

# The complete sequence of the rice (*Oryza sativa*) chloroplast genome: Intermolecular recombination between distinct tRNA genes accounts for a major plastid DNA inversion during the evolution of the cereals

Junzou Hiratsuka<sup>1</sup>, Hiroaki Shimada<sup>1</sup>, Robert Whittier<sup>1</sup>, Takashi Ishibashi<sup>1</sup>, Masahiro Sakamoto<sup>1</sup>, Masao Mori<sup>1</sup>, Chihiro Kondo<sup>1</sup>, Yasuko Honji<sup>1</sup>, Chong-Rong Sun<sup>\*</sup>, Bing-Yuan Meng<sup>1</sup>, Yu-Qing Li<sup>1</sup>, Akira Kanno<sup>2</sup>, Yoko Nishizawa<sup>2</sup>, Atsushi Hirai<sup>2</sup>, Kazuo Shinozaki<sup>1</sup>, and Masahiro Sugiura<sup>1</sup>

<sup>1</sup> Center for Gene Research, Nagoya University, Chikusa, Nagoya 464-01, Japan

<sup>2</sup> Faculty of Agriculture, Nagoya University, Chikusa, Nagoya 464-01, Japan

Summary. The entire chloroplast genome of the monocot rice (Oryza sativa) has been sequenced and comprises 134525 bp. Predicted genes have been identified along with open reading frames (ORFs) conserved between rice and the previously sequenced chloroplast genomes, a dicot, tobacco (Nicotiana tabacum), and a liverwort (Marchantia polymorpha). The same complement of 30 tRNA and 4 rRNA genes has been conserved between rice and tobacco. Most ORFs extensively conserved between N. tabacum and M. polymorpha are also conserved intact in rice. However, several such ORFs are entirely absent in rice, or present only in severely truncated form. Structural changes are also apparent in the genome relative to tobacco. The inverted repeats, characteristic of chloroplast genome structure, have expanded outward to include several genes present only once per genome in tobacco and liverwort and the large single copy region has undergone a series of inversions which predate the divergence of the cereals. A chimeric tRNA pseudogene overlaps an apparent endpoint of the largest inversion, and a model invoking illegitimate recombination between tRNA genes is proposed which accounts simultaneously for the origin of this pseudogene, the large inversion and the creation of repeated sequences near the inversion endpoints.

**Key words:** Conserved open reading frames – Monocots – Chloroplast DNA – Sequence duplication – Multimer formation

## Introduction

Chloroplasts are intracellular organelles present in plants, which contain the entire enzymatic machinery for photosynthesis. Similarly to mitochondria, chloroplasts contain their own genome distinct from the nucleus. Among land plants, this genome is generally comprised of a single circular DNA molecule, 120–160 kbp in length, divided structurally into a large single copy (LSC) and small single copy region (SSC) separated from each other by inverted repeats (IRs), which are present in two copies per genome (Palmer 1985).

To date, the entire chloroplast genomic sequence has been determined in two other plants, tobacco (*Nicotiana tabacum*) and a liverwort (*Marchantia polymorpha*) (Shinozaki et al. 1986; Ohyama et al. 1986; 1988). These studies and others indicate that chloroplasts probably code for all of their own tRNA and rRNA molecules and some, but not all, of the proteins required by their genetic apparatus or for photosynthesis (Posno et al. 1984). The remainder of these proteins must be encoded in the nucleus and imported from the cytoplasm (Schmidt and Mishkind 1986).

Although many of the open reading frames (ORFs) found within chloroplast genomes have been identified by comparing their predicted translation products with known sequences of chloroplast or prokaryotic proteins, many ORFs could not be identified. One method to help identify which of the remaining ORFs probably code for genuine chloroplast proteins is to utilize evolutionary filtering (Zurawski and Clegg 1987; Wolfe and Sharp 1988). Sequences conserved over large evolutionary distance are inferred to be evolving under constraint, presumably because they perform some necessary function. The fossil record indicates that monocots and dicots diverged 100-140 million years ago, and it has been estimated that flowering plants last shared a common ancestor with liverworts 350-400 million years ago (Stewart 1983). The published sequences of liverwort and tobacco chloroplast genomes were recently compared and conserved ORFs were identified (Wolfe and Sharp 1988). However, sequence data from monocot chloroplast genomes have been only fragmentary. To determine the entire coding potential, a complete sequence determination is required.

Studies of a fern, a gymnosperm and several angiosperms have established a consensus chloroplast gene order among vascular land plants identical to that found in tobacco (Palmer and Stein 1986). The data available for the cereal grasses wheat and maize show that their chloroplast genomes have diverged from the consensus gene order through a series of overlapping inversions within the LSC (Quigley and Weil 1985; Howe 1985).

<sup>\*</sup> Present address: Department of Biochemistry, Fudan University, Shanghai, China

Offprint requests to: M. Sugiura

Abbreviations: PSII, photosystem II; PSI, photosystem I; RuBisCo, ribulose 1,5-bisphosphate carboxylase;  $IR_A$  and  $IR_B$  denote the inverted repeat regions distal and proximal to *ndh*F respectively

186

tance of monocots, in particular the cereals, and their evolutionary distance from dicots, we have determined the complete sequence of the rice chloroplast genome and compared it to other complete chloroplast sequences in order to identify conserved and non-conserved genes. In addition, we have found that rice chloroplasts share the genome rearrangements observed in other grasses. Close inspection has suggested a model for the first overlapping inversion which accounts for several otherwise puzzling aspects of its border sequences. Features of this model may have general relevance to mitochondrial and chloroplast genome evolution.

## Materials and methods

DNA sequence determination was accomplished by the dideoxy chain termination method (Sanger et al. 1977) utilizing cloned Klenow fragment (Takara) or modified T7 DNA polymerase (USBC). A previously described clone bank of overlapping fragments from *Oryza sativa*, cv. Nihonbare was used (Hirai et al. 1985), supplemented by additional clones from the same cultivar as necessary. Computer-assisted analysis was carried out using UWGCG and IDEAS software on a MicroVax II computer and GENETYX software on a NEC PC-98XL personal computer. The protein sequence comparison program used treated introduced gaps as mismatches, so that gap penalties were proportional to gap size. Percentage amino acid residue identity was then calculated for the portion of the proteins judged homologous on the basis of amino acid residue identity and conservative substitution.

