

Derivation of the Globins from Type b Cytochromes

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Summary. Similarities in the amino acid sequences of vertebrate and invertebrate globins, b_5 and b_2 cytochromes and chicken sulfite oxidase point to a common ancestry for all of these proteins. The distal heme ligand (histidine or its equivalent) is common to both sets of proteins, but the proximal histidine ligand of the cytochromes is replaced by another histidine residue in the globins. This explains why the heme is reversed between globins and b_5 cytochromes. It seems likely that the genes for primitive globins contained three exons, the first two of which were derived from a cytochromelike DNA sequence. A model is presented to show how globins may have evolved from a pre-existing type b cytochrome; the complexity of the required changes is an indication that all globins are monophyletic.

Key words: Protein evolution — Cytochrome b_5 — Myoglobin — Hemoglobin

Introduction

Anderson (1981) has suggested that multicelled animals that begin their development by means of a spiral cleavage of the fertilized egg may have attained the metazoan grade of organization independently from those animals (deuterostomes) that undergo an initial radial cleavage. However, many living members of both of these embryological groups manufacture monomeric or polymeric oxygen-binding proteins (globins) that are thought to be homologous because of significant similarities in their primary, secondary, and tertiary structures (Lesk and Chothia 1980; Thompson 1980). That the living ciliate *Paramecium* contains hemoglobin (Irie and Usuki 1980) suggests that the genes for these proteins may have been inherited from a re-

mote common ciliate ancestor. However, it is also possible that the similarities seen in the structures of modern globins were inherited convergently from another heme-bearing molecule. In this article I attempt to show that whilst some of the features of the primary structure of all globins were inherited from an ancestral type b cytochrome, differences between the b cytochromes and the globins make it unlikely that the globins could have evolved more than once.

Type b cytochromes are electron-carrying proteins that, like the globins, contain protoheme IX as the prosthetic group (Hagihara et al. 1975; von Jagow and Sebald 1980). Cytochrome b is a hydrophobic molecule of about 380 amino acids that forms part of the ubiquinol : cytochrome c reductase complex (complex III) of the inner mitochondrial membrane (Nobrega and Tzagoloff 1980; Leonard et al. 1981). It is manufactured within the mitochondrion and its primary structure is known from DNA sequencing of the mitochondrial genes of humans, mouse, ox, yeast and *Aspergillus* (Widger et al. 1984). Saraste (1984) and Widger et al. (1984) have recently proposed similar models for the secondary structure of yeast cytochrome b.

Type b_5 cytochromes are microsomal and mitochondrial proteins of vertebrate livers that are encoded by nuclear genes. Each such cytochrome consists of a hydrophilic heme-bearing unit of about 95 amino acids and a hydrophobic C-terminal 'tail' of about 40 amino acids, which appears to anchor the protein to the membrane (Takagaki et al. 1983). The primary structure is known from several vertebrates (Hagihara et al. 1975; von Jagow and Sebald 1980; Lederer et al. 1983), and the secondary and tertiary structures have been determined at 2.0-Å resolution using calf liver b_5 (Mathews et al. 1972a). These data provide no evidence for a common ancestry of the b and b_5 cytochromes.

fore diminishes the significance of their comparisons between the secondary structures of globins and cytochrome b_5 (Mathews 1980).

In yeast b_2 , CSO, NNR and all b_5 cytochromes so far sequenced, there is a tryptophan residue 17 positions to the N-terminal side of the proximal histidine. A highly conserved tryptophan residue occurs in the A helices of almost all globins. When the globin and cytochrome b_5 sequences are aligned in the way proposed by Ozols and Strittmatter (1967), these two tryptophan residues are close to one another, and it was therefore thought that they might provide a suitable 'tie-line' for a comparison of the primary structures of the two sets of proteins.

