

## ON POLYNOMIAL CONGRUENCES

S. V. Konyagin and T. Steger

**1. Introduction.** For natural numbers  $n$  and  $q$  we denote by  $M_n$  the set of all polynomials of power not higher than  $n$  with integer coefficients, by  $M_n(q)$  the set of all polynomials of the form

$$f(x) = a_n x^n + \dots + a_1 x + a_0 \in \mathbb{Z}[x]$$

with the condition  $(a_n, \dots, a_0, q) = 1$ . For  $f \in \mathbb{Z}[x]$  and a natural number  $P$  we denote by  $\rho(f, P, q)$  the number of solutions of the congruence

$$f(x) \equiv 0 \pmod{q}, \quad 0 \leq x < P. \tag{1}$$

Let us put

$$N_n(P, q) = \max_{f \in M_n(q)} \rho(f, P, q); \quad N_n(q) = N_n(q, q).$$

The quantity  $N_n(q)$  is investigated in [1-3]. In [3] the best possible estimate

$$N_n(q) \ll q^{1-1/n} \tag{2}$$

is obtained. (Here and below constants of the symbol " $\ll$ " may depend only on  $n$  and on  $\varepsilon > 0$ ; in this case unimprovability of (2) means that for a fixed  $n$  the order of  $N_n(q)$  is regular for infinitely many values of  $q$ .)

The quantity  $N_n(P, q)$  is considered in [4-6]. In [6] it was shown that

$$N_n(P, q) \ll P^\varepsilon (P^{1-1/n+\theta_n} + Pq^{-1/n}), \tag{3}$$

where  $\theta_n = (n-1)/n(n^3 - n^2 + 1)$ .

In the present paper we show that the set  $E(f, q)$  of roots of the congruence (1), which belong to the interval  $[0, q)$ , is uniformly distributed in some sense on this interval. The nonuniformity of the distribution of the set  $E \subset [0, q) \cap \mathbb{Z}$  on  $[0, q)$  can be measured by the quantity

$$D(E, q) = \sup_{0 \leq P \leq q} \left| |E \cap [0, P)| - P|E|/q \right|.$$

We denote by  $v(q)$  the number of different prime divisors of  $q$ .

**Theorem 1.** For any polynomial  $f \in M_n$  the inequality

$$D(E(f, q), q) < n^{v(q)} \tag{4}$$

is valid.

For fixed  $n$  the estimate (4) is regular with respect to the order.

The work of the first author was supported by the Russian Foundation for Fundamental Research, Grant 93-011-240.

Moscow State University. University of Georgia, Athens, Georgia, USA. Translated from *Matematicheskie Zametki*, Vol. 55, No. 6, pp. 73-79, June, 1994. Original article submitted November 25, 1993.

**Theorem 2.** For any  $n$  and  $q > 1$  there exists a polynomial  $f \in M_n(q)$  such that

$$D(E(f, q), q) \gg n^{v(q)}. \quad (5)$$

It follows from (2) and Theorem 1 that  $N_n(P, q) \ll Pq^{-1/n} + q^\epsilon$ . For  $P \ll q^{1/n}$  this inequality can be strengthened by using the arguments of [6].

**Theorem 3.** For  $P \geq 1$  the inequality

$$N_n(P, q) \ll \frac{1 + \ln P}{\ln(1 + P^{-1}q^{1/n})} \quad (6)$$

is valid.

In particular,  $N_n(P, q) \ll 1$  for  $P \leq q^{\frac{1}{n} - \epsilon}$ . In [6] the corresponding result is established for  $P < q^{1/n(n+1)}$ . Theorems 1 and 3 imply the following

**Corollary.** The inequality

$$N_n(P, q) \ll Pq^{-1/n} + P^\epsilon \quad (7)$$

is valid.

For  $P \ll q^{1/n}$  Theorem 3 states that  $N_n(P, q) \ll \ln q$ . However, we cannot rule out that in this case  $N_n(P, q) \ll 1$ .

