

## Review Article

# Molecular Action of the Estrogen Receptor and Hormone Dependency in Breast Cancer

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The measurement of estrogen receptor (ER)  $\alpha$  in breast cancer tissues is important to discriminate between the hormone dependent and independent tumors. Recently, a second ER, referred to as ER $\beta$ , has been identified. The DNA binding domain of ER $\beta$  is 96% conserved compared with ER $\alpha$ , and the ligand binding domain shows 53% conserved residues, suggesting that both receptors can bind estrogen responsive elements on target genes, and that they may also bind similar ligand. While both receptors bind to 17 $\beta$ -estradiol with equal affinity, other compounds bind with varying affinities to the two receptors. Since the function of ER $\beta$  in breast cancer progression is not well understood, further characterization of the function of ER $\beta$  and its isoforms in breast cancer is warranted. Various kinds of cofactors, such as steroid receptor coactivator-1 (SRC-1), transcription intermediary factor 2 (TIF2), and amplified in breast cancer 1 (AIB1), have also been reported. These coactivators interact with nuclear receptors in a ligand-dependent manner and enhance transcriptional activation by the receptor via histone acetylation/methylation and recruitment of additional coactivator, such as CREB binding protein (CBP)/p300.

Thus, action of estrogen is not as simple as thought previously, and is likely influenced by ER $\beta$ , its variants and interaction with cofactors. Improved understanding of the ER mechanism may follow from the discovery of these proteins, although their precise roles remain to be determined.

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Key words: Estrogen receptor  $\alpha/\beta$ , Coactivator, Corepressor

Human breast cancer is a hormone dependent tumor, and various endocrine treatments have been employed. The measurement of estrogen receptor (ER) in breast cancer tissues is important to discriminate between the hormone dependent and independent tumors to determine whether endocrine treatments should be administered. About 60% of ER-positive cases respond to such

therapies, but less than 10% of the cases without ER also respond<sup>1,2</sup>. Furthermore, ER-negative tumors are associated with early recurrence and poor patient survival, compared with ER-positive tumors.

ER belongs to a large superfamily of nuclear receptors that bind DNA at specific sites to control gene transcription<sup>3</sup>. The ER gene was cloned and sequenced in 1986 by Chambon's group<sup>4</sup>. When estrogen binds to the receptor, the receptor undergoes a conformational change and dimers of receptors recognize specific regulatory DNA sequences upstream of the target genes. The activated receptor, through interactions with coactivator proteins, directs the assembly and stabilization of a preinitiation complex that ultimately conducts the transcription of the target genes<sup>5</sup>. Recently, a second ER, referred to as ER $\beta$ , has been identified in the rat<sup>6</sup>, mouse<sup>7</sup>, and human<sup>8</sup>. The first identified ER has been renamed ER $\alpha$ . The ER $\beta$  protein is highly homologous to ER $\alpha$  and specifically binds estrogens with high affinity and, therefore, must influence ER $\alpha$  function. While ER $\alpha$  is

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#### Abbreviations:

ER, Estrogen receptor; PgR, Progesterone receptor; AF-1/-2, Activation function-1/-2 domain; LBD, Ligand binding domain; ERE, Estrogen responsive element; TBP, TATA binding protein; HAT, Histone acetyltransferase; DRIP, Vitamin D receptor interacting proteins; CBP, CREB binding protein; SRC, Steroid receptor coactivator; TIF2, Transcription intermediary factor 2; GRIP1, GR-interacting protein 1; ACTR, hRAR $\beta$ -stimulatory protein; P/CIP, CBP-interacting protein; RAC3, RAR-interacting protein; AIB1, Amplified in breast cancer; SMRT, Silencing mediator for retinoid and thyroid-hormone receptors; NcoR, Nuclear receptor corepressor; HDAC, Histone deacetylase; SERM, Selective estrogen receptor modulator

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mainly found in estrogen target tissues (uterus, vagina, mammary glands), ER $\beta$  has been detected in a large variety of tissues<sup>9</sup>.

