

## ON CERTAIN CLASSES OF METRIC SPACES

P. V. Chernikov

UDC 513.83

The spaces analogous to absolute retracts were studied in the series of articles [1–5]. In particular, the notion of absolute  $t$ -retract was defined in [5] which helped to generalize the classical Luzin theorem on approximation of measurable functions by continuous functions and prove the corresponding converse assertion. The notion of absolute  $t$ -retract was introduced in [4]. In the present article we establish some properties of absolute (neighborhood)  $t$ -retracts.

We now present the relevant definitions.

A closed subset  $A$  in a compact metric space  $X$  is called a  $t$ -retract of  $X$  if for every Radon measure  $\mu \geq 0$  on  $A$  and every  $\varepsilon > 0$  there exists a compact subset  $A_\varepsilon^\mu \subset A$  such that  $\mu(A \setminus A_\varepsilon^\mu) \leq \varepsilon$  and a continuous map  $r_\varepsilon^\mu : X \rightarrow A$  such that  $r_\varepsilon^\mu(x) = x$  for all  $x \in A_\varepsilon^\mu$ .

A compact metric space  $Y$  is called an *absolute  $t$ -retract* (see [5]) if, given an arbitrary compact metric space  $X$ , each closed subset  $A$  of  $X$  homeomorphic to  $Y$  is a  $t$ -retract. We denote by  $AR_t$  the totality of all absolute  $t$ -retracts.

A closed subset  $A$  in a compact metric space  $X$  is called a *neighborhood  $t$ -retract* of  $X$  if there exists a neighborhood  $U$  of  $A$  in  $X$  satisfying the following condition: for every Radon measure  $\mu \geq 0$  on  $A$  and every number  $\varepsilon > 0$  there exists a compact subset  $A_\varepsilon^\mu \subset A$  such that  $\mu(A \setminus A_\varepsilon^\mu) \leq \varepsilon$  and a continuous map  $r_\varepsilon^\mu : U \rightarrow A$  such that  $r_\varepsilon^\mu(x) = x$  for all  $x \in A_\varepsilon^\mu$ .

A compact metric space  $Y$  is called an *absolute neighborhood  $t$ -retract* (see [4]) if, given an arbitrary compact metric space  $X$ , each closed subset  $A$  of  $X$  homeomorphic to  $Y$  is a  $t$ -retract.

The notion of absolute  $\sigma$ -retract is introduced in [5]. Following [5], we denote the totality of all absolute  $\sigma$ -retracts by  $AR_\sigma$ .

The following assertions [4] hold:

**Lemma 1.** *If  $Y$  is a connected compact metric space and  $Y \in ANR$  then  $Y \in AR_\sigma$ .*

**Lemma 2.** *If a compact set  $Y$  belongs to  $AR_t$  then  $Y$  is arcwise connected.*

**Lemma 3.** *A compact metric space  $Y$  belongs to  $AR_t$  if and only if  $Y \in ANR_t$  and  $Y$  is connected.*

**Theorem 1.** *If  $Y \in ANR_t$  then  $Y = \bigcup_{i=1}^n Y_i$  with  $Y_i \cap Y_j = \emptyset$  for  $i \neq j$ , where  $Y_i \in AR_t$ ,  $i = 1, \dots, n$ , and  $n \in \{1, 2, \dots\}$ .*

**PROOF.** We may assume that  $Y \subset Q$ . There exists a neighborhood  $U$  of  $Y$  in  $Q$  satisfying the following condition: for every Radon measure  $\mu \geq 0$  on  $Y$  and every  $\varepsilon > 0$  there exists a compact set  $A_\varepsilon^\mu$  such that  $\mu(Y \setminus A_\varepsilon^\mu) \leq \varepsilon$  and a continuous map  $r_\varepsilon^\mu : U \rightarrow Y$  such that  $r_\varepsilon^\mu(x) = x$  for all  $x \in A_\varepsilon^\mu$ .

