

# NILPOTENT INJECTORS IN SYMMETRIC GROUPS

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## ABSTRACT

An  $N$ -Injector in an arbitrary finite group  $G$  is defined as a maximal nilpotent subgroup of  $G$ , containing a subgroup  $A$  of  $G$  of maximal order satisfying  $\text{class}(A) \leq 2$ . Among other results the  $N$ -Injectors of  $\text{Sym}(n)$  are determined and shown to consist of a unique conjugacy class of subgroups of  $\text{Sym}(n)$ .

## A. Introduction

$N$ -Injectors in a finite group  $G$  are maximal nilpotent subgroups which share many properties with the Sylow subgroups. The theory of Injectors, and in particular  $N$ -Injectors has been developed mostly for solvable groups. The aim of this paper is to develop the theory of  $N$ -Injectors for arbitrary finite groups, and to determine the  $N$ -Injectors of  $\text{Sym}(n)$ .

$N$ -Injectors were first defined in [9] as follows: a subgroup  $A$  of  $G$  is an  $N$ -Injector, if for each  $H \triangleleft\triangleleft G$ ,  $A \cap H$  is a maximal nilpotent subgroup of  $H$ . In [13] it has been proved that if  $C(F(G)) \subseteq F(G)$ , then  $G$  contains  $N$ -Injectors, they form a conjugacy class, and they can be characterized as the maximal nilpotent subgroups which contain  $F(G)$ . The following two observations (a) and (b) show that neither the definition of  $N$ -Injectors in [9], nor their characterization in [13] determine in general a unique conjugacy class of maximal nilpotent subgroups in  $G$ .

(a) The maximal nilpotent subgroups of  $\text{Sym}(5)$  are:  $S_2(\text{Sym}(5))$ ,  $S_3(\text{Sym}(5))$  and  $C(S_3(\text{Sym}(5)))$ . They intersect  $\text{Alt}(5)$  in  $S_2(\text{Alt}(5))$ ,  $S_3(\text{Alt}(5))$  and  $S_3(\text{Alt}(5))$ , respectively. The intersections are maximal nilpotent subgroups of  $\text{Alt}(5)$ , and since  $\text{Alt}(5)$  and  $\text{Sym}(5)$  are the only non-trivial subnormal subgroups of  $\text{Sym}(5)$ , it follows that each maximal nilpotent subgroup of  $\text{Sym}(5)$  is an  $N$ -Injector by the definition in [9].

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(b) As  $F(\text{Sym}(5)) = 1$ , each maximal nilpotent subgroup of  $\text{Sym}(5)$  is an  $N$ -Injector by the characterization in [13] as well.

Therefore, we will use another characterization of  $N$ -Injectors. First a definition.

DEFINITION 1. (i)  $d(k, G)$  will denote the maximum of the orders of all nilpotent subgroups of  $G$  of class at most  $k$ .

(ii)  $\mathcal{A}(k, G)$  will denote the set of all nilpotent subgroups of  $G$  of class at most  $k$ , having order  $d(k, G)$ .

(iii)  $\mathcal{A}(\infty, G)$  ( $d(\infty, G)$ ) will denote the set (order) of nilpotent subgroups of maximal order.

A theorem of Bender proved in [2] states: if  $C(F(G)) \subseteq F(G)$ , then  $A$  is an  $N$ -Injector iff  $A$  is a maximal nilpotent subgroup of  $G$  containing an element of  $\mathcal{A}(2, G)$ . Now it will be convenient to adopt the following definition of  $N$ -Injectors.

DEFINITION 2. A subgroup  $A$  of  $G$  is called an  $N$ -Injector if  $A$  is a maximal nilpotent subgroup containing an element of  $\mathcal{A}(2, G)$ . The set of  $N$ -Injectors of  $G$  will be denoted by  $NI(G)$ .

Our definition assures that any group  $G$  contains  $N$ -Injectors. If  $C(F(G)) \subseteq F(G)$ , then by [2] and [13] our definition is equivalent to the original definition in [9].

Section B contains calculations which lead to the evaluation of  $d(2, \text{Sym}(n))$ , and as a consequence, Theorem B.8,  $NI(\text{Sym}(n))$  is determined and shown to consist of a single conjugacy class.

THEOREM B.8. (a)  $\text{Sym}(n)$  contains a single class of  $N$ -Injectors.

(b) If  $n \not\equiv 3 \pmod{4}$ , then each  $N$ -Injector is a 2-Sylow subgroup of  $\text{Sym}(n)$ .

If  $n \equiv 3 \pmod{4}$ , then each  $N$ -Injector is the subgroup generated by a 3-cycle and a 2-Sylow subgroup of  $\text{Sym}(n - 3)$  on the remaining  $n - 3$  symbols, and each such subgroup belongs to  $NI(\text{Sym}(n))$ .

In Section C, we have obtained results about  $NI(\text{Sym}(n))$  and the sets  $\mathcal{A}(k, \text{Sym}(n))$  similar to known results in groups of odd order, [1], [5]. The main result is that  $NI(\text{Sym}(n)) = \mathcal{A}(\infty, \text{Sym}(n))$ .

In Section D, we introduce a generalization of  $N$ -Injectors, namely  $\pi$ - $N$ -Injectors. First a definition.

DEFINITION 3. Let  $\pi$  be a set of primes.

(i)  $d(\pi, k, G)$  will denote the maximum of orders of all nilpotent  $\pi$ -subgroups of  $G$  of class at most  $k$ .

(ii)  $\mathcal{A}(\pi, k, G)$  will denote the set of all nilpotent  $\pi$ -subgroups of  $G$  of class at most  $k$  having order  $d(\pi, k, G)$ .