Nuclear receptor regulation of gene expression involves not only ligand and DNA binding, but also interactions with basal and general transcription factors. A number of cofactors have recently been identified that interact with the ER, and appear to act as bridging factors with the general transcriptional machinery. These proteins are called coactivators if they enhance, and corepressors if they inhibit, the transcriptional activity of nuclear receptors.

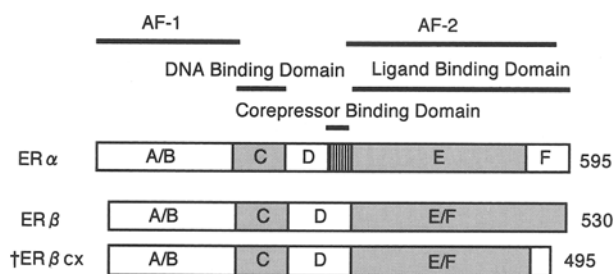
Understanding these molecular mechanisms of estrogen action is quite important for physicians to choose appropriate therapy for patients with breast cancer. In this review, the mechanism of estrogen action is discussed in terms of the transcriptional function of ERs and coactivators/corepressors.

### Structure and Domain Function of Estrogen Receptor

#### 1) Structure of Estrogen Receptor $\alpha/\beta$

The ER $\alpha$  gene is located on chromosome 6q25.1<sup>10</sup>. The ER $\alpha$  protein is a 66 kDa nuclear protein and a member of the steroid hormone receptor superfamily. Its total size including introns is over 140 kb and consists of 8 exons, and its cDNA defines a sequence of 6,322 nucleotides and includes a 1,785 nucleotide coding region which is flanked by untranslated sequences of 232 nucleotides and 4,305 nucleotides at its 5' and 3' ends, respectively<sup>11,12</sup> (Fig 1).

Ten years after the discovery of ER $\alpha$  the existence of a second ER, ER $\beta$ , was reported<sup>6,8</sup>. That ER $\alpha$  and ER $\beta$  are true ER subtypes rather than isoforms emanating from one and the same gene through differential splicing was confirmed by their unique chromosomal locations, with the human ER $\alpha$  gene on chromosome 6q25.1 and the human ER $\beta$  gene on 14q22-q24<sup>9</sup>. Since 1996 ER $\beta$  from various species or differently sized ER $\beta$  isoforms have been reported. N-terminally extended ER $\beta$  isoforms, an inframe ligand-binding domain exon insertion, and splice variants at the extreme C-terminus with an exchange of the last exon for previously unknown 3' exons have been identified<sup>13-16</sup> (Fig 1). Various alternatively spliced forms have also been described for ER $\alpha$ <sup>17-19</sup>. Whether all isoforms or differentially spliced versions of ER $\alpha$  and ER $\beta$ , respectively, exist as proteins or whether



**Fig 1.** Schematic representation of ER  $\alpha/\beta$ : The structural domains of ER (A-F) are shown with amino acid members below the figure corresponding to domain boundaries. The functional domains of the receptor are indicated in the text. ER $\beta$  shows high homology with the DNA binding domain (DBD) (96%) and ligand binding domain (LBD) (58%) of ER $\alpha$ .

they have any significant biological and physiological role warrants further investigation.

Additionally, ERs are subjected to phosphorylation, glycosylation, ubiquitination and other post-transcriptional conformational changes giving rise to a very high degree of molecular heterogeneity. This heterogeneity is not a biochemical artifact<sup>20</sup> and should be investigated further.

#### 2) Domain Function of Estrogen Receptor

All nuclear receptors contain various domains with specific functional properties<sup>3</sup>. The N-terminal A/B domain encodes a ligand-independent activation function (AF-1), a site involved in coactivator binding and transcriptional activation of target genes. The C-domain contains two zinc fingers, which play an important role in DNA sequence-specific bindings and receptor dimerization. The D-domain is a hinge region, which separates these ABC domains from the ligand binding domain (LBD), and contains a nuclear localization signal. The hormone binding domain (HBD) is also involved in receptor dimerization, nuclear translocation and transactivation of target gene expression; another activation function (AF-2) localized within this domain has a ligand-dependent transcription activation function. The C-terminal part of the receptor (end of domain E and F) is involved in ER proteolysis and regulation of transcriptional activity<sup>20,21</sup> (Fig 1).

