

# Palliative Operative Management in Rectal Carcinoma\*

W. R. JOHNSON, F.R.A.C.S., FRANCIS T. McDERMOTT, M.D., ERIC PIHL, M.D.,  
BARRIE J. MILNE, B.A., ANN B. PRICE, EDWARD S. R. HUGHES, M.D.

Johnson WR, McDermott FT, Pihl E, Milne BJ, Price AB, Hughes ESR. Operative Management in Rectal Carcinoma. *Dis Colon Rectum* 1981;24:606-609.

The results of palliative operative management of 338 patients with rectal carcinoma managed by one of the authors are presented. Postoperative mortality was higher for patients undergoing palliative resection (11.7 per cent) than colostomy bypass (5.3 per cent) or diagnostic laparotomy (6.8 per cent). Cancer specific survival following palliative resection was significantly ( $P < 0.001$ ) longer than that following colostomy bypass or diagnostic laparotomy for tumor Stages D<sub>1</sub> (local visceral involvement) and D<sub>2</sub> (distant metastases). However, in patients with liver or peritoneal metastases alone, cancer specific survival did not differ significantly after the operations of resection or colostomy bypass. The failure to demonstrate improved survival after resection of the primary tumor in these latter two groups with distant metastases indicates the dominant role of volume of tumor tissue present in these situations. The results suggest that longer survival following palliative resection reflects a bias of patient selection towards more favorable cases. [Key Words: Rectal carcinoma; palliative operative management]

RECTAL CARCINOMA is advanced (incurable by resection) in approximately one third of patients at presentation. Palliative treatment is all that can be offered this group. Twenty per cent of resected cases of rectal carcinoma in our own series,<sup>1</sup> as well as that at St. Mark's Hospital, London,<sup>2</sup> were palliative. Palliative resection is defined as one in which macroscopic tumor remains at completion of the operation.

The aim of palliative surgery in rectal carcinoma is to relieve symptoms, to prevent obstruction, and to improve the patient's well-being. In assessing the value of palliative operations, one must consider two additional factors: postoperative mortality and cancer-specific survival.

Comparisons between palliative surgical procedures demand some attempt at patient stratification based on the extent of tumor dissemination, so that similar patient groups can be identified. Using this method, Jaffe *et al.*<sup>3</sup> reported that survival differences

*From the Monash University Departments of Surgery and of Pathology and Immunology, Alfred Hospital Victoria, Australia*

that existed following various palliative operative procedures in gastrointestinal cancer became less pronounced.

Most reports favor, where possible, palliative resection in advanced rectal carcinoma.<sup>3-6</sup> This report reviews the experience of one of the authors (E.S.R.H.) with three operative procedures (palliative resection, colostomy bypass, and diagnostic laparotomy) in advanced rectal carcinoma. From this review, an attempt is made to define further the role of palliative resection.

## Methods

Between 1950-78, a total of 1228 patients were managed for a single carcinoma of the rectum. The upper extent of the rectum was defined as being at 18 cm from the anal verge as measured at sigmoidoscopy. There were 676 men (mean age  $61 \pm 11$  years) and 552 women (mean age  $60 \pm 13$  years). Patients who had a solitary liver metastasis that was resected with curative intention were excluded from consideration.

Three operative procedures were performed: resection (239 patients), colostomy bypass (61 patients), and diagnostic laparotomy (38 patients). There was no significant difference in patient age distribution among these operative groups. In particular, there was no bias towards a younger age for patients undergoing resection than other procedures.

Postoperative deaths were defined as those occurring within three months from complications of the operation. Deaths occurring within this period but not related to postoperative complications or intercurrent disease were defined as cancer-specific deaths.

\* Received for publication April 15, 1981.

Address reprint requests to Mr. Johnson: Department of Surgery, Monash University, Alfred Hospital, Commercial Road, Prahran, Victoria, Australia 3181.