Let  $\{Y_i\}_{i \in S}$  stand for the set of all connected components of  $Y$ . Suppose that  $S$  is infinite. Choose  $x_i \in Y_i$ ,  $i \in S$ . There exists a sequence  $\{z_k\}_{k=1}^\infty \subset \{x_i\}_{i \in S}$  convergent to some point  $z \in Z \in \{Y_i\}_{i \in S}$  and a ball  $B(z, \rho) = \{x \in Q : \|x - z\| < \rho\}$ ,  $\rho > 0$ , such that  $B(z, \rho) \subset U$ . There exists a number  $N$  such that  $z_k \in B(z, \rho)$  for all  $k \geq N$ . Look at the points  $z$  and  $z_N$ . We can suppose that  $z_N \notin Z$ . We define the Radon measure  $\delta \geq 0$  on  $Y$  as follows:  $\delta(\{z\}) = \delta(\{z_N\}) = 1$  and  $\delta(Y \setminus \{z, z_N\}) = 0$ . There exists a continuous map  $r : U \rightarrow Y$  such that  $r(z) = z$  and  $r(z_N) = z_N$ . Consider the interval  $I = \{sz + (1-s)z_N : 0 \leq s \leq 1\}$ ,  $I \subset B(z, \rho)$ . The set  $r(I)$  is included in  $Y$ ; it is connected, and  $r(I) \cap Z \neq \emptyset$ . Consequently, the compact set  $Z$  is not a maximal connected subset in  $Y$ . A contradiction.

Thus,  $S$  is finite. Let  $S = \{1, \dots, n\}$ . Obviously,  $Y_i \in ANR_t$ ,  $i = 1, \dots, n$ . By Lemma 3 the compact set  $Y_i$  belongs to  $AR_t$ ,  $i = 1, \dots, n$ . The theorem is proven.

Theorem 1 was formulated in [4] without proof.

Now we consider Cartesian products of absolute neighborhood  $t$ -retracts.

**Theorem 2.** *The Cartesian product  $X = \prod_{n=1}^{\infty} X_n$  is an absolute neighborhood  $t$ -retract if and only if every  $X_n$  belongs to  $ANR_t$  and almost all  $X_n$  belong to  $AR_t$ .*

PROOF. Suppose that  $X_n \in ANR_t$  for all  $n \geq 1$  and there exists a number  $N$  such that  $X_n \in AR_t$  for all  $n > N$ . From Theorem 6 of [6], we have  $\prod_{n=1}^N X_n \in ANR_t$ . By Theorem 1 of [7],  $\prod_{n=N+1}^{\infty} X_n \in AR_t$ .

Hence, by Theorem 6 of [6],  $X \in ANR_t$ .

Suppose now that  $X \in ANR_t$ . Each projection  $\varphi_j : X \rightarrow X_j$  given by the formula  $\varphi_j(\{x_n\}_{n=1}^{\infty}) = x_j$  is an  $r$ -map. Therefore, from Lemma 4 of [6] and the condition  $X \in ANR_t$  it follows that  $X_j \in ANR_t$  for all  $j \geq 1$ .

Demonstrate that there exists a number  $N_1$  such that the compact sets  $X_j$ 's are connected for  $j \geq N_1$ . Suppose the contrary. Then there exists a sequence of positive integers  $\{j_k\}_{k=1}^{\infty}$ ,  $j_1 < j_2 < \dots < j_k < \dots$ , such that the compact sets  $X_{j_k}$ 's are not connected ( $k = 1, 2, \dots$ ). We have  $X_{j_k} = Y_1^k \cup Y_2^k$ ,  $Y_1^k \cap Y_2^k = \emptyset$ ,  $Y_1^k$  and  $Y_2^k$  are closed subsets in  $X_{j_k}$ , and  $Y_1^k, Y_2^k \neq \emptyset$  ( $k = 1, 2, \dots$ ). Choose points  $a_1^k \in Y_1^k$  and  $a_2^k \in Y_2^k$  arbitrarily. Put  $D_k = \{a_1^k, a_2^k\}$ . The set  $D_k$  is a retract of the space  $X_{j_k}$ . Consequently, the product  $D^\omega = \prod_{k=1}^{\infty} D_k$  is a retract of the product  $\prod_{k=1}^{\infty} X_{j_k}$ . Since

$\prod_{k=1}^{\infty} X_{j_k} \in ANR_t$ ; therefore, by Lemma 4 of [6], the compact set  $D^\omega$  is an  $ANR_t$ -space. But the compact set  $D^\omega$  is homeomorphic to some perfect Cantor set which obviously is not an  $ANR_t$ -space.

