

Neonatal Dopamine Depletion Induces Changes in Morphogenesis and Gene Expression in the Developing Cortex

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The mesocorticolimbic dopamine (DA) system is implicated in mental health disorders affecting attention, impulse inhibition and other cognitive functions. It has also been involved in the regulation of cortical morphogenesis. The present study uses focal injections of 6-hydroxydopamine (6-OHDA) into the medial forebrain bundle of BALB/c mice to examine morphological, behavioral and transcriptional responses to selective DA deficit in the fronto-parietal cortex. Mice that received injections of 6-OHDA on postnatal day 1 (PND1) showed reduction in DA levels in their cortices at PND7. Histological analysis at PND120 revealed increased fronto-cortical width, but decreased width of somatosensory parietal cortex. Open field object recognition suggested impaired response inhibition in adult mice after 6-OHDA treatment. Transcriptional analyses using 17K mouse microarrays showed that such lesions caused up-regulation of 100 genes in the cortex at PND7. Notably, among these genes are Sema3A which plays a repulsive role in axonal guidance, RhoD which inhibits dendritic growth and tubulin β**5 microtubule subunit. In contrast, 127 genes were down-regulated, including CCT**ε **and CCT**ζ **that play roles in actin and tubulin folding. Thus, neonatal DA**

depletion affects transcripts involved in control of cytoskeletal formation and pathway finding, instrumental for normal differentiation and synaptogenesis. The observed gene expression changes are consistent with histological cortical and behavioral impairments in the adult mice treated with 6-OHDA on PND1. Our results point towards specific molecular targets that might be involved in disease process mediated by altered developmental DA regulation.

Keywords: Cerebral cortex; Dopamine; Gene expression; 6-Hydroxydopamine; Microarray; Morphology

INTRODUCTION

Building a normal cerebral cortex requires coordinated generation, migration, and differentiation of neurons and glia along with the programmed cell death and formation of appropriate synaptic connections between neurons (Cohen-Cory, 2002; Guillemot *et al.*, 2006; Price *et al.*, 2006). Well synchronized molecular mechanisms and timely expression of specific genes are essential to the formation of cortical layers and the establishment of functional synapses that are critical for normal cortical development (Ziv and Garner, 2004; Guillemot *et al.*, 2006). The precision required of these events renders the developing cortex extremely sensitive to disruptions by environmental influences as well as genetic predispositions implicated in a number of mental health disorders (Raedler *et al.*, 1998; Rice and Barone, 2000; Johnston *et al.*, 2001; Mick *et al.*, 2002; Durston, 2003). These insults might, in turn, cause persistent problems in cortical ontogeny that later result in impaired cognition, memory and decision making as well as in altered social behaviors in the exposed offspring (Rice and Barone, 2000; Mick *et al.*, 2002).

 Dopaminergic afferent projections from the ventral tegmental area (VTA) to the cortex are the most frequently implicated pathways in neurodevelopmental disorders (Johnston *et al.*, 1995; Berger-Sweeney and Hohmann, 1997; Benes *et al.*, 2000). Experimental neonatal depletion of dopamine (DA) is known to result in behavioral deficits which include changes in locomotor activity (Miller *et al.*, 1981; Kalsbeek *et al.*, 1989a) and cognitive functions (Raskin *et al.*, 1983; Archer *et al.*, 1988; Pappas *et al.*, 1992; Luthman *et al.*, 1997). Notably, developmental DA deficits are associated with decreased cortical size and curtailed dendritic growth (Kalsbeek *et al.*, 1987; 1989b; Sherren and Pappas, 2005), in a fashion similar to the neuropathologic abnormalities reported in disorders thought to be secondary to altered DA homeostasis (Johnston *et al.*, 1995; Shenton *et al.*, 2001; Roth and Saykin, 2004). Thus, investigation of the molecular and cellular bases for DA depletion-induced impaired cortical morphogenesis is of paramount importance because it might help to clarify the mechanisms underlying some human neurodevelopmental disorders.

 The use of 6-hydroxydopamine (6-OHDA) induced lesions has been the most popular model for experimental DA depletion in the forebrain. However, some of the lesion studies published to date have several confounds. For example, intracerebroventricular (i.c.v.) injections of 6-OHDA, even if selective for DA, destroy projections not only to the cortex but also to the limbic system (Archer *et al.*, 1988; Luthman *et al.*, 1990). In addition, reactive serotoninergic hypertrophy into DA-denervated cortex has also been shown after i.c.v. applications of the toxin at birth (Blue and Molliver, 1987; Molina-Holgado *et al.*, 1993; Breese *et al.*, 2005). Similarly, thermal lesions to the VTA are also nonselective, inducing reductions in cortical serotonin (5-HT) concentrations (Kalsbeek *et al.*, 1987; 1989a). Altered 5-HT levels in the developing cortex also are known to affect morphogenesis and behavior (Blue *et al.*, 1991; Berger-Sweeney *et al.*, 1998; Boylan *et al.*, 2000; Hohmann *et al.*, 2000). In addition, similarly treated rodents often have reduced brain and body weight (Berger-Sweeney and Hohmann, 1997; Bruno *et al.*, 1998; Breese *et al.*, 2005). Therefore, to isolate potentially deleterious influences of DA depletion on cortical development, we performed the present study using focal injections of 6-OHDA into the medial forebrain bundle (MFB) because it is a more selective animal model of deficient cortical DA. We performed histological analysis in combination with large-scale microarray and RT-PCR approaches in order to determine morphological and transcriptional responses specific to neonatal cortical DA deafferentation. Behavioral tests were conducted to assess the possible cognitive consequences. We show perturbations in the transcriptional developmental programs in the mouse cortex that are consistent with the morphological changes. Our study identifies specific cellular and molecular targets to further investigate dopaminergic morphogenetic influences in cortical development.

MATERIALS AND METHODS

Animals and 6-OHDA Treatment

Male and female BALB/cByJ breeding stock was obtained from Jackson Laboratory (Bar Harbor, ME). All animal procedures were conducted according to the NIH Guide for the Care and Use of Laboratory Animals and were approved by the local Animal Care Committee. BALB/cByJ mice, generated in our breeding colony at Morgan State University, were removed from their mothers within the first 12-20 hours after birth, anesthetized on ice, placed into a specially designed mouse pup holder, and positioned in a stereotaxic apparatus (Kopf, Tujunga, CA). We applied an injection protocol that we empirically developed to perform maximal DA depletion in the cortex without major alterations in other afferent monoamine levels. 6-OHDA hydrobromide (Sigma, St.Louis, MO) was dissolved in 0.9% sterile saline containing 0.2% ascorbic acid to a concentration 10 μ g/ μ l. The solution was prepared fresh prior to injection and kept on ice in order to minimize oxidation.