All patients were prospectively registered, and clinical and pathologic data were classified. Cancer-specific survival<sup>1</sup> was analyzed according to the method of Kaplan and Meier.<sup>7</sup> Differences between survival curves for each operative procedure and for operative procedures performed within the groups tumor Stage D<sub>1</sub> (local visceral involvement) and tumor Stage D<sub>2</sub> (distant metastases) were evaluated statistically by the generalized Wilcoxon test according to Gehan.<sup>8</sup>

Detailed follow-up data were available on all 338 patients undergoing palliative surgery for advanced rectal carcinoma.

### Results

The postoperative mortality in this series was higher for patients undergoing palliative resection than that for diagnostic laparotomy or colostomy bypass (Table 1).

The five-year cancer-specific survival for patients undergoing palliative operations in advanced rectal carcinoma was 4.5 per cent with a median survival of ten months.

Comparisons of the cancer specific survival for the three operative procedures revealed significantly ( $P < 0.001$ ) longer survival for patients undergoing palliative resection than that for either colostomy bypass or diagnostic laparotomy (Fig. 1).

With tumor Stages D<sub>1</sub> (Fig. 2) and D<sub>2</sub> (Fig. 3), survival was significantly better for patients treated by resection than nonresection.

When patients with liver metastases as the sole evidence of tumor dissemination were considered, there was no significant difference in cancer-specific survival after palliative resection or colostomy bypass (median survival 13 and 10 months, respectively). However, cancer-specific survival following diagnostic laparotomy was significantly worse when compared with palliative resection ( $P < 0.001$ ) or colostomy bypass ( $P < 0.002$ ), with a median survival of three months.

Comparisons of the cancer specific survival for the three operative procedures revealed significantly ( $P$

TABLE 1. Operative Mortality Following Palliative Surgical Procedures in Rectal Cancer

	Number	Operative Mortality	
		Number	Per Cent
Resection	239	28	11.7
Colostomy bypass	61	3	4.9
Diagnostic laparotomy	38	2	5.3

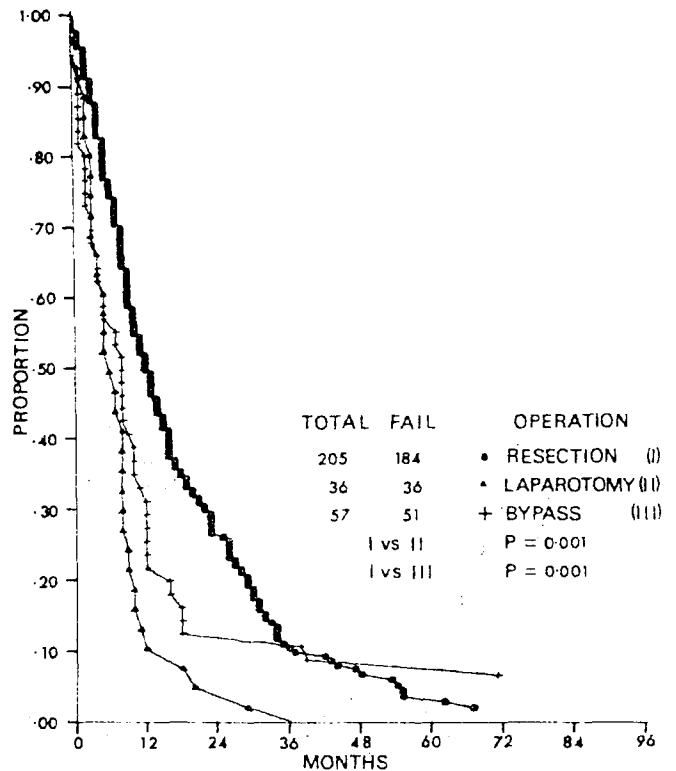


FIG. 1. Cancer specific survival was significantly better after resection than either colostomy bypass or laparotomy.