### **Results and discussion**

## Conserved genes

The entire rice chloroplast DNA sequence was determined by the dideoxy chain termination method using a clone bank of overlapping fragments (Hirai et al. 1985). By virtue of recombination between the two IRs, chloroplast genomes exist as equimolar mixtures of two isomers differing from each other in the relative orientation of their two single copy regions (Stein et al. 1986). To simplify comparison, Fig. 1 and Table 1 depict the isomeric configuration corresponding to the one previously cloned and presented for tobacco (Sugiura et al. 1986; Shinozaki et al. 1986). The sequences determined for rice and tobacco were aligned and rice genes were identified by homology with their tobacco counterparts. Identified genes, ORFs and other notable sequence features are listed in Table 1 in order of their positions within the genome, starting from the IR<sub>A</sub>-LSC junction and proceeding counterclockwise around the chromosome as depicted in Fig. 1.

Thirty tRNA genes were identified, corresponding in chromosomal location and anticodon to those previously identified in tobacco (Wakasugi et al. 1986). Computeraided searches were performed in order to identify any additional tRNA genes, but no other likely functional tRNA

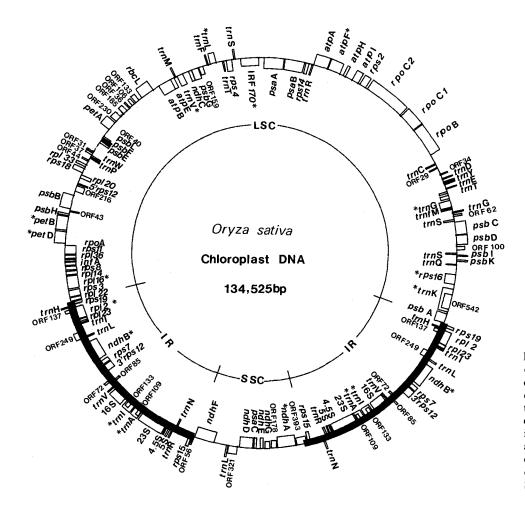


Fig. 1. Genetic circle map of the *Oryza sativa* chloroplast genome drawn to scale. Genes shown on the outside of the circle are encoded on the A strand and transcribed counter-clockwise. Genes on the inside are encoded on the B strand and transcribed clockwise. Asterisks denote split genes. LSC, large single copy region; IR, inverted repeat; SSC, small single copy region

## Table 1. Genes and open reading frames (ORFs) of the rice chloroplast genome

Gene	Comment	Strand	Coding region	
			Start	End
osbA	PSII 32kDa protein	В	1143	82
rnK	tRNA-Lys (UUU) 3'exon	В	1 397	1 3 7 3
	5'exon	В	3931	3902
DRF542	ORF within <i>trn</i> K intron	В	3296	1668
<i>ps</i> 16	Ribosomal protein S16 3'exon	В	4704	4487
	5'exon	В	5553	5514
nQ	tRNA-Gln (UUG)	В	6687	6615
sbK	PSII K protein	Α	7033	7218
sbI	PSII I protein	Α	7608	7718
nS	tRNA-Ser (GCU)	В	7916	7829
RF100		Α	8 3 4 9	8651
sbD	PSII D2 protein	Α	8900	9961
sbC	PSII 44 kDa protein	Α	9909	11326
nS	tRNA-Ser (UGA)	В	11 590	11 503
RF62		Α	11937	12125
nG	tRNA-Gly (GCC)	Α	12331	12401
trnG	Pseudogene for tRNA-Gly (GCC)	В	12601	12 528
nfM	tRNA-fMet (CAU)	В	12911	12839
nG	tRNA-Gly (UCC) 3'exon	В	13050	13003
	5'exon	В	13752	13729
	Homology to 3'rps12 intron	Α	14277	14367
RF91		В	14346	14077
nT	tRNA-Thr (GGU)	Α	15060	15131
trnT	Pseudogene for tRNA-Thr (GGU)	A	15128	15200
nE	tRNA-Glu (UUC)	A	15650	15722
nY	tRNA-Tyr (GUA)	Ā	15784	15867
nD	tRNA-Asp (GUC)	Ă	16231	16304
RF34	······································	A	16685	16789
	Inverted repeat	••	16880	16927
RF29		В	17645	17556
nC	tRNA-Cys (GCA)	B	18129	18059
øΒ	RNA polymerase beta subunit	Ă	19214	22441
oC1	RNA polymerase beta' subunit-1	A	22479	24 527
oC2	RNA polymerase beta' subunit-2	A	24727	29268
s2	Ribosomal protein S2	A	29 540	30250
pI	ATPase a subunit	A	30 501	31 244
pH	ATPase III subunit	A	32039	32284
pF	ATPase I subunit 5'exon	A	32741	32885
<i>p</i> 1	3'exon	A	33714	34111
pА	ATPase alpha subunit	A	34210	35733
nR	tRNA-Arg (UCU)	B		
trnfM/G	Chimeric pseudogene fusing <i>trn</i> fM (CAU) to <i>trn</i> G (UCC)	B	35937	35866
<i>i</i> –		-	36147	36073
s14	Ribosomal protein S14	B	36620	36309
aB	PSI P700 apoprotein A2	B	38972	36768
aA	PSI P700 apoprotein A1	B	41 250	38998
RF170	Intron-containing reading frame 3rd exon	B	42009	41851
	2nd exon	B	42968	42739
<u> </u>	1st exon	B	43837	43714
nS	tRNA-Ser (GGA)	A	44438	44 524
<i>s</i> 4	Ribosomal protein S4	В	45415	44810
nT	tRNA-Thr (UGU)	В	45787	45715
nL	tRNA-Leu (UAA) 5'exon	Α	46558	46 592
_	3'exon	Α	47133	47182
1F	tRNA-Phe (GAA)	A	47425	47497
RF159		В	48471	47988
bG	PSII G protein	В	49 309	48 569
lhC	NADH dehydrogenase ND3	В	49662	49 300
ηV	tRNA-Val (UAC) 3'exon	В	50401	50367
	5'exon	В	51037	50999
nМ	tRNA-Met (CAU)	Α	51 219	51 291
pЕ	ATPase epsilon subunit	В	51817	51 404
pВ	ATPase beta subunit	В	53 3 10	51814
cL	RuBisCO large subunit	Α	54095	55528
RF42	Homology to rpl23	Α	55806	55934
RF133		A	55958	56359

