# DEEP-INELASTIC SCATTERING OF POLARIZED **ELECTRON BEAM FROM POLARIZED**  NUCLEON TARGET

## By

L. GÁLFI, P. GNÄDIG, J. KUTI, F. NIEDERMAYER and A. PATKÓS INSTITUTE FOR THEORETICAL PHYSICS, ROLAND EÖTVÖS UNIVERSITY, BUDAPEST

Spin-dependent effects in deep-inelastic electron--proton scattering are discussed.

# **Introduction**

In this report we hope to convince you that spin-dependent effects in deep-inelastie eleetron-proton seattering are interesting and should yield new information on many questions arisen in the intensive analysis of the spinaveraged SLAC-MIT data [1]. These data shed light on the behaviour of two famous structure functions  $W_1(q^2, v)$  and  $W_2(q^2, v)$  vehemently discussed during the last two years [2].

Our group at the Eötvös University started a program last July to investigate the spin-dependent effects measurable by using polarized electron beam scattered on polarized nucleon target. The motivation for this program was that "theoretical explanations" of the SLAC-MIT data on  $W_1(q^2, v)$  and  $W_2(q^2, v)$  differed widely. One possibility to test these ideas is to turn to polarization effects.

It turns out from our analysis [3] that the spin-dependent structure functions, which we denote here by  $d(q^2, v)$  and  $g(q^2, v)$  should be more selective than the present spin-averaged data.

To our knowledge, there has been only little effort in the literature to shed more light on spin-dependent effects in deep-inelastic electron-proton scattering. Some time ago (1966) BJORKEN wrote down a sum rule for the corresponding cross sections [4] and disrnissed it as "worthless". This negative conclusion has been reconsidered in a recent SLAC preprint [5]. Beyond this, we have found only a few attempts to clarify the polarizability contribution of the spin-dependent functions to the hyperfine splitting in the hydrogen atom [6]. Hyperfine splitting is interesting in itself, and we have investigated this problem in the light of tbe present theoretical situation [3].

### I. Kinematics of the scattering process

The scattering amplitude is shown in Fig. 1. Here  $(p, \alpha)$  denotes the fourmomentum and polarization vector of the proton;  $(k_1, \beta)$  and  $(k_2, \beta')$  are similar notations for the electron beam before and after the emission of a virtual photon of four-momentum  $q$ .  $P_n$  stands for the hadrons produced in the collision.



Fig. 1. Inelastic scattering of polarized electron beam from polarized proton target

We sum over final hadrons and the polarization of the scattered electron beam. The differential cross section with proton polarization  $\alpha$  and electron polarization  $\beta$  is\*:

$$
d\sigma_{\alpha\beta} = \frac{1}{4} e^4 q^{-4} [(k_1 \cdot p)^2 - m^2 M^2]^{-1/2} \cdot L_{\mu\nu}^{\beta} \cdot W_{\alpha}^{\nu\mu} \cdot \frac{d^3 k_2}{(2\pi)^3 2E'} \tag{1}
$$

The leptonie part is given by

$$
L^{\beta}_{\mu\nu} = \sum_{\beta'} \overline{W}_{\beta'}(k_2) \gamma_{\mu} W_{\beta}(k_1) \cdot \overline{W}_{\beta}(k_1) \gamma_{\nu} W_{\beta'}(k_2) . \qquad (2)
$$

The hadron amplitude is split into symmetric and antisymmetric parts in the  $(\mu, \nu)$  indices:

$$
W^{\alpha}_{\mu\nu}(p,q)=\int d^4xe^{iqx}\langle p\cdot\alpha|[J_{\mu}(x),J_{\nu}(0)]|p,\alpha\rangle=W^{\alpha}_{\mu\nu}(p,q)+iW^A_{\mu\nu}(p,q). \quad (3)
$$

From *(PT)* invariance we read off

$$
\begin{aligned} \n\mathbf{W}^s_{\mu\nu}(p,q) &= \frac{1}{2} \sum_{\alpha} \int d^4x e^{iqx} \langle p, \alpha | [J_{\mu}(x), J_{\nu}(0)] | p, \alpha \rangle, \\ \n\mathbf{i} \mathbf{W}^A_{\mu\nu}(p,q) &= \frac{1}{2} \int d^4x e^{iqx} \left\{ \langle p, \alpha | [J_{\mu}(x), J_{\nu}(0)] | p, \alpha \rangle - (\alpha \to -\alpha) \right\}. \n\end{aligned} \tag{4}
$$

\* Normalization of states  $\langle p', r | p, s \rangle = 2p_0(2\pi)^3 \delta(p' - p) \delta_{rs}$ , spinor normalization:<br> $\overline{W} \cdot \overline{W} = 2m, p^2 = M^2$  and  $v = p \cdot q$ .

