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5-brane webs for 5d $\mathcal{N}=1$ G_2 gauge theories

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ABSTRACT: We propose 5-brane webs for 5d $\mathcal{N}=1$ G_2 gauge theories. From a Higgsing of the SO(7) gauge theory with a hypermultiplet in the spinor representation, we construct two types of 5-brane web configurations for the pure G_2 gauge theory using an O5-plane or an $\widetilde{O5}$ -plane. Adding flavors to the 5-brane web for the pure G_2 gauge theory is also discussed. Based on the obtained 5-brane webs, we compute the partition functions for the 5d G_2 gauge theories using the recently suggested topological vertex formulation with an O5-plane, and we find agreement with known results.

KEYWORDS: Brane Dynamics in Gauge Theories, Conformal Field Models in String Theory, Field Theories in Higher Dimensions

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Contents

1	Introduction	1
2	G_2 gauge theories from an $\widetilde{ ext{O5}} ext{-plane}$	3
	2.1 SO(7) gauge theory with spinor matter	3
	2.2 5-brane web for pure G_2 gauge theory	6
	2.3 Check from effective prepotential	10
	2.4 Adding flavors to G_2	15
3	Another 5-brane web for pure G_2 gauge theory	16
	3.1 Higgsing 5d SO(8) gauge theory with one spinor and one conjugate spi	nor 16
	3.2 Check from effective prepotential	19
4	5d Nekrasov partition functions of G_2 gauge theories	21
	4.1 Vertex formalism with an O5- and $\widetilde{\text{O5}}$ -plane	21
	4.2 Pure G_2 gauge theory	23
	4.3 G_2 gauge theory with one flavor	27
5	Conclusion	31

1 Introduction

5-brane webs in type IIB string theory have been used to study five-dimensional (5d) superconformal field theories (SCFTs) that are ultraviolet (UV) completions of a certain class of 5d $\mathcal{N}=1$ supersymmetric gauge theories [1–6]. A 5-brane web configuration provides us with a tool to compute the instanton partition function that captures the BPS spectrum of a 5d theory realized on a 5-brane web as well as with a perspective of qualitative understandings of the SCFTs such as global symmetry enhancements and various dualities.

By introducing an orientifold plane like an O7-plane or an O5-plane, 5-brane webs can be enriched so that one can describe 5d theories with some other classical gauge group, such as SO(N), USp(2N) [7–10], in addition to the standard classical gauge group SU(N). In recent years there has been some progress on brane configurations with the orientifold planes. For example, in [11–13], whether we resolve an O7⁻-plane into two [p,q] 7-branes or not in a certain 5-brane web configuration gives an explanation for equivalence proposed in [14] of two theories at the UV fixed point, an SU(N+1) theory with $N_f \leq 2N+6$ hypermultiplets in the fundamental representation (flavors) and the Chern-Simons (CS) level $\kappa = \pm (N+3-N_f/2)$, and an USp(2N) theory with the same number of flavors. In particular, it has been discussed in [10, 15] that 5-brane configurations with an O5-plane can realize an SO(N) gauge theory even with hypermultiplets in the spinor/conjugate

spinor representation. It is also noticeable that one can differentiate the discrete theta angle $(\theta = 0, \pi)$ of the 5d pure USp(2N) gauge theory [2–4] from 5-brane webs with an O5-plane. The two different theta angles turn out to imply two distinct phase structures for their 5-brane webs, that are characterized by two distinct "generalized" flop transitions which may be applied to 5-branes intersecting at the same point with an O5-plane [16].

There has been also progress along a quantitative side on 5-brane webs with an O5-plane. The conventional topological vertex formalism [17–19] enables one to systematically compute the Nekrasov instanton partition function of a 5d theory on a 5-brane web via the correspondence [20] between a toric diagram and a certain (p,q) 5-brane web diagram. Although 5-brane webs for SU(N) gauge theories with a large number of flavors or a large CS level often lead to non-toric Calabi-Yau geometries [21], the topological vertex formulation is still applicable to reproduce the correct partition function [12, 22–26]. Quite recently, the topological vertex formulation has been further extended to 5-brane webs with an O5-plane [27]. Together with a generalized flop transition, the new method utilizes a configuration where one-half of the original brane configuration is glued to the other half from the mirror image due to an O5-plane in a specific manner.

The purpose of this paper is to further extend the study of 5-brane webs with an O5plane and propose 5-brane web diagrams for 5d $\mathcal{N}=1$ gauge theories of an exceptional gauge group G_2 , using these recent developments on 5-brane webs. We then compute their Nekrasov partition functions based on the topological vertex formalism for 5-brane webs with an O5-plane.

Our strategy is as follows: a 5-brane web diagram for the SO(7) gauge theory with a hypermultiplet in the spinor representation has been constructed in [10]. We then consider the Higgs branch of the SO(7) gauge theory with one spinor in terms of the web diagram, which should yield a 5-brane web configuration for the pure G_2 gauge theory.¹

We note that there are two ways to obtain the web diagram for the SO(7) gauge theory with one spinor. One way is to Higgs the SO(8) gauge theory with a hypermultiplet in the vector representation and a hypermultiplet in the spinor or conjugate spinor representation. The other way is to Higgs the SO(8) gauge theory with a hypermultiplet in the spinor representation and a hypermultiplet in the conjugate spinor representation. These two SO(8) gauge theories should be equivalent to each other due to the triality of SO(8), and both Higgsings hence give rises to the SO(7) gauge theory with one spinor, while the resulting brane configurations look different. Further Higgsing of the two types of the diagrams leads to two different 5-brane webs for the pure G_2 gauge theory, which therefore gives two different configuration for the same G_2 gauge theory. It is possible to add flavors to the pure G_2 gauge theory by Higgsing the SO(7) gauge theory with hypermultiplets either in the vector representation or the spinor representation in addition to one spinor. We test our proposal by comparing the area of compact faces that a D3-brane wraps on the 5-brane webs with the tension of a monopole string which can be calculated from the effective prepotential of the theory in question. In fact the analysis implies an interesting feature like which faces of a 5-brane web a D3-brane wraps in the presence of an O5-plane.

¹We thank Gabi Zafrir for illuminating discussion about this strategy.

We then go on to compute the Nekrasov partition function for 5d $\mathcal{N}=1$ G_2 gauge theories by applying the recently proposed topological vertex method for 5-brane webs with an O5-plane [27]. We check that our partition function for the pure G_2 gauge theory reproduces the one-instanton result [28, 29] and also the two-instanton result [30–32]. We also show that the partition function of the G_2 gauge theory with one flavor is consistent with flavor decoupling.

The paper is organized as follows: in section 2, we first discuss a 5-brane web for the SO(7) gauge theory with a hypermultiplet in the spinor representation. By Higgsing the SO(7) theory with one spinor, we propose a 5-brane web for the pure G_2 gauge theory. We check that the proposed diagram is consistent with the effective prepotential of the pure G_2 gauge theory. We also present two ways to introduce flavors. In section 3, using the triality of SO(8) gauge theory among hypermultiplets in the vector, spinor, and conjugate spinor representations, we propose another 5-brane web for the pure G_2 theory through successive Higgsings of the SO(8) gauge theory with one spinor and one conjugate spinor. In section 4, we first review a recent proposal for the topological vertex formulation with an O5-plane and extend it to the cases with an $\widetilde{O5}$ -plane. We then use it to compute the partition functions of 5d $\mathcal{N}=1$ G_2 gauge theories with no flavor and with one flavor. In section 5, we summarize the results and comment on further directions.

Note added: we are informed that the authors of [33] computed the partition function for 5d $\mathcal{N} = 1$ G_2 gauge theories using the ADHM-like method, which will appear in arXiv.

G_2 gauge theories from an $\widetilde{\text{O5}}$ -plane

In string theory, a wide class of 5d theories with eight supercharges can be constructed by (p,q) 5-brane webs in type IIB string theory [5, 6, 20] or M-theory on Calabi-Yau threefolds [2–4]. We will make use of the 5-brane web description for constructing 5d $\mathcal{N}=1$ gauge theories in this paper. In this section, we present 5-brane web diagrams which realize 5d G_2 gauge theories by using an $\widetilde{O5}$ -plane. Although D5-branes on top of an O5-plane or an $\widetilde{O5}$ -plane usually generate an SO/USp gauge theory, we will argue that some simple 5-brane web diagram with an $\widetilde{O5}$ -plane can yield a 5d G_2 gauge theory in an intriguing way.

2.1 SO(7) gauge theory with spinor matter

Before constructing 5-brane webs for G_2 gauge theories, we first discuss 5-brane web realization of SO(7) gauge theories using an $\widetilde{O5}$ -plane in this subsection. By using this construction, we will see in section 2.2 that a Higgsing of the 5-brane web diagrams of the SO(7) gauge theories with a hypermultiplet in spinor representation can generate 5-brane webs for the pure G_2 gauge theory.

A 5-brane web diagram for the pure SO(7) gauge theory can be realized using an $\widetilde{O5}$ -plane. Naively, a 5-brane web with an $\widetilde{O5}$ -plane may look problematic since the difference of the RR charge between an $\widetilde{O5}^+$ -plane and an $\widetilde{O5}^-$ -plane is fractional, which implies the appearance of (p,q) 5-branes with non-integer p. A way out is that an $\widetilde{O5}$ -plane may

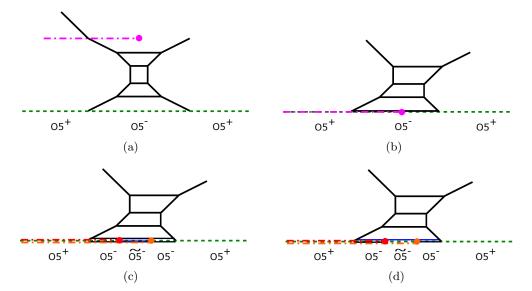


Figure 1. A Higgsing procedure of the 5-brane web of the SO(8) gauge theory with one flavor to the 5-brane web of the pure SO(7) gauge theory. (a): moving the flavor D7-brane to the middle of the diagram. The branch cut is denoted by the dashed line. (b): lowering the flavor D7-brane as well as the bottom color D5-brane to the O5⁻-plane. (c): splitting the D7-branes into two half D7-branes. We have an $\widetilde{O5}$ -plane between the half D7-branes and there are effectively three fractional D5-branes between the half D7-branes. We also have monodromy branch cuts for the half D7-branes represented by the red and orange dashed lines. (d): removing the two fractional D5-branes. We have a half D5-brane denoted by the blue line stretched between the (2,1) 5-brane and the (1,-1) 5-brane. The diagram gives the pure SO(7) gauge theory.

be thought of as an O5-plane with a half monodromy branch cut associated to a half D7-brane [10]. Namely, an effective description of an $\widetilde{O5}$ -plane is an O5⁻-plane and a half D5-brane plus the half monodromy cut. An $\widetilde{O5}^+$ -plane is also effectively described by an O5⁺-plane plus the half monodromy cut. Since the monodromy created by this cut is half of the original monodromy associated to one full D7-brane, it changes the potential fractional charge to integer charge.

The web diagram for the pure SO(7) gauge theory can be derived by a Higgsing of the SO(8) gauge theory with a hypermultiplet in the vector representation. Through this process, we will see that the $\widetilde{O5}$ -plane is accompanied by the half monodromy cut. A 5-brane web diagram of the SO(8) gauge theory with one flavor is constructed with $O5^-$ -plane as given in figure 1(a).

We put a floating D7-brane to realize one flavor and we put the branch cut associated with this D7-brane in the left direction. Note that (p,q) charges of the 5-branes change when they go across this cut. In order to perform the Higgsing, we lower this D7-brane as well as the bottom color D5-brane to the position of the O5⁻-plane. Since the left part of the web diagram crosses the branch cut, the 5-brane charges change accordingly as in figure 1(b).

