

Higher-derivative massive actions from dimensional reduction

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ABSTRACT: A procedure to obtain higher-derivative free massive actions is proposed. It consists in dimensional reduction of conventional two-derivative massless actions, where solutions to constraints bring in higher derivatives. We apply this procedure to derive the arbitrary dimensional generalizations of (linearized) New Massive Gravity and New Topologically Massive Gravity.

KEYWORDS: Models of Quantum Gravity, Field Theories in Higher Dimensions, Chern-Simons Theories

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1 Introduction

Gravity as a theory of massive spin two particle has been considered by many authors in view of its possible advantage in Cosmology. However, a conceptual problem arises concerning the fate of diffeomorphisms. Indeed, the (linearized) diffeomorphism invariance is broken in the Fierz-Pauli (FP) massive action (which describes a free massive spin two particle) by the mass term.

New massive gravity. In three dimensions, there exists another description of free massive spin two (with the linearized Einstein tensor equal to $-\frac{1}{2}G_{\mu\nu}$):

$$S_{\text{NMG}}[h_{\mu\nu}] = \int d^3x \left(R^{\mu\nu} R_{\mu\nu} - \frac{3}{8} R^2 - \frac{m^2}{4} h^{\mu\nu} G_{\mu\nu} \right), \quad (1.1)$$

whose nonlinear version, the so-called New Massive Gravity (NMG) [1, 2], admits the diffeomorphism invariance. Although this action is of fourth order in derivatives, the theory is unitary due to the fact that the (massless spin-two) ghost mode does not propagate in three dimensions. Moving from three to four dimensions, it has been shown [3] that a similar mechanism can be realized by a four-derivative action. The latter is formulated in terms of a field $h_{\mu\nu,\rho}$ satisfying $h_{\mu\nu,\rho} = -h_{\nu\mu,\rho}$ and $h_{\mu\nu,\rho} + h_{\nu\rho,\mu} + h_{\rho\mu,\nu} = 0$. A reasonable generalization of NMG to arbitrary d dimensions would involve a field of the hook symmetry:¹

$$\left. \begin{array}{c} \square \square \\ \square \\ \square \\ \square \end{array} \right\} d - 2, \quad (1.2)$$

describing a massive spin two, that is, the $\square\square$ representation of the massive little group $\text{SO}(d - 1)$.

¹The same type of field appears in a different construction of a free massive spin two theory in [4].

(New) Topologically massive gravity. Besides the diffeomorphism invariant NMG, the introduction of higher-derivative terms also allows Lorentz-invariant description of a parity-violating single spin-two massive mode. Topologically Massive Gravity (TMG), the first action shown [5] to have such a spectrum, is of third order in derivatives. There is also a fourth-order model, so-called New Topologically Massive Gravity (NTMG) [6, 7], whose linearization reads

$$S_{\text{NTMG}}[h_{\mu\nu}] = \int d^3x \left(R^{\mu\nu} R_{\mu\nu} - \frac{3}{8} R^2 + \frac{m}{4} \epsilon^{\mu\nu\rho} h_{\mu}{}^{\sigma} \partial_{\nu} G_{\rho\sigma} \right). \quad (1.3)$$

The d -dimensional generalization of TMG has also been found in [8], and it concerns the (long) window representation:

$$\left. \begin{array}{|c|c|} \hline & \\ \hline & \\ \hline & \\ \hline & \\ \hline \end{array} \right\} p \in 2\mathbb{N} + 1, \quad (1.4)$$

for the dimension $d = 2p + 1$. Hence, it exists only for $\text{mod}(d, 4) = 3$.

Field theories with more than two derivatives are usually considered to be pathological, as they generically contain ghost modes. However, as one can see from the examples of NMG and (N)TMG (see also [9–15]), certain higher-derivative Lagrangians actually describe unitary propagation, at least at the linearized level. As we have briefly mentioned for NMG, what underlies the unitarity of these theories is that the ghost modes become pure gauge in certain dimensions. This becomes possible by employing particular (unconventional) off-shell fields for given dimensions, as the field (1.2). Several higher-derivative unitary theories have been constructed relying on this property, while the constructions have been, in our viewpoint, rather heuristic. Having a more systematic way to derive higher derivative actions might be useful in understanding and controlling the (non-)unitarity of corresponding field theories.

In the present letter, we propose a systematic procedure to derive a class of free higher-derivative massive theories with unitary propagation. It is based on two observations: first, any conventional free massive theory can be obtained from the corresponding massless one by dimensional reduction. Second, the Hamiltonian constraints, inherited from the gauge symmetries of the massless action, can be solved by substituting the fields with derivatives of fields of other type (see e.g. [16, 17]). Hence, massive actions with higher derivatives can be obtained by the following procedure:

1. Begin with a $(d + 1)$ -dimensional massless action in the Hamiltonian formulation, where the conjugate fields are defined with respect to a spatial (say z) derivative instead of the temporal one.
2. Solve the Hamiltonian constraints and substitute the (conjugate) fields with the corresponding solutions. This step increases the number of derivatives of the theory.
3. Perform dimensional reduction on the coordinate z to render the theory massive. Use dualizations and on-shell equivalences to rewrite the action in different ways.

The unitarity as well as the correct number of the degrees of freedom (DoF) is guaranteed since the propagating content is not affected at any step. It is worth noticing that

this procedure dictates the particular off-shell fields necessary for the non-propagation of ghost modes. In principle, the procedure is general so applicable to any kind of spectrum, but in this letter we focus on two examples: the d -dimensional generalizations of NMG and NTMG.²

The derivation of NMG in any dimensions, starting from the FP massless action, is presented in section 2. In this case, we have a shortcut since a constraint appears already in the Lagrangian formulation. We perform dimensional reduction and solve the constraint. This results in a fourth-order massive action with unconventional gauge symmetry. Dualizing the field and using on-shell equivalence, we get the d -dimensional generalization of NMG. In section 3, we turn to NTMG. We first consider the topologically massive p -form field action, whose construction shares the key features with that of NTMG. In this construction, we eventually diagonalize the action to recast it into two copies of topologically massive action. As a byproduct, we also present the (anti-)self-dual massless action for these fields. Finally, section 4 and appendix A contain respectively our conclusions and the derivation of some higher-derivative massless actions.

2 New massive gravity

In this section, we show how the NMG action in d dimensions can be obtained from the FP massless action in $d + 1$ dimensions.

2.1 Dimensional reduction

The $(d + 1)$ -dimensional FP massless action reads (with $z := x^d$)³

$$\mathcal{S}[\mathcal{H}_{mn}] = \frac{1}{4} \int d^d x dz \mathcal{H}^{mn} \mathcal{G}_{mn}, \tag{2.1}$$

where \mathcal{H}_{mn} is the spin-two field (that is, the metric fluctuation) and $-\frac{1}{2} \mathcal{G}_{mn}$ is the corresponding (linearized) Einstein tensor. The usual dimensional reduction consists in fixing the z -dependence of the field as

$$\begin{aligned} \mathcal{H}_{\mu\nu}(x, z) &= \sin(mz) h_{\mu\nu}(x), \\ \mathcal{H}_{\mu d}(x, z) &= \cos(mz) h_{\mu d}(x), \\ \mathcal{H}_{dd}(x, z) &= \sin(mz) h_{dd}(x), \end{aligned} \tag{2.2}$$

with the compactification $z \in [0, 2\pi/m]$. After removing the z -dependence with the integration over z , one ends up with the following massive action (modulo a factor of π/m):

$$\begin{aligned} S[h_{\mu\nu}, h_{\mu d}, h_{dd}] &= S_E[h_{\mu\nu}] - S_M[m h_{\mu\nu} - 2 \partial_{(\mu} h_{\nu)d}] \\ &\quad + \frac{1}{2} \int d^d x h_{dd} (\partial^\mu \partial^\nu h_{\mu\nu} - \square h^\mu{}_\mu). \end{aligned} \tag{2.3}$$

²In this paper, NMG and NTMG refer to the linearized versions of these theories.

³We use mostly plus signature. The latin indices m, n, \dots run from 0 to d , while the greek ones μ, ν, \dots to $d - 1$. The (anti-)symmetrization of indices are with weight one. For example, $T_{(\mu\nu)} = \frac{1}{2} (T_{\mu\nu} + T_{\nu\mu})$, $T_{[\mu\nu]} = \frac{1}{2} (T_{\mu\nu} - T_{\nu\mu})$.