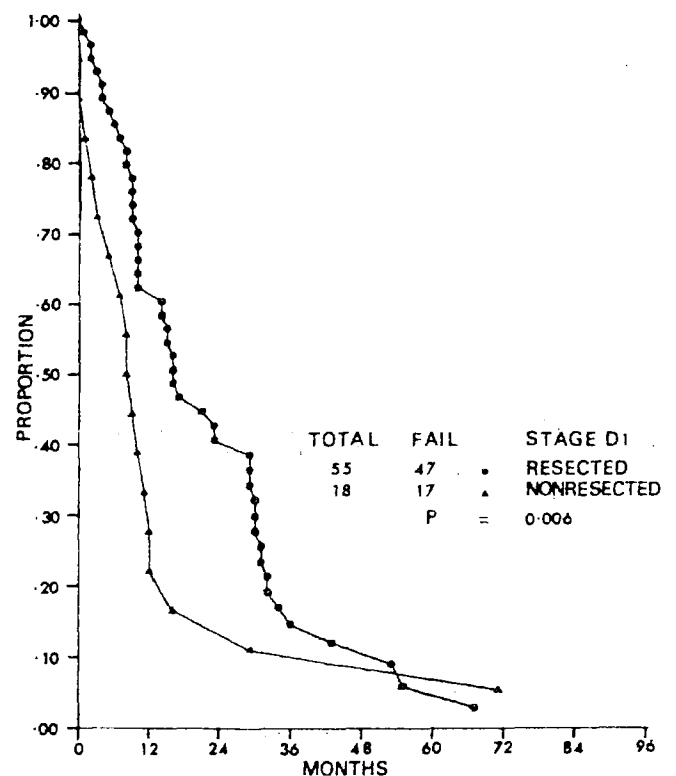


FIG. 2. Cancer specific survival was significantly better in patients treated by resection, compared with nonresection in tumor Stage D<sub>1</sub> (local visceral involvement).

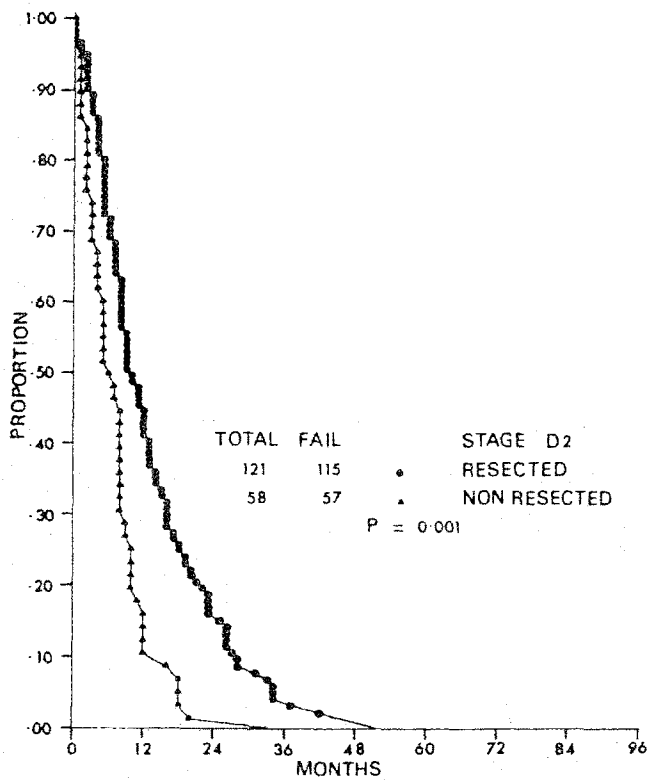


FIG. 3. Cancer specific survival was significantly better in patients treated by resection compared with nonresection in tumor Stage D<sub>2</sub> (distant metastasis).

