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# Type IIB on  $S^3\times S^3$  through  $Q$  &  $P$  fluxes

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Abstract: We study a class of orientifold compactifications of type IIB supergravity with fluxes down to 4D in connection with truncations of half-maximal gauged supergravities yielding isotropic STU-models with minimal supersymmetry. In this context, we make use of a group-theoretical approach in order to derive flux-induced superpotentials for different IIB backgrounds. We first review the toroidal case yielding GKP-like superpotentials characterised by their *no-scale* behaviour. We then turn to  $S^3 \times S^3$  and  $S^3 \times \mathbb{T}^3$ , which, surprisingly, give rise to effective descriptions of non-geometric  $Q$ - and  $P$ -fluxes through globally geometric non-toroidal compactifications. As a consequence, such constructions break the no-scale symmetry without invoking any non-perturbative effects.

Keywords: Flux compactifications, Extended Supersymmetry, Supergravity Models

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### Contents



## <span id="page-1-0"></span>1 Introduction

In the last two decades a large variety of string compactifications with fluxes have been studied in order to produce lower-dimensional maximally symmetric vacua that might be relevant for cosmological (de Sitter) or holographic (anti-de Sitter) purposes.

Focusing our attention on type II theories in particular, gauge fluxes (both of NS-NS and R-R type) on a six-torus  $\mathbb{T}^6$  were introduced as a first ingredient in a compactification scheme generating a potential for the would-be moduli fields at a perturbative level.

While this can result in the achievement of full moduli stabilisation in an  $AdS<sub>4</sub>$  vacuum in massive type IIA with O6-planes [\[1\]](#page-15-0), in type IIB with O3-planes it just produces a class of so-called no-scale models  $[2]$  only allowing for Minkowski solutions where the Kähler moduli remain flat.

Parallelly in ref. [\[3\]](#page-16-1), the idea of including a twisting on the  $\mathbb{T}^6$  by promoting it to a group manifold with constant spin connection  $(a.k.a.$  metric flux) was developed in the context of type IIA orientifold reductions on  $\mathbb{T}^6 / (\mathbb{Z}_2 \times \mathbb{Z}_2)$  and the connection with  $\mathcal{N} = 1$ superpotentials in STU-models was worked out in detail.

Conversely, in type IIB, since the option of including metric flux in order to break the aforementioned no-scale symmetry is not available due to its negative parity w.r.t. the orientifold involution, the possibility of using non-perturbative effects to introduce a dependence on the Kähler moduli was initially explored in ref.  $[4]$ . However, a possible generic drawback of such constructions based on non-perturbative effects, is our lack of information concerning their precise form or their reliability within a supergravity regime.

A somewhat complementary approach that has been pursued during the last decade is that of introducing generalised fluxes [\[5\]](#page-16-3) within the 4D effective description. The existence of such objects was originally conjectured on the basis of string duality arguments, though in general no 10D lift is known for these flux deformations.

A strikingly simple and enlightening case for investigating these dual fluxes is that of the  $\mathbb{Z}_2 \times \mathbb{Z}_2$  toroidal orbifold of type II compactifications. This is due to the fact that  $\mathbb{T}^6 / (\mathbb{Z}_2 \times \mathbb{Z}_2)$  happens to coincide with its own mirror manifold. This implies that different bits of information, which can be accessed in different duality frames, all find their place in a universal duality-covariant flux-induced superpotential.

After choosing a specific duality frame, e.g. type IIB with O3-planes, the majority of the superpotential couplings will represent non-geometric fluxes, i.e. with no known higherdimensional origin. The aim of the present work is to give evidence for a type IIB lift of some superpotentials generated by non-geometric fluxes of  $Q \& P$  type. To do this, we will follow the same philosophy as propposed in ref.  $[6]$ .

The paper is organised as follows. In section [2](#page-2-0) we first review orientifold reductions of type IIB supergravity preserving sixteen supercharges in 4D. Secondly, we connect these to half-maximal gauged supergravities by showing how turning on fluxes from a *top-down* perspective corresponds to gauging part of the global symmetry of the underlying 4D theory within a *bottom-up* approach. We will make of use of the aforementioned gauged supergravity theories in their embedding tensor incarnation [\[7\]](#page-16-5). This formulation of gauged supergravity manifestly promotes flux deformations to duality-covariant objects, thus containing information concerning dual fluxes. Subsequently we use this formalism as a tool to study the explicit examples of  $\mathbb{T}^6$ ,  $S^3 \times S^3$  and  $S^3 \times \mathbb{T}^3$  and derive the embedding tensor/generalised fluxes dictionary. Finally, in section [3](#page-12-1) we speculate on some aspects of our analysis and mention some possible future developments.

#### <span id="page-2-0"></span>2 Type IIB on various compact backgrounds

The low-energy type IIB (pseudo-)action in the string frame reads

<span id="page-2-2"></span>
$$
S^{(\text{IIB})} = \frac{1}{(2\pi)^7 \, (\alpha')^4} \int d^{10}x \sqrt{-g_{10}} \left( e^{-2\phi} \mathcal{R}^{(10)} + 4e^{-2\phi} (\partial \phi)^2 - \frac{1}{2 \cdot 3!} e^{-2\phi} |H_{(3)}|^2 - \frac{1}{2} |F_{(1)}|^2 - \frac{1}{2 \cdot 3!} |F_{(3)}|^2 - \frac{1}{2 \cdot 5!} |F_{(5)}|^2 \right) + \text{C-S} \,, \tag{2.1}
$$

where  $F_{(5)}$  satisfies the following self-duality condition  $F_{(5)} \stackrel{!}{=} \star_{10} F_{(5)}$ .

We choose the following reduction Ansatz

<span id="page-2-1"></span>
$$
ds_{10}^2 = \tau^{-2} ds_4^2 + \rho g_{mn} dy^m \otimes dy^n , \qquad (2.2)
$$

where  $\tau$  and  $\rho$  are suitable combinations of the internal volume vol<sub>6</sub> and the ten-dimensional dilaton  $\phi$  which are usually referred to as the universal moduli [\[8\]](#page-16-6). The internal geometry is parametrised by the element  $g_{mn}$  of the  $SL(6)/SO(6)$  coset. According to [\(2.2\)](#page-2-1), the ten-dimensional Ricci scalar  $\mathcal{R}^{(10)}$  reduces to

$$
\mathcal{R}^{(10)} \longrightarrow \tau^2 \mathcal{R}^{(4)} + \rho^{-1} \mathcal{R}^{(6)} \tag{2.3}
$$

Imposing

$$
e^{2\phi} = \tau^{-2} \rho^3 \tag{2.4}
$$

guarantees a four-dimensional Lagrangian in the Einstein frame. By performing the dimensional reduction of the various kinetic terms in the action  $(2.1)$ , one can derive the  $(\rho, \tau)$  scaling of the corresponding fluxes in a very straightforward way.

As an example, from a reduction of the corresponding term in [\(2.2\)](#page-2-1), one finds that the  $(\rho, \tau)$  weights of  $F_{mnp}$  are

$$
\sqrt{-g_{10}} |F_{(3)}|^2 \longrightarrow \tau^{-4} \rho^3 |F_{mnp}|^2 \rho^{-3} = \tau^{-4} |F_{mnp}|^2 , \qquad (2.5)
$$

where  $|F_{mnp}|^2 \equiv F_{mnp} F_{qrs} g^{mq} g^{nr} g^{ps}$ .