Thus, there exists a number  $N_1$  such that  $X_j$  is a connected  $ANR_t$ -compact set for all  $j \geq N_1$ . Hence, by Lemma 3,  $X_j \in AR_t$  for  $j \geq N_1$ . The theorem is proven.

As is known, the union of two  $ANR(\mathfrak{M})$ -spaces closed in the union is an  $ANR(\mathfrak{M})$ -space if their intersection is an  $ANR(\mathfrak{M})$ -space too. As far as the union of two  $AR_t$ -compact sets is concerned, we have the next

**Theorem 3.** *Let a metric space  $Z$  be the union of two compact subsets  $X$  and  $Y$  that belong to  $AR_t$  and intersect at a finite number of points. Then  $Z \in AR_t$ .*

PROOF. Let  $a_1, \dots, a_n \in X$ ,  $b_1, \dots, b_n \in Y$ , and  $X \cap Y = \{a_1 = b_1, \dots, a_n = b_n\}$ . Let  $Q_1$  and  $Q_2$  be two copies of the Hilbert cube  $Q$  intersecting at a finite number of points  $c_1, \dots, c_n$ . Embed the compact set  $X$  into the Hilbert cube  $Q_1$ . By Theorem 11.1 of [8], we can assume that  $a_i = c_i$ ,  $i = 1, \dots, n$ . Embed the compact set  $Y$  into  $Q_2$ . We can assume that  $b_i = c_i$ ,  $i = 1, \dots, n$ .

Let  $\mu \geq 0$  be a Radon measure on  $Z$ . We define some Radon measure  $\delta \geq 0$  on  $Z$  as follows:  $\delta(\{c_i\}) = 1$ ,  $i = 1, \dots, n$ , and  $\delta(Z \setminus \{c_1, \dots, c_n\}) = 0$ . Put  $\nu_1 = \mu|X + \delta|X$  and  $\nu_2 = \mu|Y + \delta|Y$ . Given  $\varepsilon > 0$ , there exist a compact subset  $A_\varepsilon^1 \subset X$  and a continuous map  $r_\varepsilon^1 : Q_1 \rightarrow X$  such that  $\nu_1(X \setminus A_\varepsilon^1) \leq \varepsilon/2$  and  $r_\varepsilon^1(x) = x$  for all  $x \in A_\varepsilon^1$ . Also, there exist a compact subset  $A_\varepsilon^2 \subset Y$  and a continuous map  $r_\varepsilon^2 : Q_2 \rightarrow Y$  such that  $\nu_2(Y \setminus A_\varepsilon^2) \leq \varepsilon/2$  and  $r_\varepsilon^2(x) = x$  for all  $x \in A_\varepsilon^2$ . If  $\varepsilon > 0$  is sufficiently small then  $A_\varepsilon^1 \cap A_\varepsilon^2 = \{c_1, \dots, c_n\}$ . Put  $A_\varepsilon^\mu = A_\varepsilon^1 \cup A_\varepsilon^2$ . Then  $\mu(X \cup Y \setminus A_\varepsilon^\mu) \leq \varepsilon$ . We define a continuous map  $r_\varepsilon^\mu : Q_1 \cup Q_2 \rightarrow Z$  as follows:

$$r_\varepsilon^\mu(x) = \begin{cases} r_\varepsilon^1(x), & x \in Q_1, \\ r_\varepsilon^2(x), & x \in Q_2. \end{cases}$$

If  $x \in A_\varepsilon^\mu$  then  $r_\varepsilon^\mu(x) = x$ . By Theorem 9.1 of [9, p. 132],  $Q_1 \cup Q_2 \in ANR$ ; hence,  $Q_1 \cup Q_2 \in AR_\sigma$ . The compact set  $Z$  is a  $t$ -retract of the compact set  $Q_1 \cup Q_2$ . Therefore,  $Z \in AR_t$ . The theorem is proven.

**Corollary 1.** Every  $ANR_t$ -compact set can be transformed into an absolute  $t$ -retract by adjoining a finite number of one-dimensional simplices.

**Corollary 2.** Let a metric space  $Z$  be the union of two compact subsets  $X$  and  $Y$  such that  $X, Y \in ANR_t$  with intersection either empty or consisting of finitely many points. Then  $Z \in ANR_t$ .