Table	1	(continued)
-------	---	-------------

Jene	Comment	Strand	Coding region	
			Start	End
DRF106		Α	56553	56873
	Inverted repeat		57010	57075
RF36	Inverted repeat	А	57 222	57332
		A	57702	58 2 59
RF185			58290	58 547
RF85		A		
RF230		A	58677	59369
etA	Cytochrome f	Α	59601	60 563
RF40		В	61 687	61 565
bL	PSII L protein	В	61930	61814
sbF	PSII cytochrome b559	В	62072	61953
вbЕ	PSII cytochrome b559	В	62334	62083
02	Homology to 3'rps12 intron	Α	62982	63027
RF31	Homology to 5 (p312 miton	Ă	63 531	63 626
		A	63 799	63912
RF37				
nW	tRNA-Trp (CCA)	B	64102	64029
nP	tRNA-Pro (UGG)	В	64 303	64229
RF44		Α	64622	64756
/33	Ribosomal protein L33	А	65198	65398
s18	Ribosomal protein S18	Α	65641	66132
/20	Ribosomal protein L20	B	66714	66355
		B	67 503	67 390
rps12	Ribosomal protein S12 exon-1			
RF216		В	68288	67638
bB	PSII P680 apoprotein	Α	68799	70325
ьbН	PSII 10 kDa phosphoprotein	А	70881	71102
RF35		А	70490	70 597
RF43		В	70777	70646
etB	Cytochrome B6 5'exon	А	71 232	71237
	3'exon	Ā	72049	72690
- D		A	72883	72890
etD	Cytochrome b/f complex subunit-5'exon		73635	74110
	3'exon	A		
οoA	RNA polymerase alpha subunit	В	75343	74330
os11	Ribosomal protein S11	В	75838	75407
0136	Ribosomal protein L36	В	76126	76013
fA	Initiation factor 1	В	76 561	76238
os8	Ribosomal protein S8	В	77108	76698
	Ribosomal protein L14	B	77619	77248
<i>pl</i> 14		B	78130	77729
<i>bl</i> 16	Ribosomal protein L16 3'exon		79198	79190
	5'exon	B		
ps3	Ribosomal protein S3	В	80063	79344
ol22	Ribosomal protein L22	В	80568	80119
unction of the lo	ng single copy region (LSC) with inverted repeat region B (IR	(B)	80 592	80 593
<i>ps</i> 19	Ribosomal protein S19	В	80918	80637
RF82		B	81163	80915
	ADNA HE (CLIC)		81 050	81124
nH	tRNA-His (GUG)	A		
RF137		A	81 286	81 699
12	Ribosomal protein L2	В	82664	81 180
123	Ribosomal protein L23	В	82964	82683
nI	tRNA-Ile (CAU)	В	83212	83139
RF28	• • •	А	83 534	83620
RF64		A	83685	83879
RF249		Â	83997	84746
	tRNA-Leu (CAA)	B	84791	84711
nL #D		B	86150	85395
thB	NADH dehydrogenase ND2 3'exon			
_	5'exon	В	87639	86863
s7	Ribosomal protein S7	В	88414	87944
rps12	Ribosomal protein S12 exon-3	В	88 501	88473
-	exon-2	В	89273	89042
		В	90442	90227
RF72		B	90658	90 501
				91067
RF85	$+$ <b>DNIA</b> $V_{c1}(CAC)$	٨	Unuuk	
RF85 nV	tRNA-Val (GAC)	A	90996	
PRF85 nV 6S rDNA	16S rRNA	Α	91 299	92789
PRF72 PRF85 nV 6S rDNA nI		A A	91 299 93 100	92789 93136
PRF85 nV 6S rDNA	16S rRNA	Α	91 299	92789

