Derivation of the Globins from Type b Cytochromes

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Summary. Similarities in the amino acid sequences of vertebrate and invertebrate globins, b_5 and b2 cytochromes and chicken sulfite oxidase point to a common ancestry for all of these proteins. The distal heme ligand (histidine or its equivalent) is common to both sets of proteins, but the proximal histidine ligand of the cytochromes is replaced by another histidine residue in the globins. This explains why the heme is reversed between globins and b_5 cytochromes. It seems likely that the genes for primitive globins contained three exons, the first two of which were derived from a cytochromelike DNA sequence. A model is presented to show how globins may have evolved from a pre-existing type b cytochrome; the complexity of the required changes is an indication that all globins are monophyletic.

Key words: Protein evolution – Cytochrome b₅ – Myoglobin – Hemoglobin

Introduction

Anderson (1981) has suggested that multicelled animals that begin their development by means of a spiral cleavage of the fertilized egg may have attained the metazoan grade of organization independently from those animals (deuterostomes) that undergo an initial radial cleavage. However, many living members of both of these embryological groups manufacture monomeric or polymeric oxygen-binding proteins (globins) that are thought to be homologous because of significant similarities in their primary, secondary, and tertiary structures (Lesk and Chothia 1980; Thompson 1980). That the living ciliate *Paramecium* contains hemoglobin (Irie and Usuki 1980) suggests that the genes for these proteins may have been inherited from a remote common ciliate ancestor. However, it is also possible that the similarities seen in the structures of modern globins were inherited convergently from another heme-bearing molecule. In this article I attempt to show that whilst some of the features of the primary structure of all globins were inherited from an ancestral type b cytochrome, differences between the b cytochromes and the globins make it unlikely that the globins could have evolved more than once.

Type b cytochromes are electron-carrying proteins that, like the globins, contain protoheme IX as the prosthetic group (Hagihara et al. 1975; von Jagow and Sebald 1980). Cytochrome b is a hydrophobic molecule of about 380 amino acids that forms part of the ubiquinol : cytochrome c reductase complex (complex III) of the inner mitochondrial membrane (Nobrega and Tzagoloff 1980; Leonard et al. 1981). It is manufactured within the mitochondrion and its primary structure is known from DNA sequencing of the mitochondrial genes of humans, mouse, ox, yeast and *Aspergillus* (Widger et al. 1984). Saraste (1984) and Widger et al. (1984) have recently proposed similar models for the secondary structure of yeast cytochrome b.

Type b_5 cytochromes are microsomal and mitochondrial proteins of vertebrate livers that are encoded by nuclear genes. Each such cytochrome consists of a hydrophilic heme-bearing unit of about 95 amino acids and a hydrophobic C-terminal 'tail' of about 40 amino acids, which appears to anchor the protein to the membrane (Takagaki et al. 1983). The primary structure is known from several vertebrates (Hagihara et al. 1975; von Jagow and Sebald 1980; Lederer et al. 1983), and the secondary and tertiary structures have been determined at 2.0-Å resolution using calf liver b_5 (Mathews et al. 1972a). These data provide no evidence for a common ancestry of the b and b_5 cytochromes.