Injections were made into the MFB near the diagonal band of Broca, horizontal limb, similar to previously described (Hohmann *et al.*, 1988; Hohmann and Berger-Sweeney, 1998). Coordinates for the injections were measured using the sinuses underlying the midline and frontonasal skull suture, and 0.6 microliters $(= 6 \mu g)$ of 6-OHDA solution was injected into each hemisphere. On each side, a 27 gauge needle was lowered into the brain through skin and skull 2 mm anterior to the frontonasal suture and 1.5 mm lateral to midline, at an angle of 15° horizontally and 58° vertically. 6-OHDA was slowly administered in three injections $(0.2 \mu l \text{ each})$ at a depth of 4.0 mm (1st injection), 3.5 mm (2nd injection), or 3 mm (3d injection) along the posterior to anterior trajectory of the needle tract in the forebrain. Litter mates, injected with vehicle, served as controls for all experiments. Each group contained mice from at least three different litters. After the injections, pups were re-warmed to normal body temperature on a heating pad and returned to their mothers. For HPLC and microarray analysis, male mice were sacrificed by cervical dislocation on PND7, their brains were rapidly removed from the skulls and dissected on an ice-cooled plate. The samples were taken from dorsal fronto-parietal cortex to closely resemble the cortical areas examined histologically. In addition, striata were dissected out and used for HPLC analysis. Tissue samples were immediately frozen on dry ice, weighed, and processed for HPLC or RNA isolation as described below. For behavioral and histology experiments, male mice were weaned on PND30 and group housed with littermates until the start of behavioral testing.

HPLC Analysis

Cortical samples (*N*=8 per group) were ultrasonicated in 0.1 M perchloric acid containing 10 ng/mg of internal standard dihydroxybenzilamine, then centrifuged at 20,000*g* for 10 min. Concentrations of norepinephrine (NE), DA, 3,4-dihydroxyphenylacetic acid (DOPAC), homovanillic acid (HVA), 5-HT, and 5-hydroxyindoleacetic acid (5-HIAA) in cortical and striatal tissue extracts of 6-OHDA and vehicle-treated mice were measured by HPLC with electrochemical detection as described earlier (Krasnova *et al.*, 2001). Concentrations of NE, DA, DOPAC, HVA, 5-HT, and 5-HIAA were calculated and expressed as pg/mg of tissue weight. Statistical analysis was performed using analysis of variance (ANOVA) followed by Fisher's protected least significant difference (PLSD) (StatView 4.02, SAS Institute, Cary, NC). Differences were considered significant at $p \leq 0.05$.

Histological Analysis

Histological analysis was performed on 6-OHDA and vehicle-injected male mice (*N*=5) following behavioral testing (see below). At four months of age, mice were anesthetized with chloral hydrate and perfused transcardially with 0.15 M phosphate buffered saline, pH 7.4 (PBS) followed by 4% paraformaldehyde in PBS. After perfusion, the brains were removed, post-fixed in the perfusion solution for 4-8 hours, immersed in 20% sucrose in PBS for cryoprotection overnight, frozen in isopentane and stored at -80°C. Quantitative morphological assessments were performed as described in detail before (Hohmann and Berger-Sweeney, 1998; Hohmann et al., 2000). Briefly, Nissl stained coronal 50 μ mthick sections through comparable levels of frontal cortex [FC] (level of first appearance of the anterior commissure), anterior somatosensory cortex [ASSC] (anterior to fimbria fornix), barrel field cortex [BFC] and somatosensory cortex posterior to the barrel field area [PSSC] were selected. Using the AIS Image system (St. Catherine's, Ontario, Canada) images of the sections were digitized and cortical width was measured, bilaterally, in an approximately 3 mm wide area of neocortex. For each section, cortical and laminar thickness (total cortex, layer VI, V, IV and II/III) measurements were taken at four separate positions in this sample area. For frontal cortex, measurements were taken medially to the rhinal fissure and laterally to the cingulate cortex. In the other areas samples were taken, just lateral to the agranular motor cortex, in the dorsal parietal cortex. Means of these individual measurements for each section were used for statistical analysis by unpaired *t*-test, comparing 6-OHDA versus vehicle-treated cortices (StatView 4.02). Criteria for significance were set at $p \le 0.05$.

Behavioral Testing

Beginning at PND 90, 6-OHDA-lesioned, vehicleinjected control and age-matched normal male mice (*N*=10) were handled for one week before being subjected to the Neurological Test Battery followed by an Open Field Object Recognition Task (OFOR).

A. Neurological Test Battery

The Neurological Test Battery is akin to a clinical neurological examination for mice and assesses basic sensorimotor abilities such as the righting reflex, placing reflex, grip strength and ability to walk across a narrow, round beam. For all assessments, the experimenter was blind to the condition of the mice (6-OHDA-lesioned or vehicle-treated littermates). All tests were performed in triplicate on all mice, prior to the onset of behavioral testing, and the average scores for each animal were used for subsequent analysis.

 For the righting reflex, mice were lowered by their tails toward a flat horizontal surface while the experimenter attempted to place the mouse' back onto this surface. The ability of mice to turn around and land on their paws was rated on a scale of 1-4. If a mouse could not be turned on its back, it was given a score of 4, a more sluggish response in righting itself was given a score of 3 and 2, progressively; mice unable to right themselves or those who responded with disorientation after righting themselves would have received a score of 1. Impairments in the righting reflex suggest deficits in proprioception or equilibrium. For the placing reflex, mice were lowered by their tail toward the grid of the cage top; their readiness to stretch out their front paws towards the surface, as they neared it, was rated on a scale from 1-4. A score of 4 was given to a mouse that immediately stretched its paws as it neared the surface; a score of 3 and 2 indicated a progressively delayed response and a score of 1 would have signified that the animal landed head first on the surface. Deficits in the placing reflex suggest sensorimotor rather than visual impairment, as the animal's whiskers touch the surface prior to the body. For grip strength, mice were allowed first to attach to the grid of the cage top, then were pulled away by their tail; the strength of attachment to the cage top was assessed on a scale of 1-4. A strong resistance to being pulled away from the cage top equaled a score of 4, a weakened resistance a score of 3 and 2, progressively, and no resistance a score of 1. Reduced grip strength is an indicator of reduced muscle strength or overall ill health.

 For performance of the beam-walking task, the "beam" (a 5 ml pipette) was stretched between the home cage and a support at the opposite end of the beam. A mouse was placed on a platform near the far end of the beam and allowed to move toward the home cage, which is a strong motivator. We measured the amount of time, up to a maximum of 125 seconds, taken by each mouse to cross the beam and enter the home cage. Mice that did not cross the beam to their home cage within 125 seconds were removed from the task and given the maximum score of 4. In addition, we rated the ability of the mouse to cross smoothly without losing grip on a scale from 1-4, with 4 being the worst score. Each time a mouse fell off the beam or just held on to the beam by one or two paws, its score increased by one point up to a maximum of 4. The beam-walking test assesses both motor coordination and anxiety (reluctance to cross the beam).