## Table 1 (continued)

Gene	Comment	Strand	Coding region	
			Start	End
trnA	tRNA-Ala (UGC) 5'exon	Α	94183	94220
-	3'exon	A	95033	95067
ORF109	ORF within trnA intron	A	94683	95012
23S rDNA	23S rRNA	A	95213	98096
	4.5S rRNA			
.5S rDNA		A	98192	98286
S rDNA	5S rRNA	Α	98 514	98634
rnR	tRNA-Arg (ACG)	Α	98891	98964
ORF23		Α	99016	99087
rnN	tRNA-Asn (GUU)	В	99287	99216
ORF63		Α	100206	100397
ps15	Ribosomal protein S15	Ā	100818	101 090
0RF56		A	101 229	101 399
unction of IR <sub>B</sub> wi	th the short single copy region (SSC)		101 391	101 392
edhF	NADH dehydrogenase ND5	В	103637	101433
ORF63	ATTALI CONJULOZOILOSO INDO			
		A	104352	104 543
nL	tRNA-Leu (UAG)	A	105074	105153
RF321		Α	105236	106201
dhD	NADH dehydrogenase ND4	В	107900	106398
saC	PSI C protein	В	108265	108020
dhE	NADH dehydrogenase ND4L	B	109017	108712
dhG	NADH dehydrogenase ND6	B	109757	109227
RF178	TAXISTI Genyarogenase TADO	B	110536	110000
dhA	NADH dehydrogenase ND1 3'exon	В	111169	110631
	5'exon	В	112706	112157
inction of the SSO	C with inverted repeat region A $(IR_A)$		113726	113727
RF393		В	113889	112708
os15	Ribosomal protein S15	B	114300	114028
	Ribbsoniai protein 515			
RF63		B	114912	114721
'nN	tRNA-Asn (GUU)	Α	115831	115902
RF23		В	116102	116031
'nR	tRNA-Arg (ACG)	В	116227	116154
S rDNA	5S rRNA	В	116604	116484
.5S rDNA	4.5S rRNA	B	116926	116832
3S rDNA	23S rRNA	B	119905	117022
'nA	tRNA-Ala (UGC) 3'exon	В	120085	120051
	5'exon	В	120935	120898
RF109	ORF within <i>trn</i> A intron	В	120435	120106
nI	tRNA-Ile (GAU) 3'exon	В	121034	121000
	5'exon	B	122018	121982
RF133	ORF within <i>trn</i> I intron	B	121877	121 476
6S rDNA	16S rRNA	B	123819	122 329
nV	tRNA-Val (GAC)	В	124122	124051
RF85		Α	124360	124617
RF72		Α	124676	124891
rps12	Ribosomal protein S12 exon-2	А	125845	126076
	exon-3	Α	126617	126645
ps7	Ribosomal protein S7	A	126704	127174
dhB	NADH dehydrogenase ND2 5'exon	Â	127 479	128255
41 f L				
	3'exon	A	128968	129723
nL	tRNA-Leu (CAA)	A	130327	130407
RF249		В	131121	130372
RF64		В	131433	131239
RF28		В	131 584	131498
nI	tRNA-Ile (CAU)	Ā	131906	131979
ol23	Ribosomal protein L23	A	132154	132435
ol2	Ribosomal protein L2	A	132454	133938
RF137		В	133832	133418
'nH	tRNA-His (GUG)	В	134068	133991
			400055	
RF82		A	133955	134203
	Ribosomal protein S19	A A	133955 134200	134203 134481

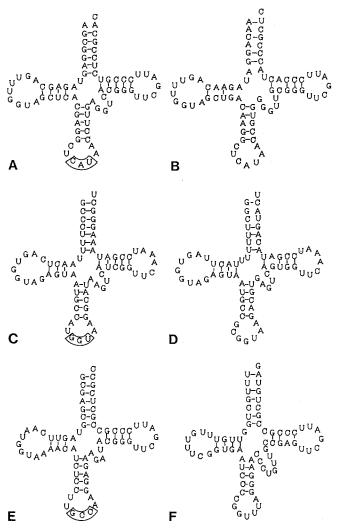


Fig. 2A–F. Rice tRNA cloverleaf structures and hypothetical cloverleaf structures for derived pseudogenes. A trnfM; B  $\psi trnfM/G$  (UCC); C trnT; D  $\psi trnT$ ; E trnG; F  $\psi trnG$ 

genes were detected. However, three apparent pseudogenes were found (Fig. 2). One is a chimeric pseudogene which will be discussed in greater detail below in regard to genomic rearrangements. The other two pseudogenes are located very close to the presumed functional genes they resemble:  $\psi trnT$  is present as a tandem direct repeat, overlapping at its 5' end the 3' end of trnT (GGU);  $\psi trnG$  is present as an inverted repeat downstream of trnG (GCC) so that 126 bp separate their 3' termini, as drawn. The inability of these sequences to form strongly base-paired stems suggests that they are non-functional, regardless of whether they are expressed. Rice and tobacco chloroplasts, therefore, probably code for the same complement of functional tRNA molecules.

Ribosomal RNA 16S, 23S, 4.5S and 5S genes were also conserved, as were putative protein coding genes previously identified in tobacco. In addition, the predicted translation products of rice ORFs were compared to the predicted products of ORFs occurring at similar positions in tobacco and liverwort (Table 2). Most ORFs shared between tobacco and liverwort were present in rice also (compare Wolfe and Sharp 1988). As expected, predicted protein products shared greater identity with their counterparts in

Table 2. Homology between predicted ORF translation products

ORF	Positio	n in rice	Percentage identity with rice	Number of compared amino acid
$O.s./N.t. /M.p.^{a}$	Strand	Start	N.t.	residues M.p.
542/509A/370	В	3296	59/512	32/374
62 / 62 / 62	А	11937	87/62	81/62
34/34b/34	Α	16687	100/ 34	88/ 33
29 / 29 / 29	В	17647	100/ 29	86/29
170 /168 /167	В	43837	95/168	84/167
159/158/169	В	48471	85/157	72/156
106/512 /316	Α	56553	50/74	45/74
36/36b/36b	А	57222	89/36	71/31
185/184 /184	Α	57702	80/185	60/183
230/229/434	А	58 677	62/229	46/231
40 / 40 / 40	В	61687	90/ 40	85/40
31 / 31 / 31	Α	63 531	90/ 31	77/31
37 / 37 / 37	Α	63799	100/ 37	86/ 37
44 / 44 /42b	Α	64622	89/44	76/42
216/196/203	В	68288	69/196	61/196
35/34a / 35	Α	70490	100/ 33	89/35
43 / 43 / 43	В	70777	98/43	84/43
26/228/464	В	99400	84/ 25	68/ 25
321 /313 /320	Α	105236	70/317	54/317
176/176 /ndh6	В	109757	76/167	55/177
178 /167 / <i>frx</i> B	В	110536	81/167	77/158
393 / 393 / 392	B	113889	89/393	83/391

<sup>&</sup>lt;sup>a</sup> O.s., Oryza sativa; N.t., Nicotiana tabacum; M.p., Marchantia polymorpha

tobacco than in liverwort, and homology between rice and liverwort ORFs was similar in extent to that between tobacco and liverwort ORFs. Exceptions to this trend include ORF106, discussed more fully below, and ORF216. The tobacco and liverwort ORFs corresponding to this latter ORF share 79% predicted amino acid identity with each other, notably more than either shares with rice ORF216. The loss of introns from this ORF (discussed below) coincides with an apparent loss of some evolutionary constraint upon the coding sequences.