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G1B G19 GH1 GH2 GH3 GH4 GH5 H1 H2 H3 H4 H5 H6 H7 H8 H9 H10 H11 H12 H13 H14 H15 H16 H17 H18 H19 H20 H21 H22 H23 H24 H25 H26 HC1 HC2 HC3 HC4 144 145 146 147 148 149 150 151 152 153 154 155 156 157 158 159 160 161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 177 178 179 180 181 182 183 184 185 186 187 188 Gly Asp Ala Gly Phe Glu Lys Leu Met Ser MET Ile Cys Ile Leu LEU Arg Ser Ala Tyr Ala Ala Val Gly Asp Lys Trp Ser Asp Glu Leu Ser Arg ALA TRP Glu Val Ala Tyr Asp Glu Leu Ala Ala Ala Ile Lys Lys Ala Lys Ala Arg Met Gly Ser Tyr Ser Asp Asp VAL Gly Ala ALA TRP Val Gln Ala ILE Leu Gly Met Gln ASN Ala Val Leu Ser Ala Leu Ser Lys Asn Phe Gly Asp Lys Tyr Ala Asn ALA TRP Ala Lys Leu Val Ala Val Val Gin Ala Ala LEU Glu Ala Leu Gly Gly Gly ALA Ser Gly Asp VAL Lys Gly ALA TRP Asp Ala Leu LEU Ala Tyr Leu Gln ASP Asn Lys Gln Ala Gln Ala Leu Ser Val Ala Ala Pro PRO ALA GIY Ala Asp ALA TRP Thr Lys Leu PHE GIY Leu Ile Ile ASP Ala LEU Lys Ala Ala GIY Lys Glu Ala Thr Gln Arg Lys Ala Thr Asp ALA Gin Lys Asp Ala Asp Gly Ala Leu Leu Thr Met LEU Ile Lys Ala His Val His Ile His Gly ALA Asp ILE GIY ALA TRP Arg Ala Cys Tyr Ala Glu Gin Ile Val Thr Gly ile Thr Ala Ala Gin Leu Giy Giu Arg Cys Tyr Ser Asn Asn Giu Giu 11e His Asp Ala 11e Ala Cys Asp Giy Phe Ala Arg Val LEU Pro Gin Val Leu Giu Arg Giy 11e Lys Giy His His His Arg Ile Gly Gly Lys Met Asn Ala ALA Ala Lys Asp ALA TRP Ala Ala Ala Tyr Ala Asp Ile Ser Gly Ala LEU Ile Ser Gly Leu Gin Ser Ala His Ile Asn Phe Asp Gly Pro Thr Glu Thr ALA TRP Thr Leu Ala LEU Asp THR Thr Tyr Ala Met LEU Phe Ser Ala Met Asp Ser Gly ASN Leu Gly Phe Gly Asn Ile Gly ALA TRP Asn Ala Thr Val Asp Leu Met Phe His Val Ile Phe Asn Ala Leu Asp Gly Thr Pro Val Gly Val His Leu Thr Glu Phe Ser PRO GIU Thr His Cys ALA LEU Asp Lys Phe LEU Thr Asn Val Cys His Glu LEU Ser Ser Arg Tyr Arg lle His His Pro Ala Ala Leu Thr PRO Glu VAL His Ala SER LEU Asp Lys Phe Met Cys Ala Val Gly Ala Val LEU Thr Ala Lys Tyr Arg Ser His His Pro Ala Asp Phe Thr PRO ALA VAL His Ala SER LEU Asp Lys Phe LEU Ala Ser Val Ser Thr Val LEU Thr Ser Lys Tyr Arg Ala His Phe Ala Lys Asp Phe Thr PRO GIU Cys Gin Ala ALA TRP Gin Lys Leu Val Arg Val Val Ala His Ala LEU Ala Arg Lys Tyr His His His Phe Gly Lys Glu Phe Thr PRO Pro VAL GIN Ala ALA Tyr GIN Lys Val Val Ala Gly Val Ala ASN Ala LEU Ala His Lys Tyr His Ser Lys His Pro Ala Glu Phe Gly Ala Asp Ala Gin Ala ALA Met Lys Lys Ala LEU Giu Leu Phe Arg ASN Asp Ile Ala Ala Lys Tyr Lys Giu Leu Giy Phe His Giy Arg ILE MET Ala Asp ASP VAL ILE The ASN Tep Val Ile Pro ALA Ile Ser ALA Leu Val Val SER LEU Met Tyr His PHE Tyr THR Ser Glu ASN Thr ASN Trp Leu Lie Pro ALA Ile Ser ALA Leu Phe Val ALA LEU lle Tyr His LEU Tyr THR Ser Glu ASN

Fig. 1 (facing page and above). Alignment of the amino acid sequences of 18 globins with the sequences of b_2 and b_5 cytochromes and CSO. Residues common to both sets of sequences are shown in capital letters; residues that are greatly conserved in globins are indicated by asterisks; open triangles mark the positions of introns in globin genes; and heme ligands are indicated by stars—the solid star is the common distal histidine and the open stars the alternate proximal histidines. The sequences illustrated are as follows: G1, *Petromyzon* hemoglobin (Hombrados et al. 1983); G2, soybean leghemoglobin Lbc (Sievers et al. 1978); G3, Anadara trapezia hemoglobin (Como and Thompson 1980); G4, Anadara broughtonii hemoglobin (Furuta and Kajita 1983), G5, Cerithidea rhizophorarum myoglobin (Takagi et al. 1983); G6, Aplysia limacina myoglobin (Suzuki et al. 1981); G7, Busycon canaliculatum myoglobin (Bonner and Laursen 1977); G8, Tylorrhynchus heterochaetus hemoglobin (Suzuki et al. 1982); G9, Lumbricus terrestris hemoglobin VI (Aschauer et al. 1981); G12, Chironomus thummi hemoglobin IIIa (Steer and Braunitzer 1981); G13, shark α-hemoglobin (Thompson 1980); G14, duck α-hemoglobin (Paddock and Gaubatz 1981); G15, Rhea americana β-hemoglobin (Oberthür et al. 1983); G16, human β-hemoglobin (Lawn et al. 1980); G18, seal myoglobin (Blanchetot et al. 1983); C1, rat outer membrane cytochrome b₅, respectively (Ozols 1974; Hagihara et al. 1975; van Jagow and Sebald 1980); C7, CSO (Guiard and Lederer 1979); C8, yeast cytochrome b₂ (Guiard and Lederer 1979)