# <span id="page-3-0"></span>2.1 Orientifold reductions of type IIB supergravity

In the presence of O3-planes, the 10D field content undergoes a truncation that selects the even sector w.r.t. to a combination of worldsheet parity  $\Omega_p$ , fermionic number  $(-1)^{F_L}$  and orientifold involution. From a world-sheet perspective, i.e. under the combined  $(-1)^{F_L}\Omega_p$ action, the type IIB fields g,  $\phi$ ,  $C_{(0)}$  and  $C_{(4)}$  are parity-even whereas  $B_{(2)}$  and  $C_{(2)}$  are parity-odd.

In our compactifications we will consider O-planes placed as follows

O3-planes: 
$$
\frac{\times | \times \times \times}{D=4} \frac{\frac{1}{2} - \frac{1}{2} - \frac{1}{2}}{m}
$$

and subsequently define the associated orientifold involution by

<span id="page-3-1"></span>
$$
\sigma_{\text{O3}} : (y^1, y^2, y^3, y^4, y^5, y^6) \rightarrow (-y^1, -y^2, -y^3, -y^4, -y^5, -y^6) . \tag{2.6}
$$

The above conventions automatically assign a  $\mathbb{Z}_2$  parity to the six physical coordinates on the internal manifold  $X_6$  that is induced by the O3-involution in  $(2.6)$ .

$$
x^M \longrightarrow \underbrace{x^{\mu}}_{4D} \oplus \underbrace{y^a \oplus y^i}_{(-)}, \qquad (2.7)
$$

where  $y^m \equiv (y^a, y^i)$  realise the compact geometry of  $X_6$ . Retaining only even fields and fluxes w.r.t. the action of the above  $\mathbb{Z}_2$  will automatically restrict our supergravity theory obtained upon such a type IIB reduction within the framework of  $\mathcal{N}=1$  STU-models.

In order to identify all the three scalar excitations within the aforementioned STUmodels, we need to open up an extra *semi-universal* deformation of the metric  $(2.2)$ . This yields the following new 10D Ansatz

<span id="page-3-2"></span>
$$
ds_{10}^2 = \tau^{-2} ds_4^2 + \rho \left( \sigma^{-1} g_{ab} \eta^a \otimes \eta^b + \sigma g_{ij} \eta^i \otimes \eta^j \right), \qquad (2.8)
$$

where  $\{\eta^m\} \equiv \{\eta^a, \eta^i\}$  represent a basis of one-forms carrying the information about the dependence of the metric on the internal coordinates. The extra  $\mathbb{R}^+$  scalar  $\sigma$  parametrises the relative size between the  $a$  and  $i$  coordinates, which would acquire opposite involution-parity when adopting the type IIA picture [\[9\]](#page-16-7). Moreover,  $g_{ab}$  and  $g_{ij}$  contain in general

B/F	$\sigma_{\rm O3}$	$(-1)^{F_L} \Omega_p$	$\rm IIB$ field	$\mathrm{SL}(3)_a \times \mathrm{SL}(3)_i \times \mathbb{R}_S^+ \times \mathbb{R}_T^+ \times \mathbb{R}_U^+$
	$^{+}$	$^{+}$	$\phi$	$(\mathbf{1}, \mathbf{1})_{(0;\,0;\,0)}$
	$^{+}$	$^{+}$	$e_a{}^a = e_i{}^i$	$(\mathbf{1}, \mathbf{1})_{(0;\,0;\,0)}$
	$^{+}$	$^{+}$	$e_a{}^b$	$({\bf 8},{\bf 1})_{(0;\,0;\,0)}$
B	$^{+}$	$^{+}$	$e_i{}^j$	$(\boldsymbol{1},\boldsymbol{8})_{(0;\,0;\,0)}$
	$^{+}$	$^{+}$	${e_a}^i$	$({\bf 3}',{\bf 3})_{(0;\,0;\,-1)}$
	$^{+}$	$^{+}$	$e_i{}^a$	$(\mathbf{3},\mathbf{3}')_{(0;\,0;\,+1)}$
	$^{+}$		$e_m{}^m$	$(\mathbf{1}, \mathbf{1})_{(0;\,0;\,0)}$
	$^{+}$	$^{+}$	${\cal C}_{(0)}$	$(\mathbf{1},\mathbf{1})_{(+1;\,0;\,0)}$
	$^{+}$	$^{+}$	$\mathcal{C}_{aijk}$	$(\mathbf{3^\prime},\mathbf{1})_{(0;\,+1;\,+1)}$
	$^{+}$	$^{+}$	$C_{abc}$	$({\bf 1},{\bf 3'})_{(0;\,+1;\,-1)}$
	$^{+}$	$^{+}$	$C_{abij}$	$({\bf 3},{\bf 3})_{(0;\,+1;\,0)}$
	$^{+}$		$\mathcal{B}_{ab}$	$\overline{(\mathbf{3},\mathbf{1})_{(-\frac{1}{2};\pm\frac{1}{2};+1)}}$
	$^{+}$		$B_{ij}$	$\overline{(1,3)}_{(-\frac{1}{2};\frac{1}{2};-1)}$
	$^{+}$		$B_{ai}$	$\overline{(\mathbf{3}',\mathbf{3}')_{(-\frac{1}{2};\frac{1}{2};0)}}$
$\mathbf{F}$	$^{+}$		$\mathcal{B}_{abcijk}$	$({\bf 1},{\bf 1})_{(-\frac{1}{2};-\frac{3}{2};0)}$
	$^{+}$		$\mathcal{C}_{ab}$	$({\bf 3}, {\bf 1})_{(+\frac{1}{2};\pm\frac{1}{2};+1)}$
	$^{+}$		$C_{ij}$	$({\bf 1},{\bf 3})_{(+\frac{1}{2};+\frac{1}{2};-1)}$
	$^{+}$		$C_{ai}$	$({\bf 3}',{\bf 3}')_{(+\frac{1}{2};+\frac{1}{2};0)}$
	$^{+}$		$C_{mnpqrs}$	$({\bf 1},{\bf 1})_{(+\frac{1}{2};-\frac{3}{2};0)}$

<span id="page-4-0"></span>Table 1. The physical scalars from type IIB compactifications mapped into states in the decomposition of the 133 of  $E_{7(7)}$ , i.e. the U-duality group in 4D. Note that it is the combination  $(-1)^{F_L} \Omega_p \sigma_{\Omega_3}$  of fermionic number, worldsheet parity and orientifold involution what determines which states are "bosonic" (B) (kept) and "fermionic" (F) (projected out).

 $SL(3)<sub>a</sub> \times SL(3)<sub>i</sub>$  scalar excitations. However, we will keep such degrees of freedom frozen here by imposing the extra requirement of SO(3)-invariance, i.e.  $g_{ab} = \delta_{ab}$  and  $g_{ij} = \delta_{ij}$ . This will constructively yield an isotropic STU-model in 4D.

The relationship between the STU scalars and the geometric moduli appearing in [\(2.8\)](#page-3-2) reads

<span id="page-4-1"></span>
$$
\rho = \operatorname{Im}(S)^{-1/2} \operatorname{Im}(T)^{1/2} , \tau = \operatorname{Im}(S)^{1/4} \operatorname{Im}(T)^{3/4} , \sigma = \operatorname{Im}(U) . \tag{2.9}
$$

The STU-scaling weights, and the  $\mathbb{Z}_2$ -parity of all type IIB fields, were already worked out in ref. [\[10\]](#page-16-8). In table [1](#page-4-0) we summarise and recollect the results of the analysis done there.