S_E and S_M are respectively the d -dimensional FP massless action and the FP mass term:

$$S_E[h_{\mu\nu}] = \frac{1}{4} \int d^d x h^{\mu\nu} G_{\mu\nu}, \quad S_M[h_{\mu\nu}] = \frac{1}{4} \int d^d x (h^{\mu\nu} h_{\mu\nu} - h^\mu{}_\mu h^\nu{}_\nu). \quad (2.4)$$

The action (2.3) admits the Stueckelberg symmetries:

$$\delta h_{\mu\nu} = \partial_\mu \xi_\nu + \partial_\nu \xi_\mu, \quad \delta h_{\mu d} = m \xi_\mu + \partial_\mu \xi_d, \quad \delta h_{dd} = -2 m \xi_d, \quad (2.5)$$

and, gauge fixing these symmetries, one gets the FP massive action.

At this point, we take a non-standard way to proceed: we integrate-out the Lagrange multiplier h_{dd} instead of gauge-fixing it. This gives the constraint:

$$\partial^\mu \partial^\nu h_{\mu\nu} - \square h^\mu{}_\mu = 0, \quad (2.6)$$

which can be solved as (see [17–20])

$$h_{\mu\nu}(\phi) = \partial^\rho \left(\phi_{\rho(\mu,\nu)} - \frac{1}{d-1} \eta_{\mu\nu} \phi_{\rho\lambda,\lambda} \right), \quad (2.7)$$

where $\phi_{\mu\nu,\rho}$ satisfies $\phi_{(\mu\nu),\rho} = 0 = \phi_{[\mu\nu,\rho]}$ having the symmetry of

$$\phi : \begin{array}{|c|c|} \hline \square & \square \\ \hline \end{array}. \quad (2.8)$$

After gauge-fixing the remaining $h_{\mu d}$,⁴ one ends up with the following action for $\phi_{\mu\nu,\rho}$:

$$S[\phi_{\mu\nu,\rho}] = S_E[h_{\mu\nu}(\phi)] - m^2 S_M[h_{\mu\nu}(\phi)]. \quad (2.9)$$

This is nothing but the FP massive action with $h_{\mu\nu} = h_{\mu\nu}(\phi)$ (2.7). Although the novelty of this action vis-à-vis the FP one seems to be trivial, it actually encodes key information about NMG. Indeed, we will show in the following that the action (2.9) admits the gauge symmetries which are equivalent to those of NMG. This point will become manifest after dualization — the subject of the next section, so let us conclude the present section by listing all the gauge symmetries of the action (2.9):

- Firstly, the function $h_{\mu\nu}(\phi)$ itself is invariant with respect to the following gauge transformation:

$$\delta \phi_{\mu\nu,\rho} = \partial^\lambda \left(\theta_{\lambda\mu\nu,\rho} - \frac{1}{3} \theta_{\mu\nu\rho,\lambda} \right). \quad (2.10)$$

in the sense that $h_{\mu\nu}(\phi) = h_{\mu\nu}(\phi + \delta\phi)$. The gauge parameter $\theta_{\mu\nu\rho,\lambda}$ is a tensor totally antisymmetric in the first three indices and satisfies $\theta_{[\mu\nu\rho,\lambda]} = 0$: it has the symmetry of

$$\theta : \begin{array}{|c|c|c|} \hline \square & \square & \square \\ \hline \end{array}. \quad (2.11)$$

- Secondly, the action (2.9) admits the gauge symmetry:

$$\delta \phi_{\mu\nu,\rho} = (\eta_{\rho\mu} \partial_\nu - \eta_{\rho\nu} \partial_\mu) \sigma, \quad (2.12)$$

induced from the transformation (2.5): it gives $\delta h_{\mu\nu}(\phi) = \partial_\mu \partial_\nu \sigma$. However, as we will see, this symmetry does not have any counterpart in NMG.

⁴In fact, the general solution of the constraint (2.6) also involves an arbitrary vector field A_μ : $h_{\mu\nu} \rightarrow h_{\mu\nu} + \partial_{(\mu} A_{\nu)}$, but it can be gauge-fixed together with $h_{\mu d}$.

2.2 Dualization

In order to see that the action $S[\phi_{\mu\nu,\rho}]$ coincides with the NMG ones, we need to dualize the field $\phi_{\mu\nu,\rho}$. The $GL(d)$ irreducible tensor $\phi_{\mu_1\mu_2,\nu}$ is dual to the direct sum of two tensors $\widehat{\varphi}_{\mu_1\cdots\mu_{d-2},\nu}$ and $\widetilde{\varphi}_{\mu_1\cdots\mu_{d-1}}$:

$$\begin{array}{c} \phi \\ \square \square \\ \square \end{array} \simeq \left. \begin{array}{c} \widehat{\varphi} \\ \square \square \\ \square \\ \square \end{array} \right\}^{d-2} \oplus \left. \begin{array}{c} \widetilde{\varphi} \\ \square \\ \square \\ \square \end{array} \right\}^{d-1}, \quad (2.13)$$

where the symbol $\widehat{}$ of Young diagram denotes the traceless-ness. Analogously, the gauge parameter $\theta_{\mu_1\mu_2\mu_3,\nu}$ is dual to $\widehat{\vartheta}_{\mu_1\cdots\mu_{d-3},\nu}$ and $\widetilde{\vartheta}_{\mu_1\cdots\mu_{d-2}}$:

$$\begin{array}{c} \theta \\ \square \square \\ \square \end{array} \simeq \left. \begin{array}{c} \widehat{\vartheta} \\ \square \square \\ \square \\ \square \end{array} \right\}^{d-3} \oplus \left. \begin{array}{c} \widetilde{\vartheta} \\ \square \\ \square \\ \square \end{array} \right\}^{d-2}. \quad (2.14)$$

In the following, we specify these relations and show that the dual action becomes that of NMG after integrating-out $\widetilde{\varphi}_{\mu_1\cdots\mu_{d-1}}$. We first consider the three dimensional case for simplicity, and then turn to the arbitrary dimensional case.

2.2.1 Three dimensions

Let us define the dual fields for the hook tensors $\phi_{\mu_1\mu_2,\nu}$ and $\theta_{\mu_1\mu_2\mu_3,\nu}$ as

$$\begin{aligned} \widehat{\varphi}_{\mu\nu} + \widetilde{\varphi}_{\mu\nu} &= -\frac{1}{2} \epsilon_{\mu\rho\sigma} \phi^{\rho\sigma,\nu} & [\widehat{\varphi}_{[\mu\nu]} = 0 = \widetilde{\varphi}_{(\mu\nu)}], \\ \vartheta_\mu &= -\frac{1}{3!} \epsilon_{\rho\sigma\lambda} \theta^{\rho\sigma\lambda,\mu}. \end{aligned} \quad (2.15)$$

Note that in three dimensions, there is no distinction between $\widehat{\vartheta}$ and $\widetilde{\vartheta}$. The inverse relations of the above formulas are

$$\begin{aligned} \phi_{\rho\sigma,\nu} &= \frac{2}{3} (\epsilon_{\mu\rho\sigma} \widehat{\varphi}^\mu{}_\nu - \epsilon_{\mu\nu[\rho} \widehat{\varphi}^\mu{}_{\sigma]}) - \epsilon_{\mu\kappa[\rho} \eta_{\sigma]\nu} \widetilde{\varphi}^{\mu\kappa}, \\ \theta_{\rho\sigma\lambda,\nu} &= 3 \epsilon_{\kappa[\rho\sigma} \eta_{\lambda]\nu} \vartheta^\kappa. \end{aligned} \quad (2.16)$$

In terms of the dual fields, the gauge symmetry (2.10) reads

$$\delta \widehat{\varphi}_{\mu\nu} = \partial_{(\mu} \vartheta_{\nu)} - \frac{1}{3} \eta_{\mu\nu} \partial^\rho \vartheta_\rho, \quad \delta \widetilde{\varphi}_{\mu\nu} = \partial_{[\mu} \vartheta_{\nu]}. \quad (2.17)$$

Let us remind the reader that the action $S[\phi_{\mu\nu,\rho}]$ (2.9) is given through $h_{\mu\nu}(\phi)$ (2.7) and has two terms: the four-derivative one $S_E[h_{\mu\nu}(\phi)]$ and the two-derivative one $S_M[h_{\mu\nu}(\phi)]$. In the following, we recast these two terms into functionals of the dual fields, using the expression of $h_{\mu\nu}$:

$$h_{\mu\nu}(\widehat{\varphi}, \widetilde{\varphi}) = \epsilon_{\rho\sigma(\mu} \partial^\rho \widehat{\varphi}^\sigma{}_{\nu)} + \frac{1}{2} \epsilon_{\kappa\lambda(\mu} \partial_\nu) \widetilde{\varphi}^{\kappa\lambda}. \quad (2.18)$$

Four-derivative part. Let us first consider the four-derivative part, $S_E[h_{\mu\nu}(\widehat{\varphi}, \widetilde{\varphi})]$. One can notice that the $\widetilde{\varphi}_{\mu\nu}$ part of (2.18) does not contribute since it has a form of a gauge transformation (2.5) with the parameter $\xi_\mu = \frac{1}{2} \epsilon_{\mu\kappa\lambda} \widetilde{\varphi}^{\kappa\lambda}$. Hence, one ends up with a four-derivative action of $\widehat{\varphi}_{\mu\nu}$ with gauge symmetry (2.17), which is proportional to the *Bach* action:⁵

$$S_E[h_{\mu\nu}(\widehat{\varphi}, \widetilde{\varphi})] = S_B[\widehat{\varphi}_{\mu\nu}] = S_B[\varphi_{\mu\nu}] := \frac{1}{4} \int d^d x G^{\mu\nu} S_{\mu\nu}. \quad (2.19)$$

Here $\varphi_{\mu\nu}$ is a traceful tensor whose traceless part is given by $\widehat{\varphi}_{\mu\nu}$, and $S_{\mu\nu} = G_{\mu\nu} - \frac{1}{2} \eta_{\mu\nu} G^\rho{}_\rho$ is the Schouten tensor. The second equality holds thanks to the Weyl symmetry of the *Bach* action.

