

# Phytoplankton Assemblage of Yangtze River Estuary and the Adjacent East China Sea in Summer, 2004

LUAN Qingshan<sup>1),2)</sup>, SUN Jun<sup>2),\*</sup>, SHEN Zhiliang<sup>2)</sup>, SONG Shuqun<sup>2)</sup>, and WANG Min<sup>1)</sup>

1) College of Marine Life Science, Ocean University of China, Qingdao 266003, P. R. China

2) Key Laboratory of Marine Ecology & Environmental Science, Institute of Oceanology,

Chinese Academy of Sciences, Qingdao 266071, P. R. China

(Received July 21, 2005; accepted January 25, 2006)

**Abstract** A cruise was conducted from late August to early September 2004 with the intention of obtaining an interdisciplinary understanding of the Yangtze River Estuary including the biological, chemical and physical subjects. Water sample analysis indicated that total phytoplankton species richness was 137. Of them 81 were found in Bacillariophyta and 48 in Pyrrophyta, accounting for 59.1% and 35.0% respectively. The average cell abundance of surface water samples was  $8.8 \times 10^4$  cells  $L^{-1}$ , with the maximum,  $102.9 \times 10^4$  cells  $L^{-1}$ , encountered in the area ( $31.75^\circ N$ ,  $122.33^\circ E$ ) and the minimum,  $0.2 \times 10^4$  cells  $L^{-1}$ , in ( $30.75^\circ N$ ,  $122.17^\circ E$ ). The dominant species at most stations were *Skeletonema costatum* and *Proboscia alata* f. *gracillima* with the dominance of 0.35 and 0.27. Vertical distribution analysis indicated that obvious stratification of cell abundance and dominant species was found in the representative stations of 5, 18 and 33. Shannon-Wiener index and evenness of phytoplankton assemblage presented negative correlation with the cell abundance, with the optimum appearing in ( $30.75^\circ N$ ,  $122.67^\circ E$ ). According to the PCA analysis of the environmental variables, elevated nutrients of nitrate, silicate and phosphate through river discharge were mainly responsible for the phytoplankton bloom in this area.

**Key words** Yangtze River Estuary; phytoplankton; nutrients; eutrophication; *Skeletonema costatum*; *Proboscia alata* f. *gracillima*

## 1 Introduction

Phytoplankton play an important role in primary production through the formation of chlorophyll *a* in the ocean using the solar energy. They are important biological mediators of carbon turnover in pelagic ecosystems. Through photosynthesis, they transform inorganic carbon into particulate and dissolving organic compounds that in turn are either metabolised in seawater column or precipitated by sinking (particulate) or participating in water movement (both dissolving and particulate). Thus, their activity sets the upper limit of carbon entry into food web.

The Yangtze River Estuary (YRE) is a mesotidal coastal estuary bordering the highly urbanized and industrialized city Shanghai, China. The Yangtze River basin encompasses a surface acreage of  $1.8 \times 10^6$  km<sup>2</sup>, and its freshwater discharged into the East China Sea (ECS) reaches  $9.32 \times 10^{11}$  m<sup>3</sup>, with  $4.68 \times 10^8$  t sediment,  $6.3 \times 10^6$  t nitrate,  $0.13 \times 10^6$  t phosphate, and  $20.4 \times 10^6$  t dissolved silica each year (Chen *et al.*, 1989; Shen *et al.*, 1992). Five water masses influence the characteristics of phytoplankton community

structure, according to the salinities and geographical distributions of the survey area. They are Yangtze River fresh water with a salinity no higher than 5, Yangtze River diluted water with the salinity between 5–31, underlayer water of the Yellow Sea with a salinity lower than 31.8, Taiwan Warm Current and mixed water of the Yellow Sea and ECS with a salinity higher than 32. The Yangtze River diluted water extends toward the south along the coast during winter, and the northeast during summer. The strength and area of the latter are obviously larger than those of the former, and the latter constitutes the main Yang-tze River plume (Ning *et al.*, 1988; Zhu *et al.*, 2005).

A sharp salinity gradient in the YRE results in highly diversified composition of phytoplankton species compared with that in other seas. Although phytoplankton assemblage is determined by many factors (biological, chemical and hydrological variables), enriched nutrients in YRE are presumably the main reasons for the increase of phytoplankton biomass (derived from chlorophyll *a*) in the estuary. The fluctuation of inorganic nutrient content has the potential of forming the nitrate or phosphate limitation of the phytoplankton growth. Nutrients decrease in the YRE with the distance from the river mouth. Irradiance becomes the primary limiting factor in the mouth area

\* Corresponding author. Tel: 0086-532-82898647  
E-mail: sunjun@ms.qdio.ac.cn

because of the very rich suspending solids. Co-limitation of phosphate and nitrate plays an important role in the middle of the dilution zone, while in the offshore nitrate is the main limiting factor (Pu *et al.*, 2000, 2001; Zhao *et al.*, 2004).

The purpose of this study is to describe the characteristics of phytoplankton community structure caused by species composition, phytoplankton diversity index and spatial distribution of cell abundance, and to clarify the relationship between the nutrient pulsing of YRE and the phytoplankton biomass, in order to identify the principal environmental factors influencing the phytoplankton growth and production that have been scarcely studied previously.

## 2 Materials and Methods

### 2.1 Study Area

A multidisciplinary investigation on the marine ecosystem of YRE and the adjacent ECS was carried out from August 28 to September 6, 2004. The area and the sampling stations are shown in Fig.1. This area is located between 30.5°–32.5°N and 121.0°–123.5°E. Regions I, II and III are estuary fresh water, diluted water and high salty pelagic water respectively.

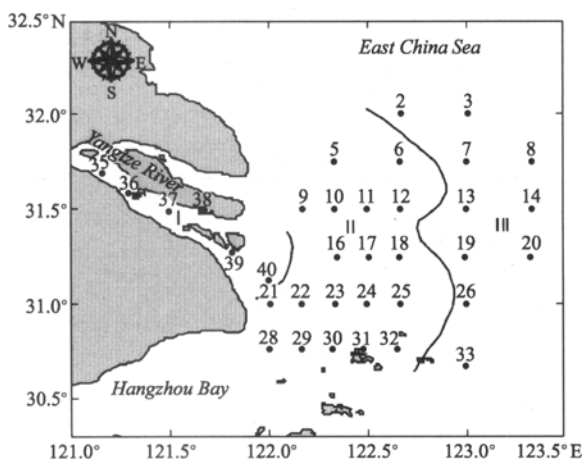


Fig.1 The phytoplankton sampling stations in YRE and ECS, China.