Yeast cytochrome b₂ (yeast lactate dehydrogenase) and chicken sulfite oxidase (CSO) are composite proteins of the mitochondrial intermembranous space. They consist of N-terminal heme-bearing units attached to domains that contain other prosthetic groups (flavins and molybdenum; Guiard and Lederer 1979). These proteins are thought to have evolved by the fusion of originally separate genes, and the heme-bearing unit of each has a primary structure that is clearly homologous with that of the heme-bearing hydrophilic domain of vertebrate b₅ cytochromes (Guiard and Lederer 1979; Ghrir et al. 1984). A partial amino acid sequence of Neurospora assimilatory nitrate reductase (NNR) shows that it also belongs to this family of proteins (Lê and Lederer 1983).

Soon after the primary structure of the watersoluble segment of calf liver cytochrome b_5 was determined, Ozols and Strittmatter (1967) noted similarities in the amino acid sequences of cytochrome b_5 and vertebrate globins. Subsequent studies of Rossmann and Argos (1975) and Argos and Rossmann (1979) showed some similarities also between the secondary structures of these two kinds of proteins (see also Murthy 1984), but these similarities could not be used to exclude the possibility that the apparent relationship was due to convergence.

When Ozols and Strittmatter published their study, the secondary and tertiary structures of cytochrome b_5 were unknown. They therefore incorrectly identified a histidine residue at position 80 of the amino acid sequence (Mathews et al. 1972a) as the proximal heme ligand. This unsuccessful prediction led Mathews et al. (1972b) to conclude that the similarity in the amino acid sequences must be coincidental; consequently, Ozols and Strittmatter's proposal that b_5 and the vertebrate globins share a common ancestry has received little further attention.

In calf liver b_5 the heme group is supported by histidine side chains at positions 39 and 63 of the water-soluble segment (Mathews et al. 1972a). A similar situation seems to exist in yeast b_2 and CSO, as both proteins have histidine residues in positions homologous to those in b_5 (Guiard and Lederer 1979). By analogy with the globins, His-39 of b_5 may be termed the proximal histidine, since it faces the 'front' of the heme (Mathews 1980), and His-63 the distal histidine. This orientation of the heme was unknown to Argos and Rossmann, and it therefore diminishes the significance of their comparisons between the secondary structures of globins and cytochrome b_5 (Mathews 1980).

In yeast b_2 , CSO, NNR and all b_5 cytochromes so far sequenced, there is a tryptophan residue 17 positions to the N-terminal side of the proximal histidine. A highly conserved tryptophan residue occurs in the A helices of almost all globins. When the globin and cytochrome b_5 sequences are aligned in the way proposed by Ozols and Strittmatter (1967), these two tryptophan residues are close to one another, and it was therefore thought that they might provide a suitable 'tie-line' for a comparison of the primary structures of the two sets of proteins.

Methods

The amino acid sequences of 18 distantly related globins were aligned as shown in Fig. 1 by using the well-conserved residues Leu-16, Lys-21, Trp-30, Gly-44, Pro-56, Phe-62, Gly-67, Ala/ Pro-78, His-83, Leu-91, Leu-98, Asp-99, Asp-100, Leu-114, His-118, Phe-131, Leu-142, Ala-143, Ala-165, Trp-166, Leu-177 and Ala/Lys-180 (numbering as in Fig. 1). With the exception of small differences in the NA region and some inter-helical segments, the alignments shown in Fig. 1 correspond to those adopted by Lesk and Chothia (1980) in their comparative study of 11 globins in which the crystalline structure has been determined to 1.5-2.5-Å resolution. Because the inter-helical segments are of variable length (Fig. 4) and poorly conserved (Fig. 1), it is largely a matter of opinion as to how these parts of the molecules should be aligned; I have used an arrangement that minimizes both the total length of the aligned sequences and the number of gaps within them. In the N-terminal regions, I have assumed that the extra residue found in vertebrate β -globins but not in the vertebrate globins or myoglobins was inserted after the α - and β -genes diverged from each other. It is probable that invertebrate hemoglobins were derived independently from myoglobins that lacked this extra residue.

