A MAXIMUM STABLE MATCHING FOR THE ROOMMATES PROBLEM

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Abstract.

The stable roommates problem is that of matching n people into n/2 disjoint pairs so that no two persons, who are not paired together, both prefer each other to their respective mates under the matching. Such a matching is called "a complete stable matching". It is known that a complete stable matching may not exist. Irving proposed an $O(n^2)$ algorithm that would find one complete stable matching if there is one, or would report that none exists. Since there may not exist any complete stable matching, it is natural to consider the problem of finding a maximum stable matching, i.e., a maximum number of disjoint pairs of persons such that these pairs are stable among themselves. In this paper, we present an $O(n^2)$ algorithm, which is a modified version of Irving's algorithm, that finds a maximum stable matching.

C.R. categories: F2.2, G2.1.

Key words: stable roommates problem, stable matching, maximum stable matching, algorithms.

1. Introduction.

The stable roommates problem was first described in the paper of Gale and Shapley [1]. The problem is defined as follows. There is a set S of n people. Each person i has a preference list consisting of a subset S_i of $S - \{i\}$ and a rank ordering (most preferred first) of the persons in S_i . For person i, the set S_i has the meaning that the only persons he is willing to be matched with are those in S_i . A complete matching M is a partition of the n persons into n/2 disjoint pairs of partners such that for every pair $\{x, y\}$ in M, x is on y's list and y is on x's list. A complete matching M is unstable if there are two persons who are not matched together in M, but who each prefer the other to their respective partners in M; such a pair is said to block the matching M. A complete matching which is not unstable is called stable. The problem is to find a complete stable matching.

Gale and Shapley proposed this problem and gave the following example to show

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that a complete stable matching may not exist. In this example anyone paired with person 4 will cause instability.

person	preference list		
1	2	3	4
2	3	1	4
3	1	2	4
4	arbitrary		

Fig. 1

Irving [4] proposed a polynomial-time $(O(n^2))$ in the worst case) algorithm that finds one complete stable matching if one exists, otherwise reports that none exists. Recently Gusfield and Irving published a book [3] in which they listed over a hundred research papers related to this problem. In our bibliography, we cite only a few of them which are directly relevant to us. Since there may not exist any complete stable matching, it is natural to consider the problem of finding a maximum number of disjoint pairs of persons such that these pairs are stable among themselves, i.e. no two persons, who are not paired together but have matched partners, both prefer each other to their partners under the matching. We call this "a maximum stable matching problem". In this paper, we propose an efficient algorithm to find one maximum stable matching. Our algorithm is a modification of that of Irving, and has the same $O(n^2)$ time bound.

2. Definitions.

An instance of the stable roommates problem is specified by a set of preference lists, one for each person. Let S be a set of n persons, and T be the table of preference lists of these n persons. From now on, we assume that the table T is symmetric, i.e., person a is on b's list if and only if b is on a's. If person b is on the preference list of person a, then we write (a|b) to represent the entry b in a's preference list.

Define rank(a, b) = m, if person b occupies position m in a's preference list. If rank(a, b) < rank(a, c), it means that person a prefers b to c.

Let T be a table of preference lists. The previous definition of a complete matching being stable in T can be extended in a natural way to that of a matching (not necessarily complete) being stable in T. A matching M is unstable in table T if there are two persons who are not paired together, but have matched partners in M, and both prefer each other to their respective mates. A matching in table T is said to be stable, if it is not unstable. A maximum stable matching M in table T is defined to be a stable matching with the maximum number of pairs.

In the next section, we will present an algorithm for finding a maximum stable matching. Our algorithm successively deletes unnecessary entries from the table of preference lists, and locates a solution at the end. For convenience, the current set of

preference lists at any point in the algorithm is called a table. A stable matching M is said to be contained in a table T, if every matched pair in M is in T, i.e., in table T, a is on b's list, and b is on a's list for each matched pair $\{a, b\}$ in M.

3. An algorithm for a maximum stable matching.

3.1 The first phase of the maximum stable matching algorithm.

We now describe an algorithm that finds a maximum stable matching. Our algorithm is divided into two phases. The fundamental idea used in Phase 1 of the algorithm is that of the "proposal and rejection" [1,4], which is to be described as follows: If person a proposes to the current first person c on a's ist, then person c deletes (i.e., rejects) every entry (c|x) with rank(c,a) < rank(c,x) and its corresponding entry (x|c) from the table. An entry deleted in Phase 1 may be in some maximum stable matching. However, the following theorem shows that there exists at least one maximum stable matching contained in the resulting table. We say that a matching is stable in a table T, if it is stable in the roommates instance defined by T.