### 2.2 Phytoplankton Samples

Phytoplankton water samples were collected using 12 L Niskin bottles at the depths of 0 m, 5 m, 10 m, 20 m, 30 m and sea floor. The samples were fixed with buffered formaldehyde at a final concentration of about 1.0% in 250 mL PE bottles. Cell enumeration and species identification were performed by using an inverted microscope (American Optical Ltd.) at  $\times 100$ –400 magnification after sedimentation for 24 h in 25 mL Utermöhl chambers (Utermöhl, 1958). Phytoplankton were identified to the lowest taxon (genus or

species) as possible as we can and accordingly counted (Tomas, 1997; Yamaji, 1991). The error sources of Utermöhl method were corrected by the mean square estimate calculation with finite population corrections using the following equation (Sun *et al.*, 2002a)

$$MS_1 = \bar{x}_s(v_s/v_4)[(v_3 - n_4 \cdot v_4)/v_3] + n_4 \cdot \bar{x}_s(v_s/v_3)[(v_2 - n_3 \cdot v_3)/v_2] + n_3 \cdot n_4 \cdot \bar{x}_s(v_s/v_2)[(v_2 - v_3)/v_2] + n_2 \cdot n_3 \cdot n_4 \cdot \bar{x}_s(v_s/v_1)[(v_1 - v_2)/v_1],$$

where  $MS_1$  is the mean square at level one;  $n_1$ ,  $n_2$ ,  $n_3$  and  $n_4$  are the number of primary samples, the number of secondary subsamples per primary sample, the number of tertiary subsamples per secondary subsample, and the number of quartus subsamples per tertiary subsample;  $v_1$ ,  $v_2$ ,  $v_3$  and  $v_4$  are volumes of the primary sample, secondary subsample, tertiary subsample, and quartus subsample;  $\bar{x}_s$  is sample mean; and  $v_s$  is standard volume ( $\text{cells L}^{-1}$ ).

### 2.3 Community Structure Analysis

Phytoplankton diversity was calculated using a bio-related version of Shannon-Wiener index (Shannon and Wiener, 1949) as follows:

$$H' = - \sum_{i=1}^S P_i \log_2 P_i,$$

where  $P_i$  is relative species biomass and  $i$  and  $S$  are the number of species. Evenness was calculated using  $H'$  (Pielou, 1969) as follows:

$$J = \frac{H'}{\log_2 S},$$

where  $H'$  is the Shannon-Wiener index in a sample and  $S$  is the number of species in a sample. Phytoplankton dominance in this area is calculated using the following formula:

$$Y = \frac{n_i}{N} f_i,$$

where  $n_i$  is the number of the species individuals,  $f_i$  is the frequency of species occurring in a sample and  $N$  is the total number of individuals.

## 3 Results

### 3.1 Hydrology of Study Area

Hydrological characteristics of YRE investigated during the cruise included temperature, salinity, dissolved oxygen (DO), pH and nutrient salts. Surface temperature and salinity distribution were shown in Fig.2. The salinity of YRE was strongly influenced by various water masses, ranging from 7.36 to 24.20 in the diluted area (II) and from 24.61 to 34.35 in the

eastern pelagic area (III). However, changes of the temperature, pH and DO in surface water were not sharp, *i. e.*,  $26.70\text{ }^{\circ}\text{C} \pm 0.83\text{ }^{\circ}\text{C}$ ,  $8.05\text{ mg L}^{-1} \pm 0.10\text{ mg L}^{-1}$  and  $6.74\text{ mg L}^{-1} \pm 0.89\text{ mg L}^{-1}$  respectively on average.  $\text{NO}_3^-$  concentration was unevenly distributed, with the highest  $77.3 - 82.9\text{ }\mu\text{mol L}^{-1}$  appearing in

the inner part of the river mouth. It ranged from  $15.6$  to  $74.2\text{ }\mu\text{mol L}^{-1}$  in the diluted area and was very low in the offshore area. The average  $\text{PO}_4^{3-}$  concentration was  $0.80\text{ }\mu\text{mol L}^{-1} \pm 0.44\text{ }\mu\text{mol L}^{-1}$ , with the maximum  $1.9\text{ }\mu\text{mol L}^{-1}$  found at Station 40 and the minimum  $0.21\text{ }\mu\text{mol L}^{-1}$  at Station 37.

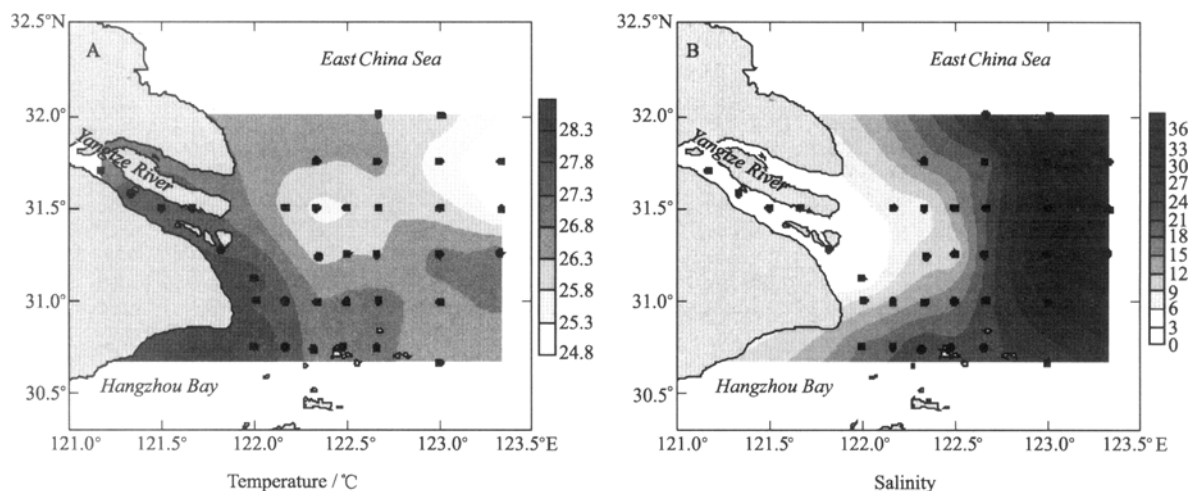


Fig.2 Surface temperature and salinity distributions of study area.

### 3.2 Species Composition

The composition of the phytoplankton community at the sampling stations was typical coastal regime. Phytoplankton assemblage was mainly dominated by

Bacillariophyta. Pyrrophyta, Chlorophyta, Chryso-phyta and Cyanophyta were much less. In the 130 phytoplankton water samples, 137 known species and 15 unknown species were identified. Of them 81 were found in Bacillariophyta, and 48 in Pyrrophyta, accounting for 59.1% and 35.0% (Table 1).