Insofar as it was possible, I chose the globins for this study at random from the sequences available. However, I considered it desirable to include, where possible, those globins for which DNA sequence data are available, and to provide some numerical balance among sequences from different major higher taxa. Because a very limited number of invertebrate globins have been sequenced, the within-taxon sampling is far from ideal (e.g., two species of Anadara from the Bivalvia). Nevertheless, the 18 globin sequences of Fig. 1 embrace the polychaete (Glycera) and oligochaete Annelida (Tylorrhynchus and Lumbricus) the uniramian arthropods (Chironomus), the bivalved Mollusca (Anadara), the gastropod Mollusca (Aplysia, Cerithidea and Busycon), the jawless fish (Petromyzon), cartilaginous fish, birds and mammals, plus a globin from the root nodules of the soybean. They also sample distantly related members of the three main vertebrate globin families, the α - and β -hemoglobins and the myoglobins.

The globin sequences were compared with an analogous but smaller set of cytochrome b_3 sequences and the sequences of yeast cytochrome b_2 and CSO (Fig. 1). The alignments adopted for these sequences are those given by Ozols (1974), Hagihara et al. (1975), Guiard and Lederer (1979), von Jagow and Sebald (1980) and Lederer et al. (1983), and thus are independent of this study. Again, the taxonomic distribution of available sequences is far from ideal, as it may be assumed that all mammalian cytochrome b_3 genes have descended from a common ancestral gene within the last few hundred million years. Thus although several mammalian sequences are shown in Fig. 1 for illustrative purposes, they are given relatively little weight in the statistical analysis of the similarity of cytochrome and globin sequences.

The tryptophan residue (Trp-30, Fig. 1) that is found in most globins and in each of the b_5 , b_2 and CSO sequences was used to align the N-terminal ends of both sets of sequences. Further tielines were provided by three other apparently conserved residues, Pro-56, His-83 and His-118 (Fig. 2). To correlate these four sets of residues and others within the chains it was necessary to separate the cytochrome and CSO sequences into four parts (segments 1–4, Fig. 4), each of which is tied to the globin sequences by one of the four residues mentioned above. To achieve a better fit of segment 1 with the N-terminal part of the globin set, it was also assumed that His/Arg-22 of the cytochrome sequences is missing in the globins (Figs. 1 and 4). This is the only deletion postulated to have occurred in the production of a globin from a b cytochrome.

To test the statistical significance of the alignments shown in Fig. 1, I used the following methods. The probability (ρ) of any particular amino acid being found at any site was assumed to be equal to 0.01 times the average percentage abundance of that amino acid in the 18 globin sequences (2655 residues) in the case of the globins, or to 0.01 times the average percentage abundance of that amino acid in 118 different proteins (18,115 residues; Cornish-Bowden 1983) in the case of the b cytochromes and CSO. Using the expression

$$\mathbf{P} = \frac{\mathbf{n}!}{\mathbf{x}!(\mathbf{n}-\mathbf{x})!} \cdot \rho^{\mathbf{x}} \cdot (1-\rho)^{\mathbf{n}-\mathbf{x}},$$

where x is the observed frequency of any particular amino acid in n sequences and ρ is the expected probability, the probability (P) that the presence of a common or shared amino acid at any position is due to chance was calculated for each set of sequences separately. When two or more common residues were found at the same position, the probabilities calculated for each kind of residue were multiplied together. Distributions that are significant at the 0.05, 0.01 and <0.001 levels are shown by one, two or three asterisks, respectively, in Fig. 2. Some of the calculated probabilities are exceedingly small. For example, the probability of leucine occurring at position 16 with the observed frequencies in both sets of proteins is about 4×10^{-10} . Some other values are 1×10^{-12} (Lys/Arg-21), 3×10^{-10} (Leu-48), 1×10^{-29} (Pro-56) and 4×10^{-14} (Gly-67).