In the second part of this section we will be considering some examples of orientifold reductions of type IIB supergravity with O3-planes leading to STU-models within  $\mathcal{N} = 1$ supergravity in 4D. For each of them we will propose a group-theoretical derivation of the corresponding flux-induced superpotential which follows the prescription adopted in ref. [\[6\]](#page-16-4) in the context of M-theory reductions.

We will start out by revisiting the case of  $\mathbb{T}^6$  compactifications giving rise to GKP-like backgrounds [\[2\]](#page-16-0) and we will derive the flux-induced superpotential for this class of theories through the aforementioned group-theoretical considerations. This will help us construct the working conventions to be used in the analogous derivation that will be carried out for different non-toroidal backgrounds. Before we do this, we need to first introduce a particular group-theoretical truncation of half-maximal supergravity in 4D leading to the isotropic STU-models that we are interested in.

Please note that one needs to perform an isotropic  $\mathbb{Z}_2\times\mathbb{Z}_2$  orbifold projection to  $S^3\times S^3$ in order to obtain a truncation of  $\mathcal{N} = 4$  supergravity yielding the  $\mathcal{N} = 1$  STU-model discussed here. Such truncation corresponds to retaining the SO(3)-invariant modes of the half-maximal theory. The spacetime-filling O3-planes introduced at the beginning of this subsection are to be located at the singular points of the aforementioned orbifold action. The issue of taking into account how the O-planes possibly backreact to the background geometry is very subtle and it still remains to be seen whether this effect is allowed within such a highly supersymmetric (16 supercharges) context.

## <span id="page-5-0"></span>2.2 An SO(3) truncation of  $\mathcal{N}=4$  supergravity

Half-maximal supergravity in 4D coupled to six vector multiplets arises from  $\mathbb{T}^6$  reductions of orientifolds of type II theories. It enjoys  $SL(2) \times SO(6, 6)$  global symmetry and all its fields and deformations (i.e. gaugings) transform in irrep's of such a global symmetry group [\[7\]](#page-16-5).

Starting out from  $\mathcal{N} = 8$  supergravity in 4D, and proceeding in a somewhat "bottomup" way, the orientifold involution described in section [2.1](#page-3-0) may be viewed as the following  $\mathbb{Z}_2$  truncation (see  $(A.1)$ )

$$
\begin{aligned} \mathcal{E}_{7(7)} \supset \, \text{SL}(2)_S \times \text{SO}(6,6) \,, \\ \mathbf{56} \, \stackrel{\mathbb{Z}_2}{\rightarrow} \, (\mathbf{2}, \mathbf{12})_{(+)} \oplus (\mathbf{1}, \mathbf{32})_{(-)} \,, \end{aligned}
$$

which retains its *even* sector, thus breaking half of the original supersymmetry. This procedure yields (gauged)  $\mathcal{N} = 4$  supergravity in  $D = 4$  [\[11\]](#page-16-9).

In particular, the vector fields of the half-maximal theory transform in the  $(2.12)$ though only half of them are physically independent due to 4D electromagnetic duality, the scalar fields transform in the  $(3,1) \oplus (1,66)$  though only  $2 + 36 = 38$  of them are physically propagating due to the presence of a local  $SO(2) \times SO(6) \times SO(6)$  symmetry.

A group-theoretical truncation consists in branching all fields and deformations of the theory into irrep's of a suitable subgroup  $G_0 \subset SL(2)_S \times SO(6,6)$  and retaining only the  $G_0$ -singlets. Such a truncation is guaranteed to be mathematically consistent due the covariance of the eom's of half-maximal supergravity w.r.t. its global symmetry. More precisely said,  $G_0$ -singlets can only source the eom's of other singlets, thus making it possible to consistently get rid of all the non-singlet modes.

In this context, we need to perform the correct truncation that makes contact with the  $\mathcal{N} = 1$  isotropic STU-models mentioned in section [2.1](#page-3-0) providing an effective description of orientifold compactifications of type IIB supergravity down to 4D. Such a suitable truncation turns out to be the one retaining the SO(3)-invariant sector of half-maximal

supergravity, i.e.

$$
SL(2)_S \times SO(6,6) \supset SL(2)_S \times SO(2,2) \times SO(3) \approx \prod_{\Phi=S,T,U} SL(2)_{\Phi} \times SO(3) . \quad (2.10)
$$

This step breaks half-maximal to minimal  $\mathcal{N} = 1$  supergravity due to the decomposition  $4 \rightarrow 1 \oplus 3$  of the fundamental representation of the SU(4) R-symmetry group in  $\mathcal{N} = 4$ supergravity under the SO(3) subgroup

$$
SU(4)_R \supset SU(3) \supset SO(3) . \tag{2.11}
$$

The resulting theory does not contain any vectors since there are no SO(3)-singlets in the decomposition  $12 \rightarrow (4,3)$  of the fundamental representation of SO(6,6) under SO(2,2)× SO(3). The physical scalar fields span the coset space

$$
\mathcal{M}_{\text{scalar}} = \prod_{\Phi = S, T, U} \left( \frac{\text{SL}(2)}{\text{SO}(2)} \right)_{\Phi}, \qquad (2.12)
$$

involving three  $SL(2)/SO(2)$  factors each of which can be parameterised by a complex scalar  $\Phi = (S, T, U)$ . The explicit embedding of the  $\mathcal{N} = 1$  scalars within the 38 scalars of the  $\mathcal{N} = 4$  theory reads [\[12\]](#page-16-10)

$$
M_{\alpha\beta} = \frac{1}{\text{Im}(S)} \left( \frac{|S|^2 \text{ Re}(S)}{\text{Re}(S)} \right) \in \left( \frac{\text{SL}(2)}{\text{SO}(2)} \right)_S, \tag{2.13}
$$

and

$$
M_{MN} = \begin{pmatrix} G^{-1} & -G^{-1}B \\ BG^{-1} & G - BG^{-1}B \end{pmatrix} \otimes 1_3 \in \frac{\text{SO}(6,6)}{\text{SO}(6) \times \text{SO}(6)}, \tag{2.14}
$$

where

$$
G \equiv \frac{\text{Im}(T)}{\text{Im}(U)} \begin{pmatrix} |U|^2 & -\text{Re}(U) \\ -\text{Re}(U) & 1 \end{pmatrix}, \text{ and } B \equiv \begin{pmatrix} 0 & \text{Re}(T) \\ -\text{Re}(T) & 0 \end{pmatrix}.
$$
 (2.15)

The kinetic Lagrangian of this sector can be effectively derived from the following Kähler potential

$$
K = -\log(-i(S - \bar{S})) - 3\log(-i(T - \bar{T})) - 3\log(-i(U - \bar{U}))
$$
 (2.16)

The unimodular deformations (i.e. gaugings) of the theory, which are encoded by the so-called *embedding tensor*, transform in the  $(2, 220)$  and can be arranged into an object denoted by  $f_{\alpha[MNP]}$  [\[7\]](#page-16-5).