B. OFOR

This task was adapted from a test developed by Ricceri *et al.* (1999) in accordance with parameters introduced by Poucet (1989). The task is performed in a round enclosure of approximately 90 cm in diameter, surrounded by walls of about 25 cm in height that lean outward at a slight angle of about 15 degrees (commercial plastic baby pool). The entire enclosure is painted black to increase visibility of white mice; quadrants are marked on the floor with white lines. Mice were videotaped during the performance of all aspects of the task and all measurements were conducted on a Dell Pentium computer using Observer Video-Pro (Noldus, Leesburg, VA) software. The objects consisted of Lego constructions of various shapes and colors. The apparatus was wiped with an alcohol/water solution between subjects to reduce odor cues.

 In the first session (S1), mice were placed into the enclosure without objects, to assess open field behaviors including locomotor activity and rearing. During sessions 2-4 (S2-S4), 5 objects of different shapes and colors were placed at set locations in the enclosure and the amount of time a mouse explored the objects was scored. Exploration consisted of either "whisking" or sniffing the object, exploration with forepaws or climbing onto the object. In session 5 (S5), objects 1 and 5 (displaced objects (DO)) were moved to a new location; their position was maintained in session 6 (S6). In session 7 (S7), object 3 was replaced with a new object 6 (substituted object (SO)). At each session mice

were placed into the enclosure for 6 minutes with 3-minute intervals between sessions. The experimenter recorded the time spent with each object. In S5-7, control mice are expected to increase exploration, especially of the displaced or new objects, compared to the prior sessions. Thus, time spent with the displaced object (DO) compared to the other objects (non-displaced objects (NDO)) in S5 is used to measure the mouse' spatial recognition and memory. In S7, time spent with the new object (substituted object (SO)) compared to the other objects (non-substituted objects (NSO)) signals the animal's ability to recognize and respond to novelty. DO/NDO and SO/NSO scores were calculated according to a formula devised by Ricceri *et al.* (1999): DO = mean time spent with displaced objects in S5 minus mean time spent with the same objects in $S4$; NDO = mean time spent with nondisplaced objects in S5 minus mean time with the same objects in $S4$. $SO =$ mean time spent with the substituted object (object 6) in S7 minus mean time spent with the object previously in this position (object 3) in S6. NSO = mean time spent with nonsubstituted objects in S7 minus mean time with the same objects in S6. Group values were compared statistically by factorial ANOVA with Fisher's *posthoc* test and also by repeated measures ANOVA and unpaired *t*-test for DO versus NDO exploration in sessions 5 through 7. All behavioral statistical analyses were performed using StatView 4.02. The null hypothesis was rejected at the $p \le 0.05$ level.

RNA Isolation and Microarray Hybridization

Total RNA was isolated from individual samples of cerebral cortices of 6-OHDA or vehicle-treated male animals using RNeasy Mini Kit (QIAGEN, Valencia, CA) in accordance with the manufacturer's protocol. RNA concentrations were determined by UV spectrophotometry and RNA integrity was confirmed using denaturing 1.0% agarose-formaldehyde gel. Samples were stored at -70°C.

 Microarray experiments were performed using single channel labeling 33P nylon membrane-based cDNA microarrays containing 16,896 features, which include 12,341 unique mouse genes and expressed sequence tags (ESTs) (Mouse 17K array sets) provided by the Gene Expression and Genomics Unit, National Institute of Aging, NIH (Baltimore, MD). Array hybridization and data analysis were supervised by Gene Expression and Genomics Unit. Protocols on array printing, labeling, and hybridization as well as information on software packages are available at the Gene Expression and Genomics Unit website (www.grc.nia.nih.gov/ branches/rrb/dna/dna.htm). Each mouse's cortical RNA was processed and run on a separate microarray membrane. Briefly, for probing of microarray membranes, 10 µg of total RNA isolated from cortices of vehicle- and 6-OHDA-treated mice (*N*=7 samples per group) were reverse-transcribed using SuperScript II reverse transcriptase (Invitrogen, Carlsbad, CA) and labeled with $[\alpha$ -33P]-dCTP (Amersham, Piscataway, NJ). Microarray membranes were pre-hybridized in 4 ml hybridization buffer containing 3.2 ml of Microhyb (Invitrogen), 0.8 ml of 50% dextran sulfate, 100 µl of 10 mg/ml denatured human C_o t DNA (Invitrogen) and 100 µl of 8 mg/ml denatured poly(dA) (Sigma) for 2 hours at 55°C. Radiolabeled cDNA probes were purified using Mico Bio-Spin P-30 Tris chromatography columns (Bio-Rad, Hercules, CA), heat-denatured and hybridized with microarray membranes using 4 ml of fresh hybridization buffer for 18 hours at 55°C. After three high-stringency washes in 2X SSC and 0.1% SDS for 15 min at 55°C, the membranes were exposed to a phosphor screen for 3 days and images were scanned using a Storm 860 PhosphoImager (Molecular Dynamics, Inc., Sunnyvale, CA) with 50 µm resolution. Hybridization intensities of array spots were quantified using ArrayPro analysis software for Windows NT (Media Cybernetics, CA, USA) and then stored as MS Excel (Microsoft, Redmond, WA) spreadsheets.

Statistical Analysis of Microarray Data

We followed MIAME (Minimum Information About a Microarray Experiment) guidelines for the presentation of our results (Brazma *et al.*, 2001). Study design and data analysis were in cooperation with the Gene Expression and Genomics Unit, NIA, NIH. To minimize bias due to individual genetic background differences, treated animal groups were represented by 7 mice. Nonspecific uniform background across entire arrays due to experimental variation was normalized in MS Excel using global normalization. The data value for each spot on each membrane was divided by the median intensity value of that membrane to obtain a normalized intensity value. Intensity data for each gene were logarithmically transformed. To eliminate noise from low level expression, we filtered out all genes whose average normalized intensity between treatment and control was less than zero. We applied the criteria that the average normalized intensities of treatment and control is larger than zero:

 log treatment intensity + log control intensity -- > 0 2

Thus, spurious ratios from background level intensity genes were eliminated. That was the case for less than 20 genes. For further analysis, the data were imported into GeneSpring (version 5.2, Silcon Genetics, Redwood City, CA) by MS Excel spreadsheet formatted as a tab-delimited text file. Changes in gene expression after 6-OHDA treatment were then calculated by ANOVA statistical analysis using GeneSpring. Criteria for significance were set at *p* <0.05.

 Functional grouping analysis for significantly changed genes was performed using DAVID 2.0 Annotation Tool (http://apps1.niaid.nih.gov/david) (Dennis *et al.*, 2003) and supported through literature searches. DAVID is a structured, hierarchical vocabulary to describe gene functions in areas of biological processes, molecular function, and cellular components. Using the standardized DAVID annotation ensured that all gene products have a consistent description that can be employed when comparing other sources of data with this study. To concentrate on changes that could be interpreted in a context of gene function, we included only genes classified using DAVID 2.0 Annotation Tool in the further analysis. We also strengthened statistical confidence by providing evidence of co-regulation of multiple genes that are related by function or pathway. Here we used a software tool, the Expression Analysis Systematic Explorer (EASE; http://david.niaid.nih.gov/david/ease.htm) (Hosack *et al.*, 2003), to assign identified genes to "GO: Biological Process" categories of the Gene Ontology Consortium (www.geneontology. org) and to test statistically (EASE Score, a modified Fisher's exact test) for significant co-regulation (overrepresentation) of identified genes within each biological process category. A hierarchical cluster

analysis of the expression with standard correlation 0.95 and distance 0.1 was generated using GeneSpring software.

