

Gravitational production of super-Hubble-mass particles: an analytic approach

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ABSTRACT: Through a mechanism similar to perturbative particle scattering, particles of mass m_χ larger than the Hubble expansion rate H_{inf} during inflation can be gravitationally produced at the end of inflation without the exponential suppression powers of $\exp(-m_\chi/H_{\text{inf}})$. Here we develop an analytic formalism for computing particle production for such massive particles. We apply our formalism to specific models that have been previously been studied only numerically, and we find that our analytical approximations reproduce those numerical estimates well.

KEYWORDS: Cosmology of Theories beyond the SM, Space-Time Symmetries

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1 Introduction

At the end of a quasi-de Sitter phase of inflation [1–4] there is a transition period, often referred to as reheating (see e.g., refs. [5–9] for a review), that eventually leads to a universe dominated by relativistic particle degrees of freedom and the commencement of the radiation-dominated phase. In the context of slow-roll inflation this reheating occurs during coherent oscillations of a scalar field. In the simplest models of single-field, slow-roll inflation the oscillating scalar field is the inflaton field itself. If the inflationary potential contains an inflection point, as in hilltop inflationary models (see e.g. [10]) and certain hybrid inflationary models (see e.g., [11–16]), then the oscillation frequency of the scalar field during coherent oscillations can typically be much larger than the Hubble expansion rate during inflation. In such situations, gravitational particle production can occur without an exponential suppression even when the mass m_χ of the particles being gravitationally

produced is much larger than the Hubble expansion rate H_{inf} during inflation [17–19]. (A different strategy for super-Hubble mass production is presented in ref. [20].)

Unlike the case of parametric resonance [21–24], this particle production can be qualitatively interpreted perturbatively as a $2 \rightarrow 2$ scattering process [17] where two cold inflaton ϕ particles produce two final-state χ particles through gravitational interactions. These particles in principle can serve as dark-matter particles if they are stable or sufficiently long lived. They can also, in principle, cause unwanted late-time decay problems. In ref. [17] the gravitational particle production was analytically estimated in the perturbative scattering picture, and numerically computed using the Bogoliubov formalism (i.e., computing the time evolution of the $SU(1, 1)$ rotation matrix). In the numerical calculation there are two classes of rates: the fast frequencies of the oscillation frequency ω_* of the coherently oscillating field and that of the spectator field χ (typically m_χ) and the slow frequency of the expansion rate of the universe, H . Because of the disparate timescales of the fast and slow frequencies the numerical system is stiff, and the oscillatory nature of the system makes the brute-force numerical integration difficult. Hence, an analytic formalism is desirable.

In this paper, we provide such an analytic formalism to compute the spectrum of particles that are gravitationally produced in the regime where the background geometry has a rapidly-oscillating component, $\omega_* \gg H$, and where the spectator field is heavy, $m_\chi \gg H$. An analytical calculation is made possible by the double expansion in the small ratios $\lambda \sim H/\omega_* \ll 1$ and $\varepsilon \sim H/m_\chi \ll 1$. We provide a graphical representation of the essential ingredients of our calculation in figure 1.

The formalism applies for a canonically normalized single real scalar field ϕ with a potential $V(\phi)$ dominating the coherent oscillations period. We do not deal with the inhomogeneities of the inflaton condensate in the inflated Hubble patch and focus on the homogeneous condensate effects. Any inhomogeneous effects are expected to be complementary to the subject of this paper as long as parametric resonance effects are unimportant.

As an application of this formalism we consider the model of gravitational particle production presented in ref. [17]. That reference evaluated the number density of χ particles, which are produced in the first few Hubble times after time t_{end} marking the end of inflation, with a qualitative perturbative calculation, finding

$$n_\chi(t) = \mathcal{C}_c H_{\text{inf}}^3 \frac{m_\chi^4}{m_\phi^4} \Theta(m_\phi - m_\chi) \left(\frac{a(t_{\text{end}})}{a(t)} \right)^3 \quad (\text{conformally-coupled scalar}) \quad (1.1)$$

$$n_\chi(t) = \mathcal{C}_m H_{\text{inf}}^3 \Theta(m_\phi - m_\chi) \left(\frac{a(t_{\text{end}})}{a(t)} \right)^3 \quad (\text{minimally-coupled scalar}) \quad (1.2)$$

where the coefficients were estimated using numerical techniques. Here we show that $\mathcal{C}_c = (3/32)(1/16\pi) \simeq 0.002$ and $\mathcal{C}_m = (3/8)(1/16\pi) \simeq 0.007$ and compute mass dependent factors. We also provide a more general analytic formula applicable to the case of arbitrary gravitational coupling ξ and larger range of m_χ and m_ϕ masses.

The order of presentation is as follows. In section 2 we briefly review the formalism of particle production in an expanding universe. In section 3 we discuss the origin of the usual exponential suppression for $m_\chi > H$. In section 4 we develop a general, model-independent formalism for evaluating particle production by expressing the Bogoliubov

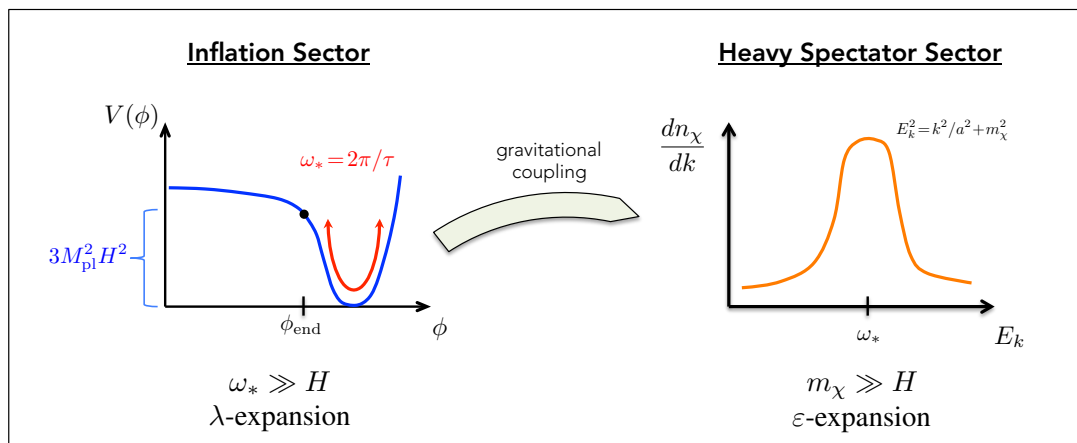


Figure 1. Rapid oscillations of the inflaton field at the end of inflation lead to the production of heavy spectator particles via the coupling of each field to gravity. In the regime where the inflaton field oscillates quickly, $\omega_* \gg H$, and the spectator particles are heavy, $m_\chi \gg H$, we show that an the particle spectrum can be calculated analytically using the Bogoliubov formalism.

coefficient β_k in terms of the Fourier transforms of the Hubble parameter and the Ricci scalar. In section 5 we present details of the decomposition of the energy-momentum density and the evolution of the background geometry into fast and slow components. Section 6 summarizes the simple computational procedure of the formalism explicitly. In section 7, we apply the procedure of section 6 to a simple phenomenologically relevant quadratic coherent λ -oscillation potential. Section 8 concludes the paper.

2 Particle production in an expanding universe

We begin by briefly reviewing the machinery behind gravitational particle production. We work in the Friedmann-Lemaître-Roberston-Walker (FLRW) spacetime; its metric can be written as

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu = dt^2 - a^2(t) |d\mathbf{x}|^2 = a^2(\eta) \left(d\eta^2 - |d\mathbf{x}|^2 \right) \quad (2.1)$$

where the scale factor a is a function of a temporal coordinate: either coordinate time t or conformal time η . The Hubble parameter H and Ricci scalar R are given by

$$H = \frac{\dot{a}}{a} = \frac{a'}{a^2} \quad (2.2a)$$

$$R = -6 \frac{\ddot{a}}{a} - 6 \frac{\dot{a}^2}{a^2} = -6 \frac{a''}{a^3} \quad (2.2b)$$

where $\dot{a} = \partial_t a$ and $a' = \partial_\eta a$. The geometry responds to the energy-momentum tensor $T^{\mu\nu}$, which is assumed to arise primarily from a nearly homogeneous scalar field $\phi(t)$ with canonically-normalized kinetic term. In the (t, \vec{x}) coordinates that we defined above, the energy momentum tensor has the usual energy density and pressure components $T^\mu{}_\nu =$

$(\rho + P) \delta^\mu_0 \delta^0_\nu - P \delta^\mu_\nu$ with

$$\rho = \frac{1}{2} \dot{\phi}^2 + V(\phi) \quad \text{and} \quad P = \frac{1}{2} \dot{\phi}^2 - V(\phi) . \quad (2.3)$$

Einstein's equations give

$$3M_{\text{pl}}^2 H^2 = \rho \quad \text{and} \quad -M_{\text{pl}}^2 R = T^{\mu\nu} g_{\mu\nu} = T = \rho - 3P \quad (2.4)$$

where $M_{\text{pl}} = (8\pi G_N)^{-1/2} \simeq 2.43 \times 10^{18}$ GeV is the reduced Planck mass.