When performing the  $SO(3)$  truncation, the embedding tensor reduces to a set of 40 invariant components

<span id="page-6-0"></span>
$$
f_{\alpha[MNP]} \longrightarrow \underbrace{\Lambda_{\alpha(ABC)}}_{(2,\sqsubset\!\sqsubset\!\sqsubset)} \underbrace{\Lambda_{\alpha(ABC)}}_{\text{of SL}(2)\times\text{SO}(2,2)} \otimes \underbrace{\epsilon_{IJK}}_{\text{1 of SO}(3)}, \qquad (2.17)
$$

which can be viewed as the superpotential couplings<sup>[1](#page-7-1)</sup> representing a complete dualityinviariant set of *generalised fluxes* [\[5\]](#page-16-3). This yields the following duality-covariant fluxinduced superpotential

<span id="page-7-2"></span>
$$
W = (P_F - P_H S) + 3T (P_Q - P_P S) + 3T^2 (P_{Q'} - P_{P'} S) + T^3 (P_{F'} - P_{H'} S),
$$
 (2.18)

involving the three complex moduli S, T and U surviving the  $SO(3)$  truncation introduced ealier in this section.

$$
P_F = a_0 - 3 a_1 U + 3 a_2 U^2 - a_3 U^3 \t , \t P_H = b_0 - 3 b_1 U + 3 b_2 U^2 - b_3 U^3 ,
$$
  
\n
$$
P_Q = c_0 + C_1 U - C_2 U^2 - c_3 U^3 \t , \t P_P = d_0 + D_1 U - D_2 U^2 - d_3 U^3 ,
$$
 (2.19)

as well as those induced by their primed counterparts  $(F', H')$  and  $(Q', P')$  fluxes [\[14\]](#page-16-11),

$$
P_{F'} = a'_3 + 3 a'_2 U + 3 a'_1 U^2 + a'_0 U^3 , \quad P_{H'} = b'_3 + 3 b'_2 U + 3 b'_1 U^2 + b'_0 U^3 ,
$$
  
\n
$$
P_{Q'} = -c'_3 + C'_2 U + C'_1 U^2 - c'_0 U^3 , \quad P_{P'} = -d'_3 + D'_2 U + D'_1 U^2 - d'_0 U^3 .
$$
\n(2.20)

For the sake of simplicity, we have introduced the flux combinations  $C_i \equiv 2 c_i - \tilde{c}_i$ ,  $D_i \equiv$  $2 d_i - \tilde{d}_i$ ,  $C'_i \equiv 2 c'_i - \tilde{c}'_i$  and  $D'_i \equiv 2 d'_i - \tilde{d}'_i$  entering the superpotential [\(2.18\)](#page-7-2), and hence also the scalar potential.

For more details concerning the physical interpretation of the above embedding tensor deformations and type IIB orientifold-even generalised fluxes, we refer to appendix [B.](#page-14-0)

#### <span id="page-7-0"></span>2.3 Tadpoles and quadratic constraints

In the previous section we have spelled out some details concerning the correspondence between embedding tensor deformations  $f_{\alpha MNP}$  of the half-maximal 4D theory and orientifold-even generalised type IIB fluxes. Such an analysis results in the dictionary in tables [4](#page-14-1) and [5.](#page-15-1) However, on the gauged supergravity side, the components of  $f_{\alpha MNP}$ only describe a consistent  $\mathcal{N} = 4$  gauging provided that the following set of quadratic constraints (QC) is satisfied

<span id="page-7-3"></span>
$$
QC_4: f_{\alpha[MN}{}^R f_{\beta PQ]R} = 0 , \epsilon^{\alpha\beta} f_{\alpha MN}{}^R f_{\beta PQR} = 0 , \qquad (2.21)
$$

ensuring the closure of the gauge algebra.

If one furthermore wants to demand the existence of an uplift of the above gaugings to the maximal theory, the following two extra QC are needed [\[11\]](#page-16-9)

<span id="page-7-4"></span>
$$
QC_8: \epsilon^{\alpha\beta} f_{\alpha[MNP} f_{\beta QRS]} = 0, f_{\alpha MNP} f_{\beta}^{MNP} = 0.
$$
 (2.22)

When retranslating the components of the embedding tensor back into generalised fluxes, the above sets of QC represent nothing but *tadpole* conditions enforcing the absence of SUSY-breaking extended sources. These would be inconsistent with the amount of supercharges possessed by the original theory.

<span id="page-7-1"></span><sup>&</sup>lt;sup>1</sup>The connection between the  $\mathcal{N} = 1$  and  $\mathcal{N} = 4$  theory was extensively investigated in ref. [\[13\]](#page-16-12). However, the explicit agreement between the scalar potentials up to quadratic constraints was first shown in ref. [\[12\]](#page-16-10).

,

So, in particular, the QC in  $(2.21)$  are required for consistency of the half-maximal theory and, as such, they set to zero all the flux tadpoles which would need to be cancelled by extended objects breaking supersymmetry further down to  $\mathcal{N}$  < 4. Conversely, all those other tadpoles which can be sourced by BPS branes preserving the same sixteen supercharges will be left arbitrary by the  $(2.21)$ .

On the other hand, the absence of all of the latter tadpoles will be required by the extra OC in [\(2.22\)](#page-7-4), which are needed for the existence of an  $\mathcal{N} = 8$  lift.

In summary, whenever studying a candidate embedding tensor configuration to describe an orientifold of type IIB, the  $QC$   $(2.21)$  should be satisfied, whereas the non-zero r.h.s. of [\(2.22\)](#page-7-4) will tell us about the type of BPS local sources that support the string background in question. The general situation can be therefore depicted as follows

Gauged SUGRA	<b>Fluxes</b>	
$f_{\alpha MNP}$	$\{a_0,\ldots,d_3'\}$	
$QC_4 = 0$	non-BPS branes	
$\neq 0$	BPS branes	

where, in the above picture, the type IIB fluxes are generically *generalised* (i.e. U-dual) [\[15\]](#page-16-13) and the corresponding branes are, as a consequence, exotic [\[16,](#page-16-14) [17\]](#page-16-15).

# <span id="page-8-0"></span>2.4 Compactifications on  $\mathbb{T}^6$

In the type IIB toroidal case with O3-planes, the requirement of  $SO(3)$ -invariance truns out to be equivalent to performing an isotropic  $\mathbb{Z}_2 \times \mathbb{Z}_2$  orbifold projection. Hence it is possible to turn on both NS-NS and R-R 3-form gauge fluxes, whereas the orientifold projection together with the  $\mathbb{Z}_2 \times \mathbb{Z}_2$  orbifold action eliminate 1- and 5-form gauge fluxes as well as the possibility of twisting the  $\mathbb{T}^6$  by adding metric flux.

Such GKP-like backgrounds, which were originally studied in ref. [\[2\]](#page-16-0), are generically supported by the presence of D3-branes and O3-planes and hence they are described by means of a gauged  $\mathcal{N} = 4$  supergravity in 4D. By restricting oneself to the isotropic sector (see section [2.2\)](#page-5-0), such theories admit an  $\mathcal{N} = 1$  description within an STU-model.

In order to identify the emebedding tensor/fluxes dictionary, we need to branch the object  $f_{\alpha MNP}$  w.r.t. the following chain of maximal subgroups<sup>[2](#page-8-1)</sup>

$$
SL(2) \times SO(6,6) \supset \mathbb{R}_{\Sigma}^{+} \times SL(4)_{a} \times SL(4)_{i} \supset \mathbb{R}_{\Sigma}^{+} \times \mathbb{R}_{a}^{+} \times \mathbb{R}_{i}^{+} \times SL(3)_{a} \times SL(3)_{i} ,
$$

where now the two  $SL(3)$  factors realise the six physical internal coordinates. Since all internal directions are orientifold-odd, the physical derivative operators are found within

$$
SL(2) \times SO(6,6) \supset \mathbb{R}_{\Sigma}^{+} \times \mathbb{R}_{1}^{+} \times SL(6) \supset \mathbb{R}_{\Sigma}^{+} \times \mathbb{R}_{1}^{+} \times \mathbb{R}_{2}^{+} \times SL(3)_{a} \times SL(3)_{i} ,
$$