*<sup>9 44</sup>r .Physica Academiae Scientiarum Hungaricae 31, 1972* 

The definition of the four real structure functions is:

$$
W_{\mu\nu}^{s}(p,q) = \left(\frac{q_{\mu}q_{\nu}}{q^{2}}-g_{\mu\nu}\right)4\pi M\cdot W_{1}(q^{2},\nu)+\frac{1}{M^{2}}\left(p_{\mu}-\frac{pq}{q^{2}}q_{\mu}\right)\times \\ \times\left(p_{\nu}-\frac{pq}{q^{2}}q_{\nu}\right)4\pi M\cdot W_{2}(q^{2},\nu), \qquad (5)
$$

$$
W_{\mu\nu}^A(p \cdot q) = \epsilon_{\mu\nu\rho\sigma} q^s \alpha^{\sigma} d(q^2, \nu) + (\alpha q) \epsilon_{\mu\nu\rho\sigma} q^{\rho} p^{\sigma} g(q^2, \nu).
$$
 (9)

Similar splitting can be performed on the leptonic piece of the amplitude:

$$
L^{\beta}_{\mu\nu}=L^s_{\mu\nu}+iL^A_{\mu\nu},\ \ L^A_{\mu\nu}=2m\,\epsilon_{\mu\nu\rho\sigma}\cdot q^{\rho}\,\beta^{\sigma}.
$$
 (7)

In order to analyze spin-dependent effects, we turn to the antisymmetric combination:

$$
\frac{d^2 \sigma^{tt}}{d\Omega dE'} - \frac{d^2 \sigma^{tt}}{d\Omega dE'} = \alpha^2 \frac{E'}{\pi \cdot M \cdot E} \frac{1}{q^2} \cdot \left\{ \frac{d^2 E'}{dE + E' \cos \theta} \right\} \cdot \left\{ \frac{dE}{dE + E' \cos \theta} \right\} d(q^2, v) + \left( E - E' \cos \theta \right) \left( E + E' \right) Mg(q^2, v) \left\} . \tag{8}
$$

Here  $E$  and  $E'$  are, respectively, the initial and final electron energies, as viewed in the laboratory frame, and  $\theta$  is the electron scattering angle.  $d\sigma^{\dagger}$  is the cross section when the spins of electron and proton are parallel and along the direction of motion of the incident electron;  $d\sigma^{\dagger}$  is the cross section for antiparallel spins. The electron mass is neglected in Eq. (8).

## **II. Light-cone behaviour and scaling laws**

It is widely recognized [7] that deep-inelastic electron scattering measures the light-cone behaviour of the commutator functions of two electromagnetic currents sandwiched between identical proton states. We go to coordinatespace by Fourier transformation:

$$
X_{\mu\nu}^A(p,x) = (2\pi)^{-4} \int d^4q e^{-iqx} W_{\mu\nu}^A(p,q) ,
$$
  
\n
$$
iX_{\mu\nu}^A(p,x) = \frac{1}{2} \left\{ \langle p, \alpha | [J_\mu(x), J_\nu(0)] | p, \alpha \rangle - (\alpha \to -\alpha) \right\}.
$$
\n(9)

Similarly, the Fourier transforms of the structure functions:

$$
A_d(p, x) = (2\pi)^{-4} \int d^4q e^{-iqx} d(q^2, v),
$$
  

$$
A_g(p, x) = (2\pi)^{-4} \int d^4q e^{-iqx} g(q^2, v).
$$

*Acta Physir Amdemiae Scientiarum Hungar 51, 1972* 

In terms of these amplitudes we write:

$$
X_{\mu\nu}^A(p,x)=i\epsilon_{\mu\nu\rho\sigma}\partial^\rho\alpha^\sigma A_d(p,x)-(\alpha\cdot\partial)\epsilon_{\mu\nu\rho\sigma}\partial^\rho p^\sigma A_g(p,x). \hspace{1cm} (10)
$$

 $A_d(p, x)$  and  $A_g(p, x)$  are invariant functions of two independent Lorentz scalars;  $x^2$  and  $M^{-2}(px)^2$  or  $x^2$  and  $-x^2 + M^{-2}(px)^2$  can be choosen for convenienee.

We have proved that  $A_d(p, x)$  and  $A_g(p, x)$  vanish outside the lightcone and they have correet support properties in momentum space to apply **the** Jost--Lehmann representation to them. In the proton test frame:

$$
A_d(p, x) = i \int_0^\infty d\lambda^2 \Delta(x, \lambda^2) \varphi_d(x_0, \lambda^2) ,
$$
  

$$
\Delta(x, \lambda^2) = \frac{1}{2\pi} \epsilon(x_0) \left\{ \delta(x^2) - \theta(x^2) \frac{\lambda^2}{2} \frac{J_1(\sqrt{\lambda^2 - x^2})}{\sqrt{\lambda^2 \cdot x^2}} \right\}.
$$
 (11)