Corollaries 1 and 2 follow from Theorems 1 and 3.

**REMARK.** As is known [8], every  $ANR$ -compact space can be transformed into an absolute retract by adjoining a finite number of cells. By adjoining a finite number of one-dimensional cells to  $X$ , we can obtain a connected compact  $ANR$ -space  $X_1$ . By Lemma 1,  $X_1$  is an absolute  $\sigma$ -retract.

Now we state one assertion that concerns extension of maps.

**Theorem 4.** Let  $X$  be a compact Hausdorff space such that, given a closed subset  $A \subset X$ , a continuous map  $f : A \rightarrow K$  from  $A$  into a  $CW$ -complex  $K$ , and any finite open covering  $\omega$  of  $K$ , there exists a continuous map  $g : X \rightarrow K$  such that the maps  $f$  and  $g|_A$  are  $\omega$ -close. Then there exists a continuous extension  $f_0 : X \rightarrow K$  of  $f : A \rightarrow K$ .

**PROOF.** There exists a locally finite  $CW$ -complex  $L$  homotopically equivalent to  $K$ . Let  $\varphi : K \rightarrow L$  and  $\psi : L \rightarrow K$  be continuous maps such that  $\psi\varphi \simeq \text{id}_K$ . Then there exists a finite  $CW$ -complex  $L_0 \subset L$  such that

$$\varphi f(A) \subset \text{int } L_0.$$

The compact set  $L_0$  is metrizable and belongs to  $ANR$ . There is a  $\delta > 0$  such that any two continuous maps  $g_1$  and  $g_2$  from a topological space  $\tilde{X}$  into  $L_0$  satisfying the condition

$$\rho(g_1(x), g_2(x)) \leq \delta$$

for all  $x \in \tilde{X}$  are homotopic on  $\tilde{X}$ .

Demonstrate that there exists a continuous map  $f_\delta : X \rightarrow K$  such that  $\varphi f_\delta(A) \subset L_0$  and

$$\rho(\varphi f(x), \varphi f_\delta(x)) \leq \delta$$

for all  $x \in A$ . Given any point  $y \in \varphi f(A)$ , choose neighborhoods  $U_y, V_y \subset \text{int } L_0$  so as to have  $\overline{V_y} \subset U_y$  and  $\text{diam } U_y \leq \delta$ . There exist a finite number of points  $y_1, \dots, y_m \in \varphi f(A)$  such that

$$\varphi f(A) \subset \bigcup_{j=1}^m V_{y_j}. \text{ Put}$$

$$W = L \setminus \bigcup_{j=1}^m \overline{V}_{y_j}.$$

The finite family of the sets  $\{U_{y_1}, \dots, U_{y_m}; W\}$  forms an open covering of the space  $L$ . Consequently, the family  $\omega_0 = \{\varphi^{-1}(U_{y_1}), \dots, \varphi^{-1}(U_{y_m}); \varphi^{-1}(W)\}$  forms an open covering of the complex  $K$ . By assumption, for the map  $f : A \rightarrow K$  and covering  $\omega_0$  there exists a continuous map  $f_\delta : X \rightarrow K$  such that the maps  $f$  and  $f_\delta|_A$  are  $\omega_0$ -close. If  $x \in A$  then, for a suitable number  $s \in \{1, \dots, m\}$ , we have  $\varphi f(x) \in U_{y_s}$  and  $\varphi f_\delta(x) \in U_{y_s}$ . Therefore,  $\rho(\varphi f(x), \varphi f_\delta(x)) \leq \delta$ . Hence  $\varphi f \simeq \varphi f_\delta|_A$  and consequently  $\psi\varphi f \simeq \psi\varphi f_\delta|_A$ ; i.e.,  $f \simeq f_\delta|_A$ .

There exists a homotopy  $F : A \times I \rightarrow K$  such that  $F(x, 0) = f(x)$  and  $F(x, 1) = f_\delta(x)$  for all  $x \in A$ . It is possible to find a finite subcomplex  $K_0 \subset K$  such that  $F(A \times I) \subset K_0$  and  $f_\delta(X) \subset K_0$ . By the Borsuk theorem on extension of a homotopy, there exists a continuous map  $f_0 : X \rightarrow K_0$  for which  $f_0|_A = f$ . The theorem is proven.