On the O5⁻-plane, the D7-brane can be split into two half D7-branes, generating an $\widetilde{O5}$ -plane in between [34-37]. Counting the half D5-brane associated to the $\widetilde{O5}$ -plane

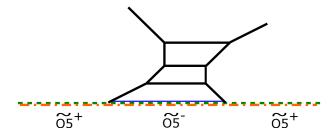


Figure 2. Another 5-brane web diagram for the 5d pure SO(7) gauge theory by removing the half D7-branes in the opposite directions. The diagram is constructed with an O5-plane. The O5-plane is realized by the O5-plane with the half monodromy cut which is denoted by the orange dashed line.

together with the bottom color D5-brane, we have effectively three half D5-branes between these two half D7-branes. Note also that half monodromy cut appears between the two half D7-branes. The 5-brane web after the splitting is depicted in figure 1(c).

At this stage, it is possible to move the two half D5-branes between the half D7-branes off the plane of the 5-brane web, which degree of freedom corresponds to the one-dimensional Higgs branch. Thus, removing the two half D5-branes infinitely far away should correspond to the Higgsing of the SO(8) gauge theory with one flavor down to the pure SO(7) gauge theory. After removing the two half D5-branes, we see that one half D5-brane stretch between the (2,1) 5-brane and the (1,-1) 5-brane including the one coming from the $\widetilde{O5}^-$ -plane. In addition, we have one half D5-brane connecting the (2,1) 5-brane to the left half D7-brane and also another half D5-brane connecting the (1,-1) 5-brane to the right half D7-brane as in figure 1(d), which configuration preserves the s-rule.

Although the 5-brane web diagram in figure 1(d) still has an remaining O5-plane, one can change it into an $\widetilde{O5}$ -plane by moving the left/right half D7-brane to infinitely left/right respectively. No half D5-branes are attached to the half D7-branes after they go across the (2,1) 5-brane or (1,-1) 5-brane due to Hanany-Witten effect [38]. Note that the half monodromy cut between the two half D7-brane still remains even after moving them to infinity. That is, we see that the $\widetilde{O5}$ -plane is accompanied by the half monodromy cut. The 5-brane web diagram after moving the half D7-branes in the opposite directions is given in figure 2. This is exactly the web diagram for the pure SO(7) gauge theory, which have three color D5-branes with the $\widetilde{O5}$ -plane.

It is straightforward to include a hypermultiplet in the vector representation of SO(7). The vector matter can be introduced by adding a flavor D5-brane to the 5-brane web diagram of the pure SO(7) gauge theory. In fact, one can also introduce spinor matter to the 5-brane web of the SO(7) gauge theory. From the 5-brane web viewpoint, the spinor matter can be realized non-perturbatively [10]. To see that, let us consider a 5-brane web diagram in figure 3. The web diagram gives a $[1]-SO(9)-USp(2)-\left[\frac{3}{2}\right]$ quiver theory. Here [n]-G stands for n flavors attached to the G gauge theory. The quiver theory has a Higgs branch associated to moving a D5-brane off the plane of the 5-brane web. The Higgsing yields a 5-brane web diagram given in figure 4. The resulting theory might naively look like the pure SO(7) gauge theory. However, the gauge coupling of the "USp(0)" gauge group is

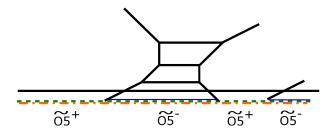


Figure 3. A 5-brane web diagram for the $[1] - SO(9) - USp(2) - \left[\frac{3}{2}\right]$ quiver theory.

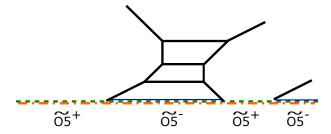


Figure 4. A 5-brane web diagram for a SO(7) gauge theory with spinor matter obtained by removing the D5-brane from the diagram for the $[1] - SO(9) - USp(2) - \left[\frac{3}{2}\right]$ quiver theory.

not still turned off. We may expect some additional degrees of freedom whose mass is the inverse of the gauge coupling. In other words, the 5-brane web appears to have "USp(0)" instantons. Before the Higgsing, we indeed have USp(2) instantons and the instantons carry charges in the spinor representation of SO(9). Hence, after the Higgsing, a natural candidate for the "USp(0)" instantons is a hypermultiplet in the spinor representation of the SO(7) gauge theory. Namely, the 5-brane web in figure 4 gives rise to an SO(7) gauge theory with a hypermultiplet in the spinor representation.

2.2 5-brane web for pure G_2 gauge theory

We then move on to construct a 5-brane web diagram for a pure G_2 gauge theory. By Higgsing the SO(7) gauge theory with one hypermultiplet in the spinor representation yields the pure G_2 gauge theory. Thus, the corresponding process in the 5-brane web diagram for the SO(7) gauge theory with one spinor in figure 4 should lead to the web diagram for the pure G_2 gauge theory. The web diagram in figure 4 implies that the theory possesses an SU(2) flavor symmetry associated to parallel external (2,1) 5-branes. This global symmetry is expected to act on the hypermultiplet in the spinor representation. Thus, the distance of the two parallel external (2,1) 5-branes should be associated to the mass of this spinor and the Higgs branch should open up in the massless limit at certain subspace in the Coulomb moduli. The question is how one can take the massless limit. It seems to be difficult take the massless limit from the diagram in figure 4 since we need to "flop" the $\widetilde{O5}^+$ -plane between the (2,1) 5-brane and the (1,-1) 5-brane.

In order to resolve the issue, it turns out to be useful to consider an equivalent but different 5-brane web diagram for the SO(7) gauge theory with one spinor. Analogous to figure 2 being obtained from figure 1(d), the diagram in figure 4 may be obtained by moving the two half D7-branes in the opposite directions from the diagram in figure 5(a). Instead,

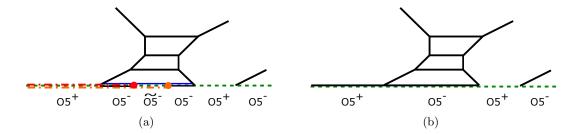


Figure 5. 5-brane web diagrams for the SO(7) gauge theory with one spinor. (a): the 5-brane web diagram which is obtained by Higgsing the diagram of the SO(8) gauge theory with one vector and one spinor. Compared to the diagram in figure 1(d), the (2,1) 5-brane is attached on the right, yielding the spinor matter. Removing the half D7-branes in the opposite direction gives the diagram in figure 4. (b): an equivalent diagram to the one in figure 5(a) (and also to the one in figure 4). We move the half D7-branes in the left direction compared to the diagram in figure 5(a). Then the monodromy cut disappears and the diagram is constructed with an O5-plane.

we can consider another deformation from the diagram in figure 5(a) by moving both the half D7-branes to infinitely left. The resulting 5-brane web is depicted in figure 5(b). After this deformation, the monodromy cuts completely disappears from the diagram and hence we have only an O5-plane. Therefore, a 5-brane web diagram with an $\widetilde{O5}$ -plane has an equivalent 5-brane web diagram without an $\widetilde{O5}$ -plane but with only an O5-plane. The transition is done by moving a half D7-brane from the infinitely right to the infinitely left in the diagram with an $\widetilde{O5}$ -plane. We will make use of this transition in this paper.

By using this diagram, we can take the massless limit of the spinor by using "generalized flop transition" [16]. Let us focus on the local part of the diagram describing the "USp(0)" gauge theory. On the left side a (1,-1) 5-brane intersects with an O5-plane together with a full D5-brane. On the other hand, a (2,1) 5-brane intersects with the O5-plane on the right side. The local structure exactly appears in the 5-brane diagram of the E_2 theory, and hence we can perform a generalized flop transition for this local part by using the results in [16]. Through the process of studying the phase diagram of the E_2 theory, it is proposed that the diagram in figure 6(a) is flopped either to the one in figure 6(b) or figure 6(c). Whether the diagram is flopped to the one in figure 6(b) or figure 6(c) is translated to the sign of the mass parameter of the USp(0) gauge theory, which is the sign of the Coulomb branch parameter in our case. Although this transition is obtained for the E_2 theory, it is natural to assume that this transition is always available regardless of the detail of the remaining diagram to which this subdiagram is attached. On one hand, by considering a limit where the D5-brane comes down to the position of an O5-plane as we did in the process of the Higgsing, figure 6(a) reduces to figure 7(a), which corresponds to the one appearing in the local part of the diagram in figure 5(b). On the other hand, by the same limit, figure 6(b) and figure 6(c) both reduce to figure 7(b). Therefore, we propose that the USp(0) part in figure 5(b) can be flopped to the form in figure 7(b). The equivalent flop transition in the presence of an O5-plane is given in figure 8.

After considering the transition in figure 7 for the local USp(0) part in figure 5(b), the 5-brane web diagram becomes the one in figure 9(a). Hence, we obtain another 5-brane web diagram given in figure 9(a) which also realizes the SO(7) gauge theory with one spinor.

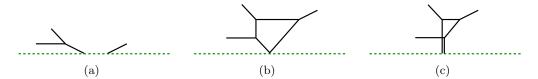


Figure 6. A generalized flop transition for a 5-brane web with an O5-plane. Whether the diagram in (a) is flopped to (b) or (c) depends on the sign of the mass parameter associated to the D5-brane.



Figure 7. The generalized flop transition in figure 6 in the case when the height of the D5-brane in figure 6 is set to zero.

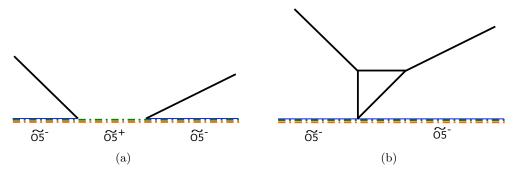


Figure 8. A generalized flop transition from (a) to (b) which is equivalent to the one in figure 7.

From the 5-brane web diagram in figure 9(a) it is now straightforward to perform the Higgsing: after two flop transitions, we obtain the diagram in figure 9(b), from which we can take the massless limit by putting the parallel external (2,1) 5-branes on top of each other. We also tune the Coulomb branch moduli of the SO(7) gauge theory, leaving two Coulomb branch moduli as in figure 9(c). By putting a (2,1) 7-brane at each end of the two parallel external (2,1) 5-branes, we can move a segment of a (2,1) 5-brane between the external (2,1) 7-branes as in figure 9(d), which degrees of freedom corresponds to the Higgs branch of the SO(7) gauge theory with one spinor. Removing the D5-brane implies that we take a far infrared limit of the SO(7) gauge theory with one spinor at the Higgs branch. Then the resulting 5-brane web diagram should describe the pure G_2 gauge theory. Therefore, we conclude that the 5-brane web diagram in figure 9(e) realizes the pure G_2 gauge theory.

We can write an equivalent diagram with an $\widetilde{\text{O5}}$ -plane as in figure 10. The diagram in figure 10 is obtained by moving one of the half D7-brane which was sent to the infinitely left to the infinitely right. Then the D5-brane on the O5-plane disappears and we have an $\widetilde{\text{O5}}$ -plane realized with the half monodromy cut.

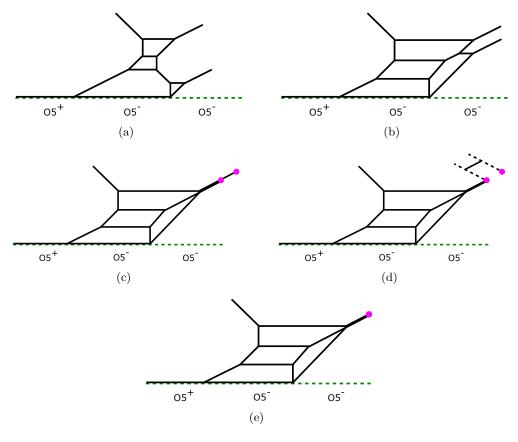


Figure 9. A Higgsing procedure which gives rise to a 5-brane web diagram for the pure G_2 gauge theory. (a): we performed the generalized flop transition compared to the diagram in figure 5(b). (b): we performed two standard flop transitions to the diagram in figure 9(a). (c): putting the two parallel external (2,1) 5-branes on top of each other. We also introduced the (2,1) 7-branes at each end of the external (2,1) 7-branes. (d): removing one (2,1) 5-brane between the (2,1) 7-branes. (e): sending the (2,1) 5-brane to infinity. The diagram yields the pure G_2 gauge theory.