The authors are grateful to A. Granville and K. Pomerance for their attention to this work.

**2. Proof of Theorem 1.** An integer-valued arithmetic progression whose difference is the power of a prime number  $p$  is called a  $p$ -progression.

**Lemma 1.** Let  $q$  be the power of a prime number  $p$ ,  $f \in M_n$ . Then the set of solutions of the congruence

$$f(x) \equiv 0 \pmod{q} \quad (8)$$

is the union of at most  $n$  mutually disjoint  $p$ -progressions.

**Proof.** Let  $q = p^t$ . We use induction over  $t$ . For  $t = 0$  the statement is obvious. Let us verify its validity for  $t = t_0 > 0$ , assuming that it holds for all  $t < t_0$ . If all coefficients of the polynomial  $f$  can be divided into  $p$ , then (8) is equivalent to the congruence  $f(x)/p \equiv 0 \pmod{q/p}$ , to which we can apply the inductive hypothesis. Now let us assume that  $f \in M_n(p)$ . In this case we need the following statement, easily obtained from the Hensel lemma [7], Theorem 2 in §3 of Ch. 4.

**Lemma 2.** Suppose that  $f \in M_n(p)$ ,  $\bar{f} \in (\mathbb{Z}/p)[x]$  is the reduction of  $f$  modulo  $p$ , and  $\bar{f} = \bar{g}\bar{h}$ , where polynomials  $\bar{g}$  and  $\bar{h}$  are relatively prime in  $(\mathbb{Z}/p)[x]$ . Then there exist polynomials  $g$  and  $h$  such that their reductions modulo  $p$  yield  $\bar{g}$  and  $\bar{h}$ , respectively;  $\deg g = \deg \bar{g}$  and  $f \equiv gh \pmod{q}$ .

The polynomial  $\bar{f}$  can be represented in the form

$$\bar{f} = \bar{g}_0 \dots \bar{g}_{p-1} \bar{h},$$

where  $\bar{g}_j(x) = (x - j)^{n_j}$  and  $\bar{h}$  has no roots in  $\mathbb{Z}/p$ . Note that

$$n = \deg f \geq \deg \bar{f} \geq \deg \bar{g}_0 + \dots + \deg \bar{g}_{p-1} = n_0 + \dots + n_{p-1}. \quad (9)$$

Applying  $p$  times Lemma 2, we see that there exist polynomials  $g_0, \dots, g_{p-1}, h$  such that  $g_j \equiv (x - j)^{n_j} \pmod{p}$  ( $j = 0, \dots, p - 1$ ),  $h(x) \not\equiv 0 \pmod{p}$  for  $x \in \mathbb{Z}$ ,  $\deg g_j = n_j$  ( $j = 0, \dots, p - 1$ ), and  $f \equiv g_0 \dots g_{p-1} h \pmod{q}$ . It follows from these properties that if  $E$  is the set of solutions of the congruence (8) and  $E_j$  is the set of solutions of the congruence

$$g_j(x) \equiv 0 \pmod{q} \quad (10)$$

for  $j = 0, \dots, p - 1$ , then

$$E_j = \{x \in E : x \equiv j \pmod{p}\}. \quad (11)$$

For  $n_j = 0$  we have  $g_j \equiv 1 \pmod{p}$  and  $E_j = \emptyset$ . Let  $n_j \geq 1$ . By (11) each number  $x \in E_j$  is representable in the form  $j + py$ , where  $y \in \mathbb{Z}$ . Moreover,

$$g_j \equiv (py)^{n_j} \pmod{p} \equiv 0 \pmod{p},$$

that is,  $g_j(x) = ph_j(y)$ , where  $h_j \in \mathbb{Z}[y]$ . The congruence (10) is equivalent to the congruence  $h_j(y) \equiv 0 \pmod{q/p}$ . By the inductive hypothesis the set of solutions of the last congruence, and therefore the set  $E_j$ , are representable as the union of at most  $n_j$  mutually disjoint  $p$ -progressions. By (11) the set  $E$  of solutions of the congruence (8) is the union of mutually disjoint  $p$ -progressions, whose amount does not exceed  $n_0 + \dots + n_{p-1}$ . Taking (9) into account, from this we obtain the statement of the lemma.