(iii)  $\mathcal{A}(\pi, \infty, G)$  ( $d(\pi, \infty, G)$ ) will denote the set (order) of nilpotent  $\pi$ -subgroups of maximal order.

DEFINITION 4. A subgroup  $A$  of  $G$  is called a  $\pi$ - $N$ -Injector if  $A$  is a maximal nilpotent  $\pi$ -subgroup containing an element of  $\mathcal{A}(\pi, 2, G)$ . The set of  $\pi$ - $N$ -Injectors of  $G$  will be denoted by  $NI(\pi, G)$ .

REMARKS. (a) If  $\pi$  is the set of all primes, then:

(i)  $\mathcal{A}(\pi, k, G) = \mathcal{A}(k, G)$ .

(ii)  $\mathcal{A}(\pi, \infty, G) = \mathcal{A}(\infty, G)$ .

(iii)  $NI(\pi, G) = NI(G)$ .

(b) If  $\pi$  consists of a single prime, then:

$\mathcal{A}(\pi, \infty, G)$ ,  $NI(\pi, G)$  and the set of  $p$ -Sylow subgroups of  $G$ , coincide.

(c) If  $G$  is solvable and  $\{H^x \mid x \in G\}$  is the set of  $\pi$ -Hall subgroups of  $G$  then:

(i)  $\mathcal{A}(\pi, k, G) = \bigcup_{x \in G} \mathcal{A}(\pi, k, H^x)$ .

(ii)  $\mathcal{A}(\pi, \infty, G) = \bigcup_{x \in G} \mathcal{A}(\pi, \infty, H^x)$ .

(iii)  $NI(\pi, G) = \bigcup_{x \in G} NI(H^x)$ .

We suggest the following two conjectures.

CONJECTURE 1. Let  $G$  be a finite group and  $\pi$  a set of primes, then  $NI(\pi, G)$  is a conjugacy class.

CONJECTURE 2. Let  $G$  be a finite group and  $\pi$  a set of primes, then  $\mathcal{A}(\pi, \infty, G)$  is a conjugacy class.

REMARKS. (d) Conjecture 1 holds in solvable groups.

(e) Conjecture 2 holds in groups of odd order, since then  $\mathcal{A}(\pi, \infty, G) = NI(\pi, G)$  [1], [5].

(f) If  $\pi = \{p\}$ , Conjectures 1 and 2 hold by the Sylow theorem.

(g) In general,  $NI(G)$  and  $\mathcal{A}(\infty, G)$  don't coincide. Examples of groups of order  $p^\alpha q^\beta$  where it happens can be deduced from [7]. Another example is the Mathieu group  $M_{11}$ . By [11]  $\mathcal{A}(\infty, M_{11})$  consists of the 2-Sylow subgroups of  $M_{11}$ , while  $NI(M_{11})$  consists of the 11-Sylow subgroups of  $M_{11}$ .

The main result of Section D is that for  $G = \text{Sym}(n)$ , Conjectures 1 and 2 hold.

**B. The  $N$ -Injectors of  $\text{Sym}(n)$**

$NI(\text{Sym}(n))$  will be determined in three steps.

I. Evaluation of  $d(2, S_p(\text{Sym}(n)))$ .

II. Evaluation of  $d(2, M)$ , where  $M$  is any maximal nilpotent subgroup of  $\text{Sym}(n)$ .

III. Finding the maximal nilpotent subgroups of  $\text{Sym}(n)$  which maximize  $d(2, M)$ , and showing that they constitute a unique conjugacy class. Thus, the  $N$ -Injectors are all conjugate.

*Step I. Evaluation of  $d(2, S_p(\text{Sym}(n)))$*

Since  $S_p(\text{Sym}(np+r)) = S_p(\text{Sym}(np))$  for  $0 \leq r < p$ , it is enough to consider  $S_p(\text{Sym}(np))$ . We will deal with  $p$  odd and  $p = 2$  separately.

If  $p$  is an odd prime, consider the arithmetic progression  $\{kp^2 + p + 1 \mid k = 1, 2, \dots\}$ . By the Dirichlet Theorem, we can find a prime  $q = kp^2 + p + 1$  for some  $k$ . Clearly,  $p \mid q - 1$ , but  $p^2 \nmid q - 1$ . For such  $q$  the groups  $S_p(\text{Sym}(np))$  [14, p. 11] and  $S_p(\text{GL}(n, q))$  [16] are isomorphic (as abstract groups, not as permutation groups). Thus,  $d(2, S_p(\text{Sym}(np)))$  will be evaluated as a consequence of Lemma B.1 on  $p$ -subgroups of  $\text{GL}(n, q)$ .

If  $p = 2$ , then the group  $Z_2 \wr S_2(\text{Sym}(n)) \cong S_2(\text{Sym}(2n))$  can be represented faithfully as a linear group, acting on a vector space  $V$  over  $\text{GF}(3)$  of dimension  $n$ , in the following way. Let  $V = V_1 \oplus V_2 \oplus \dots \oplus V_n$  be the direct sum of  $n$  1-dimensional subspaces. Let  $Z_2$  act on each  $V_i$ , and let  $S_2(\text{Sym}(n))$  permute the subspaces  $V_i$ ,  $1 \leq i \leq n$ . We note that this embedding of  $S_2(\text{Sym}(2n))$  in  $S_2(\text{GL}(n, 3))$  is not onto [6]. But still,  $d(2, S_2(\text{Sym}(2n)))$  will be evaluated as a consequence of Lemma B.2 on 2-subgroups of  $\text{GL}(n, 3)$ . The final result of Step I will be summarized in Theorem B.3. Results of the nature of Lemma B.1 and Lemma B.2 appear in [10].