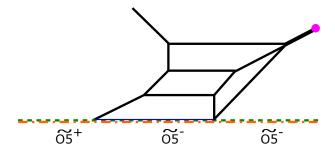


Figure 10. Another 5-brane web with an O5-plane which gives the pure G_2 gauge theory. The diagram can be obtained by applying the same Higgsing procedure in figure 9 to the diagram in figure 4.

2.3 Check from effective prepotential

In this subsection, we see evidence that the 5-brane web diagram in figure 10 yields the 5d pure G_2 gauge theory from the analysis effective prepotentials.

In general, the effective prepotential of a 5d gauge theory with a gauge group G is given by [1, 2, 4]

$$\mathcal{F}(\phi) = \frac{1}{2} m_0 h_{ij} \phi_i \phi_j + \frac{\kappa}{6} d_{ijk} \phi_i \phi_j \phi_k + \frac{1}{12} \left(\sum_{r \in \text{roots}} |r \cdot \phi|^3 - \sum_f \sum_{w \in \mathbf{R}_f} |w \cdot \phi + m_f|^3 \right), \quad (2.1)$$

where m_0 is the inverse of the squared gauge coupling, ϕ_i are the Coulomb branch moduli, κ is the classical Chern-Simons level and m_f is the mass of a hypermultiplet in the representation \mathbf{R}_f of G. r are the roots of G and w are the weights of the representation \mathbf{R}_f . We also used $h_{ij} = \text{Tr}(T_i T_j)$ and $d_{ijk} = \frac{1}{2} \text{Tr}(T_i \{T_j, T_k\})$ where T_i are the Cartan generators of G.

In the following, we consider the prepotential of the pure SO(7) gauge theory, the SO(7) gauge theory with a spinor and then the pure G_2 gauge theory step by step.

Pure SO(7). The first example is the 5d pure SO(7) gauge theory. When we parameterize the Coulomb branch moduli ϕ_i in the Dynkin basis, the prepotential of the pure SO(7) gauge theory becomes

$$\mathcal{F}_{SO(7)}(\phi) = m_0(\phi_1^2 - \phi_1\phi_2 + \phi_2^2 - 2\phi_2\phi_3 + 2\phi_3^2)$$

$$+ \frac{4}{3}\phi_1^3 - \frac{1}{2}\phi_1^2\phi_2 - \frac{1}{2}\phi_1\phi_2^2 + \frac{4}{3}\phi_2^3 - 3\phi_2^2\phi_3 + 2\phi_2\phi_3^2 + \frac{4}{3}\phi_3^3, \qquad (2.2)$$

where we chose [2, -1, 0], [-1, 2, -2], [0, -1, 2] for the simple roots for defining the Weyl chamber. The tension of a monopole string may be computed by taking a derivative with respect to a Coulomb branch modulus. The monopole string tension from the prepotential (2.2) is

$$\frac{\partial \mathcal{F}_{SO(7)}}{\partial \phi_1} = \frac{1}{2} (2\phi_1 - \phi_2)(2m_0 + 4\phi_1 + \phi_2), \tag{2.3}$$

$$\frac{\partial \mathcal{F}_{SO(7)}}{\partial \phi_2} = \frac{1}{2} (-\phi_1 + 2\phi_2 - 2\phi_3)(2m_0 + \phi_1 + 4\phi_2 - 2\phi_3), \tag{2.4}$$

$$\frac{\partial \mathcal{F}_{SO(7)}}{\partial \phi_3} = (-\phi_2 + 2\phi_3)(2m_0 + 3\phi_2 + 2\phi_3). \tag{2.5}$$

It is also possible to compute the tension of a monopole string from 5-brane web diagrams. Monopole strings in a 5d gauge theory are realized by D3-branes which stretch along some face bounded by 5-branes. Therefore, the tension of a monopole string corresponds to the area of a face where a D3-brane can extend. Hence we need to compute the area of faces in the diagram in figure 2 for the pure SO(7) gauge theory.

For that, we first need to identify the gauge theory parameters with the length of 5-branes in the diagram in figure 2. The height of the color D5-branes is the Coulomb branch modulus and we denote the height of the bottom color D5-brane, the middle color D5-brane and the top color D5-brane by a_1, a_2, a_3 respectively. In order to compute the inverse of the

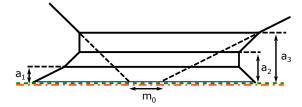


Figure 11. A gauge theory parameterization for the pure SO(7) gauge theory. a_1, a_2, a_3 are the Coulomb branch moduli and m_0 is the inverse of the squared gauge coupling.

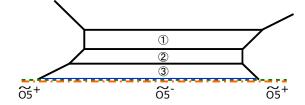


Figure 12. Labeling for the three faces in the pure SO(7) diagram.

squared gauge coupling, we first turn off all the Coulomb branch moduli. Then the external (2,1) 5-brane and the external (1,-1) directly intersect with the O5-plane and the length of the D5-branes between the (2,1) 5-brane and the (1,-1) 5-brane on the O5-plane gives m_0 . Alternatively, one can extrapolate the external (2,1) 5-brane and the external (1,-1)5-brane in figure 2 to the position of the O5-plane and measure the distance between the external (2,1) 5-brane and the external (1,-1) 5-brane on the O5-plane. The gauge theory parameterization for the pure SO(7) gauge theory is summarized in figure 11.

By using the parameterization depicted in figure 11, we compute the area of faces in the pure SO(7) diagram in figure 2. We label the faces as in figure 12. The area of the three faces becomes

$$(1) = \frac{1}{2}(a_3 - a_2)(2m_0 + a_2 + 5a_3),$$
 (2.6)

$$(2) = \frac{1}{2}(a_2 - a_1)(2m_0 - a_1 + 3a_2 + 4a_3),$$
 (2.7)

$$(3) = \frac{1}{2}a_1(2m_0 + a_1 + 4a_2 + 4a_3).$$
 (2.8)

We can then compare the are (2.6), (2.7) and (2.8) with the tension (2.3), (2.4)and (2.5). In the computation of the area from the pure SO(7) diagram we parameterized the Coulomb branch moduli a_1, a_2, a_3 in the orthonormal basis of \mathbb{R}^3 . The relation between $a_1, a_2, a_3 \text{ and } \phi_1, \phi_2, \phi_3 \text{ is}$

$$\phi_1 = a_3, \quad \phi_2 = a_2 + a_3, \quad \phi_3 = \frac{1}{2}(a_1 + a_2 + a_3).$$
 (2.9)

Then the comparison of (2.6), (2.7) and (2.8) with (2.3), (2.4) and (2.5) yields

$$② = \frac{\partial \mathcal{F}_{SO(7)}}{\partial \phi_2},$$

$$2 \times ③ = \frac{\partial \mathcal{F}_{SO(7)}}{\partial \phi_3}.$$

$$(2.11)$$

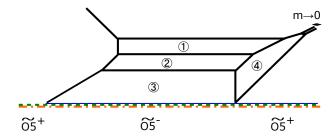


Figure 13. A 5-brane web diagram of the SO(7) gauge theory with a massless spinor. The mass is related to the length between the parallel (2,1) 5-branes and they are on top of each other in the massless limit. We also label the four faces in the diagram.

Therefore, a D3-brane can stretch along the region ① or the region ②. On the other hand, one needs to double the area of the region ③, which implies that a D3-brane will not end on the $\widetilde{\text{O5}}^-$ -plane and will end on a mirror D5-brane.

SO(7) with a massless spinor. The next example is the SO(7) gauge theory with a hypermultiplet in the spinor representation. For simplicity we consider a case where the spinor matter is massless. In this case, there are several phases where the effective mass of the hypermultiplets vanishes. We here choose a phase where [0,0,1],[0,1,-1],[1,-1,1],[-1,0,1] among the weights of the spinor representation are positive. Then the prepotential of the SO(7) gauge theory with a massless spinor becomes

$$\mathcal{F}_{SO(7)_s} = m_0(\phi_1^2 - \phi_1\phi_2 + \phi_2^2 - 2\phi_2\phi_3 + 2\phi_3^2)$$

$$+ \frac{4}{3}\phi_1^3 - \phi_1\phi_2^2 + \frac{4}{3}\phi_2^3 - \phi_1^2\phi_3 + \phi_1\phi_2\phi_3 - 3\phi_2^2\phi_3 + 2\phi_2\phi_3^2 + \phi_3^3.$$
 (2.13)

The monopole string tension is then

$$\frac{\partial \mathcal{F}_{SO(7)_s}}{\partial \phi_1} = (2\phi_1 - \phi_2)(m_0 + 2\phi_1 + \phi_2 - \phi_3), \tag{2.14}$$

$$\frac{\partial \mathcal{F}_{SO(7)_s}}{\partial \phi_2} = (-\phi_1 + 2\phi_2 - 2\phi_3)(m_0 + 2\phi_2 - \phi_3), \tag{2.15}$$

$$\frac{\partial \mathcal{F}_{SO(7)_s}}{\partial \phi_3} = m_0(-2\phi_2 + 4\phi_3) - \phi_1^2 + \phi_1\phi_2 - 3\phi_2^2 + 4\phi_2\phi_3 + 3\phi_3^2. \tag{2.16}$$

Let us then compute the area of faces in a diagram for the SO(7) gauge theory with a massless spinor. We need to use a particular diagram which corresponds to the phase we chose to compute the prepotential (2.13). Such a diagram is depicted in figure 13 and we label the four faces in the diagram. The Coulomb branch moduli a_1, a_2, a_3 are again the height of the bottom color D5-brane, the middle color D5-brane and the top color D5-brane respectively. We also extrapolate the external (2,1) 5-brane and the external (1,-1) 5-brane on top of the $\widetilde{O5}$ -plane and the distance between the extrapolated external 5-branes is the inverse of the squared gauge coupling m_0 . The gauge theory parameterization is summarized in figure 14.

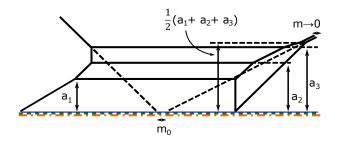


Figure 14. A gauge theory parameterization for the SO(7) gauge theory with a massless spinor. a_1, a_2, a_3 are the Coulomb branch moduli and m_0 is the inverse of the squared gauge coupling.

We can now compute the area of the four faces in figure 13 by the parameterization in figure 14. The result is

$$(1) = \frac{1}{2}(a_3 - a_2)(2m_0 - a_1 + a_2 + 5a_3),$$
 (2.17)

$$(2) = \frac{1}{2}(a_2 - a_1)(2m_0 - a_1 + 3a_2 + 3a_3),$$
 (2.18)

$$\mathfrak{J} = \frac{1}{2}a_1(2m_0 + a_1 + 3a_2 + 3a_3), \tag{2.19}$$

$$(4) = \frac{1}{4}(-a_1^2 + 2a_1a_2 - a_2^2 + 2a_1a_3 + 2a_2a_3 - a_3^2).$$
 (2.20)

The comparision of (2.17), (2.18), (2.19) and (2.20) with (2.14), (2.15) and (2.16) yields relations

$$2 \times (3) + (4) = \frac{\partial \mathcal{F}_{SO(7)_s}}{\partial \phi_3}, \tag{2.23}$$

using the relation (2.9). As in the case of the pure SO(7) gauge theory, we need to double the area of the region ③. In fact, we further need to add the area of the region ④ for the monopole string tension corresponding to $\frac{\partial \mathcal{F}_{SO(7)s}}{\partial \phi_3}$. This fact becomes important also for the comparison of the monopole tension in the case of the pure G_2 gauge theory.