Provided the integral

$$
a_d\left(M^{-2}(px)^2\right)=\int_0^\infty d\lambda^2\,\cdot\varphi_d(M^{-2}(px)^2,\,\lambda^2)
$$

converges, we can write  $A_d(p, x)$  in the form

$$
A_d(p,x) = \frac{i}{2\pi} \, \epsilon(x_0) \, \delta(x^2) \, a_d(x_0) + R_d(p,x) \,, \tag{12}
$$

where  $R_d(p, x)$  is less singular than  $1/x^2$  on the light-cone. The Fourier transformed form of Eq. (12) yields the asymptotic behaviour in the deep-inelastic limit when  $\omega = -2\nu/q^2$  is fixed and  $\nu \to \infty$ :

$$
d(q^2, \nu) \sim \frac{1}{\nu} \alpha(\xi), \quad \xi = \frac{M}{\omega},
$$
  
\n
$$
a_d(x_0) = -\frac{1}{2\pi M} \int_{-M}^{M} d\xi \, e^{i\xi x_0} \alpha(\xi).
$$
\n(13)

 $\alpha(\xi)$  has to vanish for  $|\xi| > M$ .

 $R_d(p, x)$  cancels the leading  $\delta$ -singularity in the forbidden region in momentum space where  $d(q^2, y)$  is forced to vanish because of support conditions.

It may happen that the leading  $\delta$ -singularity of  $A_d(p, x)$  is missing in special dynamical models. In that case  $\int d\lambda^2 \cdot \varphi_d(x_0, \lambda^2) = 0$  is satisfied identically. Apart from more singular cases, the integral

$$
b_d(M^{-2}(px)^2) = -\frac{1}{4}\int_0^\infty d\lambda^2 \cdot \lambda^2 \cdot \varphi_d(M^{-2}(px)^2)
$$
 (14)

converges and we write

$$
A_d(p,x) = \frac{i}{2\pi} \xi(x_0) \,\theta(x^2) \, b_d(M^{-2}(px)^2) + S_d(p,x) \,, \tag{15}
$$

where  $S_d(p, x)$  vanishes on the light-cone in the limit  $x^2 \rightarrow 0$ . Again, Fourier representation shows that the scaling limit is determined by the leading lightcone singularity in Eq. (15):

$$
d(q^2, \nu) \rightarrow \frac{\gamma(\xi)}{2\nu^2} ,
$$
  
\n
$$
b_d(x_0) = -\frac{1}{M^2} \int_0^M d\xi \frac{\sin \xi x_0}{x_0} \gamma(\xi) ,
$$
 (16)

where  $\gamma(\xi)$  is restricted to  $|\xi| \leq M$ .

The observed scaling behaviour of  $W_1(q^2, v)$  and  $W_2(q^2, v)$  puts stringent restrictions on the "scaling" of  $d(q^2, v)$  and  $g(q^2, v)$ . The general constraints have been derived from  $W^{\alpha}_{\mu\nu}(p,q) \cdot a^{\mu} \cdot a^{r*} \geq 0$ , which is valid for any complex four-vector  $a^{\mu}$ . With properly choosen  $a_{\mu}$ 's we find four inequalities:

$$
V_1(q^2, v) + M^2 \cdot V_2(q^2, v) \ge 0,
$$
  
\n
$$
q^2 V_1(q^2, v) + v^2 \cdot V_2(q^2, v) \ge 0,
$$
  
\n
$$
d^2(q^2, v) \le (V_1(q^2, v) + M^2 \cdot V_2(q^2, v)) (q^2 \cdot V_1(q^2, v) + v^2 \cdot V_2(q^2, v)),
$$
  
\n
$$
g^2(q^2, v) \le M^{-2}(q^2 \cdot V_1 + v^2 \cdot V_2) \cdot V_1^2(v/M) \sqrt{V_1 + M^2 V_2} -
$$
  
\n
$$
- \sqrt{q^2 V_1 + v^2 V_2})^{-2}.
$$
  
\n(17)

Here we have introduced two local functions instead of  $W_1(q^2, v)$  and  $W_2(q^2, v)$ :

$$
W_{\mu\nu}^{s}(p,q) = [q_{\mu} q_{\nu} - q^{2} g_{\mu\nu}] \cdot V_{1}(q^{2}, \nu) + \\ + [ (p_{\mu} q_{\nu} + p_{\nu} q_{\mu}) (pq) - p_{\mu} p_{\nu} \cdot q^{2} - (pq)^{2} \cdot g_{\mu\nu}] V_{2}(q^{2}, \nu).
$$
 (18)

We put into (17) the observed behaviour of  $W_1(q^2, v)$  and  $W_2(q^2, v)$ . We find *in scaling limit* the very interesting upper bounds on  $d(q^2, v)$  and  $g(q^2, v)$ :

$$
d(q^2, v) \leq \frac{1}{v^{1/2}} \cdot \text{scaling function, if } F_l(\xi) \neq 0,
$$

$$
d(q^2, v) \leq \frac{1}{v} \cdot (\text{scaling function}) \quad \text{if } F_l(\xi) = 0,
$$
  

$$
g(q^2, v) \leq \frac{1}{v^{3/2}} \cdot (\text{scaling function}) \quad \text{if } F_l(\xi) \neq 0,
$$
 (19)

$$
g(q^2, \nu) \leq \frac{1}{\nu^2}
$$
 (scaling function) if  $F_i(\xi) = 0$ .