The estrogen-induced conformational change of ER $\alpha$  allows for stable association with EREs located in the promoter regions of target genes. Coactivators, through their ability to associate with AF-1/-2 sites of the receptors, participate in the transcription process<sup>22,23</sup>. ERs also allow the expression of genes regulated by other response elements to which ERs do not bind directly, such

Table 1. Representative Reports Concerning Estrogen Receptor  $\beta$  Expression in Breast Cancer

Author	Methods	ER $\beta$ type	Characteristics
2002 Tong	RT-PCR	$\beta$ 1,2,5	no association with invasiveness in breast cancer
Omoto	RT-PCR, IHC	$\beta$ 1,2,5	$\beta$ 1 mRNA; normal > cancer, $\beta$ 2 mRNA; cancer > normal, $\beta$ 1 positive; good prognosis, correlation with ER $\alpha$
2001 Saunders	RT-PCR, IHC, Western blot	$\beta$ long (59 kd) short (53 kd)	94% (48/51) positive, no association with clinicopathologic factors
Lazennec	RT-PCR, Western blot		the loss of ER $\beta$ expression could be one of the events leading to the development of breast cancer
Miyoshi	IHC	total $\beta$	24% positive, no association with ER $\alpha$ nor PgR
Skloris	IHC	$\beta$ -14C8	74% (48/65) positive, association with ER $\alpha$ , PgR and well-differentiated tumors
Mann	IHC, Western blot	$\beta$ (1-14 a.a.)	17% (8/47) positive, no association with prognosis, significant predictor of response to anti-hormonal treatment
Roger	IHC	total $\beta$	positive staining; normal > cancer, inversely correlated with Ki-67 in high-grade ductal carcinoma <i>in situ</i>
2000 Jarvinen	mRNA ISH, IHC	$\beta$ (467-485)	ER $\beta$ associated with negative axillary node status, low grade, low S-phase fraction, and premenopausal status
Iwao	real-time RT-PCR	$\beta$ 1,2,5	mRNA expression; normal > cancer, ER $\beta$ mRNA levels and proportions of variants; no correlation with any clinicopathologic factors
1999 Speirs	RT-PCR, IHC	$\beta$ (1-19 a.a.)	91% (34/37) positive, no association ER $\alpha$ expression
Fuqua	Western blot	$\beta$ (1-18 a.a.)	positive almost breast cancer cell line
Leygue	RT-PCR	$\beta$ 1,2,5	ER $\beta$ 2/ $\beta$ 1 ratio, ER $\beta$ 5/ $\beta$ 1 ratio; correlated with tumor inflammation and tumor grade

as the AP-1 site that binds the Jun/Fos transcription factors<sup>24</sup>. Assessment of the mechanisms by which ERs increase the activity of Jun/Fos revealed two pathways depending on ER type and ligand; an AF-mediated mechanism for ER $\alpha$  exposed to estrogens and selective estrogen receptor modulators (SERMs), and an AF-independent mechanism for ER $\beta$  in the presence of antiestrogens only.