Pure G_2 . Finally we consider the prepotential of the pure G_2 gauge theory. When we use the Dynkin basis for parametrizing the Coulomb branch moduli ϕ_i , the prepotential of the 5d pure G_2 gauge theory becomes

$$\mathcal{F}_{G_2}(\phi) = m_0(\phi_1^2 - 3\phi_1\phi_2 + 3\phi_2^2) + \frac{4}{3}\phi_1^3 - 4\phi_1^2\phi_2 + 3\phi_1\phi_2^2 + \frac{4}{3}\phi_2^3, \tag{2.24}$$

where we chose [2, -3] and [-1, 2] for the simple roots for defining the Weyl chamber. Hence the expected monopole tension from the prepotential (2.24) is

$$\frac{\partial \mathcal{F}_{G_2}}{\partial \phi_1} = (m_0 + 2\phi_1 - \phi_2)(2\phi_1 - 3\phi_2), \tag{2.25}$$

$$\frac{\partial \mathcal{F}_{G_2}}{\partial \phi_2} = (-\phi_1 + 2\phi_2)(3m_0 + 4\phi_1 + 2\phi_2). \tag{2.26}$$

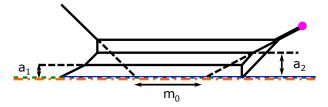


Figure 15. A gauge theory parameterization for the pure G_2 gauge theory. a_1, a_2 are the Coulomb branch moduli and m_0 is related to the inverse of the gauge coupling.

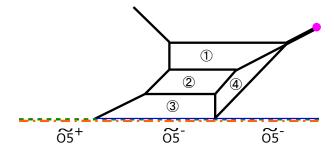


Figure 16. Labeling for the four faces in the pure G_2 diagram. Note that the regions ① and ④ are not separated by a 5-brane and are connected to each other.

The gauge theory parameterization for the pure G_2 gauge theory realized in figure 10 can be understood in a similar way to the case of the SO(7) gauge theories. We denote the height of the lowest color D5-brane by a_1 and the height of the second lowest color D5-brane by a_2 as in figure 15. The inverse of the square gauge coupling can be calculated from the distance between the extrapolated external (2,1) 5-brane and the external (1,-1) 5-brane and it is denoted by m_0 in figure 15. We are now able to compute the area corresponding to the tension of monopoles strings by using the parameters in figure 15. We label four faces in the pure G_2 diagram as in figure 16. The area of the four regions is

$$(1) = a_1(m_0 + 2a_1 + 3a_2), (2.27)$$

$$(\widehat{2}) = (a_2 - a_1)(m_0 + a_1 + 3a_2), \tag{2.28}$$

$$(3) = a_1(m_0 + 2a_1 + 3a_2), (2.29)$$

$$(4) = a_1 a_2. (2.30)$$

We can deduce which area we should compare with the monopole string tension (2.25) and (2.26) from the analysis of the SO(7) gauge theory with a massless spinor. For the SO(7) gauge theory with a spinor, the area corresponding to the monopole string tension is ①, ②, $2 \times 3 + 4$. After the Higgsing, the region ① is combined with ④, hence the area corresponding to the monopole string tension for the pure G_2 gauge theory should be ② and ① $+ 2 \times 3 + 4$. Such an area is given by

$$(2) = (a_2 - a_1)(m_0 + a_1 + 3a_2), (2.31)$$

$$(1) + 2 \times (3) + (4) = a_1(3m_0 + 6a_1 + 10a_2). \tag{2.32}$$

We compare the tension (2.25) and (2.26) computed from the prepotential (2.24) with the tension (2.31) and (2.32) from the area of the 5-brane web in figure 16. Note that the

Coulomb branch moduli a_1, a_2 are related to ϕ_1, ϕ_2 by

$$2\phi_1 - 3\phi_2 = a_2 - a_1, \quad -\phi_1 + 2\phi_2 = a_1. \tag{2.33}$$

Using the relation (2.33), we can see that

$$(1) + 2 \times (3) + (4) = \frac{\partial \mathcal{F}_{G_2}}{\partial \phi_2}.$$
 (2.35)

We note that the Higgsing of the SO(7) gauge theory with a spinor enforces the parameters for the SO(7) gauge theory to be $a_3 = a_1 + a_2$ in figure 14. This means that the SO(7) Coulomb branch moduli satisfy $\phi_1 = \phi_3$. With the proper map between the SO(7) Coulomb branch moduli $\phi_i^{\text{SO(7)}}$ and the G_2 Coulomb moduli $\phi_j^{G_2}$ given by $\phi_1^{\text{SO(7)}} \to \phi_2^{G_2}$ and $\phi_2^{\text{SO(7)}} \to \phi_1^{G_2}$, one can easily see that the prepotential for 5d SO(7) gauge theory with a spinor (2.13) becomes the G_2 prepotential (2.24). It follows that the tensions of the monopole strings are also consistent with the Higgsing

$$\frac{\partial \mathcal{F}_{\text{SO(7)}_s}}{\partial \phi_2}\Big|_{\text{Higgsing}} = \frac{\partial \mathcal{F}_{G_2}}{\partial \phi_1}, \qquad \left(\frac{\partial \mathcal{F}_{\text{SO(7)}_s}}{\partial \phi_1} + \frac{\partial \mathcal{F}_{\text{SO(7)}_s}}{\partial \phi_3}\right)\Big|_{\text{Higgsing}} = \frac{\partial \mathcal{F}_{G_2}}{\partial \phi_2}, \qquad (2.36)$$

or in other words, through the Higgsing, $(2.16) \rightarrow (2.34)$ and agrees with (2.14) + (2.16), $\rightarrow (2.35)$. The analysis of the prepotential therefore presents further support for the claim that the diagram in figure 10 realizes the 5d pure G_2 gauge theory.

2.4 Adding flavors to G_2

5d G_2 gauge theories may have hypermultiplets in the fundamental representation and the maximal number flavors for a G_2 gauge theory to have a 5d UV fixed point is five [39, 40]. From the viewpoint of 5-brane webs, one can also add hypermultiplets in the fundamental representation of G_2 to the 5-brane web diagram in figure 10. There are two ways to introduce flavors for the G_2 theory. One way uses the vector matter of the SO(7) gauge theory and the other way utilizes the spinor matter of the SO(7) gauge theory. After the Higgsing from SO(7) to G_2 , the former becomes the fundamental matter of the G_2 gauge theory and the latter becomes the fundamental matter plus a singlet hypermultiplet of the G_2 gauge theory. The singlet appears since the spinor representation of SO(7)is the eight-dimensional representation and the fundamental representation of G_2 is the seven-dimensional representation. Hence, the Higgsing of the SO(7) gauge theory with a hypermultiplet in the vector representation and a hypermultiplet in the spinor representation gives the G_2 gauge theory with one flavor, and similarly the Higgsing of the SO(7) gauge theory with two hypermultiplets in the spinor representation gives the G_2 gauge theory with one flavor and a singlet. The two 5-brane diagrams giving the G_2 gauge theories with one flavor are depicted in figure 17(a) and 17(b). It is straightforward to add more flavors to G_2 gauge theories by Higgsing the 5d SO(7) gauge theory with one spinor and more than one hypermultiplets either in the vector representation or the spinor representation. An example of a 5-brane web diagram for the G_2 gauge theory with two flavors is depicted in figure 18.

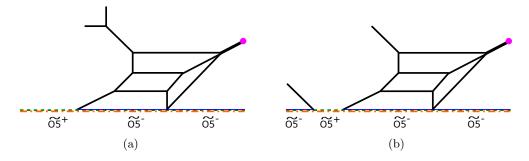


Figure 17. 5-brane web diagrams of the G_2 gauge theory with one flavor. (a): the diagram is obtained by Higgsing the SO(7) gauge theory with one vector and one spinor. The resulting theory is G_2 gauge theory with one flavor. (b): the diagram is obtained by Higgsing the SO(7) gauge theory with two spinors. The resulting theory is the G_2 gauge theory with one flavor and a singlet.

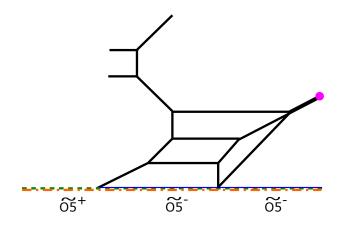


Figure 18. A 5-brane diagram for the G_2 gauge theory with two flavors.

As for the 5-brane web obtained by Higgsing the SO(7) gauge theories with two spinors, one can also perform the generalized flop transition in figure 8 and then the 5-brane diagram becomes the one in figure 19(a). It is also possible to obtain an equivalent diagram by moving the half D7-brane associated the monodromy cut from the infinitely right to the infinitely left in figure 19(a), and the resulting diagram after the transition is given in figure 19(b) without any branch cut.

3 Another 5-brane web for pure G_2 gauge theory

In this section, we present another 5-brane web diagram for the 5d pure G_2 gauge theory without using an $\widetilde{O5}$ -plane different to the one in section 2. This diagram turns out to be useful for the topological vertex computation in section 4.

3.1 Higgsing 5d SO(8) gauge theory with one spinor and one conjugate spinor

In section 2, we used the 5-brane web diagram in figure 5 for the 5d SO(7) gauge theory with one spinor and the Higgsing of the diagram yielded the web diagram for the pure G_2 gauge theory in figure 9(e). The 5-brane diagram of the 5d SO(7) gauge theory has

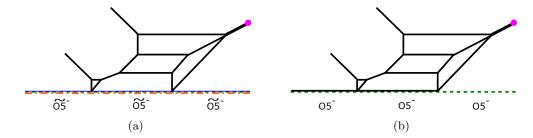


Figure 19. 5-brane diagrams for the G_2 gauge theory with one flavor and a singlet after performing the generalized flop transitions. (a): a 5-brane web for a G_2 gauge theory with one flavor which is obtained after performing the generalized flop transition to the diagram in figure 17(b). The diagram contains only an $\widetilde{O5}$ -plane. (b): an equivalent 5-brane web diagram to the one in figure 19(a). We move the half D7-brane in the infinitely right to the infinitely left. Then the $\widetilde{O5}$ -plane disappears and we only have an O5-plane. The diagram still yields the G_2 gauge theory with one flavor and a singlet.

been originally obtained by the Higgsing associated to vector matter of the 5d SO(8) gauge theory. In other words, the 5-brane web diagram of the pure G_2 gauge theory in figure 9(e) was obtained by the two successive Higgsings from the 5d SO(8) gauge theory with one vector and one spinor. Due to the triality of the 5d SO(8) gauge theory, the 5d SO(8) gauge theory with one vector and one spinor is equivalent to the 5d SO(8) gauge theory with one spinor and one conjugate spinor. Therefore, we should again obtain a 5-brane web diagram for the pure G_2 gauge theory by Higgsing a 5-brane web for the 5d SO(8) gauge theory with one spinor and one conjugate spinor.

To introduce a hypermultiplet in the spinor representation to the 5-brane web diagram for the 5d SO(8) gauge theory, we consider a "quiver theory" of SO(8) – USp(0) and the USp(0) instanton plays a role of the spinor matter [10]. For introducing two spinors, we consider a quiver USp(0) – SO(8) – USp(0). However, we need two spinors of opposite chirality. The difference between a spinor and a conjugate spinor can be realized by considering different discrete theta angles for the two USp(0) gauge groups [10]. Namely, we consider a 5-brane diagram of the quiver USp(0) – SO(8) – USp(0) but the two USp(0) gauge groups have different discrete theta angles.²

A 5-brane web diagram of the 5d SO(8) gauge theory with one spinor and one conjugate spinor is given in figure 20. Note that there are two parallel external (2,1) 5-branes and two parallel external (2,-1) 5-branes. Each two parallel external 5-branes implies an SU(2) flavor symmetry and hence the theory shows an SU(2)×SU(2) perturbative flavor symmetry from one spinor and one conjugate spinor. At the level of the diagram in figure 20, the difference of the discrete theta angle is invisible. However, the difference appears after the generalized flop transition [16]. The two different types of the flop transitions depending on the discrete theta angle are depicted in figure 21. We can then apply the generalized flop transition in figure 21 to the diagram in figure 20 and it yields another 5-brane web

There is another 5-brane web diagram for the 5d SO(8) gauge theory with one spinor and one conjugate spinor and it is given by a diagram for a quiver SO(8)-USp(0)-[1]. However, in order to perform a Higgsing, it is useful to consider a 5-brane web for the USp(0)-SO(8)-USp(0) quiver theory.