Table 1 Species composition of phytoplankton assemblage in the YRE and adjacent ECS†

Taxa	Taxa
<b>Bacillariophyta</b>	<i>Coscinodiscus jonesianus</i> Ostenfeld
<i>Actinocyclus crassus</i> v. Heurck	<i>Coscinodiscus marginatus</i> Ehrenberg
<i>Actinocyclus octonarius</i> Ehrenberg	<i>Coscinodiscus oculatus</i> (Fauv) Petit
<i>Actinopterychus</i> sp.	<i>Coscinodiscus oculus-iridis</i> Ehrenberg
<i>Actinopterychus senarius</i> (Ehrenberg) Ehrenberg	<i>Coscinodiscus radiatus</i> Ehrenberg
<i>Actinopterychus splendens</i> (Shadbolt) Ralfs	<i>Coscinodiscus walesii</i> Gran et Angst
<i>Actinopterychus trilingulatus</i> Brightwell	<i>Coscinodiscus</i> spp.
<i>Asteromphalus elegans</i> Greville	<i>Cyclotella comta</i> (Ehrenberg) Kützing
<i>Asteromphalus flabellatus</i> Greville	<i>Cymbella</i> sp.
<i>Asteroplanus karianus</i> (Grunow) Gardner et Crawford	<i>Dactyliosolen fragilissimus</i> (Bergon) Hasle
<i>Bacteriastrum hyalinum</i> Lauder	<i>Diploneis bombus</i> Ehrenberg
<i>Biddulphia granulata</i> Roper	<i>Diploneis crabro</i> Ehrenberg
<i>Bleakeleya notata</i> (Grunow) Round	<i>Diploneis smithii</i> (Brébisson) Cleve
<i>Cerataulina pelagica</i> (Cleve) Hendey	<i>Ditylum brightwellii</i> (West) Grunow
<i>Chaetoceros affinis</i> Lauder	<i>Ditylum sol</i> Grunow
<i>Chaetoceros atlanticus</i> var. <i>skeleton</i> (Schütt) Hustedt	<i>Entomoneis alata</i> Ehrenberg
<i>Chaetoceros curvisetus</i> Cleve	<i>Fragilaria</i> sp.
<i>Chaetoceros debilis</i> Cleve	<i>Fragilaria capucina</i> (Desm.)
<i>Chaetoceros didymus</i> Ehrenberg	<i>Guinardia flaccida</i> (Castracane) Pérageallo
<i>Chaetoceros distans</i> Cleve	<i>Guinardia striata</i> (Stolterfoth) Hasle
<i>Chaetoceros eibonii</i> Grunow	<i>Helicotheca tamesis</i> (Shrubsole) Ricard
<i>Chaetoceros lacinosus</i> Schütt	<i>Hemiaulax hauckii</i> Grunow
<i>Chaetoceros laevis</i> Leuduger-Fortmorel	<i>Hemiaulus membranaceus</i> Cleve
<i>Chaetoceros lorenzianus</i> Grunow	<i>Hemiaulus sinensis</i> Greville
<i>Chaetoceros vanheurcki</i> Gran	<i>Lauderia annulata</i> Cleve
<i>Chaetoceros</i> spp.	<i>Leptocylindrus danicus</i> Cleve
<i>Corethron hystrix</i> Hensen	<i>Leptocylindrus mediterraneus</i> (Perageallo) Hasle
<i>Coscinodiscus argus</i> Ehrenberg	<i>Leptocylindrus minimus</i> Gran
<i>Coscinodiscus curvatulus</i> Grunow	<i>Licmophora abbreviata</i> Agardh
<i>Coscinodiscus excentricus</i> Ehrenberg	<i>Melosira granulata</i> (Ehrenberg) Ralfs

(Continued on p. 126)

Table 1 (Continued)

