira condition over  $\mathcal{B}$  and using that the Euler characteristic of  $\mathcal{B} = \mathbb{P}^1$ is  $\chi(\mathcal{B}) = 2$ , we see that this matches the known result that 24 sources for  $\tilde{\tau}$  are required to form a  $\mathbb{P}^1$  in the cosmic string solution described in section [3.1.](#page-16-0)

By construction the reduction of F-theory on the fourfold  $\hat{Z}_4$  gives the 6D effective theory we describe here when  $\beta$  is very large. However, the intermediate reduction that we have described does not capture all the degrees of freedom of the full fourfold reduction. In particular certain complex structure moduli  $z<sup>n</sup>$  associated with the position of the 7branes on  $\beta$  in [\(3.27\)](#page-20-3) are missed. In fact, it is a hard task to fully reconcile the complex structure sector of  $\hat{Z}_4$ , which is beyond the scope of this work. Nevertheless, many of the key features of the reduction are captured by our approach and we may view this two step analysis as a useful point of view to compute and understand certain complicated couplings of the fourfold reduction. With this interpretation in mind we can understand many of the features of our 6D solutions and also link known results in the reductions of F-theory to 4D and 6D.

A good check that the prescription we have described works in the limit of small fluxes is given by matching the effective theories. Here we simply note that the 4D effective theory we have found by reducing the 6D supergravity in section [3.2](#page-20-0) matches the 4D effective theory that is given by the reduction of F-theory on a fourfold, with certain complex structure moduli and massive 7-brane gauge fields truncated out.

The first check can be performed in the Kähler moduli sector. By constructing  $B_3$  as in  $(4.1)$  the number of degrees of freedom associated to Kähler moduli matches. To see this we note that in the 4D theory obtained in section [3.2](#page-20-0) the  $h^{1,1}(B_2)$  scalars given by  $T_{\alpha}$ , combine with the one extra scalar given by  $T_{\mathcal{B}}$ , to match the  $h^{1,1}(B_2) + 1$  Kähler Moduli of the base of the  $B_3$ . It is therefore useful to label these scalars with a combined index  $\hat{\alpha} = 1, \ldots, h^{1,1}(B_2) + 1$  such that  $T_{\hat{\alpha}} = \{T_{\alpha}, T_{\beta}\}\.$  Furthermore we find that the complex scalars  $T_{\hat{\alpha}}$  and  $x_{\kappa'}$  given in [\(3.39\)](#page-22-3) are then defined in terms of the real variables in a way that matches their construction in [\[48\]](#page-36-11). Next we note that the volume of  $\beta$  is related to the Kaluza Klein scalar  $\phi$  appearing in our ansatz for the reduction from 6D [\(3.30\)](#page-21-0) by

$$
e^{-2\phi} = \mathcal{V}_B \mathcal{V}^{\frac{1}{2}},\tag{4.2}
$$

as this takes into account the Weyl rescaling that has been performed to bring the 6D and 4D metrics into the Einstein frame. From this we see that the Kähler potential  $(3.40)$  can be written as

$$
K(T_{\mathcal{B}}, x_{\kappa'}) + K(T_{\alpha}) = -\ln \mathcal{V} - \ln(\mathcal{V}\mathcal{V}_{\mathcal{B}}^2) = -2\ln(\mathcal{V}\mathcal{V}_{\mathcal{B}}) = -2\ln(\mathcal{V}_{B_3}),\tag{4.3}
$$

which matches the known result from the reduction of F-theory to 4D.