Two-derivative part. The two-derivative part, $S_M[h_{\mu\nu}(\widehat{\varphi}, \widetilde{\varphi})]$, is given by

$$\begin{aligned} S_M[h_{\mu\nu}(\widehat{\varphi}, \widetilde{\varphi})] &= \frac{1}{4} \int d^3 x \left[(\epsilon_{\rho\sigma(\mu} \partial^\rho \widehat{\varphi}^{\sigma}{}_{\nu)})^2 - (\epsilon_{\rho\sigma(\mu} \partial^\rho \widehat{\varphi}^{\sigma}{}_{\nu}) (\epsilon^{\kappa\lambda(\mu} \partial^\nu) \widetilde{\varphi}_{\kappa\lambda}) \right. \\ &\quad \left. + \frac{1}{4} (\epsilon^{\kappa\lambda(\mu} \partial^\nu) \widetilde{\varphi}_{\kappa\lambda})^2 - \frac{1}{4} (\epsilon^{\rho\sigma\lambda} \partial_\rho \widetilde{\varphi}_{\sigma\lambda})^2 \right] \\ &= \frac{1}{4} \int d^3 x \left[(\epsilon_{\rho\sigma(\mu} \partial^\rho \widehat{\varphi}^{\sigma}{}_{\nu)})^2 - (\partial^\rho \widehat{\varphi}_{\mu\rho}) (\partial_\sigma \widetilde{\varphi}^{\mu\sigma}) - \frac{1}{2} (\partial_\sigma \widetilde{\varphi}^{\mu\sigma})^2 \right]. \end{aligned} \quad (2.20)$$

The antisymmetric field $\widetilde{\varphi}_{\mu\nu}$ can be integrated out from the above action by solving the $\widetilde{\varphi}_{\mu\nu}$ -shell condition:

$$\partial_{[\mu} \partial^\rho \widetilde{\varphi}_{\nu]\rho} + \partial_{[\mu} \partial^\rho \widehat{\varphi}_{\nu]\rho} = 0, \quad (2.21)$$

as

$$\partial^\rho \widetilde{\varphi}_{\mu\rho} + \partial^\rho \widehat{\varphi}_{\mu\rho} = \partial_\mu \chi. \quad (2.22)$$

Here χ is an arbitrary field subjected to the condition:

$$\square \chi = \partial^\mu \partial^\nu \widehat{\varphi}_{\mu\nu}, \quad (2.23)$$

which is inherited from the antisymmetric property of $\widetilde{\varphi}_{\mu\nu}$: $\partial^\mu \partial^\nu \widetilde{\varphi}_{\mu\nu} = 0$. Hence, on the $\widetilde{\varphi}_{\mu\nu}$ -shell, the action (2.20) becomes

$$\frac{1}{4} \int d^3 x \left[(\epsilon_{\rho\sigma(\mu} \partial^\rho \widehat{\varphi}^{\sigma}{}_{\nu)})^2 - \frac{1}{2} \partial_\sigma \widehat{\varphi}^{\mu\sigma} (\partial_\mu \chi - \partial^\rho \widehat{\varphi}_{\mu\rho}) \right], \quad (2.24)$$

with χ satisfying (2.23). The latter condition on χ can be viewed as an χ -shell one resulting from an off-shell action $S_2[\widehat{\varphi}_{\mu\nu}, \chi]$. Indeed, one can determine such an action as

$$S_2[\widehat{\varphi}_{\mu\nu}, \chi] = \frac{1}{4} \int d^3 x \left[(\epsilon_{\rho\sigma(\mu} \partial^\rho \widehat{\varphi}^{\sigma}{}_{\nu)})^2 + \frac{1}{2} (\partial_\mu \chi - \partial^\rho \widehat{\varphi}_{\mu\rho})^2 \right]. \quad (2.25)$$

Let us notice that, as a consequence of (2.17) and (2.23), the field χ is subject to gauge transformation:

$$\delta \chi = \frac{2}{3} \partial_\mu \vartheta^\mu, \quad (2.26)$$

⁵There is a unique four-derivative action for any field of two column Young diagram, which is invariant under the corresponding gauge and Weyl transformations. In this paper, the latter will be referred as *Bach* action.

so one can consider the following combination of $\widehat{\varphi}_{\mu\nu}$ and χ :

$$\varphi_{\mu\nu} := \widehat{\varphi}_{\mu\nu} + \frac{1}{2} \eta_{\mu\nu} \chi, \quad (2.27)$$

that has a standard gauge transformation:

$$\delta \varphi_{\mu\nu} = \partial_{(\mu} \vartheta_{\nu)}. \quad (2.28)$$

Therefore, the action (2.25) is a two-derivative functional of $\varphi_{\mu\nu}$ with the gauge symmetry (2.28). This implies that it must be proportional to the FP massless action. Indeed, we get

$$S_M[h_{\mu\nu}(\widehat{\varphi}, \widetilde{\varphi})] \approx S_E[\varphi_{\mu\nu}], \quad (2.29)$$

where, by \approx , we mean the on-shell equivalence.

Collecting the four- and two-derivative terms, one ends up with the action:

$$S_E[h_{\mu\nu}(\widehat{\varphi}, \widetilde{\varphi})] - m^2 S_M[h_{\mu\nu}(\widehat{\varphi}, \widetilde{\varphi})] \approx S_B[\varphi_{\mu\nu}] - m^2 S_E[\varphi_{\mu\nu}], \quad (2.30)$$

which is nothing but the linearization (1.1) of NMG [1].

2.2.2 General d dimensions

Arbitrary dimensional case is a straightforward generalization of the three dimensional one, so we provide the formulas parallel to the three dimensional ones, minimizing repetition of comments.

The field $\phi_{\rho\sigma,\nu}$ and the gauge parameter $\theta_{\rho\sigma\lambda,\nu}$ are dualized, instead of (2.15), as

$$\begin{aligned} \widehat{\varphi}_{\mu_1 \dots \mu_{d-2}, \nu} + \widetilde{\varphi}_{\mu_1 \dots \mu_{d-2} \nu} &= -\frac{1}{2} \epsilon_{\mu_1 \dots \mu_{d-2} \rho \sigma} \phi^{\rho\sigma, \nu}, \\ \widehat{\vartheta}_{\mu_1 \dots \mu_{d-3}, \nu} + \widetilde{\vartheta}_{\mu_1 \dots \mu_{d-3} \nu} &= -\frac{1}{3!} \epsilon_{\mu_1 \dots \mu_{d-3} \rho \sigma \lambda} \theta^{\rho\sigma\lambda, \nu}, \end{aligned} \quad (2.31)$$

where $\widetilde{\varphi}$ and $\widetilde{\vartheta}$ are totally antisymmetric tensors, while $\widehat{\varphi}$ and $\widehat{\vartheta}$ are hook-type ones with $\widehat{\varphi}_{[\mu_1 \dots \mu_{d-2}, \nu]} = 0 = \widehat{\vartheta}_{[\mu_1 \dots \mu_{d-3}, \nu]}$. The inverse relations are given by

$$\begin{aligned} \phi_{\rho\sigma,\nu} &= \frac{2}{3(d-2)!} \left(\epsilon_{\mu_1 \dots \mu_{d-2} \rho \sigma} \widehat{\varphi}^{\mu_1 \dots \mu_{d-2}, \nu} - \epsilon_{\mu_1 \dots \mu_{d-2} \nu [\rho} \widehat{\varphi}^{\mu_1 \dots \mu_{d-2}, \sigma]} \right) \\ &\quad - \frac{2}{(d-1)!} \epsilon_{\mu_1 \dots \mu_{d-2} \kappa [\rho} \eta_{\sigma] \nu} \widetilde{\varphi}^{\mu_1 \dots \mu_{d-2} \kappa}, \\ \theta_{\rho\sigma\lambda,\nu} &= \frac{3}{2(d-3)!} \left(\epsilon_{\mu_1 \dots \mu_{d-3} \rho \sigma \lambda} \widehat{\vartheta}^{\mu_1 \dots \mu_{d-3}, \nu} - \epsilon_{\mu_1 \dots \mu_{d-3} \nu [\rho \sigma} \widehat{\vartheta}^{\mu_1 \dots \mu_{d-3}, \lambda]} \right) \\ &\quad + \frac{3}{(d-2)!} \epsilon_{\mu_1 \dots \mu_{d-3} \kappa [\rho \sigma} \eta_{\lambda] \nu} \widetilde{\vartheta}^{\mu_1 \dots \mu_{d-3} \kappa}, \end{aligned} \quad (2.32)$$