<span id="page-8-1"></span><sup>2</sup>One could have made the following alternative choice

which appears to be more natural for the  $\mathbb{T}^6$  case. However, the decompostion chain used here is the natural one for the cases that will be presented in the next subsections. Moreover, we note here that the two aforementioned different branching routes yield the same final result up to a relabelling of the three  $\mathbb{R}^+$  weights.

the decomposition of the 32 (i.e. spinorial) irrep of  $SO(6,6)$ . This yields (see appendix [A\)](#page-13-0)

<span id="page-9-0"></span>
$$
\begin{aligned} \text{SL}(2) \times \text{SO}(6,6) &\supset \mathbb{R}_{\Sigma}^{+} \times \mathbb{R}_{a}^{+} \times \mathbb{R}_{i}^{+} \times \text{SL}(3)_{a} \times \text{SL}(3)_{i} \\ (1,32) &\longrightarrow \underbrace{(3',1)_{(0;-1;-3)}}_{\partial_{a}} \oplus \underbrace{(1,3')_{(0;-3;-1)}}_{\partial_{i}} \oplus \dots \end{aligned} \qquad (2.23)
$$

Please note that all the examples of flux backgrounds studied in this paper only retain deformations that can be constructed as states obtained by acting with the physical derivatives in [\(2.23\)](#page-9-0) on some of the internal components of the gauge fields listed in table [1,](#page-4-0) thus yielding by construction locally geometric backgrounds in the toroidal sense.

According to [\[10\]](#page-16-8), the internal derivative operators should correspond to the STU states  $(3', 1)_{(0;+1;-\frac{1}{2})}$  and  $(1, 3')_{(0;+1;+\frac{1}{2})}$ , respectively. This, together with a suitable normalisation of  $\mathbb{R}^+_{\Sigma}$  $\Sigma$ , uniquely determines the following mapping between the STU-weights and the  $\mathbb{R}^+$ -weights labelled by "Σ", "a" and "i" associated with the conventions in appendix [A](#page-13-0)

<span id="page-9-1"></span>
$$
\begin{cases}\n q_S = \frac{1}{2} q_\Sigma, \\
q_T = -\frac{1}{4} (q_a + q_i), \\
q_U = -\frac{1}{4} (q_a - q_i).\n\end{cases} (2.24)
$$

Moving to the fluxes, we decompose the (2, 220) into

$$
\begin{array}{c}\bf{(2,220)}\rightarrow (1,1)_{(+1;-6;0)}\oplus (1,1)_{(-1;-6;0)}\oplus (3',3)_{(+1;-4;-2)}\oplus (3',3)_{(-1;-4;-2)}\oplus\\ \bf{(3,3')}_{(+1;-2;-4)}\oplus (3,3')_{(-1;-2;-4)}\oplus (1,1)_{(+1;0;-6)}\oplus (1,1)_{(-1;0;-6)}\ldots\end{array},
$$

where the dots denote other irrelevant irreducible pieces which represent non-geometric fluxes in this frame. By means of the  $(2.24)$  and the relations  $(2.9)$ , the eight irrep's appearing above, can be instead recognised as the various internal components of  $F_{(3)}$  and  $H_{(3)}$  gauge fluxes. The corresponding flux-induced superpotential couplings are collected in table [2.](#page-10-1) The explicit (isotropic) superpotential reads

$$
\mathcal{W}_{(\mathbb{T}^6)} = a_0 - 3a_1U + 3a_2U^2 - a_3U^3 - S(b_0 - 3b_1U + 3b_2U^2 - b_3U^3). \tag{2.25}
$$

One should note that the underlying gauging for this class of compactifications is Abelian. This is in line with what already observed in refs [\[12\]](#page-16-10) when studying the connection between type IIB compactifications on a  $\mathbb{T}^6$  with D3-branes and O3-planes as sources where the corresponding effective 4D description turned out to be  $\mathcal{N} = 4$  supergravity with U(1)<sup>12</sup> gauge group.

Finally, we want to check the (non-)BPS tadpoles that such backgrounds produce by plugging the corresponding  $f_{\alpha MNP}$  into the QC [\(2.21\)](#page-7-3) & [\(2.22\)](#page-7-4). The  $\mathcal{N} = 4$  QC in (2.21) turn out to be trivially satisfied as they should, whereas [\(2.22\)](#page-7-4) produces BPS-tadpoles of the form

$$
a_3b_0 - 3a_2b_1 + 3a_1b_2 - a_0b_3 \equiv N_{(O3/D3)} , \qquad (2.26)
$$

just as expected.

STU couplings	Type IIB fluxes	Flux labels	$\mathbb{R}^+_S \times \mathbb{R}^+_T \times \mathbb{R}^+_L \times SL(3)_a \times SL(3)_i$ irrep's
1	$F_{ijk}$	$a_0$	$(1,1)_{(+\frac{1}{2};+\frac{3}{2};+\frac{3}{2})}$
U	$F_{ajk}$	$a_1$	$(3',3)_{(+\frac{1}{2};+\frac{3}{2};+\frac{1}{2})}$
$U^2$	$F_{abk}$	a <sub>2</sub>	$(3,3')_{(\frac{1}{2};+\frac{3}{2};-\frac{1}{2})}$
$I^3$	$F_{abc}$	$a_3$	$(1,1)_{(+\frac{1}{2};+\frac{3}{2};-\frac{3}{2})}$
$\mathcal{S}$	$H_{ijk}$	$b_0$	$(1,1)_{(-\frac{1}{2};+\frac{3}{2};+\frac{3}{2})}$
S U	$H_{ajk}$	b <sub>1</sub>	$(3',3)_{(-\frac{1}{2};+\frac{3}{2};+\frac{1}{2})}$
S <sub>U</sub> <sup>2</sup>	$H_{abk}$	b <sub>2</sub>	$(3,3')_{(-\frac{1}{2};+\frac{3}{2};-\frac{1}{2})}$
$S U^3$	$H_{abc}$	$b_3$	$(1,1)_{(-\frac{1}{2};+\frac{3}{2};-\frac{3}{2})}$

<span id="page-10-1"></span>Table 2. Summary of type IIB fluxes and superpotential couplings on a  $\mathbb{T}^6$ . Isotropy (i.e.  $SO(3)$ invariance) only allows for flux components that can be constructed by using  $\epsilon_{(3)}$ 's and  $\delta_{(3)}$ 's. These symmetries also induce a natural splitting  $\eta^m = (\eta^a, \eta^i)$  where  $a = 1, 3, 5$  and  $i = 2, 4, 6$ .

## <span id="page-10-0"></span>2.5 Compactifications on  $S^3 \times S^3$

In this case, one can still include 3-form fluxes both of NS-NS and R-R type, but restricted to those components which do not have mixed legs within  $S_a^3 \& S_i^3$ . This is due to the special topological property of each  $S<sup>3</sup>$  of lacking non-trivial 1- and 2-cycles. Besides these gauge fluxes, the geometry of both 3-spheres is described by  $3 \times 3$  symmetric matrices  $\Theta_{ab}$ and  $\Theta_{ij}$  representing their metric in flat coordinates.

Despite the fact that  $S^3 \times S^3$  may be viewed as an  $SU(2) \times SU(2)$  group-mainfold, as already mentioned in the introduction, the corresponding Scherk-Schwarz reduction [\[18\]](#page-16-16) is forbidden in the presence of O3-planes. Note that, on the other hand, this procedure would only yield an  $SU(2) \times SU(2)$  gauge group.