Several ORFs conserved between tobacco and liverwort are entirely absent from rice, or present only in severely truncated form. Downstream of rbcL, ORF512 of tobacco and ORF316 of liverwort, whose putative translation products share 68% amino acid identity with each other over 279 residues, are represented in rice only by an ORF of 106 codons, whose predicted translation product would share no greater than 50% identity over 74 residues with either ORF. In addition, upstream of trnL (UAG) within the SSC, ORF55 of tobacco and ORF69 of liverwort, whose predicted protein products share 70% amino acid identity over 47 residues, have no counterpart in the rice chloroplast. Elsewhere in a region corresponding to the SSC of tobacco, but contained within the IRs of rice, a deletion of about 4.8 kbp has occurred. This deletion spans a region corresponding to most of tobacco ORFs 1244 and 228, as well as all of tobacco ORF273. Though this last missing ORF bears limited similarity to Escherichia coli ssb, it is not found in liverwort either. Tobacco ORF1244 exhibits sporadic homology with liverwort ORF1068, but the conserved portions are entirely removed from rice by this deletion. The most highly conserved portion of ORF228, in

0.5.	. fmnrywfdtnngscfsmlrigmypgfi'	
	*****************************	
N.t. RKPLYKEKNELIKLKFFLWPNYRLEDI	ACMNRYWFDTNNGSRFSILRIHMYPQLKIN'	
M.p.QKKFSKTKIKKIKRFIWASYRFEDI	LACMNRFWFNTINGSRFSMLRFRMYPSLLT'	

Fig. 3. Comparison of the predicted rice (O.s.) ORF26 amino acid sequence with the -COOH terminal sequences predicted for tobacco (N.t.) ORF228 and liverwort (M.p.) ORF464. Symbols: :, amino acid identity;  $\cdot$ , indicates conservative substitution; ', indicates termination codon

contrast, is retained in rice and contains an initiation codon (ATG) so that an ORF of 26 codons is present in rice which shares 84% identity over 25 residues with the COOH terminal region of the predicted tobacco ORF228 product (Fig. 3). Since several very short proteins are encoded within chloroplasts (e.g. Murata et al. 1988), it is not unreasonable to speculate that this might also code for a genuine protein product. Of nine nucleotide substitutions observed between rice and tobacco in this region, seven fall within the third codon position. Although statistically a small sample, this pattern is fairly typical of conserved coding sequences (Zurawski and Clegg 1987). Alternatively, the high degree of conservation between chloroplast sequences in this area may be attributable to some promoter function for the transcript initiating upstream of rps15.

### Gene structure

Of 20 distinct introns previously demonstrated or tentatively identified in the tobacco chloroplast genome, 17 are also present in rice and 3 are absent, even though the surrounding putative coding sequences are conserved. The genes missing introns are rpoC1 (1 intron) and ORF216 (2 introns). In all 3 cases the deleted sequences coincide precisely with predicted (rpoC1) or established (ORF216; Kohchi et al. 1988) intron borders so that the mechanism of intron loss may have involved a processed RNA intermediate. Since these introns are present in both liverwort and tobacco, we conclude that they have been specifically lost in the rice lineage. Whether the loss of these introns extends to other monocot species is not yet known. The divided structure of rps12, observed in other chloroplast genomes, is also maintained in rice. Trans-splicing between the 5 and 3' transcripts is presumably required to form a functional mRNA, as has been shown in tobacco (Zaita et al. 1987).

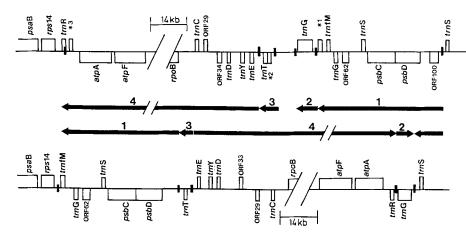
rpl2 is predicted to initiate with an ATG start codon in tobacco and spinach (Tanaka et al. 1986; Zurawski et al. 1984). The corresponding codon in rice, as well as maize, is ACG, and no in-frame ATG codon is present upstream or downstream within the first two-thirds of the putative coding sequence (data not shown; McLaughlin and Larrinua 1988). However, the sequence is otherwise highly conserved and contains no frameshifts within the coding region, suggesting that the gene is expressed. ACG was recently documented to function as an initiation codon for the Sendai virus in vivo (Gupta and Patwardhan 1988), and earlier in vitro evidence had suggested that it might so function in prokaryotic organisms as well (Thach et al. 1966). Other non-ATG start codons occasionally utilized, GUG, UUG and AUU, are utilized less efficiently than AUG (Kozak 1983) and a near optimal initiation codon context may be required to compensate. Indeed, rice and maize both share a sequence - AAAGGAGA - ending 13 bp upstream of the putative ACG start codon, which appears to be a much closer fit to the ribosome binding site consensus sequence than the corresponding tobacco sequence, which lacks G residues. It seems likely, therefore, that chloroplasts can utilize ACG as an initiation codon, as has previously been proposed for psbL in tobacco and spinach chloroplasts (Wolfe and Sharp 1988).

#### Genome structure

Relative to tobacco, some structural reorganization of the rice chloroplast genome is evident; the IRs encompass additional genes, kilobase-magnitude deletions have occurred, and major rearrangements are evident within the LSC. Despite the apparent expansion of the IRs into sequences corresponding to both the LSC and SSC in tobacco, deletions more than offset the additional sequence; the length of the IRs in rice (20799 bp) is shorter than in tobacco (25339 bp). In detail, trnH, rps19, rps15 and ORF393 are all present entirely as single copy sequences in tobacco, but the first three are completely contained within the IRs of rice, while ORF393 spans the rice SSC-IR border. Thus, of four single copy sequence-IR borders, three exhibit flux of sequence between chloroplast genomic compartments in this rice-tobacco comparison.