and the gauge symmetry (2.10) becomes

$$\begin{aligned} \delta \widehat{\varphi}_{\mu_1 \dots \mu_{d-2}, \nu} &= (d-2) \left[\partial_{[\mu_{d-2}} \widehat{\vartheta}_{\mu_1 \dots \mu_{d-3}, \nu]} - \frac{1}{3} \eta_{\nu [\mu_{d-2}} \partial^\rho \widehat{\vartheta}_{\mu_1 \dots \mu_{d-3}, \rho]} \right. \\ &\quad \left. + \partial_{[\mu_{d-2}} \widetilde{\vartheta}_{\mu_1 \dots \mu_{d-3}, \nu]} - \partial_{[\mu_{d-2}} \widetilde{\vartheta}_{\mu_1 \dots \mu_{d-3} \nu]} - \frac{1}{3} \eta_{\nu [\mu_{d-2}} \partial^\rho \widetilde{\vartheta}_{\mu_1 \dots \mu_{d-3}, \rho]} \right], \\ \delta \widetilde{\varphi}_{\mu_1 \dots \mu_{d-1}} &= -(d-2) \partial_{[\mu_{d-1}} \widetilde{\vartheta}_{\mu_1 \dots \mu_{d-2}]} . \end{aligned} \quad (2.33)$$

Plugging (2.32) into (2.7), one gets

$$h_{\mu\nu}(\widehat{\varphi}, \widetilde{\varphi}) = \frac{1}{(d-2)!} \epsilon_{\rho_1 \dots \rho_{d-2} \lambda (\mu} \partial^\lambda \widehat{\varphi}^{\rho_1 \dots \rho_{d-2}, \nu)} + \frac{1}{(d-1)!} \epsilon_{\sigma_1 \dots \sigma_{d-1} (\mu} \partial_\nu \widetilde{\varphi}^{\sigma_1 \dots \sigma_{d-1}}. \quad (2.34)$$

We now plug the above solution in the action (2.9). The antisymmetric field $\widetilde{\varphi}_{\mu_1 \dots \mu_{d-1}}$ does not contribute to the four-derivative part $S_E[h_{\mu\nu}(\widehat{\varphi}, \widetilde{\varphi})]$ due to gauge invariance of the latter. The resulting action is the Bach action for the hook field $\varphi_{\mu_1 \dots \mu_{d-2}, \nu}$:

$$S_E[h_{\mu\nu}(\widehat{\varphi}, \widetilde{\varphi})] = S_B[\varphi_{\mu_1 \dots \mu_{d-2}, \nu}] := \frac{1}{4(d-2)!} \int d^d x G^{\mu_1 \dots \mu_{d-2}, \nu} S_{\mu_1 \dots \mu_{d-2}, \nu}, \quad (2.35)$$

where $G^{\mu_1 \dots \mu_{d-2}, \nu}$ and $S^{\mu_1 \dots \mu_{d-2}, \nu}$ are respectively the generalized Einstein and Schouten tensors given by

$$\begin{aligned} G^{\mu_1 \dots \mu_{d-2}, \nu} &= \epsilon^{\mu_1 \dots \mu_{d-2} \kappa \sigma} \epsilon_{\rho_1 \dots \rho_{d-2} \lambda \mu} \partial_\kappa \partial^\lambda \varphi^{\rho_1 \dots \rho_{d-2}, \sigma} \\ S^{\mu_1 \dots \mu_{d-2}, \nu} &= G^{\mu_1 \dots \mu_{d-2}, \nu} - \frac{1}{2} \delta_\nu^{[\mu_1} G^{\rho \mu_2 \dots \mu_{d-2}], \rho}. \end{aligned} \quad (2.36)$$

Let us notice that the Bach action (2.35) has the Weyl symmetry $\delta \varphi_{\mu_1 \dots \mu_{d-2}, \nu} = \eta_{\nu [\mu_1} \alpha_{\mu_2 \dots \mu_{d-2}]}$, where the parameter $\alpha_{\mu_1 \dots \mu_{d-3}}$ is a totally antisymmetric tensor.

On the other hand, the two-derivative part is given by

$$\begin{aligned} S_M[h_{\mu\nu}(\widehat{\varphi}, \widetilde{\varphi})] &= \frac{1}{4(d-2)!} \int d^3 x \left[\frac{1}{(d-2)!} \left(\epsilon_{\rho_1 \dots \rho_{d-2} \lambda (\mu} \partial^\lambda \widehat{\varphi}^{\rho_1 \dots \rho_{d-2}, \nu)} \right)^2 \right. \\ &\quad \left. - \left(\partial^\nu \widehat{\varphi}_{\mu_1 \dots \mu_{d-2}, \nu} \right) \left(\partial_\rho \widetilde{\varphi}^{\mu_1 \dots \mu_{d-2} \rho} \right) - \frac{1}{2} \left(\partial_\rho \widetilde{\varphi}^{\mu_1 \dots \mu_{d-2} \rho} \right)^2 \right], \end{aligned} \quad (2.37)$$

and its $\widetilde{\varphi}$ -shell condition:

$$\partial_{[\rho} \partial^\nu \widetilde{\varphi}_{\mu_1 \dots \mu_{d-2}] \nu} + \partial_{[\rho} \partial^\nu \widehat{\varphi}_{\mu_1 \dots \mu_{d-2}], \nu} = 0, \quad (2.38)$$

admits the following solution:

$$\partial^\nu \widetilde{\varphi}_{\mu_1 \dots \mu_{d-2} \nu} + \partial^\nu \widehat{\varphi}_{\mu_1 \dots \mu_{d-2}, \nu} = \partial_{[\mu_1} \chi_{\mu_2 \dots \mu_{d-2}]}, \quad (2.39)$$

$$\partial^\nu \partial_{[\nu} \chi_{\mu_1 \dots \mu_{d-3}]} = \partial^\rho \partial^\sigma \widehat{\varphi}_{\mu_1 \dots \mu_{d-3} \rho, \sigma}. \quad (2.40)$$

Analogously to the three dimensional case, one can show that the action (2.37) is (on-shell) equivalent to the action:

$$\begin{aligned} S_2[\widehat{\varphi}_{\mu_1 \dots \mu_{d-2}, \nu}, \chi_{\mu_1 \dots \mu_{d-3}}] &= \frac{1}{4(d-2)!} \int d^d x \left[\frac{1}{(d-2)!} \left(\epsilon_{\rho_2 \dots \rho_d (\mu} \partial^{\rho_2} \widehat{\varphi}^{\rho_3 \dots \rho_d \nu)} \right)^2 \right. \\ &\quad \left. + \frac{1}{2} \left(\partial_{[\mu_1} \chi_{\mu_2 \dots \mu_{d-2}]} - \partial^\nu \widehat{\varphi}_{\mu_1 \dots \mu_{d-2}, \nu} \right)^2 \right], \end{aligned} \quad (2.41)$$

possessing the gauge symmetry given by (2.33) and

$$\delta \chi_{\mu_1 \dots \mu_{d-3}} = \frac{2}{3} (d-2) \left(\partial^\nu \widehat{\vartheta}_{\mu_1 \dots \mu_{d-3}, \nu} + \partial^\nu \widetilde{\vartheta}_{\mu_1 \dots \mu_{d-3} \nu} \right). \quad (2.42)$$

The following combination of $\widehat{\varphi}_{\mu_1 \dots \mu_{d-2}, \nu}$ and $\chi_{\mu_1 \dots \mu_{d-3}}$:

$$\varphi_{\mu_1 \dots \mu_{d-2}, \nu} := \widehat{\varphi}_{\mu_1 \dots \mu_{d-2}, \nu} + \frac{1}{2} \eta_{\nu[\mu_{d-2}} \chi_{\mu_1 \dots \mu_{d-3}]}, \quad (2.43)$$

leads to the usual gauge transformation:

$$\delta \varphi_{\mu_1 \dots \mu_{d-2}, \nu} = (d-2) \left[\partial_{[\mu_{d-2}} \widehat{\vartheta}_{\mu_1 \dots \mu_{d-3}], \nu} + \partial_{[\mu_{d-2}} \widetilde{\vartheta}_{\mu_1 \dots \mu_{d-3}] \nu} - \partial_{[\mu_{d-2}} \widetilde{\vartheta}_{\mu_1 \dots \mu_{d-3} \nu]} \right]. \quad (2.44)$$

