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Proteases and protein degradation in *Escherichia coli*

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Abstract. In *E. coli*, protein degradation plays important roles in regulating the levels of specific proteins and in eliminating damaged or abnormal proteins. *E. coli* possess a very large number of proteolytic enzymes distributed in the cytoplasm, the inner membrane, and the periplasm, but, with few exceptions, the physiological functions of these proteases are not known. More than 90% of the protein degradation occurring in the cytoplasm is energy-dependent, but the activities of most *E. coli* proteases in vitro are not energy-dependent. Two ATP-dependent proteases, Lon and Clp, are responsible for 70–80% of the energy-dependent degradation of proteins in vivo. In vitro studies with Lon and Clp indicate that both proteases directly interact with substrates for degradation. ATP functions as an allosteric effector promoting an active conformation of the proteases, and ATP hydrolysis is required for rapid catalytic turnover of peptide bond cleavage in proteins. Lon and Clp show virtually no homology at the amino acid level, and thus it appears that at least two families of ATP-dependent proteases have evolved independently.

Key words. ATP-dependent; degradation; protease; Lon; Clp.

Introduction

Living organisms have a remarkable capacity to degrade their own proteins. One measure of this capacity is the sheer number of proteolytic enzymes found within cells. In *E. coli*, for instance, 24 different endoproteases have been identified either biochemically or genetically (table 1). Of these, at least 12 proteases are found in the cytoplasm, and the remainder are in the membrane or in the periplasmic space. Although the intracellular activi-

ties of most of these proteases have not been defined, the biochemical properties of the proteases in vitro suggest that they are capable of degrading a broad range of proteins. In addition to endopeptidases, cells possess numerous exopeptidases which further degrade the peptides generated by endoproteolytic degradation of proteins. *E. coli* has at least 12 exopeptidases¹⁰⁶ which serve to regenerate amino acids from the peptides released by endoproteolytic cleavage of proteins¹⁰⁸ and possibly to protect the cell from inhibitory effects of partial degrada-

Table 1. Proteolytic enzymes of *Escherichia coli*

Protease	Gene (map position)	M _r (× 10 ⁻³) subunit (native)	Substrates in vivo (in vitro)	Protease type	Remarks	References
Cytoplasmic proteases						
Lon protease (Protease La)	<i>lon</i> (10 min)	87 (450)	Sul A; λ N protein; Rcs A; Th903 transposase	serine	ATP-dependent; Degrades λN in vitro	18, 25, 32, 94, 109, 169, 176, 177, 178
Clp protease (Protease Ti)	<i>clpP</i> (10 min)	21 (240)	pro-Clp P; Clp A; LacZ-fusions	serine	ATP-dependent activation by Clp A ATPase activity	56, 58, 70, 71, 78, 79, 97, 98
	<i>clpA</i> (19 min)	83 (> 450)				
RecA/LexA	<i>recA</i> (58 min)	44	(λ cl repressor; UmuD) LexA	serine	ATP-dependent; autolysis of substrates	29, 90, 144, 155
	<i>lexA</i> (93 min)	23				
Hfl A	<i>hflK</i> (94.5 min)	46 (200)	λ cII repressor	serine	Low activity against cII in vitro	7, 20
	<i>hflC</i>	37				
Protease Do		54 (300; 500)	(Casein)	serine	Degrades Ada	51, 85, 167
Protease Re		82 (82)	(Casein)	serine	Degrades oxidized GS	51, 86, 126, 145
Protease Fa		110 (110)	(Casein)	serine		51
Protease So		77 (140)	(Casein)	serine	Degrades Ada and oxidized GS	26, 51, 85
Protease Ci		(120)	(Insulin)	metallo		51
ISP-L-Eco		55 (55)	(Z-AAL-PNA)	serine		165
Protease II		58	(BAEE)	serine	Very low activity against proteins	122
(Alp-related)	<i>alpA</i> (57 min)		Sul A; Rcs A		Alp affects expression of ATP-dependent protease	170
Periplasmic or membrane-associated proteases						
Protease Mi		110	(Casein)	serine		51
Protease Pi (Protease III)	<i>ptr</i> (61 min)	110 (110)	(Insulin)	metallo	Insulinase superfamily	21, 28, 42, 138
Signal peptidase I	<i>lep</i> (55 min)	37 (37)	Precursors of exported proteins		Inner and outer membranes	183, 184
Signal peptidase II	<i>lsp</i> (1 min)	18	Precursors of lipoproteins		Outer membrane	171, 173, 173
Deg P (HtrA)	<i>degP</i> (3.5 min)	48	Pho A-fusions	serine	σE Heat shock protein	88, 89, 160, 161
Protease I		21 (43)	(NAPNE)	serine	Very low activity against proteins	121
Protease IV	<i>sppA</i> (38.5 min)	34 (34)	Signal peptides after processing	serine	Signal peptide peptidase	72, 73, 123
Protease IVa		(300)				140
Protease V			(Z-Phe-ONP)	serine	Inner and outer membranes	123
Protease VI		43 (43)	Membrane proteins	serine		125
Protease VII	<i>ompT</i> (12.5 min)	36 (180)	Ferric enterobactin receptor protein	serine	Cleaves between basic residues	60, 166
Protease peri7		(> 2000)	(Casein)	metallo	Activated by ATP	174
Protease peri8		(> 2000)	(Casein)	serine		174

tion products. Considering the total number of proteases and peptidases identified in *E. coli*, one is faced with the remarkable fact that > 3% of the enzymatic activities present in *E. coli* at any given time are proteolytic.

A second measure of degradative capacity in *E. coli* is the rate of intracellular protein degradation. A number of naturally unstable proteins have half-lives as short as 1–2 min ($\leq 5\%$ of the doubling time of the cells) and many mutant or otherwise abnormal proteins have half-lives in the range of 5–20 min. While the bulk of proteins that accumulate in *E. coli* are stable over several generations and have apparent half-lives of 5–20 h, a significant fraction of newly synthesized proteins and polypeptides are highly unstable and are turned over within one generation. Thus, both the number of proteases and the amount of protein turnover demonstrate that intracellular protein degradation is an active metabolic process and serves important if not essential physiological functions.

The functions of protein degradation can be conveniently divided into two categories, *housekeeping* and *regulatory*, depending on whether the protein eliminated is non-functional or functional. Housekeeping functions include the degradation of such non-functional proteins as those resulting from errors in transcription or translation, oxidative or other chemical damage, improper folding, or thermal denaturation. Degradation of such proteins would eliminate potentially harmful interactions between the non-functional and other functional proteins and would release amino acids for the synthesis of new proteins.

There are numerous examples from both prokaryotic and eukaryotic organisms of protein degradation which serves important regulatory roles. Regulatory degradation may involve the turnover of functional proteins either continuously or in response to specific metabolic signals. The selective degradation of proteins in cells under starvation conditions^{93, 94 a, 136}, the initiation of the lytic life cycle of phage λ by proteolytic cleavage of the CI repressor¹⁴², and the degradation of cyclin at the onset of mitosis in developing embryos¹¹¹ are a few of the examples of regulatory degradation that occur in response to specific physiological or environmental signals. Gottesman^{54, 55} has referred to targeted proteins that are otherwise stable in the absence of a signal as *conditionally unstable* proteins. Other proteins are, by contrast, *constitutively unstable*, that is, they are degraded under most metabolic conditions. These proteins are maintained at very low steady state levels, because degradation occurs rapidly following biosynthesis. Such proteins can either be eliminated from the cell very rapidly when biosynthesis is turned off, as with the Sula protein¹⁰⁹, or can be rapidly increased by transient inhibition of degradation, as with the *E. coli* heat shock sigma factor¹⁶¹.

Even from the above brief discussion of protein degradation and its regulatory effects, it is clear that many differ-

ent proteins are targeted for degradation in cells. In addition, most proteins will become targets for degradation if they are chemically or structurally perturbed, but the overwhelming majority of proteins in cells are indefinitely stable. Perhaps the most intriguing and challenging question regarding intracellular proteolysis, then, pertains to the remarkable selectivity of protein degradation. How are intracellular proteases able to discriminate with high fidelity between appropriate and inappropriate protein substrates? The distinction made above between housekeeping and regulatory degradation refers to the consequences of intracellular proteolysis and may not extend to the biochemical mechanism of degradation. Some proteases (e.g. Lon protease) degrade both abnormal proteins and specific regulatory proteins. What chemical or structural features are common to substrates for a given protease and which ones are different in substrates for different proteases? As will become apparent later in the review, we are only beginning to be able to address this question.

A second intriguing and challenging property of intracellular protein degradation is its energy-dependence. As much as 90% of intracellular protein degradation is dependent on metabolic energy, presumably supplied by ATP. Considerable progress has been made in the last 10 years in identifying enzymatic systems involved in energy-dependent protein degradation. Both prokaryotic and eukaryotic cells contain proteases whose activities in vitro are dependent on or are highly activated by ATP^{9, 55}. One family of such proteases, represented by Clp protease from *E. coli*, appears to have been conserved throughout evolution, and thus ATP-dependent proteases are probably a universal feature of energy-dependent proteolytic systems⁵⁸. In addition, eukaryotic, but not prokaryotic, organisms possess an ATP-dependent system for marking proteins for degradation by the covalent attachment of ubiquitin to potential target proteins^{64, 139}. The ubiquitin-conjugated proteins are then degraded in an energy-dependent manner by at least one protease that appears to be ATP-dependent¹³⁹.

In *E. coli* degradation by ATP-dependent proteases involves direct interaction between the protease and the target protein, and, here again, we are only beginning to understand the mechanism by which the energy of ATP hydrolysis is used in activating the cleavage of proteins by ATP-dependent proteases. ATP hydrolysis appears to be used to promote conformational changes in the protease¹⁷⁷, but it may also have a role in unfolding the target protein or in moving the protein within the binding sites on the protease. Finally, we might consider that the question of the specificity of degradation and the question of the role of ATP hydrolysis in protein degradation may be related. Protein substrates must have features that allow them to be cleaved at the proteolytic active site and must also have features that can activate ATP hydrolysis by the protease¹⁷⁷. Increasing the number of

recognition sites on the target protein would increase the selectivity of protein substrates exponentially.

This review will focus on the proteases found in *E. coli*, and primarily on the ATP-dependent proteases that have been identified either biochemically or genetically. *E. coli* furnished the first examples of degradation of regulatory proteins as an initiating event for development (bacteriophage λ repressor)¹⁴² or for the induction of an emergency response (SOS induction by LexA cleavage)⁹². *E. coli* also provided the first case in which a specific protease (Lon protease) responsible for the intracellular degradation of a specific protein (Sul A) was demonstrated¹⁰⁹. The Lon protease was also the first ATP-dependent protease to be purified and characterized^{18, 25}. Recently, a second ATP-dependent protease, Clp protease, was purified from *E. coli*^{70, 79}. This protease has structural features that are provocatively similar to the multicatalytic protease from eukaryotic cells. Lon and Clp proteases are responsible for 60% of the total and 70% of the energy-dependent protein degradation in growing cells. The functions of these proteases will be considered in the light of the 24 or more other endoproteolytic enzymes found in *E. coli*.

Proteins degraded in *E. coli* cells

Reviews by Goldberg and St. John⁵⁰ and by Miller¹⁰⁶ have excellent discussions of earlier experimental data that have led to our current understanding of the extent and the regulation of protein turnover in growing and non-growing cells. These results will be briefly summarized below, along with recent experimental findings that have helped identify classes of unstable proteins.