All 18 globin sequences were given equal weight in the analysis because the data set consists of sequences or pairs of sequences that are distantly related. However, it was felt that the vertebrate b_5 sequences would bias the analysis of the cytochrome data set if each was given equal weight, and therefore the very similar rat, human, pig and ox sequences were treated as a single unit. Thus the values of n used were 18 for the globins and 5 for the cytochromes.

Results

It can be seen from Fig. 2 that statistically significant similarities are widespread in all four segments (positions 7-35, 39-73, 76-95 and 111-132). In segment 1, 37% of the positions have statistically significant occurrences of the same residues in both sets of sequences; in segments 2-4 the values are 29%, 25% and 32%, respectively (mean, 31%). These values are much higher than those expected on the basis of chance, and they are improved (mean, 35%)

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Fig. 2. Statistical comparison of the similarities between the 18 globin and 8 cytochrome sequences shown in Fig. 1. The residue positions are numbered as in Fig. 1; underlined segments are those numbered 1-4 in Fig. 4. Residues present in the same positions in each set of sequences are listed, and those that have statistically significant distributions in each set of sequences are marked by asterisks. See text for additional explanation

if the residues used to tie each segment to the globin sequences are included. For example, the expected frequency for segment 1 is approximately 29/400 (7%), where 29 is the number of positions compared and 400 (20 \times 20) is an estimate of the average probability that any one amino acid will occur at the same position in each set of sequences.

As might be expected, some globin sequences resemble the cytochrome sequences more closely than do others. The partial sequences shown in Fig. 3 illustrate that close similarities can be demonstrated between parts of individual polypeptide chains.

Discussion

The first conclusion to be drawn from these comparisons is that the distal heme ligand (His-83 or its equivalent in some globins) is common to both sets of proteins, but the proximal ligand of the cytochromes, CSO and NNR is replaced by the invariant histidine residue at position 118 (F8) in the globins. This explains why the heme is reversed between globins and b_s cytochromes (Mathews 1980). As might be expected, all cytochromes and all globins have a histidine in the proximal position (His-

Globin (1) Cytochrome Globin (2)	NA1 SER SER	NA3 LEU LEU	Al Ser Glu	A2 ASP GLU	A3 Lys Val	A4 ASP GLU	A5 LYS LYS	His	A6 Ala Asn	A7 ASP ASP	A8 Val Ser	A9 LYS LYS LYS	A10 GLU GLU	All Thr Ser	A12 TRP TRP	A13 Val Lys	A14 VAL VAL	A15 LEU LEU
Globin (3)	B4 GLY	B5 Ser	B6 Gly	B7 VAL	B8 Glu	B9 Ile	B10 LEU	B11 Tyr	B12 Phe	B13 PHE	B14 LEU	B15	B16	C1	C2	C3	C4	C5
Cytochrome Globin (4) Globin (5)	GLY Gln	TYR TYR	Lys Ser	VAL VAL	Tyr Val	Asp Phe	LEU Tyr	THR THR	ARG ARG Ser	PHE Leu Ile	LEU LEU LEU	GLU Gln GLU	GLU GLU Lys	HIS HIS Ala	PRO PRO PRO	Gly Glu Ala	Gly Thr Ala	GLN GLN GLN

Fig. 3. Segments of globin sequences that closely resemble the greatly conserved vertebrate cytochrome b₃ sequences (center). 1, *Catostomus clarkii* α -hemoglobin (Croft 1980); 2, *Busycon* myoglobin; 3, *Chironomus* hemoglobin IIIa; 4, alligator myoglobin (Dene et al. 1980); 5, soybean leghemoglobin Lbc

55 and His-118, respectively), but only some cytochromes have a histidine at position 118 and only some globins a histidine at position 55. The presence of His-118 in some cytochromes is considered to represent the ancestral condition that existed before the cytochromes and the globins diverged, and the presence of His-55 to be a relic that similarly has survived in an unmodified condition in some globins.

The only other invariant residue of normal globins is the phenylalanine at position 62 (CD1), which lies parallel to the heme on its distal side and wedges it into its crevice. In calf liver b_5 a leucine residue at this position is in van der Waals contact with the proximal histidine (Hagihara et al. 1975). This residue is conserved as either leucine or isoleucine in all of the b_5 cytochromes and in b_2 and CSO.