Next we can consider the gaugings that are induced by the 7-brane fluxes. The standard result from reductions of F-theory to four dimensions [\[20](#page-34-11), [22\]](#page-35-0) or reductions of M-theory to three dimensions [\[54](#page-36-12)], is that the Kähler moduli receive a gauged shift symmetry described by a matrix  $\Theta_{\hat{\alpha}i}$ , which appears in the covariant derivative of  $T_{\hat{\alpha}}$  as

$$
DT_{\hat{\alpha}} = dT_{\hat{\alpha}} - i4\Theta_{\hat{\alpha}i}A^i.
$$
\n(4.4)

These  $\Theta_{\hat{\alpha}i}$  are then given in terms of the  $G_4$  flux by

<span id="page-27-0"></span>
$$
\Theta_{\hat{\alpha}i} = \int_{Y_4} G_4 \wedge \omega_{\hat{\alpha}} \wedge \omega_i. \tag{4.5}
$$

Our reduction of the 6D theory involves 7-brane flux which is turned on in two parts. Firstly there is the flux on the  $B_2$  in the reduction to the 6D theory and secondly there is the flux on  $\beta$  in the reduction to 4D. The total 7-brane flux is then given by

$$
\hat{F}^i = C^{-1ij}\theta_j \omega_{\mathcal{B}} - C^{-1ij}\theta^j \frac{1}{4\Omega_{\beta\gamma} b^{\beta} b^{\gamma}} b^{\alpha} \omega_{\alpha}.
$$
\n(4.6)

By knowing that the 7-brane flux must be self dual on the 4-cycle on the fourfold wrapped by the 7-branes we can understand the additional term that we have been forced to turn on here as being that which completes the 7-brane flux to a self-dual quantity.

Then using the standard result that the 7-brane fluxes which we consider here are related to  $\hat{G}_4$  flux in the M-theory dual by  $\hat{G}_4^{\text{flux}} = \hat{F}^i \wedge \omega_i$  and substituting this back into [\(4.5\)](#page-27-0) we find that the 4D gaugings are given by

$$
\Theta_{\mathcal{B}i} = \int_{\hat{Y}_4} -C^{-1jk} \theta_j \omega_k \wedge \frac{1}{\Omega_{\beta \gamma} b^{\beta} b^{\gamma}} b^{\alpha} \omega_{\alpha} \wedge \omega_{\mathcal{B}} \wedge \omega_i
$$
  
\n
$$
= -\frac{b^{\alpha} C^{-1jk} \theta_j}{4 \Omega_{\beta \gamma} b^{\beta} b^{\gamma}} \int_{\hat{Y}_3} \omega_i \wedge \omega_k \wedge \omega_{\alpha} \int_{\mathcal{B}} \omega_{\mathcal{B}} = \frac{1}{4} \theta_i ,
$$
  
\n
$$
\Theta_{\alpha i} = \int_{\hat{Y}_4} C^{-1jk} \theta_j \omega_k \wedge \omega_{\mathcal{B}} \wedge \omega_{\alpha} \wedge \omega_i
$$
  
\n
$$
= C^{-1jk} \theta_j \int_{\hat{Y}_3} \omega_i \wedge \omega_k \wedge \omega_{\alpha} \int_{\mathcal{B}} \omega_{\mathcal{B}} = -\theta_i b_{\alpha} , \qquad (4.7)
$$

which matches the  $X_i^{T_\alpha}$  and  $X_i^{T_\beta}$  $t_i^{I_B}$  that we found in section [3.2.](#page-20-0) From this we see that the action for the Kähler moduli that we find by a reduction of the 6D theory matches precisely that found in a direct reduction of F-theory.

Similarly we can compare the gaugings that are turned on in our reduction of the  $6D$  theory with massive  $U(1)$  symmetries with those that are present in the equivalent 4D F-theory reduction. Here we see that the scalars  $\tilde{\xi}_{\kappa'}$  develop a gauged shift symmetry described by a parameter  $e_{i\kappa'}$  where

$$
e_{ik} = \int_{S_i} i_{\eta} \alpha_{\kappa'}, \qquad (4.8)
$$

which is precisely consistent with the gaugings that are seen due to massive  $U(1)$  symmetries which are seen in [\[21](#page-35-1)].