Quantitative RT-PCR

Total RNA extracted from cortical samples of vehicle and 6-OHDA-treated animals was used for quantitative RT-PCR to confirm some of the results obtained with microarrays as described earlier (Krasnova *et al.*, 2002; 2005). Unpooled total RNA (1 µg) obtained from 7 mice per group was reverse-transcribed with oligo dT primers using Advantage RT for PCR kit (BD Biosciences Clontech, Palo Alto, CA). PCR experiments were performed using light cycler technology and LightCycler FastStart DNA Master SYBR Green I kit (Roche, Indianapolis, IN) according to manufacturer's protocol. Sequences for gene-specific primers corresponding to PCR targets were obtained using LightCycler Probe Design software (Roche). The RT-PCR primer sequences were: glyceraldehyde-3-phosphate dehydrogenase (GAPDH): GTGTGAACCACGAGAAA (upstream), TGGGGTTAGGAACACG (downstream); tubulin β5: GGACTATGGACTCCGT (upstream), CATTGTAGGGCTCGAC (downstream); Ras homolog D (RhoD): TGCGTAAGGACAAGGT (upstream), AATTCTGAGTAATCCGCC (downstream); chaperonin subunit 5 (CCT ε): AGCCGAAATATCCTGC (upstream), AGACTCCTTCACCTGT (downstream); chaperonin subunit 6a (CCTζ): ATCATTCCCAAGGTTCT (upstream), GTTGGTGGCGATCACA (downstream); myocyte enhancer factor 2D (MEF2D): AGGAAAAAGATTCAGATCCA (upstream), CTCGTTGTACTCGGTG (downstream); c-Jun: TTGCCCCAACAGATCC (upstream), GCTGCGTTAGCATGAG (downstream); semaphorin 3A (Sema3A): ATTACCTGTGTCACGC (upstream), CTCAAACTCGTGGGTC (downstream). As internal control, 18S cDNA was co-amplified by using primer sequences: GCGCAAATTACCCACT (upstream), ATCCAACTACGAGCTT (downstream). The primers were synthesized and HPLC-purified at the Synthesis and Sequencing Facility of Johns Hopkins University (Baltimore, MD). Negative controls were run concomitantly to confirm the specificity and to verify that no primer dimerization was gen-

erated. The relative standard curve was established with serial dilution of a cDNA solution of unknown concentration that corresponds to a mix of eight randomly picked samples. To confirm the amplification specificity, the PCR products were subjected to a melting curve analysis. Amplification curves were generated by the LightCycler Instrument Quantification program and displayed the fluorescence values versus cycle number. Template concentrations using the relative standard curve were given arbitrary values. The mean 18S concentration was determined once for each cDNA sample and used to normalize all other genes tested from the same cDNA sample. The relative change in gene expression was calculated as the ratio of normalized data over saline. Statistical analysis was done by ANOVA followed by Fisher's PLSD (StatView 4.02). Differences were considered significant if the probability of error was less than 5%.

RESULTS

6-OHDA Lesions

In order to test a possible role for the mesocorticolimbic DA system in the control of cortical development, we used 6-OHDA injections into the MFB at PND1 as a model of cortical DA deficit. To verify the effects of the 6-OHDA lesions on DA levels, we performed HPLC analysis on cortical samples from mice treated with either the neurotoxin or vehicle. 6-OHDA caused marked reduction in DA levels (- 78%) 6 days after treatment (FIG. 1A). In contrast, NE, 5-HT, HVA and 5-HIAA concentrations were not significantly affected (FIG. 1A). Concentration of DOPAC in the cortical samples was below detection limit (<1 pg/mg of tissue). Because nigrostriatal DA terminals also project through MFB, we examined the effects of 6-OHDA lesions in the striatum. The neurotoxin also caused a significant decrease in DA levels in the striatum (-38%) (FIG. 1B), but it was less dramatic than in the cortex (FIG. 1A). Concentrations of NE, DOPAC, HVA, 5-HT and 5-HIAA were not significantly changed (FIG. 1B).

Histological Assessments

Qualitative examination of Nissl stained cortical sections from four-month old mice treated with 6- OHDA or vehicle on PND1 showed well-articulated cortical cytoarchitecture in all cases. Injection sites and needle tracts in the base of the forebrain were no longer visible in these adult mice. However, quantitative morphometric analysis revealed striking alterations in cortical width following 6-OHDA lesions that varied significantly according to cortical areas and layers (Table I). In both frontal [FC] and somatosensory cortex [ASSC, BFC and PSSC], layer V was the least affected whereas layer IV was the most prominently altered. 6-OHDA injections resulted in overall decreases in the width of the

FIGURE 1 Levels of NE, DA, 5-HT and their metabolites in the cerebral cortex (**A**) and striatum (**B**) at PND7 after neonatal 6-OHDA injections into MFB. Neurotoxin induced significant decrease in DA concentrations in both brain regions without affecting NE, 5-HT, DOPAC, HVA and 5-HIAA levels. Values represent means \pm SE (pg per mg of tissue) of 7 mice per group. $p \le 0.05$ in comparison with vehicle-treated mice.

FIGURE 2 Exploratory behaviors in 6-OHDA-lesioned and vehicle-treated control mice. There was no significant difference in time spent investigating the objects in the OFOR test between the groups (**A**), although increased exploration in neurotoxin-lesioned animals in sessions 5 through 7 suggest overall increased interest in the objects. (**B**) 6-OHDA-lesioned mice increased their exploration of the displaced objects (DO) significantly compared to both vehicle and age-matched controls (**p* = 0.028, ANOVA main effect) and particularly compared to vehicle control $({}^{\#}p = 0.008,$ Fisher's *post-hoc* test). Vehicle-injected animals showed decreased investigation of all objects after displacement. Age-matched BALB/cByJ mice, in contrast, performed the task normally, yet still showed lower responses to the DO then 6-OHDA-lesioned mice. There was no difference in exploration of non-displaced objects (NDO) between the groups. Values represent means \pm SE.

somatosensory cortex but increases in the width of the frontal cortex (Table I). Nevertheless, the increases in FC width were significant only for layer IV ($p = 0.03$). The decreases in the somatosensory cortical areas were consistent throughout (Table I), but displayed an anterior-posterior gradient. Separate analysis of ASSC (cortical whisker representation, Woolsey and Van der Loos, 1970) yielded no significant changes. Layer IV of BFC (encompassing the PMBS) was significantly decreased (*p =* 0.04) (Table I). PSSC, encompassing posterior somatosensory cortex and representations of the torso and hind-limb areas, showed decreased width of layer VI (*p =* 0.05) and reduced overall width $(p = 0.01)$ (Table I). While these changes are individually small, their pervasiveness suggests a substantial morphogenetic reorganization in the cortex.