**LEMMA B.1.** *Let  $p$  be an odd prime and  $P$  a  $p$ -subgroup of  $\text{GL}(n, q)$  of class at most  $p - 1$ . If  $p \mid q - 1$  but  $p^2 \nmid q - 1$ , then  $|P| \leq p^n$ .*

**PROOF.** By induction on  $n$ . First we will check the lemma for  $n \leq p$ . Since

$$|S_p(\text{GL}(n, q))| = \begin{cases} p^n & \text{if } n < p \\ p^{p+1} & \text{if } n = p \end{cases}$$

it suffices to consider the case  $n = p$ . However,  $\text{class}(S_p(\text{GL}(p, q))) = p$ , hence  $|P| \leq p^p$ . Assume, therefore, that  $n > p$  and let  $P$  act on  $V$ , a vector space of dimension  $n$ . By induction, the theorem holds for any  $p$ -group of class at most

$p - 1$  which acts faithfully on  $V'$ , where  $\dim(V') < n$ . If  $V$  is reducible under  $P$ , say  $V = V_1 \oplus V_2$ , let  $P_i = P/C_p(V_i)$  and  $|V_i| = q^n, i = 1, 2$ . We obtain:

$$|P| \leq |P_1| |P_2| \leq p^{n_1} \cdot p^{n_2} = p^{n_1+n_2} = p^n.$$

So, we may assume that  $V$  is irreducible. As  $P \subseteq S_p(\text{GL}(n, q)) \cong S_p(\text{Sym}(np))$ , therefore, if  $P$  is cyclic, then  $|P| \leq p^{\lfloor \log_p(np) \rfloor} \leq p^n$ , and we are through. It is left to consider the case where  $P$  is non-cyclic. By [14, 19.2]  $P$  has a subgroup  $H$  of index  $p$  such that we can write  $V$  as a direct sum,  $V = V_1 \oplus V_2 \oplus \dots \oplus V_p$ , where each  $V_i$  is an  $H$ -invariant subspace, and if  $x \in P \setminus H$ , then  $V_i x = V_{i'}$ , where the permutation  $i \rightarrow i'$  is a  $p$ -cycle.

Let  $K_i = C_H(V_i), 1 \leq i \leq p$  and take  $x \in P \setminus H$ . Here, by induction we have  $|H/K_i| \leq p^{n/p}$ , hence  $|H| \leq p^n$ . If  $|H| < p^n$ , we obtain  $|P| \leq p^n$ , so we may assume  $|H| = p^n$ . That means  $H \cong \prod_{i=1}^p (H/K_i)$ , so  $H$  is the direct product of its projections on  $V_i$ , these projections being conjugate through  $x$ . Letting  $y$  be an element of order  $p$  that  $H$  induces on  $V_i$ , it follows that  $P$  contains  $\langle y, x \rangle \cong Cp \setminus Cp$ , of class  $p$ , which contradicts the assumption class  $(P) \leq p - 1$ , and Lemma B.1 is proved.

LEMMA B.2. *If  $P$  is a 2-subgroup of  $\text{GL}(n, 3)$  of class at most two, then  $|P| \leq 2^{n+[n/2]}$ .*

PROOF. By induction on  $n$ . First, we will check the lemma for  $n \leq 3$ . We have:

$$|S_2(\text{GL}(n, 3))| = \begin{cases} 2 & \text{if } n = 1, \\ 2^4 & \text{if } n = 2, \\ 2^5 & \text{if } n = 3. \end{cases}$$

Following [6]  $S_2(\text{GL}(2, 3))$  is Semidihedral of class 3 and  $S_2(\text{GL}(3, 3))$  is the direct product of  $S_2(\text{GL}(2, 3))$  and  $Z_2$ , again a group of class 3, hence if  $P$  is of class at most two, then  $|P| \leq 2^3$  if  $n = 2$ , and  $|P| \leq 2^4$  if  $n = 3$ . This completes the checking. Assume, therefore, that  $n > 3$  and let  $P$  act on  $V$ , a vector space of dimension  $n$ . By induction, the lemma holds for any 2-subgroup of class at most two which acts faithfully on  $V'$ , where  $\dim(V') < n$ . If  $V$  is reducible under  $P$ , say  $V = V_1 \oplus V_2$ , let  $P_i = P/C_p(V_i)$  and  $|V_i| = 3^n, i = 1, 2$ . We obtain:

$$|P| \leq |P_1| |P_2| \leq 2^{n_1+[n_1/2]} 2^{n_2+[n_2/2]} = 2^{n+[n/2]+[n/2]} \leq 2^{n+[n/2]}.$$

So we may assume that  $V$  is irreducible. Since class  $(P) \leq 2$ , it follows that if  $P$  is Quaternion, Dihedral or Semidihedral, then  $|P| = 2^3 < 2^{n+[n/2]}$  for  $n > 3$  as required.

If  $P$  is cyclic, let  $[n/2] = \sum_{i=1}^r 2^{\alpha_i}$  be the 2-adic representation of  $[n/2]$ . Then  $S_2(\text{GL}(n, 3))$  is  $\prod_{i=1}^r G_i$  for  $n$  even and  $(\prod_{i=1}^r G_i) \times Z_2$  for  $n$  odd, where  $G_i = T \setminus S_2(\text{Sym}(2^{\alpha_i}))$  and  $T$  denotes the Semidihedral group of order  $2^4$ . Since  $T$  has a faithful permutation representation of degree  $2^3$ , it follows that  $G_i$  has such a representation of degree  $2^3 \cdot 2^{\alpha_i}$ . Thus  $S_2(\text{GL}(n, 3))$  has a faithful permutation representation of degree less than or equal to  $2^3(\sum_{i=1}^r 2^{\alpha_i}) + 2 = 2^3[n/2] + 2$ . It follows that  $|P| \leq 2^3[n/2] + 2 \leq 2^{n+[n/2]}$  for  $n > 3$ , as required.