**Corollary 1.** If, under the assumptions of Theorem 4,  $K$  is a  $CW$ -complex  $K(G, n)$  then  $c\text{-dim}_G X \leq n$  (here  $K(G, n)$  is an Eilenberg-MacLane space [10]).

**Corollary 2.** If, under the assumptions of Corollary 1,  $G = Z$ ,  $X$  is a compact metric space, and  $FdX < \infty$  then  $FdX \leq n$ .

The proof of Corollary 2 results from applying a lemma of [11].

We point out that the condition  $FdX < \infty$  in Corollary 2 is essential. This follows from Theorem 2 of [11] and the fact that there exists a compact metric space  $Y$  with  $c\text{-dim} Y = 3$  and  $\dim Y = \infty$  [12].

In [13] there was defined the notion of absolute  $h$ -retract in the class of compact Hausdorff spaces. We rephrase the definition as follows:

A closed subset  $A$  of a compact space  $X$  is called an  $h$ -retract of  $X$  if there exists a continuous map  $r : X \rightarrow A$  such that  $r|_A \simeq \text{id}_A$ .

A compact space  $Y$  is called an *absolute  $h$ -retract* if, given an arbitrary compact metric space  $X$ , each closed subset  $A$  of  $X$  homeomorphic to  $Y$  is an  $h$ -retract of  $X$ . We denote the class of all absolute  $h$ -retracts by  $AHR$ .

A closed subset  $A$  of a compact space  $X$  is called a *neighborhood  $h$ -retract* (see [14]) of  $X$  if there exist a neighborhood  $U$  of  $A$  in  $X$  and a continuous map  $r : U \rightarrow A$  such that  $r|_A \simeq \text{id}_A$ .

A compact space  $Y$  is called an *absolute neighborhood  $h$ -retract* if, given an arbitrary compact space  $X$ , each closed subset of  $X$  homeomorphic to  $Y$  is a neighborhood  $h$ -retract of  $X$ . We denote the totality of all absolute neighborhood  $h$ -retracts by  $ANHR$ .

**Theorem 5.** Let  $X_n$ ,  $n \geq 1$ , be compact metric spaces such that the product  $X = \prod_{n=1}^{\infty} X_n$  belongs to  $ANHR$  and has a trivial shape. Then  $X_n \in AHR$ ,  $n \geq 1$ .

PROOF. We can assume that  $X \subset Q$ . There exist a neighborhood  $U$  of  $X$  in  $Q$  and a continuous map  $r : U \rightarrow X$  such that  $r|_X \simeq \text{id}_X$ . By Theorem 27.1 of [15] there exists a continuous map  $f_U : Q \rightarrow U$  such that  $f_U(x) = x$  for all  $x \in X$ . Put  $\varphi(x) = r(f_U(x))$ ,  $x \in Q$ . The map  $\varphi : Q \rightarrow X$  is continuous and  $\varphi|_X \simeq \text{id}_X$ ; i.e.,  $X \in AHR$ . Since any retract of an  $AHR$ -space is an  $AHR$ -space; therefore,  $X_n \in AHR$ ,  $n \geq 1$ . The theorem is proven.

**Theorem 6.** If  $X$  is an  $ANR$ -compact space then  $X$  is an  $AR$ -space if and only if the fundamental group  $\pi_1(X)$  and all the homology groups  $\tilde{H}_i(X; Z)$ ,  $i \geq 0$ , are trivial.

PROOF. By use made of embedding the compact space  $X$  into the Tychonoff cube  $I^\tau$ , we easily see that  $X$  is homotopically dominated by a suitable compact polyhedron. Then, by [10], there exists a  $CW$ -complex  $K$  homotopically equivalent to  $X$ . Consequently,  $\pi_1(K) = 0$  and  $\tilde{H}_i(K; Z) = 0$ ,  $i \geq 0$ . By the Whitehead theorem, the  $CW$ -complex  $K$  is contractible. Hence, the  $ANR$ -compact space  $X$  is contractible and, therefore,  $X \in AR$ . The theorem is proven.

Theorem 6 generalizes Theorem 10.8 of [9].