Proteins degraded in growing cells

Turnover of bulk protein in growing cells. A considerable amount of protein degradation goes on in growing *E. coli* cells, although we do not know exactly how much, and the amount that occurs depends on the environmental conditions and on the genetic background of the cells. Experiments with batch¹³⁵ or continuous¹⁵⁷ cultures of *E. coli* indicate that the bulk of the steady-state protein pool in *E. coli* cells are degraded at $\sim 1\%$ per hour. About 40% of the protein mass of *E. coli* is stable indefinitely, and most of the remaining protein mass has an aggregate half-life of 15–30 h. In a general survey of protein degradation in vivo, Moesteller et al.^{110a} measured the degradation rates of 184 whole cell proteins and found 10 proteins with half-lives of 2–5 h and another 37 with half-lives of 5–23 h; the remainder of the proteins were stable. Schroer and St. John¹⁴⁶ surveyed membrane proteins in a similar way, and found only 6 out of 125 proteins that were more unstable than the average and these apparently had half-lives of several hours. An obvious but important conclusion from the results of these surveys is that the most abundant proteins found in *E. coli* are stable.

Table 2. Protein half-lives in *E. coli*

Protein	Half-life	References
Bulk protein during growth:		
~ 40% of total	> 70 h	110, 134, 135
~ 35% of total	25–70 h	
~ 10% of total	5–25 h	
~ 5% of total	2–5 h	
Bulk protein during starvation:		
~ 50% of total	1–5 h	50, 136
Abnormal proteins	20–60 min	49, 100, 134
Mutant proteins	5–60 min	53, 137
Unstable <i>E. coli</i> proteins:		
Sul A; Rcs A; sigma 32	1–2 min	32, 109, 161, 168
Tn903 transposase		
Unstable lambda proteins:		
N protein; CII; CIII;	1–2 min	7, 57, 81
O protein		
Conditionally unstable proteins:		
λ CI; LexA; Ada	2–10 min	87, 92, 142

The bulk rate turnover measurements fail to give accurate estimates of the rate and extent of protein degradation, because short-lived proteins and polypeptides make up only a few percent of the steady-state mass of intracellular protein. When short periods of time are used for pulse-labeling, between 5 and 20% of the radioactive amino acids incorporated into newly synthesized polypeptides are released in less than one generation¹⁸⁰. Even the lower range of this estimate indicates that intracellular proteolysis is a continuous and important cellular function and that *E. coli* must require a considerable degradation apparatus to account for this high rate of protein turnover. What are the proteins and polypeptides that make up this pool of rapidly degraded proteins?

The general and specific degradation rates of *E. coli* proteins are summarized in table 2. The specific proteins known to be unstable can account for only a small percentage of the pool of rapidly degraded protein. The remainder of the pool is thought to represent improperly synthesized proteins and proteins that have been damaged, denatured, or deprived of normal associations within the cell.

Abnormal proteins and mutant proteins. The first examples of rapid protein degradation in *E. coli* came from studies on the stability of abnormal proteins^{49, 134} produced either by the incorporation of amino acid analogs or puromycin into newly synthesized proteins. Incorporation of amino acid analogs interferes with proper folding of proteins, producing proteins with abnormal conformations, whereas puromycin causes premature termination of growing polypeptide chains and the resulting incomplete proteins would also not be expected to have stable folded conformations. Most of the abnormal proteins of both types are rapidly degraded (half-lives of 20–40 min) whereas normal proteins present in the same cells are stable. In one experiment, when canavanil proteins were separated on an SDS gel, about 80% of the

protein bands appeared to be susceptible to degradation, although not all proteins were degraded at the same rate⁹⁶.

The first indications of the extremely short half-lives possible for individual proteins in *E. coli* came from measurements of the turnover of mutant proteins. The degradation of the X90 nonsense mutant of β -galactosidase ($t_{1/2} = 7$ min)⁵³ and the L1 mutant of Lac repressor ($t_{1/2} \sim 40$ min)¹³⁷, demonstrated not only that proteins can be degraded very rapidly but also that the intracellular proteases are selective. Since then, many examples of mutant proteins, including nonsense and missense mutants as well as various fusion proteins or chimeras, have been found to be rapidly turned over in vivo. Various temperature-sensitive proteins⁸¹ have been shown to be degraded in vivo, suggesting that an inability to fold properly may render a protein susceptible to degradation. In one of the few detailed studies of the effects of mutations on the degradation of an individual protein, mutations in the lambda Cro protein that decreased the conformational stability of the protein resulted in increased degradation in vivo¹²⁴. However, the sites or structures of the mutant proteins recognized by the degradative enzymes are unknown.

The marked instability of many mutant proteins serves to demonstrate the high capacity for protein degradation within cells and to illustrate the discriminatory ability of the *E. coli* proteases. Recognition of mutant and other abnormal proteins by the degradative system must parallel the recognition of misformed or damaged *E. coli* proteins in normal cells. What should be emphasized is the enormous range of proteins that can be perceived as abnormal and be targeted for degradation. Transcriptional and translational errors can give rise to abnormally truncated proteins or proteins that have misincorporated amino acids. Oxidative damage³⁰ or other chemical modifications, the binding of inhibitory ligands, the disruption of macromolecular complexes, and heat or other stress-induced denaturation are additional mechanisms by which the native structure of proteins can be affected. Since most proteins in the cell are stable but can exist in many aberrant states that are highly unstable, all proteins must have the potential to adopt structures or must have determinants masked within their primary sequences that can be recognized by intracellular proteases. *Naturally unstable proteins.* A number of wild-type proteins from either *E. coli* or bacteriophage λ have been shown to be subject to rapid degradation in vivo (table 2). The rapidly degradable proteins identified to date tend to be regulatory proteins or proteins involved in critical metabolic processes such as cell division and DNA replication. Because of the functional consequences of changes in the degradation rate, proteases that perform the rate-limiting step in the degradation of some of the proteins have been identified genetically. At least 5 different proteolytic systems are involved in the degradation of the individual proteins in table 2, indicat-

ing that degradation of these proteins is highly selective. There is no apparent overlap in specificity among the proteases carrying out the initial cleavage, even for constitutively unstable proteins. Thus, Lon is needed for rapid turnover of SulA, RcsA, Tn903 transposase, and λ N protein, but has no influence on the degradation of σ_{32} , or the λ proteins O and CII.

The entire population of a specific protein labeled during a pulse is degraded at a relatively uniform rate, indicating that there are not separate pools of stable and unstable forms of the protein. As expected, rapidly degraded proteins are present in cells in very low amounts; in a few cases the proteins are barely detectable even with very short pulses¹⁵⁸. The efficiency of the degradative enzymes in finding and degrading even very small amounts of a protein suggest that the proteases have high affinity for appropriate substrates or a mechanism exists to bring the proteases and their respective targets together. Although sequence or structural information about the unstable proteins should help identify the chemical and physical features that are recognized by intracellular proteases, a comparison of the proteins degraded by a single protease, e.g., Lon protease, reveals few obvious common features. The specificity of Lon will be discussed in a later section. More information about the actual structures and other physical properties of these unstable proteins will be required to identify common determinants of protease susceptibility.

Some 'naturally unstable' proteins are not mutant proteins but may arise because of mutations in other cellular components with which they interact or because they are expressed under abnormal conditions. Degradation of the subunits of multimeric proteins such as integration host factor¹¹², the histone-like protein, HU¹⁰, ribosomal subunits^{31,115}, and RNA polymerase⁷⁵ occurs in cells in which one of the components of the complex has been deleted, thereby preventing proper assembly of the multimer, or in cells in which one of the components is over-expressed. Presumably, the free subunits cannot fold properly in the absence of the other component or the unsatisfied bonding domains of the protein contain sites that are recognized by *E. coli* proteases.

In normal cells, the degradation of the free subunits of a multimeric enzyme would provide a post-translational means of ensuring coordinate assembly and expression of activity of the complex⁸⁴, especially in cases where expression of partial activities might have an unwanted effect. It is interesting that all of the unstable proteins listed in table 2 function in cells by interacting with other proteins or macromolecules, often as part of multicomponent complexes. Possibly, the protein in vivo is in equilibrium between a free and complexed state, and the free protein is rapidly degraded to maintain the intracellular level at the minimum functional concentration at all times. This model suggests an analogy between 'naturally unstable' proteins and those proteins mentioned

above that are unstable when cloned in excess of the proteins with which they are normally associated or when they are deprived of their partners by mutation^{54, 55}.

Processing of signal peptides. Most proteins of *E. coli* found in the inner or outer membrane or in the periplasmic space are synthesized with signal peptides that are cleaved from the original translation products by one of the signal peptidases found in the membrane. Processing of signal peptides proceeds with a half-life < 1 min. Signal peptides are then degraded to amino acids by Protease IV (signal peptide peptidase) and other peptidases. Since the signal peptides are usually between 15 and 30 amino acids long (approximately 10% of the length of the precursor¹⁰⁵ and membrane and periplasmic proteins constitute 10–15% of the protein mass of the cell¹¹⁹, degradation of signal peptides amounts to turnover of perhaps 1% of the total cellular protein per generation, a significant but minor fraction of the rapidly degraded pool of polypeptides.

There are few examples of processing of cytoplasmic proteins in *E. coli*, other than the removal of the amino terminal methionine from a majority of newly synthesized proteins⁸. A histone-like protein called HLP-1, which is the product of the *skp* gene, has the first 20 amino acids removed after synthesis⁶⁵. ClpP, a cytoplasmic protein, is synthesized with a 14-amino acid long precursor peptide, which is rapidly cleaved from the enzyme (half-time < 2 min)⁹⁷. ClpP is the proteolytic component of Clp protease and processing appears to be auto-catalytic. Details of this process will be discussed below. There may be other instances of processing of cytoplasmic proteins in *E. coli*, but it appears unlikely that this type of cleavage reaction contributes significantly to the pool of rapidly degraded protein.

Proteins degraded after nutritional shifts

Protein degradation increases in many organisms in response to nutritional changes and especially starvation for essential nutrients⁵⁰. The degradation of specific proteins is thought to help supply energy and amino acids for new proteins synthesis and other metabolic activities. In some cases, e.g. in spore-forming organisms⁹⁹, degradation of specific proteins may be part of the initial events in major developmental changes. Relatively little has been reported in the last 10 years regarding degradation in *E. coli* in response to nutritional changes or to starvation. Readers are directed to the comprehensive review by Goldberg and St. John⁵⁰ and a more recent one by Miller¹⁰⁶.

Bulk protein turnover. Protein synthesized during exponential growth begins to be degraded when cells are starved for ammonia, carbon, amino acids, phosphate, sulfate, or other nutrients. The apparent rate of protein degradation is 3–5% per hour, measured as a percent of the stable exponential phase protein that is present in the cells at the end of growth^{136, 155}. Since about 50–70%

of the original protein present at the end of growth is degraded after 15 h of starvation (Miller¹⁰⁶ and M. R. Maurizi, unpublished), the actual degradation rate for the labile proteins is ~ 8% per hour (aggregate half-life of 9 h). Newly synthesized protein in starving cells may be degraded even more rapidly than pre-existing protein⁵⁰, so that the total rate of protein degradation may be somewhat higher than given above.