The back-reaction of the  $G_4$  flux in the reduction of M-theory to 3D is known to give rise to a warped reduction [\[55](#page-36-13)]. Using the 2-step reduction that we have described we can

see that this corresponds to a warped reduction of F-theory. To demonstrate this we may compare the metrics for the 4D and 6D reductions of F-theory that we have described. Firstly in a reduction of IIB to 6D we see that the metric decomposition which leads to an Einstein frame action is given by

$$
d\hat{s}^{2} = \hat{\mathcal{V}}^{-\frac{1}{2}} \hat{g}_{MN} d\hat{x}^{M} d\hat{x}^{N} + g_{i\bar{j}} dy^{i} dy^{\bar{j}}, \qquad (4.9)
$$

where the factor of  $\hat{V}$  in front of the 6D metric gives the required Weyl rescaling in order to cancel the internal space volume factor. When this is further reduced to 4D on the backgrounds we have described this becomes

$$
d\hat{s}^2 = \check{\mathcal{V}}^{-\frac{1}{2}} \eta_{\mu\nu} dx^{\mu} dx^{\nu} + \check{\mathcal{V}}^{\frac{1}{2}} \Omega dz d\bar{z} + g_{i\bar{j}} dy^i dy^{\bar{j}}.
$$
 (4.10)

From which we see that the background value for  $\hat{V}$  in the reduction of the 6D action has resulted in an effective warp factor in the reduction to 4D generated by the 6D Weyl rescaling. This relationship can be further emphasised by noting that the 6D field equation for  $\check{\mathcal{V}}$  [\(3.29\)](#page-20-2) takes precisely the same form as the warp factor equation in the reduction of M-theory. The observation that in our 6D vacua 7-brane fluxes require a non-trivial profile for  $V$  then becomes translated to the statement that the flux in the 4D reduction is associated to a non-constant warp factor.

In addition to this we see that, as the potential vanishes in the 6D vacua with only massive U(1) gaugings, there is no need for a flux or a non-trivial profile for  $\hat{\mathcal{V}}$  in these reductions. This means that no additional component of the 7-brane flux is turned on and no warping is present as a result of these gaugings.

When the 4D F-theory is reduced on a circle, certain one-loop corrections to the 3D Chern-Simons terms are required to match the reduction of the M-theory dual [\[20](#page-34-11)]. These terms have the form

$$
\int_{\mathcal{M}_3} \Theta_{ij} A^i \wedge F^j \tag{4.11}
$$

where  $\Theta_{ij}$  is dependent upon the charges and 4D chiralities of the massive tower of 3D fields that have been integrated out. This is given by

$$
\Theta_{ij} = \sum_{r} q_{ri} q_{rj} \sum_{\Lambda} sign(q_{r\Lambda} v^{\Lambda}), \qquad (4.12)
$$

where  $q_{ri}$  denotes the charge in the representation r carried by fields. If  $\Theta_{ij}$  is non-zero then the 4D effective theory is chiral and has associated anomalies. In the reduction of M-theory on  $\hat{Y}_4$  the value of these couplings is related to the  $\hat{G}_4$  flux by

<span id="page-28-0"></span>
$$
\Theta_{ij} = \int_{\hat{Y}_4} \hat{G}_4 \wedge \omega_i \wedge \omega_j , \qquad (4.13)
$$

Substituting the flux found in our 2-step reduction into this we find that

$$
\Theta_{ij} = \theta^k \int_{\hat{Y}_3} \omega_i \wedge \omega_j \wedge \omega_k \int_{\mathcal{B}} \omega_{\mathcal{B}} = \mathcal{V}_{ijk} \theta^k , \qquad (4.14)
$$

where  $V_{ijk}$  are the intersection numbers which appear in the M-theory reduction on  $Y_3$ . This indicates that the additional fluxes that are turned on in our intermediate reduction make the 4D effective theory chiral when the additional fields outside of the Coulomb branch are restored. The associated chiral anomalies are then canceled by the Green-Schwarz mechanism [\[50](#page-36-8)] referred to in section [3.2.](#page-20-0)