Methods

The amino acid sequences of 18 distantly related globins were aligned as shown in Fig. 1 by using the well-conserved residues Leu-16, Lys-21, Trp-30, Gly-44, Pro-56, Phe-62, Gly-67, Ala/Pro-78, His-83, Leu-91, Leu-98, Asp-99, Asp-100, Leu-114, His-118, Phe-131, Leu-142, Ala-143, Ala-165, Trp-166, Leu-177 and Ala/Lys-180 (numbering as in Fig. 1). With the exception of small differences in the NA region and some inter-helical segments, the alignments shown in Fig. 1 correspond to those adopted by Lesk and Chothia (1980) in their comparative study of 11 globins in which the crystalline structure has been determined to 1.5–2.5-Å resolution. Because the inter-helical segments are of variable length (Fig. 4) and poorly conserved (Fig. 1), it is largely a matter of opinion as to how these parts of the molecules should be aligned; I have used an arrangement that minimizes both the total length of the aligned sequences and the number of gaps within them. In the N-terminal regions, I have assumed that the extra residue found in vertebrate β -globins but not in the vertebrate globins or myoglobins was inserted after the α - and β -genes diverged from each other. It is probable that invertebrate hemoglobins were derived independently from myoglobins that lacked this extra residue.

Insofar as it was possible, I chose the globins for this study at random from the sequences available. However, I considered it desirable to include, where possible, those globins for which DNA sequence data are available, and to provide some numerical balance among sequences from different major higher taxa. Because a very limited number of invertebrate globins have been sequenced, the within-taxon sampling is far from ideal (e.g., two species of *Anadara* from the Bivalvia). Nevertheless, the 18 globin sequences of Fig. 1 embrace the polychaete (*Glycera*) and oligochaete Annelida (*Tylorrhynchus* and *Lumbricus*) the uniramous arthropods (*Chironomus*), the bivalved Mollusca (*Anadara*), the gastropod Mollusca (*Aplysia*, *Cerithidea* and *Busycon*), the jawless fish (*Petromyzon*), cartilaginous fish, birds and mammals, plus a globin from the root nodules of the soybean. They also sample distantly related members of the three main vertebrate globin families, the α - and β -hemoglobins and the myoglobins.

The globin sequences were compared with an analogous but smaller set of cytochrome b_5 sequences and the sequences of yeast cytochrome b_2 and CSO (Fig. 1). The alignments adopted for these sequences are those given by Ozols (1974), Hagihara et al. (1975), Guiard and Lederer (1979), von Jagow and Sebald (1980) and Lederer et al. (1983), and thus are independent of this study. Again, the taxonomic distribution of available sequences is far from ideal, as it may be assumed that all mammalian cytochrome b_5 genes have descended from a common ancestral gene within

the last few hundred million years. Thus although several mammalian sequences are shown in Fig. 1 for illustrative purposes, they are given relatively little weight in the statistical analysis of the similarity of cytochrome and globin sequences.

The tryptophan residue (Trp-30, Fig. 1) that is found in most globins and in each of the b_5 , b_2 and CSO sequences was used to align the N-terminal ends of both sets of sequences. Further tie-lines were provided by three other apparently conserved residues, Pro-56, His-83 and His-118 (Fig. 2). To correlate these four sets of residues and others within the chains it was necessary to separate the cytochrome and CSO sequences into four parts (segments 1–4, Fig. 4), each of which is tied to the globin sequences by one of the four residues mentioned above. To achieve a better fit of segment 1 with the N-terminal part of the globin set, it was also assumed that His/Arg-22 of the cytochrome sequences is missing in the globins (Figs. 1 and 4). This is the only deletion postulated to have occurred in the production of a globin from a b_5 cytochrome.

To test the statistical significance of the alignments shown in Fig. 1, I used the following methods. The probability (ρ) of any particular amino acid being found at any site was assumed to be equal to 0.01 times the average percentage abundance of that amino acid in the 18 globin sequences (2655 residues) in the case of the globins, or to 0.01 times the average percentage abundance of that amino acid in 118 different proteins (18,115 residues; Cornish-Bowden 1983) in the case of the b_5 cytochromes and CSO. Using the expression

$$P = \frac{n!}{x!(n-x)!} \cdot \rho^x \cdot (1-\rho)^{n-x},$$

where x is the observed frequency of any particular amino acid in n sequences and ρ is the expected probability, the probability (P) that the presence of a common or shared amino acid at any position is due to chance was calculated for each set of sequences separately. When two or more common residues were found at the same position, the probabilities calculated for each kind of residue were multiplied together. Distributions that are significant at the 0.05, 0.01 and <0.001 levels are shown by one, two or three asterisks, respectively, in Fig. 2. Some of the calculated probabilities are exceedingly small. For example, the probability of leucine occurring at position 16 with the observed frequencies in both sets of proteins is about 4×10^{-10} . Some other values are 1×10^{-12} (Lys/Arg-21), 3×10^{-10} (Leu-48), 1×10^{-29} (Pro-56) and 4×10^{-14} (Gly-67).