There are 23 positions marked by asterisks in Fig. 1. These represent sites (excluding the heme ligands) at each of which a single residue is conserved in at least 9 of the 18 globin sequences. These positions should, more than any others, reflect the condition in the ancestral globin. It is therefore of interest that the same residue is found in a corresponding position in at least one of the cytochrome sequences at the following sites: Leu-16 (invariant in vertebrate b₅); Lys-21 (Arg in CSO, Lys in the other sequences); Trp-30 (invariant in the cytochromes); Leu-48; Phe-52 (frequently Leu in globins and Leu in all cytochrome sequences except CSO); Pro-56 (invariant in the cytochromes); Phe-62 (Leu or Ile in the cytochromes); Leu-91 (Leu or Met in all cytochrome sequences except b₂); Leu-114 (Leu, Val or Ile in the cytochromes); Val-126 (Val in b₂); and Ser-Leu-165-166. Thus most of the residues that are conserved in most globins could have been inherited from an ancestral b₅-like cytochrome.

The tryptophan residue at position 30 (A12) must have a significant and perhaps unrecognized function, because it is conserved in most globins, b_5 and b_2 cytochromes, CSO and NNR. In the latter group of proteins (the cytochrome group) it may support the N-terminal helix through a π - π interaction with His-22 (Guiard and Lederer 1979), but its role in globins is not well understood, even though its structural relationships are known precisely (Lesk and Chothia 1980).

The similarity between the globin and cytochrome sequences stops rather abruptly near position 131 (Figs. 1 and 2). To a certain extent this is because few cytochrome sequences are available [the b₂ sequence for this region (Ghrir et al. 1984) appeared after Figs. 1 and 2 were prepared]. However, there is little similarity between the dominantly hydrophobic 'tail' of calf and other b₅ cytochromes (von Jagow and Sebald 1980) and the G and H helical regions of the globins. This is not entirely unexpected, because only the N-terminal parts of b₂ and b₅ cytochromes and CSO are homologous; the hydrophobic membrane anchor of b₅ proteins and the analogous regions of CSO and b₂ (and presumably NNR) are thought to have been independently joined to the homologous N-terminal regions by gene fusion (Guiard and Lederer 1979).

The presumed positions of the junctions between the homologous and analogous sequences of b_5 , b_2 and CSO sequences correspond almost exactly with the position of the second intron of vertebrate globin genes (Fig. 4). It is therefore possible that globins are also composite proteins constructed from a homolog of the water-soluble b_5 segment plus a C-terminal module coded by an exotic exon. Conversely, it is possible to predict that the cytochrome b_5 gene sequences will prove to have an intron at the end of the region coding for the hydrophilic domain (Fig. 4).

Seal myoglobin lacks the third central intron of the leghemoglobin genes (Jensen et al. 1981; Blanchetot et al. 1983), thus diminishing the likelihood that the presence of three introns in globin genes represents the primitive state (Blake 1981, 1983; Lewin 1981, 1983). Although Gō's successful prediction of the existence and location of the third intron in the leghemoglobin genes (Blake 1981; Gō 1981) shows that the positions of introns may be related to the symmetry and topology of the finished



Fig. 4. Histograms of the residues/position of the globin (top) and cytochrome sequences (middle) of Fig. 1. The histograms at the bottom show the distribution of globin residues that are incorporated in α -helices (Lesk and Chothia 1980); the horizontal bars show the α -helical regions of calf liver cytochrome b, (Mathews et al. 1972). Note that most insertions/deletions in globins (low points in upper histogram) lie between the conserved helical regions, and that there is an approximate correspondence between the globin helical regions and the four segments (1–4) of the cytochrome sequences. Tie-lines between the globin and cytochrome sequences are indicated by the named residues; IVS1, IVS2 and IVS3 are the positions of the globin introns. The location of a hypothetical intron in the cytochrome sequences is shown at the junction of the hydrophilic and hydrophobic domains of the b₅ sequences. Stars indicate heme ligands

product, it is difficult to find a functional explanation for the presence of an intron in the middle of the region that encodes the heme-bearing domain (Craik et al. 1980). If an equivalent intron exists in invertebrate globins, it may be an historical relic reflecting the fact that in a b_5 cytochrome such an intron would *not* lie within the sequence coding for the heme-bearing domain. Its absence from vertebrate globins could then be explained as being due to selection for an uninterrupted coding sequence in this critical region.