The constants  $V_{ijk}$  which appear in this expression are themselves related to one-loop Chern-Simons terms in the circle reduction of 6D F-theory. These are necessary to match with the Chern-Simons terms present in the classical 5D M-theory reduction [\(2.18\)](#page-7-3). On the F-theory side these may also be expressed in terms of the charges of the fields in the 5D theory as

$$
\mathcal{V}_{ijk} = \sum_{r} q_{ri} q_{rj} q_{rk} \sum_{\Lambda} \text{sign}(q_{r\Lambda} v^{\Lambda}), \qquad (4.15)
$$

As in the 3D/4D case, if  $V_{ijk}$  is non-vanishing then the 6D theory is chiral and may have anomalies which must be canceled. We can then understand [\(4.14\)](#page-28-0) as relating chiral anomalies in 6D and 4D and one-loop Chern-Simons terms in 5D and 3D.

## <span id="page-29-0"></span>5 Conclusion

In this paper we have derived the 6D effective theory resulting from a reduction of F-theory on an elliptically fibered threefold with  $7$ -brane fluxes and massive  $U(1)$  symmetries. These effective theories were arrived at by considering the reduction of M-theory on an elliptically fibered SU(3) structure manifold with  $G_4$  flux and making use of the duality between Mtheory and F-theory. In analysing this duality between the 5D and 6D effective theories we see that 7-brane fluxes in F-theory are dual to  $G_4$  fluxes in M-theory and massive  $U(1)$ symmetries in F-theory are dual to SU(3) structure deformations in M-theory. This agrees with previous discussions of the duality between 3D and 4D effective theories carried out in [\[2,](#page-34-4) [14](#page-34-6), [20](#page-34-11)[–22](#page-35-0)].

The 6D effective theories include hypermultiplets with gauged shift symmetries for certain axionic scalars. These gaugings result in mass terms for certain 6D vector multiplets and induce a potential which may have runaway directions. For 6D effective theories which result from turning on 7-brane fluxes the runaway direction in the potential means that 6D Minkowski space is no longer a solution to the field equations. Instead this solution is replaced by a product of 4D Minkowski space and compact internal space on which the massive gauge field develops a flux. This solution is then similar to that considered in [\[24](#page-35-3), [26](#page-35-7)] except that now there is a non-trivial profile for an additional scalar  $\mathcal{V}$ . These solutions break half the supersymmetry of the 6D effective theory.

In addition to this the 6D effective theories may describe a non-trivial profile for the complex scalar  $\hat{\tau}$  which arises as the reduction of the IIB dilaton-axion. If the gauging parameters in the 6D effective theory are turned off these solutions become those for 6D cosmic strings. Restoring the gauge parameters we see that these solutions become modified by the presence of an additional flux for the massive vector and the scalar profile for  $\mathcal V$ . For small fluxes we were then able to find the effective 4D theory that corresponds to the reduction on the compact part of the solution.

As the vacua we have studied break half the supersymmetry of the 6D theory these 4D effective theories have  $N = 1$  supersymmetry. The nature of this  $N=2$  to  $N=1$  breaking means that only half of the possible modes on the internal space may be supersymmetricly excited and proceeds in a similar way to the orientifold breakings described in [\[48](#page-36-11), [49\]](#page-36-7). As the 4D effective theory is  $N = 1$  supersymmetric it may now be chiral. This chirality can be confirmed by observing that the effective theory involves Green-Schwarz counter terms which cancel the induced chiral anomalies.

The 4D effective theories were then related to direct F-theory compactifications to 4D with 7-brane fluxes and massive U(1) symmetries. Here we found that the additional fluxes that must be turned on in the 6D reduction could be understood as completing the 7-brane fluxes to a quantity that is self-dual on the 7-brane internal space. Furthermore the non-trivial profile for the scalar  $\hat{V}$  can also be related to a warping in the reduction of F-theory to 4D. This analysis shows that many of the complicated effects associated with the reduction of F-theory to four dimensions can be captured by the 2-step reduction that we demonstrate here. These effects are significantly simpler in the effective theory than in their 4D equivalent due to the larger amount of supersymmetry.