All 18 globin sequences were given equal weight in the analysis because the data set consists of sequences or pairs of sequences that are distantly related. However, it was felt that the vertebrate b_5 sequences would bias the analysis of the cytochrome data set if each was given equal weight, and therefore the very similar rat, human, pig and ox sequences were treated as a single unit. Thus the values of n used were 18 for the globins and 5 for the cytochromes.

Results

It can be seen from Fig. 2 that statistically significant similarities are widespread in all four segments (positions 7–35, 39–73, 76–95 and 111–132). In segment 1, 37% of the positions have statistically significant occurrences of the same residues in both sets of sequences; in segments 2–4 the values are 29%, 25% and 32%, respectively (mean, 31%). These values are much higher than those expected on the basis of chance, and they are improved (mean, 35%)

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25
Globins																								
						ALA		ALA	VAL	LYS	LYS		SER		LEU		GLU		GLU	LYS		LYS	ASN	ALA
								ASP	GLY										GLN	ARG			LYS	ASP
								SER																
Cytochromes																								
								***	*						**		***		*	***				**
26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50
***	***		*	***		**	***										***		**			***		***
LYS	SER		THR	TRP		VAL	LEU									GLY	TYR		VAL			LEU	THR	ARG
	GLU		VAL			THR	VAL									ASN	HIS		ILE					LYS
	ASP						ILE										THR							
	***		**	***		**	**										***		***			*	***	**
51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75
	*	*			***			*	*			*				***	*							
PHE	LEU	GLU	LEU	HIS	PRO	GLY		GLN	GLU	VAL		LYS	PHE		ALA	GLY	LYS	ALA	VAL			ALA	ASP	
	SER								ASP	LYS							ALA							
																	GLY							
***	***	**		***	***	***		***	***						***	***	***							
76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100
		***				*	***	***	*	*					**	***		*	**					
		PRO	VAL		ALA	GLY	HIS	SER	GLU	THR		VAL		ALA	LEU	SER	LYS	ALA						
		ALA						GLY				ALA					GLU							
			*			**	***	**		*		***			**	*	**							
101	102	103	104	105	106	107	108	109	110	111	112	113	114	115	116	117	118	119	120	121	122	123	124	125
										*	**	***		*		***			*					***
										LYS	LYS	LEU	GLY	GLU	LEU	HIS		ASP	GLU			LYS	LYS	
											VAL		ASP					ASN	MET				ALA	
														*	***	***	***	***	***	SER	*		*	
126	127	128	129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144	145	146	147	148	149	150
***	***	***	*	***																				
VAL	ASP	PRO	GLN	TYR						GLU				SER					ASN					ALA
	LYS	ALA	PRO	GLU																				
			SER	ASP																				
	*	**	**	**															*					
151	152	153	154	155	156	157	158	159	160	161	162	163	164	165	166	167	168	169	170	171	172	173	174	175
										*				***					***					**
										ALA	VAL	ILE		ALA	LEU				LEU		MET			ASN
														SER					ILE		THR			ASP
																			PHE					
														**					***		**			**

Fig. 2. Statistical comparison of the similarities between the 18 globin and 8 cytochrome sequences shown in Fig. 1. The residue positions are numbered as in Fig. 1; underlined segments are those numbered 1-4 in Fig. 4. Residues present in the same positions in each set of sequences are listed, and those that have statistically significant distributions in each set of sequences are marked by asterisks. See text for additional explanation

if the residues used to tie each segment to the globin sequences are included. For example, the expected frequency for segment 1 is approximately 29/400 (7%), where 29 is the number of positions compared and 400 (20×20) is an estimate of the average probability that any one amino acid will occur at the same position in each set of sequences.

