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Identifying boosted objects with N-subjettiness

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ABSTRACT: We introduce a new jet shape — N-subjettiness — designed to identify boosted hadronically-decaying objects like electroweak bosons and top quarks. Combined with a jet invariant mass cut, N-subjettiness is an effective discriminating variable for tagging boosted objects and rejecting the background of QCD jets with large invariant mass. In efficiency studies of boosted W bosons and top quarks, we find tagging efficiencies of 30% are achievable with fake rates of 1%. We also consider the discovery potential for new heavy resonances that decay to pairs of boosted objects, and find significant improvements are possible using N-subjettiness. In this way, N-subjettiness combines the advantages of jet shapes with the discriminating power seen in previous jet substructure algorithms.

KEYWORDS: Jets, Beyond Standard Model, Hadronic Colliders

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1 Introduction

The Large Hadron Collider (LHC) will search for new physics by probing a previously unexplored kinematic regime. Most new physics scenarios that provide a solution to the hierarchy problem predict that the LHC will produce new heavy particles with decay channels involving top quarks, W/Z bosons, and Higgs bosons. In addition, many extensions of the standard model including technicolor and Higgs compositeness invoke new heavy resonances within the LHC reach with large branching fractions to pairs of gauge bosons and top quarks. Therefore, a key task in the search for physics beyond the standard model is to efficiently identify final state electroweak gauge bosons and top quarks in a variety of kinematic configurations.

With its current center-of-mass energy of $\sqrt{s} = 7 \text{ TeV}$, the LHC is already able to produce new TeV-scale resonances which can decay to highly boosted electroweak bosons and/or top quarks. For a large enough boost factor, the decay and fragmentation of such a

boosted object yields a collimated spray of hadrons which a standard jet algorithm would reconstruct as a single jet. Thus, standard reconstruction methods for electroweak bosons and top quarks become ineffective due to the immensely large background of ordinary QCD jets. One possibility is to focus on channels where the boosted object decays leptonically, though such methods discard much of the original signal and may therefore not be optimal for detecting new heavy resonances.

Recently, there has been considerable progress in identifying boosted hadronicallydecaying objects using jet substructure techniques. Algorithmic methods use information from the jet clustering procedure to extract the internal structure of jets [1–6], and are able to successfully distinguish between jets originating from boosted electroweak boson and top quarks (denoted here as "W jets", "top jets", etc.) and those originating from light quarks or gluons ("QCD jets"). Jet shape methods efficiently tag boosted objects with jet-based observables that take advantage of the different energy flow in the decay pattern of signal jets and background jets. [7] In addition, there are "jet grooming" techniques such as filtering [8, 9], pruning [10, 11], trimming [12], and their combinations [13] which aid in the identification of boosted objects by reducing the smearing effects of jet contamination from initial state radiation, underlying event activity, and pileup. Taken together, these jet substructure methods show much promise for enhancing searches for new physics in all-hadronic decay channels.¹

In this paper, we introduce a new tagging method for boosted objects based on a novel jet shape dubbed "N-subjettiness" and denoted by τ_N . This variable is adapted from the event shape "N-jettiness" advocated in ref. [28] as a way to veto additional jet emissions and define an exclusive jet cross section. Here, we take advantage of the multibody kinematics in the decay pattern of boosted hadronic objects, and use N-subjettiness to effectively "count" the number of subjets in a given jet. We find that τ_2/τ_1 is an effective discriminating variable to identify two-prong objects like boosted W, Z, and Higgs bosons, and τ_3/τ_2 is effective for three-prong objects like boosted top quarks.

Compared to previous jet substructure techniques, N-subjettiness has a number of advantages. First, to identify boosted objects, one wants to find jets that contain two or more lobes of energy. While previous jet shape measures do capture the deviation of a jet from a one-lobe QCD-like configuration, N-subjettiness is a more direct measure of the desired energy flow properties. Second, it is convenient to be able to adjust the relative degree of signal efficiency and background rejection without having to perform computationally intensive algorithmic adjustments. Like for other jet shape methods, τ_N can be calculated for every jet, and a flexible one-dimensional cut on a function $f(\tau_1, \ldots, \tau_N)$ can determine the efficiency/rejection curve. Similarly, the set of τ_N values can be used as input to a multivariate discriminant method for further optimization. Third, N-subjettiness is an inclusive jet shape and can be defined and calculated without reference to a jet substructure algorithm.² This will likely make N-subjettiness more amenable to higher-order perturbative calculations and resummation techniques (see, e.g. recent work in ref. [29, 30])

¹Additional recent related work appears in refs. [14–27].

 $^{^{2}}$ As we will discuss below, for computational purposes, we use a definition of N-subjettiness that still has residual dependence on a clustering procedure. See section 2.2 for further discussion.

compared to algorithmic methods for studying substructure. Finally, *N*-subjettiness gives favorable efficiency/rejection curves compared to other jet substructure methods. While a detailed comparison to other methods is beyond the scope of this work, we are encouraged by these preliminary results.

The remainder of this paper is organized as follows. In section 2, we define N-subjettiness and discuss some of its properties. We present tagging efficiency studies in section 3, where we use N-subjettiness to identify individual hadronic W bosons and top quarks, and compare our method against the YSplitter technique [2–4] and the Johns Hop-kins Top Tagger [6]. We then apply N-subjettiness in section 4 to reconstruct hypothetical heavy resonances decaying to pairs of boosted objects. Our conclusions follow in section 5, and further information appears in the appendices.

2 Boosted objects and N-subjettiness

Boosted hadronic objects have a fundamentally different energy pattern than QCD jets of comparable invariant mass. For concreteness, we will consider the case of a boosted W boson as shown in figure 1, though a similar discussion holds for boosted top quarks or new physics objects. Since the W decays to two quarks, a single jet containing a boosted W boson should be composed of two distinct — but not necessarily easily resolved — hard subjets with a combined invariant mass of around 80 GeV. A boosted QCD jet with an invariant mass of 80 GeV usually originates from a single hard parton and acquires mass through large angle soft splittings. We want to exploit this difference in expected energy flow to differentiate between these two types of jets by "counting" the number of hard lobes of energy within a jet.