Degradation of individual proteins. Most reports of changes in enzyme levels during starvation have described losses in enzymatic activities, rather than degradation of the proteins⁵⁰. Several specific enzymes, including indole glycerolphosphate synthetase^{110b} have been shown to be degraded under starvation conditions. When a survey of 20 enzymes was made in cells starved for ammonia, the activities of most of the enzymes were unchanged after 16 h (M. R. Maurizi, unpublished). A few enzyme activities (aspartate transcarbamylase, glutamate synthase, glutamine synthetase) were lost at about 8–12% per hour. Two enzymes were lost rapidly, aspartokinase III ($t_{1/2} = 2.5$ h) and glutamate dehydrogenase ($t_{1/2} = 1.5$ h). Degradation of both proteins was confirmed by pulse labeling and immunoprecipitation. A similar pattern of enzyme loss was observed in glucose-starved cells. These results confirmed that degradation during starvation is selective, a conclusion at variance with that of Miller in his review¹⁰⁶ which was based on unpublished findings from R. Moesteller. The physiological significance of the differences in stabilities of the individual proteins is not yet understood, and it remains possible that the half-lives of the proteins in starving cells merely reflect the intrinsic stability of the different proteins and not a response peculiar to the starvation conditions. In the latter case, since glutamate dehydrogenase is stable during growth, the degradation in starving cells would reflect the appearance of a new proteolytic system under those conditions. Although a number of protease activities are known to increase at the end of exponential growth (see below), no one has yet identified a proteolytic system that is unique to stationary phase or to starving cells.

Physiological regulation of protein degradation

Much of the information about enzymatic systems involved in the degradation of abnormal or mutant proteins has come from direct biochemical measurements under different physiological conditions. Factors as diverse as the availability of metabolic energy and the heat shock response have led to the identification of enzymatic systems that are directly involved with protein stability and degradation. Since little has been reported recently regarding the stringent response and its effects on starvation-induced proteolysis, the reader is directed to earlier reviews for discussions of this topic^{50, 106}.

Metabolic energy and ATP

In *E. coli*, as in most cells, inhibition of energy metabolism, for example by addition of cyanide in the absence of glucose, drastically reduces the rate of protein degradation. Most of the studies on the energy-dependence of degradation have measured the turnover of abnormal proteins during growth¹¹⁸ or the degradation of normal proteins during starvation¹⁰⁰. About 90% of protein degradation measured in this way is energy-dependent. The inhibitory effect of cyanide is also observed for the degradation of individual proteins, such as Sula¹⁴ or glutamate dehydrogenase (M. R. Maurizi, unpublished). In vivo studies from Olden and Goldberg¹¹⁸ and various in vitro studies of proteolytic enzymes^{18, 25, 79} indicate that the metabolic energy for protein degradation is required in the form of ATP.

The biochemical basis of the requirement for ATP in intracellular protein degradation has become clearer in recent years. Probably all cells possess proteases that have binding sites for ATP and require the binding and hydrolysis of ATP to express proteolytic activity. In *E. coli* two such proteases, Lon and Clp^{18, 25, 79} have been identified and characterized. Both proteases are abundant (~0.1–0.2% of cellular protein) and mutational studies indicate that these proteases account for a more than 70% of energy-dependent degradation in growing cells. In addition, the RecA protein binds ATP as an allosteric effector and is activated to promote auto-proteolysis in a few specific target proteins. In vivo and in vitro experiments suggest that there should be other ATP-dependent proteases in *E. coli*; for example, neither Lon nor ClpA appears to be involved in starvation-induced proteolysis⁷⁸. The majority of proteases isolated from *E. coli* cells have proteolytic activity in the absence of ATP. Some proteases, e.g. signal peptidases, may have a narrow selection of possible substrates. The other non-energy-dependent proteases may only degrade proteins that have already been cleaved by the ATP-dependent proteases or have been acted on by non-proteolytic elements of the energy-dependent degradative system.

There are two other ATP-dependent enzymatic systems that are involved in the recognition and degradation of unstable proteins: (1) heat shock proteins or molecular chaperones, and (2) the ubiquitin-conjugating systems found in eukaryotes. Since the ubiquitin system is not found in *E. coli*, it will only be discussed in a later section with respect to the different strategies employed by *E. coli* and other organisms to recognize targets for degradation. The effect of molecular chaperones will be discussed in the next section. The activities of ATP-dependent proteases will be discussed in a later section.

Heat shock and other stresses

Essentially all cells respond to sudden increases in temperature by increasing the synthesis of a set of proteins called heat shock proteins¹¹³. Several of the heat shock proteins are among the most abundant proteins in cells

grown at physiological temperatures (37 °C for *E. coli*) and appear to have important functions in cells, since mutations in a positive regulator of heat shock, σ_{32} , or in some of the heat shock proteins themselves drastically reduce the viability of cells, especially above 30 °C. The cellular functions of heat shock proteins in vivo are not known, although in *E. coli* they are needed for bacteriophage replication⁴⁵. Some heat shock proteins may bind to unfolded proteins and function as molecular chaperones¹³⁰. Heat shock and other stresses could cause an increase in protein denaturation in vivo and the increased amounts of heat shock proteins may be needed to aid in refolding proteins.

Proteolytic regulation of the heat shock response. Synthesis of heat shock proteins in *E. coli* is under control of the heat shock sigma factor, σ_{32} ^{62, 63}. σ_{32} is required for growth even at lower temperatures¹¹³ but is normally maintained at very low concentrations in cells, because it is rapidly degraded ($t_{1/2} = 1$ min)¹⁶¹. The protease that degrades σ_{32} is not known, but degradation requires functional heat shock proteins, DnaK, DnaJ, and GrpE^{161, 163, 167}. When cells are shifted to 42 °C, σ_{32} degradation is transiently inhibited, but after about 4 min rapid degradation of σ_{32} begins again. The inhibition of degradation along with increased translation of σ_{32} mRNA allows the intracellular concentration to increase sufficiently to induce synthesis of heat shock proteins¹⁶¹. Resumption of rapid degradation of σ_{32} requires DnaK and GrpE^{163, 167}, although there is no evidence that either protein itself is a protease.

In addition to a temperature shift, which would be expected to result in thermal denaturation of some intracellular proteins, the heat shock response can be induced in *E. coli* and other cells by the over-expression of abnormal proteins, such as canavanyl proteins or certain foreign proteins⁴⁸. One possibility, then, is that an excess of abnormal proteins in the cell act as competitive substrates for the protease that degrades σ_{32} , leading to a transient increase in σ_{32} . Alternatively, since DnaK, DnaJ, and GrpE are also involved in abnormal protein degradation, the abnormal proteins could bind to these heat shock proteins and prevent them from mediating the degradation of σ_{32} .

Effects of heat shock proteins on protein degradation. Mutations in σ_{32} , which lead to lower expression of DnaK, DnaJ, GrpE, and GroEL, and mutations in the heat shock proteins themselves, block the degradation of many abnormal proteins, indicating that heat shock proteins are needed for the degradation of abnormal proteins such as canavanyl proteins, puromycyl fragments, and some mutant proteins^{5, 47}. On the other hand, heat shock proteins may actually prevent the degradation of some abnormal proteins, such as temperature-sensitive mutants^{80, 162} and some fusion proteins (M. R. Maurizi, unpublished). Since the heat shock proteins are thought to bind to unfolded proteins and to have protein-folding activities, the effect of heat shock

proteins on the stability of a particular protein or class of proteins may depend on whether the proteins are capable of being folded into stable conformations before the unfolded structures can be recognized by the intracellular proteases. In this model, heat shock proteins would increase the degradation of some abnormal proteins by keeping them in an extended conformation and preventing them from aggregating into protease-resistant inclusion bodies⁸⁰, but would decrease degradation of other proteins by accelerating their folding into protease-resistant structures similar to those of the wild-type protein. *Protease induction during heat shock.* Heat shock induces synthesis of several proteases in *E. coli*, including the cytoplasmic ATP-dependent proteases, Lon and Clp^{47, 82, 133}, and the periplasmic protease, DegP (or HtrA)⁸⁹. ClpA increases slightly in cells grown at high temperatures but synthesis is not dependent on σ_{32} ⁷⁸. Recently, it has been found that ClpB, a close homolog of ClpA found in *E. coli*, is also a heat shock protein^{80a, 154a}. The presence of increased amounts of these proteases presumably helps remove denatured proteins that could interfere with the functions of normal proteins. Nevertheless, Lon and Clp do not appear to be essential for survival of cells at moderately high temperature or even to allow cells to display a normal heat shock response and adaptation. ClpB mutants have lower variability at 50 °C^{126a}. DegP mutants are unable to grow under acute heat shock conditions^{88, 159}.

Specificity and selectivity of proteolysis in vivo

The critical question concerning intracellular protein degradation is how rapid and efficient degradation of appropriate proteins is accomplished without damage to other proteins – the selectivity of protein degradation. It is useful to distinguish between the specificity of proteases and the selectivity of proteolysis by saying that *specificity* refers to the nature of the binding interactions between the protease and a polypeptide, while *selectivity* depends on the availability of such interaction sites within protein populations or within a protein itself. Selectivity might depend not only on the existence of cleavable sites within the proteins, but on the structural stability of the protein¹²⁷, the presence of effectors of the protein or the protease, the cellular locations of the protein and protease, and the relative abundance of alternative substrates for the protease.

In the simplest case, selectivity can be accomplished by imposing strict specificity requirements for proteolysis, as in the rare tetrapeptide recognition site for Factor X¹¹⁴ or in the requirement for a cysteinyl glyceride at the cleavage site for *E. coli* signal peptidase II¹⁷². These are both examples of limited proteolysis, but similar specificity might apply to the rate-limiting steps in degradation of particular proteins. Subsequent steps in cleavage of proteins could also be guided by strict specificity requirements. Evidence for a degradative system that recognizes the amino terminal amino acids of proteins

has been presented by Varshavsky and coworkers^{2, 3} and others¹⁴⁰. Only a subset of amino acids at the amino termini of proteins allow degradation by this system, a principle called the N-end rule. If the proteases carrying out the initial degradation reactions cut at positions that tend to leave amino termini with 'destabilizing' amino acids, the N-end rule degradative system would take over. *E. coli* proteases appear to discriminate between proteins on the basis of the carboxy terminal amino acid compositions as well. Hydrophobic amino acids near the carboxy terminus lead to increased degradation of some proteins¹²⁸. Specific carboxy-terminal extensions added to some unstable proteins can protect the proteins from degradation in vivo^{11, 32}.

Compartmentalization of proteases also influences selectivity of proteolysis. In *E. coli* the cytoplasm and the periplasmic space each have proteases that carry out particular functions, but there is no evidence of translocation of proteins between these compartments for the purpose of degradation. The co-localization of proteases and protein substrates within macromolecular assemblies may be a significant mechanism for controlling degradation, as in the cleavage of signal peptides by membrane associated signal peptidases^{170, 182}. Cytoplasmic proteases tend to be very large, and it is possible that the proteases have binding sites for interactions with other cellular constituents, which might allow them to come into contact with appropriate protein substrates. In this regard, one mechanism by which heat shock proteins might participate in general protein turnover would be by binding to both proteases and to unfolded regions of proteins. Such a combined action of proteases and heat shock proteins could explain why mutations in heat shock proteins produce defects in the degradation of the same abnormal proteins degraded by Lon¹⁶². Selectivity may also involve specific binding between the proteases and potential protein substrates not only at the proteolytic active site but also at other sites on the protease. As described below for Lon protease, the requirement for multiple sites of interaction between the protease and protein targets allows a protease with rather broad specificity towards cleavage sites to discriminate effectively between different proteins.