Therefore, the two-derivative part of the action becomes the (generalized) Einstein action for the hook field [21] $\varphi_{\mu_1 \dots \mu_{d-2}, \nu}$:

$$S_M[h_{\mu\nu}(\widehat{\varphi}, \widetilde{\varphi})] \approx S_E[\varphi_{\mu_1 \dots \mu_{d-2}, \nu}] := \frac{1}{4(d-2)!} \int d^d x \varphi^{\mu_1 \dots \mu_{d-2}, \nu} G_{\mu_1 \dots \mu_{d-2}, \nu}. \quad (2.45)$$

Finally, the total action reads

$$S[\varphi_{\mu_1 \dots \mu_{d-2}, \nu}] = S_B[\varphi_{\mu_1 \dots \mu_{d-2}, \nu}] - m^2 S_E[\varphi_{\mu_1 \dots \mu_{d-2}, \nu}], \quad (2.46)$$

and it generalizes the NMG actions [1, 3] to arbitrary dimensions. In the massless limit, one ends up with the Bach action, which propagates a massless spin two and a scalar (see appendix A for the proof).

3 New topologically massive theories

In this section, we turn to the so-called New topologically massive theories, and show how the actions of those theories can be obtained from the ordinary formulation. Our analysis goes alongside the works [16, 17] whose main concern is the EM duality. The difference, or novelty, of our method lies in introducing the Hamiltonian with respect to a spatial direction z rather than time x^0 . This allows dimensional reduction on the z direction, at the same time increasing the number of derivatives by solving Hamiltonian constraints. We consider two types of fields: p -form fields and two-columns fields of height p . For $p = 1$, they provide the Maxwell-Chern-Simons(CS) action and NTMG, respectively.⁶

For the sake of brevity, from now on, we use notation $\mu[p]$ for totally antisymmetric indices $\mu_1 \dots \mu_p$.

3.1 p -form field

For a better understanding of the derivation of NTMG, we first consider that of the topologically massive p -form action. We essentially follow the work [16] where (anti-)self-dual p -form action has been derived.

⁶It is known that in three dimensions, due to existence of the CS term, there exists a Lagrangian description for one propagating mode of massive spin one. It can be described either by the first-order action [22], or by the second-order (Maxwell-CS) one [5]. For the fields with spin greater than one, there are more than two different actions which describe the propagation of a single massive mode in three dimensions [5–7, 23–35].

We begin with the action of a p -form field $\mathcal{A}_{m[p]}$ in $d + 1$ dimensions:

$$\begin{aligned} \mathcal{S}[\mathcal{A}_{m[p]}] &= -\frac{1}{2(p+1)!} \int d^d x dz \mathcal{F}^{m[p+1]} \mathcal{F}_{m[p+1]} \\ &= -\int d^d x dz \left[\frac{1}{2(p+1)!} \mathcal{F}^{\mu[p+1]} \mathcal{F}_{\mu[p+1]} + \frac{1}{2p!} \left(\partial_z \mathcal{A}_{\mu[p]} - p \partial_\mu \mathcal{A}_{d\mu[p-1]} \right)^2 \right], \end{aligned} \quad (3.1)$$

where $\mathcal{F}_{m[p+1]}$ is the field strength defined by

$$\mathcal{F}_{m[p+1]} := (p+1)! \partial_m \mathcal{A}_{m[p]}. \quad (3.2)$$

We introduce the canonically conjugate field with respect to the coordinate z as

$$\pi^{\mu[p]} := \frac{\delta \mathcal{S}}{\delta (\partial_z \mathcal{A}_{\mu[p]})} = -\partial_z \mathcal{A}^{\mu[p]} + p \partial^\mu \mathcal{A}^{d\mu[p-1]}, \quad (3.3)$$

then the action can be written as

$$\begin{aligned} \mathcal{S}[\mathcal{A}_{m[p]}, \pi^{\mu[p]}] &= \int d^d x dz \left[\frac{1}{p!} \pi^{\mu[p]} \partial_z \mathcal{A}_{\mu[p]} + \frac{1}{2p!} \pi_{\mu[p]} \pi^{\mu[p]} - \frac{1}{2(p+1)!} \mathcal{F}^{\mu[p+1]} \mathcal{F}_{\mu[p+1]} \right. \\ &\quad \left. + \frac{1}{(p-1)!} \mathcal{A}_{d\mu[p-1]} \partial_\nu \pi^{\nu\mu[p-1]} \right]. \end{aligned} \quad (3.4)$$

Note that the sign of “momentum” squared term is unusual since the role of “time” is played by the space-like coordinate z . Due to the p -form gauge symmetry, the action (3.4) involves a Lagrange multiplier $\mathcal{A}_{d\mu[p-1]}$. The corresponding constraint,

$$\partial_\mu \pi^{\mu[p]} = 0, \quad (3.5)$$

can be solved by a totally antisymmetric field $\mathcal{B}^{\mu[p+1]}$ as

$$\pi^{\mu[p]} = \partial_\nu \mathcal{B}^{\mu[p]\nu}. \quad (3.6)$$

At this point, we focus on the dimension $d = 2p + 1$, and dualize the solution field as

$$\mathcal{B}^{\mu[p+1]} = \epsilon^{\mu[p+1]\nu[p]} \mathcal{B}_{\nu[p]}. \quad (3.7)$$

In terms of the dual field $\mathcal{B}_{\nu[p]}$, the action (3.4) is given by

$$\begin{aligned} \mathcal{S}[\mathcal{A}_{\mu[p]}, \mathcal{B}_{\mu[p]}] &= \int d^{2p+1} x dz \left[\frac{1}{(p!)^2} \epsilon^{\mu[2p+1]} \partial_z \mathcal{A}_{\mu[p]} \partial_\mu \mathcal{B}_{\mu[p]} \right. \\ &\quad \left. - \frac{1}{2(p+1)!} \mathcal{J}^{\mu[p+1]} \mathcal{J}_{\mu[p+1]} - \frac{1}{2(p+1)!} \mathcal{F}^{\mu[p+1]} \mathcal{F}_{\mu[p+1]} \right], \end{aligned} \quad (3.8)$$

where $\mathcal{J}_{\mu[p+1]} := (p+1)! \partial_\mu \mathcal{B}_{\mu[p]}$ is the field strength of $\mathcal{B}_{\mu[p]}$.

(Anti-)Self-dual p -form ($p = 2k$). Let us focus on the first term of the action (3.8):

$$\mathcal{P}[\mathcal{A}_{\mu[p]}, \mathcal{B}_{\mu[p]}] := \frac{1}{(p!)^2} \int d^{2p+1}x dz \epsilon^{\mu[2p+1]} \partial_z \mathcal{A}_{\mu[p]} \partial_\mu \mathcal{B}_{\mu[p]}, \quad (3.9)$$

which has the following symmetry property:

$$\mathcal{P}[\mathcal{A}_{\mu[p]}, \mathcal{B}_{\mu[p]}] = (-1)^p \mathcal{P}[\mathcal{B}_{\mu[p]}, \mathcal{A}_{\mu[p]}]. \quad (3.10)$$

For even $p = 2k$ (with dimensions $d + 1 = 4k + 2$), it becomes symmetric so that one can decompose the action into those of self-dual and anti-self-dual p -form:

$$\mathcal{S}[\mathcal{A}_{\mu[p]}, \mathcal{B}_{\mu[p]}] = \mathcal{S}_{+c}[\mathcal{A}_{\mu[p]}^+] + \mathcal{S}_{-c}[\mathcal{A}_{\mu[p]}^-], \quad \mathcal{A}_{\mu[p]}^\pm = \frac{1}{\sqrt{2}} (\mathcal{A}_{\mu[p]} \pm \mathcal{B}_{\mu[p]}). \quad (3.11)$$

Here, the action of (anti-)self-dual p -form [36] reads

$$\mathcal{S}_{\pm c}[\mathcal{A}_{\mu[p]}] = \frac{1}{2} \int d^{2p+1}x dz \left[\pm \frac{1}{(p!)^2} \epsilon^{\mu[2p+1]} \partial_z \mathcal{A}_{\mu[p]} \partial_\mu \mathcal{A}_{\mu[p]} - \frac{1}{(p+1)!} \mathcal{F}^{\mu[p+1]} \mathcal{F}_{\mu[p+1]} \right]. \quad (3.12)$$