Higher order  $\alpha'$  corrections to the 4D effective theories resulting from F-theory compactifications represent a challenging problem for F-theory phenomenology. In further work it would therefore be interesting to investigate to what degree these higher order  $\alpha'$ effects in 4D may be deduced by considering this sort of intermediate reduction. These  $\alpha'$ modifications to the 6D effective theory may again be easier to deduce as a result of the restrictions due to supersymmetry.

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#### <span id="page-30-0"></span>A Conventions and Calabi-Yau identities

In this paper we have used conventions in which the metric in each dimension has a mostly plus signature and

$$
\Gamma^{\rho}{}_{\mu\nu} = \frac{1}{2} g^{\rho\sigma} (\partial_{\mu} g_{\nu\sigma} + \partial_{\nu} g_{\mu\sigma} - \partial_{\sigma} g_{\mu\nu}), \qquad R_{\mu\nu} = R^{\lambda}{}_{\mu\lambda\nu},
$$
  
\n
$$
R^{\lambda}{}_{\tau\mu\nu} = \partial_{\mu} \Gamma^{\lambda}{}_{\nu\tau} - \partial_{\nu} \Gamma^{\lambda}{}_{\nu\tau} + \Gamma^{\lambda}{}_{\mu\sigma} \Gamma^{\sigma}{}_{\nu\tau} - \Gamma^{\lambda}{}_{\nu\sigma} \Gamma^{\sigma}{}_{\mu\tau}, \qquad R = R_{\mu\nu} g^{\mu\nu}.
$$
 (A.1)

We also use conventions in which the d dimensional epsilon tensor  $\epsilon^{\mu_1...\mu_d}$  satisfies

$$
\epsilon_{0...d-1} = \sqrt{-g}, \qquad \epsilon_{\mu_1...\mu_n\rho_1...\rho_{d-n}} \epsilon^{\nu_1...\nu_n\rho_1...\rho_{d-n}} = -n!(d-n)!\delta^{\nu_1}_{[\mu_1} \dots \delta^{\nu_n}_{\mu_n]}, \qquad (A.2)
$$

for a Lorentzian signature metric. In addition to this we define a p-form  $\omega_p$  to satisfy

$$
\omega_p = \frac{1}{p!} \omega_{\mu_1 \dots \mu_p} dx^{\mu_1} \wedge \dots \wedge dx^{\mu_p},
$$
  
\n
$$
d\omega_p = \frac{1}{p!} \partial_\nu \omega_{\mu_1 \dots \mu_p} dx^\nu dx^{\mu_1} \wedge \dots \wedge dx^{\mu_p},
$$
  
\n
$$
*\omega_p = \frac{1}{p!(d-p)!} \omega_{\mu_1 \dots \mu_p} \epsilon^{\mu_1 \dots \mu_p} \nu_1 \dots \nu_{d-p} dx^{\nu_1} \wedge \dots \wedge dx^{\nu_{d-p}}.
$$
\n(A.3)

Let us also summarize some useful identities for the complex structure moduli space of Calabi-Yau threefolds. The metric on this moduli space is given by

<span id="page-31-1"></span>
$$
g_{\kappa \bar{\kappa}} = -\frac{\int \hat{Y}_3 \chi_{\kappa} \wedge \bar{\chi}_{\bar{\kappa}}}{\int \hat{Y}_3 \Omega \wedge \bar{\Omega}}, \tag{A.4}
$$

where  $\chi_{\kappa}$  are  $(2,1)$  forms on  $\hat{Y}_3$  representing elements of  $H^{2,1}(\hat{Y}_3)$  as already introduced in [\(2.4\)](#page-4-5).  $g_{\kappa \bar{\kappa}}$  depends through  $\chi_{\kappa}$ ,  $\Omega$  on the complex structure deformations  $z^{\kappa}$ ,  $\bar{z}^{\kappa}$ . One also naturally defines a complex matrix  $M_{KL}$  varying over the complex structure moduli space by setting

$$
*_6\alpha_K = A_K{}^L\alpha_L + B_{KL}\beta^L, \qquad \qquad *_6\beta^K = C^{KL}\alpha_L - A_L{}^K\beta^L, \qquad (A.5)
$$

and

<span id="page-31-2"></span>
$$
A_{K}^{L} = (\text{Re}M)_{KH}(\text{Im}M)^{-1HL},
$$
  