Now we pass directly to the proof of Theorem 1. Let us represent  $q$  in the form  $\prod_{i=1}^{v(q)} p_i^{t_i}$ , where  $p_1, \dots, p_{v(q)}$  are prime divisors of  $q$ . We denote

$$\begin{aligned} X &= \{x : f(x) \equiv 0 \pmod{q}\}, \\ X_i &= \{x : f(x) \equiv 0 \pmod{p_i^{t_i}}\} \quad (i = 1, \dots, v(q)). \end{aligned}$$

Then  $X = \bigcap_{i=1}^{v(q)} X_i$ . By Lemma 1 each of the sets  $X_i$  is representable in the form  $\bigcup_{j=1}^{N_i} X_{i,j}$ ,  $N_i \leq n$ , where  $X_{i,j}$  ( $j = 1, \dots, N_i$ ) are mutually disjoint  $p_i$ -progressions. Therefore,

$$X = \bigcup_{j=1}^N Y_j, \quad (12)$$

where

$$N = \prod_{i=1}^{v(q)} N_i \leq \prod_{i=1}^{v(q)} n = n^{v(q)}, \quad (13)$$

$Y_j$  are all possible intersections of the form  $\bigcap_{i=1}^{v(q)} X_{i,j_i}$  ( $1 \leq j_i \leq N_i$ ). Note that the sets  $Y_j$  are mutually disjoint, and each of them is an arithmetic progression; therefore, for any  $P$ ,  $0 \leq P \leq q$ , we have

$$||Y_j \cap [0, P]| - P|Y_j|| < 1,$$

and by (12)

$$||X \cap [0, P]| - P|X|| \leq \sum_{j=1}^N ||E \cap [0, P]| - P|E|| < N,$$

whence and from (13) we obtain the conclusion of the theorem.

**3. Proof of Theorem 2.** For  $f(x) = x^n$  we have  $E(f, q) = \{0\}$  and  $D(E(f, q)) = 1 - 1/q \geq 1/2$ , which implies the validity of Theorem 2 in the case where  $v(q)$  is bounded by a value dependent only on  $n$ . Therefore, we can assume that

$$v(q) > N = 4n^2. \quad (14)$$

Let  $q = \prod_{i=1}^{v(q)} p_i^{t_i}$ , where  $p_1, \dots, p_{v(q)}$  are increase-ordered prime divisors of  $q$ ,  $q_i = q/p_i^{t_i}$  ( $i = 1, \dots, v(q)$ ). By the Chinese theorem there exists a number  $x_1$  which satisfies the congruences  $x_1 \equiv 0 \pmod{p_i^{t_i}}$  ( $1 \leq i \leq N$ ) and  $x_1 \equiv q_i \pmod{p_i^{t_i}}$  ( $N < i \leq v(q)$ ). We put  $g(x) = \prod_{j=1}^n (x - jx_1)$ . A number  $x$  satisfies the congruence  $g(x) \equiv 0 \pmod{q}$  if and only if for  $1 \leq i \leq N$  the congruences  $x \equiv 0 \pmod{p_i^{t_i}}$  are fulfilled and there exist numbers  $j_{N+1}, \dots, j_{v(q)}$  ( $1 \leq j_i \leq n$ ) such that  $x \equiv j_i q_i \pmod{p_i^{t_i}}$  for  $N < i \leq v(q)$ .