Consider a real scalar field $\chi(x)$ with mass m_χ that is non-minimally coupled to gravity with coupling parameter ξ . The Fourier modes of this field satisfy a mode equation, $\chi_k'' + \omega_k^2 \chi_k = 0$, where the dispersion relation is given by

$$\omega_k = \sqrt{k^2 + a^2 m_\chi^2 + \frac{1}{6}(1 - 6\xi)a^2 R} , \quad (2.5)$$

and $k = |\mathbf{k}|$ is the magnitude of the comoving wavevector. The Fourier modes can be broken up into Bogoliubov coefficients, α_k and β_k , and the mode equation becomes

$$\alpha_k' = \frac{1}{2} N_k \beta_k e^{i\Phi_k} \quad (2.6a)$$

$$\beta_k' = \frac{1}{2} N_k \alpha_k e^{-i\Phi_k} \quad (2.6b)$$

where the time-dependent phase is defined by

$$\Phi_k \equiv 2 \int_{\eta_1}^{\eta} d\eta' \omega_k(\eta') = 2 \int_{t_1}^t dt' \frac{\omega_k(t')}{a(t')} , \quad (2.7)$$

where η_1 and t_1 set the phase $\Phi_k(\eta_1) = \Phi_k(t_1) = 0$, and the non-adiabaticity parameter N_k is defined by $N_k \equiv \omega_k'/\omega_k$ or equivalently $N_k/a = \dot{\omega}_k/\omega_k$. If gravitational particle production is a small perturbation on the field, then $\alpha_k \approx 1$ and

$$\beta_k(\eta) = \beta_k(\eta_P) + \frac{1}{2} \int_{\eta_P}^{\eta} d\eta' N_k(\eta') e^{-i\Phi_k(\eta')} . \quad (2.8)$$

Note that $t_1 \geq t_P$ fixes the phase convention, and t_P partially controls the adiabaticity with which one-particle states are defined. If the field χ is initially in its vacuum state then $|\beta_k(\eta_P)| \ll |\beta_k(\eta)|$, and we have

$$\beta_k = \int_{\eta_P}^{\eta} d\eta' \frac{\omega_k'}{2\omega_k} \exp \left[-2i \int_{\eta_1}^{\eta'} d\eta'' \omega_k \right] . \quad (2.9)$$

Using the dispersion relation (2.5) and moving to coordinate time gives the master equation

$$\beta_k = \frac{1}{2} \int_{t_P}^t dt' \left\{ \frac{H(t') m_\chi^2 + \frac{1}{6}(1 - 6\xi) \left[\frac{1}{2} \dot{R}(t') + H(t') R(t') \right]}{[\omega_k(t')/a(t')]^2} \right\} \exp \left[-2i \int_{t_1}^{t'} dt'' \frac{\omega_k(t'')}{a(t'')} \right] , \quad (2.10)$$

where the term in curly brackets is simply $\dot{\omega}_k/\omega_k$. Assuming that the non-gravitational interactions of χ can be neglected, in terms of β_k the spectrum of gravitationally-produced χ -particles is given by

$$dn_\chi = \frac{d^3\mathbf{k}}{(2\pi)^3} \lim_{t \rightarrow \infty} \frac{1}{a^3} |\beta_k|^2 = \frac{k^3 d\ln k}{2\pi^2} \lim_{t \rightarrow \infty} \frac{1}{a^3} |\beta_k|^2 . \quad (2.11)$$

Integrating over the spectrum gives the total χ -particle density, and the relic abundance, $\Omega_\chi = m_\chi n_\chi / 3M_{\text{pl}}^2 H_0^2$, given by

$$\Omega_\chi h^2 \simeq (0.0271) \left(\frac{m_\chi}{10^{10} \text{ GeV}} \right) \left(\frac{n_\chi/s_0}{10^{-20}} \right) \quad (2.12)$$

where $H_0 = 100h$ km/sec/Mpc, $s_0 = (2\pi^2/45)g_{*S,0}T_0^3$, $g_{*S,0} \simeq 3.91$, and $T_0 \simeq 0.234$ meV. Thus, the typical procedure is to solve for $H(t)$, $R(t)$, and $a(t)$ through Einstein's equations and $\phi(t)$ equations of motion. Afterwards, one uses eqs. (2.10) and (2.11) to compute the spectator χ particles that are produced, where the neglect of χ particle production back reactions are often well justified away from the parametric resonance regime.

With this information in hand, the integral in eq. (2.10) can be performed to evaluate $\beta_k(t)$ and the spectrum is given by eq. (2.11).

3 Heavy particles and exponential suppression

In many models of inflation and reheating, gravitational production of heavy particles leads to an exponentially-suppressed abundance (see for example [25, 26]). In this context, “heavy” particle species have masses that are larger than the Hubble scale at the time of particle production. For such models we can write

$$n_\chi \propto \exp[-c_\chi m_\chi / H] \quad (3.1)$$

where c_χ is a model-dependent coefficient. Evidently gravitational particle production is negligible for $m_\chi \gg H$. However, the generic expectation in eq. (3.1) is not universally applicable, and in the remainder of this section we discuss a class of models in which the exponential suppression is evaded.

Let us first understand how the exponential suppression in eq. (3.1) arises generically. From eq. (2.11) we recall that $n_\chi \sim |\beta_k|^2$, and from eq. (2.10) we recall that $\beta_k \sim \int dt' (\dot{\omega}_k/\omega_k) \times [\text{phase factor}]$. In the regime of interest for heavy particles, $m_\chi \gg H$, the phase factor is simply $\exp[-2i\omega_k t']$. If $\dot{\omega}_k/\omega_k$ is slowly varying then the time integral over a rapidly-oscillating phase leads to a small $|\beta_k|$. To put it another way, the integral over t' is a Fourier transform that selects out oscillations on the time scale $\tau \sim (2\omega_k)^{-1}$, but if $\dot{\omega}_k/\omega_k$ only varies on a much longer time scale, $\tau \sim H^{-1}$, then the Fourier transform gives $\beta_k \sim \exp[-b_\chi \omega_k / (aH)]$ with $b_\chi = O(1)$. Such models lead to the exponential suppression observed in eq. (3.1).

However, the would-be exponential suppression can be avoided in models of inflation and reheating for which ω_k also contains a rapidly-oscillating component. Suppose that

ω_k oscillates with a period τ such that $m_\chi^{-1} \lesssim \tau/2\pi \ll H^{-1}$. Then oscillations in $\dot{\omega}_k/\omega_k$ are cancelled by the phase factor in eq. (2.10) when $2\pi/\tau \approx (k^2/a^2 + m_\chi^2)^{1/2}$. In other words, the Fourier transform picks out the rapidly-oscillating mode, which does not have an exponentially-suppressed amplitude. For models in which the exponential suppression is avoided, one can also view gravitational particle production as a result of $2 \rightarrow 2$ scattering [17].

A rapidly-oscillating dispersion relation arises in many interesting models of inflation and reheating. Coherent oscillations of the inflaton sector during reheating (this includes the waterfall field in the case of hybrid inflation) lead to an oscillating energy density, which induces oscillations in the scale factor $a(t)$ through Einstein’s equations, and consequently the spectator field’s dispersion relation, ω_k , also acquires an oscillating component. In the following sections we present a general formalism for studying gravitational particle production in such models.

4 Particle production from background oscillations

We have argued in section 3 that the relic abundance of gravitationally-produced particles may evade the generic exponential suppression for $m_\chi \gg H$ provided that the dispersion relation, ω_k , has a rapidly-oscillating component. For such models, it is generally difficult to evaluate the relic abundance with numerical techniques, since the integrand of eq. (2.10) is rapidly oscillating. In this section we develop a more general, model-independent formalism for evaluating the relic abundance analytically. By doing so we show that the Bogoliubov coefficient β_k can be expressed simply in terms of the Fourier transform of the Hubble parameter and Ricci scalar.

4.1 Assumption #1: small-fast much larger than large-slow

We are interested in a system for which the energy-momentum tensor can be written as the sum of two terms: the first term is large in magnitude and evolves slowly, whereas the second term is small in magnitude and evolves (oscillates) quickly. This is the situation, for instance, in many models of reheating where the inflaton energy density is slowly redshifting while a sub-dominant component is also rapidly oscillating. Thus, we decompose the energy-momentum tensor as

$$T^{\mu\nu} = T_{\text{slow}}^{\mu\nu} + \lambda T_{\text{fast}}^{\mu\nu} \tag{4.1}$$

where the dimensionless variable λ will be used to perform a formal expansion in the ratio [small & fast]/[large & slow], and we will eventually take $\lambda \rightarrow 1$ at the end of the calculation. We provide additional details of the slow-fast decomposition in section 5. There, we will explain how this is an expansion in the asymptotic limit of H/ω_* which can be accomplished by taking $M_{\text{pl}} \rightarrow \infty$ with the energy-momentum tensor fixed.