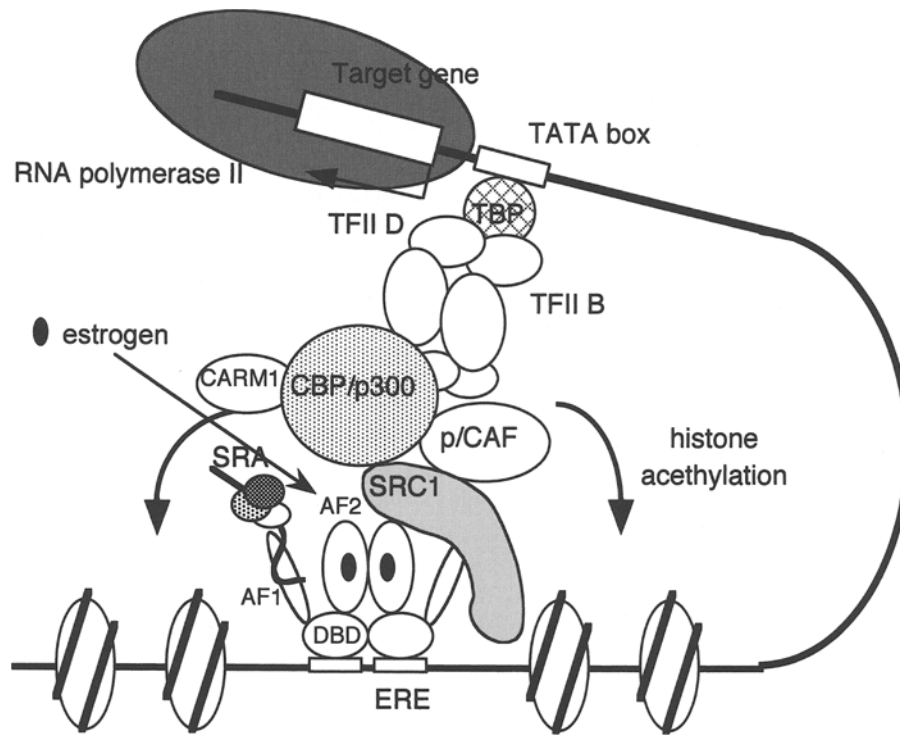
### 3) Clinical Value of Estrogen Receptor $\beta$

ER $\beta$  shows high homology with the DNA binding domain (DBD) (96%) and ligand binding domain (LBD) (58%) of ER $\alpha$ <sup>25</sup>, and specifically binds estrogen with high affinity (Kd < 0.5 nM)<sup>14,26,27</sup>. The differences in activation of the AP-1 site between ER $\alpha$  and ER $\beta$  have been reported using truncated ER $\beta$  *in vitro*<sup>28</sup>. Many groups have assessed the expression of ER $\beta$  in human breast cancer using reverse transcription-polymerase chain reaction (RT-PCR)<sup>15, 29-32</sup> and immunohistochemistry (IHC)<sup>33-37</sup>. These reports are summarized in Table 1.

Many functions have been suggested for ER $\beta$  in the breast<sup>38-40</sup>, but its role is not fully understood. One group suggested that it contributes to the initiation and progression of chemical carcinogen-induced neoplastic transformation in breast

because expression was induced in chemical carcinogen-transformed human breast epithelial cells<sup>41</sup>. Other groups have shown the expression mRNAs of both ERs in normal and malignant human breast tissue by RT-PCR<sup>15,40,42</sup>. Leygue *et al.* demonstrated that those tumors that co-expressed ER $\alpha$  and ER $\beta$  were node-positive and tended to be of higher grade<sup>15</sup>. Another study<sup>43</sup> found that ER $\beta$  was often co-expressed with ER $\alpha$  and progesterone receptor in breast cancer and that ER $\beta$  was significantly associated with negative axillary node status and low tumor grade, while a third study<sup>44</sup> found that expression of ER $\beta$  in more than 10% of cancer cells was associated with better survival. In one RT-PCR study there was increased expression of ER $\beta$  mRNA in tamoxifen-resistant breast cancer patients<sup>40</sup> and in another study<sup>35</sup> there was decreased expression of ER $\beta$  protein in proliferative preinvasive breast tumors. In our experience the wild-type of ER $\beta$  (ER $\beta$ 1) was expressed in the patients with a good prognosis and variant ER $\beta$  (ER $\beta$ cx,  $\beta$ 5) was more frequently seen in cancer tissue than in normal tissues<sup>45</sup>.

Because of technical difficulties with antibodies and the need for fresh or frozen samples, there have been very few studies in which ERs were measured by Western blotting<sup>46</sup>. From all of the



**Fig 2.** Models for the mechanism of action of estrogens: The estrogen/ER complex activates transcription by utilizing its DBD to bind to specific EREs and its activation functions to recruit coactivators. AF-2 contacts NR boxes (LXXLL) within the p160 molecule and AF-1 binds the p160 C-terminus and CBP/p300. The coactivator complex then enhances transcription by remodeling chromatin and direct interaction with components of the RNA polymerase II transcription complex and basal transcription factors.

data published so far, it is not yet clear how ER $\beta$  can be used as a routine prognostic indicator either independently or along with ER $\alpha$ <sup>45,47</sup>.