\n
$$
B_{KL} = -(\text{Im}M)_{KL} - (\text{Re}M)_{KH}(\text{Im}M)^{-1HM}(\text{Re}M)_{ML},
$$
  
\n
$$
C^{KL} = (\text{Im}M)^{-1KL}.
$$
\n(A.6)

The imaginary part of  $M_{KL}$  is shown to be invertible and here we will denoted this by  ${\rm Im}M^{KL} = ({\rm Im}M)^{-1KL}.$ 

### <span id="page-31-0"></span>B 6D solutions and 5D domain walls

In section [3.1](#page-16-0) we studied the vacua of the 6D effective theory that results from F-theory compactified on a Calabi-Yau threefold with 7-brane flux. In that section we were particularly interested in vacua of this effective theory which were dominated by a non-trivial profile for the scalar  $\check{\tau}$ . However, we can instead consider vacua for which  $\check{\tau}$  is constant. In what follows we will demonstrate that these constant  $\check{\tau}$  solutions represent the lift of the 5D domain wall solutions that are described in [\[33\]](#page-35-9).

To proceed we must chose a coordinate system on the 2D internal space in which to solve [\(3.14\)](#page-18-3). Here we will pick this coordinate system such that the results are easy to compare with [\[33](#page-35-9)]. To do this we first separate off a circle in the reduction and expand the metric with respect to this while performing a weyl rescaling of the remaining 5D part of the metric such that the 5D action one would arrive at is in the Einstein frame

$$
ds^{2} = r^{-\frac{2}{3}}(a^{2}\eta_{\mu\nu}dx^{\mu}dx^{\nu} + b^{2}dy^{2}) + r^{2}d\phi^{2}, \qquad (B.1)
$$

where r, a and b are functions of y. The solutions of [\[33\]](#page-35-9) satisfy  $b \propto a^4$  so in order to have an unwarped external space, as required for out solution, we must have

$$
a \propto r^{\frac{1}{3}}, \qquad b \propto r^{\frac{4}{3}}.
$$
 (B.2)

Then absorbing the constants of proportionality into the definition of y and  $x^{\mu}$  we find that the appropriate coordinate system for carrying out the comparison is

<span id="page-32-3"></span><span id="page-32-0"></span>
$$
ds^{2} = \eta_{\mu\nu} dx^{\mu} dx^{\nu} + r^{2}(y) dy^{2} + r^{2}(y) d\phi^{2}. \qquad (B.3)
$$

where  $y$  is the coordinate normal to the domain wall in the solutions of  $[33]$ .

Substituting this into [\(3.14\)](#page-18-3) and requiring that the function  $\dot{\mathcal{V}}$  depends only on y we find that

$$
\partial_y \partial_y \ln(r^2) = \partial_y \partial_y \ln \check{\mathcal{V}} \qquad \qquad \partial_y \partial_y \check{\mathcal{V}} + C^{-1ij} \theta_i \theta_j \frac{r^2}{\check{\mathcal{V}}} = 0. \qquad (B.4)
$$

This is solved by

<span id="page-32-2"></span>
$$
\check{\mathcal{V}} = -\frac{A}{2}C^{-1ij}\theta_i\theta_j y^2 + By + C, \qquad r^2 = A\check{\mathcal{V}}, \qquad (B.5)
$$

for some integration constants A, B, and C.