Einstein’s equations (2.4) describe the response of the spacetime metric to the energy-momentum tensor. Thus we can also decompose the FLRW scale factor, the Hubble parameter, and the Ricci scalar into large-slow and small-fast components. In summary

we will write

$$a = a_{\text{slow}} + \lambda a_{\text{fast}} + O(\lambda^2) \quad (4.2a)$$

$$H = H_{\text{slow}} + \lambda H_{\text{fast}} + O(\lambda^2) \quad (4.2b)$$

$$R = R_{\text{slow}} + \lambda R_{\text{fast}} . \quad (4.2c)$$

The relation between R and $T^{\mu\nu}$ is linear, but the relations with a and H are nonlinear.

Using the slow/fast decomposition in eq. (4.2), we evaluate the Bogoliubov coefficient β_k from eq. (2.10). We perform a formal expansion in powers of λ to obtain

$$\begin{aligned} \beta_k = \frac{1}{2} \int_{t_P}^t dt' & \left\{ \left[\frac{H_{\text{slow}} m_\chi^2 + \frac{1}{6}(1-6\xi) \left(\frac{1}{2} \dot{R}_{\text{slow}} + H_{\text{slow}} R_{\text{slow}} \right)}{\left(k^2/a_{\text{slow}}^2 + m_\chi^2 + \frac{1}{6}(1-6\xi) R_{\text{slow}} \right)} \right] \right. \\ & + \left[\frac{k^2}{a_{\text{slow}}^2} \left(\frac{1}{6}(1-6\xi) \dot{R}_{\text{slow}} + 2H_{\text{slow}} m_\chi^2 + \frac{1}{3}(1-6\xi) H_{\text{slow}} R_{\text{slow}} \right) \frac{a_{\text{fast}}}{a_{\text{slow}}} \right. \\ & + \left(m_\chi^2 + \frac{1}{6}(1-6\xi) R_{\text{slow}} \right) \left(\frac{k^2}{a_{\text{slow}}^2} + m_\chi^2 + \frac{1}{6}(1-6\xi) R_{\text{slow}} \right) H_{\text{fast}} \\ & + \frac{1}{6}(1-6\xi) \left(H_{\text{slow}} \frac{k^2}{a_{\text{slow}}^2} - \frac{1}{2} \frac{1}{6}(1-6\xi) \dot{R}_{\text{slow}} \right) R_{\text{fast}} \\ & \left. \left. + \frac{1}{12}(1-6\xi) \left(\frac{k^2}{a_{\text{slow}}^2} + m_\chi^2 + \frac{1}{6}(1-6\xi) R_{\text{slow}} \right) \dot{R}_{\text{fast}} \right] \right. \\ & \left. \times \frac{\lambda}{\left(k^2/a_{\text{slow}}^2 + m_\chi^2 + \frac{1}{6}(1-6\xi) R_{\text{slow}} \right)^2} + O(\lambda^2) \right\} \\ & \times \exp \left[-2i \int_{t_1}^{t'} dt'' \frac{\omega_k(t'')}{a(t'')} \right] . \end{aligned} \quad (4.3)$$

The terms of $O(\lambda^2)$ are negligible as long as the fast terms are small in magnitude as compared to the slow terms; this assumption is justified and clarified in section 5.

4.2 Assumption #2: expansion rate much less than mass of spectator field

We are interested in systems for which the Hubble expansion rate is small compared to the mass of the spectator scalar field, $H \ll m_\chi$, and the spectator particles are heavy. If we employ the slow-fast decomposition from eq. (4.2), we can write $H_{\text{fast}} \ll H_{\text{slow}} \approx H \ll m_\chi$, and therefore the small expansion parameter is H_{slow}/m_χ .

How do R_{slow} and \dot{R}_{slow} compare with m_χ ? We assume that the Hubble expansion rate controls the time rate of change of the slow components. In other words, $\dot{a}_{\text{slow}} \sim H_{\text{slow}} a_{\text{slow}}$, $\ddot{a}_{\text{slow}} \sim H_{\text{slow}}^2 a_{\text{slow}}$, $R_{\text{slow}} \sim H_{\text{slow}}^2$, and $\dot{R}_{\text{slow}} \sim H_{\text{slow}} R_{\text{slow}}$. Therefore, in order to keep track of the expansion in $H_{\text{slow}}/m_\chi \ll 1$ we replace

$$m_\chi \rightarrow \varepsilon^0 m_\chi, \quad H_{\text{slow}} \rightarrow \varepsilon^1 H_{\text{slow}}, \quad R_{\text{slow}} \rightarrow \varepsilon^2 R_{\text{slow}}, \quad \dot{R}_{\text{slow}} \rightarrow \varepsilon^3 \dot{R}_{\text{slow}} . \quad (4.4)$$

At the end of the calculation we will take $\varepsilon \rightarrow 1$ again.

Using the replacements in eq. (4.4) we evaluate the Bogoliubov coefficient from eq. (4.3). We perform an expansion in small ε to find

$$\beta_k = \frac{1}{2} \int_{t_P}^t dt' \left\{ \left[H_{\text{slow}} \frac{m_\chi^2}{E_k^2} \varepsilon + \mathcal{O}(\varepsilon^2) \right] + \left[\frac{k^2}{a_{\text{slow}}^2} (2H_{\text{slow}} m_\chi^2) \frac{a_{\text{fast}}}{a_{\text{slow}}} \varepsilon + m_\chi^2 E_k^2 H_{\text{fast}} \right. \right. \\ \left. \left. + \frac{1}{6} (1 - 6\xi) H_{\text{slow}} \frac{k^2}{a_{\text{slow}}^2} R_{\text{fast}} \varepsilon + \frac{1}{12} (1 - 6\xi) E_k^2 \dot{R}_{\text{fast}} + \mathcal{O}(\varepsilon^2) \right] \frac{\lambda}{E_k^4} \right. \\ \left. + \mathcal{O}(\lambda^2) \right\} \exp \left[-2i \int_{t_1}^{t'} dt'' E_k(t'') + \mathcal{O}(\varepsilon^2) \right], \quad (4.5)$$

where we have also defined $E_k(t) \equiv \sqrt{k^2/a_{\text{slow}}^2(t) + m_\chi^2}$.

4.3 Further simplifications

Subject to the assumptions of the previous subsections, the Bogoliubov coefficient β_k is given by eq. (4.5). We now retain only the leading-order terms to obtain

$$\beta_k = \int_{t_P}^t dt' \left\{ \frac{H_{\text{slow}}}{2} + \frac{H_{\text{fast}}}{2} + \frac{1}{24} (1 - 6\xi) \frac{\dot{R}_{\text{fast}}}{m_\chi^2} \right\} \frac{m_\chi^2}{E_k^2} \exp \left[-2i \int_{t_1}^{t'} dt'' E_k(t'') \right] \quad (4.6)$$

where we have also set $\lambda = \varepsilon = 1$. This expression can be simplified further.

Term with H_{slow} . We now argue that the first term in β_k can be neglected. Recall that H_{slow} only varies on a time scale $\Delta t \sim \mathcal{O}(H_{\text{slow}}^{-1})$. However, on this time scale, the phase factor is rapidly oscillating, because $\Delta t \sim E_k^{-1} < m_\chi^{-1} \ll H_{\text{slow}}^{-1}$. Therefore the first term in β_k integrates down to zero when we send $t \rightarrow \infty$, and it can be neglected.

Term with \dot{R}_{fast} . The third term involves a time derivative, \dot{R}_{fast} . We can evaluate the integral by parts. The boundary terms vanish, and the term that goes as $\dot{E}_k \sim H_{\text{slow}} E_k$ can be neglected. Thus we are left with

$$\beta_k(t) = \int_{t_P}^t dt' \left\{ \frac{H_{\text{fast}}(t')}{2} + \frac{i}{12} (1 - 6\xi) \frac{R_{\text{fast}}(t') E_k(t')}{m_\chi^2} \right\} \frac{m_\chi^2}{E_k(t')^2} \exp \left[-2i \int_{t_1}^{t'} dt'' E_k(t'') \right]. \quad (4.7)$$

Time scales. In practice the rapidly-oscillating contributions to the Hubble parameter and Ricci scalar are not present for all times, but they only appear after inflation has ended and the inflaton sector experiences coherent oscillations about the minimum of its potential. Thus we expect most of the gravitational particle production to occur just after the end of the quasi-dS phase of inflation at time t_{end} , and it is convenient to take $t_1 = t_{\text{end}}$. If the fast components oscillate with a period τ then the Fourier transform will pick out modes with $E_k \sim 2\pi/\tau \equiv \omega_*$, and since we are interested in fast oscillations $\omega_* \gg H$ it follows that the integral will be dominated by $t' \sim t_{\text{end}} + \mathcal{O}(E_k^{-1})$, since the phase factor is rapidly oscillating on larger time scales. Since $E_k(t)$ only changes on the much longer time scale, $\Delta t \sim \mathcal{O}(H^{-1})$, we can approximate $E_k(t) \approx E_k(t_{\text{end}})$ and evaluate the integral in

the exponent. Since we know that the t' integral is dominated by times $(t' - t_{\text{end}}) \ll H^{-1}$, we can extend the limits of integration to infinity. After these manipulations we have

$$\beta_k = \int_{-\infty}^{\infty} dt' \left\{ \frac{H_{\text{fast}}(t')}{2} + \frac{i}{12}(1 - 6\xi) \frac{R_{\text{fast}}(t') E_k(t_{\text{end}})}{m_\chi^2} \right\} \times \frac{m_\chi^2}{E_k^2(t_{\text{end}})} \exp[-2iE_k(t_{\text{end}})(t' - t_{\text{end}})] . \quad (4.8)$$