<i>Meuniera membranacea</i> (Cleve) Silva	<i>Gymnodinium viridescens</i> Kofoid
<i>Navicula placentula</i> (Ehrenberg) Grunow	<i>Gymnodinium</i> sp.
<i>Navicula rhynchocephala</i> Kützing	<i>Gyrodinium spirale</i> Bergh
<i>Navicula</i> sp.	<i>Heterocapsa triqueta</i> Stein
<i>Pseudo-nitzschia</i> sp.	<i>Noctiluca scintillans</i> Surirey
<i>Odontella longicruris</i> (Greville) Hoban	<i>Oxytoxum milneri</i> Murray Whitting
<i>Odontella mobiliensis</i> (Bailey) Grunow	<i>Oxytoxum scolopax</i> Stein
<i>Odontella regia</i> (Schultze) Simonsen	<i>Prorocentrum</i> sp.
<i>Odontella sinensis</i> (Greville) Grunow	<i>Prorocentrum dentatum</i> Stein
<i>Paralia sulcata</i> (Ehrenberg) Cleve	<i>Prorocentrum gracile</i> Schütt
<i>Planktoniella blanda</i> (Schmidt) Syvertsen & Hasle	<i>Prorocentrum micans</i> Ehrenberg
<i>Pleurosigma affine</i> Grunow	<i>Prorocentrum minimum</i> (Pavillard) Schiller
<i>Pleurosigma pelagicum</i> Perag	<i>Prorocentrum sigmoides</i> Böhm
<i>Proboscia alata</i> f. <i>gracillima</i> Cleve	<i>Prorocentrum triestinum</i> Schiller
<i>Proboscia alata</i> f. <i>indica</i> (Péragallo) Ostenfeld	<i>Protoperidinium bipes</i> (Paulsen) Balech
<i>Pseudo-nitzschia delicatissima</i> (Cleve) Heiden	<i>Protoperidinium catenatum</i> Levander
<i>Pseudo-nitzschia pungens</i> (Grunow ex Cleve) Hasle	<i>Protoperidinium cerasus</i> Paulsen
<i>Pseudosolenia calcar-avis</i> (Schultze) Sundström	<i>Protoperidinium conicoides</i> Paulsen
<i>Rhizosolenia acuminata</i> (Peragallo) Gran	<i>Protoperidinium conicum</i> (Gran) Balech
<i>Rhizosolenia robusta</i> Norman	<i>Protoperidinium depressum</i> (Bailey) Balech
<i>Rhizosolenia steigera</i> Brightwell	<i>Protoperidinium granii</i> Ostenfeld
<i>Skeletonema costatum</i> (Greville) Cleve	<i>Protoperidinium oceanicum</i> van Höffen
<i>Surirella fastuosa</i> Ehrenberg	<i>Protoperidinium oblongum</i> (Aurivillius) Parke et Dodge
<i>Surirella</i> sp.	<i>Protoperidinium ovum</i> Schiller
<i>Thalassionema frauenfeldii</i> (Grunow) Hallegraeff	<i>Protoperidinium pallidum</i> Ostenfeld
<i>Thalassionema nitzschioides</i> Grunow	<i>Protoperidinium pentagonum</i> Gran
<i>Thalassiosira</i> sp.	<i>Protoperidinium punctulatum</i> Paulsen
<i>Thalassiosira leptopus</i> (Grunow) Hasle & Fryxell	<i>Protoperidinium roseum</i> Paulsen
<i>Thalassiosira nordenskiöldii</i> Cleve	<i>Protoperidinium sphaeroidea</i> Dangeard
<i>Thalassiosira rotula</i> Meunier	<i>Protoperidinium steinii</i> Jörgensen
<i>Triceratium favus</i> Ehrenberg	<i>Protoperidinium subpyriforme</i> Dangeard
	<i>Protoperidinium</i> sp.
	<i>Pyrophacus steinii</i> (Schiller) Wall & Dale
	<i>Scrippsiella trochoidea</i> (Stein) Loeblich
<b>Pyrrophyta</b>	
<i>Alexandrium</i> sp.	
<i>Ceratium furca</i> (Ehrenberg) Dujardin	
<i>Ceratium fusus</i> (Ehrenberg) Dujardin	
<i>Ceratium intermedium</i> (Jörgensen) Jörgensen	
<i>Ceratium kofoidii</i> Jörgensen	
<i>Ceratium lineatum</i> (Ehrenberg) Cleve	
<i>Ceratium macroceros</i> (Ehrenberg) Cleve	
<i>Ceratium macroceros</i> (Ehrenberg) var. <i>gallicum</i> (Kofoid) Jörgensen	
<i>Ceratium tripos</i> Nitsch	
<i>Dinophysis caudate</i> Saville-Kent	
<i>Dinophysis fortii</i> Pavillard	
<i>Diplopsalopsis orbicularis</i> var. <i>ovata</i> (Paulsen) Meunier	
<i>Dissodinium lunula</i> (Schütt) Schütt	
<i>Glenodinium danicum</i> Paulsen	
<i>Goniodoma ostenfeldii</i> Paulsen	
<i>Gonyaulax polygramma</i> Stein	
<i>Gonyaulax spinifera</i> (Clap. & Lach.) Diesing	
<i>Gonyaulax</i> sp.	
<i>Gymnodinium lohmanni</i> Paulsen	
	<b>Chlorophyta</b>
	<i>Actinastrum</i> sp.
	<i>Pediastrum simplex</i> (Meyen) Lemmermann
	<i>Scenedesmus quadricauda</i> (Turpin) Brébisson
	<i>Scenedesmus</i> sp.
	<b>Chrysophyta</b>
	<i>Dictyocha fibula</i> Ehrenberg
	<i>Dictyocha fibula</i> var. <i>stapedia</i> (Haeckel) Lemmermann
	<i>Distephanus speculum</i> (Ehrenberg) var. <i>octonarius</i> (Ehrenberg) Jörgensen
	<i>Emiliania huxleyi</i> (Lohmann) Hay et Mohler
	<i>Gephyrocapsa oceanica</i> Kamptner
	<b>Cyanophyta</b>
	<i>Spirulina</i> sp.
	<i>Trichodesmium thiebauti</i> Gomont

Note: † For phytoplankton species nomenclature changes, refer to Sun and Liu (2002b).

### 3.3 Spatial Distribution of Phytoplankton

#### 3.3.1 Horizontal distribution

The average cell abundance of surface water samples was  $8.8 \times 10^4$  cells  $L^{-1}$ , with the maximum,  $102.9 \times 10^4$  cells  $L^{-1}$ , found at Station 5 and the minimum,  $0.2 \times 10^4$  cells  $L^{-1}$ , at Station 29. Distribution of phytoplankton cell abundance in surface water was shown in Fig.3. The dominant species in surface water were *Skeletonema costatum* and *Proboscia alata* f. *gracillima*, with cell abundance  $225.7 \times 10^4$  cells  $L^{-1}$  and  $43.6 \times 10^4$  cells  $L^{-1}$ , representing 73.5% and 14.2% of the total, respectively. The distribution of the Shannon-Wiener index and evenness in surface water were

shown in Fig.4, with the maxima 4.06 (diversity index) and 0.86 (evenness) found at Station 32, and the minima 0.13 and 0.04 at Station 9. Chlorophyll *a* distribution in the summer of 2004 in YRE was consistent with that of cell abundance, with the highest two,  $4.89 \text{ mg m}^{-3}$  and  $3.98 \text{ mg m}^{-3}$ , appearing at Station 5 and Station 22 respectively.

#### 3.3.2 Vertical distribution

Phytoplankton assemblage in the study area was mainly dominated by Bacillariophyta which had an evident preponderance over the others from the surface to the bottom in abundance (Fig.5). The suitable environmental condition produced by anthropogenic activity enhanced the growth and photosynthesis of phy-

toplankton and triggered the fast proliferation of dominant species. Supply of nitrate and phosphate was no

longer the limitation on the growth of phytoplankton and thus caused the diatom bloom in the YRE.

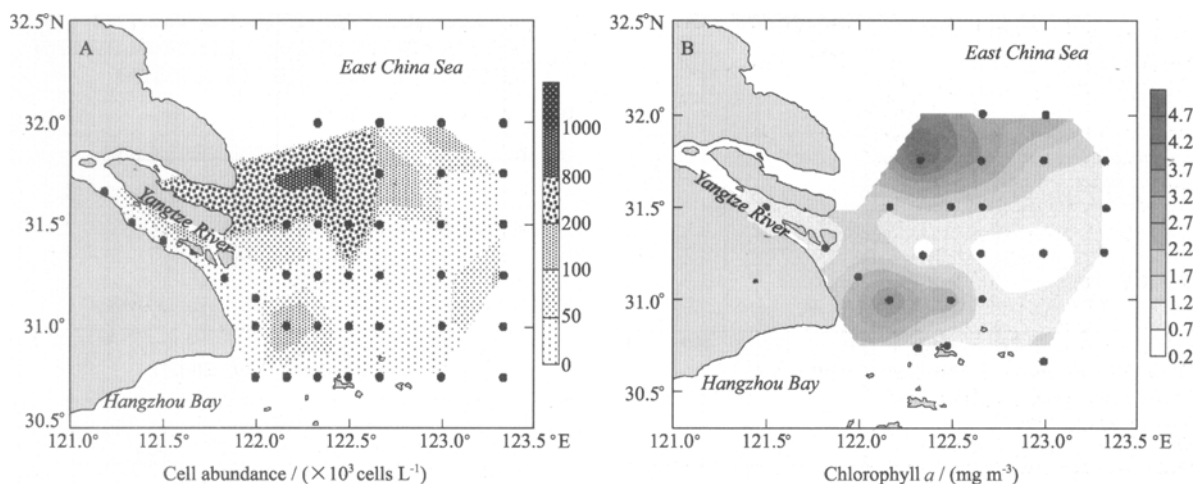


Fig.3 Cell abundance and chlorophyll *a* distributions of phytoplankton in surface water.