In previous attempts to relate b_5 cytochromes to the globins it was assumed that the primary heme ligands would remain unchanged and that there should be a close similarity between the secondary structures of the two kinds of molecules (Ozols and Strittmatter 1967; Rossman and Argos 1975; Argos and Rossmann 1979). However, it is also possible that the evolution of new kinds of proteins results from small but critical changes in the primary structure that cause dramatic changes in both the tertiary structure (e.g. Dijkstra et al. 1983) and the function of the molecules.

In calf liver b_s the heme lies in a cavity that is walled by short α -helical segments and floored by a β structure (Mathews et al. 1972b). The N-terminus lies beneath the floor of the heme crevice and the C-terminal chain of the hydrophilic domain lies to one side (Fig. 5). Such a molecule could have been converted into a 'protoglobin' in the following way: If the C-terminal segment had been lengthened by about ten residues (positions 96–112) at its N-terminal end, the C-terminal helix could have come to lie across the mouth of the heme crevice (Fig. 5). Given the development of this typology, it is not difficult to imagine some of the other modifications

that would have been required to convert a type b_s cytochrome into an ancestral globin. The heme would have needed to be detached from its proximal ligand (His-55) and attached to the histidine (His-118) in the middle of the C-terminal helix. Given the fact that apomyoglobin reconstituted with deuteroheme derivatives contains a disordered fraction in which the heme is rotated through 180° about the α - γ axis (Ahmad and Kincaid 1983), this development could have required little more than the formation of an appropriate tertiary structure. Mutations or insertions could then have lengthened the helical part of this chain to develop the globin F helix (Fig. 5), and sequential changes elsewhere could have converted the β floor of the cytochrome heme pocket into parts of the A, B and D helices of the globins. As can be seen in Fig. 4, there is an approximate correspondence between the four cytochrome segments identified from the amino acid sequence comparisons and the major helical regions of the globins. If the gene fusion model proposed above is correct, the globin G and H helices were inherited from another, unrelated gene.

If the globins were derived by the fusion of two gene segments as outlined above, it is unlikely that the transition could have occurred more than once. Both regions are clearly homologous in all globins so far studied, and the probability that two unrelated gene segments fused twice to form a single functional unit must be vanishingly small. When this observation is coupled with the complex structural changes that appear to have been required to develop the globin tertiary structure, it is even more improbable that the globins evolved by convergent evolution. On the other hand, it may no longer be possible to use the presence of greatly conserved residues or the





Fig. 5. Schematic views of the structural changes that would be required to convert the heme-bearing domain of a cytochrome b_5 molecule into an analogous region in a globin. In calf liver b_5 [right, redrawn from Mathews et al. (1972b)], the heme is held between His-55 and His-83 (numbering as in Fig. 1). The central helix of this structure is thought to be equivalent to the E helix of a globin [center, redrawn from Richardson (1981)], and the C-terminal chain of b_5 to the upper part of the globin F helix. An insertion of about 10 residues (positions 96–112 of Fig. 1) would be required to place His-118 opposite the conserved distal histidine, His-83 (left). The hemes are reversed in the two kinds of structure, but the reversal seems to result from a reorientation of the helical segments rather than a movement of the heme across the E helix (center). The arrows point to the histidine that is proximal in cytochrome b_5 . [See Poulos and Mauk (1983) for computer-drawn stereoscopic views of both structures at an equivalent scale]

positions of introns as evidence that the globins evolved from a single ancestral gene (Lee et al. 1983), as all of these features could have been inherited from a b_5 -like cytochrome. This matter may be clarified when the nucleic acid sequences of b_5 cytochromes and invertebrate globins become available.

It is, however, more difficult to use the evidence presented above to argue that all invertebrate phyla that synthesize globins are monophyletic. The presence of leghemoglobins in leguminous (Lee et al. 1983) and non-leguminous (Appleby et al. 1983) plants may be explained in two ways: Either the genes for these proteins were inherited from a common ancestor of animals and plants, or the genes were transferred to angiosperms from an animal during the Mesozoic (Lewin 1981; Lee et al. 1983). The former is possible in that the taxonomic distribution of globins may be inadequately known; the latter is likely in view of the unusual and specific association of Rhizobium in nitrogen-fixing root nodules of the plants. Thus either process could explain the distribution of globins in the animal kingdom.

Acknowledgments. I thank M.T.C. Runnegar for providing most of the literature, F.A. Shaw for the computing and V.J. Bofinger for advice on the statistics.

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Received September 26, 1983/Revised July 31, 1984