Topologically massive p -form ($p = 2k + 1$). When the form-degree is odd: $p = 2k + 1$, that is when $d = 4k + 3$, one can consider the dimensional reduction:

$$\mathcal{A}_{\mu[p]}(x, z) = \cos(mz) A_{\mu[p]}(x), \quad \mathcal{B}_{\mu[p]}(x, z) = \sin(mz) B_{\mu[p]}(x). \quad (3.13)$$

Then, the first term of the action (3.8) gives

$$P[A_{\mu[p]}, B_{\mu[p]}] := \frac{1}{(p!)^2} \int d^{2p+1}x \epsilon^{\mu[2p+1]} A_{\mu[p]} \partial_\mu B_{\mu[p]}, \quad (3.14)$$

which has a different symmetry property compared to (3.10):

$$P[A_{\mu[p]}, B_{\mu[p]}] = (-1)^{p+1} P[B_{\mu[p]}, A_{\mu[p]}]. \quad (3.15)$$

Hence, it becomes symmetric for $p = 2k + 1$, and the dimensionally reduced action can be again split into two copies of topologically massive action with opposite mass:

$$S[A_{\mu[p]}, B_{\mu[p]}] = S_{\text{T}}[+m; A_{\mu[p]}^+] + S_{\text{T}}[-m; A_{\mu[p]}^-], \quad A_{\mu[p]}^\pm = \frac{1}{\sqrt{2}} (A_{\mu[p]} \pm B_{\mu[p]}), \quad (3.16)$$

where S_{T} is the p -form generalization of the Maxwell-CS action:

$$S_{\text{T}}[m; A_{\mu[p]}] = -\frac{1}{2} \int d^{2p+1}x \left[\frac{1}{(p+1)!} F^{\mu[p+1]} F_{\mu[p+1]} + \frac{m}{(p!)^2} \epsilon^{\mu[2p+1]} A_{\mu[p]} \partial_\mu A_{\mu[p]} \right]. \quad (3.17)$$

3.2 Field with two-column Young symmetry

Let us consider now the fields with two-column Young symmetry:

$$\mathcal{H} : \left. \begin{array}{|c|c|} \hline & \\ \hline & \\ \hline & \\ \hline \end{array} \right\} p, \quad (3.18)$$

or, the $[p, p]$ -symmetry fields.⁷ We begin with the $(d + 1)$ -dimensional massless action [21] for $[p, p]$ -symmetry field:

$$\mathcal{S}[\mathcal{H}_{m[p]}^{n[p]}] = -\frac{1}{4(p!)^2} \int d^d x dz \delta_{n[2p+1]}^{m[2p+1]} \partial_m \mathcal{H}_{m[p]}^{n[p]} \partial^n \mathcal{H}_{m[p]}^{n[p]}, \quad (3.19)$$

where $\delta_{n[p]}^{m[p]}$ is the generalized Kronecker delta:

$$\delta_{n[p]}^{m[p]} = \delta_{n_1 \dots n_p}^{m_1 \dots m_p} := p! \delta_{[n_1}^{[m_1} \dots \delta_{n_p]}^{m_p]}. \quad (3.20)$$

This action describes a massless particle carrying the helicity representation of $[p, p]$ Young diagram, and it is invariant under the gauge transformations:

$$\delta \mathcal{H}_{n[p]}^{m[p]} = \partial_n \xi_{n[p-1]}^{m[p]} + \partial^m \xi_{n[p-1]}^{m[p-1]}, \quad (3.21)$$

with the $[p, p - 1]$ -symmetry parameter $\xi_{n[p-1]}^{m[p]}$.

For our purpose, we first write the action (3.19) in a way that the spatial direction z is distinguished:

$$\begin{aligned} \mathcal{S}[\mathcal{H}_{m[p]}^{n[p]}] = \int d^d x dz \left[\frac{-1}{4(p!)^2} \delta_{\nu[2p+1]}^{\mu[2p+1]} \partial_\mu \mathcal{H}_{\mu[p]}^{\nu[p]} \partial^\nu \mathcal{H}_{\mu[p]}^{\nu[p]} \right. \\ + \frac{(-1)^p}{2[(p-1)!]^2} \delta_{\nu[2p]}^{\mu[2p]} \mathcal{H}_{d\mu[p-1]}^{d\nu[p-1]} \partial_\mu \partial^\nu \mathcal{H}_{\mu[p]}^{\nu[p]} \\ \left. + \frac{(-1)^{p+1}}{4(p!)^2} \delta_{\nu[2p]}^{\mu[2p]} \left(\partial_z \mathcal{H}_{\mu[p]}^{\nu[p]} - p \partial_\mu \mathcal{H}_{d\mu[p-1]}^{\nu[p]} - p \partial^\nu \mathcal{H}_{\mu[p]}^{d\nu[p-1]} \right)^2 \right]. \end{aligned} \quad (3.22)$$

Then, we introduce the canonically conjugate field as

$$\pi_{\sigma[p]}^{\rho[p]} := \frac{\delta \mathcal{S}}{\delta (\partial_z \mathcal{H}_{\rho[p]}^{\sigma[p]})} = \delta_{\sigma[p]\nu[p]}^{\mu[p]\rho[p]} \left(-\partial_z \mathcal{H}_{\mu[p]}^{\nu[p]} + p \partial^\nu \mathcal{H}_{\mu[p]}^{d\nu[p-1]} + p \partial_\mu \mathcal{H}_{d\mu[p-1]}^{\nu[p]} \right). \quad (3.23)$$

and recast the action (3.22) into the Hamiltonian one as

$$\begin{aligned} \mathcal{S}[\mathcal{H}_{m[p]}^{n[p]}, \pi_{\nu[p]}^{\mu[p]}] = \int d^d x dz \left[\frac{1}{2(p!)^2} \pi_{\nu[p]}^{\mu[p]} \partial_z \mathcal{H}_{\mu[p]}^{\nu[p]} \right. \\ + \frac{1}{4(p!)^2} \gamma_{\mu[2p]}^{\nu[2p]} \pi_{\nu[p]}^{\mu[p]} \pi_{\nu[p]}^{\mu[p]} - \frac{1}{4(p!)^2} \delta_{\nu[2p+1]}^{\mu[2p+1]} \partial_\mu \mathcal{H}_{\mu[p]}^{\nu[p]} \partial^\nu \mathcal{H}_{\mu[p]}^{\nu[p]} \\ \left. - \frac{1}{2(p-1)! p!} \mathcal{H}_{\mu[p]}^{\nu[p-1]d} \partial^\nu \pi_{\nu[p]}^{\mu[p]} + \frac{(-1)^p}{2[(p-1)!]^2} \delta_{\nu[2p]}^{\mu[2p]} \mathcal{H}_{\mu[p-1]d}^{\nu[p-1]d} \partial_\mu \partial^\nu \mathcal{H}_{\mu[p]}^{\nu[p]} \right]. \end{aligned} \quad (3.24)$$

⁷In this paper, the $[p, q]$ -symmetry refers to the index symmetry of two-column Young diagram with respective height p and q .

Here, $\gamma_{\nu^{[2p]}}^{\nu^{[2p]}}$ is the inverse of $\delta_{\nu^{[2p]}}^{\mu^{[2p]}}$ defined by

$$\delta_{\sigma^{[p]}\nu^{[p]}}^{\mu^{[p]}\rho^{[p]}} \gamma_{\rho^{[p]}\lambda^{[p]}}^{\sigma^{[p]}\kappa^{[p]}} = \frac{1}{(p!)^2} \delta_{\lambda^{[p]}}^{\mu^{[p]}} \delta_{\nu^{[p]}}^{\kappa^{[p]}}. \quad (3.25)$$

The last line of the action (3.24) involves two Lagrangian multipliers and the system is subject to the corresponding constraints:

$$\partial^\nu \pi_{\nu^{[p]}}^{\mu^{[p]}} = 0, \quad \delta_{\nu^{[2p]}}^{\mu^{[2p]}} \partial_\mu \partial^\nu \mathcal{H}_{\mu^{[p]}}^{\nu^{[p]}} = 0. \quad (3.26)$$

By solving these constraints, one can transform the action (3.24) into a higher-derivative one.