However, more generally, a d-sphere can be viewed as a coset manifold of the form  $SO(d+1)/SO(d)$ . Such a reduction, when consistent, gives rise to an enhancement of the gauge symmetry to the full numerator, i.e.  $SO(d+1)$ . Hence, when  $d = 3$ , this yields an SO(4) gauging. This type of coset reduction is still allowed since the orientifold projection only kills one of the two  $SU(2)$  factors inside  $SO(4)$ . As a consequence, within the underlying  $\mathcal{N} = 4$  gauged supergravity, the gauge group (which is ISO(3)  $\times$  ISO(3)) only contains the  $\mathbb{Z}_2$ -even set of isometries, i.e. a single SU(2) factor for each three-sphere.

We will use the same decomposition chain as in the toroidal case, but bearing in mind that  $\Theta_{ab}$  and  $\Theta_{ij}$  parametrising the internal curvature naturally come from 10's of the two intermediate SL(4) factors, due to the natural embeding of  $S^3$  into  $\mathbb{R}^4$ . This procedure yields

$$
\begin{array}{c}\n({\bf 2},{\bf 220})\rightarrow({\bf 1},{\bf 1})_{(+1;-6;0)}\oplus({\bf 1},{\bf 1})_{(-1;-6;0)}\oplus({\bf 1},{\bf 1})_{(+1;0;-6)}\oplus({\bf 1},{\bf 1})_{(-1;0;-6)}\oplus\\ \n({\bf 6}',{\bf 1})_{(+1;-2;0)}\oplus({\bf 6}',{\bf 1})_{(-1;-2;0)}\oplus({\bf 1},{\bf 6}')_{(+1;0;-2)}\oplus({\bf 1},{\bf 6}')_{(-1;0;-2)}\oplus\ldots\n\end{array}
$$

The resulting set of superpotential couplings obtained in this way upon using  $(2.24)$ , is

STU couplings	Type IIB fluxes	Flux labels	$\mathbb{R}^+_S \times \mathbb{R}^+_T \times \mathbb{R}^+_U \times \mathrm{SL}(3)_a \times \mathrm{SL}(3)_i$ irrep's
1	$F_{ijk}$	a <sub>0</sub>	$(1,1)_{(+\frac{1}{2};+\frac{3}{2};+\frac{3}{2})}$
$U^3$	$F_{abc}$	$a_3$	$(1,1)_{(+\frac{1}{2};+\frac{3}{2};-\frac{3}{2})}$
$\overline{S}$	$H_{ijk}$	$b_0$	$(1,1)_{(-\frac{1}{2};+\frac{3}{2};+\frac{3}{2})}$
$S U^3$	$H_{abc}$	$b_3$	$(1,1)_{(-\frac{1}{2};+\frac{3}{2};-\frac{3}{2})}$
T U	$\Theta_{ab}^{(+)}$	$\tilde{c}_1$	$(\mathbf{6}', \mathbf{1})_{(+\frac{1}{2};+\frac{1}{2};+\frac{1}{2})}$
$T U^2$	$\Theta_{ii}^{(+)}$	$\tilde{c}_2$	$(1,6')_{(\frac{1}{2};+\frac{1}{2};-\frac{1}{2})}$
STU	$\Theta_{ab}^{(-)}$	$\tilde{d}_1$	$(\mathbf{6}', \mathbf{1})_{(-\frac{1}{2}; +\frac{1}{2}; +\frac{1}{2})}$
$STU^2$	$\Theta_{ii}^{(-)}$	$\tilde{d}_2$	$(1,6')_{(-\frac{1}{2};+\frac{1}{2};-\frac{1}{2})}$

<span id="page-11-0"></span>**Table 3.** Summary of type IIB fluxes and superpotential couplings on  $S^3 \times S^3$ . Isotropy (i.e. SO(3)-invariance) only allows for flux components that can be constructed by using  $\epsilon_{(3)}$ 's and  $\delta_{(3)}$ 's. Our chosen frame includes  $F_{(3)} \& H_{(3)}$  fluxes as  $\Theta_{ab}^{(\pm)} \& \Theta_{ij}^{(\pm)}$  describing the  $S_a^3 \& S_i^3$  geometry, respectively.

given in table [3.](#page-11-0) The associated flux-induced superpotential is given by

<span id="page-11-3"></span>
$$
\mathcal{W}_{(S^3 \times S^3)} = a_0 - a_3 U^3 - S(b_0 - b_3 U^3) - 3 \tilde{c}_1 T U + 3 \tilde{c}_2 T U^2 + S(3 \tilde{d}_1 T U - 3 \tilde{d}_2 T U^2).
$$
 (2.27)

The  $\mathcal{N} = 4$  QC [\(2.21\)](#page-7-3) are trivially satisfied, thus always yielding a consistent half-maximal 4D supergravity with gauge group<sup>[3](#page-11-1)</sup> ISO(3)  $\times$  ISO(3) [\[12\]](#page-16-10). Instead, by plugging the embedding tensor into the [\(2.22\)](#page-7-4), one can realise that these backgrounds are generically supported by the following tadpole-induced sources

$$
a_3b_0 - a_0b_3 \equiv N_{(O3/D3)} ,
$$
  
\n
$$
\tilde{c}_1\tilde{d}_2 - \tilde{c}_2\tilde{d}_1 \equiv N_{(??)} ,
$$
\n(2.28)

where the second of the above tadpoles should be viewed as a source of SUSY-breaking coming from geometry.

A particularly simple subcase of this is given by the "KS-like" situation [\[19\]](#page-16-17) where, e.g.  $F_{(3)}$  is only wrapping  $S_i^3$  and  $H_{(3)}$  only  $S_a^3$ . In such a situation, the corresponding superpotential reads

<span id="page-11-2"></span>
$$
\mathcal{W}_{(KS)} = a_0 - b_3 SU^3 - 3 \tilde{c}_1 TU - 3 \tilde{d}_2 STU^2 \,. \tag{2.29}
$$

Note that this STU-model can be reinterpreted as the one induced by a type IIB reduction on  $\mathbb{T}^6$  with *non-geometric Q & P* fluxes given by

$$
Q_a{}^{bc} \equiv \Theta_{ad}^{(+)} \epsilon^{dbc} , \text{ and } P_i{}^{jk} \equiv \Theta_{il}^{(-)} \epsilon^{ljk} , \qquad (2.30)
$$

<span id="page-11-1"></span><sup>&</sup>lt;sup>3</sup>We denote by ISO(3)  $\equiv$  CSO(3,0,1) the contracted version of SO(4) describing the isometries of  $\mathbb{R}^3$ , consisting of 3 rotations and 3 translations.

where  $\epsilon^{abc}$  &  $\epsilon^{ijk}$  denote the  $SL(3)_a$  &  $SL(3)_i$  Levi-Civita symbols, respectively.

It is of utmost interest to notice that these particular theories described by the superpotential [\(2.29\)](#page-11-2) were found in ref. [\[12\]](#page-16-10) to possess (non-)supersymmetric AdS as well as unstable dS critical points. Here we propose the  $S^3 \times S^3$  compactification of type IIB as their 10D interpretation, which was previously lacking.