The system of congruences for  $x$  that we have written is equivalent to the congruence  $x \equiv m \pmod{q}$ , where  $m = \sum_{i=N+1}^{v(q)} j_i q_i$ . Note that values of  $m$  incongruent modulo  $q$  correspond to different sets  $(j_{N+1}, \dots, j_{v(q)})$ . Denoting by  $M$  the set of all possible values of  $m$ , we have

$$|M| = n^{v(q)-N} \gg n^{v(q)}. \quad (15)$$

One can consider  $M$  as the set of values for the sum of a stochastic quantity  $\xi = \xi_{N+1} + \dots + \xi_{v(q)}$ , where  $\xi_{N+1}, \dots, \xi_{v(q)}$  are independent stochastic quantities and  $\xi_i$  takes each value  $j_i q_i$  ( $j = 1, \dots, n$ ) with probability  $1/n$ . Let us estimate the dispersion of  $\xi$

$$\begin{aligned} \mathbf{D}\xi &= \sum_{i=N+1}^{v(q)} \mathbf{D}[\xi_i] = \sum_{i=N+1}^{v(q)} \frac{n^2 - 1}{12} q_i^2 \\ &< \frac{q^2 n^2}{12} \sum_{i=N+1}^{v(q)} \frac{1}{p_i^2} < \frac{q^2 n^2}{12} \sum_{i=N+1}^{\infty} \frac{1}{i^2} < \frac{q^2 n^2}{12N}. \end{aligned}$$

We denote by  $\mathbf{E}\xi$  the mathematical expectation of  $\xi$ . It follows from (14) and the Chebyshev inequality that

$$\Pr(|\xi - \mathbf{E}\xi| > q/4) < \frac{\mathbf{E}\xi}{(q/4)^2} < \frac{3n^2}{4N} = \frac{1}{3}.$$

Hence,

$$|M \cap [\mathbf{E}\xi - q/4, \mathbf{E}\xi + q/4]| > \frac{2}{3}|M|. \quad (16)$$

We put  $l = [\mathbf{E}\xi - q/4]$ ,  $f(x) = g(x + l)$ . Let us calculate the lowest bound for  $D(E(f, q), q)$ . Taking into account (16), we get

$$\begin{aligned} |E(f, q) \cap [0, [2 + q/2]]| &> |E \cap [0, [\mathbf{E}\xi + q/4]]| \\ &= |M \cap [\mathbf{E}\xi - q/4, \mathbf{E}\xi + q/4]| > \frac{2}{3}|M|. \end{aligned}$$

Consequently,

$$\begin{aligned} D(E(f, q), q) &\geq |E(f, q) \cap [0, [2 + q/2]]| - [2 + q/2]|E(f, q)|/q \\ &\geq \left(\frac{2}{3} - \frac{[2 + q/2]}{q}\right) |M| > 0.1|M|. \end{aligned}$$

From this and from (15) we obtain the conclusion of Theorem 2.

#### 4. Proofs of Theorem 3 and of the Corollary.

**Proof of Theorem 3.** Let

$$1 \leq T \leq \frac{1}{2}q^{1/n}, \quad N = 2 + \left\lfloor \frac{\ln T}{\ln(T^{-1}q^{1/n})} \right\rfloor, \quad (17)$$

$M$  be an arbitrary number,  $f \in M_n(q)$ . Suppose that  $x_1, \dots, x_{nN}$  are different solutions of the congruence (8); moreover,

$$x_i \in [M, M + T) \quad (i = 1, \dots, nN). \quad (18)$$

When proving Lemma 1 in [3], it was established that there exists a polynomial  $g \in M_n(q)$  with coefficient 1 of the term  $x^n$  such that any solution of (8) satisfies the congruence  $g(x) \equiv 0 \pmod{q}$ . Thus,

$$g(x_i) \equiv 0 \pmod{q} \quad (i = 1, \dots, nN). \quad (19)$$

Let us consider the Vandermonde determinant

$$\Delta = \det(x_i^{j-1})_{i,j=1}^{nN}.$$

If to columns of the matrix  $\|x_i^{j-1}\|_{i,j=1}^{nN}$ , beginning with the  $(n+1)$ th one, we add suitable linear combinations of previous columns, then we obtain the matrix  $\|a_{i,j}\|_{i,j=1}^{nN}$ , whose  $i$ th row is of the form