It is left to consider the case where  $P$  is not cyclic Quaternion, Semidihedral, or Dihedral. Now the proof can be continued as in Lemma B.1. By [14, 19.2]  $P$  has a subgroup  $H$  of index 2 such that  $V = V_1 \oplus V_2$  where  $V_i, i = 1, 2$  are  $H$ -invariant subspaces of  $V$  and each  $x \in P \setminus H$  permutes  $V_1$  and  $V_2$ . Let  $K_i = C_H(V_i)$ , it can be assumed that  $H = K_1 \times K_2$  and  $P \cong K_1 \setminus C_2$ . Here  $\text{class}(P) \leq 2$  implies that  $K_1$  is abelian. But  $K_1$  is irreducible on  $V_2$  (otherwise  $P$  is reducible), so  $K_1$  is cyclic, and now  $\text{class}(P) \leq 2$  is possible only for  $|K_1| = 2, |P| = 8$ , and the lemma certainly holds for this case. Lemma B.2 is proved.

**THEOREM B.3.** *If  $m = np + r$ , where  $0 \leq r < p$ , then:*

$$d(2, S_p(\text{Sym}(m))) = d(2, S_p(\text{Sym}(np))) = \begin{cases} p^n & \text{if } p \text{ is odd,} \\ 2^{n+[n/2]} & \text{if } p = 2. \end{cases}$$

**PROOF.** In view of our discussion preceding Lemma B.1, it follows from Lemmas B.1 and B.2 that:

$$d(2, S_p(\text{Sym}(np))) \leq \begin{cases} p^n & \text{if } p \text{ is odd,} \\ 2^{n+[n/2]} & \text{if } p = 2. \end{cases}$$

In fact, the equality holds. If  $p$  is odd, then the group generated by  $n$  disjoint  $p$ -cycles is elementary abelian of order  $p^n$ . If  $p = 2$ , divide  $2n$  into  $[n/2]$  sets of 4 elements each (in the case where  $n$  is odd there is a remainder of a two element set). Since the Dihedral group of order 8 has class two and acts faithfully on a set of 4 elements, we can construct a direct product of  $[n/2]$  such groups, adding a transposition to the product if  $n$  is odd. In any case, a group of order  $2^{n+[n/2]}$  is obtained.

**COROLLARY B.4.**

$$d(2, S_p(\text{Sym}(p^n))) = \begin{cases} p^{p^{n-1}} & \text{if } p \text{ is odd,} \\ 2 & \text{if } p = 2 \text{ and } n = 1, \\ 2^{3 \cdot 2^{n-2}} & \text{if } p = 2 \text{ and } n > 1. \end{cases}$$

*Step II. Evaluation of  $d(2, M)$*

First, we will describe the structure of the maximal nilpotent subgroups of  $\text{Sym}(n)$ , [15, I.5]. Let  $n = \sum_{i=1}^k n_i$  be a partition of  $n$  into  $k$  positive integers and assume it satisfies:

- (i) The integer 1 occurs in the partition at most once.
- (ii) The integer  $p^\alpha$  ( $p$  is a prime) occurs in the partition at most  $p - 1$  times.

Then, to each such partition, there corresponds a unique conjugacy class of maximal nilpotent subgroups. Let  $\text{Sym}(n)$  act on  $\Omega = \{1, 2, \dots, n\}$ . Take any partition  $\{\Omega_i \mid 1 \leq i \leq k\}$  of  $\Omega$  such that  $|\Omega_i| = n_i$  for  $1 \leq i \leq k$ . Each subgroup in the class is a direct product of  $k$  transitive nilpotent subgroups  $G_i$  of degree  $n_i$ , acting on  $\Omega_i$ ,  $1 \leq i \leq k$ . Each  $G_i$  is isomorphic to a direct product of  $p$ -Sylow subgroups of  $\text{Sym}(p^\alpha)$  [12, p. 379], where  $p^\alpha$  is the highest power of  $p$  dividing  $n_i$  and  $p$  runs over the primes which divide  $n_i$ .

REMARKS. (a) The structure of the subgroups of  $\text{Sym}(n)$  maximal with respect to being nilpotent and transitive, can be deduced from the above description. These are the subgroups which correspond to the trivial partition  $n = n_1$  and they form a conjugacy class.

(b) To each partition of  $n$  there corresponds a nilpotent subgroup as described above, but conditions (i) and (ii) imply its maximality.

NOTATION. Though the  $n_i$ 's in a partition of  $n$  need not be distinct, we will use the set notation  $\{n_1, \dots, n_r\}$  in order to denote partitions.

THEOREM B.5. *If  $M$  is a maximal nilpotent subgroup of  $\text{Sym}(n)$  which corresponds to the partition  $\{n_1, \dots, n_r\}$ , then  $d(2, M) = \prod_{i=1}^r \varphi_2(n_i)$ , where*

$$\varphi_2(m) = \begin{cases} 1 & \text{if } m = 1, \\ 2 & \text{if } m = 2, \\ 2^{3 \cdot 2^{\alpha-2}} & \text{if } m = 2^\alpha, \alpha \geq 2, \\ p^{p^\alpha-1} & \text{if } m = p^\alpha, p \neq 2, \alpha > 0, \\ \prod_{i=1}^l \varphi_2(p_i^{\alpha_i}) & \text{if } m = \prod_{i=1}^l p_i^{\alpha_i}, \end{cases}$$

where  $p_i$  are distinct primes for  $1 \leq i \leq l$ .