Here  $V_1(q^2, v) \rightarrow 1/2v$   $F_1(\xi) \cdot \xi^{-1}$  and  $F_1(\xi) = 0$  is allowed by the present data. The restriction (19) on  $d(q^2, v)$  is consistent with a leading  $\in (x_0)\delta(x^2)$  singularity in  $A_d(p, x)$ . However, derivatives of  $\delta(x^2)$  are forbidden by the bound in any *local representation* for  $A_d(p, x)$ .

The  $\delta(x^2)$ -singularity in  $A_g(p, x)$  is ruled out by (19). The corresponding "'smooth" sealing behaviour follows from the form, analogous to (15):

$$
A_g(p,x) = \frac{i}{2\pi} \epsilon(x_0) \cdot \theta(x^2) \cdot b_g(M^2(px)^2) + S_g(p,x),
$$
  

$$
g(q^2, v) \rightarrow \frac{\beta(\xi)}{2v^2},
$$
 (20)

$$
b_g(x_0) = -\frac{1}{M^2} \int_0^M d\xi \frac{\sin \xi x_0}{x_0} \beta(\xi). \tag{21}
$$

From this analysis, we expect the scaling law for  $v \cdot d(q^2, v)$  or  $v^2 \cdot d(q^2, v)$ depending on more detailed dynamics, and for  $v^2 \cdot g(q^2, v)$ . Fractional powers of  $\nu$  could appear in the scaling laws, but this would imply less regular "theories" which we do not want to discuss here.

The missing  $\delta(x^2)$ -singularity in  $g(q^2, v)$  is not a real surprise, because we have used the experimental input for  $V_1(q^2, v)$  and  $V_2(q^2, v)$ . The  $\delta(x^2)$ -singularity is missing in  $V_2(q^2, v)$  and the four structure functions are coupled through the inequalities in (19).

The next step is to calculate the equal-time commutators. It is easy to see from Eqs.  $(9)$ ,  $(12)$ ,  $(15)$  and  $(20)$  that

$$
X_{ik}^A(p, x_0 = 0, \mathbf{x}) = a_d(0)\delta^{(3)}(\mathbf{x}) \cdot \epsilon_{ikl} \cdot \alpha^l \qquad (22)
$$

Only the  $\delta(x^2)$ -singularity of  $Ad(p, x)$  contributes to the equal-time commutator of the space-space components. No gradient terms appear in (22). In quark algebra [4, 5] we have

$$
[J_i(0, \mathbf{x}), J_k(0)] = -2i \epsilon_{ikl} \cdot J_5^l(0) \cdot \delta^{(3)}(\mathbf{x}) + \text{gradient terms.}
$$
 (23)

The commutator algebra in (23) sandwiched between identical proton states, is

$$
X_{ik}^A(p, x_0 = 0, \mathbf{x}) = 4M \cdot Z \delta^{(3)}(\mathbf{x}) \cdot \epsilon_{ikl} \cdot \alpha^l + \text{gradient terms} \qquad (24)
$$

$$
\langle p, \alpha \mid J_5^{\mu}(0) \mid p, \alpha \rangle = -2MZ \cdot \alpha^{\mu}.
$$

In our analysis  $Z$  is given in terms of a measurable integral:

$$
Z=-\frac{1}{4\pi M^2}\int_0^M d\xi \alpha\left(\xi\right) \ . \qquad (25)
$$

From isospin algebra:

$$
Z = \begin{cases} \tilde{Z} + \displaystyle\frac{1}{6} \left| \displaystyle\frac{G_A}{G_V} \right| & \quad \text{quark algebra}\,; \\ \bar{Z} - \displaystyle\frac{1}{6} \left| \displaystyle\frac{G_A}{G_V} \right| & \quad \text{quark algebra}\,; \\ 0 & \quad \text{quark algebra}\,; \\ 0 & \quad \text{neutron target}\,.\end{cases}
$$

Here  $\frac{1}{\sqrt{2}} \approx 1.2$  is the ratio of  $\beta$ -decay coupling constants and Z is a model- $\mathbf{v}_V$ dependent isoscalar contribution. Depending upon the sign of  $\overline{Z}$ , the magnitude of Z must be greater than 0.2 for either proton or neutron target [5]. In field algebra the antisymmetric piece of the equal-time commutator should vanish identically.