THEOREM 3.1. Let T_0 be a table. Suppose that person c is the first person on a's list in T_0 , and x denotes the persons that are behind a on c's list. Let T_1 be the table obtained from T_0 by deleting every entry (c|x) with rank(c, a) < rank(c, x) and its corresponding entry (x|c) from T_0 . Then

- (i) at least one of the maximum stable matchings in T_0 is also a stable matching in T_1 ;
- (ii) conversely, any stable matching in T_1 is also stable in T_0 .
- PROOF. (i) Let M_0 be a maximum stable matching in T_0 . If the pair $\{c, x\} \notin M_0$ for every x that is behind a on c's list, then the case is trivial. So we may assume that M_0 contains such a pair $\{c, x\}$. Since c is the first person on a's list, a must be an unmatched person in M_0 , otherwise a and c block the matching. We then construct a new matching M_1 by deleting the pair $\{c, x\}$ from M_0 and adding the pair $\{a, c\}$ to it. It is easy to see that M_1 is also a maximum stable matching in T_0 , and T_0 is contained in T_0 . This proves (i).
- (ii) Let M be a matching stable in table T_1 . Suppose that M is not stable in T_0 , then any instability must be caused by those "deleted" entries. It is a simple matter to check that these entries will not cause any instability, so (ii) follows

So the first phase of our algorithm for finding a maximum stable matching is simply applying the proposal and rejection process, and deleting those entries behind x on y's list from table T_0 when person x proposes to y, as stated in theorem 3.1, until each person either

- (i) holds a proposal;
- or (ii) has an empty list.

The table obtained at the end of this phase is called the *Phase 1 table*.

Example:	Original table		Phase 1 table	
	person	preference list	person	preference list
	1	25346	1	5 3 4
	2	3 4 5 6 1	2	3 4 5
	3	4 5 1 2 6	3	4 5 1 2
	4	1 2 5 3 6	4	1 2 5 3
	5	2 4 3 1 6	5	2 4 3 1
	6	1 2 3 4 5	6	empty
		Fig. 2(a)		Fig. 2(b)

Let T_0 denote the original table of preference lists. Recall that T_0 is assumed to be symmetric, that is, person a is on b's list if and only if b is on a's. The following two easy properties of a phase 1 table are proved in [4].

PROPERTY 3.2. Let T_1 be a phase 1 table. Person a is on b's list in T_1 if and only if b is on a's list in T_1 .

PROPERTY 3.3. Let T_1 be a Phase 1 table. Person a is the first person on b's list in T_1 if and only if b is the last person on a's list in T_1 .

PROPOSITION 3.4. Let T_1 be the Phase 1 table. In table T_1 , if every person has zero or one entry on his list, then the lists specify a maximum stable matching.

PROOF. By properties 3.2 and 3.3, if b is the only person on a's list in T_1 , then a is also the only person on b's list in T_1 . So a and b form a pair in table T_1 . Let $\{\{a_i,b_i\} | i=1 \text{ to } k\}$ be the set of all such pairs in T_1 , and let $\{c_j | j=1 \text{ to } m\}$ be the set of all persons whose lists are empty in T_1 . Then it is obvious that $M = \{\{a_i,b_i\} | i=1 \text{ to } k\}$ is a maximum stable matching for T_1 . By inductively applying theorem 3.1, M is also a maximum stable matching for the original table T_0 .

So, checking the Phase 1 table at the end of this phase,

- (i) if every person has zero or one entry on his list, the the lists specify a maximum stable matching;
- (ii) if some of the lists contain more than one person, this brings us to the second phase of the algorithm.

It remains to describe how we deal with the Phase 1 table when some of the lists in it contain more than one person.

3.2 The second phase of the algorithm.

In the second phase of our algorithm, entries are removed from the table in a very special way. The fundamental idea is a rotation introduced by Irving [4]. We first need some definitions.