PROOF. By the discussion above  $M = \prod_{i=1}^r M_i$ , where each  $M_i$  is a nilpotent subgroup of  $M$  of degree  $n_i$ . By Corollary B.4,  $d(2, M) \geq \prod_{i=1}^r \varphi_2(n_i)$  and since every subgroup of  $M$  of class at most two is contained in a product of its projections on the  $M_i$ 's, we obtain an equality.

LEMMA B.6. *If  $p^\alpha > 3$ , where  $p$  is an odd prime and  $p^\alpha = 2^{\alpha_1} + \dots + 2^{\alpha_r}$  is the 2-adic representation of  $p^\alpha$ , then:  $\prod_{i=1}^r \varphi_2(2^{\alpha_i}) > \varphi_2(p^\alpha)$ .*

PROOF. The lemma can be easily checked up to  $p^\alpha \leq 17$ , so assume  $p^\alpha > 17$ . If  $p^\alpha \equiv 3 \pmod{4}$ , then  $\prod_{i=1}^s \varphi_2(2^{\alpha_i}) = 2^{-\frac{s}{2}} \prod_{i=1}^s 2^{3 \cdot 2^{\alpha_i - 2}}$  and if  $p^\alpha \equiv 1 \pmod{4}$ , then  $\prod_{i=1}^s \varphi_2(2^{\alpha_i}) = 2^{-\frac{s}{4}} \prod_{i=1}^s 2^{3 \cdot 2^{\alpha_i - 2}}$ . So in any case we get in view of  $p^\alpha > 17$  and  $p \equiv 3$ :

$$\prod_{i=1}^s \varphi_2(2^{\alpha_i}) \geq 2^{-\frac{s}{4}} \prod_{i=1}^s 2^{3 \cdot 2^{\alpha_i - 2}} = 2^{-\frac{s}{4}} \cdot 2^{\frac{3}{2} \sum_{i=1}^s 2^{\alpha_i}} = 2^{\frac{3}{2} p^\alpha - \frac{s}{4}} > 2^{\frac{3}{2} p^\alpha} > p^{p^\alpha - 1} = \varphi_2(p^\alpha).$$

LEMMA B.7. *Let  $\{n_1, \dots, n_r\}$  be a partition of  $n$  which maximizes the product  $\prod_{i=1}^r \varphi_2(n_i)$ . Then either all the  $n_i$ 's are powers of 2, or  $n_1 = 3$  and all the other  $n_i$ 's (if  $r > 1$ ) are powers of 2.*

PROOF. If one of the  $n_i$ 's equals  $p^\alpha t$ , where  $p$  is an odd prime,  $p^\alpha > 3$  and  $(t, p) = 1$ , then replacing  $n_i$  by the set  $\{2^\alpha t \mid 1 \leq i \leq s\}$ , where  $p^\alpha = 2^{\alpha_1} + \dots + 2^{\alpha_s}$  yields in view of Lemma B.6:

$$\begin{aligned} \prod_{i=1}^s \varphi_2(2^\alpha t) &\geq \prod_{i=1}^s (\varphi_2(2^{\alpha_i}) \varphi_2(t)) = (\varphi_2(t))^s \prod_{i=1}^s \varphi_2(2^{\alpha_i}) \\ &\geq \varphi_2(t) \prod_{i=1}^s \varphi_2(2^{\alpha_i}) > \varphi_2(t) \varphi_2(p^\alpha) = \varphi_2(tp^\alpha) = \varphi_2(n_i) \end{aligned}$$

contradicting the maximality of  $\prod_{i=1}^r \varphi_2(n_i)$ . Hence each  $n_i$  is of the form  $3^\alpha \cdot 2^\beta$ , where  $0 \leq \alpha \leq 1$  and  $\beta \geq 0$ . If  $\alpha = 1$  and  $\beta > 0$  then replacing  $3 \cdot 2^\beta$  by  $2^\beta$  and  $2^{\beta+1}$  again yields a contradiction since  $\varphi_2(3 \cdot 2^\beta) < \varphi_2(2^\beta) \varphi_2(2^{\beta+1})$ . So  $\alpha = 1$  implies  $\beta = 0$ . We conclude that each  $n_i$  is either 3 or  $2^\beta$ ,  $\beta \geq 0$ . Since  $\varphi_2(3) \varphi_2(3) = 9 < 16 = \varphi_2(4) \varphi_2(2)$ ,  $n_i = 3$  can occur at most once and the lemma is proved.

THEOREM B.8. (a) *Sym( $n$ ) contains a single class of  $N$ -Injectors.*

(b) *If  $n \not\equiv 3 \pmod{4}$ , then each  $N$ -Injector is a 2-Sylow subgroup of Sym( $n$ ).*

*If  $n \equiv 3 \pmod{4}$ , then each  $N$ -Injector is the subgroup generated by a 3-cycle and a 2-Sylow subgroup of Sym( $n - 3$ ) on the remaining  $n - 3$  symbols, and each such subgroup belongs to  $NI(\text{Sym}(n))$ .*

PROOF. Let  $M$  be an  $N$ -Injector of  $\text{Sym}(n)$  corresponding to a partition  $\{n_1, \dots, n_r\}$ . By Lemma B.7, the  $n_i$ 's are powers of 2 and possibly one of them is 3. Since  $M$  is a maximal nilpotent subgroup of  $\text{Sym}(n)$ , conditions (i) and (ii) imply that all the  $n_i$ 's are distinct. Hence, either the  $n_i$ 's are the terms in the 2-adic representation of  $n$ , or 3 occurs and the other  $n_i$ 's are the terms in the 2-adic representation of  $n - 3$ , whichever yields a larger  $d(2, M)$ . Throughout



the proofs of Lemmas B.6 and B.7 strict inequalities were involved hence for each  $n$  a unique partition corresponds to an  $N$ -Injector of  $\text{Sym}(n)$ . Thus by [15],  $\text{NI}(\text{Sym}(n))$  consists of a unique conjugacy class and (a) follows. A simple checking determines the  $n_i$ 's in the two cases where  $n \not\equiv 3 \pmod{4}$  and where  $n \equiv 3 \pmod{4}$ . Applying [14, p. 11] (b) follows.