2.1 Introducing N-subjettiness

We start by defining an inclusive jet shape called "N-subjettiness" and denoted by τ_N . First, one reconstructs a candidate W jet using some jet algorithm. Then, one identifies N candidate subjets using a procedure to be specified in section 2.2. With these candidate subjets in hand, τ_N is calculated via

$$\tau_N = \frac{1}{d_0} \sum_k p_{T,k} \min \left\{ \Delta R_{1,k}, \Delta R_{2,k}, \cdots, \Delta R_{N,k} \right\}.$$
 (2.1)

Here, k runs over the constituent particles in a given jet, $p_{T,k}$ are their transverse momenta, and $\Delta R_{J,k} = \sqrt{(\Delta \eta)^2 + (\Delta \phi)^2}$ is the distance in the rapidity-azimuth plane between a candidate subjet J and a constituent particle k. The normalization factor d_0 is taken as

$$d_0 = \sum_k p_{T,k} R_0, (2.2)$$

where R_0 is the characteristic jet radius used in the original jet clustering algorithm.

It is straightforward to see that τ_N quantifies how N-subjetty a particular jet is, or in other words, to what degree it can be regarded as a jet composed of N subjets. Jets with $\tau_N \approx 0$ have all their radiation aligned with the candidate subjet directions and



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Figure 1. Left: Schematic of the fully hadronic decay sequences in (a) W^+W^- and (c) dijet QCD events. Whereas a W jet is typically composed of two distinct lobes of energy, a QCD jet acquires invariant mass through multiple splittings. Right: Typical event displays for (b) W jets and (d) QCD jets with invariant mass near m_W . The jets are clustered with the anti- k_T jet algorithm [31] using R = 0.6, with the dashed line giving the approximate boundary of the jet. The marker size for each calorimeter cell is proportional to the logarithm of the particle energies in the cell. The cells are colored according to how the exclusive k_T algorithm divides the cells into two candidate subjets. The open square indicates the total jet direction and the open circles indicate the two subjet directions. The discriminating variable τ_2/τ_1 measures the relative alignment of the jet energy along the open circles compared to the open square.

therefore have N (or fewer) subjets. Jets with $\tau_N \gg 0$ have a large fraction of their energy distributed away from the candidate subjet directions and therefore have at least N + 1 subjets. Plots of τ_1 and τ_2 comparing W jets and QCD jets are shown in figure 2.

Less obvious is how best to use τ_N for identifying boosted W bosons. While one might naively expect that an event with small τ_2 would be more likely to be a W jet, observe that QCD jet can also have small τ_2 , as shown in figure 2(b). Similarly, though W jets are likely to have large τ_1 , QCD jets with a diffuse spray of large angle radiation can also have large τ_1 , as shown in figure 2(a). However, those QCD jets with large τ_1 typically have large



Figure 2. Distributions of (a) τ_1 and (b) τ_2 for boosted W and QCD jets. For these plots, we impose an invariant mass window of 65 GeV $< m_{\text{jet}} < 95$ GeV on jets of R = 0.6, $p_T > 300$ GeV, and $|\eta| < 1.3$. By themselves, the τ_N do not offer that much discriminating power for boosted objects beyond the invariant mass cut.



Figure 3. (a): Distribution of τ_2/τ_1 for boosted W and QCD jets. The selection criteria are the same as in figure 2. One sees that the τ_2/τ_1 ratio gives considerable separation between W jets and QCD jets beyond the invariant mass cut. (b): Density plot in the $\tau_1-\tau_2$ plane. Marker sizes are proportional to the number of jets in a given bin. In principle, a multivariate cut in the $\tau_1-\tau_2$ plane would give further distinguishing power.

values of τ_2 as well, so it is in fact the *ratio* τ_2/τ_1 which is the preferred discriminating variable. As seen in figure 3(a), W jets have smaller τ_2/τ_1 values than QCD jets. Of course, one can also use the full set of τ_N values in a multivariate analysis, as suggested by figure 3(b), and we will briefly explore this possibility in section 3.4.

As mentioned in the introduction, N-subjettiness is adapted from the similar quantity N-jettiness introduced in ref. [28]. There are three important differences: the sum over k only runs over the hadrons in a particular jet and not over the entire event, we do not have candidate (sub)jets corresponding to the beam directions, and our distance measure is only longitudinally boost invariant and not fully Lorentz invariant. The definition of τ_N is by no means unique, and some variations are discussed in appendix A, though eq. (2.1) appears to be well-suited for boosted object identification.

2.2 Finding candidate subjets

A key step for defining N-subjettiness is to appropriately choose the candidate subjet directions. As also mentioned in ref. [28], ideally one would determine τ_N by minimizing over all possible candidate subjet directions, analogously to how the event shape thrust is defined [32]. In that case, τ_N is a strictly decreasing function of N, and $0 < \tau_N/\tau_{N-1} < 1$.

In practice, such a minimization step is computationally intensive, and for the studies presented in this paper, we will determine the candidate subjet directions by using the exclusive- k_T clustering algorithm [33, 34], forcing it to return exactly N jets. This algorithmic method for finding the candidate subjet momenta is not perfect, and though not displayed in figure 3(a), a small fraction ($\ll 1\%$) of jets have $\tau_N > \tau_{N-1}$, a "feature" which would be eliminated with a proper minimization procedure. That said, we have not found many cases where the exclusive k_T jet algorithm identifies completely incorrect subjets, and the additional event displays in appendix B show that the exclusive k_T algorithm finds subjets that look reasonable.

Once the candidate subjets are identified, N-subjettiness is a proper inclusive jet shape. Since eq. (2.1) is linear in each of the constituent particle momenta, τ_N is an infraredand collinear-safe observable. That is, the addition of infinitesimally soft particles does not change N-subjettiness (infrared safety), and the linear dependence on the particle momenta combined with the smooth angular dependence ensures that the same τ_N is obtained for collinear splittings (collinear safety). Crucially, the candidate subjets used in N-subjettiness must be determined via a method that is also infrared- and collinear-safe, something that is automatic with a minimization procedure or by using k_T declustering.