As might be expected, some globin sequences resemble the cytochrome sequences more closely than do others. The partial sequences shown in Fig. 3 illustrate that close similarities can be demonstrated between parts of individual polypeptide chains.

Discussion

The first conclusion to be drawn from these comparisons is that the distal heme ligand (His-83 or its equivalent in some globins) is common to both sets of proteins, but the proximal ligand of the cytochromes, CSO and NNR is replaced by the invariant histidine residue at position 118 (F8) in the globins. This explains why the heme is reversed between globins and b_5 cytochromes (Mathews 1980). As might be expected, all cytochromes and all globins have a histidine in the proximal position (His-

	NA1	NA3	A1	A2	A3	A4	A5		A6	A7	A8	A9	A10	A11	A12	A13	A14	A15
Globin (1)	SER	LEU	Ser	ASP	Lys	ASP	LYS		Ala	ASP	Val	LYS						
Cytochrome	SER	LEU	GLU	GLU	Val	GLU	LYS	His	Asn	ASP	Ser	LYS	GLU	Thr	TRP	Val	VAL	LEU
Globin (2)												LYS	GLU	Ser	TRP	Lys	VAL	LEU
	B4	B5	B6	B7	B8	B9	B10	B11	B12	B13	B14	B15	B16	C1	C2	C3	C4	C5
Globin (3)	GLY	Ser	Gly	VAL	GLU	Ile	LEU	Tyr	Phe	PHE	LEU							
Cytochrome	GLY	TYR	Lys	VAL	Tyr	Asp	LEU	THR	ARG	PHE	LEU	GLU	GLU	HIS	PRO	Gly	Gly	GLN
Globin (4)									ARG	Leu	LEU	Gln	GLU	HIS	PRO	Glu	Thr	GLN
Globin (5)	Gln	TYR	Ser	VAL	Val	Phe	Tyr	THR	Ser	Ile	LEU	GLU	Lys	Ala	PRO	Ala	Ala	GLN

Fig. 3. Segments of globin sequences that closely resemble the greatly conserved vertebrate cytochrome b_5 sequences (center). 1, *Catostomus clarkii* α -hemoglobin (Croft 1980); 2, *Busycon* myoglobin; 3, *Chironomus* hemoglobin IIIa; 4, alligator myoglobin (Dene et al. 1980); 5, soybean leghemoglobin Lbc

55 and His-118, respectively), but only some cytochromes have a histidine at position 118 and only some globins a histidine at position 55. The presence of His-118 in some cytochromes is considered to represent the ancestral condition that existed before the cytochromes and the globins diverged, and the presence of His-55 to be a relic that similarly has survived in an unmodified condition in some globins.

The only other invariant residue of normal globins is the phenylalanine at position 62 (CD1), which lies parallel to the heme on its distal side and wedges it into its crevice. In calf liver b_5 a leucine residue at this position is in van der Waals contact with the proximal histidine (Hagihara et al. 1975). This residue is conserved as either leucine or isoleucine in all of the b_5 cytochromes and in b_2 and CSO.

There are 23 positions marked by asterisks in Fig. 1. These represent sites (excluding the heme ligands) at each of which a single residue is conserved in at least 9 of the 18 globin sequences. These positions should, more than any others, reflect the condition in the ancestral globin. It is therefore of interest that the same residue is found in a corresponding position in at least one of the cytochrome sequences at the following sites: Leu-16 (invariant in vertebrate b_5); Lys-21 (Arg in CSO, Lys in the other sequences); Trp-30 (invariant in the cytochromes); Leu-48; Phe-52 (frequently Leu in globins and Leu in all cytochrome sequences except CSO); Pro-56 (invariant in the cytochromes); Phe-62 (Leu or Ile in the cytochromes); Leu-91 (Leu or Met in all cytochrome sequences except b_2); Leu-114 (Leu, Val or Ile in the cytochromes); Val-126 (Val in b_2); and Ser-Leu-165-166. Thus most of the residues that are conserved in most globins could have been inherited from an ancestral b_5 -like cytochrome.