DEFINITION. Let T be a table. A rotation R, denoted by $R = (a_1, a_2, ..., a_r) | (b_1, b_2, ..., b_r)$, is a cyclic sequence $a_1, a_2, ..., a_r$ of distinct people,

where b_i is the first person on a_i 's list in T, and the second person on a_{i-1} 's, i = 1 to r (subscripts mod. r). The rotation R is said to be exposed in table T.

Example: In fig. 2(b), (1,2,3)|(5,3,4) is a rotation exposed in Phase 1 table.

DEFINITION. Let $R = (a_1, a_2, ..., a_r) | (b_1, b_2, ..., b_r)$ be a rotation exposed in table T. Rotation R is said to be eliminated from T, if the following entries are deleted from T:

- (i) every entry $(b_i | x)$ with rank $(b_i, a_{i-1}) < \text{rank}(b_i, x)$, i = 1 to r (subscripts mod. r):
- (ii) every entry $(x \mid b_i)$, where entry $(b_i \mid x)$ is described in case (i).

Example: In Fig. 2(b), after rotation (1, 2, 3) | (5, 3, 4) being eliminated from the table, the resulting table is as follows.

person	preference list		
1	3 4		
2	4 5		
3	5 1		
4	1 2		
5	2 3		
6	empty		

Fig. 3

DEFINITION. A table T is said to be in the second phase, or in Phase 2, if

- (i) the table has been subjected to Phase 1 reduction as described before;
- (ii) the table has been subjected to zero or more rotation eliminations (called Phase 2 reduction).

It is not difficult to see that property 3.2 and property 3.3 also hold for a table in Phase 2. Furthermore Irving [4] proved the following proposition.

PROPOSITION 3.5 [Irving] Let T be a table in Phase 2. If there is a person whose current list has more than one entry, then there is a rotation exposed in T.

In the second phase of our algorithm, we use rotation elimination to delete more entries from the table. We begin our discussion by considering the case when the elimination of a rotation makes some list empty, and study the structure of such a rotation. For the following theorem, it is helpful to use the example given in Fig. 3 to get some intuition.

THEOREM 3.6 Let T_2 be a table in phase 2, and let $R = (a_1, a_2, ..., a_r) | (b_1, b_2, ..., b_r)$ be a rotation exposed in T_2 . Suppose that, on eliminating R from T_2 some list becomes empty, then

- (i) r = 2m + 1 for some m, and $b_i = a_{i+m}$ for all i, $1 \le i \le r$ (subscripts mod. r);
- (ii) For each i there are only two entries in a_i 's list in T_2 , namely $b_i (= a_{i+m})$ and $b_{i+1} (= a_{i+m+1})$;
- (iii) the list of each a_i , but no other list, becomes empty on eliminating R.

PROOF. On eliminating R, the only lists to lose their first entry are those of the a_i , so certainly no other list can become empty. Without loss of generality, we may assume that the list of a_1 becomes empty. Now b_2 is the second entry in a_1 's list, and $(a_1 | b_2)$ can be deleted only if rank $(a_1, b_2) > \text{rank}(a_1, a_k)$ for some k, where a_1 is second in the list of a_k . So $a_k = b_1$, since b_1 is the only element for which this is true, and $a_1 = b_{k+1}$. But a_1 is the last entry on the list of b_1 (= a_k), so this list has only two entries, namely a_r and a_1 (since a_1 is certainly on the list of both of these).

We have shown that the list of a_k contains only two entries, $a_k = b_1$ and $a_1 = a_{k+1}$. Using a similar argument we can prove that $a_{k-1} = b_r$, $a_r = b_k$ and the list of a_{k-1} contains just two entries. By inductively applying the same argument we see that the list of a_{k-i} contains only two entries, $a_{k-i} = b_{r-i+1}$ and $a_{r-i+1} = b_{k-i+1}$ for i = 0, 1, 2, ..., r-1 (subscripts mod. r). Substituting i = k-1 into the first equality, and i = 0 into the second, we have $a_1 = b_{r-(k-1)+1}$ and $a_1 = b_{k+1}$. So r-(k-1)+1=k+1 (mod. r), and hence r=2k-1 is the only possibility. Let m=k-1, then r=2m+1. Rewriting the equality $a_{k-i} = b_{r-i+1}$ (replacing i by 1-j) we have $a_{k-1+j} = b_{r-1+j+1}$ (subscripts mod. r), and $a_{m+j} = b_j$ for j=1,2,...,r.