In a way analogous to the one used in the proof of Theorem 6, we can prove

**Theorem 7.** If  $X$  is an  $ANHR$ -compact set then  $X$  is contractible if and only if the fundamental group  $\pi_1(X)$  and all the homology groups  $\tilde{H}_i(X; Z)$ ,  $i \geq 0$ , are trivial.

We now exhibit some extra examples. It is known [4] that if  $X$  is a connected metrizable  $ANR$ -compact space then  $X \in AR_t$ . The homology groups  $H_n(X; Z)$ ,  $n \geq 0$ , are finitely generated. We will demonstrate that, in general, this is not true for  $AR_t$ -compact spaces.

EXAMPLE 1. Assign  $X_i = S^2$ ,  $i = 1, 2, \dots$ ;  $S = \prod_{i=1}^{\infty} X_i$ . Since  $S^2 \in ANR$ , we have  $S^2 \in AR_\sigma$  and hence  $S^2 \in AR_t$ . From Theorem 1 of [7] we obtain  $S \in AR_t$ . Obviously, the homology group  $H_2(S; Z)$  is not finitely generated.

Generally speaking, the homotopy groups  $\pi_i(S)$ ,  $i \geq 0$ , are not finitely generated either. Since  $\pi_1(S) = 0$ ; therefore, by the Hurewicz theorem the groups  $H_2(S; Z)$  and  $\pi_2(S)$  are isomorphic and hence the group  $\pi_2(S)$  is not finitely generated.

In [6] the Cartesian products are considered of absolute  $\varepsilon$ -retracts ( $\varepsilon$ - $AR$ -spaces). We will show that the homology groups of an absolute  $\varepsilon$ -retract can fail to be finitely generated.

EXAMPLE 2. Denote by  $C$  the closure in the plane  $\mathbb{R}^2$  of the graph of the function  $y = \sin(1/x)$ , where  $0 < x \leq 1$ . We have  $C \in \varepsilon$ - $AR$ . Put  $X_i = C$ ,  $i = 1, 2, \dots$ , and  $X = \prod_{i=1}^{\infty} X_i$ . By Theorem 1 of [6]

the compact space  $X$  is an absolute  $\varepsilon$ -retract. The homology group  $H_0(X; Z)$  is not finitely generated.

### References

1. H. Noguchi, "A generalization of absolute neighborhood retracts," *Kodai Math. J.*, No. 1, 20–22 (1953).
2. K. Kuratowski, *Topology*. Vol. 2 [Russian translation], Mir, Moscow (1969).
3. P. V. Chernikov, "On N. N. Luzin's theorem," *Sibirsk. Mat. Zh.*, **33**, No. 1, 212–215 (1992).
4. P. V. Chernikov, "Approximation of measurable mappings and retracts," submitted to VINITI on March 10, 1989, No. 1585.
5. P. V. Chernikov, "Metric spaces and continuation of mappings," *Sibirsk. Mat. Zh.*, **27**, No. 6, 210–215 (1986).
6. M. A. Martinsone and P. V. Chernikov, "Cartesian products of some spaces that are close to absolute retracts. II," submitted to VINITI on October 26, 1992, No. 3071.
7. P. V. Chernikov, "Cartesian products of some spaces that are close to absolute retracts. I," submitted to VINITI, 1992, No. 688.
8. T. A. Chapman, *Lectures on Hilbert Cube Manifolds* [Russian translation], Mir, Moscow (1981).
9. K. Borsuk, *Theory of Retracts* [Russian translation], Mir, Moscow (1971).
10. E. N. Spanier, *Algebraic Topology* [Russian translation], Mir, Moscow (1971).
11. Yu. V. Lubenets, "Fundamental dimension of compact subsets," *Uspekhi Mat. Nauk*, **47**, No. 3, 167–168 (1992).
12. A. N. Dranishnikov, "Homological dimension theory," *Uspekhi Mat. Nauk*, **43**, No. 4, 11–55 (1988).
13. C. W. Saalfrank, "A generalization of the concept of absolute retract," *Proc. Amer. Math. Soc.*, **12**, 374–378 (1961).
14. P. V. Chernikov, "Approximation of measurable mappings and retracts," submitted to VINITI on May 8, 1990, No. 2453.
15. K. Borsuk, *Theory of Shape* [Russian translation], Mir, Moscow (1976).

TRANSLATED BY A. Z. ANAN'IN