2.3 Summary

To summarize, N-subjettiness is an inclusive jet shape that offers a direct measure of how well jet energy is aligned into subjets, and is therefore an excellent starting point for boosted object identification. The ratio τ_N/τ_{N-1} is an easily adjustable offline parameter which can be varied to adjust signal efficiency/background rejection without having to redo the clustering of the particles in an event. While there is some residual jet algorithm dependence in the identification of the original seed jet and in the identification of the candidate subjets, this latter effect could be completely removed by using a minimization procedure at the expense of introducing more computational complexity. Though we will not attempt to do so here, we suspect that N-subjettiness will lend itself better to theoretical studies than algorithmic boosted object tagging methods, either in fixed-order or resummed QCD calculations. As we will see in the next two sections, N-subjettiness compares favorably to other boosted object tagging methods in terms of discriminating power.

Finally, in the above discussion, we used boosted W bosons just as an example, and a similar discussion holds for Z bosons and Higgs bosons. Also, N-subjettiness will be effective for identifying boosted top quarks. A top quark with mass of 175 GeV decays to a b jet and a W boson, and if the W boson decays hadronically into two quarks, the top jet will have three lobes of energy. Thus, instead of τ_2/τ_1 , one expects τ_3/τ_2 to be an effective discriminating variable for top jets. This is indeed the case, as sketched in figures 4, 5, 6, and 7.



Figure 4. Left: Decay sequences in (a) $t\bar{t}$ and (c) dijet QCD events. Right: Event displays for (b) top jets and (d) QCD jets with invariant mass near m_{top} . The labeling is similar to figure 1, though here we take R = 0.8, and the cells are colored according to how the jet is divided into three candidate subjets. The open square indicates the total jet direction, the open circles indicate the two subjet directions, and the crosses indicate the three subjet directions. The discriminating variable τ_3/τ_2 measures the relative alignment of the jet energy along the crosses compared to the open circles.



Figure 5. Distributions of (a) τ_1 , (b) τ_2 and (c) τ_3 for boosted top and QCD jets. For these plots, we impose an invariant mass window of 145 GeV $< m_{\rm jet} < 205$ GeV on jets with R = 0.8, $p_T > 300$ GeV and $|\eta| < 1.3$.



Figure 6. Distributions of (a) τ_2/τ_1 and (b) τ_3/τ_2 for boosted top and QCD jets. The selection criteria are the same as in figure 5. We see that τ_3/τ_2 is a good discriminating variable between top jets and QCD jets. In this paper, we do not explore τ_2/τ_1 for top jets, though it does contain additional information.



Figure 7. Density plots in the (a) $\tau_1 - \tau_2$ plane and (b) $\tau_2 - \tau_3$ plane for boosted top and QCD jets. The selection criteria are the same as in figure 5. These plots suggest further improvement in boosted top identification is possible with a multivariate method.

3 Efficiency studies

In this section, we investigate the tagging efficiencies for individual W jets and top jets and the mistagging rates for QCD jets. The W boson case is representative of hadronically decaying Z bosons and Higgs bosons, though for simplicity we only show W jet results in this paper. Here, we select candidate boosted objects using an invariant mass cut augmented with an N-subjettiness criterium and compare our results to the YSplitter method [2–4] as well as to the Johns Hopkins Top Tagger [6]. This study will lay the groundwork for the case study in section 4 for reconstructing a new physics resonance which decay to pairs of gauge bosons or top quarks.

3.1 Analysis overview

The basic criteria for tagging a boosted W boson or top quark is that the jet invariant mass should fall near m_W or m_{top} , respectively. For concreteness, we consider the mass windows of 65 GeV $< m_{jet} < 95$ GeV for W jets and 145 GeV $< m_{jet} < 205$ GeV for top jets. We then apply a cut on the τ_2/τ_1 ratio (for W jets) or the τ_3/τ_2 ratio (for top jets), where the cut is adjusted to change the relative signal tagging efficiency and background mistagging rate.

For all of our studies, we generate events for pp collisions at a center-of-mass energy of $\sqrt{s} = 7 \text{ TeV}$ with Pythia 8.135 [35, 36] and perform jet clustering with FastJet 2.4.2 [37, 38]. For the QCD background of light quarks and gluons, we use the default QCD dijet production routines. For the boosted W boson signal we use standard model W^+W^- production, and for the boosted top signal we use standard model $t\bar{t}$ production. In both signal samples, we force the W bosons to decay entirely hadronically, eliminating the leptonic decays of the W bosons for which our method is not applicable. Everywhere we include multiple interactions, initial- and final-state radiation (ISR/FSR), and hadron level decay, though not the effects of event pileup.

We apply a global analysis cut to isolate boosted central jets, namely jets with transverse momentum $p_T > 300 \text{ GeV}$ and rapidity $|\eta| < 1.3$), and we take only the hardest jet in each event.³ In some of our subsequent analyses, we apply harder cuts beyond the $p_T > 300 \text{ GeV}$ restriction, because for more moderately boosted jets, our method becomes less effective.

To partially simulate detector effects and to speed up jet reconstruction, observable final-state particles with $|\eta| < 4$ are collected into "calorimeter" cells arranged in a rectangular lattice with 80 rapidity (η) and 64 azimuth (ϕ) bins (corresponding to approximately 0.1×0.1 sized cells). The calorimeter momenta are interpreted as massless pseudo-particles with energy given by the calorimeter energy.

The seed jets for analysis are determined using the anti- k_T jet algorithm [31], with various jet radii R. To compute τ_N , the seed jets are reclustered with the exclusive- k_T

³To reduce computing time for the simulations, we utilize a parton-level momentum phase space cut of $p_T > 200 \text{ GeV}$ on all partons studied in this paper. This cut applies both to gluons and light quarks, as well as W bosons and top quarks. Cross-checks show that outside this kinematic regime, a negligible number of events contain jets with $p_T > 300 \text{ GeV}$, so no significant bias is introduced.

algorithm [33, 34] into exactly N candidate subjets, and these subjets are used as input to eq. (2.1). Note that eq. (2.1) only depends on the three-momenta of the candidate subjets.

Finally, in our study, we used the default Pythia showering algorithm to describe ISR/FSR and did not include possible matrix element corrections. While a proper modeling of perturbative radiation would certainly affect the specific values of N-subjettiness as well as the jet invariant mass distribution, we found that the ratio τ_N/τ_{N-1} is reasonably robust to changes in the shower model. Thus, we suspect that using matched multi-jet samples [39] would not significantly affect the tagging efficiencies of our N-subjettiness cuts, though a detailed study is beyond the scope of this work.