The largest deletions apparent in the rice chloroplast genome occur roughly at opposite ends of the IRs. The 4.8 kb deletion mentioned above occurs between rps15 and *trn*N, while a series of three closely spaced deletions at the other end of the IRs account together for about 6 kb of sequence, corresponding in tobacco to ORFs 1708 and 581. Rice ORF249 occupies this same region, but displays no discernible homology with tobacco, except where it overlaps trnL (CAA). It may be noted that both liverwort and tobacco possess extraordinarily long ORFs in this region, whose predicted translation products bear some homology with each other. Another deletion occurs in the region between trnD and ORF34, removing about 700 bp from the rice sequence. Numerous smaller apparent deletions also exist. Finally, in the region downstream of *rbcL* very little similarity is discernible before ORF36, other than the moderate homology pointed out between tobacco ORF512 and rice ORF106. The rice sequence is about 1.4 kb shorter than tobacco in this region. One potential cause of this sequence divergence may be the presence of a pseudo rpl23 gene. The pseudogene bears an internal deletion of 135 nucleotides, a frameshift and several point mutations relative to the IR copies. While this manuscript was in preparation, the same sequence, detected first in rice mitochondria, was described by Moon et al. (1988). Among related wheat species this second rpl23 sequence and the surrounding region appear a hotspot for deletions (Ogihara et al. 1988), but whether this results from an increased occurrence of such events or whether they are merely better tolerated is not known.

Extensive rearrangements are evident within the rice chloroplast LSC (Fig. 4). An identical gene arrangement was described for the wheat (*Triticum aestivum*) chloroplast LSC, and a scheme proposed whereby the wheat and tobacco structures could be derived from each other by three inversions (Quigley and Weil 1985). Starting from a tobacco-like ancestral gene order, an inversion occurred encompassing about 28 kb, its seeming endpoints lying between *trn*G (UCC) and *trn*R (UCU) at one end and *rps*14



and *trn*fM at the other. This led to the intermediate genome partially depicted in Fig. 6D. Two further inversions, one largely overlapping the 28 kb event, subsequently gave rise to the gene arrangement observed in rice and wheat chloroplasts. The approximate endpoints of these inversions are also indicated in Fig. 6D. Although the linear order of genes along the chromosome could be derived from tobacco by just two sequential overlapping inversions, the third event is required to account for the orientation of trnT (GGU). For clarity this trnT inversion is depicted among the latter events, although its actual order of occurrence is not clear. Studies of maize are also consistent with conservation of this gene arrangement among the cereals (Palmer and Thompson 1982), but the monocots Spirodela oligorhiza (duckweed) and Oncidium excavatum (an orchid) appear to share the tobacco gene arrangement (deHeij et al. 1983; Palmer et al. 1988). Thus, these rearrangements may be confined within the grass family.

The breakpoints delimiting the smaller inversions cannot be assigned precisely by comparison with the tobacco sequence. Between trnS and psbD, homology with tobacco is lost after nucleotide 7917 and not resumed until nucleotide 8275. The other end of this inversion event occurs between trnG (UCC) and trnT (GGU), but since the priority of this inversion with respect to the inversion of trnT is not evident, sequences participating in the larger inversion event might also presently lie between trnT and trnE. Sequences which cannot be assigned by homology with tobacco to either side of the breakpoints include rice nucleotides 13756-15037 and, upstream of trnT, nucleotides 15201-15579. Computer-assisted comparison of all these sequences revealed no inverted or direct repeats more striking than might be expected by random chance among similarly AT rich sequences.

At and near the endpoints of the largest inversion are three repeats; one lies immediately upstream of trnfMet, another lies just downstream, and the third occurs downstream of rps14, immediately upstream of a tRNA pseudogene. The same repeats have been described in wheat, and it was proposed that these repeats, inverted with respect to each other in the ancestral genome, mediated the first inversion via homologous recombination. Thus, a tobaccolike genome was converted to an intermediate one (Howe 1985). However, this model assumes the pre-existence of the repeated sequences and fails to give a clear explanation for the creation of the neighboring pseudogene. The resemblance of this pseudogene to trnfM has been previously Fig. 4. Comparison of gene order and orientation within the LSCs of rice (upper gene map) and tobacco (lower gene map). Numbered arrows indicate the position and orientation of corresponding regions within the two genomes. Solid vertical slashes indicate the approximate position of rearrangement breakpoints. Sequences labeled with numbered asterisks in the rice gene map indicate the positions of tRNA pseudogenes: \*1, \u03c6 trnG; \*2, \u03c6 ytrnG; \*3, \u03c6 ytrnfM/G

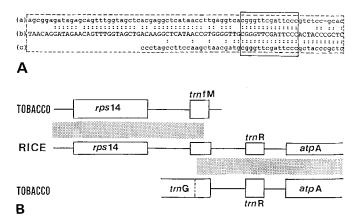


Fig. 5A and B. Sequence homology of the rice chimeric pseudogene with apparent parental *trn* genes and map of the rice region surrounding the chimeric pseudogene indicating homology with corresponding tobacco regions. A DNA sequence comparison of rice  $\psi trnfM/G$  (b) with the apparent parental tRNA genes, rice trnfM(a) and trnG(UCC) (c). B Homology of the  $\psi trnfM/G$  containing region of rice with corresponding regions of tobacco. The chimeric pseudogene itself is indicated by the *unlabeled box* in the rice gene map

noted in wheat and maize (Howe 1985; Rodermel et al. 1987). A close comparison of this tRNA pseudogene with other rice *trn* genes clearly indicates that the pseudogene is chimeric, deriving its 5' sequence from *trn*fMet and its 3' sequence from the second exon of *trn*G (UCC) (Fig. 5).