Lastly, the turnover rate for a particular protein will depend on the relative concentrations of other protein substrates in the cell and on the degree of saturation of the protease. There is no precise data on the saturation of proteases in vivo. If the estimate that 5–20% of newly synthesized protein is rapidly degraded is correct, there should be a large intracellular pool of rapidly degradable protein (0.5–2% of cellular protein) at all times during growth. Miller¹⁰⁶ has suggested that the decrease in this rapidly degradable pool in amino acid starved cells may free portions of the degradative apparatus to carry out increased degradation of normal proteins. Competition between the specific Lon substrates, SulA and RcsA, is evident only when one of the substrates is overproduced

in large excess³³, suggesting that Lon is normally not saturated with respect to these individual substrates, but the degree of saturation of Lon with the total pool of its physiological substrates (including abnormal proteins) is not known. When cells have high levels of abnormal proteins, increasing the amount of Lon by providing *lon* on a multicopy plasmid⁴⁶ increases the rate of abnormal protein turnover. One interesting possibility regarding the induction of the heat shock response is that the transient reduction in degradation of σ_{32} is the result of competition from proteins denatured by the temperature shift for the protease that degrades σ_{32} ⁴⁸.

Protease inhibitors

The only classical protease inhibitor isolated and characterized from *E. coli* is a 16,000 M_r, periplasmic protein called Ecotin^{27,101}. Ecotin is a potent inhibitor of several pancreatic proteases. Biochemical studies of Ecotin and analysis of the amino acid sequence have located the reactive site of the inhibitor within a disulfide linked region in which the scissile bond is between two methionines¹⁰¹. Ecotin shows no inhibitory activity against a number of *E. coli* proteases^{27,125}, although not all *E. coli* proteases have been tested. The physiological function of Ecotin is not known.

Two putative protease inhibitors found in *E. coli* are made by bacteriophage and their activities appear to be related to blocking the degradation of phage proteins. The presence of the CIII protein of bacteriophage λ increases the stability of the CII repressor, which has an extremely short half-life (< 2 min) in vivo^{7,57}. CIII has been proposed to be an inhibitor of HflA, an *E. coli* protease required for the rate-limiting degradation of the CII protein, but it may inhibit other proteases that degrade CII as well^{7,68}. In vitro, inhibition of degradation of CII by purified HflA required very high levels of CIII, suggesting that CIII may target other proteases²⁰. Bahl et al.⁴ showed that overproduction of CIII resulted in the stabilization of σ_{32} in vivo, with the concomitant induction of heat shock protein synthesis. Since the protease that degrades σ_{32} has not yet been identified, CIII might provide a useful biochemical probe for the isolation of the protease. Recently, it was shown that the activity of CIII resides in a 22-amino acid region of the protein that can form an amphipathic helix⁸¹. This segment, when expressed as an internal peptide region in an α -complementing fragment of β -galactosidase, can stabilize CII and induces the heat shock response.

Simon and his colleagues identified the T4 *pin* function which is required for the unusual stability of amber fragments of bacteriophage T4 proteins¹⁵⁰. T4 *pin* behaves in vivo as expected for a gene coding for an inhibitor of Lon protease. Cells carrying the plasmid-encoded *pinA* gene show the several *lon*⁻ phenotypes, such as production of capsular polysaccharide and sensitivity to ultraviolet light¹⁵¹. Degradation of Lon substrates in vivo, such as canavanil proteins, is severely reduced in the presence

of a functional *pinA* gene. The purified PinA protein has been shown to bind to Lon with high affinity and to inhibit proteolytic and ATPase activity in vitro (J. Hilliard, L. Simon, M. Maurizi, unpublished).

Caution must be used in interpreting inhibitory effects caused by mutations or overproduction of proteins. For unstable *E. coli* proteins that are found as part of complexes with other proteins, degradation can be partially blocked by over-expression of the associated proteins. Stabilization of SulaA by FtsZ⁷⁷ and stabilization of RcsA by RcsB¹⁵⁸ are such examples. Modification or mutation of either the unstable protein or the associated protein could lead to altered affinity between the proteins and, hence, changes in the stability of the target protein. Inhibition of degradation by stabilization of the target protein should be easily distinguished from inhibition by interaction of an inhibitor with the protease when there is more than one substrate known for the protease.

ATP-dependent proteases

Lon protease (protease La)

Goldberg and colleagues isolated protease La from *E. coli* extracts as a protease that required ATP for the degradation of casein²⁵. Protease La was subsequently identified by Charette et al.¹⁸ and Chung et al.²⁵ as the product of the *lon* gene, and it will be referred to in this review as Lon protease or simply Lon. The *lon* gene had earlier been identified as the locus for mutations that made *E. coli* cells UV-sensitive and mucoid; *lon* mutations had been shown to be the same as *degR* or *degT* mutations, which interfered with the degradation of mutant forms of β -galactosidase (reviewed by Gottesman⁵⁰). It is now clear that Lon is an ATP-dependent protease that is responsible in vivo for energy-dependent degradation of both abnormal proteins and specific regulatory proteins.

Structure and properties of Lon. The amino acid sequence of Lon protease derived from the DNA sequence of the *lon* gene indicates that Lon has 783 amino acids and a subunit M_r of 87,000²³. The native M_r of Lon under moderately low salt conditions is 450,000¹⁷⁵. Goldberg and colleagues have interpreted structural and binding studies as indicating that the native form of Lon is a tetramer^{102,175}, but there have been no detailed physical studies to explain the apparent discrepancy in the experimentally measured and the calculated sizes of the tetramer. Lon is inhibited by diisopropylfluoro phosphate and by peptide chloromethyl ketones^{175,176}, suggesting that Lon has a classical serine protease active site. The sequence around Ser679 in Lon resembles that found at the active site of the trypsin family, and mutation of that serine leads to loss of Lon activity in vivo¹. Lack of similar conservation around histidine residues, however, indicates that Lon represents a unique family of serine proteases²³. Lon has an intrinsic ATPase activity that is stimulated in the presence of protein substrates¹⁷⁷. The

amino acid sequence of Lon reveals a single well-conserved ATP-binding consensus sequence²³ and tetramers of Lon would be expected to bind up to 4 molecules of ATP. *ATP-Dependent proteolysis by Lon protease.* In the presence of ATP, Lon protease has the ability to degrade both large and small proteins *in vitro*, making endoproteolytic cleavages to generate peptide products of between 10 and 20 amino acids^{94b,104}. Generally, large proteins become better substrates when they are denatured^{104,177}, suggesting that proteins must have an extended polypeptide structure or flexibility to interact with the substrate sites on the enzyme. Lon also cleaves the amide bond of certain short fluorogenic peptides¹⁷⁶. The rate of peptide bond cleavage in the presence of ATP varies depending on the substrate⁵², but as can be seen in table 4 the turnover number with most substrates is low – 1 peptide bond cleaved per 8–10 s per tetramer of Lon. The physiological substrate, λ N protein, is degraded at a somewhat faster rate, about 1 peptide bond per 1 second^{94b}.

With many protein substrates, degradation by Lon requires the continuous hydrolysis of ATP. However, cleavage of smaller proteins, such as oxidized insulin B chain, and fluorogenic peptides proceeds quite readily in the presence of non-hydrolyzable analogs, such as AMPPNP (table 3)^{94b,177}. The need for hydrolysis of ATP tends to be greater for higher molecular weight substrates¹⁰⁴, but λ N protein, M_r 12,000, was degraded in the presence of AMPPNP^{94b}. Fluorogenic peptides are cleaved by Lon in the complete absence of nucleotide, and various effectors can stimulate peptide cleavage as well as ATP^{176,177}. Since the proteolytic activity of Lon does not require ATP hydrolysis and can even be expressed in the absence of ATP, ATP cannot be involved in the catalytic mechanism of peptide bond cleavage at the active site. The binding of ATP or other effectors must produce a conformational change at the proteolytic

site that makes it more accessible to short regions of the polypeptide or increases the catalytic efficiency of the enzyme. The requirement for ATP hydrolysis in the degradation of larger proteins must reflect a second mode of interaction between proteins and Lon protease¹⁷⁷.

For several different proteins, degradation in the presence of ATP results in a stimulation of ATP hydrolysis, such that, at saturating levels of both ATP and protein substrate, there is an increase of 2.0–2.5 molecules of ATP hydrolyzed for each peptide bond cleaved¹⁰⁴. This ratio is remarkably similar for proteins, even when the absolute rates of degradation differ, which could indicate a tight coupling between ATP hydrolysis and proteolysis, perhaps reflecting work done in each catalytic cycle to translocate the substrate protein within the active site. It should be emphasized, however, that Lon has a high basal ATPase activity and, since the stimulation of ATPase activity by substrates is only 2-fold for most proteins^{104,175}, there are actually as many as 4 molecules of ATP consumed per peptide bond cleaved. Also, half-maximal stimulation of ATPase activity occurs with lower concentrations of proteins (about 25%) than those required for half-maximal proteolysis¹⁷⁷. Thus, the acceleration of ATP hydrolysis is not linked to peptide bond cleavage per se but to some other aspect of the interaction between protein substrates and Lon protease.

Lon subunits behave cooperatively with respect to nucleotide binding and hydrolysis¹⁰². Up to 4 molecules of ATP can be bound per tetramer of Lon, but 2 subunit sites have very high affinity ($K_d \sim 0.1 \mu\text{M}$) and 2 have somewhat lower affinity ($K_d \sim 15 \mu\text{M}$). Assuming that the Lon subunits are homogeneous and active, these data indicate strong negative cooperativity in ATP binding. Since maximum peptide hydrolysis occurs when only the first 'high affinity' sites are occupied^{52,103,176}, Lon subunits appear to exist in at least two different states in the presence of ATP, one with the active site 'open' and one with the active site 'closed'. Lon hydrolyzes ATP to ADP quite readily, and ADP is a potent inhibitor of the enzyme. ADP binding promotes the closed active site, because ADP inhibits peptide hydrolysis independently of the presence of ATP⁵². ADP also competes with ATP for binding to the nucleotide binding site, and thus Lon active sites cycle between open and closed conformations with each round of ATP hydrolysis (described as 'active' and 'inactive' states in Goldberg and Waxman⁵²).