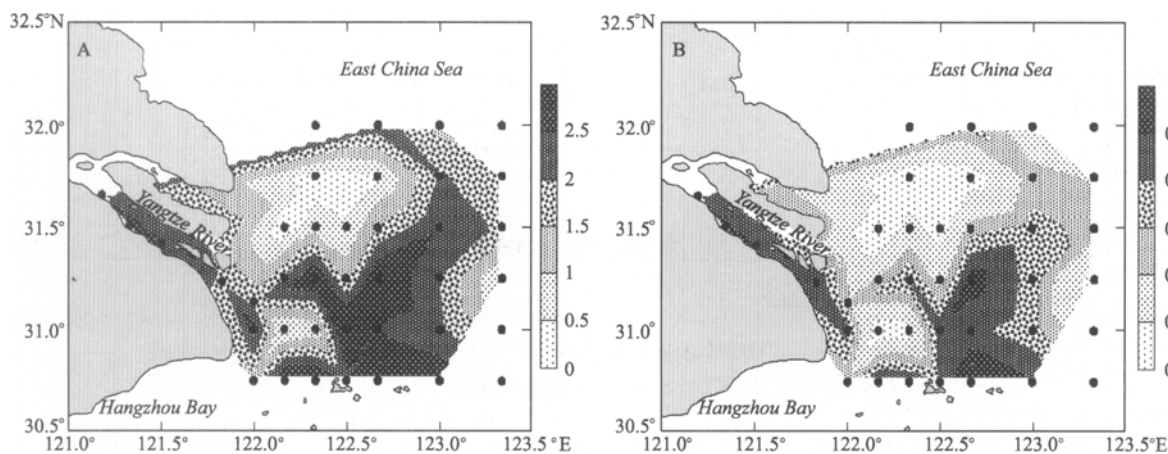


Fig.4 Shannon-Wiener index (A) and evenness (B) distributions of phytoplankton assemblage in surface water.

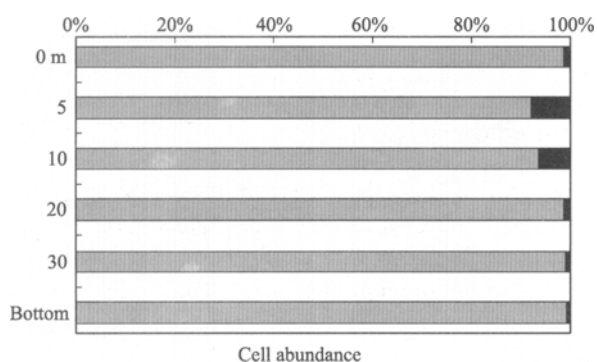


Fig.5 Relative cell abundances of Bacillariophyta and Pyrrophyta in water column. □ Bacillariophyta; ■ Pyrrophyta.

Phytoplankton cell abundance presented an obvious difference in different water column layers of Stations 33, 18 and 5, where obvious vertical dominant species variation was found also (Fig.6). The sharp variations of salinity and temperature in the vertical profile at these stations were the primary cause of stratification.

However, no significant differences of vertical cell abundance distribution and dominant species variation were found at Station 8, where *P. alata* f. *gracillima* dominated the whole water column with an average cell abundance of  $4.83 \times 10^4$  cells  $L^{-1}$ . At Stations 33 and 18, the maximum value of phytoplankton cell abundance appeared in the middle layer of water column 10–20 m below the surface. This might be caused by the excessive nutrient gradient in the middle water layer according to the different water masses movement. There was an obvious vertical transition of dominant species at Station 18, with the smaller cell species *S. costatum* in the upper water layer and the comparatively larger cell species *P. alata* f. *gracillima* from the depth of 10 m to bottom. Marvelous contrast in the vertical cell abundance distribution was found at Station 5. The primary production and biomass were much influenced by the co-effect of Yangtze River diluted water and continental coastal current. The cell abundance of dominant species *S. co-*