Behavioral Analysis

A. Neurological Test Battery

There were no significant differences in the placing or righting reflex, grip strength as well as beam crossing time and beam scores between 6-OHDA and vehicle-treated mice. This indicates that basic sensory-motor functions of the mice were unaffected by the lesion and supports the cortical specificity of the DA depletion.

B. OFOR

There were no differences in locomotor activity or rearing frequency between groups. Although statistically not significant, exploratory activity was initially slightly lower in 6-OHDA-lesioned mice but increased more steeply in sessions 5 and 6 when the object re-arrangement took place (FIG. 2A). The difference in overall exploratory behavior is consistent with significant difference between 6-OHDA-treated compared to vehicle control or age-matched control mice in response to the displaced object (FIG. 2B). In contrast, there were no significant differences between the groups in their responses to the novel object (data not shown).

Microarray Findings

To identify possible molecular bases for these structural and behavioral abnormalities, we analyzed transcriptional responses in the cerebral cor-

Cortical Layer	Group	Frontal Cortex FC	Somatosensory Cortex		
			ASSC	BFC PMBS	PSSC
Total Cortex	Control	1102 ± 40	1094 ± 28.7	1134 ± 11	915 ± 24.6
	6-OHDA	1192 ± 13.5	1051 ± 31	1099 ± 27.2	780 ± 46.6 **
Layer II/III	Control	188 ± 12.2	217 ± 8.1	210 ± 6	187 ± 11.8
	6-OHDA	216 ± 10.7	204 ± 6.5	210 ± 9.6	163 ± 7.3
Layer IV	Control	152 ± 5.6	154 ± 6.7	177 ± 4.2	141 ± 6.8
	6-OHDA	$170 \pm 5.4*$	159 ± 6.5	$163 \pm 5.6*$	121 ± 7.5
Layer V	Control	316 ± 11.5	290 ± 10	334 ± 7.8	267 ± 10
	6-OHDA	324 ± 10.3	291 ± 8.2	310 ± 7.2	235 ± 20.8
Layer VI	Control	338 ± 11.6	335 ± 9.6	334 ± 8.7	236 ± 11.8
	6-OHDA	364 ± 7.3	313 ± 12.2	332 ± 11.8	$189 \pm 22.6*$

Table I Morphometrical assessments for cortical areas after 6-OHDA treatment

Cortical and layer widths were measured in μ m. Data are expressed as means \pm SE. * $p \le 0.05$, **p ≤ 0.01 in comparison to control mice. Bold print highlights values that showed significant differences ($p \le 0.05$) between control and 6-OHDAlesioned mice. Note that trends towards significant width differences are also apparent in layer IV of PSSC $(p = 0.08)$ and layer VI of FC ($p = 0.09$). Neurotoxic lesions caused increases in the width of layer IV in the FC, but decreases in the BFC area of somatosensory cortex. 6-OHDA injections induced reduction in the width of layer VI in PSSC, which is also reflected in the decrease of total cortical width in this area.

Biological process categories significantly overrepresented ($p \le 0.05$; EASE score) are shown. Significant functional categories are those with a higher ratio of identified genes to all genes tested on the array for associations with that category, relative to the ratio of total identified genes in the study to all genes tested on the array for associations with all categories. After each category description (in parentheses) is the ratio of associations for that category and the percentage represented by that ratio. EASE, modified Fisher's exact test p value. The complete list of significantly changed genes is given in Supplementary Table I.

tex after neonatal 6-OHDA treatment. We elected to assay gene expression on PND 7 because the first postnatal week is a highly dynamic period, during which time most of the mature cortical architecture and connectivity becomes specified in rodents (for review see: Berger-Sweeney and Hohmann, 1997;

FIGURE 3 Hierarchical cluster analysis of gene expression profiles in the cortex of vehicle and 6-OHDA-treated mice. 227 genes whose transcript levels were affected by DA depletion were selected based on criteria described in the text. These genes were grouped into two clusters (**A, B**) according to their patterns of expression. The gene expression levels were quantified after median normalization and logarithmic transformation. For each gene, the expression in the control and 6-OHDA-treated groups is shown individually for each animal and represented by a color according to color scale at the bottom. Each row shows changes in the expression of a single gene in individual animals in both control and 6-OHDA-treated groups, where each column represents the variation in the expression of the genes within a particular animal. The dendrogram on the left side of the cluster shows the statistical relatedness of the genes in the cluster, with shorter branches representing closer relations between genes. The graphs on the right show the average expression profiles for the genes in the corresponding cluster.

Dikranian *et al.*, 2001; Hohmann, 2003). Towards that end, 6-OHDA-lesioned animals were compared to vehicle-treated mice by using microarray technology and several data mining tools. Our analyses revealed that 866 out of 16,896 transcripts were differently regulated in the cortices of 6-OHDA-treated and control mice $(p < 0.05)$. To concentrate on changes that can be interpreted in a context of gene function, we included only genes classified using the on-line gene classification tool DAVID 2.0 (http://david.niaid.nih.gov/David) in further analyses and excluded all undefined ESTs. With these exclusion criteria, only 227 of the 866 transcripts were left for further analyses. We applied hierarchical cluster analysis to profile these transcripts based on similarity between their expression patterns (FIG. 3). Two differential expression profiles were obtained showing that 100 genes were significantly up-regulated (FIG. 3, cluster A) whereas 127 genes were significantly down-regulated (FIG. 3, cluster B) after DA depletion. Using DAVID 2.0 classification tool, we grouped these genes according to functional classes. The up-regulated transcripts belong to several classes including those that play a role in differentiation and synaptogenesis, cytoskeletal development, neuronal apoptosis, regulation of transcription, translation/protein synthesis, signal transduction, intracellular transport, cell adhesion, DNA damage and stress response. The down-regulated transcripts also fall within similar classifications. A full list of differentially expressed genes with their functional classification is available as supplemental material (Supplementary Table I).

 Using EASE analysis, we identified biological process categories that showed a disproportionally high number of co-regulated genes (significant overrepresentation of changed genes in those categories). The Gene Ontology Biological Process categories in which significantly changed genes were overrepresented by EASE score ($p < 0.05$) are shown in Table II. For up-regulated genes, a major difference was seen in cytoskeleton organization category that included several individual tubulin subunits. In addition, proteolysis and small GTPase-mediated signal transduction were overrepresented in up-regulated genes. Although their categories were not overrepresented, several upregulated genes, including transforming growth factor α, bone morphogenetic protein 8b and glia

maturation factor β reflected injury response and glial activation process (Supplementary Table I). Translation/protein synthesis and regulation of transcription were two largest categories of downregulated genes. In addition, protein folding as well as ion and lipid transport were overrepresented in down-regulated genes.