Lon appears to have a binding site for proteins that lies outside the active site. Evidence for such an allosteric binding site for proteins comes from two findings: protein substrates, but not peptide substrates, promote the release of tightly bound ADP from Lon¹⁰³, and protein substrates activate the peptidase activity of Lon *in the absence or the presence of ATP*¹⁷⁷. Even at relatively high concentrations of proteins, peptidase activity is activated and there is little or no competition between the

Table 3. Catalytic rate of peptide bond cleavage by Lon and Clp with various substrates

Substrate	$k_{\text{cat}}^{\text{a}}$ Subunit (min^{-1})	Holoenzyme	AMPPNP/ ATP ^b
1) Lon protease			
BSA ^c	2	8	0.08
α -Casein ^c	2	8	0.14
Globin ^c	4	16	0.23
λ N protein ^d	60	240	0.25
Oxidized insulin B chain ^d	20	80	1.0
Succinyl-Ala-Ala-Phe-NMC ^e	<2	<8	1.0
2) Clp protease			
Succinyl-Leu-Tyr-NMC ^f	>10	>120	–
α -Casein ^f	12	144	0.0

^a k_{cat} was calculated from the V_{max} and the enzyme concentration, using either the subunit or the holoenzyme as the catalytic unit (tetramer of Lon or dodecamer of ClpP). The V_{max} was determined experimentally or was estimated from data obtained at partial saturation. ^b Ratio of activities in the presence of AMPPNP and ATP. ^c Calculated from data in Goldberg and Waxman⁵². ^d Maurizi⁹⁴. ^e Waxman and Goldberg¹⁷⁷. ^f M. Maurizi, unpublished.

protein and the peptide for the active site. Thus, protein binding at the allosteric site also induces heterogeneity in subunit conformations such that one subunit active site is always open. The cleavable sites on the protein itself must not have access to the active site unless ATP is also present.

The above observations led Goldberg and colleagues^{103,177} to propose a model for Lon-dependent protein degradation with 3 critical features:

- ATP and ADP bind at the ATPase active site on Lon and allosterically affect the conformation of the proteolytic active site.
- Protein substrates bind at an allosteric site on the enzyme and affect the conformation at the proteolytic active site.
- Proteins binding at the allosteric site affect the binding of nucleotides, and, specifically 'good' protein substrates promote the exchange of ATP for tightly bound ADP.

In figure 1, I have presented a scheme for the catalytic cycle of Lon protease, which is largely based on the Goldberg model, but which includes some speculation as well. It should be emphasized that detailed kinetic studies

of binding order, hydrolysis steps, and product release have not been reported. For simplicity, the catalytic cycle is shown for only two subunits, although all four subunits might take part in degrading a single large protein. Since there is some suggestion that Lon subunits cooperate in protein degradation, the model assumes that the subunits act in pairs and that the peptide bond cleavage reaction flip-flops between the two active sites. The model also assumes that the subunits of Lon are identical and are assembled in a symmetrical manner, and that any heterogeneity in the enzyme during catalysis is due to interactions between subunits subsequent to ligand binding.

In the absence of protein substrates, Lon is in a state with both ATP and ADP bound (I). Binding of protein substrates can be visualized as occurring at the allosteric site on the subunit with ADP bound (II), promoting exchange between ATP and ADP (III). The binding of ATP to the subunit with the protein bound opens that active site and allows a portion of the protein to enter (III), and if an appropriate cleavage site is available on the protein, the protein is cleaved (IV). Although hydrolysis of ATP would not be necessary in cases where the products readily dissociate from the active site, ATP hydrolysis would produce the ADP-induced closed state of the active site, favoring dissociation of the cleavage product (V). The continued binding of the protein in the allosteric site could promote a second ATP/ADP exchange giving rise to (VI), which is similar to state (III) except that the substrate protein is not positioned in the active site. Since binding of the protein at the allosteric site might now be inhibitory, a mechanism must exist to either expel the protein from the site or allow it to move in order to position new sites in the protein for cleavage. One possibility is that ATP antagonizes binding of the protein to the allosteric site on the same subunit. This idea is also consistent with the proposal above that the protein initially binds to the subunit with ADP rather than the one with ATP. Hydrolysis of the ATP on the adjacent subunit (VII) would open the allosteric site on that subunit for binding the protein once it is released from the other subunit. At this point the decision must be made whether the protein will be further degraded or released. If the protein completely dissociates from state (VII), then the original state of the Lon protease (I) is regenerated. Alternatively, if the protein still has suitable sites for interaction with Lon, it can bind again at the allosteric site on the adjacent subunit (IIp), favoring ATP/ADP exchange (IIIp), cleavage of the next site will occur (IVp), and the cycle can continue 'processively' for as long as the protein has appropriate sites for recognition at the allosteric site and for cleavage.

There are two intriguing aspects of this scheme, which have to do with in vivo functions of Lon and other ATP-dependent proteases. First, the proposal that ATP binding and hydrolysis disrupt the binding of the protein at the allosteric site is analogous to the proposed role of

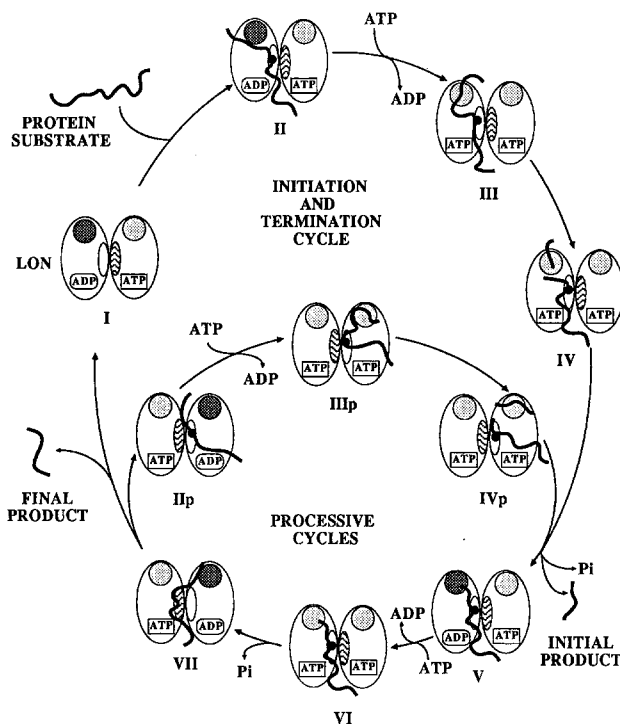


Figure 1. Model for the catalytic cycle of Lon protease. Only 2 of the 4 subunits of Lon are shown. It is assumed that the subunits function in pairs, one with high affinity and one with lower affinity for ATP and that the subunit conformations can alternate between the two. The proteolytic sites are shown as circles and the allosteric sites for proteins are shown as ovals. Proteolytic sites and allosteric sites are open (light figures) or closed (dark figures), depending on whether ATP or ADP is bound to the nucleotide site. Because degradation is shown as occurring on different subunits in each cycle, it is necessary to invert the figure in proceeding from IVp to V.

ATP in the activity of heat shock proteins or molecular chaperones; for example, complexes between DnaK and proteins are dissociated upon addition of ATP^{76,152}. Lon is, in fact, a heat shock protein in *E. coli*, and it will be interesting to see if homologous heat shock proteins are found in eukaryotic cells. Second, the requirement that protein substrates have two sites that can interact specifically with the protease provides an enormous increase in selectivity, especially since one of the interactions is accompanied by ATP hydrolysis. This mechanism is analogous to the 'kinetic proofreading' mechanism proposed by Hopfield⁶⁶ to explain how enzymes can show increased discrimination between substrates whose intrinsic affinities are not sufficiently different. The discriminatory ability increases exponentially with the number of interactions separated by thermodynamically favorable reactions. Thus, in vivo, the dependence on ATP provides a mechanism for increasing the specificity of protein degradation.

Specificity of Lon protease. Lon protease will degrade a large number of denatured proteins in solution. Most proteins are cleaved at a multiple sites yielding small peptides without the appearance of partially degraded intermediates^{38,94b}. The lack of accumulation of intermediates reflects a processive mechanism for degradation by Lon, because the same results are obtained in the presence of a large excess of the undegraded protein. Surprisingly, degradation appears processive even for very short proteins with relatively low affinity for the enzyme. Insulin B chain ($K_m \sim 160$ mM) is consistently cut at two sites with minimal release of intermediates. Casein is cleaved into about 15 peptides¹⁰⁴ and the rate of appearance of all of the peptides is essentially the same. Since the turnover numbers for degradation are only 8–16 min⁻¹ (per Lon tetramer), Lon must retain the uncut portions of casein for at least 1–2 min, and possibly longer. The mechanism by which Lon retains proteins for the extended times required for processive cleavage is not known.

Lon cleaves proteins at peptide bonds between a large number of different pairs of amino acids, indicating that the binding pocket for substrates can accommodate a variety of side chains^{94b}. Of the 14 bonds cleaved in 3 proteins, 12 bonds had a hydrophobic amino acid in the P₁ position, and in most of the cases there was a basic residue in the P₋₁–P₋₄ positions^{94b}. Cleavage of short peptidyl naphthylamides occurred preferentially with hydrophobic amino acids in positions P₁–P₃ and an acidic blocking group on the amino terminus¹⁷⁶. It does not seem likely that these data are indicative of how Lon selects substrates in vivo. Since protein substrates do not inhibit cleavage of peptidyl amide bonds, the primary interaction between potential protein substrates and Lon should be at the allosteric site. So far, the nature of the interactions at that site are not known. The amino acid sequences and the various predicted physical or structural properties of four proteins known to be degraded

by Lon in vivo show minor similarities around sites known to be cleaved in λ N protein⁹⁶, but these similarities probably reflect features tolerated by the proteolytic active site rather than determinants of recognition. Since either fusions to the carboxy terminus (V. Stout, unpublished) or deletions of the carboxy terminal regions of natural substrates³³ affect either interaction with or degradation by Lon, it seems likely that the carboxy end of proteins may be involved in recognition by Lon.

In vivo activities of Lon. A thorough review of the genetics and physiology of Lon was published recently by Gottesman, and readers should refer to that review for details of Lon function in vivo⁵⁰. Studies with *lon* mutants indicate that, in vivo, Lon participates in the energy-dependent degradation of canavanil proteins, puromycyl peptides, various missense mutant proteins, and nonsense fragments of proteins^{12,59,61}, and, in addition, carries out the rate-limiting step in the degradation of several naturally unstable proteins (table 2). All of the proteins degraded by Lon are also degraded by other proteases, but the relative contributions of Lon and other proteases to the degradation of different proteins varies. For example, the 50% defect in the degradation of canavanil proteins in *lon* mutants suggests that Lon degrades these abnormal proteins at about the same rate as all other proteases combined, whereas Lon degrades Sula, RcsA, and N protein at least 10 times faster than do the other proteases. Thus, the absolute Lon-dependent degradation rate of naturally unstable targets is higher than that of abnormal proteins.

One important difference between abnormal and specific protein degradation in vivo is that heat shock proteins are involved in the former¹⁶² and may not be involved in the latter. Lon itself is a heat shock protein, and its synthesis rate increases 2–4-fold upon temperature shift and upon causing the production of abnormal proteins in cells^{48,133}. However, mutations in the heat shock regulator or in other heat shock genes do not produce specific *lon* phenotypes such as UV sensitivity or mucoidy⁵⁰. Nevertheless, *dnaJ* mutants are as defective in degradation of canavanil proteins as are *dnaJ lon* double mutants, which implies that DnaJ is needed for all Lon dependent degradation of abnormal proteins. As pointed out by Gottesman⁵⁰, conditions that lead to production of abnormal proteins induce the heat shock response, so Lon-dependent degradation of abnormal proteins usually takes place in the presence of excess heat shock proteins. Heat shock proteins might form complexes with Lon to activate it for cleavage of abnormal proteins. Another alternative is that heat shock proteins are required to keep the abnormal proteins soluble and accessible to Lon; the abnormal proteins complexes to heat shock proteins might be recognized better by Lon. The issue of accessibility applies to the specific targets of Lon also. Lon can degrade extremely small amounts (< 10 molecules per cell) of some proteins¹⁵⁸. Either Lon has a very high affinity for these proteins, or there is a mech-

anism for bringing Lon together with its substrates. In this regard, the DNA binding activity of Lon^{24,181} is intriguing. Two of the substrates for Lon (RcsA and transposase) are probably DNA binding proteins^{32,158}, N protein binds RNA (A. Das, personal communication), and Sula may interact with the septation apparatus⁶⁹. One explanation for the large size of Lon may be that it possesses binding sites for different cellular components and that at least a portion of it is localized in cells at functional foci where it would easily come into contact with its specific targets.