REMARK. The 2-Sylow subgroups of  $\text{Sym}(n)$  are the only self-normalizing nilpotent subgroups, namely the Carter subgroups of  $\text{Sym}(n)$  [3]. By Theorem B.8, the Carter subgroups and the  $N$ -Injectors of  $\text{Sym}(n)$  coincide for  $n \not\equiv 3 \pmod{4}$  and are similar, but different, for  $n \equiv 3 \pmod{4}$ .

**C. Further properties of  $\text{NI}(\text{Sym}(n))$**

We will investigate the sets  $\mathcal{A}(k, \text{Sym}(n))$ , where  $k$  is a positive integer or equals  $\infty$ , and obtain results similar to known results for groups of odd order [1], [5]. The problem of evaluation of  $d(1, \text{Sym}(n))$  was solved by Bercov and Moser [4], who reduced it to an arithmetical problem: find a partition  $\{n_1, \dots, n_r\}$  of  $n$  which maximizes the product  $\prod_{i=1}^r n_i$ . Then they used [8] and obtained the following formula:

$$d(1, \text{Sym}(n)) = \begin{cases} 3^k & \text{if } n = 3k, \\ 4 \cdot 3^{k-1} & \text{if } n = 3k + 1, \\ 2 \cdot 3^k & \text{if } n = 3k + 2. \end{cases}$$

In Section B, we have already evaluated  $d(2, \text{Sym}(n))$ . Now we will prove:

THEOREM C.1. *Let  $k$  denote  $\infty$  or a positive integer different from 1 or 3 and let  $A \in \mathcal{A}(k, \text{Sym}(n))$ .*

(a) *If  $n \not\equiv 3 \pmod{4}$ , then  $A$  is a 2-subgroup.*

*If  $n \equiv 3 \pmod{4}$ , then  $A$  is generated by a 3-cycle and a 2-subgroup on the remaining  $n - 3$  symbols.*

(b)  $\mathcal{A}(\infty, \text{Sym}(n)) = \text{NI}(\text{Sym}(n))$ .

(c) *There is a  $B \in \mathcal{A}(\infty, \text{Sym}(n))$  such that  $B \supseteq A$ , and any maximal nilpotent subgroup of  $\text{Sym}(n)$  which contains  $A$  belongs to  $\mathcal{A}(\infty, \text{Sym}(n))$ .*

PROOF. We first prove (a). The case  $k = 2$  has already been proved, so assume  $k \geq 4$  or  $k = \infty$ . For each prime power  $p^\alpha$ , define

$$\varphi_k(p^\alpha) = d(k, S_p(\text{Sym}(p^\alpha)))$$

and if  $m = \prod_{i=1}^s p_i^{\alpha_i}$  where  $p_i$  are distinct primes, let  $\varphi_k(m) = \prod_{i=1}^s \varphi_k(p_i^{\alpha_i}) = d(k, \prod_{i=1}^s S_{p_i}(\text{Sym}(p_i^{\alpha_i})))$ . If  $A \in \mathcal{A}(k, \text{Sym}(n))$ , then  $A \subseteq M$  where  $M$  is a maxi-

mal nilpotent subgroup of  $\text{Sym}(n)$ . Thus,  $d(k, \text{Sym}(n)) = \max\{d(k, M) \mid M \text{ is a maximal nilpotent subgroup of } \text{Sym}(n)\}$  and let the maximum value be obtained on  $M$ , which corresponds to the partition  $\{n_1, \dots, n_r\}$ . Clearly [12, p. 379]  $\varphi_k(p^\alpha) \leq \varphi_\infty(p^\alpha) = p^{(p^\alpha-1)/(p-1)}$ ,  $\varphi_k(1) = 1$ ,  $\varphi_k(2) = 2$ ,  $\varphi_k(4) = 8$  and if  $\alpha \geq 3$ , then  $\varphi_k(2^\alpha) \geq \varphi_4(2^\alpha) \geq 2^{7 \cdot 2^{\alpha-3}}$ , since  $S_2(\text{Sym}(2^3))$  is of order  $2^7$  and of class 4 [12, p. 379].

We claim now that an analogue of Lemma B.6 holds: if  $p^\alpha > 3$ , where  $p$  is an odd prime and  $p^\alpha = 2^{\alpha_1} + \dots + 2^{\alpha_s}$  is the 2-adic representation of  $p^\alpha$ , then:  $\prod_{i=1}^s \varphi_k(2^{\alpha_i}) > \varphi_k(p^\alpha)$  for  $k \geq 4$ . It is easy to check the claim for  $p^\alpha \leq 31$ , so assume, since  $p^\alpha$  is a power of an odd prime, that  $p^\alpha \geq 37$ . Using our estimates it suffices to prove:

$$2^{-\frac{17}{8}} \prod_{i=1}^s 2^{7 \cdot 2^{\alpha_i-3}} > p^{(p^\alpha-1)/(p-1)} \quad \text{or} \quad 2^{\frac{7}{8}p^\alpha - \frac{17}{8}} > p^{(p^\alpha-1)/(p-1)}.$$

Since  $p^\alpha \geq 37$ , hence  $\frac{7}{8}p^\alpha - \frac{17}{8} > \frac{13}{16}p^\alpha$  and the problem reduces to proving

$$2^{\frac{13}{16}p^\alpha} > p^{(p^\alpha-1)/(p-1)} \quad \text{or} \quad \frac{13}{16} p^\alpha > \frac{p^\alpha - 1}{p - 1} \log_2 p.$$