Identify Fourier transform. The remaining time integral now takes the form of a Fourier transform. We define the Fourier transform by

$$H_{\text{fast}}(t) = \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \tilde{H}_{\text{fast}}(\omega) e^{-i\omega t} \quad \text{and} \quad \tilde{H}_{\text{fast}}(\omega) = \int_{-\infty}^{\infty} dt' H_{\text{fast}}(t') e^{i\omega t'} \quad (4.9)$$

$$R_{\text{fast}}(t) = \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \tilde{R}_{\text{fast}}(\omega) e^{-i\omega t} \quad \text{and} \quad \tilde{R}_{\text{fast}}(\omega) = \int_{-\infty}^{\infty} dt' R_{\text{fast}}(t') e^{i\omega t'} , \quad (4.10)$$

and the expression for β_k in eq. (4.8) becomes simply

$$\beta_k = \frac{1}{2} \tilde{H}_{\text{fast}} \frac{m_\chi^2}{E_k^2} + \frac{i}{12}(1 - 6\xi) \tilde{R}_{\text{fast}} \frac{1}{E_k} \quad (4.11)$$

where $\tilde{H}_{\text{fast}}(\omega)$ and $\tilde{R}_{\text{fast}}(\omega)$ are evaluated at $\omega = -2E_k$, and $E_k(t)$ is evaluated at $t = t_{\text{end}}$. This formula is a main result of our paper; it illustrates how fast oscillations in a sub-leading contribution to the Hubble parameter and Ricci scalar leads to gravitational particle production.

Apply Einstein's equations. The Hubble parameter and Ricci scalar acquire components which are rapidly oscillating components because they respond to a rapidly-oscillating energy-momentum tensor through Einstein's equations (2.4). We will make this mapping explicit in section 5.2, but let us now anticipate that result here by writing

$$\beta_k = \frac{1}{4\sqrt{3}M_{\text{pl}}} \frac{\tilde{\rho}_{\text{fast}}}{\sqrt{\rho_{\text{slow}}}} \frac{m_\chi^2}{E_k^2} - i \frac{1 - 6\xi}{12M_{\text{pl}}^2} \left[\tilde{\rho}_{\text{fast}} - 3\tilde{P}_{\text{fast}} \right] \frac{1}{E_k} \quad (4.12)$$

where $\tilde{\rho}_{\text{fast}}(\omega)$ and $\tilde{P}_{\text{fast}}(\omega)$ are evaluated at $\omega = -2E_k$, and $E_k(t)$ and $\rho_{\text{slow}}(t)$ are evaluated at $t = t_{\text{end}}$. It is remarkable that the evaluation of β_k reduces to simply calculating the Fourier transforms of the energy density and pressure.

5 Details of the slow-fast decomposition

In the previous section we derived eq. (4.11), which expresses the Bogoliubov coefficient β_k in terms of \tilde{H}_{fast} and \tilde{R}_{fast} , which are the Fourier transforms of the rapidly-oscillating parts of the Hubble parameter and the Ricci scalar. We begin this section by defining the slow-fast decomposition more precisely. We also derive expressions for \tilde{H}_{fast} and \tilde{R}_{fast} that relates them to the energy density and pressure, $\tilde{\rho}_{\text{fast}}$ and \tilde{P}_{fast} , which justifies the formula for β_k in eq. (4.12). Next we impose energy-momentum conservation to solve for the time-dependence of $\rho_{\text{fast}}(t)$ and $P_{\text{fast}}(t)$, and we evaluate their Fourier transforms. Finally we assume a Gaussian spectral model to evaluate the Fourier coefficients that appear in eq. (4.12).

5.1 Slow-fast decomposition of the energy-momentum tensor

Previously in eq. (4.1) we wrote $T^{\mu\nu} = T_{\text{slow}}^{\mu\nu} + \lambda T_{\text{fast}}^{\mu\nu}$, which assumes that the energy-momentum tensor can be decomposed into a slowly-evolving term and a rapidly-oscillating term. Let us now provide more precise definitions of $T_{\text{slow}}^{\mu\nu}$ and $T_{\text{fast}}^{\mu\nu}$. This is done in the following way. The energy-momentum tensor admits a Fourier decomposition,

$$T^{\mu\nu}(t) = \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \tilde{T}^{\mu\nu}(\omega) e^{-i\omega t} \quad \text{where} \quad \tilde{T}^{\mu\nu}(\omega) = [\tilde{T}^{\mu\nu}(-\omega)]. \quad (5.1)$$

To quantitatively separate the “fast modes” which have large ω and the “slow modes” which have small ω , we introduce a fiducial separation scale, Λ_{IR} , which satisfies $\Lambda_{\text{IR}} \gg H$, and define

$$T^{\mu\nu} = T_{\text{slow}}^{\mu\nu} + \lambda T_{\text{fast}}^{\mu\nu} \quad \text{with} \quad \begin{cases} T_{\text{slow}}^{\mu\nu}(t) = \int_{-\Lambda_{\text{IR}}}^{\Lambda_{\text{IR}}} \frac{d\omega}{2\pi} \tilde{T}^{\mu\nu}(\omega) e^{-i\omega t} \\ T_{\text{fast}}^{\mu\nu}(t) = \left(\int_{-\infty}^{-\Lambda_{\text{IR}}} + \int_{\Lambda_{\text{IR}}}^{\infty} \right) \frac{d\omega}{2\pi} \tilde{T}^{\mu\nu}(\omega) e^{-i\omega t} \end{cases}. \quad (5.2)$$

Now, $T_{\text{slow}}^{\mu\nu}$ encodes the time dependence of the slow modes and $T_{\text{fast}}^{\mu\nu}$ represents the fast modes. Ideally, $\tilde{T}^{\mu\nu}(\omega)$ is bimodal having a peak at $|\omega| \ll \Lambda_{\text{IR}}$ corresponding to the slow modes, and a second peak at $|\omega| \gg \Lambda_{\text{IR}}$ corresponding to the fast modes, and in this case the distinction between slow and fast is insensitive to the arbitrary choice of Λ_{IR} .

With the definition of eq. (2.3), the energy density and pressure inherit the slow-fast decomposition of eq. (5.2):

$$\rho = \rho_{\text{slow}} + \lambda \rho_{\text{fast}} \quad (5.3a)$$

$$P = P_{\text{slow}} + \lambda P_{\text{fast}}. \quad (5.3b)$$

Previously in section 4 we have assumed that $|T_{\text{fast}}^{\mu\nu}| \ll |T_{\text{slow}}^{\mu\nu}|$. As we will discuss further in section 5.4, the origin of this hierarchy is the smallness of the rate at which energy conservation is violated due to the expansion of the universe compared to the quasi-periodic oscillation time scale associated with the field potential. In the limit of exact energy conservation (no expansion), the fast component is negligible since the energy density is all in the slowest mode, i.e., constant energy density. After we make the formal expansion in λ , we will check the self-consistency of the assumption in eq. (5.15).

5.2 Slow-fast decomposition of the background geometry

Once a slow-fast decomposition is performed for the energy-momentum tensor $T^{\mu\nu}$, then slow-fast decompositions for the Ricci scalar R , Hubble parameter H , and scale factor a are derived. Einstein’s equation (2.4) provides a linear relation between R and $T^{\mu\nu}$, and we find

$$R = R_{\text{slow}} + \lambda R_{\text{fast}} \quad \text{with} \quad \begin{cases} R_{\text{slow}} = -\frac{\rho_{\text{slow}} - 3P_{\text{slow}}}{M_{\text{pl}}^2} \\ R_{\text{fast}} = -\frac{\rho_{\text{fast}} - 3P_{\text{fast}}}{M_{\text{pl}}^2} \end{cases} \quad (5.4)$$

where ρ and P admit slow-fast decompositions via eq. (5.2). The relation between H and $T^{\mu\nu}$ is nonlinear (2.4), but nevertheless H admits a slow-fast decomposition as a series expansion in powers of λ , which gives

$$H = H_{\text{slow}} + \lambda H_{\text{fast}} + O(\lambda^2) \quad \text{with} \quad \begin{cases} H_{\text{slow}} = \frac{\sqrt{\rho_{\text{slow}}}}{\sqrt{3}M_{\text{pl}}} \\ H_{\text{fast}} = \frac{1}{2} \frac{1}{\sqrt{3}M_{\text{pl}}} \frac{\rho_{\text{fast}}}{\sqrt{\rho_{\text{slow}}}} \end{cases} . \quad (5.5)$$

Finally, the relation between a and H is also nonlinear (2.2),

$$\log \left[\frac{a(t)}{a(t_{\text{end}})} \right] = \int_{t_{\text{end}}}^t dt' [H_{\text{slow}}(t') + \lambda H_{\text{fast}}(t')] , \quad (5.6)$$

but if we focus on short time scales, $(t - t_{\text{end}}) \ll H_{\text{slow}}^{-1}$, then we can write

$$\log \left[1 + \left(\frac{a_{\text{slow}}(t)}{a(t_{\text{end}})} - 1 \right) + \lambda \frac{a_{\text{fast}}(t)}{a(t_{\text{end}})} \right] = H_{\text{slow}}(t_{\text{end}}) (t - t_{\text{end}}) + \lambda \int_{t_{\text{end}}}^t dt' H_{\text{fast}}(t') . \quad (5.7)$$