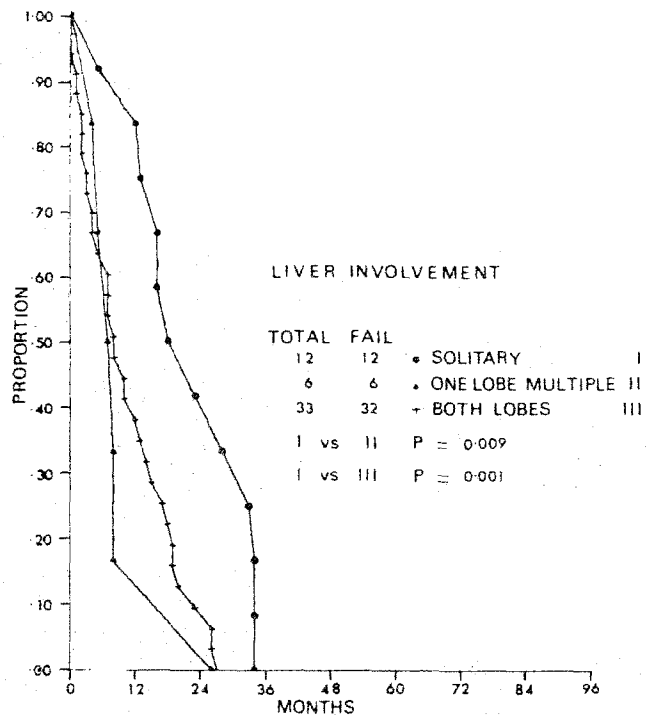


FIG. 4. Cancer specific survival was significantly better in patients with solitary liver metastasis compared with patients with multiple metastases of one or both lobes.

< 0.001) longer survival for patients undergoing palliative resection than that for either colostomy bypass or diagnostic laparotomy (Fig. 1).

With tumor Stages D<sub>1</sub> (Fig. 2) and D<sub>2</sub> (Fig. 3), survival was significantly better for patients treated by resection than nonresection.

When patients with liver metastases as the sole evidence of tumor dissemination were considered, there was no significant difference in cancer-specific survival after palliative resection or colostomy bypass (median survival 13 and 10 months, respectively). However, cancer-specific survival following diagnostic laparotomy was significantly worse when compared with palliative resection ( $P < 0.001$ ) or colostomy bypass ( $P < 0.002$ ), with a median survival of three months.

Patients with solitary liver metastasis had a significantly longer cancer-specific survival than those patients with either multiple metastases of one lobe or both lobes (Table 2; Fig. 4).

There was no significant difference in cancer-specific survival between operative procedures when peritoneal involvement was the only evidence of tumor dissemination (Table 3).

**Discussion**

The postoperative mortality for palliative resection (11.7 per cent) was higher than that previously reported in this series for curative resection (5.3 per cent),<sup>1</sup> despite no significant difference in the mean age of the patients. A higher postoperative mortality

TABLE 2. Median Survival Following Resection of Rectal Cancer Based on Extent of Liver Involvement

	Number of Patients		Median Survival (months)
	Total	Resected	
Solitary Metastasis	12	12	18
Multiple, one lobe	6	6	7
Multiple, both lobes	33	27	8

TABLE 3. Median Survival Following Surgery in the Presence of Peritoneal Metastases alone

	Number of Patients	Median Survival (months)
Resection	17	9
Colostomy bypass	3	6
Diagnostic laparotomy	5	7

after palliative resection has been previously reported.<sup>9-11</sup> Bordos *et al.*,<sup>6</sup> however, reported no significant differences in postoperative mortality following palliative or curative abdominoperineal resection for rectal carcinoma, and Takaki *et al.*<sup>5</sup> reported a postoperative mortality for palliative resection of 6.4 per cent, close to that of 4 per cent for curative resection.

The postoperative mortality after palliative resection was higher than that for patients undergoing colostomy bypass (5.5 per cent) or diagnostic laparotomy (6.8 per cent). This was not the experience of Welch and Donaldson<sup>9</sup> nor of Bacon and Martin<sup>4</sup> who reported a higher postoperative mortality when the primary tumor was not or could not be resected.