#### **III. J-plane analysis**

The forward virtual Compton amplitude is defined by

$$
M_{\mu\nu}^{\alpha}(p,q)=i\int d^{4} \,x e^{iq\, \chi}\, \langle\, p, \mathbf{z} | T (J_{\mu}(x),J_{\nu}(0)) | \,p,\mathbf{z}\rangle + \text{polynomial}\,.
$$

The scattering process, described by the S-matrix element  $\epsilon^*_{\mu}(q^2) M^{\mu\nu}_{\alpha}(p,q) \epsilon_{\nu}(q^2)$ is shown in Fig. 2.  $\epsilon_{\mu}(q^2)$  is the polarization vector of the virtual photon. We define the symmetric and antisymmetric pieces:



*Fig. 2.* Virtual Compton scattering on polarized proton

$$
\begin{array}{l} M_{\mu \nu}^{s}(p,q) = \dfrac{1}{2}\, [ \, M_{\mu \nu}^{s}(p,q) \! + \! M_{\mu \nu}^{-s}(p,q) ] , \\[0.4cm] M_{\mu \nu}^{A}(p,q) = \dfrac{1}{2}\, [ \, M_{\mu \nu}^{s}(p,q) \! - \! M_{\mu \nu}^{-s}(p,q) ] . \end{array}
$$

The imaginary parts are

$$
\operatorname{Im}M_{\mu\nu}^s(p,q)=W_{\mu\nu}^s(p,q)\,,\\
$$

$$
\operatorname{Im}\left(-iM_{\mu\nu}^A(p,q)\right)=W_{\mu\nu}^A(p,q)\,.
$$

The covariant expansion of the antisymmetric amplitude is

$$
M_{\mu\nu}^A(p,q) = i\epsilon_{\mu\nu\rho\sigma} q^{\rho} \alpha^{\sigma} \cdot D(q^2, v) + i(\alpha q) \epsilon_{\mu\nu\rho\sigma} q^{\rho} p^{\sigma} G(q^2, v).
$$
 (26)

The imaginary parts of the sealar amplitudes are the spin-dependent strueture functions:

$$
\mathrm{Im} D(q^2, v) = d(q^2, v), \ \mathrm{Im} G(q^2, v) = g(q^2, v).
$$

 $D(q^2, v)$  is even in v,  $G(q^2, v)$  is odd in v. It is convenient to perform the Regge--Sommerfeld-Watson transformation on two linear combinations\* given by

$$
\begin{array}{l} \displaystyle H_1(q^2,\nu)=\frac{M^2}{2}\big[{\rm D}(q^2,\nu)+(pq)\,G(q^2,\nu)\big]\,,\\ \\ \displaystyle H_2(q^2,\nu)=-\frac{M^4}{2(pq)}\,G(q^2,\nu)\,. \end{array}
$$

There are twelve independent S-ehannel helicity amplitudes but eight of them vanish in the forward direction. Crossing gives us  $H_1(q^2, v)$  and  $H_2(q^2, v)$  in terms of the t-channel helicity amplitudes:

$$
H_{1}(q^{2}, \nu) = \frac{M^{4}}{4(\nu^{2} - q^{2} M^{2})} \left[ \frac{\nu}{M} \left( F_{1-\frac{1}{2}; 1-\frac{1}{2}}^{s}(q^{2}, \nu) - \right. \right. \\ \left. - F_{1+\frac{1}{2}; 1+\frac{1}{2}}^{s}(q^{2}, \nu) \right) + \sqrt{2q^{2}} F_{1+\frac{1}{2}; 0-\frac{1}{2}}^{s}(q^{2}, \nu) \right],
$$
\n
$$
H_{2}(q^{2}, \nu) = -\frac{M^{4}}{4(\nu^{2} - q^{2} M^{2})} \left[ \frac{M}{\nu} \left( F_{1-\frac{1}{2}; 1-\frac{1}{2}}^{s}(q^{2}, \nu) - \right. \\ \left. - F_{1+\frac{1}{2}; 1+\frac{1}{2}}^{s}(q^{2}, \nu) \right) + \frac{\sqrt{2} q^{2}}{q^{2}} F_{1+\frac{1}{2}; 0-\frac{1}{2}}(q^{2}, \nu) \right].
$$
\n
$$
(27)
$$

**\*** In the first paper of [3] the imaginary parts of  $H_1(q^2, v)$  and  $H_2(q^2, v)$  are used as  $W_3(q^2, \nu)$  and  $W_4(q^2, \nu)$  (up to a constant factor).