3.2 Comparing to other methods

To evaluate the performance of N-subjettiness, we want to compare it to previous jet substructure methods. The most natural comparison is to the YSplitter technique [2–4]. In YSplitter, a jet is declustered using the exclusive- k_T jet algorithm, and the $y_{N,N+1}$ scale is the square-root of the k_T distance measure at which the jet declusters from N subjets to N + 1 subjets. Especially since we are using the exclusive- k_T jet algorithm in this paper to define τ_N , one might naively think that τ_N/τ_{N-1} and $y_{N,N+1}/y_{N-1,N}$ should have similar discriminating power. However, we will see that τ_N does provide additional information beyond the $y_{N,N+1}$ variable. This makes sense, as $y_{N,N+1}$ measures the "scale" at which subjets decompose, whereas τ_N/τ_{N-1} measures the degree to which jet radiation is collimated around the candidate subjet directions. In other words, τ_N is a more direct measure of how N-subjetty a jet really is.

While a detailed comparison of N-subjettiness and YSplitter is beyond the scope of this work, we find that N-subjettiness does compare favorably to YSplitter in a simple, non-optimized test. For this naive comparison with the YSplitter method, we use the same anti- k_T jet clustering parameters and the same invariant mass windows for W jets and top jets. We then place cuts on the ratio y_{23}/y_{12} (for W jets) and y_{34}/y_{23} (for top jets). This is certainly not an ideal use of the YSplitter variables, and we consider potential optimizations in section 3.4. Plots of various $y_{N,N+1}$ distributions appear in appendix C.

Finally, for top jets, we also do one benchmark comparison to the Johns Hopkins Top Tagging (JHTT) method, using settings similar to those in ref. [6]. Here, jet clustering is performed with the Cambridge-Aachen algorithm [40, 41] combined with the FastJet JHTT plugin [37] with a jet radius of R = 0.8, $\delta_p = 0.10$, and $\delta_r = 0.19$. As above, we only consider the hardest jet in each event, provided it has $p_T > 300 \text{ GeV}$ and $|\eta| < 1.3$. We impose the top mass window (145 GeV $< m_{\text{jet}} < 205 \text{ GeV}$) on the entire jet and also demand that any two subjets in the JHTT declustering sequence have an invariant mass near m_W (65 GeV to 95 GeV). Finally, we selected on the W helicity angle by requiring $\cos \theta_h < 0.7$. Again, this is not an optimal comparison, but serves as a useful point of reference to gauge the effectiveness of N-subjettiness.

3.3 Boosted *W* and top results

We are now ready to investigate the efficiency of the N-subjettiness method applied to W jets and top jets. We start with W jets. In figure 8, the invariant mass distribu-



Figure 8. Invariant mass distributions for (a) W jets and (b) QCD jets as the N-subjettiness cut on τ_2/τ_1 is varied. Here, the jet radius is R = 0.6 and the jets satisfy $p_T > 300$ GeV and $|\eta| < 1.3$. Since the QCD jet rate decreases faster than the W jet rate as the τ_2/τ_1 cut is tightened, τ_2/τ_1 is an effective discriminating variable.

p_T range (GeV)	300-400	400-500	500 - 600	600-700	700-800
No τ_2/τ_1 cut	.62 : .14	.72 : .19	.73 : .21	.71 : $.23$.69 : $.25$
$\tau_2 / \tau_1 < 0.5$.56 : $.072$.61 : $.077$.59 : $.077$.55 : $.084$.51 : $.085$
$\tau_2 / \tau_1 < 0.3$.36 : $.019$.35 : $.020$.33 : $.020$.31 : $.019$.30 : $.024$
$\tau_2 / \tau_1 < 0.2$.16 : $.0044$.16 : $.0056$.16 : $.0052$.15 : $.0036$.16 : $.0034$
1% mistag rate	.26 : .010	.24 : $.010$.23 : .010	.24 : .010	.26 : .010
40% tag efficiency	.40 : .025	.40 : .025	.40 : .028	.40 : .036	.40 : .045

Table 1. Tagging efficiencies vs. mistagging rates for W jets: QCD jets with R = 0.6. The top row corresponds to just applying the m_W invariant mass window (65 GeV to 95 GeV) criteria, and the subsequent rows include an additional τ_2/τ_1 cut. The bottom two rows indicate the tagging efficiencies achievable with a fixed mistagging rate of 1%, and the mistagging rate achievable with a fixed tagging efficiency of 40%.

tions for boosted W jets and QCD jets are shown for boosted central jets with R = 0.6, $p_T > 300$ GeV and $|\eta| < 1.3$. As the cut on τ_2/τ_1 is tightened, the boosted W jet signal experiences a modest decrease, but the peak of the distribution stays well-centered on the W mass. The QCD background decreases by a much greater degree, with no significant sculpting of the distribution. Thus we confirm that τ_2/τ_1 is effective as a W jet discriminating variable.

In table 1, we show the quantitative effect of various τ_2/τ_1 cuts for different jet p_T bins. First, note that the m_W invariant mass window (65 GeV $< m_{\rm jet} < 95$ GeV) already acts as a useful discriminating variable, with a tagging efficiency of around 70% for mistagging rates between 14% and 25% across the whole kinematic range. (The higher mistagging rate for more boosted jets occurs because the invariant mass of QCD jets increases with their transverse momenta.) By including a modest cut on τ_2/τ_1 , we can maintain nearly the



Figure 9. W jet signal efficiency/background rejection plots. Here, the τ_2/τ_1 cut is varied for different (a) jet transverse momenta and (b) jet radii. Unless otherwise indicated, the jets have R = 0.6 and 450 GeV $\langle p_T \rangle \langle 600$ GeV. The rightmost points in each plot are for the m_W invariant mass window criterium alone, and points to the left of these are obtained with additional cuts on the τ_2/τ_1 ratio. Figure (c) shows a naive comparison against the YSplitter method, where the purple lines indicate varying cuts on τ_2/τ_1 and y_{23}/y_{12} , respectively, while the shaded bands indicate the modified linear cuts of section 3.4. In this non-optimized test, N-subjettiness compares favorably to YSplitter.



Figure 10. Improvement compared to an invariant mass cut alone for W jets in (a) signal over background (S/B) and (b) signal over square-root background (S/\sqrt{B}) by using the τ_2/τ_1 cut. The value of unity on the right corresponds to using only the m_W invariant mass window.

same signal efficiency while halving the mistagging rate. By tightening the τ_2/τ_1 cut, we can control the degree of signal efficiency and background rejection, and across the whole p_T range, a tagging efficiency of 25% can be achieved for a mistagging rate of only 1%.