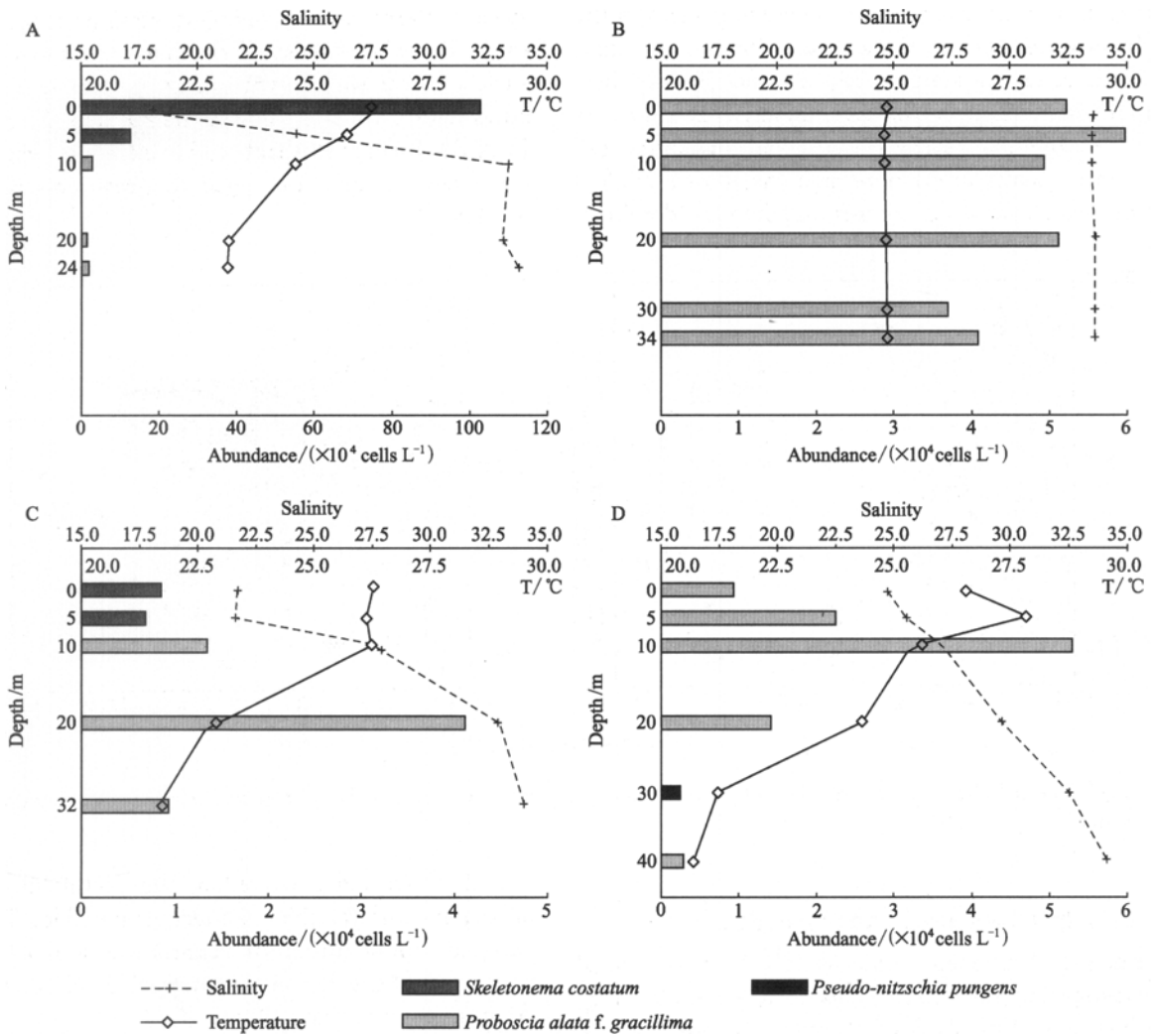


Fig.6 Vertical distributions of cell abundance, dominant species, salinity and temperature at Stations 5 (A), 8 (B), 18 (C) and 33 (D).

*atum* was  $102.9 \times 10^4$  cells  $L^{-1}$  in surface water, contrasting to the  $1.5 \times 10^4$  cells  $L^{-1}$  of *P. alata f. gracillima* near the bottom. The cell abundance in different water layers of Station 8 was unfluctuating, and the simplex dominant species was *P. alata f. gracillima* in the whole water column. A similar situation happened in the vertical distribution of salinity and temperature with no obvious changes at different water depths. Warm-oceanic species *Chaetoceros lorenzianus*, *Ditylum sol*, *Guinardia flaccida*, *Hemiaulus hauckii*, *Hemiaulus membranacus*, and *Rhizosolenia robusta* appeared at Stations 20, 26 and 33. The phytoplankton composition at these stations was influenced by the Taiwan Warm Current (Sun *et al.*, 2000a, b).

### 3.4 Dominant Species Analysis

The dominant species in the study area were *S. costatum* and *P. alata f. gracillima* with the dominance of 0.35 and 0.27, respectively. *S. costatum* is a low-salinity neritic species widely spreading near the

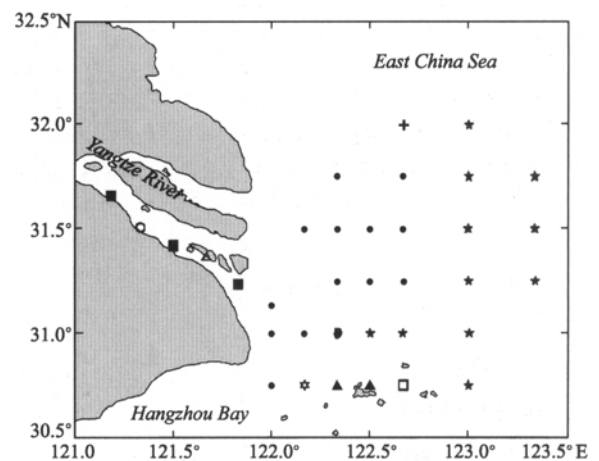


Fig.7 The distribution of dominant phytoplankton in surface water (Special symbols  $\circ$ ,  $\bullet$ ,  $\square$ ,  $\blacksquare$ ,  $\triangle$ ,  $\blacktriangle$ ,  $+$ ,  $\star$  represent *Leptocylindrus danicus*, *S. costatum*, *Chaetoceros* spp., *Pedi. simplex*, *Melosira granulata*, *Pseudo-nitzschia pungens*, *Navicula* spp. and *P. alata f. gracillima*, respectively).

YRE with the optimum salinity *ca.* 19.2 (Li *et al.*,

2005). In the inner part of the Yangtze River Mouth, the fresh water species *Pediastrum simplex* dominated at the sampling stations 35, 37 and 39. At most sampling stations near the river mouth, *S. costatum* was the dominant species with the maximum cell abundance, while in offshore area the most abundant species was *P. alata* f. *gracillima*, and the dominant

species altered among sampling stations from west to east. The distribution of dominant phytoplankton in surface water was shown in Fig.7. Abundance distributions of *P. alata* f. *gracillima* and *S. costatum* (Fig. 8) further illustrated the different water areas that were suitable for algae to grow and reproduce quickly.

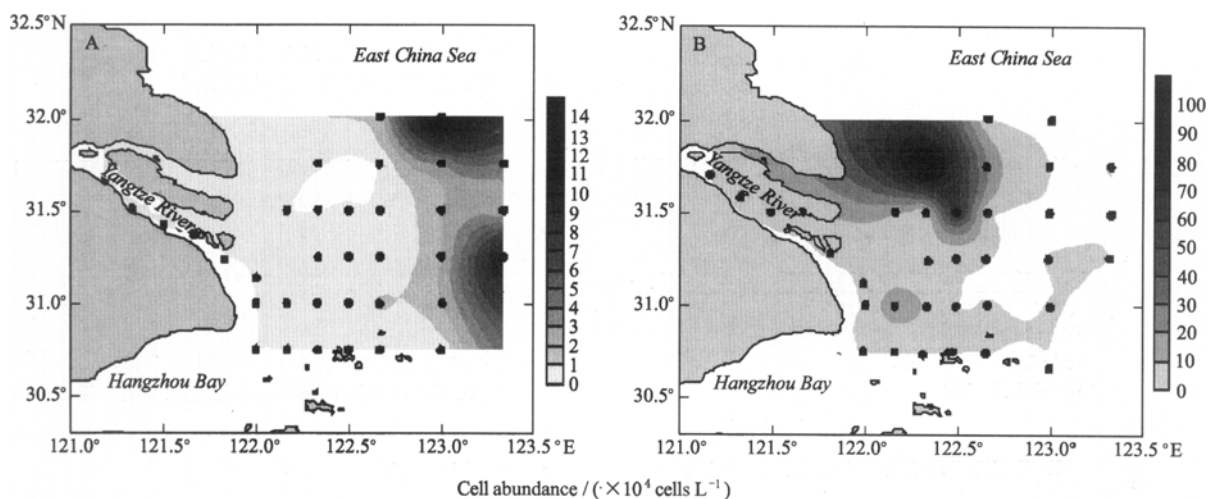


Fig.8 The cell abundance distributions of *Proboscia alata* f. *gracillima* (A) and *Skeletonema costatum* (B) in surface water.