We can then compare this solution with the results of  $[33]$  in which

$$
a = \tilde{k}\tilde{\mathcal{V}}^{\frac{1}{6}}, \qquad \tilde{\mathcal{V}} = (\frac{1}{6}\mathcal{N}_{\Lambda\Sigma\Theta}f^{\Lambda}f^{\Sigma}f^{\Theta})^{2}, \qquad \mathcal{N}_{\Lambda\Sigma\Theta}f^{\Sigma}f^{\Theta} = H_{\Lambda} = k\theta_{\Lambda}y + k_{\Lambda}, \qquad (B.6)
$$

where  $\tilde{k}$ , k and  $k_{\Lambda}$  are constants. As  $r \propto a^3$  we find that  $\tilde{V} \propto r^2$  which matches our results. However, the solution for  $\check{\mathcal{V}}$  does not generally give the quadratic function of y that we find in [\(B.5\)](#page-32-0) as is shown in the examples of [\[33](#page-35-9)]. This is not surprising as we have seen that a general M-theory reduction cannot be lifted to a 6D F-theory reduction. To restrict to the case where the F-theory lift applies we must first impose that the Calabi-Yau is an elliptic fibration. This means that  $\mathcal N$  takes the form shown in [\(2.17\)](#page-7-2). Then taking the F-theory limit [\(2.55\)](#page-13-4) and using the constraint that  $\theta_{\alpha} = \theta_0 = 0$  for the fluxes that can be lifted, we find

$$
2\Omega_{\alpha\beta}f^{\alpha}f^{\beta} = k_0 \,, \quad -16\Omega_{\alpha\beta}b^{\alpha}f^{\beta}C_{ij}f^{j} = H_i \,, \quad 4\Omega_{\alpha\beta}f^{\beta}f^{0} - 8\Omega_{\alpha\beta}b^{\beta}C_{ij}f^{i}f^{j} = k_{\alpha} \,. \tag{B.7}
$$

By contracting these equations in different ways we find that

$$
4\Omega_{\alpha\beta}f^{\alpha}f^{\beta}f^{0} - 8\Omega_{\alpha\beta}f^{\alpha}b^{\beta}C_{ij}f^{i}f^{j} = f^{\alpha}k_{\alpha}, \qquad 4k_{\alpha}f^{\alpha}f^{0} - 8k_{\alpha}b^{\alpha}C_{ij}f^{i}f^{j} = \Omega^{\alpha\beta}k_{\alpha}k_{\beta},
$$
  
\n
$$
4\Omega_{\alpha\beta}b^{\alpha}f^{\beta}f^{0} - 8\Omega_{\alpha\beta}b^{\alpha}b^{\beta}C_{ij}f^{i}f^{j} = b^{\alpha}k_{\alpha}, \qquad -16\Omega_{\alpha\beta}b^{\alpha}f^{\beta}C_{ij}f^{i}f^{j} = f^{i}H_{i},
$$
  
\n
$$
-16\Omega_{\alpha\beta}b^{\alpha}f^{\beta}H_{i}f^{i} = C^{-1ij}H_{i}H_{j}, \qquad 2\Omega_{\alpha\beta}f^{\alpha}f^{\beta} = k_{0}. \quad (B.8)
$$

Then imposing that the effective theory is classically gauge invariant requires that  $\Omega_{\alpha\beta}b^{\alpha}b^{\beta} = 0$ . When this is satisfied [\(B.8\)](#page-32-1) can be rearranged such that substituting back into  $(B.6)$  gives

<span id="page-32-1"></span>
$$
\tilde{\mathcal{V}} = \left(\frac{1}{6}k_0f^0 + \frac{1}{6}H_if^i + \frac{1}{6}k_\alpha f^\alpha\right)^2 = \frac{(8k_0k_\alpha b^\alpha - C^{-1ij}H_iH_j)\Omega^{\beta\gamma}k_\beta k_\gamma}{256k_\alpha b^\alpha} \n= \frac{\Omega^{\beta\gamma}k_\beta k_\gamma}{256k_\alpha b^\alpha}(-C^{-1ij}k^2\theta_i\theta_jy^2 - 2C^{-1ij}kk_i\theta_jy + 8k_0k_\alpha b^\alpha - C^{-1ij}k_ik_j).
$$
\n(B.9)

This solution is once again a quadratic in y and can be matched to our 6D result  $(B.5)$ . In this way we see that the solutions to our 6D field equations with constant  $\check{\tau}$  can be interpreted as the F-theory lift of the domain wall solutions to the 5D M-theory dual.