# <span id="page-12-0"></span>2.6 Compactifications on  $S^3 \times \mathbb{T}^3$

The allowed gauge fluxes in this case are exactly those ones that are also present in the  $S^3 \times S^3$  model. For what concerns the curvature, everything within  $S_a^3$  remains unchanged w.r.t. what can be found in table [3,](#page-11-0) while no curvature flux is present in  $\mathbb{T}_i^3$ . This means that we do not need to perform any new group-theoretical branchings in order to derive the underlying flux-induced superpotential for such a model. It can simply be obtained from  $(2.27)$  by setting  $\tilde{c}_2 = \tilde{d}_2 = 0$ ; this yields

<span id="page-12-2"></span>
$$
\mathcal{W}_{(S^3 \times \mathbb{T}^3)} = a_0 - a_3 U^3 - S(b_0 - b_3 U^3) - 3 \tilde{c}_1 T U + 3 \tilde{d}_1 S T U . \tag{2.31}
$$

Also in this case, the  $\mathcal{N} = 4$  QC are trivially satisfied, implying that [\(2.31\)](#page-12-2) always describes an orientifold reduction of type IIB preserving sixteen supercharges. Moreover, the  $\mathcal{N} = 8$  QC show that the only BPS extended objects supporting such backgrounds are D3-branes and O3-planes:

$$
a_3b_0 - 3a_2b_1 + 3a_1b_2 - a_0b_3 \equiv N_{(O3/D3)} , \qquad (2.32)
$$

whereas  $N_{(??)} = 0$ . It may be worth mentioning that this class of effective theories, which is interesting in itself, has not been studied in detail and in particular it still remains to be seen whether it admits maximally symmetric vacua or it just describes warped backgrounds in type IIB.

#### <span id="page-12-1"></span>3 Discussion

In this paper we have studied some features of (non-)toroidal backgrounds of type IIB superstring theory allowing for four-dimensional gauged supergravity models as effective descriptions. In particular, we focused on examples with spacetime-filling orientifold planes thus preserving sixteen supercharges in connection with  $\mathcal{N}=4$  supergravities in  $D=4$ . suitable truncation to the isotropic sector of these theories turns out to be described by minimal STU-models with superpotential deformations to be interpreted as generalised fluxes.

In this context, by choosing  $\mathbb{T}^6$  as a standard reference background, most of the superpotential couplings will turn out to correspond to non-geometric flux deformations thereof. Nevertheless, inspired by the philosophy of ref. [\[6\]](#page-16-4), we make use of group-theoretical arguments within a "bottom-up" approach in order to conclude that particular sets of would-be non-geometric fluxes in fact just correspond to having considered different backgrounds other than toroidal as a starting point.

The main result of our present analysis is the prediction of the possibility of breaking the no-scale symmetry, typical of type IIB toroidal reductions with gauge fluxes and preventing one to perturbatively lift the Kähler moduli, by just considering fluctuations around

 $S^3 \times S^3$  or  $S^3 \times \mathbb{T}^3$  rather than  $\mathbb{T}^6$ . The explicit flux-induced superpotentials are given, and the BPS extended objects supporting these backgrounds are discussed. The explicit 10D construction proving the existence of a consistent truncation of type IIB supergravity on  $S^3 \times S^3$  still remains to be worked out, but we leave it for future work [\[20\]](#page-16-18).

In conclusion, our results indicate a novel path to be pursued in the context of type IIB flux compactifications, and, possibly, de Sitter model-building. More specifically, note that the superpotential [\(2.27\)](#page-11-3) describes a model where O-planes are present together with the possibility of having negative sectional curvature, thus circumventing the no-go theorem in ref. [\[21\]](#page-17-0). The final scope of such a programme could be that of having access to constructions as those ones presented in [\[22\]](#page-17-1) generically yielding stable de Sitter solutions in  $\mathcal{N} = 1$  STU-models, but now with superpotentials that can be made geometric in the sense explained here.

## <span id="page-13-0"></span>A Relevant branching rules

In this appendix we collect the whole set of branching rules used in the present paper. We refer to [\[23\]](#page-17-2) for the conventions adopted here.

<span id="page-13-1"></span>E7(7) ⊃ SL(2) × SO(6, 6), 56 → (2, 12) ⊕ (1, 32), 133 → (3, 1) ⊕ (1, 66) ⊕ (2, 32<sup>0</sup> ), 912 → (2, 12) ⊕ (2, 220) ⊕ (3, 32) ⊕ (1, 352<sup>0</sup> ). (A.1) SO(6, 6) ⊃ SL(4) × SL(4), 12 → (6, 1) ⊕ (1, 6), 32 → (4, 4 0 ) ⊕ (4 0 , 4), 66 → (15, 1) ⊕ (1, 15) ⊕ (6, 6), 220 → (10, 1) ⊕ (10<sup>0</sup> , 1) ⊕ (1, 10) ⊕ (1, 10<sup>0</sup> ) ⊕ (6, 15) ⊕ (15, 6). (A.2) SL(4) ⊃ R <sup>+</sup> × SL(3), 4 → 1(−3) ⊕ 3(+1) , 6 → 3(−2) ⊕ 3 0 (+2) , 10 → 1(−6) ⊕ 3(−2) ⊕ 6(+2) , 15 → 1(0) ⊕ 3(+4) ⊕ 3 0 (−4) ⊕ 8(0) , 20 → 3(+1) ⊕ 3 0 (+5) ⊕ 6 0 (+1) ⊕ 8(−3) , (A.3)

where the subscripts in the above decompisotions denote  $\mathbb{R}^+$  charges.

$$
SL(2) \supset \mathbb{R}^+,
$$
  
\n
$$
2 \to 1_{(-1)} \oplus 1_{(+1)},
$$
  
\n
$$
3 \to 1_{(-2)} \oplus 1_{(0)} \oplus 1_{(+2)},
$$
  
\n
$$
4 \to 1_{(-3)} \oplus 1_{(-1)} \oplus 1_{(+1)} \oplus 1_{(+3)},
$$
  
\n(A.4)

where the subscripts in the above decompisotions denote  $\mathbb{R}^+$  charges.



**Figure 1.**  $\mathbb{T}^6 = \mathbb{T}^2_1 \times \mathbb{T}^2_2 \times \mathbb{T}^2_3$  torus factorisation and the coordinate basis.

couplings	SO(6,6)	SO(2,2)	type IIB	fluxes
$\mathbf{1}$	$-f_{+\bar{a}\bar{b}\bar{c}}$	$-\Lambda_{+333}$	$\mathcal{F}_{ijk}$	$a_0$
U	$f_{+\bar{a}\bar{b}\bar{k}}$	$\Lambda_{+334}$	$F_{ijc}$	$a_1$
${\cal U}^2$	$-f_{+\bar{a}\bar{j}\bar{k}}$	$-\Lambda_{+344}$	$\mathcal{F}_{ibc}$	$a_2$
$U^3$	$f_{+\overline{i}\overline{j}\overline{k}}$	$\Lambda_{+444}$	$F_{abc}$	$a_3$
$S_{\cdot}$	$-f_{-\bar{a}\bar{b}\bar{c}}$	$-\Lambda_{-333}$	${\cal H}_{ijk}$	$-b0$
S U	$f_{-\bar{a}\bar{b}\bar{k}}$	$\Lambda_{-334}$	${\cal H}_{ijc}$	$-\boldsymbol{b}_1$
$SU^2$	$-f_{-\bar{a}\bar{j}\bar{k}}$	$-\Lambda_{-344}$	$H_{ibc}$	$-b2$
$S U^3$	$f_{-\overline{i}\overline{j}\overline{k}}$	$\Lambda_{-444}$	$\mathcal{H}_{abc}$	$-b_3$
T	$f_{+\bar{a}\bar{b}k}$	$\Lambda_{+233}$	$Q_k^{ab}$	$c_0$
T U	$f_{+\overline{a}\overline{j}k} = f_{+\overline{ib}k}$ , $f_{+a\overline{b}\overline{c}}$	$\Lambda_{+234}$ , $\Lambda_{+133}$	$Q_k^{aj} = Q_k^{ib}$ , $Q_a^{bc}$	$c_1$ , $\tilde{c}_1$
$T U^2$	$f_{+\bar i \bar b c}=f_{+\bar a \bar j c} \;\;,\;\; f_{+\bar i \bar j k}$	$\Lambda_{+134}$ , $\Lambda_{+244}$	$Q_c^{ib} = Q_c^{aj}$ , $Q_k^{ij}$	$c_2$ , $\tilde{c}_2$
$T U^3$	$f_{+\overline{ij}c}$	$\Lambda_{+144}$	$Q_c^{ij}$	$c_3$
$S\,T$	$f_{-\bar{a}\bar{b}k}$	$\Lambda_{-233}$	$P_k^{ab}$	$-d_0$
$S\,T\,U$	$f_{-\bar{a}\bar{j}k} = f_{-\bar{i}\bar{b}k}$ , $f_{-a\bar{b}\bar{c}}$	$\Lambda_{-234}$ , $\Lambda_{-133}$	$P_k^{aj} = P_k^{ib}$ , $P_a^{bc}$	$-d_1$ , $-d_1$
$S T U^2$	$f_{-\bar{i}\bar{b}c}=f_{-\bar{a}\bar{j}c}$ , $\;f_{-\bar{i}\bar{j}k}$	$\Lambda_{-134}$ , $\Lambda_{-244}$	$P_c^{ib} = P_c^{aj}$ , $P_k^{ij}$	$-d_2\hspace{1mm},\hspace{1mm}-d_2$
$S T U^3$	$f_{-\overline{i}\overline{j}c}$	$\Lambda_{-144}$	$P_c^{ij}$	$-d_3$