We expand in powers of λ and match terms to find

$$a = a_{\text{slow}} + \lambda a_{\text{fast}} + O(\lambda^2) \quad \text{with} \quad \begin{cases} \frac{a_{\text{slow}}(t)}{a(t_{\text{end}})} = 1 + \frac{\sqrt{\rho_{\text{slow}}(t_{\text{end}})}}{\sqrt{3}M_{\text{pl}}} (t - t_{\text{end}}) \\ \frac{a_{\text{fast}}(t)}{a(t_{\text{end}})} = \frac{1}{2} \frac{1}{\sqrt{3}M_{\text{pl}}} \frac{\int_{t_{\text{end}}}^t dt' \rho_{\text{fast}}(t')}{\sqrt{\rho_{\text{slow}}(t_{\text{end}})}} \end{cases} \quad (5.8)$$

where we have also used the expressions for H_{slow} and H_{fast} from eq. (5.5). Since ρ_{slow} appears in the denominator of the expression for a_{fast} , the power counting in M_{pl} depends on whether we keep H_{slow} or ρ_{slow} fixed as we take $M_{\text{pl}} \rightarrow \infty$.

5.3 Evolution from energy-momentum conservation

In this section we will use energy-momentum conservation to derive expressions for $\rho_{\text{fast}}(t)$ and $P_{\text{fast}}(t)$, which will allow us to evaluate their Fourier transforms in the next section.

The energy-momentum conservation condition, $\nabla_{\mu} T^{\mu\nu} = 0$, gives

$$\dot{\rho} + 3H(\rho + P) = 0 . \quad (5.9)$$

We can evaluate this formula using the slow-fast decompositions from sections 5.1 and 5.2. At $O(\lambda^0)$ we obtain

$$\dot{\rho}_{\text{slow}} + 3H_{\text{slow}}(\rho_{\text{slow}} + P_{\text{slow}}) = 0 , \quad (5.10)$$

which governs the evolution of ρ_{slow} . At $O(\lambda^1)$ we obtain

$$\dot{\rho}_{\text{fast}} + 3H_{\text{slow}}(\rho_{\text{fast}} + P_{\text{fast}}) + \frac{3}{2}H_{\text{slow}}\rho_{\text{fast}} \left(1 + \frac{P_{\text{slow}}}{\rho_{\text{slow}}} \right) = 0 \quad (5.11)$$

where we have also used eq. (5.5) to write $H_{\text{fast}} = H_{\text{slow}}\rho_{\text{fast}}/2\rho_{\text{slow}}$.

Next we seek to solve eq. (5.11) for $\rho_{\text{fast}}(t)$. To evaluate $\rho_{\text{fast}} + P_{\text{fast}}$, we recall that the energy-momentum tensor is dominantly controlled by the evolution of a scalar field (2.3); this gives $\rho + P = \dot{\phi}^2$. As long as the frequency scale ω_* for the oscillation of ϕ is much larger than H , we can neglect the Hubble expansion rate in describing $\dot{\phi}^2$ time evolution on a time scale smaller than H^{-1} . This means if we denote ϕ_C as the solution to the equation of motion that neglects H (i.e. an energy conserving solution to $\ddot{\phi} + 3H\dot{\phi} + V'(\phi) = 0$ with $H = 0$), then $\dot{\phi}^2$ will be dominated by the ω_* scale oscillation behavior without any slow time evolution coming from H . This means we can approximate $\dot{\phi}^2 \approx (\dot{\phi}^2)_{\text{fast}}$ on a time scale smaller than H^{-1} , allowing us to conclude

$$\rho_{\text{fast}} + P_{\text{fast}} = (\dot{\phi}^2)_{\text{fast}} \approx \dot{\phi}_C^2 (1 + O(\lambda)) \tag{5.12}$$

where the identification of neglecting H with the λ expansion that appears on the right hand side will be justified later by imposing that result obtained from $(\dot{\phi}^2)_{\text{fast}} \approx \dot{\phi}_C^2$ approximation be self-consistent with the λ expansion that we have been using (see eq. (5.17)). Although one can in principle solve for $\phi_C(t)$ implicitly in terms of integrals, it is not very useful for computing the desired Fourier integral that are needed to evaluate β_k .

Now eq. (5.11) is solved for $\rho_{\text{fast}}(t)$ by direct integration. If we focus on short time scales, $(t - t_{\text{end}}) \ll H^{-1}$, then the slow parameters can be treated as constants and we find

$$\begin{aligned} \rho_{\text{fast}}(t) &= \rho_{\text{fast}}(t_{\text{end}}) \exp \left[-\frac{3}{2} H_{\text{slow}} (1 + P_{\text{slow}}/\rho_{\text{slow}}) (t - t_{\text{end}}) \right] - 3H_{\text{slow}} \int_{t_{\text{end}}}^t dt' \dot{\phi}_C^2(t') \\ &\approx \rho_{\text{fast}}(t_{\text{end}}) - 3H_{\text{slow}} \int_{t_{\text{end}}}^t dt' \dot{\phi}_C^2(t'), \end{aligned} \tag{5.13}$$

where H_{slow} , ρ_{slow} , and P_{slow} are evaluated at $t = t_{\text{end}}$. The first term is approximately constant, which does not belong in ρ_{fast} , but it is cancelled by the second term with a judicious choice of t_{end} . Using eq. (5.12) we find

$$P_{\text{fast}}(t) = \dot{\phi}_C^2(t) - 3H_{\text{slow}} \int_{t_{\text{end}}}^t dt' \dot{\phi}_C^2(t') \tag{5.14}$$

where $H_{\text{slow}} = H_{\text{slow}}(t_{\text{end}})$. Hence, we see that the oscillatory time scale of $\rho_{\text{fast}}(t)$ and $P_{\text{fast}}(t)$ is set by the period τ of $\phi_C(t)$. (We will give an explicit expression for τ in terms of the potential in eq. (5.22).)

5.4 Understanding the expansion parameters

Let us now pause to discuss briefly the nature of the book-keeping variable λ that we have been using to keep track of the [small & fast]/[large & slow] expansion. Using the expression for ρ_{fast} from eq. (5.13) we can check the self-consistency of the λ expansion. Consider the ratio

$$\frac{|\rho_{\text{fast}}(t)|}{\rho_{\text{slow}}(t)} = 3H_{\text{slow}} \left| \int_{t_{\text{end}}}^t dt' \frac{\frac{1}{2} \dot{\phi}_C^2(t')}{\rho_{\text{slow}}(t)} \right| \lesssim 3H_{\text{slow}} \tau, \tag{5.15}$$

where $H_{\text{slow}} = H_{\text{slow}}(t_{\text{end}})$. The integrand is no larger than one, since ρ contains $\dot{\phi}^2/2$. Moreover, the integral does not grow without bound like $\sim (t - t_{\text{end}})$, because the integrand

also oscillates, and then the integral is largest when it equals τ , the period of ϕ_C . Thus we understand that powers of λ are keeping track of powers of the small quantity, $H_{\text{slow}}\tau$:

$$O([H_{\text{slow}}\tau]^n) = \text{kept track of by } \lambda^n \text{ terms} . \quad (5.16)$$

The formal expansion in powers of λ is a reliable approximation as long as ϕ_C oscillates on a time scale that is short compared to the Hubble time.

We can further develop our understanding of the λ expansion by inspecting eq. (5.10) and eq. (5.11). Suppose that we send $H_{\text{slow}} \rightarrow 0$. Since H_{slow} and ρ_{slow} are related by the Friedmann equation, which is $3M_{\text{pl}}^2 H_{\text{slow}}^2 = \rho_{\text{slow}}$ at $O(\lambda^0)$, the limit $H_{\text{slow}} \rightarrow 0$ also corresponds to $M_{\text{pl}} \rightarrow \infty$ with ρ_{slow} held fixed. Taking $H_{\text{slow}} \rightarrow 0$ enforces $\dot{\rho}_{\text{slow}} \rightarrow 0$, which is realized when ρ_{slow} is approximately constant and energy is conserved, but it also enforces $\dot{\rho}_{\text{fast}} \rightarrow 0$, which implies a small amplitude for ρ_{fast} , since the rapidly-oscillating term ρ_{fast} cannot be constant by definition. In other words, the expansion in [small & fast]/[large & slow] that we have been parametrizing with the variable λ can also be viewed as the limit in which energy is conserved:

$$[\lambda \rightarrow 0] \iff [H_{\text{slow}} \rightarrow 0] \iff \left[\begin{array}{l} M_{\text{pl}} \rightarrow \infty \\ \rho_{\text{slow}} = \text{const.} \end{array} \right] \iff [\rho_{\text{fast}} \rightarrow 0] . \quad (5.17)$$

Illuminating these connections is a main result of this study.