Consider the example given in fig. 3, if we eliminate rotation R = (1, 2, 3, 4, 5) | (3, 4, 5, 1, 2), then some lists become empty. In this example, it is straightforward to check that r = 2m + 1, where r = 5 and m = 2, and $b_i = a_{i+m}$ for i = 1 to 5.

In the context of the theorem above, if the elimination of rotation R makes some list empty, then the lists of every person involved in this rotation become empty after eliminating it. Notice that before eliminating R, any person in $A = \{a_1, a_2, \ldots, a_r\}$ is not on the current lists of the rest of the persons. Therefore the lists of persons in A is independent of the other portion of the current table T_2 . So we know that there exists no maximum stable matching contained in the resulting table after eliminating this rotation. This is because for any stable matching contained in the resulting table, one may add at least one more pair, e.g. $\{a_1, a_2\}$ to it to have a larger stable matching in the original table. Once we detect such a rotation, we do not eliminate it, just separate the preference lists of persons in $A = \{a_1, a_2, \ldots a_r\}$ from the table, and treat the rest of the table as a smaller instance table (in phase 2). For convenience, such a rotation is called an *odd rotation*.

Let T_0 be the original table of preference lists, and let T_2 be a resulting table obtained from T_0 after the Phase 1 process and after eliminating zero or more rotations R, i.e., T_2 is a table in Phase 2. Let R be a rotation exposed in T_2 . We now consider the case that the elimination of R makes no list empty. In the following, we have a result which reveals the relationship between such an exposed rotation and a maximum stable matching. The idea of this result is inspired by [4].

THEOREM 3.7. Let T_2 be a table in Phase 2, and let $R = (a_1, a_2, ..., a_r) | (b_1, b_2, ..., b_r)$ be a rotation exposed in T_2 . Suppose that no list, which is non-empty in T_2 , will become empty after eliminating R. Let T_3 be the resulting table obtained from T_2 after eliminating rotation R. Then

- (i) at least one of the maximum stable matchings in T_2 is also a stable matching in T_3 ;
- (ii) conversely, any stable matching in T_3 is also stable in T_2 .
- PROOF. (i) We first claim that, in table T_2 , there exists a maximum stable matching in which none of $\{a_i, b_i\}$ is a matched pair, i = 1(1)r.

Let us accept this claim for the time being; we will prove it later. Then the entries $(a_i|b_i)$ and $(b_i|a_i)$, i=1(1)r, can be deleted from the table, and the operation of proposal and rejection can be performed again. We note that b_{i+1} is now the first person on a_i 's list. In particular, this means that no entry $(a_i|b_{i+1})$ has been deleted. For otherwise, in table T_2 , $a_i=b_j$ for some $1 \le j \le r$, and the second person on a_i 's list is the same as the last person on b_j 's; then the list of a_i becomes empty after eliminating R, giving a contradiction. Then after a sequence of proposals and rejections, we obtain a table which is exactly table T_3 obtained from T_2 after eliminating R. So (i) follows by inductively applying theorem 3.1.

We now prove the claim. Let M be a maximum stable matching in table T_2 . There are two cases to be considered.

- (a) a_i and b_i are matched partners in M.
- (b) a_i and b_i are not partners in M for some i.

In both cases, we will prove that there exists another maximum stable matching in which none of $\{a_i, b_i\}$ is a matched pair for all i.

(a) We know that b_i is the first person on a_i 's list and a_i is the last person on b_i 's list, and that a_i is matched with b_i . Let $A = \{a_1, a_2, ..., a_r\}$, $B = \{b_1, b_2, ..., b_r\}$.

If $A \cap B \neq \phi$, say $a_j = b_k$, since a_j is matched with the first person b_j on his list and b_k is matched with the last person a_k , it is impossible that someone is matched with the first person on his list and at the same time he is also matched with the last person on his list. So we know that $A \cap B = \phi$. We then construct a new matching M' by deleting the pair $\{a_i, b_i\}$ from M and adding the pair $\{a_i, b_{i+1}\}$ to it.