The two-component Clp protease

Cells lacking Lon protease still carry out energy-dependent protein degradation, and extracts of *lon* cells have casein degrading activity that is dependent on ATP¹⁰⁰. We attempted to characterize the ATP-dependent casein-degrading activity and succeeded in purifying Clp, a protease composed of two components, ClpA and ClpP, that degrades casein and other proteins only in the presence of ATP⁷⁹. The two components of Clp protease were readily separated from each other during purification, and in the absence of the other component, neither had proteolytic activity against casein or other proteins. Activity was restored upon combining the two components in the presence of ATP. Subsequently, others confirmed the two-component nature of Clp which they called Protease Ti⁷⁰.

Structure and properties of Clp protease. The two components of Clp are functionally distinct proteins and the products of separate genes. ClpA has a subunit M_r of 87,000 and has an intrinsic ATPase activity that is increased in the presence of ClpP and substrates^{71,78}. The amino acid sequence of ClpA, derived from the DNA sequence, contains two consensus sequences for ATP binding sites^{56,58}. The two sites are in separate regions of the protein and probably correspond to separate structural or functional domains. ClpP has a subunit M_r of 21,500 and is the proteolytic component of Clp^{71,98,179}. ClpP is inhibited by diisopropylfluoro phosphate⁷⁰, and Ser111 has been identified as the site of modification⁹⁸. Site-directed mutagenesis of Ser111 and of His135 identified these residues as elements of the catalytic triad expected for a classical serine protease active site⁹⁸.

ClpA purified in the absence of metal ions and nucleotides has an apparent M_r of 110–140,000^{71,79}. Addition of Mg^{2+} and ATP to ClpA converts it to an associated form with a M_r of 450–500,000⁹⁵. Thus, the structure of ClpA in vivo is most probably an ATP-bound hexamer. ClpP is purified as a 220–240,000 M_r species in the presence of ≥ 100 mM KCl but at low salt forms a species with M_r 460–500,000, an apparent dimer of the high salt form^{71,97}. Electron micrographs of negatively stained ClpP⁹⁷ reveal that ClpP subunits are arranged in two rings of six subunits each which are superimposed to form a dodecamer (fig. 2). Slight asymmetries are apparent in many of the particles seen in the electron

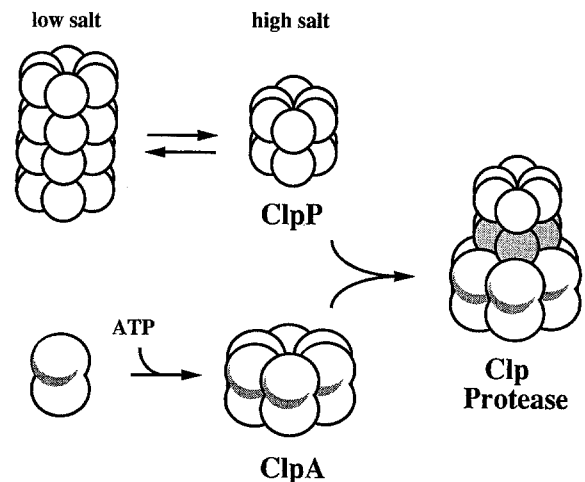


Figure 2. Structure of Clp protease. The structure of ClpP was determined from electron micrographs. The size of ClpA and the size of the ClpA/ClpP complex were determined by gel filtration and sedimentation velocity ultracentrifugation in the presence of ATP.

micrographs, which raises the possibility that the subunit associations might not all be identical or that there is some heterogeneity in the subunits themselves. The geometry of ClpP is similar to the basic unit of the proteasome, which is composed of superimposed rings of heterologous subunits.

ClpA and ClpP do not form a complex in the absence of ATP, but addition of ATP to a mixture of ClpA and ClpP results in a rapid and specific association between the two proteins. The M_r of the associated species is $\sim 750,000$ ⁹⁵ (MRM, unpublished), which would correspond to an association of 1 hexamer of ClpA with 1 dodecamer of ClpP (fig. 2). It is possible that, under the conditions for forming the active complex in vitro, the enzyme is partially dissociated. Activity titrations indicate that saturation of the active complex is achieved with a 1:2 subunit molar ratio of ClpA and ClpP, and thus one hexamer of ClpA would be expected to interact with a dodecamer of ClpP. ClpP inactivated with diisopropylfluoro phosphate (DIP-ClpP) retains the same native size as active ClpP and can interact with ClpA in an ATP-dependent manner⁹⁸. The rate at which DIP-ClpP displaced active ClpP from its complex with ClpA was used to calculate the off rate of ClpP from the active Clp protease under assay conditions⁹⁵. k_{off} for ClpP was $\sim 2 \times 10^{-3} s^{-1}$, which assuming an association rate constant of $10^6 M^{-1} s^{-1}$ would give a dissociation constant of $\sim 2 \times 10^{-9} M^{-1}$ for the complex.

ATP-Dependence and other catalytic properties of Clp. Clp protease hydrolyzes a number of proteins endoproteolytically generating short acid-soluble peptides. As with Lon, Clp degrades protein substrates to small peptides even the presence of excess protein substrate, indicating a processive mechanism in which multiple peptide bonds are cleaved in the same substrate without the release of large intermediates. The k_{cat} for peptide bond cleavage of

[³H]α-casein is 9–15 min⁻¹ (table 3), somewhat faster than Lon protease. Clp shows no measurable activity on casein or other large proteins without ATP. dATP will also activate Clp proteolytic activity⁷⁰, but no other nucleotide triphosphates or any non-hydrolyzable analogs of ATP can promote proteolytic activity⁷⁹. Thus, Clp has a stricter requirement for ATP than does Lon protease. ClpA has an ATPase activity in the absence of ClpP and other proteins, and proteolysis is accompanied by an increase of 80–100% in the ATPase activity. The coupling between peptide bond cleavage and ATP hydrolysis has not been studied in detail but the k_{cat} for ATPase in the presence of ClpP and saturating protein substrate is 80–100 min⁻¹, which would correspond to an increase of 3–4 and a total of 6–8 ATP molecules hydrolyzed per peptide bond cleaved (MRM, unpublished).

The association of ClpA and ClpP does not lead to an increase in ATPase activity. Also, the non-hydrolyzable analog AMPPNP, weakly promotes self-association of ClpA and formation of the ClpA/ClpP complex but does not activate proteolysis⁹⁵. The requirement for ATP binding in the assembly of the active Clp protease illustrates the allosteric role that ATP can play in activating proteolysis by ATP-dependent proteases. Since ATP hydrolysis is required for the degradation of proteins, it is clear that ATP functions both in the activation of Clp and in the catalytic cycle. These two roles for ATP are somewhat analogous to the two roles of ATP with Lon protease: the first ATP is primarily an allosteric activator, opening the active site of Lon or allowing association of ClpA and ClpP to open the active site of ClpP; the second ATP is involved at a poorly-understood second step that is necessary for cleavage of proteins. The latter step could be involved in unfolding or translocation of the protein, as was suggested for Lon.

The proteolytic active site lies entirely within ClpP. ClpP alone will hydrolyze the amide bond of short fluorogenic peptides¹⁷⁹, and ClpP will also endoproteolytically degrade small polypeptides in the absence of ClpA and ATP, but only about 1% of the rate of cleavage observed in the presence of ClpA and ATP (MRM, unpublished). The degradation of peptides by ClpP is not activated by casein or other protein substrates as occurs with Lon. At the same time, casein is not an inhibitor of peptide cleavage by ClpP in the absence of ClpA and ATP but is an inhibitor when ClpA and ATP are both present (MRM, unpublished). It thus appears that the active site of ClpP is accessible to peptides without activation but can bind protein substrates only as part of the active complex with ClpA. With insulin B chain as a substrate, cleavage by ClpP alone occurs at the same peptide bonds as with the ClpA/ClpP complex, thus the specificity of ClpP is not changed by its association with ClpA (MRM, unpublished). ClpP alone makes several cuts in insulin B chains in the presence of excess uncut substrate, displaying a similar processivity as the ATP-dependent degradation reaction. If ATP hydrolysis is not required for proces-

sive cleavage of proteins, it is likely that the multiple-site cleavage of protein substrates is a function of the multi-subunit structure of the proteases rather than any energy-consuming protein translocation event.

Clp degrades a broad range of peptide bonds (MRM, unpublished). Clp did not show the same specificity towards fluorogenic peptides shown by Lon, although the peptide cleaved, succinyl-Leu-Tyr-amidomethylcoumarin¹⁷⁶, had a similar hydrophobic peptide with an acidic blocking group at the amino terminus. No clear specificity emerged when the peptide bonds cut in glucagon or insulin B chain were examined; a number of peptide bonds with hydrophobic residues in the P₁ position were cleaved but sites containing non-hydrophobic residues were also cut (MRM, unpublished). As with Lon, it is likely that the specificity for protein degradation depends on interactions other than at the proteolytic active site.

In vivo activities of Clp protease. Mutations in *clpA* or *clpP* lead to a partial defect in the degradation of abnormal proteins, which is more easily seen in cells lacking Lon protease⁷⁸. About 15% of canavanil protein turnover is attributable to Clp, and the additional effect of a *clp* mutation on the degradation of individual canavanil proteins degraded by Lon is evident on SDS gels⁹⁶. Clp can also specifically degrade certain LacZ-fusion proteins, in particular, a highly unstable protein that contains the first 40 amino acids of ClpA itself fused to LacZ⁵⁶. Natural substrates for Clp have not been identified, and mutants lacking Clp protease have no obvious growth defects⁵⁶.

Both ClpA and ClpP are present in cells grown under a variety of conditions; ClpA and ClpP levels increase toward the end of exponential growth. ClpP synthesis increases about two-fold upon heat shock, and the *clpP* gene is at least partly under control of the heat shock sigma factor⁸². ClpA levels are slightly higher in cells grown at elevated temperatures but synthesis of ClpA at 42 °C is not dependent on σ32⁷⁸. The separate regulation of *clpA* and *clpP* at high temperatures suggests that, at least under some physiological conditions, ClpP might have activity *in vivo* independent of ClpA. In this light, the discovery of *E. coli* ClpB, which is highly homologous to ClpA⁵⁸ raises the intriguing possibility that ClpP may have more than one activator *in vivo*. One activity of ClpP that is expressed independently of ClpA is an unusual self-processing reaction⁹⁸. ClpP is synthesized with a 14-amino acid extension at the amino terminus which is removed very rapidly ($t_{1/2} \leq 2$ min) to give the mature protein which constitutes > 90% of the ClpP in the cell^{97,98}. Processing of ClpP requires an active ClpP but not an active ClpA in the cell. ClpP made from a single copy of *clpP* in the chromosome is sufficient to allow processing of inactive mutants of ClpP cloned in multi-copy. Thus, ClpP auto-processing can occur intermolecularly, although whether the ClpP subunits must be in the same or separate dodecamers has not been established. The

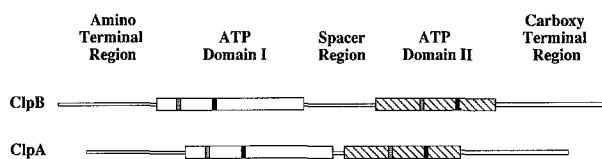


Figure 3. Sequence domains in ClpA and ClpB subsets of ClpA-like proteins. The ClpA subset is found in *E. coli* and *R. blastica*. The ClpB subset is found in *E. coli*, *B. nodosus*, and *T. brucei*. Tomato has a spacer region about one-half the size of the spacer in ClpB.

function of this auto-processing reaction for ClpP is not known.