In the present series for tumor Stages D<sub>1</sub> and D<sub>2</sub>, cancer-specific survival was better following palliative resection than either colostomy bypass or diagnostic laparotomy.

When liver metastases were present as the only evidence of tumor dissemination, there was no significant difference in cancer-specific survival between resection or colostomy bypass, a finding similar to that of Fischerman *et al.*<sup>12</sup> In this circumstance, the primary tumor was of less significance than the hepatic metastasis in determining survival, a fact supported by the finding that in this group the patients having diagnostic laparotomy did significantly worse.

There was no significant difference in survival following the three types of palliative surgical procedures when peritoneal metastases were present as the only evidence of tumor dissemination.

Patients with solitary liver metastasis had a significantly longer survival period than those with multiple liver metastases irrespective of the operative procedure. There was no difference in cancer-specific survival among patients with multiple metastases of one lobe or both lobes of the liver, a finding at variance with Wood *et al.*<sup>13</sup> who reported longer survival when multiple liver metastases were confined to one lobe.

In considering either peritoneal metastasis or liver metastasis alone, failure to demonstrate an advantage after resection of the primary tumor suggests the dominant role of volume of tumor tissue present in

these situations. This factor was previously emphasized by Jaffe *et al.*<sup>3</sup>

The present series shows that palliative resection, where possible, is the procedure of choice in advanced rectal carcinoma. However, the experience also suggests it is the more favorable cases that undergo palliative resection. A point is reached, independent of the primary cancer, but related to the total volume of tumor tissue present, when the survival advantage of palliative resection ceases to exist.

### References

1. Pihl E, Hughes ES, McDermott FT, Milne BJ, Korner JM, Price AB. Carcinoma of the rectum and rectosigmoid: cancer specific long-term survival. A series of 1061 cases treated by one surgeon. *Cancer* 1980;2902-7.
2. Lockhart-Mummery HE, Ritchie JK, Hawley PR. The results of surgical treatment for carcinoma of the rectum at St. Mark's Hospital from 1948 to 1972. *Br J Surg* 1976;63:673-7.
3. Jaffe BM, Donegan WL, Watson F, Spratt JS Jr. Factors influencing survival in patients with untreated hepatic metastases. *Surg Gynecol Obstet* 1968;127:1-11.
4. Bacon HE, Martin PV. The rationale of palliative resection for primary cancer of the colon and rectum complicated by liver metastasis. *Dis Colon Rectum* 1964;7:211-7.
5. Takaki HS, Ujiki GT, Shields TS. Palliative resection in the treatment of primary colorectal cancer. *Am J Surg* 1977;133:548-50.
6. Bordos DC, Baker RR, Cameron JL. An evaluation of palliative abdominoperineal resection for carcinoma of the rectum. *Surg Gynecol Obstet* 1974;139:731-3.
7. Kaplan EL, Meier P. Nonparametric estimation from incomplete observations. *J Am Statist Assoc* 1958;53:457-81.
8. Gehan EA. A generalized Wilcoxon test for comparing arbitrarily singly censored samples. *Biometrika* 1965;52:203-23.
9. Welch JP, Donaldson GA. Recent experience in the management of cancer of the colon and rectum. *Am J Surg* 1974;127:258-66.
10. Whitaker M, Goligher JC. The prognosis after surgical treatment for carcinoma of the rectum. *Br J Surg* 1976;63:384-8.
11. Stewart RJ, Robson RA, Stewart AW, Stewart JM, Macbeth WA. Cancer of the large bowel in a defined population: Canterbury, New Zealand, 1970-4. *Br J Surg* 1979;66:309-14.
12. Fischerman K, Petersen CF, Jensen SL, Christensen KC, Efsen F. Survival among patients with liver metastases from cancer of the colon and rectum. *Scand J Gastroenterol* 1976; suppl 37:111-5.
13. Wood CB, Gillis CR, Blumgart LH. A retrospective study of the natural history of patients with liver metastases from colorectal cancer. *Clin Oncol* 1975;2:285-8.