The tryptophan residue at position 30 (A12) must have a significant and perhaps unrecognized function, because it is conserved in most globins, b_5 and b_2 cytochromes, CSO and NNR. In the latter group of proteins (the cytochrome group) it may support the N-terminal helix through a π - π interaction with

His-22 (Guiard and Lederer 1979), but its role in globins is not well understood, even though its structural relationships are known precisely (Lesk and Chothia 1980).

The similarity between the globin and cytochrome sequences stops rather abruptly near position 131 (Figs. 1 and 2). To a certain extent this is because few cytochrome sequences are available [the b_2 sequence for this region (Ghrir et al. 1984) appeared after Figs. 1 and 2 were prepared]. However, there is little similarity between the dominantly hydrophobic 'tail' of calf and other b_5 cytochromes (von Jagow and Sebald 1980) and the G and H helical regions of the globins. This is not entirely unexpected, because only the N-terminal parts of b_2 and b_5 cytochromes and CSO are homologous; the hydrophobic membrane anchor of b_5 proteins and the analogous regions of CSO and b_2 (and presumably NNR) are thought to have been independently joined to the homologous N-terminal regions by gene fusion (Guiard and Lederer 1979).

The presumed positions of the junctions between the homologous and analogous sequences of b_5 , b_2 and CSO sequences correspond almost exactly with the position of the second intron of vertebrate globin genes (Fig. 4). It is therefore possible that globins are also composite proteins constructed from a homolog of the water-soluble b_5 segment plus a C-terminal module coded by an exotic exon. Conversely, it is possible to predict that the cytochrome b_5 gene sequences will prove to have an intron at the end of the region coding for the hydrophilic domain (Fig. 4).

Seal myoglobin lacks the third central intron of the leghemoglobin genes (Jensen et al. 1981; Blanchetot et al. 1983), thus diminishing the likelihood that the presence of three introns in globin genes represents the primitive state (Blake 1981, 1983; Lewin 1981, 1983). Although Gō's successful prediction of the existence and location of the third intron in the leghemoglobin genes (Blake 1981; Gō 1981) shows that the positions of introns may be related to the symmetry and topology of the finished

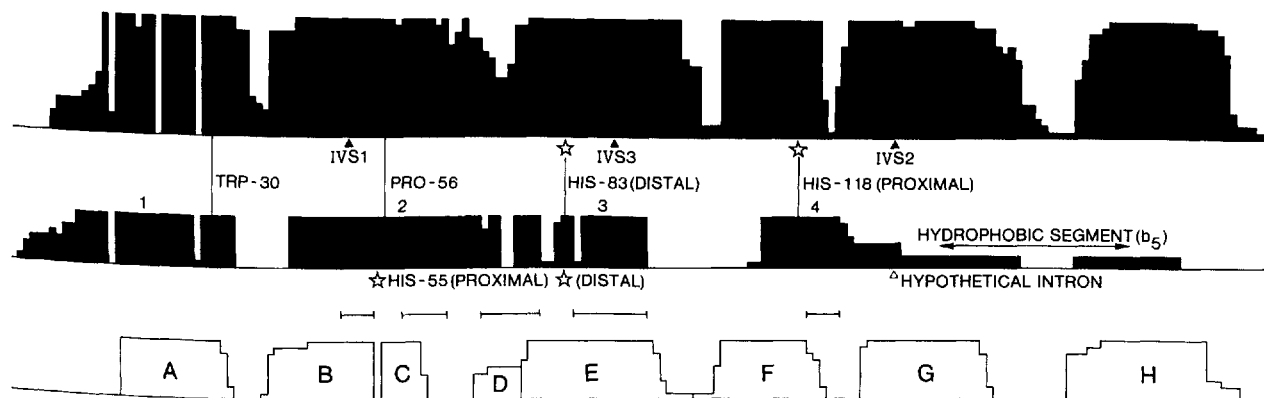


Fig. 4. Histograms of the residues/position of the globin (top) and cytochrome sequences (middle) of Fig. 1. The histograms at the bottom show the distribution of globin residues that are incorporated in α -helices (Lesk and Chothia 1980); the horizontal bars show the α -helical regions of calf liver cytochrome b_5 (Mathews et al. 1972). Note that most insertions/deletions in globins (low points in upper histogram) lie between the conserved helical regions, and that there is an approximate correspondence between the globin helical regions and the four segments (1-4) of the cytochrome sequences. Tie-lines between the globin and cytochrome sequences are indicated by the named residues; IVS1, IVS2 and IVS3 are the positions of the globin introns. The location of a hypothetical intron in the cytochrome sequences is shown at the junction of the hydrophilic and hydrophobic domains of the b_5 sequences. Stars indicate heme ligands