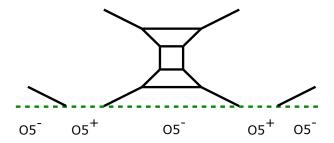


Figure 20. A 5-brane web diagram for the 5d SO(8) gauge theory with one spinor and one conjugate spinor. The discrete theta angle of the two USp(0) theories is different from each other although the difference is not explicitly expressed in the diagram.

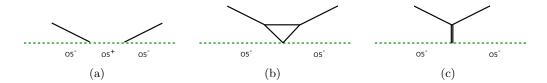


Figure 21. The generalized flop transition for the 5-brane web for USp(0). Depending on the discrete theta angle, the transition changes the figure (a) into either figure (b) or figure (c).

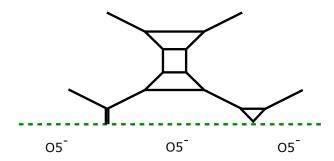


Figure 22. A 5-brane web diagram for the 5d SO(8) gauge theory with one spinor and one conjugate spinor after performing the generalized flop transitions.

diagram for the 5d SO(8) gauge theory with one spinor and one conjugate spinor. The resulting diagram is depicted in figure 22. In order to perform the Higgsing to the 5d pure G_2 gauge theory, we consider a further transition given in figure 6, yielding a 5-brane web in figure 23.

We can use the 5-brane web in figure 23 to obtain another 5-brane web diagram for the 5d pure G_2 gauge theory by two Higgsings. Let us first perform a Higgsing associated to the parallel external (2,1) 5-branes on the right part in figure 23. The procedure is essentially the same as what has been done in figure 9 and the resulting 5-brane web diagram is given in figure 24. Due to the triality, the 5-brane web diagram in figure 24 should give rise to the 5d SO(7) gauge theory with one spinor. Hence the diagram in figure 24 realizes the SO(7) gauge group without introducing an $\widetilde{O5}$ -plane different from the diagram in figure 4.

Since the diagram in figure 24 still contains parallel external (2, -1) 5-branes, we can perform a Higgsing associated to them. Note that after the Higgsing, the consistency of the

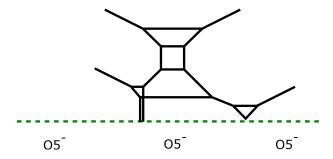


Figure 23. Another 5-brane web diagram for the 5d SO(8) gauge theory with one spinor and one conjugate spinor.

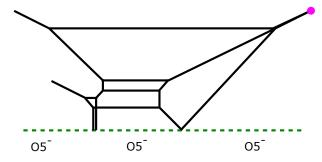


Figure 24. Another 5-brane web diagram for the 5d SO(7) gauge theory with one spinor.

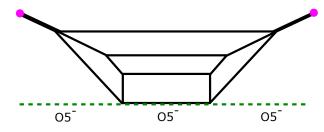


Figure 25. Another 5-brane web diagram for the 5d pure G_2 gauge theory.

diagram restricts the position of the lowest color D5-brane to the location of the O5-plane. The resulting diagram is depicted in figure 25. The 5-brane web diagram of the pure G_2 gauge theory is given without an $\widetilde{O5}$ -plane in this case. In fact, it turns out that this diagram is more useful to apply the topological vertex technique to compute the partition function than the diagram in figure 10.

3.2 Check from effective prepotential

As we have done in section 2.3, we can give evidence that the diagram in figure 25 yields the pure G_2 gauge theory by computing the tension of a monopole string from the diagram. For that, we first associate the gauge theory parameters, the Coulomb branch moduli a_1, a_2 and the inverse of the squared gauge coupling m_0 to some lengths of 5-branes. a_1 is the height of the lowest color D5-brane and a_2 is the height of the second lowest D5-brane. m_0 is determined by extrapolating the external (2, 1) 5-brane and the external (2, -1) 5-brane

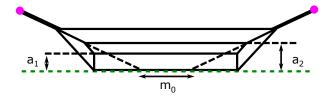


Figure 26. A gauge theory parameterization for the pure G_2 gauge theory for the diagram in figure 25. a_1, a_2 are the Coulomb branch moduli and m_0 is related to the inverse of the squared gauge coupling.

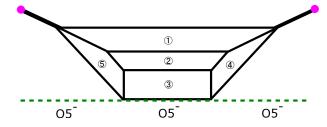


Figure 27. Labeling for the five faces in the pure G_2 diagram. Note that the regions ①, ④ and ⑤ are not separated by a 5-brane and are connected to each other.

to the location of the O5-plane. The relations between the gauge theory parameters and the lengths of 5-branes are depicted in figure 26.

The tension of a monopole string is given by the area of a face where a D3-brane stretch. There are five faces in the 5-brane web in figure 25 and we denote the five faces by (1)–(5) as in figure 27. The areas of the five faces are respectively

$$\widehat{1} = a_1(m_0 + 2a_1 + 4a_2), \tag{3.1}$$

$$(2) = (a_2 - a_1)(m_0 + a_1 + 3a_2), (3.2)$$

$$(3) = a_1(m_0 + 2a_1 + 2a_2), \tag{3.3}$$

$$(4) = a_1 a_2, (3.4)$$

$$(5) = a_1 a_2. (3.5)$$

One face where a D3-brane stretch is ②. As for the other case, note that we needed to double the area of the region ③ and further add the area ④ as in figure 16. Two sequences of Higgsing connect the region ①, ④ and ⑤. Hence, in this case the other face should be ① $+ 2 \times 3 + 4 + 5$. Then, the area of the faces corresponding to the monopole string tension is

$$(2) = (a_2 - a_1)(m_0 + a_1 + 3a_2), (3.6)$$

$$(1) + 2 \times (3) + (4) + (5) = a_1(3m_0 + 6a_1 + 10a_2), \tag{3.7}$$

which exactly reproduce the area (2.31) and (2.32) calculated from the diagram in figure 10. Therefore the monopole tension computation gives another evidence that the diagram in figure 25 yields the 5d pure G_2 gauge theory.

4 5d Nekrasov partition functions of G_2 gauge theories

In section 2 and section 3, we have constructed 5-brane web diagrams which realize 5d G_2 gauge theories. In section 2 we presented 5-brane webs for G_2 gauge theories using an $\widetilde{O5}$ -plane and in section 3 we presented the 5-brane web for the pure G_2 gauge theory with an O5-plane. One of the applications of these 5-brane webs is to compute the BPS partition functions of 5d theories realized by the webs. Since 5-brane webs can be reinterpreted as toric diagrams [20], we can apply the topological vertex formalism [17–19]. In [27], the topological vertex formalism for webs with an O5-plane has been developed and hence we can utilize the technique to compute the partition function for the pure G_2 gauge theory realized by the 5-brane web with an O5-plane. Although the 5-brane web diagrams in section 2 are realized with an $\widetilde{O5}$ -plane, we extend the formalism so that it can apply to webs with an $\widetilde{O5}$ -plane in section 4.1. Then we apply the method to compute the partition functions of the 5d pure G_2 gauge theory and the 5d G_2 gauge theory with one flavor.

4.1 Vertex formalism with an O5- and $\widetilde{\text{O5}}$ -plane

Here, we first briefly review the topological vertex formalism for 5-brane webs with an O5-plane proposed in [27]. As for the 5-branes which do not touch the O5-plane, the rule is exactly the same as the conventional topological vertex formalism [17]: we first assign different Young diagrams Y_i to different (p,q) 5-branes. Then, we introduce the edge factor $(-Q)^{|Y|}f_Y^n$ to each edge, where Q is given by the exponential of the length of the corresponding (p,q) 5-brane. Here, f_Y is the framing factor defined as

$$f_Y = (-1)^{|Y|} g^{\frac{1}{2}(||Y^t||^2 - ||Y||^2)}, \tag{4.1}$$

with $|Y| = \sum_i Y_i$ and $||Y||^2 = \sum_i Y_i^2$. n is a certain integer which is associated to the relative difference of the framing when we glue two topological vertices. The parameter g is related to the Omega deformation parameters by $g = e^{-\epsilon_1} = e^{+\epsilon_2}$. We also introduce the topological vertex $C_{Y_1Y_2Y_3}$ to each vertex of a diagram, where the Young diagrams Y_1, Y_2, Y_3 are ordered clockwise. On top of that, the additional rule is given for (p, -1) and (-p, -1) 5-branes which intersect with each other on the O5-plane as depicted in figure 28 (a). The point is to assign the *identical* Young diagram to these two 5-branes and to assign an edge factor

$$(+Q_1Q_2)^{|Y|}f_Y^{\mathfrak{n}}, \qquad (\mathfrak{n} = p_1q_2 + p_2q_1 + 1),$$
 (4.2)

corresponding to this part, where Q_1 and Q_2 are the exponential of the (rescaled) length of the two 5-branes respectively. By multiplying all these factors and by summing over all the possible Young diagrams, we obtain the topological string partition function.

Although we can compute the topological string partition function directly using the rules above with a configuration in figure 28 (a), it turns out to be more convenient to cut the D5-branes with a finite length and to use the mirror image for a part of the diagram so that the two 5-branes which originally intersected with each other on the O5-plane become a single edge as in figure 28 (b) [27]. This operation corresponds to using the identity

$$C_{Y_1Y_2Y_3} = (-1)^{|Y_1| + |Y_2| + |Y_3|} f_{Y_1}^{-1} f_{Y_2}^{-1} f_{Y_3}^{-1} C_{Y_3^t Y_2^t Y_1^t}.$$

$$(4.3)$$

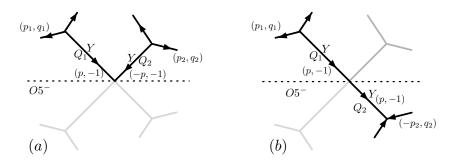


Figure 28. (a): the (p, -1) and (-p, -1) 5-branes which intersect with each other on the O5-plane. (b): an equivalent diagram to (a) but we use the mirror image for a part of the diagram.

to all the vertices reflected along the O5-plane because a clockwise direction is mapped to a counter-clockwise direction under this reflection. Note that the Young diagrams assigned to the edges reflected along the O5-plane should be transposed. After the proper reflection, each sub-diagram can be seen as a strip diagram. Such sub-amplitudes are already computed in [41]. The strip amplitudes are written in terms of the product of the factor defined as

$$Z_{\nu}(g) = \prod_{(i,j)\in\nu} (1 - g^{\nu_i + \nu_j^t - i - j + 1}), \tag{4.4}$$

and the factor defined as

$$\mathcal{R}_{XY}(Q) = \mathcal{M}(Q)^{-1} \mathcal{N}_{X^tY}(Q), \tag{4.5}$$

with

$$\mathcal{M}(Q) = \operatorname{PE}\left[\frac{g}{(1-g)^2}Q\right],\tag{4.6}$$

where PE is the Plethystic exponential defined as

$$PE[f(\cdot)] = \exp\left[\sum_{i=1}^{\infty} \frac{1}{n} f(\cdot^n)\right], \tag{4.7}$$

and

$$\mathcal{N}_{\lambda\mu}(Q) = \prod_{(i,j)\in\lambda} (1 - Qg^{\lambda_i + \mu_j^t - i - j + 1}) \prod_{(i,j)\in\mu} (1 - Qg^{-\lambda_j^t - \mu_i + i + j - 1}). \tag{4.8}$$

What remains is to glue each strip at the edges where we cut before the reflection by multiplying corresponding edge factors and by summing over Young diagram assigned to these edges. In this process, we also need to take into account the additional factors of the form $(-1)^{|Y|}f_Y^{-1}$ in (4.3). If two vertices connected to the same edge are both reflected along the O5-plane, such contribution cancels with each other as $(-1)^{|Y|}f_Y^{-1} \times (-1)^{|Y^t|}f_{Y^t}^{-1} = 1$. Only when one vertex is reflected while the other vertex is not, we need to multiply such a factor.