<span id="page-14-1"></span>Table 4. Mapping between unprimed fluxes, embedding tensor components and couplings in the flux-induced superpotential. We have made the index splitting  $M = \{a, i, \bar{a}, \bar{i}\}\;$  for SO(6,6) lightcone coordinates.

## <span id="page-14-0"></span>B Type IIB fluxes and the embedding tensor  $f_{\alpha MNP}$

In this appendix, we summarise the identification between embedding tensor components  $f_{\alpha MNP}$  in the (2,220) (alternatively  $\Lambda_{\alpha ABC}$  as explained in section [2.2\)](#page-5-0) and type IIB flux backgrounds for the  $\mathcal{N}=1$  supergravity theory.

In the following we will use early Latin indices  $a, b, c$  for horizontal "−" x-like directions  $(\eta^1, \eta^3, \eta^5)$  and late Latin indices i, j, k for vertical "|" y-like directions  $(\eta^2, \eta^4, \eta^6)$  in the 2-tori  $T_I$  with  $I = 1, 2, 3$ . This splitting of coordinates is in one-to-one correspondence with the  $SO(6,6)$  index splitting of the embedding tensor components given in  $(2.17)$ , where  $A = (1, 2, 3, 4) \equiv (a, i, \overline{a}, \overline{i})$  refers to an SO(2,2) fundamental index and  $\epsilon_{IJK}$  denotes the usual totally antisymmetric Levi-Civita tensor.

couplings	SO(6,6)	SO(2,2)	type $\rm IIB$	fluxes
$T^3 U^3$	$-f_{+abc}$	$-\Lambda_{+111}$	$F'^{ijk}$	$a'_0$
$T^3 U^2$	$f_{+abb}$	$\Lambda_{+112}$	$F^{\prime ijc}$	$a'_1$
$T^3 U$	$-f_{+ajk}$	$-\Lambda_{+122}$	$F^{\prime ibc}$	$a_2'$
$T^3$	$f_{+ijk}$	$\Lambda_{+222}$	$F^{\prime abc}$	$a_3'$
$S T^3 U^3$	$-f_{-abc}$	$-\Lambda_{-111}$	${\cal H}'^{ijk}$	$-b'_0$
$S\,T^3\,U^2$	$f_{-abk}$	$\Lambda_{-112}$	${\cal H}'^{ijc}$	$-b'_1$
$S T^3 U$	$-f_{-ajk}$	$-\Lambda_{-122}$	${\cal H'}^{ibc}$	$-b_2'$
$S\,T^3$	$f_{-ijk}$	$\Lambda_{-222}$	$H'^{abc}$	$-b'_3$
$T^2 U^3$	$f_{+ab\bar{k}}$	$\Lambda_{+114}$	$Q'^k_{ab}$	$c'_0$
$T^2\,U^2$	$f_{+aj\bar{k}} = f_{+ib\bar{k}} \; , \; f_{+\bar{a}bc}$	$\Lambda_{+124}$ , $\Lambda_{+113}$	$Q'^{k}_{aj} = Q'^{k}_{ib}$ , $Q'^{a}_{bc}$	$c'_1$ , $\tilde{c}'_1$
$T^2\,U$	$f_{+ib\bar{c}}=f_{+aj\bar{c}}\  \, ,\  \, f_{+ij\bar{k}}$	$\Lambda_{+123}$ , $\Lambda_{+224}$	$Q_{ib}^c = Q_{aj}^c$ , $Q_{ij}^k$	$c'_2$ , $\tilde{c}'_2$
$T^2$	$f_{+ij\bar{c}}$	$\Lambda_{+223}$	$Q_{ij}^c$	$c_3'$
$S T^2 U^3$	$f_{-ab\bar k}$	$\Lambda_{-114}$	$P'^k_{ab}$	$-d'_0$
$S T^2 U^2$	$f_{-aj\bar{k}} = f_{-ib\bar{k}} \; , \; f_{-\bar{a}bc}$	$\Lambda_{-124}$ , $\Lambda_{-113}$	$P'^{k}_{aj} = P'^{k}_{ib}$ , $P'^{a}_{bc}$	$-d'_1$ , $-d'_1$
$S T^2 U$	$f_{-ib\bar{c}}=f_{-aj\bar{c}}\,$ , $\,f_{-ij\bar{k}}$	$\Lambda_{-123}$ , $\Lambda_{-224}$	$P'^{c}_{ib} = P'^{c}_{aj}$ , $P'^{k}_{ij}$	$-d_2', -d_2'$
$S\,T^2$	$f_{-ij\bar{c}}$	$\Lambda_{-223}$	$P'^{c}_{ij}$	$-d_3'$

<span id="page-15-1"></span>Table 5. Mapping between primed fluxes, embedding tensor components and couplings in the fluxinduced superpotential. We have made the index splitting  $M = \{a, i, \bar{a}, \bar{i}\}\$  for SO(6,6) light-cone coordinates.

The dictionary between embedding tensor components and type IIB generalised fluxes can be found here in tables [4](#page-14-1) and [5.](#page-15-1) Such an identification was originally proposed in ref. [\[24\]](#page-17-3) and further developed in ref. [\[12\]](#page-16-10).

Irrespective of their string theory interpretation, the above set of fluxes generates the following  $\mathcal{N} = 1$  flux-induced superpotential given in  $(2.18)$ , involving the three complex moduli S, T and U surviving the  $SO(3)$  truncation introduced in section [2.2.](#page-5-0) In the type IIB picture, the superpotential in [\(2.18\)](#page-7-2) contains flux-induced polynomials depending on both electric and magnetic pairs — schematically  $(e, m)$  — of gauge  $(F_{(3)}, H_{(3)})$  fluxes and non-geometric  $(Q, P)$  fluxes, as well as those induced by their less known primed counterparts  $(F'_{(3)}, H'_{(3)})$  and  $(Q', P')$  fluxes.

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