- First, the constraint on the conjugate field can be solved as

$$\pi_{\nu^{[p]}}^{\mu^{[p]}} = \partial_\rho \partial^\sigma \mathcal{U}_{\sigma\nu^{[p]}}^{\rho\mu^{[p]}}, \quad (3.27)$$

where $\mathcal{U}_{\nu^{[p+1]}}^{\mu^{[p+1]}}$ is a $[p+1, p+1]$ -symmetry field. When $d = 2p+1$, the traceless part of $\mathcal{U}_{\nu^{[p+1]}}^{\mu^{[p+1]}}$ vanishes identically and it is equivalent to its trace part, that is a $[p, p]$ -symmetry field:

$$\mathcal{U}_{\nu^{[p+1]}}^{\mu^{[p+1]}} = \delta_{\nu^{[p+1]}\sigma^{[p]}}^{\mu^{[p+1]}\rho^{[p]}} \mathcal{U}_{\rho^{[p]}}^{\sigma^{[p]}}. \quad (3.28)$$

In terms of $\mathcal{U}_{\rho^{[p]}}^{\sigma^{[p]}}$ (which can be also viewed as the double dual of $\mathcal{U}_{\nu^{[p+1]}}^{\mu^{[p+1]}}$), the solution for the conjugate field has the form of the (generalized) Einstein tensor $G_{\nu^{[p]}}^{\mu^{[p]}}$ for $[p, p]$ -symmetry field:

$$\pi_{\nu^{[p]}}^{\mu^{[p]}} = (-1)^p G_{\nu^{[p]}}^{\mu^{[p]}}(\mathcal{U}) = \delta_{\nu^{[p]}\sigma^{[p+1]}}^{\mu^{[p]}\rho^{[p+1]}} \partial_\rho \partial^\sigma \mathcal{U}_{\rho^{[p]}}^{\sigma^{[p]}}. \quad (3.29)$$

- The second constraint in (3.26) can be solved as

$$\mathcal{H}_{\mu^{[p]}}^{\nu^{[p]}} = \frac{1}{2} \gamma_{\mu^{[p]}\rho^{[p]}}^{\nu^{[p]}\sigma^{[p]}} \left(\partial_\lambda \mathcal{V}_{\sigma^{[p]}}^{\lambda\rho^{[p]}} + \partial^\lambda \mathcal{V}_{\lambda\sigma^{[p]}}^{\rho^{[p]}} \right), \quad (3.30)$$

where $\mathcal{V}_{\mu^{[p]}}^{\nu^{[p+1]}}$ is a $[p+1, p]$ -symmetry field. After dualizing $\mathcal{V}_{\mu^{[p]}}^{\nu^{[p+1]}}$, the solution can be recast into

$$\mathcal{H}_{\mu^{[p]}}^{\nu^{[p]}} = \frac{1}{2} \left(\epsilon_{\rho^{[p+1]}\mu^{[p]}} \partial^\rho \mathcal{V}^{\rho[p],\nu^{[p]}} + \epsilon^{\sigma^{[p+1]}\nu^{[p]}} \partial_\sigma \mathcal{V}_{\mu^{[p]},\sigma^{[p]}} + \partial_\mu \mathcal{W}_{\mu^{[p-1]}}^{\nu^{[p]}} + \partial^\nu \mathcal{W}_{\mu^{[p]}}^{\nu^{[p-1]}} \right), \quad (3.31)$$

where $\mathcal{W}_{\mu^{[p-1]}}^{\nu^{[p]}}$ is a $[p, p-1]$ -symmetry field given by the trace part of $\mathcal{V}_{\mu^{[p]}}^{\nu^{[p+1]}}$. $\mathcal{V}_{\mu^{[p]},\nu^{[p]}}$ is the dual of the traceless part of $\mathcal{V}_{\mu^{[p]}}^{\nu^{[p+1]}}$ so it has $[p, p]$ -symmetry. Since the trace part of $\mathcal{V}_{\mu^{[p]},\nu^{[p]}}$ does not contribute to the expression (3.31), we consider henceforth $\mathcal{V}_{\mu^{[p]},\nu^{[p]}}$ as traceful.

We substitute the solutions (3.29) and (3.31) in the action (3.24). Notice first that, since $\pi_{\nu^{[p]}}^{\mu^{[p]}}$ is given by the Einstein tensor, the action is invariant under the gauge transformation of $\mathcal{H}_{\mu^{[p]}}^{\nu^{[p]}}$. Consequently, the $\mathcal{W}_{\mu^{[p-1]}}^{\nu^{[p]}}$ terms in the solution (3.31) do not contribute, and the action becomes a functional of two $[p, p]$ -symmetry fields $\mathcal{U}_{\mu^{[p]}}^{\nu^{[p]}}$ and $\mathcal{V}_{\mu^{[p]}}^{\nu^{[p]}}$. All in all, the resulting action reads

$$\mathcal{S}[\mathcal{U}_{\mu^{[p]}}^{\nu^{[p]}}, \mathcal{V}_{\mu^{[p]}}^{\nu^{[p]}}] = \frac{1}{(p!)^2} \int d^{2p+1}x dz \left[-\frac{1}{2} \epsilon^{\mu^{[2p+1]}} \partial_z \mathcal{V}_{\mu^{[p]},\nu^{[p]}} \partial_\mu G_{\nu^{[p]}}^{\mu^{[p]}}(\mathcal{U}) + \frac{1}{4} G_{\mu^{[p]}}^{\nu^{[p]}}(\mathcal{U}) S_{\nu^{[p]}}^{\mu^{[p]}}(\mathcal{U}) + \frac{1}{4} G_{\mu^{[p]}}^{\nu^{[p]}}(\mathcal{V}) S_{\nu^{[p]}}^{\mu^{[p]}}(\mathcal{V}) \right], \quad (3.32)$$

where $S_{\nu^{[p]}}^{\mu^{[p]}}$ is the $[p, p]$ -symmetry generalization of the Schouten tensor:

$$S_{\mu^{[p]}}^{\nu^{[p]}} := \gamma_{\mu^{[p]}\rho^{[p]}}^{\nu^{[p]}\sigma^{[p]}} G_{\sigma^{[p]}}^{\rho^{[p]}}. \quad (3.33)$$

Let us make a few comments on the expression (3.32). The first and second terms are straightforward result of the substitution. They are invariant under the gauge plus Weyl transformation:

$$\delta \mathcal{U}_{\nu^{[p]}}^{\mu^{[p]}} = \partial^\mu \xi_{\nu^{[p]}}^{\mu^{[p-1]}} + \partial_\nu \xi_{\nu^{[p-1]}}^{\mu^{[p]}} + \delta_\nu^\mu \alpha_{\nu^{[p-1]}}^{\mu^{[p-1]}}, \quad (3.34)$$

due to the property of the Schouten tensor:

$$S_{\mu^{[p]}}^{\nu^{[p]}}(\delta \mathcal{U}) = \partial_\mu \partial^\nu \alpha_{\mu^{[p-1]}}^{\nu^{[p-1]}}. \quad (3.35)$$

In fact, they are unique functionals, up to factors, invariant under the transformation (3.34) and involving three and four derivatives, respectively. One can see as well that the third term of (3.24) gives that of (3.32), by examining their symmetries. In eq. (3.31), the solution for $\mathcal{H}_{\mu^{[p]}}^{\nu^{[p]}}$ is invariant under

$$\delta \mathcal{V}_{\nu^{[p]}}^{\mu^{[p]}} = \partial^\mu \xi_{\nu^{[p]}}^{\mu^{[p-1]}} + \partial_\nu \xi_{\nu^{[p-1]}}^{\mu^{[p]}} + \delta_\nu^\mu \alpha_{\nu^{[p-1]}}^{\mu^{[p-1]}}, \quad (3.36)$$

$$\delta \mathcal{W}_{\nu^{[p-1]}}^{\mu^{[p]}} = -\epsilon_{\rho^{[p+1]}}^{\mu^{[p]}} \partial^\rho \xi_{\nu^{[p-1]}}^{\rho^{[p]}}. \quad (3.37)$$

Since $\mathcal{W}_{\nu^{[p-1]}}^{\mu^{[p]}}$ decouples from the action, the third term of (3.24) is invariant under the transformation (3.36), so is necessarily proportional to the last term of (3.32), that is the Bach action. The overall constant can be easily fixed by comparing the $\mathcal{V}_{\mu^{[p]}}^{\nu^{[p]}} \square^2 \mathcal{V}_{\nu^{[p]}}^{\mu^{[p]}}$ terms.