 We decided to focus the present report on some genes that are involved in the regulation of differentiation and synaptogenesis, formation of the cytoskeleton and neuronal apoptosis because of their well established role in cortical development. The list of selected transcripts significantly changed in these functional classes is provided in Table III. Genes that code for proteins involved in neuronal differentiation and synaptogenesis include DA depletion-induced increases in Sema3A transcript levels. Expression of several genes whose products participate in cytoskeletal formation was also altered after the 6-OHDA injections. For example, RhoD, which regulates microtubule dynamics (Govek *et al.*, 2005), and tubulin β5 microtubule subunit were up-regulated. In contrast, down-regulated were the chaperonins, CCTε and CCTζ, which are involved in folding of the cytoskeletal proteins, actin and tubulin (Valpuesta *et al.*, 2002). Genes such as c-Jun and MEF2D that support neuronal survival during development were also downregulated in the DA depleted cortex. On the other hand, the expression of GAPDH, which is involved in neuronal apoptosis (Chuang *et al.*, 2005) was significantly increased.

Real-time RT-PCR

We used quantitative RT-PCR to confirm the changes in transcripts selected based on their involvement in differentiation and synaptogenesis (Sema3A), in cytoskeleton formation (tubulin β5, CCTε, CCTζ and RhoD), or in neuronal survival

and apoptosis (c-Jun, MEF2D, GAPDH). As shown in FIG. 4, consistent with microarray findings, significant changes were observed in the same direction for all genes studied. Specifically, DA

FIGURE 4 Quantitative RT-PCR analyses of some transcripts affected by DA depletion. The expression of genes, selected based on their potential involvement in neurodevelopmental processes, was confirmed by RT-PCR. For all genes examined, statistically significant changes were observed in the same direction as microarray results. The data were obtained for RNA samples isolated from 7 mice per group and determined individually. The amount of each product was normalized by 18S. Values represent means \pm SE (% of respective controls). $\ast p \leq 0.05$, $\ast \ast p \leq 0.01$ in comparison to control.

depletion caused an induction (+45%) of Sema3A mRNA (FIG. 4A). Similarly, GAPDH (+22%) and tubulin β5 (+34%) mRNA levels were increased after 6-OHDA treatment (FIG. 4B, 4C). Expression of RhoD mRNA was also up-regulated $(+20\%)$ (FIG. 4D). In contrast, c-Jun mRNA was decreased (-39%) (FIG. 5E). In addition, there was a small, but significant down-regulation in the expression of CCT ζ (-14%) and CCT ε (-16%) mRNAs (FIG. 4F, 4G). Similarly, DA depletion caused decreases in MEF2D (-17%) transcript levels in the cortex of 6-OHDA-treated animals (FIG. 4H).

DISCUSSION

The first postnatal week in rodents represents the most dynamic period in cortical development when neuronal migration concludes, differentiation and synaptogenesis are initiated, and apoptosis prunes neuronal populations (Berger-Sweeney and Hohmann, 1997; Dikranian *et al.*, 2001). At this time, afferent neurotransmitter projections from brainstem and basal forebrain orchestrate cortical development by providing important growth and differentiation stimuli to neurons (Berger-Sweeney and Hohmann, 1997; Foehring and Lorenzon, 1999). Herein, we have addressed the role of the DA afferents in the regulation of cortical development by using a strategy combining histological and behavioral tests, as well as microarray technology and quantitative RT-PCR in an animal model of deficient cortical DA innervation. This strategy was designed to identify candidate genes that mediate a morphogenetic role of DA in cortical development and behavioral regulation.

 In the present study, we applied 6-OHDA directly to the mesocortical DA afferents via injections into the MFB at the level of the substantia innominata/ diagonal band of Broca. This empirically derived focal approach allowed us to obtain significant DA depletions in the cortex with \sim 10% of neurotoxin doses typically used for i.c.v. or intracisternal administration (Miller *et al.*, 1981; Raskin *et al.*, 1983; Archer *et al.*, 1988; Luthman *et al.*, 1997) while simultaneously sparing other afferent monoaminergic projections. In contrast to earlier studies (Onteniente *et al.*, 1980; Blue and Molliver, 1987; Molina-Holgado *et al.*, 1993), our 6-OHDA injection protocol did not cause decreases in cortical NE

levels nor compensatory increases in 5-HT concentrations. In addition, the appearance of non-specific lesions of surrounding tissues was eliminated and the physical health of treated animals was not affected. Thus, this approach permitted us to measure morphological, behavioral and gene expression effects derived selectively from DA depletion to the neocortex of mice.

 The specificity of the DA depletion might be responsible, in part, for the more subtle behavioral deficits observed in our studies in comparison with most previously published models (Miller *et al.*, 1981; Raskin *et al.*, 1983; Archer *et al.*, 1988; Luthman *et al.*, 1997). Consistent with some other reports of more selectively neonatally 6-OHDAlesioned mice (Joyce *et al.*, 1996; Bruno *et al.*, 1998), we did not find significant locomotor alterations in adult animals following our treatment protocol. Thus, altered gross motor performance may be related primarily to striatal DA depletions in the mature rodent brain. Moreover, i.c.v. and intracisternal 6-OHDA injections are more likely to alter 5-HT concentrations in addition to their effects on DA levels (Molina-Holgado *et al.*, 1993; Joyce *et al.*, 1996; Luthman *et al.*, 1997). Indeed, increased 5-HT sprouting and associated elevated 5-HT levels induced by neonatal 6-OHDA treatment have been implicated in the occurrence of abnormalities in skilled motor performance including tongue or limb use (Joyce *et al.*, 1996; Luthman *et al.*, 1997). The developmental timing of the lesion may also influence the behavioral outcome, because rats that received 6-OHDA injections at PND7 had substantial abnormalities whereas animals lesioned on PND0/1 showed no locomotor changes and minimal sensorimotor deficits (Neal-Beliveau and Joyce, 1999).

 The significant behavioral difference in the performance of our lesioned mice occurred in the object displacement phase of the OFOR task. Lesioned mice initially showed less exploration of the objects in the task but substantially increased their exploratory behavior in later sessions likely in response to altered object placement. Neonatally 6-OHDAtreated animals showed increased exploration of the displaced objects. This part of the OFOR (S5, S6) is generally used to assess the ability to recognize spatial change and thus is commonly regarded as a spatial learning and memory task (Roullet and Lassalle, 1990; Thinus-Blanc *et al.*, 1996; Ricceri *et al.*, 1999). Increased exploration of the displaced, but not non-displaced objects by 6-OHDA-lesioned mice compared to vehicle-injected controls suggests that animals recognized the spatial change but nevertheless, showed an altered response compared to vehicle control mice. This behavior cannot be attributed to hyperemotionality to novelty, because response to the substituted object in S7 did not differ between 6-OHDA-lesioned and control mice. We suggest that increased exploration of displaced objects in 6-OHDA-treated mice may be the consequence of impaired motor inhibition following neonatal DA depletion (Sullivan and Brake, 2003) or, less likely, represent compromised working memory in the presence of intact reference and procedural memory. In other words, mice might be aware that their spatial environment has changed but do not recall how to respond to that change properly. Either interpretation would point at disrupted cortical regulation of cognitive function. Future behavioral studies will have to differentiate frontal versus parietal cortical involvement. Interestingly, our vehicle-injected control mice showed the opposite response to 6-OHDA-lesioned mice. Vehicle-injected mice reduced all exploration after object displacement. We have seen similar behavior in response to neonatal stress in another study in our lab (C.F. Hohmann, unpublished observations) and hypothesize that this behavior results from the stress associated with the surgical procedure. It is remarkable that although 6-OHDAdepleted mice have sustained the same surgical procedure, the neonatal cortical DA depletion has evidently reversed this effect.