Notice that a_i and b_i are not partners in M'. We claim that M' is a maximum stable matching in T_2 . Because |M'| = |M| and M' is a matching, we need only prove that M' is stable in T_2 . Clearly, each member b_i of B obtains a better partner in M', from his point of view, than the one he had in M. The only individuals who fare worse in M' than in M are the members a_i of A, so any instability in M' must involve some a_i . Person a_i is matched with the second person b_{i+1} on his list and his best choice is person b_i . But b_i prefers his partner in M' to a_i . Therefore M' is stable in T_2 . This handles case (a).

(b) If $\{a_i, b_i\} \notin M$, then the claim is true. So, without loss of generality, we may assume that $\{a_i, b_i\} \notin M$ for i = 1(1)k, but $\{a_{k+1}, b_{k+1}\} \in M$, where $1 < k \le r$. Considering the pair $\{a_{k+1}, b_{k+1}\}$ and person a_k , we conclude that a_k must be an unmatched person in M. For otherwise, say a_k is matched with x, then x cannot be b_k or b_{k+1} , since a_k and b_{k+1} would block the matching.

We now construct a new matching M' by deleting the pair $\{a_{k+1}, b_{k+1}\}$ from M and adding the pair $\{a_k, b_{k+1}\}$ to it. Using a similar argument as in case (a), we can conclude that M' is also a maximum stable matching in T_2 . It remains to show that $\{a_i, b_i\} \notin M$, for i = 1(1)k + 1, i.e., $\{a_k, b_{k+1}\} \neq \{a_i, b_i\}$ for any i = 1(1)k - 1. (We note, however, that this may not be true if R is an odd rotation.) After establishing this fact, we may repeat the same argument, eventually obtaining a maximum stable matching in which none of $\{a_i, b_i\}$ is a matched pair. This takes care of case (b).

Now, suppose on the contrary that $\{a_k, b_{k+1}\} = \{a_i, b_i\}$ for some i = 1(1)k - 1, then we must have $a_k = b_i$ and $b_{k+1} = a_i$. So $(b_{k+1} | a_k) = (a_i | b_i)$, which means that a_k is the first person on b_{k+1} 's list. If we eliminate R from T_2 , then b_{k+1} 's list will become empty. This is because every person after a_k on b_{k+1} 's list should be deleted, and the first person b_i on a_i 's list, i.e. entry $(b_{k+1} | a_k)$, should also be deleted. Then there is none left on b_{k+1} 's list, giving a contradiction.

(ii) Let M be a matching which is stable in T_3 . We claim that M is also stable in T_2 . Suppose that this is not true. We know that T_3 is a table obtained from T_2 by eliminating rotation $R = (a_1, a_2, ..., a_r) | (b_1, b_2, ..., b_r)$. So, any instability must be caused by those "deleted" entries, i.e. there exists a person b_i , and there exists another person x who is behind a_{i-1} on b_i 's list such that x and b_i block the matching M. However, M is a matching in table T_3 , and if b_i has a matched partner in M, he must be matched with a person who is above x in his list, and b_i certainly prefers his partner to x. This is a contradiction and the claim follows.

As mentioned before, if the elimination of a rotation in T_2 makes som list empty, it indicates an odd rotation. We do not eliminate any odd rotation. Suppose that the elimination of an exposed rotation in T_2 makes no list empty. To find a maximum stable matching in T_2 , we only have to find a maximum stable matching in T_3 after eliminating the rotation. The reason is that a maximum stable matching in T_3 is a maximum stable matching in T_2 . Repeating the operation of rotation elimination until this operation cannot be performed, then in the final table there are a collection of disjoint odd rotations, a collection of persons whose lists have one person left, and a collection of persons whose lists are empty. We now must show how we find a maximum stable matching contained in the final table.

Recall that, throughout the algorithm, the table remains symmetric. So those persons whose lists have only one entry appear in pairs. Let $\{\{\alpha_i,\beta_i\} \mid i=1(1)k\}$ be the set of all such pairs. As for the odd rotations, we merely need to deal with one odd rotation at a time because each one is fully independent of the remainder of the final table. Considering an odd rotation, since the number of persons involved in it is odd, it is obvious that at least one person has to be excluded from a matching.

We need one more result. This result points out that there exists a complete stable matching for the rest of the persons after excluding one person from each odd rotation. According to theorem 3.6, we know that $b_i = a_{i+m}$ (subscript mod. r), for i = 1(1)r, where r = 2m + 1. Since the permutation of R is cyclic, it makes no difference to exclude one person from the matching. Without loss of generality, assume person a_{2m+1} is excluded from this odd rotation R. We have the following theorem.