But

$$\frac{13}{16} p^\alpha = \frac{13}{16} (p - 1) \frac{p^\alpha}{p - 1} > \frac{p^\alpha - 1}{p - 1} \log_2 p$$

since  $\frac{13}{16}(p - 1) > \log_2 p$  for  $p \geq 3$ . The claim has been proved. Now applying the arguments of the proofs of Lemma B.7 and Theorem B.8, we deduce that  $M$  is either  $S_2(\text{Sym}(n))$  or a subgroup generated by a 3-cycle and  $S_2(\text{Sym}(n - 3))$ . Thus,  $d(k, \text{Sym}(n)) = \max\{3d(k, S_2(\text{Sym}(n - 3))), d(k, S_2(\text{Sym}(n)))\}$ . Let  $n = 4m + \varepsilon$ , where  $\varepsilon = 0, 1, 2, 3$ . Then for  $\varepsilon = 0, 1, 2$  we get:

$$\begin{aligned} 3d(k, S_2(\text{Sym}(n - 3))) &\leq 3 \cdot 2d(k, S_2(\text{Sym}(n - 4 - \varepsilon))) \\ &\leq \frac{6}{8} d(k, S_2(\text{Sym}(n - \varepsilon))) < d(k, S_2(\text{Sym}(n))) \end{aligned}$$

and if  $\varepsilon = 3$ , then

$$d(k, S_2(\text{Sym}(n))) \leq 2d(k, S_2(\text{Sym}(4m))) < 3d(k, S_2(\text{Sym}(4m))).$$

These inequalities complete the proof of (a). Parts (b) and (c) follow directly from the proof of part (a).

The problem of  $\mathcal{A}(3, (\text{Sym}(n)))$  will be dealt with elsewhere. The following example shows that the case  $k = 3$  (as well as the case  $k = 1$ ) is exceptional.

EXAMPLE.  $S_3(\text{Sym}(9))$  is of order  $3^4$  and class 3, while  $S_2(\text{Sym}(9))$  is of order  $2^7$  and class 4, [12, p. 379] and it is easy to see that  $\mathcal{A}(3, \text{Sym}(9))$  is the set of 3-Sylow subgroups of  $\text{Sym}(9)$ .

**D.  $\pi$ - $N$ -Injectors in  $\text{Sym}(n)$**

$\pi$ - $N$ -Injectors have been defined in Section A. We will now prove that Conjectures 1 and 2 of Section A hold in  $\text{Sym}(n)$ .

**THEOREM D.1.** *Let  $\pi$  be any set of primes, then  $NI(\pi, \text{Sym}(n))$  is a conjugacy class.*

**PROOF.** If  $2, 3 \in \pi$ , then  $NI(\pi, \text{Sym}(n)) = NI(\text{Sym}(n))$  and hence is a conjugacy class. If  $2 \in \pi$  but  $3 \notin \pi$ , then using the same arguments as in the proof of Theorem B.8 it follows that  $NI(\pi, \text{Sym}(n))$  is the set of the 2-Sylow subgroups of  $\text{Sym}(n)$  and, hence again, is a conjugacy class. So let us assume that  $2 \notin \pi$ . We will prove that any element of  $NI(\pi, \text{Sym}(n))$  has the following form: it is the  $r$ -Hall subgroup of a maximal nilpotent subgroup of  $\text{Sym}(n)$  which corresponds to a uniquely defined partition  $\{m, n_1, \dots, n_s\}$  of  $n$  satisfying the following conditions:

- (a)  $m < \min\{p \mid p \in \pi\}$  or  $m = 0$ .
- (b) For each  $i, 1 \leq i \leq s, n_i = p_i^{\alpha_i}$  where  $p_i \in \pi$  not necessarily distinct and  $\alpha_i \geq 1$ .

Since each subgroup in the set  $\mathcal{A}(\pi, 2, \text{Sym}(n))$  is contained in a maximal nilpotent subgroup  $M$ ,

$$d(\pi, 2, \text{Sym}(n)) = \max\{d(\pi, 2, M) \mid M \text{ is maximal nilpotent in } \text{Sym}(n)\}.$$

Let  $\{m_1, \dots, m_r\}$  be a partition of  $n$  which corresponds to an  $M_1$  such that  $d(\pi, 2, M_1) = d(\pi, 2, \text{Sym}(n))$ . Then define  $m = \sum m_i$ , where the summation runs over those  $i$ 's for which  $d(\pi, 2, \text{Sym}(m_i)) = 1$ . Clearly  $m < \min\{p \mid p \in \pi\}$ . Let  $M$  be a maximal nilpotent subgroup of  $\text{Sym}(n)$  corresponding to the partition  $m, n_1, \dots, n_s$  where the  $n_i$ 's are those  $m_i$ 's which satisfy  $d(\pi, 2, \text{Sym}(m_i)) > 1$ . Obviously  $d(\pi, 2, M) = d(\pi, 2, M_1) = d(\pi, 2, \text{Sym}(n))$ . It follows from the maximality of  $d(\pi, 2, M)$  that only primes from  $\pi$  divide each  $n_i, 1 \leq i \leq s$ . We will now prove that  $n_i = p_i^{\alpha_i}$  where  $p_i \in \pi$  and  $\alpha_i \geq 1$ . Suppose that  $n_i = p^\alpha q^\beta$ , where  $p, q \in \pi$  and W.L.O.G.  $p > q$ . By splitting  $n_i$  into  $q^\beta$  parts of cardinality  $p^\alpha$  each, we get a contribution of  $p^{\alpha q^\beta}$  instead of  $p^{\alpha-1} q^{\beta-1}$ , thus increasing  $d(\pi, 2, \text{Sym}(n))$ . So  $n_i$  cannot be a product of powers of two distinct primes, and by induction, we obtain  $n_i = p_i^{\alpha_i}$ .