 In addition to the behavioral changes observed in these animals, histological analysis revealed significant alterations in cortical width and laminar cytoarchitecture following 6-OHDA treatment that varied between cortical areas and layers. Lesion effects extended from the frontal cortex to posterior somatosensory cortex. While these results appear to run counter to the common perception that the DA innervation in rodents is restricted to frontal and limbic cortical structures, they are consistent with data from previous reports (Descarries *et al.*, 1987; Berger *et al.*, 1991) that provided evidence for heterogeneous cortical DA projections in the rodent brain. Specifically, these studies showed

DA innervation to parietal, temporal and occipital cortices, in addition to frontal cortical areas, with a rostrocaudal gradient of decreasing density from the prefrontal to occipital cortex (Descarries *et al.*, 1987; Berger *et al.*, 1991). It is to be noted that cytoarchitectonic changes caused by DA depletion were differentially expressed in frontal and parietal cortical areas, suggesting that these regions are affected in a manner that may reflect their functional differences. There were increases in the width of the frontal cortex but decreases in somatosensory cortical areas. Layers IV and VI involved in sensorimotor processing were most affected. Such layer specificity suggests that these effects result from cortical, but not striatal DA depletion. Layer IV is the recipient layer of primary sensory input from the thalamus, and layer VI predominantly provides feedback projections to the same thalamic nuclei. Layer V, involved with striatal and downstream motor projections, is not significantly altered in any cortical area we assessed. Our observations are entirely consistent with those of previous reports of decreases in cortical width, reductions in synapses, spines, and dendritic branching as a consequence of less selective DA depletion (Kalsbeek *et al.*, 1987; 1989b) as well as with recent study of selective DA depletions to fronto-limbic cortical areas (Sherren and Pappas, 2005). Thus, when taken together, accumulated evidence suggests that DA deafferentation in the developing cortex might impact neuronal differentiation and connectivity. This likely would occur through dysregulation of transcriptional programs that are important to the normal development of cortical cytoarchitecture.

 In order to test this idea, we opted to assay gene expression in corresponding regions of the cortex in our 6-OHDA-treated mice. We chose PND7 for this analysis since the first postnatal week is a highly dynamic period during which most of the mature cortical architecture and connectivity becomes specified (Berger-Sweeney and Hohmann, 1997; Dikranian *et al.*, 2001; Hohmann, 2003). We are able to show here that 6-OHDA lesions are selectively associated with significantly altered expression of genes implicated in neuronal differentiation and target finding along with changes in the transcription of genes involved in other cellular pathways. Biological process categories, identified statistically (EASE program), revealed a

significant overrepresentation of genes involved in the regulation of transcription, translation/protein synthesis and protein folding among down-regulated categories. This agrees with previous studies showing that afferents control cortical ontogeny via receptor-mediated post-synaptic activity able to regulate transcriptional programs which orchestrate protein synthesis, mitochondrial function and suppress apoptosis (Sherrard and Bower, 1998; Gu, 2002; Hohmann, 2003). Therefore, depletion of DA afferents appears to have resulted in significant down-regulation in transcription, translation and protein synthesis in cortical cells. The significant overrepresentation of genes involved in proteolysis among up-regulated categories may reflect the increased degradation of misfolded proteins and is consistent with the observed down-regulation of mRNAs involved in protein folding. Increase in transforming growth factor α , bone morphogenetic protein 8b and glia maturation factor β, transcripts that may be involved in injury response and glial activation, is consistent with the hypothesis of decreased trophic support from depleted DA afferents that may cause cellular damage (Sherrard and Bower, 1998). Our data are in agreement with results of previous studies that used microarray analyses after 6-OHDA-induced lesions showing reduction in the expression of genes involved in the regulation of transcription (Napolitano *et al.*, 2002) and increased expression of transcripts whose products play a role in cellular stress and unfolded protein response (Holtz and O'Malley, 2003).

 Several gene expression changes in the categories of differentiation/synaptogenesis, particularly in regards to cytoskeleton formation, growth cone guidance and apoptosis were further investigated by quantitative RT-PCR because of their significance to our morphologic observations. In what follows, we discuss the possible relevance of some of these changes to the neuropathological effects observed in the cortex of the neurotoxin-treated mice.

 Perhaps, the most intriguing finding was that DA depletion caused increase in the expression of Sema3A in the cortex at PND7. Sema3A belongs to a large semaphorin family whose members play a critical role in axonal and dendritic guidance in the developing nervous system (Goshima *et al.*, 2002; Kruger *et al.*, 2005). In the cortex, Sema3A provides repulsive signals to axonal growth cones (Goshima

et al., 2002) and induces rapid actin depolymerization followed by growth cone collapse (Fournier *et al.*, 2000). In addition, Sema3A accelerates endocytosis and promotes actin-cytoskeletal reorganization (Fournier *et al.*, 2000; Dent *et al.*, 2004). Thus, up-regulation of Sema3A after 6-OHDA treatment may serve to inhibit axonal growth and impair the ability to establish timely synaptic connections between neurons in the developing cortex. Because high concentrations of Sema3A can also cause severe axonal damage and neuronal apoptosis in the absence of other apoptotic triggers (Shirvan *et al.*, 1999), increase in Sema3A expression might be involved in neuronal loss following neonatal DA depletion. In agreement with the present findings, recent microarray study of developing striatum from rats treated with DA toxin methamphetamine *in utero* (Noailles *et al.*, 2003) found changes in the expression of genes that participate in neuronal migration and synaptic formation, including an increase in Sema3A levels. It is of interest that elevated Sema3A protein concentrations may contribute to the synaptic pathology in schizophrenia (Eastwood *et al.*, 2003).