THEOREM 3.8. Let $R = (a_1, a_2, ..., a_r) | (b_1, b_2, ..., b_r)$ be an odd rotation in the final table. Suppose that person a_{2m+1} is excluded from the matching, where r = 2m + 1. Then matching $M = \{\{a_1, a_{m+1}\}, \{a_2, a_{m+2}\}, ..., \{a_m, a_{2m}\}\}$ is stable.

PROOF. According to the definition of a rotation, we know that all the members of $\{a_1, a_2, \ldots, a_r\}$ in R are distinct. Note that each member of $\{a_1, a_2, \ldots, a_m\}$ is matched with the first person on his list in the final table, and each member of $\{a_{m+1}, a_{m+2}, \ldots, a_{2m}\}$ is matched with the second person on his list. It is obvious that $M = \{\{a_1, a_{m+1}\}, \{a_2, a_{m+2}\}, \ldots, \{a_m, a_{2m}\}\}$ is a matching. By theorem 3.6, we know that $a_{2m+1}, a_1, a_2, \ldots, a_{m-1}$ are the first persons on the lists of $a_{m+1}, a_{m+2}, a_{m+3}, \ldots, a_{2m}$ respectively. Then it is easy to check that M is stable in the final table.

Consider the example given in Fig. 3, R = (1, 2, 3, 4, 5) | (3, 4, 5, 1, 2) is an odd rotation. Excluding person 5, $\{\{1, 3\}, \{2, 4\}\}$ is a stable matching, and we shall see soon that it is also a maximum stable matching in the original table (See Fig. 2).

Before giving the formal description of Phase 2, we give some definitions assuming that the initial table of preference lists is symmetric.

DEFINITION. Let T be the current table at a certain point of the algorithm. The preference list of a person is said to be *inactive*, if

- (i) the list is involved in an odd rotation which has been discovered before;
- (ii) the list has only one person;
- or (iii) the list is empty.

The preference list of a person is *active*, if it is not inactive.

DEFINITION. The active part of a table T is the part of the table obtained from T by deleting all the inactive lists.

If there is someone whose preference list is still active, then his list contains more than one person. Hence by proposition 3.5, there is an exposed rotation. The Phase 2 of our algorithm for finding a maximum stable matching can be stated formally as follows.

- 1. While the active part of the table is not empty, find an exposed rotation.
- (i) If the exposed rotation is odd, then do not eliminate it, and declare inactive the lists of all persons involved in this rotation.
- (ii) Otherwise, eliminate this rotation. If some list has only one person left on it due to the elimination, then declare this list inactive.
- 2. If the active part of the table is empty, go to step 3.
- 3. Exclude one person from each odd rotation R. Suppose that $R = (a_1, a_2, ..., a_r) | (b_1, b_2, ..., b_r)$ is an odd rotation, then $b_i = a_{i+m}$ (subscript mod. r), for i = 1(1)r, where r = 2m + 1. If we exclude person a_{2m+1} from the matching, then we obtain a stable matching: $\{\{a_1, a_{m+1}\}, \{a_2, a_{m+2}\}, ..., \{a_m, a_{2m}\}\}$.

Finally, the resulting table induces one maximum stable matching.

The correctness of the algorithm follows from the earlier theorems. As for time complexity, since our algorithm is basically Irving's algorithm with some modification and extension, the time bound is exactly the same as in his algorithm, namely, $O(n^2)$. The analysis is also similar to that of [4] which will not be repeated here.

4. Conclusions

A maximum stable matching problem has been defined for the stable roommates problem. The motivation of defining this problem is that there are instances of the stable roommates problem for which no complete stable matching exists. In this paper, we presented an $O(n^2)$ algorithm for finding a maximum stable matching. At the heart of this algorithm is Irving's algorithm with some modification and extension.

There are still some problems worth studying. For example, in the last step of our algorithm, we arbitrarily delete one person from each odd rotation to obtain a complete stable matching for the rest of the persons; it is not difficult to construct an example in which some persons, other than those in an odd rotation, can also be excluded to get a maximum stable matching. We would like to find a characterisation of those persons that can be excluded from a maximum stable matching.

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