The Clp family of ATP-dependent proteases. ClpA and ClpP are highly conserved in many if not all organisms^{154b}. Sequence comparisons between *clpA* and *clpP* and a number of genes of unknown function gave the first indications of the widespread occurrence of these genes^{58,98}. The high degree of conservation of the translated amino acid sequences of these genes strongly suggests that the proteins have conserved the function of ATP-dependent proteolysis as well. A schematic diagram of the ClpA family of proteins is shown in figure 3. The central features of the protein sequences are the two highly conserved domains surrounding the ATP binding site consensus sequences. Conservation within the two regions is very high: 55–80% identical, 20–35% similar in the 230 amino acids in ATP domain 1 and 50–80% identical, 20–40% similar in the 190 amino acids in ATP domain 2. The two domains on the other hand show almost no homology with each other, indicating that the two regions arose from evolutionarily distant ancestors. Conservation of the ClpP amino acid sequences in homologous plant chloroplast proteins are also quite extensive: 36–46% identical and 24–34% similar amino acids throughout the entire lengths of the proteins. The active site serine and histidine lie in very well conserved regions lending support to the idea that the ClpP homologs are also proteolytic enzymes. Although ClpP sequences have only been observed in *E. coli* and plant chloroplasts, immunochemical screening indicates that proteins with conserved structures similar to ClpP are found in a number of prokaryotic and eukaryotic organisms⁹⁸.

E. coli contains two members of the ClpA family, ClpA and ClpB⁵⁸. ClpB contains a 120-amino acid spacer region between the ATP-binding domains. The central spacer is moderately conserved in some members of the family but is not present in all members, and thus may serve to further divide the family into ClpA-like and ClpB-like subfamilies^{154b}. It is possible that these subfamilies have evolved different catalytic functions which are reflected in the central regions or in the less well-conserved amino and carboxy terminal portions of the proteins. It seems more likely that the differences reflect rather the specificities of the ATPase subunits for their corresponding proteases or for the types of protein substrates with which they interact. ClpB may be more specific for directing proteolysis at the types of abnormal

proteins that arise under heat shock conditions or ClpB may have specific regulatory targets under conditions of stress. Comparison of the functions of ClpA and ClpB should provide valuable insights into the specificity of action and function of intracellular proteases.

RecA-mediated protein degradation

Damage to *E. coli* DNA results in the proteolytic inactivation of the LexA repressor and the consequent induction of a number of DNA repair functions^{92,174}. In lysogens of bacteriophage λ , the CI repressor is also cleaved following DNA damage, resulting in induction of λ lytic functions¹⁴². Both repressors are stable in vivo and degradation is initiated only upon activation of the RecA protein, a multifunctional protein also involved in DNA recombination¹⁷⁴. The mechanism of activation of RecA in vivo is not completely understood but it may be mediated by binding of single-stranded DNA fragments resulting from the damage to DNA⁹². Cleavage of both repressors in vivo occurs at an Ala-Gly bond, which splits the protein into two large fragments¹⁴². Subsequent studies have shown that another protein, UmuD, is activated by cleavage in a RecA-dependent manner in vivo^{13,15,116,148}.

The mechanism of repressor cleavage in the presence of RecA has been studied by Little and co-workers^{90,91,143,154}, who have been able to obtain cleavage of LexA and CI at neutral pH in vitro in the presence of RecA, ATP, and single stranded DNA. ATP apparently acts as an allosteric effector for RecA, since non-hydrolyzable analogs activate as well^{29,91}. Remarkably, at high pH in the presence of divalent metal ions, LexA and CI are spontaneously cleaved in the absence of RecA and ATP⁹⁰. Both the RecA-mediated and the spontaneous cleavage of the repressors occur at the same Ala-Gly bond cleaved in vivo^{90,154}. These observations led Little to propose that degradation of LexA and other 'substrates' for RecA occurs via a self-cleaving reaction following conformational changes produced either by the interaction with RecA at neutral pH or by the combination of high pH and metal ions for the spontaneous reaction. Kinetic studies suggest that the auto-cleavage reaction is intramolecular, indicating that the capacity for degradative activity lies within a single LexA molecule⁹⁰. Mutational analysis of LexA showed that Ser119 and Lys156 in the carboxy terminal half of the protein, far from the cleavage site, are required for cleavage of the repressor both in vivo and in vitro¹⁵⁴. Both residues are conserved in lambda repressor and UmuD^{131,154}. A catalytic model has been proposed in which Ser119 serves as the nucleophilic group attacking the Ala-Gly peptide bond, similar to its role in classical serine proteases, and Lys156 functions by partially deprotonating the serine hydroxyl¹⁵⁴. Major support for this model has come from the demonstration that diisopropylfluoro phosphate reacts with Ser119 of LexA and inactivates the protein for auto-degradation¹⁴³.

The details regarding the mechanism of auto-degradation of LexA reveal a number of instructive parallels with the properties of intracellular protein degradation. LexA degradation is regulated in that it is initiated only in response to a specific metabolic signal. The degradation is highly selective; there is no evidence that LexA can cleave any protein other than itself, although a carboxy-terminal fragment lacking the cleavage site is reactive with DFP¹⁴³. The combination of LexA and RecA acts as a two-component protease, in which the regulatory subunit (RecA) activates the protease (LexA). Interaction with RecA could result in positioning the 'substrate' portion of LexA in the active site or might be necessary to form the catalytically competent active site. Degradation is ATP-dependent, and ATP acts as an allosteric effector for the regulatory subunit to promote a conformation favoring interaction with the protease. The emergence of common principles in degradation of proteins, extending even to the specialized instances of auto-degradation, is encouraging and promises that we are, in fact, on the road to understanding the order that necessarily underlies this potentially chaotic process.

Other possible energy-dependent proteases: Alp, ClpB

E. coli possesses energy-dependent proteases other than Lon, Clp, and RecA. As indicated earlier, *lon clp* double mutants retain 20–30% of the wild-type energy-dependent degradative capacity toward canavanil proteins and essentially all of the degradative capacity during starvation. Two candidates for energy-dependent proteases are ClpB⁵⁸ and the protease associated with the *alp* function¹⁶⁹. ClpB is a close homolog of ClpA, but its involvement in proteolysis is only speculative at this point. *clpB* mutants are not defective in abnormal protein degradation and do not affect the expression of Lon⁻ or Clp⁻ phenotypes (Gottesman, Maurizi, unpublished). Nevertheless, it seems likely that ClpB alone or in combination with another protein (ClpQ?) will have possibly quite specific ATP-dependent proteolytic activity.

The *alp* function was identified by Trempey and Gottesman¹⁶⁹ as an activity that suppressed *lon*⁻ phenotypes when expressed on a multi-copy plasmid. The degradation of SulA, which is blocked in a *lon* mutant, is restored by multi-copy *alp*, and the *alp*-dependent degradation *in vivo* is inhibited by cyanide. *alpA* encodes a protein of 75 amino acids. The AlpA protein is probably not itself a protease or part of a protease, but appears to be required for a genetic rearrangement which gives rise to an energy-dependent protease capable of cleaving Lon substrates (J. Kirby, S. Gottesman, unpublished). *alp*-dependent degradation does not involve RecA, ClpA, ClpB, ClpP, HflA, or heat shock proteins. The natural substrates for the protease induced by *alp* are not known.

Non-ATP-dependent proteases

E. coli possesses a large number of proteases that do not require ATP or other forms of metabolic energy for activ-

ity. Several of these proteins have specialized functions (e.g., signal peptidases and outer membrane protease, OmpT) or are found in locations that suggest that they have access to a limited range of possible substrates (e.g., Protease Pi and other periplasmic proteases). For a majority of the proteases, the genes have not been identified and no mutations are available. Some properties of these proteases are listed in table 1. A few proteases that have unusual properties or specificity will be described further.

Signal peptidases and signal peptide peptidase

Protein secretion and the processing of secreted proteins have been reviewed previously^{105,119}. The proteases that remove the signal peptide from secreted proteins do not appear to have general protein degrading activity but catalyze a highly specific limited proteolysis. *E. coli* has at least two such signal peptidases, which act on different groups of proteins. Signal peptidase I (SPase I) was purified on the basis of its cleaving the signal peptide from M13 coat protein, and has now been shown to remove the signal peptide from a large number of secreted proteins^{182,183}. Signal peptidase II removes the signal peptide from *E. coli* lipoproteins^{74,170,171}.

Precursors of secreted proteins are synthesized and extruded through the membrane co-translationally. The secreted proteins are anchored in the membrane by the amino terminal signal peptide, which has 1–3 basic amino acids near the terminus followed by a membrane-spanning non-polar stretch of amino acids. The processing site, where the precursor is cleaved to yield the mature protein, is usually within 15–30 amino acids of the amino terminus^{83,105}. Processing of membrane proteins proceeds in a similar manner, except that the protein is extruded in several portions separated by membrane-spanning domains rather than in its entirety and the processing site lies within the first periplasmic loop. *In vivo*, cleavage of signal peptides is very efficient ($t_{1/2} < 1$ min), but numerous pulse-chase experiments indicate that the entire protein is usually synthesized before the signal is removed.

SPase I removes the signal peptide from all secreted proteins except lipoproteins¹⁸³. Cleavage of precursors usually occurs at sites with Ala in the P₁ position, and in > 50% of the proteins the cut follows the sequence Ala-X-Ala¹⁰⁵. The minimum requirement for processing is the presence of amino acids with small, uncharged side chains in the P₃ position and helix-breaking amino acids in positions P₄–P₆. Purified SPase I will remove signal peptides from proteins *in vitro* in the presence of detergents^{178,182}, indicating that the protease can recognize and interact with processing sites directly. *In vivo*, however, the precursor is anchored to the membrane by the signal peptide, which must alter the conformation or the availability of the cleavage site. Mutational studies indicate that in addition to the sequence around the cleavage site, structural or sequence elements in the signal peptide and elsewhere in the protein affect processing⁸³. Thus,

the efficiency and the specificity of proteolysis by signal peptidases appears to depend on the principle of bipartite recognition as suggested above for energy-dependent proteases.

SPase II is an outer membrane protease that removes the signal peptide from *E. coli* lipoproteins¹⁷⁰⁻¹⁷². SPase II cleaves after the glycine residue in the sequence Leu-Ala-Gly-Cys(glyceride) and specificity appears to rely primarily on the recognition of this unusual modified amino acid residue. Precursors without the glyceride modification are not cleaved by either signal peptidase¹⁷².