product, it is difficult to find a functional explanation for the presence of an intron in the middle of the region that encodes the heme-bearing domain (Craik et al. 1980). If an equivalent intron exists in invertebrate globins, it may be an historical relic reflecting the fact that in a b_5 cytochrome such an intron would *not* lie within the sequence coding for the heme-bearing domain. Its absence from vertebrate globins could then be explained as being due to selection for an uninterrupted coding sequence in this critical region.

In previous attempts to relate b_5 cytochromes to the globins it was assumed that the primary heme ligands would remain unchanged and that there should be a close similarity between the secondary structures of the two kinds of molecules (Ozols and Strittmatter 1967; Rossmann and Argos 1975; Argos and Rossmann 1979). However, it is also possible that the evolution of new kinds of proteins results from small but critical changes in the primary structure that cause dramatic changes in both the tertiary structure (e.g. Dijkstra et al. 1983) and the function of the molecules.

In calf liver b_5 the heme lies in a cavity that is walled by short α -helical segments and floored by a β structure (Mathews et al. 1972b). The N-terminus lies beneath the floor of the heme crevice and the C-terminal chain of the hydrophilic domain lies to one side (Fig. 5). Such a molecule could have been converted into a 'protoglobin' in the following way: If the C-terminal segment had been lengthened by about ten residues (positions 96-112) at its N-terminal end, the C-terminal helix could have come to lie across the mouth of the heme crevice (Fig. 5). Given the development of this typology, it is not difficult to imagine some of the other modifications

that would have been required to convert a type b_5 cytochrome into an ancestral globin. The heme would have needed to be detached from its proximal ligand (His-55) and attached to the histidine (His-118) in the middle of the C-terminal helix. Given the fact that apomyoglobin reconstituted with deuteroheme derivatives contains a disordered fraction in which the heme is rotated through 180° about the α - γ axis (Ahmad and Kincaid 1983), this development could have required little more than the formation of an appropriate tertiary structure. Mutations or insertions could then have lengthened the helical part of this chain to develop the globin F helix (Fig. 5), and sequential changes elsewhere could have converted the β floor of the cytochrome heme pocket into parts of the A, B and D helices of the globins. As can be seen in Fig. 4, there is an approximate correspondence between the four cytochrome segments identified from the amino acid sequence comparisons and the major helical regions of the globins. If the gene fusion model proposed above is correct, the globin G and H helices were inherited from another, unrelated gene.

If the globins were derived by the fusion of two gene segments as outlined above, it is unlikely that the transition could have occurred more than once. Both regions are clearly homologous in all globins so far studied, and the probability that two unrelated gene segments fused twice to form a single functional unit must be vanishingly small. When this observation is coupled with the complex structural changes that appear to have been required to develop the globin tertiary structure, it is even more improbable that the globins evolved by convergent evolution. On the other hand, it may no longer be possible to use the presence of greatly conserved residues or the