Our solutions [\(B.5\)](#page-32-0) may have singular points at the roots of the quadratic where  $\dot{\mathcal{V}}$ vanishes. The presence of these singularities calls for the introduction of extra sources into the action. To analyse these it is convenient to shift the coordinates  $y$  in order to absorb the constant C in [\(B.5\)](#page-32-0). The solution then has a singularity at  $y = 0$  which requires an additional source

<span id="page-33-1"></span>
$$
S_{brane} = Q \int_{\mathcal{M}_{y=0}} \left( \frac{1}{\hat{\mathcal{V}}} \tilde{*}_4 1 + \tilde{s}^* \hat{C}_4 \right), \tag{B.10}
$$

where Q is a constant to be determined in terms of the integration constants of our solution. In this action \* is the Hodge dual with respect to the induced metric on the brane source,  $\tilde{s}^*$  is the pullback to the brane and  $\hat{C}_4$  is a 4-form which is the dual of  $\hat{\Phi}$  such that  $\frac{1}{\hat{V}^2}D\hat{\Phi} = 4 *_{6} d\hat{C}_{4}$ . Our analysis of the gaugings that are introduced by turning on D7brane flux [\(2.60\)](#page-14-0) show that this is descended from the IIB Ramond-Ramond 4-form.

When this source is included the  $\dot{V}$  field equation [\(3.14\)](#page-18-3) becomes modified to

<span id="page-33-0"></span>
$$
\nabla^a \nabla_a \check{\mathcal{V}} + \frac{1}{\check{\mathcal{V}}} C^{-1ij} \theta_i \theta_j - 2Q \frac{\delta(y)}{\sqrt{g}} = 0.
$$
 (B.11)

To solve this we integrate the equation over a Gaussian surface which goes out to a distance  $y = y_0$  away from  $y = 0$  such that only one singularity is enclosed. After using Stokes law on the total derivative term this gives

$$
\int_{y=y_0} \sqrt{g_{y_0}} d\phi n^a \partial_a \check{\mathcal{V}} + \int_{0 \le y < y_0} \sqrt{g} dy d\phi \left( \frac{1}{\check{\mathcal{V}}} C^{-1ij} \theta_i \theta_j - 2Q \frac{\delta(y)}{\sqrt{g}} \right) = 0, \tag{B.12}
$$

where  $n^a$  is the outward pointing unit normal (satisfying  $n_a n^a = 1$ ) to the surface  $y = y_0$ and  $g_{y_0}$  is the determinant of the induced metric on this surface. As we know that the geometry of the solution is given by [\(B.3\)](#page-32-3) we find that the induced metric and unit normal satisfy

$$
g_{y_0} = r^2, \qquad n^y = \frac{1}{r}, \qquad n^{\phi} = 0. \qquad (B.13)
$$

Substituting this into [\(B.12\)](#page-33-0) and performing the integration gives

$$
\partial_y \check{\mathcal{V}} = -A \frac{1}{\check{\mathcal{V}}} C^{-1ij} \theta_i \theta_j y + 2Q \,, \tag{B.14}
$$

which matches [\(B.5\)](#page-32-0) if  $Q = 2B$ . Repeating this argument for the metric field equation or the Bianchi identity for  $D\hat{\Phi}$  we find again that the source terms ([B.10\)](#page-33-1) are required and confirm the relationship between Q and B.

Finally we note that if the 6D theory we have described is reduced on the F-theory circle then the source action  $(B.10)$  agrees with the form found in [\[56\]](#page-36-14) for brane sources of 5D domain walls in the M-theory dual.

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