 The argument that the observed transcriptional effects might underlie the changes in cortical width of 6-OHDA-treated mice is supported by the findings of up-regulation of the neural-specific, tubulin β5 isoform. Increased levels of β-tubulin are toxic to cells because high concentration of the protein interferes with normal microtubule assembly by forming abnormal β-tubulin-enriched tubulin heterodimers followed by rapid depolymerization of microtubules that affect cell viability (Burke *et al.*, 1989; Weinstein and Solomon, 1990). This idea is underlined by the observation that the increase in tubulin β5 expression is accompanied by downregulation of the chaperonin CCT subunits, CCTε and CCTζ, which are involved in the correct folding of tubulin and actin monomers and in the assembly of functional heterodimers (Valpuesta *et al.*, 2002). Thus, DA depletion-induced decreases in the expression of CCTε and CCTζ can cause the formation of misfolded tubulin followed by depolymerization of microtubules which are cytotoxic (Burke *et al.*, 1989; Weinstein and Solomon, 1990). This discussion is further supported by reports that mutations in CCT subunits can cause major cytoskeletal defects by failing to assemble

functional microtubules and normal actin structures (Chen *et al.*, 1994; Vinh and Drubin, 1994). Misfolded cytoskeletal actin may also result in decreased motility of dendritic and axonal extensions (Bradke and Dotti, 1999; Jan and Jan, 2003) which would negatively influence the formation of synaptic connections and cortical width. This argument is also relevant to the observations of 6-OHDA-induced increased expression of RhoD GTPase because members of Rho GTPases regulate actin cytoskeletal reorganization and play a role in microtubule orientation and stabilization (Govek *et al.*, 2005). The importance of actin and microtubule cytoskeleton in axonal and dendritic functions suggests that Rho GTPases are critical to their formation and maintenance (Govek *et al.*, 2005). Indeed, Rho GTPase activation prevents axon formation (Arakawa *et al.*, 2003), dramatically reduces dendritic growth (Li *et al.*, 2000; Pilpel and Segal, 2004) and causes decreases in the number of dendritic spines (Wong *et al.*, 2000). The observations of decreased dendritic length in the developing cortex after selective neonatal DA lesions (Sherren and Pappas, 2005) support the contention that the presently observed up-regulation of RhoD might be one of the pathogenic factors.

 Taken together, the changes in selected genes appear to reflect reduced synaptogenesis and defects in cytoskeleton formation in developing neurons in parallel with elevated apoptosis in the cortex. Thus, the DA-dependent transcripts identified here likely represent good candidates for factors that impact on cortical development. These alterations apparently precede measurable morphological abnormalities and behavioral impairments in adult performance.

 In summary, this paper has introduced a new model to test the hypothesis that DA serves as a morphogen in the ontogeny of fronto-parietal cortex. The histological changes obtained here are consistent with previous observations following neonatal 6-OHDA lesions. The present findings support the idea that DA afferents regulate gene expression programs that orchestrate morphogenesis and promote neuronal survival in the developing cortex. Indeed, dysregulation of these pathways in the cortex, following neonatal DA depletion, is consistent with both the morphological and behavioral observations in our and previous models (Kalsbeek *et al.*, 1989b; Sherren and Pappas, 2005). Our data suggest that impaired dendritic and axonal development, as consequence of disruptions of the cytoskeleton and growth cone guidance, might have led to changes in cortical architecture and compromised connectivity within the cortex as well as between cortical and subcortical regions with resulting behavioral abnormalities in rodents. Future studies will have to determine to what extent the affected genes are unique to the morphogenetic effects of DA or shared with other modulatory mechanisms. Finally, our results are of clinical relevance to the elucidation of molecular and cellular programs involved in neurodevelopmental disorders such as schizophrenia (Harrison and Weinberger, 2005), ADHD (Shastry, 2004) and Rett syndrome (Johnston *et al.*, 1995), all three of which appear to show dysregulations of cortical DA innervation.

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Supplementary Table I. Functional classification of transcripts whose expression was changed after 6-OHDA treatment

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 \boldsymbol{p} values

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Symbol

Control

 1.41 ± 0.21

 1.70 ± 0.41

 1.37 ± 0.20

 1.15 ± 0.11

 1.75 ± 0.44

 1.99 ± 0.57

 0.84 ± 0.12

 0.82 ± 0.12

 0.83 ± 0.11

 0.73 ± 0.14

 0.79 ± 0.14

 0.90 ± 0.13

0.041

0.046

0.049

0.027

0.019

0.039

6-OHDA

GenBank#

BG063606

BG077408

BG068173

BG065196

BG063883

C75970

phosphomannomutase 1

ribosomal protein L5

ribosomal protein L7

protein phosphatase 2a beta

protein tyrosine phosphatase 4a1

Gene Name

 $Pmm1$

PPP2Ab

PTP4A1

Rp15

Supplementary Table I. Functional classification of transcripts whose expression was changed after 6-OHDA treatment

Supplementary Table I. Functional classification of transcripts whose expression was changed after 6-OHDA treatment

GenBank#	Gene Name	Symbol	Control Means \pm SE	6-OHDA Means \pm SE	p values
		DNA damage / Stress response proteins			
Up-regulated					
BG071480	DNA polymerase epsilon subunit 2	Pole2	0.87 ± 0.06	1.46 ± 0.25	0.011
BG076183	Structural maintenance of chromosomes 1	SMC1	0.78 ± 0.10	1.54 ± 0.18	0.005
BG077269	Structural maintenance of chromosomes 4	SMC4	0.77 ± 0.16	2.48 ± 0.61	0.028
BG071892	RAD21 homolog	RAD21	0.83 ± 0.13	1.61 ± 0.27	0.021
BQ552179	REV3-like DNA polymerase zeta	Rev3l	0.83 ± 0.10	1.33 ± 0.16	0.025
BG085381	Degenerative spermatocyte homolog	Degs	0.83 ± 0.07	1.45 ± 0.23	0.011
BC030444	Glutathione S-transferase, mu 4	Gstm4	0.85 ± 0.12	1.77 ± 0.38	0.038
BG074109	Heat shock protein, 86 kDa 1	Hsp86-1	0.86 ± 0.07	1.56 ± 0.42	0.041
BG087426	Heat shock 70kD protein 8	Hspa8	0.87 ± 0.08	1.42 ± 0.17	0.019
BG072457	Myotrophin	Mtpn	0.89 ± 0.10	1.56 ± 0.23	0.019
Down-regulated					
BC034517	excision repair cross-complementing group 2	Ercc2	1.42 ± 0.20	0.69 ± 0.09	0.002
BG088755	DnaJ (Hsp40) homolog, subfamily A member 1	Dnajal	1.20 ± 0.10	0.78 ± 0.11	0.049
BG065483	DnaJ (Hsp40) homolog, subfamily B member 1	Dnajb1	1.63 ± 0.27	0.86 ± 0.14	0.031
		Unclassified/Other			
Up-regulated					
BG071424	integral membrane protein 2C	Itm2C	0.78 ± 0.12	1.28 ± 0.20	0.035
Down-regulated					
BG064201	Ewing sarcoma homolog	Ewsh	1.51 ± 0.31	0.76 ± 0.12	0.026
C86521	f-box only protein 32	Fbxo32	1.34 ± 0.17	0.77 ± 0.13	0.026
BQ551727	unc-84 homolog A	Unc84a	1.21 ± 0.15	0.60 ± 0.18	0.014

Supplementary Table I. Functional classification of transcripts whose expression was changed after 6-OHDA treatment