*Acta Physica Academiae Seientiarum ttungaricae 31, 1972* 

Eight conspiracy equations are derived for the eight vanishing S-channel amplitudes expressed in terms of the t-channel ones. After R-S-W transformation on the *t*-channel helicity amplitudes we get poles  $+$  cuts  $+$  background:

$$
H_1(q^2, v) = \sum_i \beta_i^-(q^2) \cdot v^{\alpha_i^-(0)-1} + \sum_k q^2 \beta_k^+(q^2) \cdot \frac{\alpha_k^+(0)-1}{\alpha_k^+(0)} \cdot v^{\alpha_k^+(0)-2} + \text{cuts} + \text{background}
$$
\n(28)

The signs  $\pm$  refer to signature. The leading Pomeranchuk trajectory is decoupled from  $H_1(q^2, v)$  and  $H_2(q^2, v)$ . This can be demonstrated by turning to the conspiracy equations. The leading singularity, which satisfies the conspiraey equations and theorems on the spin-dependenee of high-energy amplitudes [8], is the negative parity piece of the Pomeranchuk cut:

$$
d(q^2, v) = \beta_p(q^2) \frac{v^{\alpha_p(0)}}{\ln v} + \text{lower terms},
$$
  
 
$$
g(q^2, v) = -\beta_p(q^2) \frac{v^{\alpha_p(0)-1}}{\ln v} + \text{lower terms}.
$$
 (29)

There is an attempt to describe the leading scaling behaviour by the leading term in the R-S-W expansion [9]. This idea tries to identify the leading lightcone singularity with the leading J-plane object.

The scaling functions  $\alpha(\xi)$  and  $\beta(\xi)$  are singular at  $\xi = 0$  in that case, and the residue function  $\beta_p(q^2)$  has definite  $q^2$ -asymptotics to give rise to the desired scaling behaviour of  $d(q^2, v)$  and  $g(q^2, v)$ . Integrals involving  $\alpha(\xi)$  and  $\beta(\xi)$  remain meaningful even with  $\alpha(\xi)$  and  $\beta(\xi)$  singular at  $\xi = 0$ , since  $A_d(p, x)$  and  $A_g(p, x)$  are tempered distributions, so that every operation has to be treated in distribution-theoretic sense. In this picture we find the scaling law:

$$
d(q^2, v) \sim \frac{1}{2v} \alpha(\xi),
$$
  
 
$$
g(q^2, v) \sim -\frac{1}{2v^2} \alpha(\xi).
$$
 (30)

First, one takes the scaling of  $v^2g(q^2, v)$  for granted, then the behaviour of  $d(q^2, v)$  follows from (29). The common  $\beta_p(q^2)$  in (29) gives rise to the very interesting point that  $d(q^2, v)$  and  $g(q^2, v)$  are *not independent* in scaling limit.

This result is independent of the location of the leading J-plane singularity in the deep-inelastic limit if such ah object can be singled out at all. The character of the J-plane singularity appears in the behaviour of  $\alpha(\xi)$  near to  $\xi = 0.$  (30) follows from the R-S-W representation and the identification mentioned above.

## **IV. Spin-dependent effects in the parton model**

We have calculated the structure functions  $d(q^2, v)$  and  $g(q^2, v)$  in a simple field-theoretic model [10] which indicates point-like parton interpretation in the spin-dependent case, too. The calculation is tedious and lengthy. The results are transparent and provocative because they do not contain any free parameters.

We have found scale invariance for  $v \cdot d(q^2, v)$  and  $v^2 \cdot g(q^2, v)$  with explicit scaling functions in the deep inelastic region at large values of  $\omega$  [3].



Fig. 3. The current scatters on the proton. Scattering on pions does not give rise to spindependent effects

We summarize here only the essential points in the calculation. The technique is the same as applied by DRELL et al. to the spin-averaged amplitudes. We "undress" the current operator and go into the interaction picture with the U-matrix

$$
U(t)=\left(e^{-t\int_{-\infty}^t dt' H_1(t')}\right)_+.
$$

The free or "undressed" current is related to the fully interacting current by  $J_{\mu}(x) = U^{-1}(t)_{\mu}(x)U(t)$  where  $j_{\mu}(x)$  has the same form in terms of in-fields as does  $J_\mu(x)$  in terms of interacting Heisenberg fields.

*Acta Physica Academiae Scientiarum Hungaricae 31, 1972* 

One proves for the spin-dependent amplitude in scaling limit:

$$
\lim_{q^2 \to \infty} W^{\alpha}_{\mu\nu}(p,q) = \int d^4 x e^{iqx} \left[ \langle U P_{\alpha} | j_{\mu}(x) j_{\nu}(0) | U P_{\alpha} \rangle \right]_{p \to \infty}
$$
  
\n
$$
w > 1 \qquad \qquad |U P_{\alpha} \rangle \equiv U(0) | P, \alpha \rangle. \tag{31}
$$

Equation (31) suggests parton-interpretation [10, 11] which may be more general than simple models, manifested in  $H_1(t)$ . Detailed analysis in the pseudoscalar theory shows that one gets the main contribution to the spin-dependent structure functions from the ladder diagrams (Fig. 3).