In figure 9, we plot tagging efficiency curves. These show the effects of the τ_2/τ_1 cut in different kinematical regimes and for different jet radii. In figure 9(b), the signal efficiency is lower for R = 1.0 as compared to R = 0.8, because a larger fraction of W jets fall outside of the mass window due to fact that more ISR is captured in jets with larger radii. As a result, the signal efficiency using only a mass cut is significantly reduced. Beyond this mass cut, however, our method is relatively insensitive to changes in jet radius, as the slope of the efficiency curves does not change considerably with changes in R. In figure 9(c), we



Figure 11. Invariant mass distributions for (a) top jets and (b) QCD jets as the N-subjettiness cut on τ_3/τ_2 is varied. Here, the jet radius is R = 0.8 and the jets satisfy $p_T > 500$ GeV and $|\eta| < 1.3$. Since the QCD jet rate decreases faster than the top jet rate as the τ_3/τ_2 cut is tightened, τ_3/τ_2 is an effective discriminating variable. Note also that the secondary peak at m_W decreases when the τ_3/τ_2 cut is applied.

compare a τ_2/τ_1 cut to a y_{23}/y_{12} cut, which shows that an N-subjettiness cut compares favorably to a naive YSplitter cut. In figure 10, we show how the signal to background ratio (S/B) and signal to square-root background ratio (S/\sqrt{B}) improve as the τ_2/τ_1 cut is tightened. Compared to only having an invariant mass cut, the S/B ratio can improve by as much as an order of magnitude with an improvement of S/\sqrt{B} of around 50%. These improvements will be relevant for the resonance study in section 4.

We now consider the analogous tables and plots for top jets, where we use a jet radius of R = 0.8, the m_{top} invariant mass window (145 GeV $< m_{jet} < 205$ GeV), and the discriminating variable τ_3/τ_2 . In figure 11, we show the invariant mass distributions for top jets and QCD jets, considering $p_T > 500$ GeV for both. Note that the τ_3/τ_2 cut decreases the background rate faster than the signal rate. In addition to the top mass peak, there is a secondary peak at m_W which gets less prominent as the τ_3/τ_2 cut is tightened. This secondary mass peak would be even higher if we loosened the transverse momentum cut to $p_T > 300$ GeV, because for moderately boosted top quarks, it is less likely that a single jet could capture all three of the subjets coming from the top quark decay.

In table 2 and figure 12, we see that except for the highest p_T range and a too small jet radius, a tagging efficiency of around 30% is achievable for a mistagging rate of 1%. Note that we do not display results for 300 GeV $< p_T < 400$ GeV in table 2 nor for 300 GeV $< p_T < 450$ GeV in figure 12, as in this kinematic range, often not all subjets from the decay products of the top quark are captured by the anti- k_T algorithm, leading to low signal efficiencies. This is already noticeable in the 400-500 GeV p_T range, where only 45% of the jets pass the mass criterion, whereas the same is true for 58% of the jets in the 500-600 GeV p_T range. For the same reason, the tagging efficiency is much lower for R = 0.6as compared to other jet radii, as seen in figure 12(b). Our method is most effective for top

p_T range (GeV)	400-500	500-600	600-700	700-800	800-900
No $ au_3/ au_2$ cut	.45 : .067	.58 : .11	.60 : .13	.58 : $.15$.53 : $.15$
$\tau_3 / \tau_2 < 0.8$.43 : .048	.55 : $.080$.56 : $.094$.54 : $.12$.50 : $.12$
$\tau_3 / \tau_2 < 0.6$.34 : $.016$.43 : $.025$.44 : $.028$.41 : .039	.36 : $.041$
$\tau_3/\tau_2 < 0.4$.18 : .0019	.21 : .0028	.21 : $.0035$.19 : $.0038$.16 : $.0069$
1% mistag rate	.30 : .010	.34 : $.010$.32 : $.010$.28 : .010	.21 : .010
40% tag efficiency	.40 : .035	.40 : .018	.40 : .020	.40 : $.035$.40 : .061

Table 2. Tagging efficiencies vs. mistagging rates for top jets: QCD jets with R = 0.8. The top row corresponds to just applying the m_{top} invariant mass window (145 GeV to 205 GeV) criteria, and the subsequent rows include an additional τ_3/τ_2 cut. The bottom two rows indicate the tagging efficiencies achievable with a fixed mistagging rate of 1%, and the mistagging rate achievable with a fixed tagging efficiency of 40%.

jets with 500 GeV $< p_T <$ 700 GeV. The worse efficiencies for $p_T >$ 700 GeV are due to several factors. A larger portion of top jets have a mass above their mass window due to additional FSR at high p_T , while more light quark and gluon jets will acquire masses high enough to pass the mass window cut. Our *N*-subjettiness cuts are also less effective at very high transverse momenta, since the distinction between 2-subjettiness and 3-subjettiness becomes less clear when the three subjets have considerable overlap. figure 12(c) compares the *N*-subjettiness τ_3/τ_2 cut to a y_{34}/y_{23} cut as well as to a benchmark JHTT point, and we see that *N*-subjettiness compares favorably to these methods for boosted top identification. In figure 13, we see that a factor of 20 improvement in S/B and a factor of 2 improvement in S/\sqrt{B} is possible using τ_3/τ_2 for top jets.

3.4 Potential optimization

In the above efficiency studies, we only considered cuts on the τ_N/τ_{N-1} ratio to tag *N*-prong boosted objects. One could certainly generalize this approach to include more complicated cuts in the $\tau_{N-1}-\tau_N$ plane or to use a multivariate analysis on the full set of τ_N values. Such studies are beyond the scope of the present work, but as a small step towards optimization, we tested the next simplest generalization of τ_N/τ_{N-1} : a general linear cut in the $\tau_{N-1}-\tau_N$ plane.