The chloroplast genome is highly polyploid and recent studies demonstrate that roughly one-third of its genome exists in multimeric form (X.-W. Deng, R.A. Wing and W. Gruissem, personal communication). We propose that intermolecular recombination along a 14 bp homologous region shared by the parental trn genes gave rise to an abnormal multimer possessing mirror-image chimeric trnfM/G and trnG/fM pseudogenes, as well as non-recombined copies of each gene (Fig. 6). Very shortly thereafter, a single deletion removed the trnG/fM pseudogene along with most of the duplicate genome, leaving behind only trnG and trnfM, now adjacent to each other, to create a viable genome. Thus, a single sequence of events created the chimeric pseudogene, the first inversion and the trnfM upstream repeat. Repeats shorter than 14 bp have been implicated in illegitimate recombination events in wheat chloroplasts (Ogihara et al. 1988) and so the proposed scheme

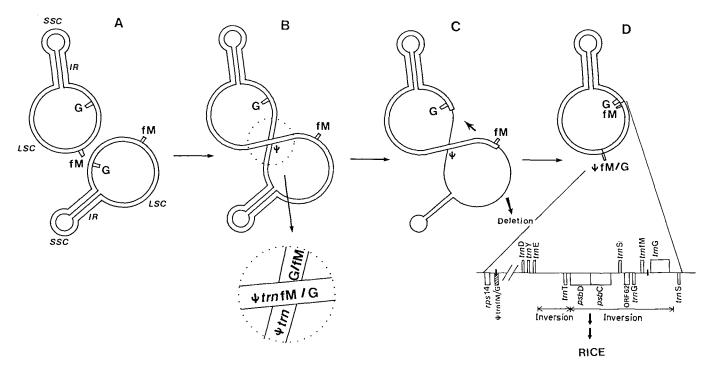


Fig. 6. Proposed model to account for chimeric pseudogene formation, origin of *trnfM* associated upstream repeat sequence, and inversion of the ancestral vascular plant chloroplast consensus gene order to yield a tobacco-rice intermediate gene order. (A) Alignment of 2 chloroplast chromosomal monomers. (B) Intermolecular recombination between *trnfM* and *trnG(UCC)* to produce an inverted dimer joined through mirror-image chimeric tRNA pseudogenes (inset). (C) Subsequent area of deletion indicated by *single line*. (D) Resulting chromosomal monomer. Inset shows corresponding tobacco-rice intermediate gene order, with the resultant inversion breakpoints indicated by *small vertical slashes*. Arrows below inset indicate the approximate endpoints of further inversions still required to create the gene order and orientations observed in rice and other cereals

is both consistent with the present derived genome structure and reasonable in the mechanisms it invokes.

Elements of this model may have general relevance to organelle genome evolution. It may be noted that deletion of most of a genome from a normal head to tail circular dimer would result in formation of a tandem direct repeat. Although long direct repeats are predicted to be unstable, short repeats might be viable, particularly if a selective advantage were conferred. Such an event duplicating trnfM and its upstream sequence may explain the creation of the third repeat sequence observed downstream of trnfM. If so, a second deletion must be invoked to account for the absence of the duplicated *trn*fM gene itself. The remaining duplicated sequence may indeed function beneficially in its present position. The corresponding sequence in tobacco serves as a transcript terminus (Meng et al. 1988) and so it may be active in transcript processing (Stern and Gruissem 1987).

Acknowledgements. We thank M. Tanaka, N. Hayashida, T. Wakasugi, T. Matsubayashi and K. Torazawa for valuable suggestions and discussions, and Dr. A. Hirose for continuous encouragement. This work was supported in part by a Grant-in-Aid for Special Distinguished Research from the Ministry of Education, Science and Culture, and a grant from the Ministry of Agriculture, Forestry and Fisheries. The first eight authors listed were employed by the Mitsui Plant Biotechnology Research Institute, and conducted this research at the Nagoya University Center for Gene Research under the auspices of the System of Joint Research with Industry, which is administered by the Ministry of Education, Science and Culture.

#### References

- deHeij HT, Lustig H, Moeskops D-JM, Bovenberg WA, Bisanz C, Groot GSP (1983) Chloroplast DNAs of *Spinacia*, *Petunia* and *Spirodela* have a similar gene organization. Curr Genet 7:1-6
- Gupta KC, Patwardhan S (1988) ACG, the initiator codon for a Sendai virus protein. J Biol Chem 263:8553-8556
- Hirai A, Ishibashi T, Morikami A, Iwatsuki N, Shinozaki K, Sugiura M (1985) Rice chloroplast DNA: a physical map and the location of the genes for the large subunit of ribulose 1,5-bisphosphate carboxylase and the 32 KD photosystem II reaction center protein. Theor Appl Genet 70:117–122
- Howe CJ (1985) The endpoints of an inversion in wheat chloroplast DNA are associated with short repeated sequences containing homology to *att*. Curr Genet 10:139–145
- Kohchi T, Ogura Y, Umesono K, Yamada Y, Komano Y, Ozeki H, Ohyama K (1988) Ordered processing and splicing in a polycistronic transcript in liverwort chloroplasts. Curr Genet 14:147-154
- Kozak M (1983) Comparison of initiation of protein synthesis in procaryotes, eucaryotes, and organelles. Microbiol Rev 47:1-45
- McLaughlin WE, Larrinua IM (1988) The sequence of the maize plastid encoded *rpl*23 locus. Nucleic Acids Res 16:8183
- Meng BY, Tanaka M, Wakasugi T, Ohme M, Shinozaki K, Sugiura M (1988) Cotranscription of the genes encoding two P700 chlorophyll *a* apoproteins with the gene for ribosomal protein CS14: determination of the transcriptional initiation site by in vitro capping. Curr Genet 14:395–400
- Moon E, Kao T-H, Wu R (1988) Rice mitochondrial genome contains a rearranged chloroplast gene cluster. Mol Gen Genet 213:247–253