5.5 Fourier transforms of energy density and pressure

In order to evaluate β_k using the expression in eq. (4.12) we must first evaluate $\tilde{\rho}_{\text{fast}}(\omega)$ and $\tilde{P}_{\text{fast}}(\omega)$, which are the Fourier transforms of $\rho_{\text{fast}}(t)$ and $P_{\text{fast}}(t)$. Using the expression for $\rho_{\text{fast}}(t)$ from eq. (5.13), it is straightforward to evaluate the Fourier transform, which gives

$$\tilde{\rho}_{\text{fast}}(\omega) \approx -3iH_{\text{slow}}(t_{\text{end}}) \int_{-\infty}^{\infty} \frac{d\omega'}{2\pi} \frac{\omega'(\omega - \omega')}{\omega} \tilde{\phi}_C(\omega') \tilde{\phi}_C(\omega - \omega') . \quad (5.18)$$

Similarly we could evaluate $\tilde{P}_{\text{fast}}(\omega)$ by taking the Fourier transform of $P_{\text{fast}}(t)$ from eq. (5.14), but a more useful expression is obtained by taking the transform of eq. (5.11) instead to find

$$-i\omega\tilde{\rho}_{\text{fast}} + 3H_{\text{slow}} \left(\tilde{\rho}_{\text{fast}} + \tilde{P}_{\text{fast}} \right) + \frac{3}{2}H_{\text{slow}}\tilde{\rho}_{\text{fast}}(1 + w) = 0, \quad (5.19)$$

and solving for \tilde{P}_{fast} gives

$$\tilde{\rho}_{\text{fast}} - 3\tilde{P}_{\text{fast}} = \left(-\frac{i\omega}{H_{\text{slow}}} + \frac{11}{2} + \frac{3}{2} \frac{P_{\text{slow}}}{\rho_{\text{slow}}} \right) \tilde{\rho}_{\text{fast}} \quad (5.20)$$

where H_{slow} , ρ_{slow} , and P_{slow} are evaluated at $t = t_{\text{end}}$. Note that for $\omega \gg H_{\text{slow}}$ we can neglect the term containing $w = P_{\text{slow}}/\rho_{\text{slow}}$, since P_{slow} is always smaller than ρ_{slow} . This says that the particle production is insensitive to the equation of state of the slowly evolving part of the background spacetime to leading order in λ .

5.6 Gaussian spectral model

Further evaluation of $\tilde{\rho}_{\text{fast}}(\omega)$ from eq. (5.18) requires an expression for $\tilde{\phi}_{\text{C}}(\omega)$. By construction $\phi_{\text{C}}(t)$ is periodic and smooth, since it corresponds to the energy-conserving solution. Therefore we now adopt the Gaussian spectral model,

$$\tilde{\phi}_{\text{C}}(\omega) = \frac{A}{\sqrt{2\pi\sigma^2}} \left[e^{-(\omega-\omega_*)^2/(2\sigma^2)} + e^{-(\omega+\omega_*)^2/(2\sigma^2)} \right] e^{-i\omega t_{\text{end}}} . \quad (5.21)$$

Note that $\tilde{\phi}_{\text{C}}(\omega) = \tilde{\phi}_{\text{C}}^*(-\omega)$ such that $\phi_{\text{C}}(t) = \phi_{\text{C}}^*(t)$.

The three parameters ω_* , A , and σ can be extracted from the scalar potential, $V(\phi)$. The dominant mode has a frequency $\omega_* = 2\pi/\tau$, where τ is the period of oscillation, $\phi_{\text{C}}(t_{\text{end}}) = \phi_{\text{C}}(t_{\text{end}} + \tau)$. The energy-conservation constraint implies

$$\omega_* \equiv \pi \left(\int_{\phi_{\text{C}}(t_{\text{end}})}^{\phi_{\text{C}}(t_{\text{end}} + \frac{\tau}{2})} \frac{d\phi}{\sqrt{2V(\phi_{\text{C}}(t_{\text{end}})) - 2V(\phi)}} \right)^{-1} \quad (5.22)$$

where we have assumed $V(\phi_{\text{C}}(t_{\text{end}} + \tau/2)) = V(\phi_{\text{C}}(t_{\text{end}}))$ and $\phi(t_{\text{end}} + \tau/2) > \phi(t_{\text{end}})$. The amplitude A is calculated as

$$\phi_{\text{C}}(t_{\text{end}}) = \frac{A}{\sqrt{2\pi\sigma^2}} \int \frac{d\omega}{2\pi} \left[e^{-(\omega-\omega_*)^2/(2\sigma^2)} + e^{-(\omega+\omega_*)^2/(2\sigma^2)} \right] = \frac{A}{\pi} . \quad (5.23)$$

The variance is calculated as

$$\sigma^2 \approx -\omega_*^2 + \frac{\int \frac{d\omega}{2\pi} \tilde{\phi}_{\text{C}}(\omega) \omega^2 e^{i\omega t_{\text{end}}}}{\phi_{\text{C}}(t_{\text{end}})} = -\omega_*^2 + \frac{V'(\phi_{\text{C}}(t_{\text{end}}))}{\phi_{\text{C}}(t_{\text{end}})} . \quad (5.24)$$

We are now equipped to evaluate $\tilde{\rho}_{\text{fast}}(\omega)$ from eq. (5.18). Employing the Gaussian spectral model gives

$$\begin{aligned} \tilde{\rho}_{\text{fast}}(\omega) \approx & \frac{-3i\sqrt{\pi}}{16} \frac{H_{\text{slow}}(t_{\text{end}}) \phi_{\text{C}}^2(t_{\text{end}})}{\sqrt{\sigma^2}} \frac{(\omega^2 - 2\sigma^2)}{\omega} \\ & \times \left(e^{-(\omega+2\omega_*)^2/4\sigma^2} + e^{-(\omega-2\omega_*)^2/4\sigma^2} \right) e^{-i\omega t_{\text{end}}} . \end{aligned} \quad (5.25)$$

Note that we have dropped the ‘‘cross term,’’ which contains a factor of $e^{-\omega^2/4\sigma^2}$, because it does not contribute to fast modes.

It is illuminating to investigate this expression in the limit $\sigma \rightarrow 0$. We find

$$\tilde{\rho}_{\text{fast}}(\omega) \approx -\frac{3i\pi}{27/2} H_{\text{slow}}(t_{\text{end}}) \phi_{\text{C}}^2(t_{\text{end}}) \omega \left(\delta \left[\frac{\omega + 2\omega_*}{\sqrt{2}} \right] + \delta \left[\frac{\omega - 2\omega_*}{\sqrt{2}} \right] \right) e^{-i\omega t_{\text{end}}} , \quad (5.26)$$

and the inverse Fourier transform is

$$\rho_{\text{fast}}(t) = \frac{3}{4} \omega_* H_{\text{slow}}(t_{\text{end}}) \phi_{\text{C}}^2(t_{\text{end}}) \sin[2\omega_*(t - t_{\text{end}})] . \quad (5.27)$$

For comparison consider the model of a real scalar field with a harmonic potential, $V(\phi) = m_\phi^2 \phi^2/2$. The equation of motion is solved by

$$\phi(t) \approx \frac{\phi_{\text{C}}(t_{\text{end}})}{t/t_{\text{end}}} \cos[m_\phi(t - t_{\text{end}})] \left\{ 1 + O \left(H - \frac{2}{3t} \right) \right\} , \quad (5.28)$$

and the corresponding energy density is given by eq. (5.27) with $\omega_* = m_\phi$. This gives us a nontrivial check of the Gaussian formalism for the case of the quadratic potential.

6 General formalism

Here we use the results of the previous sections to state a simple algorithm for computing the spectrum of heavy spectator particles that arise from gravitational particle production for a given inflaton potential $V(\phi)$ and in the limit $m_\chi/H(t_{\text{end}}) \gg 1$ where t_{end} is the time at the end of the quasi-dS era. We assume that the energy-momentum tensor is dominated by a single real scalar field ϕ with a canonically normalized kinetic term.

Step 1. Compute the peak frequency ω_* . For a given potential $V(\phi)$ one can solve

$$\frac{M_{\text{pl}}^2}{2} \left(\frac{V'(\phi_{\text{end}})}{V(\phi_{\text{end}})} \right)^2 = 1 \tag{6.1}$$

for ϕ_{end} to find the field value at the time period just after the end of the quasi-dS phase when $\epsilon_V(t_{\text{end}}) = 1$. This provides an initial condition for the energy-conserving solution $\phi_C(t_{\text{end}}) = \phi_{\text{end}}$. Then one can solve

$$V(\phi_C(t_{\text{end}})) = V(\phi_C(t_{\text{end}} + \tau/2)) \tag{6.2}$$

for $\phi_C(t_{\text{end}} + \tau/2)$ to find the turning points of the potential. Note that this definition of the turning point ignores the dissipation by Hubble friction as when constructing an adiabatic invariant, which is reasonable for $\omega_* \gg H(t_{\text{end}})$. Finally one can evaluate eq. (5.22):

$$\omega_* \equiv \pi \left[\int_{\phi_C(t_{\text{end}})}^{\phi_C(t_{\text{end}} + \frac{\tau}{2})} \frac{d\phi}{\sqrt{2V(\phi_C(t_{\text{end}})) - 2V(\phi)}} \right]^{-1}, \tag{6.3}$$

which assumes $\phi_C(t_{\text{end}}) < \phi_C(t_{\text{end}} + \tau/2)$. If the integral can be done analytically, one does not have to explicitly compute ϕ_{end} . If the integral cannot be computed analytically due to the complexities of the potential, one can fix $\phi_C(t_{\text{end}})$ and compute ω_* . However, it is important to recognize that it is far easier to numerically evaluate this integral (and work through the following procedure) than it is to perform the numerical integration in eq. (2.10) that's required to evaluate β_k by “brute force” methods, because $H \ll m_\chi$.