For N-subjettiness we tested a cut of $\tau_N/(\tau_{N-1}-\tau_{N-1;0}) < s$ with the slope parameter $s \in [0, 5]$. For YSplitter, we tested the analogous cut on $y_{N,N+1}/(y_{N-1,N}-y_{N-1,N;0}) < s$. These are essentially the same linear cuts as in the previous subsection except with a shift in one of the two N-subjettiness (or YSplitter) values. In figure 9(c) for W jets, the dark grey envelope corresponds to the range $\tau_{1;0} \in [-0.075, 0.075]$ with the solid purple curve for the special case $\tau_{2;0} = 0$. Similarly, the light grey envelope corresponds to the range $y_{12;0} \in [-25 \text{ GeV}, 25 \text{ GeV}]$ with the dashed purple curve for the special case $y_{23,0} = 0$. The same logic holds for top jets in figure 12(c) with the ranges $\tau_{2;0} \in [-0.05, 0.05]$ and $y_{23;0} \in [-10 \text{ GeV}, 10 \text{ GeV}]$. For both methods, small improvements are possible, warranting a further exploration of multivariate methods.



Figure 12. Top jet signal efficiency/background rejection plots. Here, the τ_3/τ_2 cut is varied for different (a) jet transverse momenta and (b) jet radii. Unless otherwise indicated, the jets have R = 0.8 and 450 GeV $< p_T < 600$ GeV. The rightmost points in each plot are for the m_{top} invariant mass window criterium alone, and points to the left of these are obtained with additional cuts on the τ_3/τ_2 ratio. Figure (c) shows a naive comparison against the YSplitter method, where the red lines indicate varying cuts on τ_3/τ_2 and y_{34}/y_{23} , respectively, while the shaded bands indicate the modified linear cuts of section 3.4. The circle indicates a benchmark JHTT point. In this non-optimized test, N-subjettiness compares favorably to previous top tagging methods. Note the different horizontal scale in (c).



Figure 13. Improvement compared to an invariant mass cut alone for top jets in (a) signal over background (S/B) and (b) signal over square-root background (S/\sqrt{B}) by using the τ_3/τ_2 cut. The value of unity on the right corresponds to using only the m_{top} invariant mass window.

4 Applications to new physics searches

Having seen gains in single W jet and top jet identification in the previous section, we now apply N-subjettiness to the task of heavy resonance reconstruction. Consider a hypothetical Z' spin-1 resonance which decays to pairs of boosted objects as $Z' \to W^+W^$ or $Z' \to t\bar{t}$. We will show that an N-subjettiness cut can lower the minimum detectable cross section for this resonance by up to an order of magnitude with 1 fb⁻¹ of 7 TeV LHC data. This illustrates the versatility and power of the N-subjettiness tagging method in the search for new physics.

4.1 Analysis overview

We use the same basic Pythia settings as in section 3.1, simulating pp collisions at $\sqrt{s} = 7 \text{ TeV}$ using the same virtual calorimeter setup. The jet clustering procedure is exactly as before, though for all samples we set the anti- k_T jet radius at R = 0.8, which slightly degrades the $Z' \rightarrow W^+W^-$ reach. We perform our selection criteria on events with at least two boosted central jets ($p_T > 300 \text{ GeV}$ and $|\eta| < 1.3$). We impose the same W mass and top mass windows and use τ_2/τ_1 and τ_3/τ_2 as the respective discriminating variables.

The signal source is a hypothetical "sequential" Z' boson of the "extended gauge model" [42], one of the reference spin-1 resonance options available in Pythia. We choose this type of Z' for convenience since it has couplings both to W bosons and to top quarks.⁴ At the end of the day, we will report the reach in terms of $\sigma \times Br$, so our analysis is roughly independent of signal source, apart from parton distribution functions and angular correlations, which somewhat affect the signal acceptance. We consider Z' masses between 750 GeV and 2 TeV, and consider the signal region to be where the combined invariant mass of the boosted jets are within 100 GeV of the Z' boson mass.

There are two main types of backgrounds: reducible backgrounds from QCD dijets and irreducible backgrounds from standard model W^+W^- and $t\bar{t}$ production. For simplicity, we will only consider QCD dijets for discussing the reach, as the W^+W^- and $t\bar{t}$ processes have lower cross sections than the achievable reach with 1 fb⁻¹ of 7 TeV LHC data.⁵ There is a potentially important background from W/Z+jets, where the W/Z decays hadronically to form a real boosted W/Z and a jet fakes a boosted W. However, while the W/Z+jets background (with only one jet faking a W) is reduced less by our tagging method than the QCD dijet background (with both jets faking W's), the contribution from W/Z+jets still ends up being about an order of magnitude smaller than that from QCD dijets after our optimal cuts.⁶ There is also an interesting background from W-strahlung that can mimic the top jet signal [24] which we will not include.

4.2 Di-W and Di-top resonance results

To see the effect of the N-subjettiness cut on resonance reconstruction, consider figure 14 for $Z' \to W^+W^-$ and figure 15 for $Z' \to t\bar{t}$, where both jets have been tagged as boosted hadronic objects. Even for a moderate cut on τ_N/τ_{N-1} , there is a substantial decrease in the QCD dijet background with only a slight decrease in the resonance signal.

We can isolate the Z' resonance region by considering dijet invariant masses satisfying $|m_{jj} - m_{Z'}| < 100$ GeV. In figure 16 and figure 17, we plot the improvements in S/B and S/\sqrt{B} for di-W and di-top resonances for three values of the Z' boson mass. Compared to the single object efficiencies from section 3, the improvement seen in resonance reconstruction approximately factorizes. That is, the τ_N/τ_{N-1} values for the two hardest jets in any event are roughly independent of each other, such that an S/B improvement of ϵ

⁴Of course, for a true sequential Z', one would likely use the $Z' \to \ell^+ \ell^-$ mode for discovery.

⁵For $t\bar{t}$, we will see that this statement is borderline, so strictly speaking the standard model $t\bar{t}$ background should not be ignored.

⁶Note that if the mistagging rate is reduced even further with more aggressive N-subjettiness cuts or with an additional selection criteria, it may no longer be possible to ignore the background from W/Z+jets.



Figure 14. Reconstructed invariant mass distributions for (a) a narrow 1 TeV Z' decaying to two W jets and (b) dijet QCD events faking $Z' \to W^+W^-$. In both cases, the hardest two jets in the event were required to satisfy $p_T > 300$ GeV, $|\eta| < 1.3$, and 65 GeV $< m_{\rm jet} < 95$ GeV, and the τ_2/τ_1 criterium is applied to both jets. Note that we can use milder N-subjettiness cuts than in section 3.3, since the cuts are applied to both jets.