### 3.5 Principal Component Analysis (PCA)

Principal Component Analysis (PCA) was applied to investigating the relationship between explanatory variables and phytoplankton growth. In order to determine the principal environmental factor with different explanatory variables, SPSS software package (version 12.0) was used. Eigenvalues and cumulate percentage variations could be calculated as well as the coefficient matrix, which thus were used to make up the formulas of the principal components. Factor analysis is often used in data reduction to identify a small number of factors that explain most of the variance observed in a much larger number of manifest variables. In the light of the PCA results, a few new variables

can be abstracted and contain the useful information on the original variables as much as possible. The new variables can be interpreted synthetically in a scientific way and reflect the environmental conditions of the study area more truthfully.

In order to summarize the weight of each parameter in an analysis of the dataset, PCA was applied for the reduction of dimensionality after the original environmental data were LG10-transformed and the original cell abundance data were SQRT-transformed. From the correlation matrix, two components were extracted with eigenvalues of 5.60 and 1.75 respectively, explaining 67.05% of the total variance.

The first principal component (PC1, explaining 51.05% of the total variance) was related to river discharge, as indicated by factor loadings, which was positive for inorganic nutrients and negative for salinity. Considering the first dimension, the contents of independent variables  $\text{NO}_3^-$  and  $\text{SiO}_3^{2-}$  contributed more to the loadings of factor 1 than  $\text{PO}_4^{3-}$  did. In contrast, salinity, transparency and water depth behaved adversely with the first component. Cell abundance was plotted in the intermediate position of the three crucial nutrient gradients and the salinity toward the direction of factor 1. This indicated that, in this coastal system, the spatial distribution of nutrients was closely linked to river discharge. Consequently, low salinity generally corresponded to high nutrient concentrations, favoring the proliferation of small cell species like *S. costatum*.

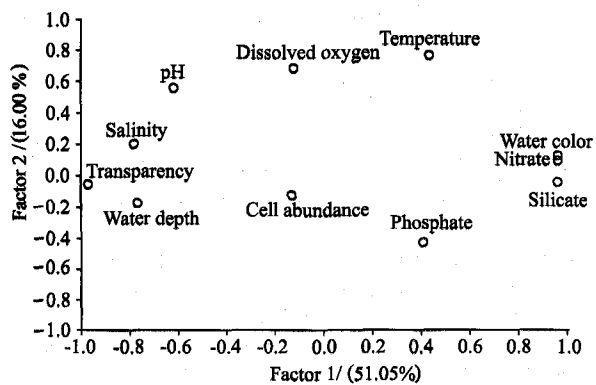


Fig.9 Loadings of environmental variables on the first two principal components extracted.

The second component (PC2, explaining 16.00% of the total variance) was mainly due to variations in temperature and dissolved oxygen (DO). The pH lay in the middle area between salinity and DO, which contributed to both PC1 and PC2 with balanced influences.

#### 4 Discussion

The YRE and its adjacent ECS is quite unstable and heterogeneous because of intense water exchange and advective processes. Due to high anthropogenic inputs, organic and inorganic nutrients' concentrations are very high. Thus, interdisciplinary surveys were essential for the ecological study in this area.

Complex ecological processes and environmental factors influence the phytoplankton biomass and community structure in this region, including selective grazing (Sun *et al.*, 2003), competition, light availability (He *et al.*, 2001), trophic salts, temperature, salinity, turbidity (Xu *et al.*, 2004), and so on. Many of these factors have been examined in diverse experimental manipulations (*e.g.* mesocosms) that have provided valuable insight into the potential role of individual factors under controlled conditions (Li *et al.*, 2001). However, natural phytoplankton assemblage is exposed to the synergistic effects of all these known and unknown selective pressures. Primary production tends to be slightly limited in many estuaries, especially in the upper and fresh water reaches, where turbidity is usually the highest. Such is the case of the inner part of YRE, where light is the primary limiting factor because of the high turbidity and suspended solids. The latter can release absorbed nutrients into the seawater favoring eutrophication, but can weaken the penetration of sunlight. It does not favor photosynthesis. This has also been supported by the research in the estuarine area, where suspended and re-suspended sediments caused by tidal disturbance from a high turbidity area result in a transparency of less than 3 m. The optimum balance of light and nutrients occurs at the middle region of the dilution zone which is about 100 km away from the river mouth and the east-side of Zhoushan Archipelago (Ning *et al.*, 2004). Light limitation resulting from suspended sediments is stronger than nutrient limitation in the estuarine ecosystem. This makes the primary production to be lower at the mouth area than at adjacent sea (He *et al.*, 2001). For this reason, the serious eutrophication produced by the huge amount of nutrient loaded via the Yangtze River is the main cause of phytoplankton bloom in the ECS.

The Shannon-Wiener index of phytoplankton assemblage presents a negative correlation with the cell abundance, since the dominant species reproduces fast, but it corresponds to the evenness distribution.

The lowest value of Shannon-Wiener index (0.13) appeared at Station 9, which was contributed mainly by the small-cell species, *S. costatum*, with the cell abundance of  $11.2 \times 10^4$  cells  $L^{-1}$ . Optimum salinity (14–23) of Yangtze River diluted water caused *S. costatum* to reproduce fast in an exponential pattern, leading to the bloom subsequently. This indicates that in the vicinity of river plume, nitrate, phosphate and silicate appear to be in excess, being not the limitation on phytoplankton growth. Similar results were also obtained in this area. *S. costatum* dominated in the vicinity of the river plume, and its distribution was consistent with the extension direction of the diluted water with a salinity of 10–20 (Gu *et al.*, 1995). Excessive multiplying of one species in a specific area is often accompanied with low Shannon-Wiener index of phytoplankton diversity.

Four typical sampling stations, 5, 8, 18 and 33, were chosen to analyze the vertical distributions of cell abundance and dominant species. The biomass and primary production were mainly influenced by the continental coastal current, mixed water of the Yellow Sea and ECS, Yangtze River diluted water and Taiwan Warm Current, respectively. The results showed that differences of vertical distribution were significant at Stations 5, 18 and 33, but no obvious vertical stratification was found at Station 8, where the abiotic environmental conditions, such as the salinity and temperature, were well-proportioned (Fig.6). *P. alata* f. *gracillima* was adapted to this specific niche substantially and became the dominant.