We give some explicit formulae to indicate the main points of the calculation. The contribution of  $\pi$ <sup>o</sup> mesons with *n* rungs is

$$
|Up_{\alpha}\rangle = \text{const.}\int \frac{d^3 p_n}{\sqrt{2E_n}} \cdot \prod_{i=1}^n \frac{d^3 k_i}{\sqrt{2\omega_i}} \delta^{(3)}(\vec{p} - \vec{p}_n - \vec{k}_1 - \dots - \vec{k}_n) \times
$$
  
\n
$$
\sum_{\beta} \frac{\bar{u}(p_n, \beta) \gamma_5(M + \hat{p}_{n-1, n-1}) \gamma_5 \dots \gamma_5(M + \hat{p}_1) \gamma_5 u(p, \alpha)}{(2E_1) \dots (2E_{n-1})(E_p - E_1 - \omega_1) \dots (E_p - E_n - \omega_1 - \dots - \omega_n)}
$$
  
\n
$$
|p_n \beta; k_1 k_2 \dots k_n \rangle,
$$
  
\n
$$
W_{\mu\nu}^{\alpha}(p, q) = \text{const.}\int \prod_{i=1}^n \frac{d^3 k_i}{2\omega_i} \delta(q^2 + 2p_n q) \times
$$
  
\n
$$
T_r \left\{ (M + \hat{p}) \frac{1 + \gamma_5 \hat{\alpha}}{2} \gamma_5 \dots (M + \hat{p}_n) \gamma_\mu (M + \hat{p}_n + \hat{q}) \gamma_\nu (M + \hat{p}_n) \gamma_5 \dots \gamma_5 (M + \hat{p}) \gamma_5 \right\}
$$
  
\n
$$
\times \frac{T_r \left\{ (M + \hat{p}) \frac{1 + \gamma_5 \hat{\alpha}}{2} \gamma_5 \dots (M + \hat{p}_n) \gamma_\mu (M + \hat{p}_n + \hat{q}) \gamma_\nu (M + \hat{p}_n) \gamma_5 \dots \gamma_5 (M + \hat{p}) \gamma_5 \right\}}{(2E_1)^2 \dots (2E_n)^2 (E_p - E_1 - \omega_1)^2 \dots (E_p - E_n - \omega_1 - \dots - \omega_n)^2}.
$$
  
\n(32)

To include the contribution of charged pions is only simpIe algebra. The antisymmetric piece of Eq. (33) permits the calculation of  $d(q^2, v)$  and  $g(q^2, v)$  in the pseudoscalar theory:

$$
iW^{\mathsf{A}}_{\mu\nu}(p,q) = \text{const.} \int \prod_{l=1}^{n} \frac{d^3 k_i}{2\omega_i} \delta(q^2 + 2q_n q)
$$
  

$$
\frac{Tr\left\{ (M+\hat{p}) \hat{\alpha}(M+\hat{p}_1) \gamma_5 \cdots \gamma_{\mu}(M+\hat{p}_n+\hat{q}) \gamma_{\nu} \cdots}{(2E_1)^2 \cdots (2E_n)^2 (E_p - E_1 - \omega_1)^2 \cdots} \right. (34)
$$
  

$$
\frac{\gamma_5 (M+\hat{p}_1) \gamma_5 \}}{(E_p - E_1 - \omega_1 - \cdots - \omega_n)^2}.
$$

One starts from Eq. (34) to work out the practieal details. For the ealculations we refer to [3]. It turns out that the scaling laws (for proton or neutron):

$$
d(q^2, \nu) \sim \frac{1}{2\nu} \alpha(\xi),
$$
  
 
$$
g(q^2, \nu) \sim \frac{1}{2\nu^2} \beta(\xi)
$$
 (35)

are general consequences of the special transverse momentum cut-off [10] in a large class of models. We have tried this in the pseudoscalar model and in other models where the pions were replaced by vector mesons [3]. At large  $\omega$  we have found the scaling function, both for proton and neutron, without free parameters. This makes it possible to estimate the polarization effects in different parton models.

The physical interpretation is simple and transparent. The current is scattered on point-like constituents,  $d(q^2, y)$  and  $g(q^2, y)$  measure the spindistribution of the partons inside the physical nueleon:

$$
W^{\alpha}_{\mu\nu}(p,q)=\sum_{N}P(N)\int_{0}^{1}dx\,f_{N}(x)\,W^{\beta(p_n,\alpha,p)}_{\mu\nu}(p_n,q)\,,\qquad\qquad(36)
$$

where  $p_n = xp$ .  $P(N)$  is the probability that we find N partons inside the proton,  $f_N(x)$  is the probability that the "proton--parton" has a four-momentum *xp.* The polarization  $\beta(P_n, \alpha, p)$  of this parton depends on the polarization of the physical proton.