(Anti-)Self-dual $[p, p]$ -symmetry field ($p = 2k$). Let us consider the first term of the action (3.32):

$$\mathcal{P}[\mathcal{U}_{\mu^{[p]}}^{\nu^{[p]}}] := \frac{1}{(p!)^2} \int d^{2p+1}x dz \epsilon^{\mu^{[2p+1]}} \partial_z \mathcal{V}_{\mu^{[p]}, \nu^{[p]}} \partial_\mu G_{\mu^{[p]}}^{\nu^{[p]}}(\mathcal{U}), \quad (3.38)$$

which has the symmetry property:

$$\mathcal{P}[\mathcal{U}_{\mu^{[p]}}^{\nu^{[p]}}] = (-1)^p \mathcal{P}[\mathcal{V}_{\mu^{[p]}}^{\nu^{[p]}}]. \quad (3.39)$$

For even $p = 2k$ (with dimensions $d + 1 = 4k + 2$), it becomes symmetric and one can decompose the action into those of self-dual and anti-self-dual fields:

$$\mathcal{S}[\mathcal{U}_{\mu^{[p]}}^{\nu^{[p]}}] = \mathcal{S}_{+C}[\Phi_{\mu^{[p]}}^{+\nu^{[p]}}] + \mathcal{S}_{-C}[\Phi_{\mu^{[p]}}^{-\nu^{[p]}}], \quad \Phi_{\mu^{[p]}}^{\pm\nu^{[p]}} = \frac{1}{\sqrt{2}} (\mathcal{V}_{\mu^{[p]}}^{\nu^{[p]}} \pm \mathcal{U}_{\mu^{[p]}}^{\nu^{[p]}}). \quad (3.40)$$

The action of (anti-)self-dual $[p, p]$ -symmetry field reads

$$\mathcal{S}_{\pm C}[\Phi_{\mu^{[p]}}^{\nu^{[p]}}] = \frac{1}{2(p!)^2} \int d^{2p+1}x dz \left[\mp \epsilon^{\mu^{[2p+1]}} \partial_z \Phi_{\mu^{[p]}, \nu^{[p]}} \partial_\mu G_{\mu^{[p]}}^{\nu^{[p]}}(\Phi) + G_{\mu^{[p]}}^{\nu^{[p]}}(\Phi) S_{\nu^{[p]}}^{\mu^{[p]}}(\Phi) \right]. \quad (3.41)$$

Topologically massive $[p, p]$ -symmetry field ($p = 2k + 1$). If we perform the dimensional reduction:

$$\mathcal{U}_{\mu[p]}^{\nu[p]}(x, z) = \cos(mz) U_{\mu[p]}^{\nu[p]}(x), \quad \mathcal{V}_{\mu[p]}(x, z) = \sin(mz) V_{\mu[p]}^{\nu[p]}(x). \quad (3.42)$$

then, the first term of the action (3.32) gives

$$P[U_{\mu[p]}^{\nu[p]}, V_{\mu[p]}^{\nu[p]}] := \frac{1}{(p!)^2} \int d^{2p+1}x \epsilon^{\mu[2p+1]} V_{\mu[p], \nu[p]} \partial_{\mu} G_{\mu[p]}^{\nu[p]}(U). \quad (3.43)$$

satisfying

$$P[U_{\mu[p]}^{\nu[p]}, V_{\mu[p]}^{\nu[p]}] = (-1)^{p+1} P[V_{\mu[p]}^{\nu[p]}, U_{\mu[p]}^{\nu[p]}]. \quad (3.44)$$

When $p = 2k + 1$ (with $d = 4k + 3$), the above functional becomes symmetric. Consequently, the dimensionally reduced massive action can be split into two copies of topologically massive action with opposite mass:

$$S[U_{\mu[p]}^{\nu[p]}, V_{\mu[p]}^{\nu[p]}] = S_{\text{NTMG}}[+m; \phi_{\mu[p]}^{+\nu[p]}] + S_{\text{NTMG}}[-m; \phi_{\mu[p]}^{-\nu[p]}], \quad \phi_{\mu[p]}^{\pm\nu[p]} = \frac{1}{\sqrt{2}} (V_{\mu[p]}^{\nu[p]} \pm U_{\mu[p]}^{\nu[p]}), \quad (3.45)$$

with

$$S_{\text{NTMG}}[m; \phi_{\mu[p]}^{\nu[p]}] = \frac{1}{2(p!)^2} \int d^d x \left[m \epsilon^{\mu[2p+1]} \phi_{\mu[p], \nu[p]} \partial_{\mu} G_{\mu[p]}^{\nu[p]}(\phi) + G_{\mu[p]}^{\nu[p]}(\phi) S_{\nu[p]}^{\mu[p]}(\phi) \right]. \quad (3.46)$$

This action S_{NTMG} generalizes NTMG (which corresponds to $p = 1$ case) to $[p, p]$ -symmetry field, and describes half of the helicity states carrying the $[p, p]$ -symmetry representation.

4 Conclusions

In the present paper, we have shown how a class of higher-derivative massive theories with unitary propagation can be obtained from dimensional reduction of ordinary massless actions. The procedure used here for NMG and NTMG can be also applied to higher spins, and it would be interesting to compare the results in three dimensions with the actions recently obtained in [11, 13, 30, 33]. It is also tempting to speculate that, in the case of three dimensional higher spins, there may exist more than one higher-derivative massive theories which make use of the hierarchy of actions derived in [37] (see also [38]). Hopefully, we will report about these issues in the near future.

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A A massless limit

In this appendix, we consider the massless limit of the actions derived in this paper, and show their connection to other unconventional actions known in the literature.

In the case of spin one, starting from the action (3.4) with $p = 1$, one can solve the constraint (3.5) as (3.6) introducing an antisymmetric field $B^{\mu\nu}$. After dimensional reduction (but without dualization), one ends up with the action:

$$S[A_\mu, B^{\mu\nu}] = \int d^d x \left[\frac{1}{2} \partial_\lambda B^{\mu\lambda} \partial^\nu B_{\mu\nu} - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} + m \partial^\nu B_{\mu\nu} A^\mu \right]. \quad (\text{A.1})$$

In the massless limit, this action does not contain any mixing term. The A_μ part is given by a Maxwell action, therefore describes a massless spin one, whereas the $B^{\mu\nu}$ part corresponds to the so-called “notoph” action [39], describing a massless scalar.

Analogously, in the case of spin two, starting from the action (3.24) with $p = 1$, one can perform dimensional reduction to get

$$S[h_{mn}, \pi^{\mu\nu}] = S_1[\pi^{\mu\nu}, h_{\mu d}] + S_2[h_{\mu\nu}, h_{dd}] + \frac{m}{2} \int d^d x \pi^{\mu\nu} h_{\mu\nu}, \quad (\text{A.2})$$

where S_1 and S_2 are given by:

$$\begin{aligned} S_1 &= S_N[\pi^{\mu\nu}] - \frac{1}{2} \int d^d x h_{\mu d} \partial_\nu \pi^{\mu\nu}, & S_N[\pi^{\mu\nu}] &:= \frac{1}{4} \int d^d x \left(\pi^{\mu\nu} \pi_{\mu\nu} - \frac{1}{d-1} \pi^\mu{}_\mu{}^2 \right), \\ S_2 &= S_E[h_{\mu\nu}] + \frac{1}{2} \int d^d x h_{dd} (\partial^\mu \partial^\nu h_{\mu\nu} - \square h^\mu{}_\mu). \end{aligned} \quad (\text{A.3})$$

In the massless $m = 0$ limit, the action (A.2) becomes a sum of two independent actions S_1 and S_2 .

Spin one mode. The action S_1 can be shown to be on-shell equivalent to the Maxwell action:

$$S_1 = - \int d^d x \partial^{[\mu} A^{\nu]} \partial_{[\mu} A_{\nu]}, \quad A_\mu := h_{\mu d}, \quad (\text{A.4})$$

after solving the equations of motion of the conjugate field $\pi_{\mu\nu}$.

On the other hand, one can solve the constraint as (3.27) by introducing a field $U_{\mu\nu,\rho\sigma}$ of [2, 2]-symmetry, and get an equivalent four-derivative action:

$$\begin{aligned} S_1 &= S_N[\pi^{\mu\nu}(U)] \\ &= \frac{1}{4} \int d^d x \left[U_{\mu\nu,\rho\sigma} \partial^\rho \partial^\sigma \partial_\lambda \partial_\kappa U^{\mu\nu,\lambda\kappa} - \frac{1}{d-1} U^\mu{}_{\mu,\rho\sigma} \partial^\rho \partial^\sigma \partial_\lambda \partial_\kappa U^\nu{}_{\nu,\lambda\kappa} \right]. \end{aligned} \quad (\text{A.5})$$

This action propagates a massless spin one, so-called “notivarg” [40].

Spin two and scalar modes. The action S_2 can be diagonalized as

$$S_2 = S_E[\tilde{h}_{\mu\nu}] + \frac{1}{2} \int d^d x \phi \square \phi, \quad (\tilde{h}_{\mu\nu}, \phi) := \left(h_{\mu\nu} + \frac{1}{d-2} \eta_{\mu\nu} h_{dd}, \sqrt{2 \frac{d-1}{d-2}} h_{dd} \right), \quad (\text{A.6})$$

making obvious its propagating content.

Alternatively, one can also solve the constraint (2.6) by (2.7) and follow the dualization procedure of section 2 to arrive at the four-derivative action:

$$S_2 = S_E[h(\varphi)] = S_B[\varphi_{\mu_1 \dots \mu_{d-2}, \nu}]. \quad (\text{A.7})$$

This is the Bach action for the hook field $\varphi_{\mu_1 \dots \mu_{d-2}, \nu}$, with spin two and zero unitary propagating DoF, as one can see from the equivalent action (A.6).

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