Given the correlations between the phytoplankton biomass and environmental factors such as nutrients, salinity, temperature, DO and pH, PCA analysis was used to summarize the weight of each parameter. According to the two dimensional plots of the variable loadings on the first two principal components, increased nutrient concentrations of  $NO_3^-$  and  $SiO_3^{2-}$  caused by the river loadings and the unstable temperature influenced by the heterogeneous water masses were primarily responsible for the phytoplankton growth in this area. However, the effect of  $PO_4^{3-}$  on phytoplankton growth is relatively lower than that of the nitrate and silicate mentioned above according to the contributions to the first component. Naturally, other variables also had integrated effects on the phytoplankton distribution which should not be neglected.

#### 5 Summary

Results on the characteristics of the phytoplankton community structure in the study area indicated that cell abundance and Shannon-Wiener index were unevenly distributed. The highest value of cell abundance appeared in the diluted water area (II). Pronounced stratification was found in the typical sam-



pling stations of 5, 18 and 33, showing the integrated influences of several water masses in YRE. With the rapid development of industry and agriculture in China, the discharge of sewage water and abundant nutrient gradients in the YRE caused serious eutrophication, favoring the phytoplankton bloom. This was also supported by the further considerations of the environmental variables, which made it clear that the nutrient loadings of the river discharge contributed more to the phytoplankton biomass dynamics and were mainly responsible for the alga blooms. Therefore, it is essential to conduct more field experiments including biological, chemical and physical surveys, and apply ecosystem dynamic model to further study.

### Acknowledgements

This study was supported by the National Natural Science Foundation of China (Grant Nos. 50339040, 40306025) and the Chinese Academy of Sciences (No. KZCX3-SW-232). The authors are also very much indebted to the crews of the cruise.

### References

- Chen, Y., M. L. Shi, and Y. G. Zhao, 1989. *The Ecological and Environmental Atlas of the Three Gorges of the Yangtze*. Science Press, Beijing, 1-157.
- Gu, X. G., Q. Yuan, J. W. Yang, and D. Hua, 1995. An ecological study on phytoplankton in frontal region of Yangtze estuarine area. *J. Fishery Sci. Chin.*, **2**(1): 1-15.
- He, W. S., and J. J. Lu, 2001. Effects of high-density suspended sediments on primary production at the Yangtze Estuary. *Chin. J. Eco-Agricult.*, **9**(4): 24-27.
- Li, J. T., W. H. Zhao, D. F. Yang, and J. T. Wang, 2005. Effect of turbid water in Yangtze Estuary on the growth of *S. costatum*. *Mar. Sci.*, **29**(1): 34-37.
- Li, R. X., M. Y. Zhu, S. Chen, R. H. Lü, and B. H. Li, 2001. Responses of phytoplankton on phosphate enrichment in mesocosms. *Acta Ecologica Sinica*, **21**(4): 603-607.
- Ning, X. R., V. Daniel, Z. Liu, and Z. Liu, 1988. Standing stock and production of phytoplankton in the estuary of the Yangtze River and the adjacent East China Sea. *Mar. Ecol. Prog. Ser.*, **49**(10): 141-150.
- Ning, X. R., J. X. Shi, Y. M. Cai, and C. G. Liu, 2004. Biological productivity front in the Yangtze Estuary and the Hangzhou Bay and its ecological effects. *Acta Oceanologica Sinica*, **26**(6): 96-106.
- Pielou, E. C., 1969. *An Introduction to Mathematical Ecology*. Wiley-Interscience, New York, 1-286.
- Pu, X. M., Y. L. Wu, and Y. S. Zhang, 2000. Nutrient limitation of phytoplankton in the Yangtze Estuary I: Condition of nutrient limitation in autumn. *Acta Oceanologica Sinica*, **22**(4): 60-66.
- Pu, X. M., Y. L. Wu, and Y. S. Zhang, 2001. Nutrient limitation of phytoplankton in the Yangtze Estuary II: Condition of nutrient limitation in spring. *Acta Oceanologica Sinica*, **23**(3): 57-65.
- Shannon, C. E., and W. Wiener, 1949. *The Mathematical Theory of Communication*. University of Illinois Press, Urbana IL, 1-117.
- Shen, Z. L., J. P. Lu, X. J. Liu, and H. X. Diao, 1992. Distribution feature of nutrient in the Yangtze Estuary and impact of the Three Gorges Dam on it. *Marina Studia Sinica*, **33**: 109-129.
- Sun, J., D. Y. Liu, Y. Yin, X. Y. Chai, and S. B. Qian, 2000a. Standing crop distribution and species composition of phytoplankton near Ryukyu islands water and its correlation with water mass in summer of 1997. In: *Proceedings of China-Japan Joint Symposium on CSSCS*. China Ocean Press, Beijing, 191-203.
- Sun, J., D. Y. Liu, and S. B. Qian, 2000b. Planktonic diatoms in Ryukyu-gunto and its adjacent waters: Species composition and their abundance distribution in the summer of 1997. in: *Oceanography in China*. China Ocean Press, Beijing, 158-165.
- Sun, J., D. Y. Liu, Z. L. Wang, X. Y. Shi, R. X. Li, *et al.*, 2003. Microzooplankton herbivory during red tide-frequent-occurrence period in spring in the East China Sea. *Chin. J. Appl. Ecol.*, **14**(7): 1073-1080.
- Sun, J., D. Y. Liu, and S. B. Qian, 2002a. A quantitative research and analysis method for marine phytoplankton: An introduction to Utermöhl method and its modification. *J. Oceanogr. Huanghai Bohai Seas*, **20**(2): 105-112.
- Sun, J., and D. Y. Liu, 2002b. The preliminary notion on nomenclature of common phytoplankton in China seas water. *Oceanologia et Limnologia Sinica*, **33**(3): 271-286.
- Tomas, C. R., 1997. *Identifying Marine Phytoplankton*. Academic Press, San Diego, 1-858.
- Utermöhl, H., 1958. Zur Vervollkommung der quantitativen Phytoplankton-Methodik. *Mitt. Int. Ver. Theor. Angew. Limnol.*, **9**: 1-38.
- Xu, Z. L., X. Q. Shen, and Y. Q. Chen, 2004. Effect of the suspended sands on growth of *Chaetoceros muelleri* in Yangtze Estuary. *Mar. Environ. Sci.*, **23**(4): 28-30.
- Yamaji, I., 1991. *Illustrations of the Marine Plankton of Japan*. Hoikusha Publishing Co., Ltd., Osaka, 1-538.
- Zhao, W. H., J. T. Li, and J. T. Wang, 2004. Study on nutrient limitation of phytoplankton in field experiment of Yangtze Estuary in summer. *Mar. Environ. Sci.*, **23**(4): 1-5.
- Zhu, J. R., J. H. Wang, and H. T. Shen, 2005. Observation and analysis of the diluted water and red tide in the sea off the Yangtze mouth in middle and late June 2003. *Chin. Sci. Bull.*, **50**(3): 240-247.