The current scatters on the spin one-half charged constituents described by  $W^{\beta}_{\mu\nu}(p_n, q)$ . The results one deduces from these models can be generalized to parton models without concrete field-theoretic baekground [12]. In our Letter [3] we have chosen a simple quark model to study spin-dependent effects. In the light of the field-theoretic analysis we have now more general results.

We emphasize again that the scaling law in (35) seems to be rather common property of different dynamieal models based on point-like constituents. The scaling functions can be caleulated explicitly and we find sizeable polarization effects in the deep-inelastic region.

#### **V. Conelusion**

Spin-dependent effects in the deep-inelastic region should be analyzed by measuring the asymmetry

$$
A = \frac{d\sigma_{\alpha\beta} - d\sigma_{-\alpha\beta}}{d\sigma_{\alpha\beta} + d\sigma_{-\alpha\beta}}.
$$
 (37)

Incident polarized electron or muon beams should be focused onto a polarized target. Scattered electrons or muons at fixed angles are momentum-analyzed and identified using magnetie spectrometers. The theoretieal estimations [3, 5] predict raw asymmetries which may well be within the range of electron scat tering experiments in the future.



lations of the different theoretical descriptions Predietions and correlations of the different theoretical descriptions  $\overline{1}$  $\overline{\mathbf{a}}$ 

**Table I** 

iae Scientiarum Hungaricae 31. 1972

Longitudinally polarized muon beams have been formed from the decay of pions in flight at the major accelerator sites. The Serpukhov accelerator and the Batavia accelerator will gire rise to more intense and higher-energy pion beams; this should make muon experiments of this kind feasible. It may also be possible to produce a high-energy polarized electron beam at SLAC.

Another attempt in thinking about the feasibility of the spin-dependent measurement is to use the unpolarized SLAC beam and to try to measure the polarization of the *scattered* electron beam.

We are aware of the experimental difficulties. The motivation for our analyzis is that experimental data on  $d(q^2, v)$  and  $g(q^2, v)$  could help a lot in testing different ideas stimulated by the SLAC-MIT experiment.

We have investigated the consistency of Finite-Energy Sum Rules with ideas presented here and the contribution of  $d(q^2, v)$  and  $g(q^2, v)$  to the hyperfine splitting of the hydrogen ground-state in different theoretical models [3].

In conclusion, the predictions of the different theoretieal considerations are summarized in Table I.

#### REFERENCES

- 1. For a review of experimental data see R. E. TAYLOR, SLAC-PUB-677.
- 2. For a review on recent theoretical ideas, see F. J. GILMAN, SLAC-PUB-674.
- 3. L. GÁLFI, J. KUTI and A. PATKÓS, Physics Letters 31B, 465, 1970; detailed investigation will be published in a forthcoming paper.
- 4. J. D. BJORKEN, Phys. Rey. 148, 1467, 1966.
- 5. J. D. Bjorken, SLAC-PUB-670.
- 6. C. K. IDDINGS, Phys. Rev. 138B, 446, 1965; S. D. DRELL and J. D. SULLIVAN, Phys. Rev. 154, 1477, 1967; V. L. CHERNIAK, B. V. STRUMINSKI and G. M. ZINOVJEV Dubna preprint, E2-4718.
- 7. R. A. BRANDT, Rockefeller University preprint 1969; L. S. BROWN, Lectures given at the Boulder Summer Institute for Theoretical Physics, 1969; R. J. JACKIW, R. VAN ROYEN and G. B. W~ST, Massachusetts Institute of Technology, Preprint 1970; H. LEUTWYLER and J. STERN, TH. 1138-CERN, preprint 1970.
- 8. A. H. MUELLER and T. L. TRUEMAN, I. II, Phys. Rev. 160, 1296, 1967 and 1306, 1967.
- 9. H. HARARI, Phys. Rev. Letters 22, 1078, 1969; H. D. I. ABARBANEL, M. L. GOLDBERGER and S. B. TREIMAN, Phys. Rev. Letters, 22, 500, 1969.
- 10. S. D. DRELL, D. I. LEVY and TUNG-MOW-YAN, SLAC-PUB-685.
- 11. R. P. FEYNMAN, Cal. Tech., preprint.
- 12. J. D. BJORKEN and E. A. PASCHOS, SLAC-PUB-572.

# ГЛУБОКО НЕУПРУГОЕ РАССЕЯНИЕ ПОЛЯРИЗОВАННОГО ПУЧКА ЭЛЕКТРОНОВ НА ПОЛЯРИЗОВАННОЙ НУКЛОННОЙ МИШЕНИ

Л. ГАЛФИ, П. ГНЭДИГ, Й. КУТИ, Ф. НИДЕРМАЙЕР и А. ПАТКОШ

#### Pe31oMe

Рассмотрены эффекты, зависящие от спина при рассеянии электронов на протонах.