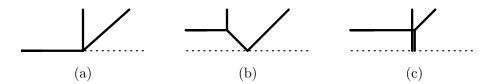


Figure 29. Configurations with an O5-plane

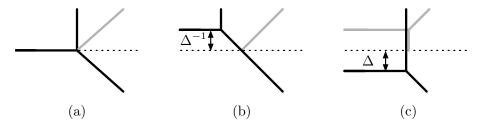


Figure 30. Configurations with an O5-plane with mirror image.

This method can be generalized to webs with an O5-plane. As we did in section 2.1, we can interpret that an O5-plane is realized between two fractional D7-branes on top of the O5-plane placed at infinitely left and infinitely right, respectively [10, 36]. The point is to convert an O5-plane to an O5-plane by moving one of these fractional D7-branes to the other side by using Hanany-Witten transition as discussed in figure 5. After that, it can be seen as a special case of a 5-brane web with an O5-plane. For example, as is also mentioned in section 2, the diagram in figure 29 (a) can be understood as a special case of the ones in figure 29 (b) or (c). As discussed above, by considering the mirror image as in figure 30 (b) or (c), we can interpret the configurations as a part of trip diagrams. Therefore, a configuration with figure 29 (a) should be also considered as a special case of figure 30 (b) or (c), where we tune the Kähler parameter $\Delta \to 1$ so that the position of the D5-brane comes to exactly the place where the O5-plane exists.

4.2 Pure G_2 gauge theory

We apply the technique of the topological vertex for webs involving an O5-plane or an $\widetilde{O5}$ -plane described in section 4.1 to the 5-brane web of 5d G_2 gauge theories. We first consider a 5-brane web for the 5d pure G_2 gauge theory. So far we have presented two types of the 5-brane webs for the pure G_2 gauge theory. One is depicted in figure 10 with an $\widetilde{O5}$ -plane and the other is depicted in figure 25 with an O5-plane. For applying the topological vertex formalism it is appropriate to use the one in figure 25 since the diagram in figure 10 has a configuration where a single 5-brane directly intersects with an O5-plane and we have not yet known the vertex rule for such a configuration.

We use the 5-brane diagram in figure 25 to compute the partition function of the 5d pure G_2 gauge theory. First we introduce the gauge theory parameters for the diagram as in figure 26. The Coulomb branch moduli a_1, a_2 of the pure G_2 gauge theory are given by the height of the bottom and the second bottom color D5-brane respectively. We then define A_1, A_2 by

$$A_1 = e^{-a_1}, \qquad A_2 = e^{-a_2}, \tag{4.9}$$

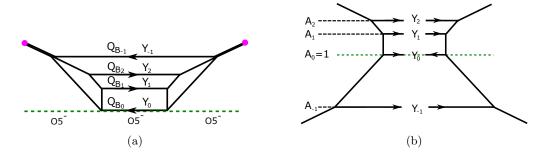


Figure 31. (a): the assignment of the Young diagrams Y_i , i = -1, 0, 1, 2 and the Kähler parameter Q_{B_i} , i = -1, 0, 1, 2 for the diagram in figure 25 of the pure G_2 gauge theory. (b): an equivalent diagram to the one in figure 31(a). We use the mirror image for the outmost 5-brane in figure 31(a). As for the bottom 5-brane in figure 31(a), we use the mirror image for the left part and use the original one for the right part.

which are the parameters directly appearing in the partition function. For the convenience of the later computation, we also introduce

$$A_0 = 1, A_{-1} = A_1^{-1} A_2^{-1}, (4.10)$$

and define

$$Q_{ij} = A_i A_j^{-1}, \qquad (-1 \le j < i \le 2).$$
 (4.11)

The inverse of the squared gauge coupling is given by m_0 in figure 26 and we define the instanton fugacity by

$$q = e^{-m_0}. (4.12)$$

Then the Kähler parameters associated to the length of the horizontal lines in figure 31(a) are³

$$Q_{B_2} = qA_2^4, \qquad Q_{B_1} = Q_{B_0} = qA_1^2A_2^2, \qquad Q_{B_{-1}} = qA_1^4A_2^4.$$
 (4.13)

With the parameterization, we compute the topological string partition function by applying the topological vertex to the 5-brane web in figure 25. Since the diagram involves an O5-plane, we utilize the method in section 4.1. First, we cut the color D5-branes in figure 31(a) in the middle and use the mirror image for the outmost 5-brane for describing the diagram as in figure 31(b). The diagram now consists of two strip diagrams. Note that the diagram in figure 31(b) is almost the same as the diagram of the pure SU(4) gauge theory of CS level zero with A_0 set to 1. A difference comes from the assignment of the Young diagram for Y_0 . In order to see it, recall the diagram of the 5d SO(7) gauge theory

³The length of the 5-branes in the diagram is given by a linear combination of a_1, a_2 and m_0 . On the other hand, the instanton partition function is written by the exponentiated parameters A_1, A_2, q . Hence when we put for example A_1 to some length in a diagram, it means that the length is a_1 . We will make use of this notation for the topological string partition function computation.

with one spinor in figure 24, which is the diagram before Higgsing to the one in figure 25. Let us cut then the diagram in figure 24 in the middle into the left part and the right part and use the mirror image for a part of the diagram to apply the topological vertex computation in section 4.1. Then we use the mirror image for the bottom color D5-brane in the left part while we use the original bottom color D5-brane for the right part in the upper half plane. After the Higgsing, the bottom color D5-brane in figure 24 becomes the color D5-brane on top of an O5⁻-plane in figure 25. Therefore, when we consider the diagram in figure 31(b), we should transpose the Young diagram Y_0 in the left strip while we keep the Young diagram Y_0 in the right strip. This is essentially the only difference from the 5-brane web of the pure SU(4) gauge theory. The Young diagram assignment is summarized in figure 31(b).

It is now straightforward to apply the topological vertex formalism to the diagram in figure 31(b). It is useful to compute the partition function for the left strip and the right strip separately first and glue them together later. The partition function for the left strip is given by

$$Z^{\text{strip1}}(\{Q_{ij}\}; Y_{-1}, Y_0, Y_1, Y_2) = \prod_{i=-1}^{2} g^{\frac{1}{2}||Y_i||^2} \prod_{i=-1}^{2} Z_{Y_i}(g) \prod_{-1 \le j < i \le 2} \mathcal{R}_{Y_i Y_j^t}(Q_{ij})^{-1}.$$
 (4.14)

The partition function of the right strip can be expressed as $Z^{\text{strip2}}(\{Q_{ij}\}; Y_{-1}, Y_0^t, Y_1, Y_2)$ with

$$Z^{\text{strip2}}(\{Q_{ij}\}; Y_{-1}, Y_0, Y_1, Y_2) = \prod_{i=-1}^{2} g^{\frac{1}{2}||Y_i^t||^2} \prod_{i=-1}^{2} Z_{Y_i}(g) \prod_{-1 \le j < i \le 2} \mathcal{R}_{Y_i Y_j^t}(Q_{ij})^{-1}. \quad (4.15)$$

The rest is gluing the contribution of the left strip and the right strip to each other. When we glue these two sub-diagram, we should also take into account the effect of flipping the Young diagram, which is given by the extra factor of the form $(-1)^{|Y_0|}f_{Y_0}$ coming from the identity (4.3). Note that such factor for the upper most D5-brane cancels out as discussed in section 4.1 since the whole edge is reflected. Then, the topological string partition function for the diagram in figure 31(b) is

$$Z_{G_2} = \sum_{\{Y_i\}} (-1)^{|Y_0|} f_{Y_2}^3 f_{Y_1} f_{Y_0} f_{Y_{-1}}^{-3} \prod_{i=-1}^2 (-Q_{B_i})^{|Y_i|}$$

$$Z^{\text{strip1}}(\{Q_{ij}\}; Y_{-1}, Y_0, Y_1, Y_2) Z^{\text{strip2}}(\{Q_{ij}\}; Y_{-1}, Y_0^t, Y_1, Y_2). \quad (4.16)$$

We claim that this is the partition function of the 5d pure G_2 gauge theory up to the perturbative contribution of the Cartan subalgebra of the pure G_2 which should be added by hand in the topological vertex computation. Notice that the only difference between this G_2 partition function and the pure SU(4) partition function with $A_0 = 1$ in (4.10) is as follows: the Young diagram Y_0 in $Z^{\text{strip}2}$ is transposed, the power of framing factor f_{Y_0} is 1 instead of -1, and also the associated sign factor $(-)^{|Y_0|}$ is present.

Perturbative part. Let us look at the partition function (4.16) in more detail. The perturbative part of the partition function is obtained by considering a limit $q \to 0$. The limit corresponds to the restriction of the Young diagrams $Y_i = \emptyset$ for i = -1, 0, 1, 2. Then the partition function (4.16) is simplifies and is given by

$$Z_{\text{pert}} = \mathcal{M}(A_1)^2 \mathcal{M}(A_1^{-1}A_2)^2 \mathcal{M}(A_2)^2 \mathcal{M}(A_1A_2)^2 \mathcal{M}(A_1^2A_2)^2 \mathcal{M}(A_1A_2^2)^2$$

$$= \text{PE}\left(\frac{2g}{(1-g)^2}(A_1 + A_2 + A_1A_2 + A_1^{-1}A_2 + A_1A_2^2 + A_1^2A_2)\right). \tag{4.17}$$

We can compare (4.17) with the field theory result of the perturbative part of the partition function of the pure G_2 gauge theory, which is given by

$$Z'_{\text{pert}} = PE\left(\frac{g}{(1-g)^2}\chi_{14}\right),\tag{4.18}$$

where χ_{14} is the character of the adjoint representation,

$$\chi_{14} = A_1 + A_1^{-1} + A_2 + A_2^{-1} + A_1 A_2 + A_1^{-1} A_2^{-1}$$

$$+ A_1 A_2^{-1} + A_1^{-1} A_2 + A_1 A_2^{2} + A_1^{-1} A_2^{-2} + A_1^{2} A_2 + A_1^{-2} A_2^{-1} + 2.$$
 (4.19)

The partition function (4.17) obtained from the topological vertex is indeed consistent with (4.18) up to the terms independent of the Coulomb moduli, namely the Cartan part, and up to the procedure corresponding to the "flop transition"

$$PE\left(\frac{g}{(1-g)^2}Q\right) \to PE\left(\frac{g}{(1-g)^2}Q^{-1}\right). \tag{4.20}$$

Instanton part. Let us then look at the instanton part of the partition function (4.16). The instanton part is given by removing the perturbative part

$$Z_{G_2,\text{inst}} = \frac{Z_{G_2}}{Z_{\text{pert}}} = \sum_k Z_k q^k.$$
 (4.21)

The k-instanton contribution is given by the function Z_k for the q^k order. The explicit form of the one-instanton contribution is

$$Z_1 = \frac{2gA_1^3A_2^3(1 + A_1 + A_1A_2)(1 + A_2 + A_1A_2)}{(1 - g)^2(A_1 - A_2)^2(1 - A_1^2A_2)^2(1 - A_1A_2^2)^2}.$$
 (4.22)

The explicit form of the two-instanton contribution is

$$Z_2 = g^5 A_1^{10} A_2^{10} \frac{\text{(Numerator)}}{\text{(Denominator)}},\tag{4.23}$$

with

(Denominator) =
$$(1-g)^4 (1+g)^2 (A_1 - A_2)^2 (1 - A_1^2 A_2)^2 (1 - A_1 A_2^2)^2$$