Figure 15. Reconstructed invariant mass distributions for (a) a narrow 1 TeV Z' decaying to two top jets and (b) dijet QCD events faking $Z' \to \bar{t}t$. In both cases, the hardest two jets in the event were required to satisfy $p_T > 300$ GeV, $|\eta| < 1.3$, and 145 GeV $< m_{jet} < 205$ GeV, and the τ_3/τ_2 criterium is applied to both jets. Again note the milder N-subjettiness cuts compared to section 3.3.

for a given τ_N/τ_{N-1} cut in section 3.3 yields an S/B improvement of roughly ϵ^2 for the Z' resonance.

To see how these improvements in S/B and S/\sqrt{B} work in practice, we now calculate how much an N-subjettiness cut would lower the minimum cross section for detecting a Z'boson in the boosted W^+W^- or boosted $\bar{t}t$ channel. For this purpose, we define the fiducial Z' detection criteria to be twofold. First, we require at least 10 reconstructed candidate Z'resonances (S > 10) at a luminosity of 1 fb⁻¹. Second, we require S/Err(B) > 5 ("five sigma discovery"), where the combined statistical and systematic error on the QCD background



Figure 16. Improvement in (a) S/B and (b) S/\sqrt{B} for identifying a Z' boson decaying to W jet pairs. Compared to the m_W invariant mass cut alone (rightmost points on the plot), cuts on N-subjettiness can significantly improve signal efficiency/background rejection.



Figure 17. Improvement in (a) S/B and (b) S/\sqrt{B} for identifying a Z' boson decaying to top jet pairs. Compared to the m_{top} invariant mass cut alone (rightmost points on the plot), cuts on N-subjettiness can significantly improve signal efficiency/background rejection.

is estimated as $\operatorname{Err}(B) = \sqrt{(\sqrt{B})^2 + (0.1B)^2}$.⁷ Both constraints restrict the detectable physical cross section values for the Z' resonance, and we optimize the N-subjettiness cuts to satisfy both constraints.

In figure 18(a), we demonstrate the interplay between the S > 10 and S/Err(B) > 5requirements for selecting the optimal τ_2/τ_1 cut on the W jets. In figure 18(b), we plot the lower bound on the detectable cross section for Z', given in terms of $\sigma(Z') \times \text{Br}(Z' \to W^+W^-) \times \text{Br}(W \to jj)^2$. For reference, we also plot the $\sigma \times \text{Br}$ for the sequential Z' and standard model W^+W^- production. The τ_2/τ_1 cut improves the discovery reach upwards of an order of magnitude compared to only using invariant mass to tag W jets. The analogous bounds for $Z' \to \bar{t}t$ appear in figure 19 where the reach is given in terms of $\sigma(Z') \times \text{Br}(Z' \to \bar{t}t) \times \text{Br}(t \to bjj)^2$. The τ_3/τ_2 cut can bring Z' signal detection threshold down almost to the irreducible $t\bar{t}$ background level.⁸ Thus, N-subjettiness provides substantial gains for discovering new physics with boosted hadronic objects.

 $^{^{7}}$ A 10% systematic on a jet measurement is likely optimistic, but fine as a benchmark for comparison. ⁸The reach for the 750 GeV resonance could likely be improved by using a larger initial jet radius.



Figure 18. (a): Optimizing the τ_2/τ_1 cut to determine the minimum physical cross section for detection of $Z' \to W^+W^-$ with a Z' mass of 1.25 TeV. The value of the cut is chosen such that both S > 10 and S/Err(B) > 5. (b): Lower bounds on the detectable Z' cross section times the branching ratio to hadronically-decaying W bosons as a function of the mass of the Z'. Compared to a jet invariant mass cut alone, the N-subjettiness method to tag boosted Ws gives a substantial improvement in the reach. Shown for reference are the $\sigma \times \text{Br}$ for the sequential Z' model and standard model W^+W^- production where the di-W invariant mass is within 100 GeV of the fiducial Z' mass.



Figure 19. (a): Optimizing the τ_3/τ_2 cut to determine the minimum physical cross section for detection of $Z' \to \bar{t}t$ with a Z' mass of 1 TeV. The value of the cut is chosen such that both S > 10 and S/Err(B) > 5. (b): Lower bounds on the detectable Z' cross section times the branching ratio to hadronically-decaying top quark as a function of the mass of the Z'. Compared to a jet invariant mass cut alone, the N-subjettiness method to tag boosted tops gives a substantial improvement in the reach. Shown for reference are the $\sigma \times \text{Br}$ for the sequential Z' model and standard model $\bar{t}t$ production where the di-top invariant mass is within 100 GeV of the fiducial Z' mass.

5 Conclusion

In this paper, we introduced an inclusive jet shape N-subjettiness designed to tag boosted hadronic objects. We found that the ratio τ_2/τ_1 is an effective discriminating variable to isolate boosted hadronic W, Z, and Higgs bosons from the background of QCD jets with large invariant mass. Similarly, τ_3/τ_2 is an effective variable for identifying boosted top quarks. As a case study, we observed that N-subjettiness offers significant improvements in the detection sensitivity of hypothetical heavy resonances decaying to pairs of electroweak bosons or top quarks. Overall, *N*-subjettiness selection methods are at least as good as other commonly used discriminating methods for identification of boosted objects.

N-subjettiness exhibits several desirable properties which warrant further experimental and theoretical investigations. On the experimental side, τ_N can be calculated on a jet-by-jet basis and thereby offers considerable flexibility of application. While we focused just on ratios of τ_N as discriminating variables, multivariate optimization along the lines of section 3.4 could improve signal efficiency and background rejection. In addition, some of the *N*-subjettiness variations mentioned in appendix A might also be effective discriminating variables by themselves or in combination. On the theoretical side, τ_N is an infrared and collinear safe inclusive jet shape which in principle can be defined without the need for an algorithmic subjet finding method. Thus, the prospects for theoretical calculations involving *N*-subjettiness look promising both using fixed-order perturbative calculations and using resummation techniques.

With the first LHC data on the books, the search for new physics is already underway. New phenomena may be revealed in the production of highly boosted electroweak bosons and top quarks, and we expect that *N*-subjettiness will prove to be a useful variable for exploring such extreme kinematic regimes.