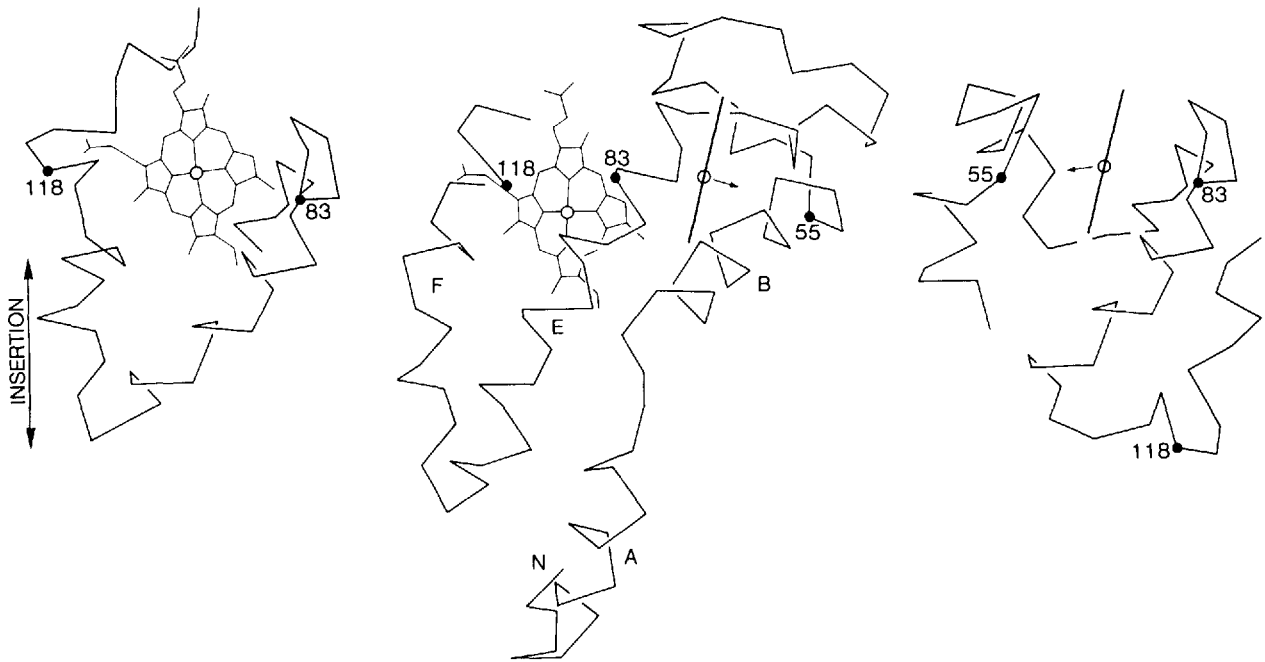


Fig. 5. Schematic views of the structural changes that would be required to convert the heme-bearing domain of a cytochrome b_5 molecule into an analogous region in a globin. In calf liver b_5 [right, redrawn from Mathews et al. (1972b)], the heme is held between His-55 and His-83 (numbering as in Fig. 1). The central helix of this structure is thought to be equivalent to the E helix of a globin [center, redrawn from Richardson (1981)], and the C-terminal chain of b_5 to the upper part of the globin F helix. An insertion of about 10 residues (positions 96–112 of Fig. 1) would be required to place His-118 opposite the conserved distal histidine, His-83 (left). The hemes are reversed in the two kinds of structure, but the reversal seems to result from a reorientation of the helical segments rather than a movement of the heme across the E helix (center). The arrows point to the histidine that is proximal in cytochrome b_5 . [See Poulos and Mauk (1983) for computer-drawn stereoscopic views of both structures at an equivalent scale]

positions of introns as evidence that the globins evolved from a single ancestral gene (Lee et al. 1983), as all of these features could have been inherited from a b_5 -like cytochrome. This matter may be clarified when the nucleic acid sequences of b_5 cytochromes and invertebrate globins become available.

It is, however, more difficult to use the evidence presented above to argue that all invertebrate phyla that synthesize globins are monophyletic. The presence of leghemoglobins in leguminous (Lee et al. 1983) and non-leguminous (Appleby et al. 1983) plants may be explained in two ways: Either the genes for these proteins were inherited from a common ancestor of animals and plants, or the genes were transferred to angiosperms from an animal during the Mesozoic (Lewin 1981; Lee et al. 1983). The former is possible in that the taxonomic distribution of globins may be inadequately known; the latter is likely in view of the unusual and specific association of *Rhizobium* in nitrogen-fixing root nodules of the plants. Thus either process could explain the distribution of globins in the animal kingdom.

Acknowledgments. I thank M.T.C. Runnegar for providing most of the literature, F.A. Shaw for the computing and V.J. Bofinger for advice on the statistics.

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