Regarding the use of ER $\beta$  as a potential novel target in the treatment of breast cancer, it is currently unclear whether agonists or antagonists will be useful. The potential role of ER $\beta$  splice variants to act as natural antiestrogens and repress ER $\alpha$  function needs further study and evaluation, as this could affect the way we currently interpret ER $\alpha$  immunostaining to guide treatment with antiestrogens<sup>48</sup>.

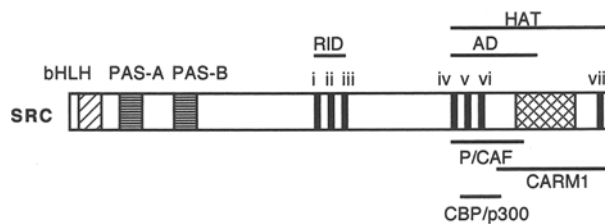
### **Estrogen Receptor Regulation of Transcriptional Activation**

Transcription by RNA polymerase II requires the assembly of basal and general transcription factors. In addition to interacting with each other, these proteins directly contact nuclear receptors<sup>49</sup>; for ER these include transcriptional factor IID (TFIID) and TFII B. One of the first events in the assembly of the basal transcription complex

involves the binding of TFIID, which is composed of the TATA box-binding protein (TBP) and TBP-associated factors (TAFIIs), to a sequence within the TATA box<sup>50</sup>. Following binding of TFIID to the TATA box, binding of TFII B, RNA polymerase II, and TFII F are required for the assembly of the minimal transcription initiation complex<sup>51</sup>. TFII B makes contact with DNA sequences both upstream and downstream of the TATA box, and interacts with TBP, TFII F, and RNA polymerase II<sup>52</sup>, in addition to interacting with ER. Although TFII B interacts with the ER AF-2 domain, contacts formed with other nuclear receptors involve other domains (Fig 2). The significance of these events remains to be established, but they may be important for regulating nuclear receptor function.

#### **1) Coactivators**

Factors that enhance and repress receptor activity directly, namely coactivators and corepressors, now are considered to be important for mediating steroid receptor transcriptional activity<sup>53, 54</sup>. These coactivators interact with nuclear receptors



**Fig 3.** p160 structure; The amino-terminal region of the SRC-1 family of proteins contains a PAS-A/helix-loop-helix (HLH) domain which is implicated in dimerization and DNA binding. The centrally located receptor-interacting (RID) and activation (AD) domains each contain three leucine-X-X-leucine-leucine (LXXLL) motifs that bind to nuclear receptor AF-2 functions. AD is a strong activation function that colocalizes with the region of CBP/p300 binding. The C-terminus is complex and contains a glutamine rich region and second activation function. This region binds ER $\alpha$  AF-1 and also contains HAT activity, a P/CAF site and a CARM1 binding site.

in a ligand-dependent manner and enhance transcriptional activation by the receptor via histone acetylation/methylation and recruitment of additional cofactors such as CBP/p300<sup>55</sup>.

Several coactivators have been identified as a family of related proteins, which consist of steroid receptor coactivator (SRC)-1 (also termed p160/NcoA-1/ERAP-160), TIF-2 (also termed SRC-2/GRIP-1), and AIB1 (also termed SRC-3/ACTR/RAC-3/TRAM-1). The amino-terminal region of the SRC-1 family of proteins contains a PAS-A/helix-loop-helix (HLH) domain, which is a dimerization interface that has been identified in several other nuclear proteins. SRC-1, isolated in a yeast two-hybrid screen, stimulates the transcriptional activation of a number of nuclear receptors, including ER, in transient transfection analyses<sup>56</sup>. TIF-2 was isolated by screening an expression library with ligand-bound ER<sup>57</sup>. Members of the SRC family of coactivators share a common domain structure, with the highly conserved region being the N-terminal  $\beta$ HLH-PAS domain<sup>58</sup>. The  $\beta$ HLH region functions as a DNA-binding or dimerization surface in many transcriptional factors. The centrally located receptor-interacting (RID) and activation (AD) domains each contain three leucine-X-X-leucine-leucine (LXXLL) motifs (Fig 3). The C-terminus contains a glutamine-rich domain as well as a histone acetyltransferase (HAT) domain. Thus, the degree of recruitment of coactivator proteins seems to be a good indicator of the level of transcriptional activity, and is also thought to reflect the conformational change of ER induced by estrogens.