 $\times (1 - gA_1^2 A_2)^2 (1 - gA_1 A_2^2)^2 (1 - g^{-1} A_1^2 A_2)^2 (1 - g^{-1} A_1 A_2^2)^2$
 $\times (1 - gA_1 A_2^{-1})^2 (1 - gA_1^{-1} A_2)^2,$ (4.24)

and

(Numerator)

$$= (-2g_1 + 6)\chi_{14}^3 + (3g_1 - 1)\chi_7^2\chi_{14}^2 + (10g_1 + 2)\chi_7\chi_{14}^2 + (g_3 + 5g_2 - g_1 + 3)\chi_{14}^2$$

$$+ (2g_2 + 16g_1 + 22)\chi_7^3\chi_{14} + (38g_2 + 66g_1 + 12)\chi_7^2\chi_{14}$$

$$+ (26g_3 + 66g_2 + 38g_1 + 14)\chi_7\chi_{14} + (2g_4 + 24g_3 + 18g_2 + 12g_1 + 8)\chi_{14}$$

$$+ (-8g_1 - 8)\chi_7^5 + (-18g_2 - 11g_1 + 3)\chi_7^4 + (-12g_3 + 4)\chi_7^3$$

$$+ (13g_3 + 7g_2 - 16g_1 - 38)\chi_7^2 + (6g_4 + 12g_3 - 22g_2 - 60g_1 - 8)\chi_7$$

$$+ (2g_4 - 9g_3 - 19g_2 - 21g_1 - 21),$$

$$(4.25)$$

where

$$g_n = \sum_{k=-n}^n g^k, \tag{4.26}$$

$$\chi_7 = A_1 + A_1^{-1} + A_2 + A_2^{-1} + A_1 A_2 + A_1^{-1} A_2^{-1} + 1,$$

$$\chi_{14} = A_1 + A_1^{-1} + A_2 + A_2^{-1} + A_1 A_2 + A_1^{-1} A_2^{-1}$$

$$+ A_1 A_2^{-1} + A_1^{-1} A_2 + A_1 A_2^2 + A_1^{-1} A_2^{-2} + A_1^2 A_2 + A_1^{-2} A_2^{-1} + 2. (4.28)$$

We can compare the result (4.22) and (4.23) with the field theory result. The explicit expression of the one-instanton partition function of the pure G_2 gauge theory is obtained in [28, 29], and it is generalized to higher instantons in [30-32]. We checked that the one-instanton partition function (4.22) and the two-instanton partition function (4.23) perfectly agree with the known results.

4.3 G_2 gauge theory with one flavor

In section 4.2, we have computed the partition function of the pure G_2 gauge theory from the topological vertex. In this section, we apply the method to a diagram for the 5d G_2 gauge theory with one flavor. We have two types of the diagram for the G_2 gauge theory with one flavor in figure 17. In order to apply the topological vertex, we need to avoid a configuration where a single 5-brane intersects with an O5-plane. Hence we will use the diagram in figure 17(b) or equivalently the one in figure 19(b), which yields the 5d G_2 gauge theory with one flavor and a singlet, for the application of the topological vertex.

In order to apply the topological vertex to the 5-brane web diagram in figure 19(b), we first divide the diagram into the left strip and the right strip and assign the Kähler parameters as in figure 32. The diagram in figure 32(a) gives the left strip and the one in figure 32(b) gives the right strip. Instead of using the upper half plane with an O5-plane, we write the diagram as a strip diagram by using the mirror image as discussed in section 4.1. Then, the application of the topological vertex to the diagram in figure 32 yields the result

$$Z^{\text{leftstrip}}(\{Q_{i}\}; \mu, \nu, \rho, \sigma) = g^{\frac{1}{2}(||\mu||^{2} + ||\nu||^{2} + ||\rho||^{2}) + ||\sigma^{t}||^{2}} Z_{\mu}(g) Z_{\nu}(g) Z_{\rho}(g) Z_{\sigma}(g)^{2}$$

$$= \frac{\mathcal{R}_{\rho\sigma^{t}}(Q_{1}) \mathcal{R}_{\nu\sigma^{t}}(Q_{1,2}) \mathcal{R}_{\rho\emptyset}(Q_{0,1}) \mathcal{R}_{\sigma\sigma}(Q_{0,0}) \mathcal{R}_{\mu\sigma^{t}}(Q_{1,2,3}) \mathcal{R}_{\nu\emptyset}(Q_{0,1,2}) \mathcal{R}_{\mu\emptyset}(Q_{0,1,2,3})}{\mathcal{R}_{\mu\nu^{t}}(Q_{3}) \mathcal{R}_{\nu\rho^{t}}(Q_{2}) \mathcal{R}_{\mu\rho^{t}}(Q_{2,3}) \mathcal{R}_{\rho\sigma}(Q_{0,0,1}) \mathcal{R}_{\nu\sigma}(Q_{0,0,1,2}) \mathcal{R}_{\mu\sigma}(Q_{0,0,1,2,3})},$$

$$(4.29)$$

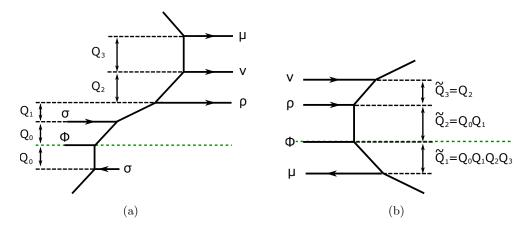


Figure 32. (a): the left strip. (b): the right strip.

where we denote

$$Q_{i,j} = Q_i Q_j, \qquad Q_{i,j,k} = Q_i Q_j Q_k, \qquad Q_{i,j,k,\ell} = Q_i Q_j Q_k Q_\ell, \quad \cdots \tag{4.30}$$

for the left strip. As for the right strip, we obtain

$$Z^{\text{rightstrip}}(\{\tilde{Q}_{i}\}; \mu, \nu, \rho) = g^{\frac{1}{2}(||\mu||^{2} + ||\nu^{t}||^{2} + ||\rho^{t}||^{2})} Z_{\mu}(g) Z_{\nu}(g) Z_{\rho}(g)$$

$$\frac{1}{\mathcal{R}_{\nu\rho^{t}}(\tilde{Q}_{3})\mathcal{R}_{\rho\emptyset}(\tilde{Q}_{2})\mathcal{R}_{\emptyset\mu}(\tilde{Q}_{1})\mathcal{R}_{\nu\emptyset}(\tilde{Q}_{2,3})\mathcal{R}_{\rho\mu}(\tilde{Q}_{1,2})\mathcal{R}_{\nu\mu}(\tilde{Q}_{1,2,3})},$$
(4.31)

with

$$\tilde{Q}_1 = Q_0 Q_1 Q_2 Q_3, \qquad \tilde{Q}_2 = Q_0 Q_1, \qquad \tilde{Q}_3 = Q_2.$$
 (4.32)

Note also that the web diagram gives a constraint

$$Q_3 = Q_0 Q_1. (4.33)$$

The full partition function is given by gluing the left strip (4.29) to the right strip (4.31) with framing factors and the final result is given by

$$\tilde{Z}_{G_2,N_f=1} = \sum_{\mu,\nu,\rho,\sigma} (+Q_B Q_3^2)^{|\mu|} (-Q_B)^{|\nu|+|\rho|} (+Q_0)^{|\sigma|} f_\mu^3 f_\nu f_\rho^{-1} f_\sigma
Z^{\text{leftstrip}}(\{Q_i\}; \mu, \nu, \rho, \sigma) Z^{\text{rightstrip}}(\{\tilde{Q}_i\}; \mu, \nu, \rho), \quad (4.34)$$

where Q_B is the Kähler parameter for the bottom color D5-brane in figure 19. Since the 5-brane web in figure 19(b) has parallel external legs. We need to remove an extra factor [22, 42–45]. Hence the partition function after removing the extra factor is

$$Z_{G_2,N_f=1} = \frac{\tilde{Z}_{G_2,N_f=1}}{\mathcal{M}(Q_0 Q_1^3 Q_2^2 Q_3)}.$$
(4.35)

We claim that the partition function (4.35) is the partition function of the G_2 gauge theory with one flavor and a singlet.

Since the partition function (4.35) is still written by the Kähler parameter of the 5-brane web in figure 32, we will rewrite it by the gauge theory parameters. First the G_2 gauge theory should have two Coulomb branch moduli A_1, A_2 and one instanton fugacity q. There is also a mass parameter M for the flavor. Since the one flavor and the singlet both come from the same spinor matter of the SO(7) gauge theory, the mass parameter for the singlet is the same as the mass parameter for the one flavor.

The Coulomb branch parameterization is essentially the same as the parameterization in the case of the pure G_2 gauge theory. Namely, the Coulomb branch moduli are the height of the color D5-branes. Hence we impose

$$Q_0Q_1 = A_1, \quad Q_0Q_1Q_2 = A_2. \tag{4.36}$$

Note that the web diagram in figure 19(b) has parallel (1, -1) 5-branes. The parallel (1, -1) 5-branes imply an SU(2) flavor symmetry for the one flavor of the G_2 gauge theory. Therefore, the distance between the two parallel (1, -1) 5-branes is the mass parameter. This leads to a condition

$$Q_0 Q_1^3 Q_2^2 Q_3 = M^2. (4.37)$$

The instanton fugacity can by computed similarly to the way when we calculated the instanton fugacity for the 5d pure G_2 gauge theory. We extrapolate the leftmost external (1,-1) 5-brane and the external (2,1) 5-brane on the right to the position of the O5-plane in figure 19(b) and compute the length between the two extrapolated 5-branes on the O5-plane. In fact it turns out that we need to extrapolate the leftmost external (1,-1) 5-brane instead of the other external (1,-1) 5-brane. This can be justified by the comparison with the instanton fugacity obtained by another 5-brane web digram for the G_2 gauge theory with one flavor in figure 17(a). Then the instanton fugacity is given by

$$Q_B Q_0^{-1} Q_1 Q_2^{-1} Q_3^{-1} = q. (4.38)$$

Hence the relations (4.36), (4.37), (4.38) with the condition (4.33) yields

$$Q_0 = M^{-1}A_1A_2, \quad Q_1 = MA_2^{-1}, \quad Q_2 = A_1^{-1}A_2, \quad Q_B = qM^{-2}A_1A_2^3.$$
 (4.39)

Namely, we can compare the partition function (4.35) with the parameterization (4.39) with the Nekrasov partition function of the G_2 gauge theory with one flavor and a singlet.