$$(1, x_i, \dots, x_i^{n-1}, g(x_i), x_i g(x_i), \dots, x_i^{n-1} g(x_i), \dots, x_i^{n-1} (g(x_i))^{N-1});$$

moreover,  $\Delta = \det(a_{i,j})_{i,j=1}^{nN}$ . Taking into account that by (19) all elements of the  $j$ th column are divided by  $q^s$  for  $j > ns$ , we see that  $\Delta$  is divided by  $q^{nN(N-1)/2}$ . On the other hand,

$$\Delta = \prod_{1 \leq i < j \leq nN} (x_j - x_i),$$

whence and from (18) it follows that  $0 < |\Delta| < T^{nN(nN-1)/2}$ . Hence  $q^{nN(N-1)/2} < T^{nN(nN-1)/2}$ , which, taking into account (17), is equivalent to the inequality  $N-1 < \frac{n-1}{(\ln q / \ln T) - n}$ , contradicting the choice of  $N$ .

We have shown that under the conditions (17) the number of solutions of the congruence (8) on the half-interval  $[M, M+T)$  is less than  $nN$ . This implies immediately the conclusion of the theorem for  $P \leq P_0 = \max([\frac{1}{2}q^{1/n}], 1)$ . But if  $P > P_0$ , then the interval  $[0, P)$  is covered by  $[P/P_0] + 1$  intervals of the form  $[jP_0, (j+1)P_0)$ ; on each of these intervals the number of solutions of the congruence (8)  $\ll 1 + \ln q$  by what has been proved. Consequently, in this case

$$N_n(P, q) \ll (1 + \ln q)([P/P_0] + 1) \ll (1 + \ln q)Pq^{-1/n},$$

and the estimate (6) also holds in this case. The theorem has been proved.

**Proof of the corollary.** For  $P \leq q^{1/(2n)}$  the statement is valid, since in this case  $N_n(P, q) \ll 1$  by Theorem 3. Let  $q^{1/(2n)} < P \leq q$ ; then for any polynomial  $f \in M_n$  we have

$$\rho(f, P, q) \leq \frac{P}{q} \rho(f, q, q) + D(E(f, q), q) \leq \frac{P}{q} N_n(q, q) + D(E(f, q), q).$$

Substituting inequalities (2) and (4), we obtain  $\rho(f, P, q) \ll Pq^{-1/n} + n^{v(q)}$  or  $N_n(P, q) \ll Pq^{-1/n} + n^{v(q)}$ . Since  $v(q) = o(\ln q)$ , we have  $n^{v(q)} \ll q^{2n\epsilon} < P^\epsilon$ , and for the case  $q^{1/(2n)} < P \leq q$  the corollary has been proved. Finally, if  $P > q$ , then  $N_n(P, q) \leq ([P/q] + 1)N_n(q)$  and to complete the proof it remains to use the inequality (2).

## REFERENCES

1. E. Kamke, "Zur Arithmetik der Polynome," *Math. Z.*, **19**, 247–264 (1923/24).
2. S. B. Stechkin, "Estimate of a total rational trigonometric sum," *Tr. Mat. Inst. Steklov Akad. Nauk SSSR*, **143**, 188–207 (1977).
3. S. V. Konyagin, "On the number of solutions for a congruence of the  $n$ th power in one unknown," *Mat. Sb.*, **109**, No. 2, 171–187 (1979); **110**, No. 1, 158 (1979).
4. N. M. Korobov, "Double trigonometrical sums and their applications," *Mat. Zametki*, **6**, No. 1, 25–34 (1969).
5. D. A. Mit'kin, "On estimates and asymptotic formulas for rational trigonometric sums close to total ones," *Mat. Sb.*, **122**, No. 4, 527–545 (1983).
6. I. E. Shparlinskii, "On polynomial congruences," *Acta Arith.*, **58**, No. 2, 153–156 (1991).
7. Z. I. Borevich and I. R. Shafarevich, *Number Theory* [in Russian], Nauka, Moscow (1985).