AIB1 is a member of the SRC-1 family of nuclear

receptor coactivators, overexpressed in breast and ovarian cancer cell lines as well as in breast cancer biopsies. AIB1 interacts with ERs in a ligand-dependent fashion, and enhances estrogen-dependent transcription. It is suggested that altered AIB1 expression might contribute to the development of steroid-dependent cancers<sup>59</sup>. On the contrary, other investigator reported that overexpression of AIB1 mRNA in breast cancers was correlated with the absence of ER and PgR and positivity for p53 and HER2/*neu*<sup>60</sup>. Although these results are controversial, AIB1 expression likely plays an important role in estrogen action. Our group reported that AIB1 nuclear expression correlated with ER $\alpha$  status, and patients with AIB1 nuclear expression tended to be successfully treated by hormonal therapy<sup>61</sup>. Thus, AIB1 nuclear expression may be a predictive marker of the effectiveness of hormonal treatment for ER $\alpha$ -positive breast cancer.

## 2) Corepressors

There have been few corepressors reported until now. One corepressor, the silencing mediator of retinoic acid and thyroid hormone receptors (SMRT), was isolated and appeared to interact specifically with unliganded nuclear receptors. Recently, nuclear corepressor (N-CoR) was also isolated<sup>62</sup>, but in a slightly different manner, using antiprogesterin-occupied PgR hinge/LBD as the "bait" in two hybrid studies. To analyze the effect of corepressors on the activity of mixed antagonists, Jackson *et al.*<sup>62</sup> transfected SMRT with either PgR or ER, and found that both corepressors suppress the agonist activity of antagonists, but that coexpression of the PgR hinge and LBD relieves this suppression. These corepressors have disparate effects on agonist-dependent transcription.

Recent data indicates that, similar to coactivators, corepressors are components of multiprotein complexes. Furthermore, these complexes appear to repress transcription through histone deacetylation. It seems that the unoccupied receptor may interact with a corepressor complex. The ligand may result in the dissociation of the corepressor complex, which could then be replaced by a coactivator complex<sup>63</sup>. However, this model does not predict what the role of ligand antagonists might be, or whether all receptors, such as the ER, are involved in the same type of interactions.

## 3) Interaction of Cofactors with ER-Transcription

Coactivators and corepressors are found in the same cells and are involved in the regulation of

the transcriptional response to antagonists as well as agonists. It has not been determined whether mixed antagonists will have agonist or antagonist activity. Because ER $\alpha/\beta$  bind to endogenous estrogen with apparently equal affinity, their ability to activate genes differently based on promoter context and/or cell-type context might be mediated by their ability to assume different conformations upon binding to the same and/or different ligands, thereby attracting different cofactor proteins and resulting in distinct biological activities.

Tamoxifen acts like estrogen in some target tissues but like anti-estrogen in others. Estrogen-like agonist activity in bone was seen to occur simultaneously with estrogen antagonist activity in breast. An unwanted effect of tamoxifen is its estrogen-like action on the endometrium. Raloxifene has estrogen-like actions on bone, lipids and the coagulation system, and estrogen antagonist effects on the breast and uterus<sup>64</sup>. These compounds are called as selective estrogen receptor modulators (SERMs). Smith *et al.*<sup>65</sup> emphasized that the relative expression of coactivators and corepressors can modulate SERMs' regulation of ER transcriptional activity. It is suggested that they could contribute to the tissue-specific ability of mixed antiestrogens to activate or inhibit ER-mediated gene expression.

### Conclusion

Estrogen action is not as simple as thought previously, and is likely to be influenced by the existence of ER $\beta$ , its variants and interaction with cofactors. Improved understanding of ER may follow from the discovery of these new proteins, although precise mechanisms remain to be determined.

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