Note added. While this paper was being completed, ref. [43] appeared which defines a Lorentz-invariant version of N-subjettiness and uses τ_2 for boosted Higgs identification.

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A Definition of *N*-subjettiness

The definition of N-subjettiness in eq. (2.1) is not unique, and different choices for τ_N can be used to give different weights to the emissions within a jet. These generalizations of N-subjettiness are similar to different "angularities" [44] used in $e^+e^- \rightarrow$ hadrons measurements.

Analogously to ref. [28], a general N-subjettiness measure is

$$\tau_N^{\text{gen}} = \frac{1}{d_0} \sum_k \min_J \left\{ d(p_J, p_k) \right\},$$
(A.1)

where d_0 is a normalization factor, J runs over the N candidate subjets, and $d(p_J, p_k)$ is distance measure between a candidate subjet p_J and a jet constituent p_k . Like in section 2.2, one needs a method to figure out the candidate subjet directions, which could be achieved through a separate subjet finding algorithm or by minimizing τ_N over possible candidate subjets p_J . There are many choices for $d(p_J, p_k)$, but a nice two-parameter, boost-invariant choice for the distance measure is

$$d^{\alpha,\beta}(p_J, p_k) = p_{T,k} \left(p_{T,J} \right)^{\alpha} \left(\Delta R_{J,k} \right)^{\beta}.$$
(A.2)

If desired, one could replace $p_{T,J}$ with $E_{T,J} = \sqrt{p_{T,J}^2 + m_J^2}$ to include information about the subjet mass.⁹ For e^+e^- applications, one would replace the transverse momentum p_T with the total momentum $|\vec{p}|$ (or the energy E) and ΔR with the opening angle $\Delta \Omega$. A natural choice for the normalization factor to keep $0 < \tau_N < 1$ is

$$d_0 = \max_J \left\{ (p_{T,J})^{\alpha} \right\} (R_0)^{\beta} \sum_k p_{T,k},$$
(A.3)

where R_0 is the characteristic jet radius.

By making $d(p_J, p_k)$ linear in $p_{T,k}$, τ_N is automatically an infrared-safe observable. Collinear-safety requires linearity in $p_{T,k}$ as well, but imposes the addition requirement that $\beta \geq 0$. The value of α is unconstrained. Of course, we are assuming that the candidate subjet finding method is also infrared- and collinear-safe.

In the body of the paper, we used $\alpha = 0$, $\beta = 1$. This choice corresponds to treating each subjet democratically, and using a k_T -like distance measure. This distance measure makes τ_N similar to jet broadening [45],¹⁰ and we found that this was an effective choice for boosted object identification. By varying β , we can change the angular weighting. A thrustlike [32] weighting corresponds to $\beta = 2$, while other angularities [44] with $-\infty < a < 2$ are given by $\beta = 2 - a$. By varying α , we can weight the distance measure by the hardness of the subjet directions. Large positive (negative) α means that the minimum in eq. (A.1) is given by the distance to hardest (softest) candidate subjet. Further studies of boosted object identification using different values of α and β would be interesting, since studies of jet angularities have shown that additional information about jet substructure can be gleaned by combining different angular information [29].

B Additional event displays

To further demonstrate our subjet finding method and the distinguishing power of N-subjettiness, we compare W jets and QCD jets in figure 20 and compare top jets and QCD jets in figure 21. The discriminating variable τ_N/τ_{N-1} measures the degree to which the jet energy is aligned along the N candidate subjet directions compared to the N-1 candidate subjet directions.

⁹Obviously, one could also use $E_{T,k}$ to include the mass of the jet constituent, though in our studies, the four-vectors of the calorimeter cells were massless by definition.

¹⁰By similar, we mean the distance measure has the same $\Delta R_{A,k} \rightarrow 0$ limit. Because thrust-like observables are defined in a preferred rest frame and we are working with a longitudinally boost-invariant measure, the correspondence is inexact.



Figure 20. Top row: W jets. Bottom row: QCD jets with invariant mass close to m_W . The coloring and labeling is the same as in figure 1. The title of the plot gives the calculated value of τ_2/τ_1 , and generically W jets have smaller τ_2/τ_1 values than QCD jets of comparable invariant mass.

C Comparison to YSplitter

In section 3, we compared N-subjettiness to a naive application of the YSplitter method [2– 4]. Here, we collect various plots of $y_{N,N+1}$ and their ratios so the reader can visually compare the discriminating power of N-subjettiness and YSplitter. The results for W jets are shown in figures 22 and 23, and top jets in figures 24, 25, and 26. As mentioned in the text, a full comparison of the two methods would require an optimization of all cuts, though it is encouraging that in section 3.4 we found that linear cuts in the $\tau_N - \tau_{N-1}$ plane are generically more effective than linear cuts in the $y_{N,N+1} - y_{N-1,N}$ plane.



Figure 21. Top row: top jets. Bottom row: QCD jets with invariant mass close to m_{top} . The coloring and labeling is the same as in figure 4. The title of the plot gives the calculated value of τ_3/τ_2 , and generically top jets have smaller τ_3/τ_2 values than QCD jets of comparable invariant mass.



Figure 22. Distributions of (a) y_{12} and (b) y_{23} for boosted W and QCD jets. For these plots, we impose an invariant mass window of 65 GeV $< m_{\rm jet} < 95$ GeV on jets of R = 0.6, $p_T > 300$ GeV, and $|\eta| < 1.3$.



Figure 23. (a): Distribution of y_{23}/y_{12} for boosted W and QCD jets. (b): Density plot in the $y_{12}-y_{23}$ plane.



Figure 24. Distributions of (a) y_{12} , (b) y_{23} , and (c) y_{34} for boosted top and QCD jets. For these plots, we impose an invariant mass window of 145 GeV $< m_{jet} < 205$ GeV on jets with R = 0.8, $p_T > 300$ GeV, and $|\eta| < 1.3$.



Figure 25. Distributions of (a) y_{23}/y_{12} and (b) y_{34}/y_{23} for boosted top and QCD jets. Analogous to figure 6, we do not use y_{23}/y_{12} for top tagging in this paper, though it does contain additional information.



Figure 26. Density plots in the (a) $y_{12}-y_{23}$ plane and (b) $y_{23}-y_{34}$ plane for boosted top and QCD jets.

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