It is left to prove that  $d(\pi, 2, \text{Sym}(n))$  determines the  $n_i$ 's uniquely. Denote by  $w(p)$  the sum of all the  $n_i$ 's which are powers of the prime  $p$ , and let  $w(p) = \sum_{k=1}^{\infty} a_k p^{\alpha_k}, 1 \leq \alpha_k \leq p-1, \alpha_k \geq 1$  be the  $p$ -adic representation of  $w(p)$ . By the maximality of  $M$  each  $p^{\alpha_k}$  appears exactly  $a_k$  times as an  $n_i$  for some  $i$ . Since  $2 \notin \pi$ , Theorem B.3 implies that the exponent of  $p$  in  $d(\pi, 2, M)$  is

$\sum_{k=1}^i a_k p^{\alpha_k - 1}$  and hence the  $a_k$ 's and the  $\alpha_k$ 's are uniquely determined by  $d(\pi, 2, M)$ . We conclude that all the  $n_i$ 's are uniquely determined and hence the elements of  $NI(\pi, \text{Sym}(n))$  form a single conjugacy class.

**THEOREM D.2.** *Let  $\pi$  be any set of primes, then the set  $\mathcal{A}(\pi, \infty, \text{Sym}(n))$  is a conjugacy class.*

**PROOF.** The proof is quite similar to the proof of Theorem D.1. We will prove that if  $H \in \mathcal{A}(\pi, \infty, \text{Sym}(n))$  then it is a  $\pi$ -Hall subgroup of a maximal nilpotent subgroup of  $\text{Sym}(n)$  which corresponds to a uniquely defined partition  $\{m, n_1, \dots, n_s\}$  of  $n$  satisfying the following conditions:

- (a)  $m < \min\{p \mid p \in \pi\}$  or  $m = 0$ .
- (b) For each  $i, 1 \leq i \leq s, n_i = p_i^{\alpha_i}$  where  $p_i \in \pi$  not necessarily distinct and  $\alpha_i \geq 1$ .

Define  $\{m_1, \dots, m_r\}, \{m, n_1, \dots, n_s\}$  and  $M$  similarly to their definitions in the proof of Theorem D.1. We will now prove that  $n_i = p_i^{\alpha_i}$  for some  $p_i \in \pi$  and  $\alpha_i \geq 1$ . If  $p \mid n_i$  then clearly  $p \in \pi$ . Suppose that  $n_i = p^\alpha q^\beta$  where  $p, q \in \pi$  and W.L.O.G.  $p > q$ . Splitting  $n_i$  into  $q^\beta$  parts of cardinality  $p^\alpha$  each, we get since  $|S_p(\text{Sym}(p^\alpha))| = p^{(p^\alpha - 1)/(p - 1)}$  a contribution of

$$p^{((p^\alpha - 1)/(p - 1))q^\beta} > p^{(p^\alpha - 1)/(p - 1)} q^{(q^\beta - 1)/(q - 1)},$$

thus increasing  $d(\pi, \infty, \text{Sym}(n))$ . We have proved that  $n_i$  cannot be a product of powers of two distinct primes, and using induction, we obtain  $n_i = p_i^{\alpha_i}$ .

It is left to prove that  $d(\pi, \infty, \text{Sym}(n))$  determines the  $n_i$ 's uniquely. Denote by  $w(p)$  the sum of all the  $n_i$ 's which are powers of the prime  $p$ , and let  $w(p) = \sum_{k=1}^i a_k p^{\alpha_k}, 1 \leq a_k \leq p - 1, \alpha_k \geq 1$  be the  $p$ -adic representation of  $w(p)$ . By the maximality of  $M$  each  $p^{\alpha_k}$  appears exactly  $a_k$  times as  $n_i$  for some  $i$ . But by [14, p. 11]

$$|S_p(M)| = \left| \prod_{k=1}^i (S_p(\text{Sym}(p_k^{\alpha_k}))^{a_k} \right| = |S_p(\text{Sym}(w(p)))|$$

and since  $p$  divides  $w(p), |S_p(M)|$  and hence  $d(\pi, \infty, \text{Sym}(n))$  uniquely determine  $w(p)$ . Thus  $d(\pi, \infty, \text{Sym}(n))$  uniquely determines the  $a_k$ 's and the  $\alpha_k$ 's, and consequently also the  $n_i$ 's. The uniqueness of the  $n_i$ 's implies that the elements of  $\mathcal{A}(\pi, \infty, \text{Sym}(n))$  form a single conjugacy class.

We will conclude with some examples:

**EXAMPLES.** (a) For  $k \geq 2$  or  $k = \infty \mathcal{A}(\{3\}', k, \text{Sym}(n))$  is a set of 2-subgroups of  $\text{Sym}(n)$ .

(b) For  $k \geq 1$  or  $k = \infty \mathcal{A}(\{3, 5\}', k, \text{Sym}(n))$  is a set of 2-subgroups of  $\text{Sym}(n)$ .

(c)  $NI(\{2\}', \text{Sym}(11))$  consists of groups generated by the disjoint 3-cycles and a disjoint 5-cycle, while  $\mathcal{A}(\{2\}', \infty, \text{Sym}(11))$  is the set of 3-Sylow subgroups of  $\text{Sym}(11)$ . Thus, the fact that  $NI(G) = \mathcal{A}(\infty, G)$  for groups of odd order cannot be extended to  $NI(\{2\}', G) = \mathcal{A}(\{2\}', \infty, G)$  for arbitrary groups. However, it holds if  $G$  is solvable.

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