Perturbative part. Since Q_B is proportional to the instanton fugacity q, the perturbative part of the partition function can be obtained by setting $Q_B \to 0$. The limit corresponds to the restriction $\mu = \emptyset, \nu = \emptyset, \rho = \emptyset$. In this case, the sum of Young diagrams for the right strip partition function disappears and it reduces to

$$Z_{\text{pert}}^{\text{right}} = \mathcal{M}(\tilde{Q}_3)\mathcal{M}(\tilde{Q}_2)\mathcal{M}(\tilde{Q}_1)\mathcal{M}(\tilde{Q}_{2,3})\mathcal{M}(\tilde{Q}_{1,2})\mathcal{M}(\tilde{Q}_{1,2,3})$$

$$= \mathcal{M}(A_1^{-1}A_2)\mathcal{M}(A_1)\mathcal{M}(A_1A_2)\mathcal{M}(A_2)\mathcal{M}(A_1^2A_2)\mathcal{M}(A_1A_2^2). \tag{4.40}$$

On the other hand, one Young diagram summation remains in the left strip partition function and it becomes

$$Z_{\text{pert } 2}^{\text{left}} = Z_{\text{pert } 1}^{\text{left}} Z_{\text{pert } 2}^{\text{left}},$$

$$Z_{\text{pert } 1}^{\text{left}} = \frac{\mathcal{M}(Q_3)\mathcal{M}(Q_2)\mathcal{M}(Q_{2,3})\mathcal{M}(Q_{0,0,1})\mathcal{M}(Q_{0,0,1,2})\mathcal{M}(Q_{0,0,1,2,3})}{\mathcal{M}(Q_1)\mathcal{M}(Q_{1,2})\mathcal{M}(Q_{0,1})\mathcal{M}(Q_{0,0})\mathcal{M}(Q_{1,2,3})\mathcal{M}(Q_{0,1,2})\mathcal{M}(Q_{0,1,2,3})}, (4.41)$$

$$Z_{\text{pert } 2}^{\text{left}} = \sum_{\sigma} Q_0^{|\sigma|} f_{\sigma} g^{||\sigma^t||^2} Z_{\sigma}^2(g) \frac{\mathcal{N}_{\emptyset\sigma^t}(Q_1)\mathcal{N}_{\emptyset\sigma^t}(Q_{1,2})\mathcal{N}_{\sigma^t\sigma}(Q_{0,0})\mathcal{N}_{\emptyset\sigma^t}(Q_{1,2,3})}{\mathcal{N}_{\emptyset\sigma}(Q_{0,0,1})\mathcal{N}_{\emptyset\sigma}(Q_{0,0,1,2})\mathcal{N}_{\emptyset\sigma}(Q_{0,0,1,2,3})}. (4.42)$$

By the explicit computation of the Young diagram summation of (4.42), we argue that the partition function (4.42) becomes

$$Z_{\text{pert 2}}^{\text{left}} = \frac{\mathcal{M}(Q_{0,0})\mathcal{M}(Q_{0,1})\mathcal{M}(Q_{0,1,2})\mathcal{M}(Q_{0,0,1,1,2})\mathcal{M}(Q_{0,1,2,3})\mathcal{M}(Q_{0,0,1,1,2,3})}{\mathcal{M}(Q_{0})\mathcal{M}(Q_{0,0,1})\mathcal{M}(Q_{0,0,1,2})\mathcal{M}(Q_{0,1,1,2})\mathcal{M}(Q_{0,0,1,2,3})\mathcal{M}(Q_{0,1,1,2,3})} \times \frac{\mathcal{M}(Q_{0,0,1,1,2,2,3})\mathcal{M}(Q_{0,1,1,2,2,3})\mathcal{M}(Q_{0,1,1,1,2,2,3})}{\mathcal{M}(Q_{0,1,1,2,2,3})\mathcal{M}(Q_{0,0,1,1,1,2,3})}.$$
(4.43)

We checked the equality (4.43) until the order Q_0^6 . Then combining (4.43) with (4.41) yields

$$Z_{\text{pert}}^{\text{left}} = \frac{\mathcal{M}(Q_{3})\mathcal{M}(Q_{2})\mathcal{M}(Q_{2,3})\mathcal{M}(Q_{0,0,1,1,2})\mathcal{M}(Q_{0,0,1,1,2,3})\mathcal{M}(Q_{0,0,1,1,2,2,3})\mathcal{M}(Q_{0,1,1,1,2,2,3})}{\mathcal{M}(Q_{1})\mathcal{M}(Q_{1,2})\mathcal{M}(Q_{1,2,3})\mathcal{M}(Q_{0})\mathcal{M}(Q_{0,1,1,2})\mathcal{M}(Q_{0,1,1,2,3})\mathcal{M}(Q_{0,1,1,2,2,3})\mathcal{M}(Q_{0,0,1,1,2,2,3})}$$

$$= \frac{\mathcal{M}(A_{1})\mathcal{M}(A_{1}^{-1}A_{2})\mathcal{M}(A_{2})\mathcal{M}(A_{1}A_{2})\mathcal{M}(A_{1}^{2}A_{2})\mathcal{M}(A_{1}A_{2}^{2})\mathcal{M}(M^{2})}{\mathcal{M}(MA_{2}^{-1})\mathcal{M}(MA_{1}^{-1})\mathcal{M}(M)\mathcal{M}(M^{-1}A_{1}A_{2})\mathcal{M}(M)\mathcal{M}(MA_{1})\mathcal{M}(MA_{2})\mathcal{M}(MA_{1}A_{2})}.$$

$$(4.44)$$

Therefore, the perturbative part of the partition function of (4.35) is

$$Z_{\text{pert}} = \frac{Z_{\text{pert}}^{\text{left}} Z_{\text{pert}}^{\text{right}}}{\mathcal{M}(Q_0 Q_1^3 Q_2^2 Q_3)} = \frac{\mathcal{M}(A_1)^2 \mathcal{M}(A_1^{-1} A_2)^2 \mathcal{M}(A_2)^2 \mathcal{M}(A_1 A_2)^2 \mathcal{M}(A_1^2 A_2)^2 \mathcal{M}(A_1 A_2^2)^2}{\mathcal{M}(M A_2^{-1}) \mathcal{M}(M A_1^{-1}) \mathcal{M}(M) \mathcal{M}(M^{-1} A_1 A_2) \mathcal{M}(M) \mathcal{M}(M A_1) \mathcal{M}(M A_2) \mathcal{M}(M A_1 A_2)}.$$
(4.45)

The perturbative partition function then consists of three factors as follows:

$$Z_{\text{pert}} = Z_{\text{pert}}^{\text{vector}} \cdot Z_{\text{pert}}^{\text{fund}} \cdot Z_{\text{pert}}^{\text{singlet}},$$
 (4.46)

where

$$Z_{\text{pert}}^{\text{vector}} = \mathcal{M}(A_1)^2 \mathcal{M}(A_1^{-1}A_2)^2 \mathcal{M}(A_2)^2 \mathcal{M}(A_1A_2)^2 \mathcal{M}(A_1^2A_2)^2 \mathcal{M}(A_1A_2^2)^2, \qquad (4.47)$$

$$Z_{\text{pert}}^{\text{fund}} = \frac{1}{\mathcal{M}(MA_2^{-1})\mathcal{M}(MA_1^{-1})\mathcal{M}(M^{-1}A_1A_2)\mathcal{M}(M)\mathcal{M}(MA_1)\mathcal{M}(MA_2)\mathcal{M}(MA_1A_2)},$$

(4.48)

$$Z_{\text{pert}}^{\text{singlet}} = \frac{1}{\mathcal{M}(M)}.$$
 (4.49)

Eqs. (4.47), (4.48) and (4.49) are exactly equal to the perturbative part of the partition functions of the G_2 vector multiplets, hypermultiplets in the fundamental representation of G_2 and a singlet hypermultiplet up to the Cartan parts and flop transitions as in the case of the pure G_2 gauge theory.

Instanton part. Let us move on to the instanton part of the G_2 partition function (4.35). The instanton part is obtained after removing the perturbative part obtained in (4.45). Namely the instanton partition function of the G_2 gauge theory with one flavor is

$$Z_{G_2,N_f=1,\text{inst}} = \frac{Z_{G_2,N_f=1}}{Z_{\text{pert}}},$$
 (4.50)

where $Z_{G_2,N_f=1}$ is (4.35) and Z_{pert} is (4.45). The order q^k of (4.50) gives the k-instanton contribution of the G_2 gauge theory with one flavor.

Since an explicit form of the instanton partition function of the G_2 gauge theory with one flavor is not known, we compare the expression (4.50) with the instanton partition function of the pure G_2 gauge theory after decoupling the flavor. After sending $M \to 0$, then the 5-brane web diagram will become the one for the pure G_2 gauge theory in figure 9(e). Hence, Q_0Q_1, Q_2, Q_B are still finite in the limit and the instanton fugacity for the pure G_2 gauge theory is given by $q' = qM^{-2}$. Since the limit $M \to 0$ should be compatible with the expansion of Q_0 in (4.50) for the comparison, we will take the following steps. At each order of q', we first rewrite (4.50) by M, A_1 and Q_2 and then expand it by M and A_1 . In this case, the term $Q_B^kQ_0^aQ_1^b$ becomes

$$Q_B^k Q_0^a Q_1^b = q'^k M^{-a+b} A_1^{2a-b+4k} Q_2^{a-b+3k}$$

$$\tag{4.51}$$

The term which survives after the limit $M \to 0$ satisfies $a = b.^4$ In order to obtain an reliable expression until the order $q'^k A_1^{a+4k}$, we need to sum up the Young diagrams in (4.50) until the order $Q_0^a Q_B^k$. Then we can compare the result which is expanded by A_1 with the gauge theory computation for the pure G_2 gauge theory. We checked that the partition function (4.50) after applying the limit $M \to 0$ indeed agrees with the gauge theory result until a = 6 for the one-instanton and the two-instanton parts of the Nekrasov partition function of the pure G_2 gauge theory obtained in [28–32]. This gives an evidence that we obtain the correct the partition function (4.50) for the G_2 gauge theory with one flavor.

5 Conclusion

In this paper, we studied 5d $\mathcal{N}=1$ G_2 gauge theories from 5-brane web diagrams with an O5-plane in type IIB string theory. The result that we obtained is summarized as follows:

- Two equivalent types of 5-brane webs for 5d pure G_2 gauge theory are presented, in figures 9(e), 10, and in figure 25. Webs for 5d G_2 gauge theory with matter are also discussed in subsection 2.4.
- Based on the 5-brane webs that we obtained, we computed the partition functions of the BPS spectrum. In particular, the partition function for the pure G_2 theory is given in (4.16), and the G_2 theory with one flavor is given in (4.35).

⁴It turns out that an explicit evaluation of (4.50) shows that there are no terms in M of the negative powers until a = 6, k = 2.

For the first type of pure G_2 diagram, corresponding to figures 9(e) and 10, we started from a conventional 5-brane web diagram for the 5d SO(7) gauge theory with a hypermultiplet in the spinor representation. Applying a generalized flop transition such as in figure 8, we perform a Higgsing associated to the spinor matter to obtain a 5-brane web diagram for the 5d pure G_2 gauge theory. The detail discussion regarding two diagrams is presented in section 2.

For the second type of pure G_2 diagram, corresponding to figure 25, we started with a 5-brane configuration for 5d SO(8) gauge theory with a hypermultiplet in the spinor representation and a hypermultiplet in the conjugate spinor representation. We made use of triality of SO(8) gauge theory so that one can interpret this configuration as 5d SO(8) gauge theory with a vector and a spinor rather than a spinor and a conjugate spinor. With this, we performed a successive Higgsing associated with the vector and the spinor to obtain another 5-brane web for pure G_2 theory, which is of an O5-plane only.

Our results are tested in two different ways:

- Based on the webs, we computed the tension of monopole strings and compared it with the tension of monopole strings obtained from derivatives of the prepotential of 5d G_2 gauge theories. They completely agree as shown in (2.34) and (2.35).
- For pure G_2 case, we explicitly compared both the perturbative and instanton parts of the obtained pure G_2 partition function with the literature. For one flavor case, however, as an explicit expression for the partition function for G_2 theory with one flavor is not known, we instead checked an important consistency. Namely, the flavor decoupling limit of the instanton part for the G_2 gauge theory with one flavor reproduces that for the pure G_2 gauge theory.

Regarding the 5-brane web for pure G_2 gauge theory, it is worth noting that the 5-brane diagram of the second type has an interesting feature. The diagram is almost identical to the diagram for the pure SU(4) gauge theory of Chern-Simons level zero with a restriction of the Coulomb branch moduli. From the point of view of the topological string computation, the only difference between this G_2 gauge theory and the pure SU(4) gauge theory is whether a Young diagram is transposed or not. This means that the (unrefined) partition function computations only differ by whether the power of the framing factor is +1 or -1, and also by whether an associated sign factor is included or not. It would be interesting to see how this feature is modified when one fully refines the G_2 partition functions [46].

As the topological vertex formalism is now applicable to a large class of 5-brane webs with an O5-plane or an $\widetilde{O5}$ -plane, it would be interesting to see whether one can use this method to compute partition functions of 5d SO(N) gauge theories with spinors [46]. Another direction to pursue is to obtain 5d Seiberg-Witten curves dictating M5-brane configurations based on their dual diagrams [46].

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