Step 2. Compute the Fourier transforms of the energy density and pressure, $\tilde{\rho}_{\text{fast}}(\omega)$ and $\tilde{P}_{\text{fast}}(\omega)$. Assuming that the oscillations of $\phi_C(t)$ can be described by the Gaussian spectral model, the energy density is given by eq. (5.25):

$$\tilde{\rho}_{\text{fast}}(\omega) \approx \frac{3i\sqrt{\pi}}{16} \frac{H_{\text{slow}} \phi_C^2}{\sqrt{\sigma^2}} \frac{\omega^2 - 2\sigma^2}{\omega} \left(e^{-(\omega+2\omega_*)^2/4\sigma^2} + e^{-(\omega-2\omega_*)^2/4\sigma^2} \right) e^{i\omega t_{\text{end}}}, \tag{6.4}$$

and Friedmann's equation relates

$$\rho_{\text{slow}} = 3M_{\text{pl}}^2 H_{\text{slow}}^2 = V(\phi_C) \tag{6.5}$$

where ρ_{slow} , H_{slow} , and ϕ_C are evaluated at t_{end} both here and below. The spectral variance is given by eq. (5.24):

$$\sigma^2 \equiv \frac{V'(\phi_C)}{\phi_C} - \omega_*^2. \tag{6.6}$$

The pressure is given by eq. (5.20):

$$\tilde{\rho}_{\text{fast}} - 3\tilde{P}_{\text{fast}} = \left(-\frac{i\omega}{H_{\text{slow}}} + \frac{11}{2} \right) \tilde{\rho}_{\text{fast}}, \quad (6.7)$$

where a term of order $P_{\text{slow}}/\rho_{\text{slow}} < 11/2$ has been dropped inside the parantheses.

Step 3. Compute the Bogoliubov coefficient β_k . One can evaluate eq. (4.12):

$$\beta_k = \frac{1}{4\sqrt{3}M_{\text{pl}}} \frac{\tilde{\rho}_{\text{fast}}}{\sqrt{\rho_{\text{slow}}}} \frac{m_\chi^2}{E_k^2} - i \frac{1-6\xi}{12M_{\text{pl}}^2} \left[\tilde{\rho}_{\text{fast}} - 3\tilde{P}_{\text{fast}} \right] \frac{1}{E_k} \quad (6.8)$$

where $\tilde{\rho}_{\text{fast}}$ and \tilde{P}_{fast} are evaluated at $\omega = -2E_k$, and $E_k(t)$ is evaluated at t_{end} :

$$E_k = \sqrt{\frac{k^2}{a_{\text{slow}}^2(t_{\text{end}})} + m_\chi^2}. \quad (6.9)$$

One can interpret $k/a_{\text{slow}}(t_{\text{end}})$ as the free-particle momentum of the χ particle at time t_{end} . If the field χ is conformally coupled to gravity ($\xi = 1/6$) then the second term in β_k vanishes. Otherwise we have

$$\frac{\beta_k \text{ [second term]}}{\beta_k \text{ [first term]}} \approx (1-6\xi) \left(\frac{2E_k^2}{m_\chi^2} \right) \quad (6.10)$$

where we have dropped a sub-leading term that is $O(H_{\text{slow}}/E_k) < O(H_{\text{slow}}/m_\chi) \ll 1$ in the regime of interest. Particle production is most efficient for modes with $E_k \approx \omega_*$, and therefore modes with $E_k \gg m_\chi$ will dominate the phase space as long as $\omega_* \gg m_\chi$. (This is equivalent to there being a hierarchy in the book-keeping expansion parameters, $\varepsilon \gg \lambda$). Consequently the second term in β_k is generally larger than the first one, except when $\xi \approx 1/6$.

Step 4. Compute the spectrum of χ -particle production rate. Most of the particle production occurs within the first few Hubble times after the oscillations begin, i.e times t such that $O(\omega_*^{-1}) \ll t - t_{\text{end}} < O(H_{\text{slow}}^{-1})$. The rapid ϕ_c oscillations that are driving particle production have their amplitude damped away on a time scale H_{slow}^{-1} , and therefore particle production shuts off and the comoving spectrum is conserved at later times, i.e. $a^3(t) dn_\chi/dk|_t \approx \text{const.}$ for $t - t_{\text{end}} > O(H_{\text{slow}}^{-1})$. To determine the late time relic abundance of χ particles we can calculate the spectrum of particle production *rate* close to t_{end} . The assumptions that underlie our analytic result restrict its regime of validity to times $t \sim t_2$ such that $O(E_k^{-1}) \ll t_2 - t_{\text{end}} \ll O(H_{\text{slow}}^{-1})$; the lower limit follows from validity of the Fourier transform in eq. (4.8), and the upper limit follows from neglecting the damping of ϕ_c in eq. (5.12). We calculate the production rate spectrum during this time using eq. (2.11), which gives

$$\begin{aligned} \frac{d\gamma(t_{\text{end}})}{dk} &\equiv \frac{1}{t_2 - t_{\text{end}}} \frac{dn_\chi}{dk} \Big|_{t_2} \\ &\approx \frac{\sigma}{\sqrt{2\pi}} \frac{k^2}{2\pi^2} \frac{1}{a_{\text{slow}}^3(t_{\text{end}})} |\beta_k(t_2)|^2 \quad \text{for} \quad E_k^{-1} \ll t_2 - t_{\text{end}} \ll H_{\text{slow}}^{-1}. \end{aligned} \quad (6.11)$$

If $E_k \gg |\sigma|$ (e.g. close to quadratic potential), the expression simplifies as

$$\frac{d\gamma(t_{\text{end}})}{dE_k} \approx \frac{(E_k^2 - m_\chi^2)^{1/2} E_k}{2048\pi} \left(\frac{m_\chi^2}{E_k^2} + 2(1 - 6\xi) \right)^2 \left(\frac{\phi_c}{M_{\text{pl}}} \right)^4 \frac{E_k^2}{\sqrt{2\pi}\sigma} \exp \left[-\frac{2(E_k - \omega_*)^2}{\sigma^2} \right]. \quad (6.12)$$

This gives the production rate as a function of time t_{end} , and with the replacement of the time parameter $t_{\text{end}} \rightarrow t$, this can be used in Boltzmann equations as a function of time t to compute the particle production in a standard manner.¹ This expression for the spectrum is an approximation in the limit that $E_k/H \gg 1$ with an error budget of $\max[H/\omega_*, H/E_k]$. Another source of error in this computation is the Gaussian modeling of the frequency distribution. Such approximations are invalid if the coherent oscillations contain many strong frequencies as might be the case for multi-field coherent oscillations with many oscillation scales. Furthermore, since the natural correspondence is $\sigma^{-1} = (t_2 - t_{\text{end}})/\sqrt{2\pi}$, one cannot rigorously apply the Gaussian ansatz for $\sigma^{-1} \gg (t_2 - t_{\text{end}})/\sqrt{2\pi}$, but as long as Fourier transform is a good approximation (which effectively treats $t_2 - t_{\text{end}}$ as an infinite time period), one can allow for even smaller widths.

7 Application to a quadratic potential

To illustrate a simple and phenomenologically useful application of this formalism, we now consider a class of models that can be described by a quadratic inflaton potential,

$$V(\phi) = \frac{1}{2} m_\phi^2 \phi^2, \quad (7.1)$$

near the local minimum at $\phi = 0$ where reheating occurs. Away from $\phi = 0$ the quadratic potential may be extended (as in the hilltop inflation model of ref. [17]). We use this potential to compute the number of χ particles that are gravitationally produced for $m_\chi \gg H$ by following the steps laid out in section 6.

Step 1. To compute the peak frequency (eq. (6.3)), we evaluate

$$\int_{\phi(t_{\text{end}})}^{\phi(t_{\text{end}} + \frac{\pi}{2})} \frac{d\phi}{\sqrt{2V(\phi_c(t_{\text{end}})) - 2V(\phi)}} = \frac{\pi}{m_\phi}, \quad (7.2)$$

and the peak frequency is found to be

$$\omega_* = m_\phi. \quad (7.3)$$

Step 2. We compute the frequency dispersion, finding

$$\sigma^2 = 0. \quad (7.4)$$

Consequently the Fourier transforms of the energy density and pressure are evaluated in the $\sigma^2 \rightarrow 0$ limit, which gives

$$\tilde{\rho}_{\text{fast}} \approx \frac{3i\pi}{2^{7/2}} H_{\text{slow}} \phi_c^2 \omega \left(\delta \left[\frac{\omega + 2m_\phi}{\sqrt{2}} \right] + \delta \left[\frac{\omega - 2m_\phi}{\sqrt{2}} \right] \right) e^{i\omega t_{\text{end}}} \quad (7.5)$$

$$\tilde{\rho}_{\text{fast}} - 3\tilde{P}_{\text{fast}} \approx \left(-\frac{i\omega}{H_{\text{slow}}} + \frac{11}{2} \right) \tilde{\rho}_{\text{fast}}. \quad (7.6)$$

¹Such a Boltzmann equation is defined to be coarse grained on a time scale of $t_2 - t_{\text{end}}$.