- Murata N, Miyao M, Hayashida N, Hidaka T, Sugiura M (1988) Identification of a new gene in the chloroplast genome encoding a low-molecular-mass polypeptide of photosystem II complex. FEBS Lett 235:283-288
- Ogihara Y, Terachi T, Sasakuma T (1988) Intramolecular recombination of chloroplast genome mediated by short direct-repeat sequences in wheat species. Proc Natl Acad Sci USA 85:8573-8577
- Ohyama K, Fukuzawa H, Kohchi T, Shirai H, Sano T, Sano S, Umesono K, Shiki Y, Takeuchi M, Chang Z, Aota S-I, Inokuchi H, Ozeki H (1986) Chloroplast gene organization deduced from complete sequence of liverwort *Marchantia polymorpha* chloroplast DNA. Nature 322:572–574
- Ohyama K, Fukuzawa H, Kohchi T, Sano T, Sano S, Shirai H, Umesono K, Shiki Y, Takeuchi M, Chang Z, Aota S-I, Inokuchi H, Ozeki H (1988) Structure and organization of *Marchantia polymorpha* chloroplast genome. I. Cloning and gene identification. J Mol Biol 203:281–298
- Palmer JD (1985) Comparative organization of chloroplast genomes. Annu Rev Genet 19:325-354
- Palmer JD, Stein DB (1986) Conservation of chloroplast genome structure among vascular plants. Curr Genet 10:823-833
- Palmer JD, Thompson WF (1982) Chloroplast DNA rearrangements are more frequent when a large inverted repeat sequence is lost. Cell 29:537–550
- Palmer JD, Jansen RK, Michaels HJ, Chase MW, Manhart JR (1988) Chloroplast DNA variation and plant phylogeny. Ann Missouri Bot Garden 75:1180–1218
- Posno M, van Noort M, Debise R, Groot GSP (1984) Isolation, characterization, phosphorylation and site of synthesis of *Spinacia* chloroplast ribosomal proteins. Curr Genet 8:147–154
- Quigley F, Weil JH (1985) organization and sequence of five tRNA genes and of an unidentified reading frame in the wheat chloroplast genome: evidence for gene rearrangements during the evolution of chloroplast genomes. Curr Genet 9:495-503
- Rodermel S, Orlin P, Bogorad L (1987) The transcription termination region between two convergently-transcribed photoregulated operons in the maize plastid chromosome contains rps14, trnR (UCC) and a putative trnfM pseudogene. Nucleic Acids Res 15:5493
- Sanger F, Nicklen S, Coulson AR (1977) DNA sequencing with chain-terminating inhibitors. Proc Natl Acad Sci USA 74:5463-5467
- Schmidt GW, Mishkind ML (1986) The transport of proteins into chloroplasts. Annu Rev Biochem 55:879–912
- Shinozaki K, Ohme M, Tanaka M, Wakasugi T, Hayashida N, Matsubayashi T, Zaita N, Chunwongse J, Obokata J, Yamaguchi-Shinozaki K, Ohto C, Torazawa K, Meng BY, Kusuda J, Takaiwa F, Kato A, Tohdoh N, Shimada H, Sugiura M

(1986) The complete nucleotide sequence of the tobacco chloroplast genome: its gene organization and expression. EMBO J 5:2043–2049

- Stein DB, Palmer JD, Thompson WF (1986) Structural evolution and flip-flop recombination of chloroplast DNA in the fern genus Osmunda. Curr Genet 10:835–841
- Stern DB, Gruissem W (1987) Control of plastid gene expression: 3' inverted repeats act as mRNA processing and stabilizing elements, but do not terminate transcription. Cell 51:1145–1157
- Stewart WN (1983) Paleobotany and the evolution of plants. Cambridge University Press, Cambridge, UK
- Sugiura M, Shinozaki K, Zaita N, Kusuda M, Kumano M (1986) Clone bank of the tobacco (*Nicotiana tabacum*) chloroplast genome as a set of overlapping restriction endonuclease fragments: mapping of eleven ribosomal protein genes. Plant Sci 44:211-216
- Tanaka M, Wakasugi T, Sugita M, Shinozaki K, Sugiura M (1986) Genes for the eight ribosomal proteins are clustered on the chloroplast genome of tobacco (*Nicotiana tabacum*): similarity to the S10 and *spc* operons of *Escherichia coli*. Proc Natl Acad Sci USA 83:6030–6034
- Thach RE, Sundararajan TA, Dewey DF, Brown JC, Doty P (1966) Translation of synthetic messenger RNA. Cold Spring Harbor Symp Quant Biol 31:85–97
- Wakasugi T, Ohme M, Shinozaki K, Sugiura M (1986) Structures of tobacco chloroplast genes for tRNA<sup>IIe</sup> (CAU), tRNA<sup>Leu</sup> (CAA), tRNA<sup>Cys</sup> (GCA), tRNA<sup>Ser</sup> (UGA) and tRNA<sup>Thr</sup> (GGU): a compilation of tRNA genes from tobacco chloroplasts. Plant Mol Biol 7:385–392
- Wolfe KH, Sharp PM (1988) Identification of functional open reading frames in chloroplast genomes. Gene 66:215-222
- Zaita N, Torazawa K, Shinozaki K, Sugiura M (1987) Trans splicing in vivo: joining of transcripts from the 'divided' gene for ribosomal protein S12 in the chloroplasts of tobacco. FEBS Lett 210:153-156
- Zurawski G, Clegg MT (1987) Evolution of higher-plant chloroplast DNA-encoded genes: implications for structure-function and phylogenetic studies. Annu Rev Plant Physiol 38:391–418
- Zurawski G, Bottomley W, Whitfield PR (1984) Junctions of the large single copy region and the inverted repeats in *Spinacia oleracea* and *Nicotiana debneyi* chloroplast DNA: sequence of the genes for tRNA<sup>His</sup> and the ribosomal proteins S19 and L2. Nucleic Acids Res 12:6547–6558

Communicated by R.G. Herrmann

Received January 2, 1989

#### Note added in proof

The work of Deng et al. on chloroplast chromosomal multimers is now in press in Proc. Natl. Acad. Sci. USA 86. ORF37 has been identified in maize as the *pet*E gene, which encodes subunit 5 of the chloroplast cytochrome  $b_6$ -f complex (J. Haley and L. Bogorad, 1989, Proc. Natl. Acad. Sci. USA 86:1534–1538). ORF393 has been found to be homologous with a nuclear coded 49 kd subunit of bovine mitochondrial NADH-ubiquinone reductase (I.M. Fearnley, M.J. Runswick and J.E. Walker, 1989, EMBO J. 8:665–672). The entire sequence reported here has been communicated to the EMBO database.