Here and throughout the rest of this section, H_{slow} , ϕ_{C} , $\tilde{\rho}_{\text{fast}}$, and \tilde{P}_{fast} are evaluated at t_{end} . Friedmann's equation gives

$$\rho_{\text{slow}} = 3M_{\text{pl}}^2 H_{\text{slow}}^2 = \frac{1}{2} m_\phi^2 \phi_{\text{C}}^2 . \quad (7.7)$$

Step 3. The Bogoliubov coefficient is then given by

$$\begin{aligned} \beta_k = & \left[-i \frac{\pi H_{\text{slow}} \phi_{\text{C}}}{16 m_\phi M_{\text{pl}}} \sqrt{3} E_k \delta(-\sqrt{2} E_k + \sqrt{2} m_\phi) e^{-2i E_k t_{\text{end}}} \right] \frac{m_\chi^2}{E_k^2} \\ & + (1 - 6\xi) \left[-i \frac{\pi}{8\sqrt{2}} \frac{E_k^3 \phi_{\text{C}}^2}{m_\chi^2 M_{\text{pl}}^2} \delta(-\sqrt{2} E_k + \sqrt{2} m_\phi) e^{-2i E_k t_{\text{end}}} \right] \frac{m_\chi^2}{E_k^2} , \end{aligned} \quad (7.8)$$

where E_k is evaluated at t_{end} . In the second term we have assumed $H_{\text{slow}}/E_k \ll 1$.

Step 4a. — Conformal coupling: if the spectator is conformally coupled to gravity ($\xi = 1/6$) then

$$|\beta_k(t_2)|^2 \approx \lim_{\Delta t \rightarrow \infty} \Delta t \frac{3\pi}{1024} \frac{H_{\text{slow}}^2 \phi_{\text{C}}^2}{M_{\text{pl}}^2} \frac{m_\chi^4}{m_\phi^2 E_k^2} \delta(E_k - m_\phi) , \quad (7.9)$$

where we have used $\delta(\sqrt{2}x)^2 = \lim_{y \rightarrow \infty} (y/4\pi) \delta(x)$. The spectrum's rate of change is given by

$$\frac{d\gamma_{\xi=1/6}(t_{\text{end}})}{dk} = \frac{3}{2048\pi} \frac{k^2}{a_{\text{slow}}^3} \frac{H_{\text{slow}}^2 \phi_{\text{C}}^2}{M_{\text{pl}}^2} \frac{m_\chi^4}{m_\phi^2 E_k^2} \delta(E_k - m_\phi) , \quad (7.10)$$

where a_{slow} is evaluated at t_{end} . Integrating the spectrum gives the density production rate

$$\gamma_{\xi=1/6}(t_{\text{end}}) = \frac{9}{1024\pi} H_{\text{slow}}^4 \frac{m_\chi^4}{m_\phi^4} \sqrt{1 - \frac{m_\chi^2}{m_\phi^2}} \Theta(m_\phi - m_\chi) . \quad (7.11)$$

We can integrate the Boltzmann equation using eq. (7.11) with t_{end} promoted to t and $a_{\text{slow}}(t) \propto t^{2/3}$. This gives at $t \gg t_{\text{end}} + 1/H$

$$n_\chi(t) = \mathcal{C}_c H_{\text{slow}}^3 \frac{m_\chi^4}{m_\phi^4} \sqrt{1 - \frac{m_\chi^2}{m_\phi^2}} \Theta(m_\phi - m_\chi) \left(\frac{a(t_{\text{end}})}{a(t)} \right)^3 \quad (7.12)$$

$$\mathcal{C}_c \equiv \left(\frac{3}{32} \right) \left(\frac{1}{16\pi} \right) \simeq 0.0019 . \quad (7.13)$$

The main result in eq. (7.12) gives the cosmological density of χ particles that arise from gravitational particle production due to the rapid oscillations of the inflaton field at the end of inflation; the formula assumes $H_{\text{slow}} \ll m_\chi$ and that χ is conformally coupled to gravity ($\xi = 1/6$). The volume dilution scaling assumes that the χ particles are free from particle-number-changing interactions at later times. Note that this analytical calculation of \mathcal{C}_c is consistent with the numerically-estimated coefficient of eq. (34) in ref. [17]. Due to our approximations, the error on eq. (7.12) is expected to be of order $H_{\text{slow}}(t_{\text{end}})/m_\chi$.

Step 4b. — Minimal coupling: if the spectator is minimally coupled to gravity ($\xi = 0$), then both terms in eq. (7.8) contribute to β_k , but the second is larger by a factor of $2E_k^2/m_\chi^2 = 2m_\phi^2/m_\chi^2$. Keeping only the second term, we follow the same calculations as before to now find

$$\frac{d\gamma_{\xi=0}(t_{\text{end}})}{dk} = \frac{1}{1024\pi} \frac{k^2}{a_{\text{slow}}^3} \frac{E_k^2 \phi_C^4}{M_{\text{pl}}^4} \delta(E_k - m_\phi) \tag{7.14}$$

$$\gamma_{\xi=0}(t_{\text{end}}) = \frac{9}{256\pi} H_{\text{slow}}^4 \sqrt{1 - \frac{m_\chi^2}{m_\phi^2}} \Theta(m_\phi - m_\chi) \tag{7.15}$$

$$n_\chi(t) = C_m H_{\text{slow}}^3 \sqrt{1 - \frac{m_\chi^2}{m_\phi^2}} \Theta(m_\phi - m_\chi) \left(\frac{a(t_{\text{end}})}{a(t)}\right)^3 \tag{7.16}$$

$$C_m = \left(\frac{3}{8}\right) \left(\frac{1}{16\pi}\right) \simeq 0.0075 . \tag{7.17}$$

Equation (7.16) valid for $t \gg t_{\text{end}} + 1/H$ is another main result of this paper. The derivation of this result has assumed $H_{\text{slow}} \ll m_\chi \ll m_\phi$ and that χ is minimally coupled to gravity ($\xi = 0$). As m_χ approaches m_ϕ , the two terms in the expression for β_k from eq. (7.8) become comparable in magnitude, and this is why eq. (7.16) is only reliable for $m_\chi \ll m_\phi$. Thus the threshold factor $(1 - m_\chi^2/m_\phi^2)^{1/2} \approx 1$ must be close to one, but we have retained this factor anyway to illustrate that our formalism, i.e. the double expansion in λ and ε , allows the computation of such terms. It is remarkable that the analytical computation of C_m matches the numerical estimate in eq. (44) of [17] very well. The error in C_m is expected to be $\mathcal{O}(H_{\text{slow}}/m_\chi)$.

Step 4c. — General coupling and masses: keeping both terms in eq. (7.8) or using eq. (6.12) we can also compute

$$n_\chi(t) = \frac{3}{32} \frac{1}{16\pi} H_{\text{slow}}^3(t_{\text{end}}) \left(\frac{m_\chi^2}{m_\phi^2} + 2(1 - 6\xi)\right)^2 \sqrt{1 - \frac{m_\chi^2}{m_\phi^2}} \Theta(m_\phi - m_\chi) \left(\frac{a(t_{\text{end}})}{a(t)}\right)^3 \tag{7.18}$$

for $t \gg t_{\text{end}} + 1/H$ where unlike in eq. (7.16) we have not made the $m_\chi \ll m_\phi$ approximation.

8 Conclusions

In this work, we have presented a formalism to compute the scalar particle χ spectrum produced by gravitational interactions of the inflaton during the coherent oscillations in the kinematic regime in which $m_\chi \gg H$. Unlike the parametric resonance case, this is qualitatively the limit of $2 \rightarrow 2$ scattering through gravitational interactions.

The most general formula in terms of relevant Fourier components is given in eq. (4.12). Next, we used the vanishing divergence of the energy-momentum tensor and a Gaussian approximation to the coherent oscillation frequency spectrum of a real canonically normalized scalar field to compute the relevant Fourier components. The result is in terms of the properties of the potential and the value of the inflaton at the end of inflation. The

computational procedure is summarized in section 6 and a phenomenologically relevant quadratic coherent oscillation potential case is worked out in section 7. In the process, a compact small spectral width limit formula for the particle production rate spectrum is also presented in eq. (6.12).

One can apply the formalism in this paper to dark matter production in scenarios similar to [17] as well as to moduli problem considerations in the spirit of [27–29]. It would also be interesting to understand how different features in the coherent oscillation potential (such as cubic terms and radiative corrections) will change the gravitational production parametric dependence. The formulae could also be generalizable to higher-spin particle production. Finally, it would be interesting to systematically expand beyond a single Gaussian peak distribution model to more complicated multifield coherent oscillation frequency structure.

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