The signal peptides removed from the precursors are rapidly degraded *in vivo* by signal peptide peptidases; several proteases have been found that will degrade signal peptides *in vitro*^{72,117}. Protease IV, a cytoplasmic membrane protease, was shown to be identical to a protease purified on the basis of its ability to degrade the signal peptide from *E. coli* lipoprotein^{72,73}. Protease IV cleaves the signal peptide in solution but does not degrade the precursor protein with the signal peptide attached. The protease is inhibited by leupeptin and chymostatin and is thought to be a serine protease based on its sensitivity to diisopropylfluoro phosphate¹¹⁷. Mutants lacking Protease IV accumulate large amounts of lipoprotein signal peptide⁷³.

OmpT, DegP, Protease III

DegP (HtrA) is a 48,000 M_r serine protease found in the periplasm of *E. coli*^{89,160}. The *degP* function was originally identified *in vivo* by mutational analysis because *degP* mutants could not degrade alkaline phosphatase fusion proteins¹⁶⁰. DegP is a heat-shock protein and was identified independently as a function needed for growth of *E. coli* at high temperatures⁸⁸. Synthesis of DegP (HtrA) is not under control of σ_{32} but appears to be part of another heat shock regulon controlled by another sigma factor, σ_E ⁸⁸. DegP may normally function to destroy damaged membrane or periplasmic proteins or proteins that are not properly processed. For example, DegP degrades the precursor of colicin A lysis protein that accumulates in cells treated with globomycin or in over-producing cells¹⁷. DegP does not appear to have an essential activity in cells grown at low temperatures, since *degP* mutants are viable at low temperatures. The temperature sensitivity of *degP* mutants may reflect an accumulation at high temperatures of damaged proteins that interferes with essential periplasmic functions. No regulatory targets for DegP have been identified.

The outer membrane protease, OmpT, is interesting because of its specificity in cleaving proteins between basic residues^{60,165}. OmpT, which is the same as Protease VII¹⁶⁵, is a serine protease with subunit M_r of 36,000 and a native M_r of 180,000. Although OmpT has been implicated in the degradation of a number of proteins in crude cell extracts, no *in vivo* functions have been associated with any of these reactions. A physiological function for OmpT has been suggested by the observation that col-

icin entry into and exit from *E. coli* cells is accompanied by OmpT-dependent cleavage¹⁶. OmpT has also been implicated in the degradation of certain secreted fusion proteins⁶.

Protease III (Protease Pi) is a 110,000 M_r periplasmic protease that requires Zn²⁺ for activity²¹. The protease cleaves a number of low molecular weight proteins endoproteolytically but has low activity against high molecular weight proteins. Protease III cuts insulin B chain between a Tyr-Leu bond and appears to have a chymotrypsin-like specificity. Mutants lacking Protease III have no obvious growth defects and are not deficient in the degradation of abnormal proteins²². Protease III is a member of a family of metalloproteases that have homology to human insulinase^{28,37,42,138}. This family includes a number of proteases thought to be involved in the processing of mitochondrial precursor proteins. Members of the insulinase superfamily bind Zn²⁺ but do not have the Zn²⁺ binding motif found in the majority of Zn-metalloproteases¹³⁸.

HFLA and the degradation of the λ CII protein

The λ CII protein is one of the most rapidly degraded proteins found in *E. coli* cells ($t_{1/2} < 2$ min)⁵⁷. The regulatory effects of CII and control of its degradation were recently reviewed by Gottesman⁵⁰. The rate of degradation of CII *in vivo* is reduced about 50% by mutations in either of two loci, *hflA* or *hflB*. The *hflA* locus encodes two proteins, HflK and HflC, and purified preparations of these proteins degraded purified CII protein very slowly *in vitro*⁴. Complete degradation of CII required incubations of ~ 1 h and relatively large amounts of the protease. Degradation was inhibited by serine protease inhibitors as well as by heavy metal ions, but not by sulfhydryl reagents. CIII protein, which partially protects CII *in vivo*, inhibited degradation only at very high concentrations. The slowness of the *in vitro* degradation reaction was unexpected, given the rapid turnover of CII *in vivo*. Possibly, the product of *hflB* is required along with the *hflA* products for efficient degradative activity.

Other cytoplasmic proteases

Proteases Do, Re, and So^{26,51,126,166} are soluble, cytoplasmic proteases whose *in vivo* functions are not known. All three proteases have been purified and shown to be serine proteases that can degrade casein endoproteolytically. A number of *E. coli* proteins have been tested as substrates for Do, Re, and So, and some attempts have been made to assign a function for the proteases *in vivo* based on *in vitro* activities. Protease Re appears similar to a protease reported to degrade oxidized glutamine synthetase *in vitro*^{126,144}. Protease So also degrades oxidized glutamine synthetase *in vitro*, at about five times the rate as Protease Re⁸⁶. While it is tempting to suggest that these proteases may be responsible for the degradation of oxidized proteins, which are known to be degraded at an accelerated rate *in vivo*³⁰, there are no specific data linking Proteases Re and So to control of oxidative

damage in cells. Proteases Do and So have been shown to degrade the Ada protein *in vitro*⁸⁵. Ada takes part in repair of methylated DNA⁸⁷ by carrying out an unusual suicide reaction in which Cys321 of the protein accepts a methyl group from O⁶-methylguanine in DNA. The methylated Ada is no longer functional in demethylation and has a short half-life *in vivo*. Several other proteases will degrade Ada *in vitro*, although one of them is an outer membrane protease and is not likely to be the responsible protease *in vivo*¹⁴⁷. Protease So has also been shown to degrade signal peptides after release from precursor proteins *in vitro*¹¹⁷. While all of the above activities show some degree of specificity in that only a limited number of proteases have been shown to have a particular degradative activity, there are no biochemical data on the degradative reactions *in vivo* to correlate with the *in vitro* findings.

Undiscovered functions, undiscovered proteases

Of the 25 proteases listed in table 1, only six have known physiological functions and target specific proteins or classes of proteins for degradation. Three others have been shown to affect the degradation of abnormal proteins *in vivo*. Identification of the physiological functions of the remaining proteases will require mutants lacking each of the proteases. One may question whether all proteases found in cells will have unique targets, but the data obtained with Lon and Clp suggest that proteases have activity specifically directed at a few physiological substrates as well as general degradative activity toward abnormal proteins. Since most abnormal protein degradation in the cytoplasm is energy-dependent, it is unlikely that non-energy-dependent cytoplasmic proteases are involved in the initial degradative steps. These proteases could be responsible for the secondary steps in the turnover of proteins that have been partially degraded, or they might act in concert with energy-dependent enzymes, such as heat shock proteins. The proteases might overlap in their specificities for different kinds of abnormal proteins, as Lon and Clp overlap in their activities toward canavanil proteins.

There are a number of proteolytic functions *in vivo* for which the proteases have not yet been identified. The instances of rapid degradation are particularly intriguing, e.g., $\sigma 32$; λ O protein, CIII, and Xis (table 2), since these reactions probably require highly selective proteases. The proteases involved in degradation during starvation, which is energy-dependent, have not been identified, nor have the energy-dependent proteases that degrade abnormal proteins in the absence of Lon and Clp. While it is possible that some of the known proteases may be responsible for some of the above functions, it is probable that still more proteases remain to be discovered in *E. coli*, at least one of which should be energy- or ATP-dependent.

Perspective

Regulatory significance of protein degradation

Proteolytic enzymes serve useful and sometimes essential functions for living cells. The importance of limited proteolysis in regulating enzyme activities or in the assembly of macromolecular complexes in prokaryotic and eukaryotic cells has been understood for some time. Proteolytic turnover of enzymes has been recognized as a major element of the response to developmental changes and to shifting metabolic conditions. In animal cells degradation of enzymes contributes to the maintenance of metabolic balance, and in prokaryotes and eukaryotes degradation of subunits is a mechanism for adjusting the stoichiometry of the components of multimeric assemblies. In recent years, two new aspects of intracellular proteolysis have become apparent: (a) a significant number of regulatory proteins are very rapidly degraded in cells, and this degradation is a major post-translational mechanism for regulating their intracellular levels and activities, and (b) mutations in specific proteases can impair growth or be conditionally lethal.

In *E. coli*, most of the highly unstable proteins are from bacteriophage lambda (table 2). The constitutively unstable λ proteins are positive regulators and degradation would serve to modulate their activity. The conditionally unstable protein, CI repressor, is a negative regulator that is inactivated in response to environmental conditions. Two of the *E. coli* proteins, $\sigma 32$ and RcsA, are also positive regulators and blocking degradation leads to an increase in the functional level. The third rapidly degraded *E. coli* protein, SulA, represents a different class of unstable protein – a protein made during an emergency response whose activity could be detrimental to the cell after the emergency is over^{54, 55}. In the case of SulA, which is made in response to DNA damage and inhibits septation, failure to degrade the protein leads to irreversible filamentation and cell death⁶⁹. In eukaryotic cells a number of rapidly degraded regulatory proteins are also positive regulators. Cyclin is required to activate cdc2 kinase and degradation of cyclin during mitosis is needed for proper timing of the cell cycle in developing cells¹¹¹. Several viral positive transcriptional regulators, including adenovirus E1A¹⁵³, are also unstable. Rapid degradation of regulatory proteins, particularly positive regulatory proteins, appears to be quite common in both eukaryotic and prokaryotic cells and further examples are sure to be demonstrated.

In *E. coli*, mutations in any single protease (except signal peptidases) or in combinations of proteases are not lethal under normal conditions. However, as indicated above, *lon* mutations are conditionally lethal under conditions that induce SulA. In yeast, temperature-sensitive mutations in the ubiquitin conjugating system, which marks proteins for degradation, produce growth defects at high temperature⁴¹, implying that some ubiquitin-dependent degradative activity is required for normal cell division.

More recently, it has been shown that mutations in components of the multicatalytic protease (proteasome) in yeast also cause loss of viability^{43,63a}. Identification of the proteins targeted by the ubiquitin system or by the proteasomes and learning how blocking the degradation of these proteins affects cell growth promises to provide additional insights into the complex regulatory roles played by proteases in living cells.

Similarities in the energy-dependent proteolytic systems of E. coli and eukaryotic cells

Proteases with enzymatic properties similar to both Clp and Lon have been found in prokaryotic and eukaryotic cells. The *lon* function is found in other bacteria^{36,145}, but the enzymes have not been studied. A Lon-like protease was found in mitochondria, but this protease has not been characterized thoroughly³⁴. On the other hand, the major cytoplasmic proteases in eukaryotic cells, the proteasome^{120,141} and the 26S ATP-dependent protease⁶⁷, have remarkable similarities to Clp protease. The arrangement of subunits in proteasomes and in ClpP are quite similar. ClpP has superimposed hexagonal rings of subunits, which resemble proteasome particles on face in the electron microscope⁴⁰. The four-layered cylindrical structure of the proteasome has not been observed for ClpP; however, in low salt, ClpP does form a higher molecular weight structure that should have 24 subunits (fig. 2). Although proteasome preparations are known to contain 12–15 subunits and at least 5 different genes for proteasome subunits have now been identified, it is not known whether each proteasome is composed of a full complement of subunits or whether different particles have different compositions. Proteasomes contain at least three different proteolytic activities. ClpP has iden-

tical subunits but it appears that subunit interactions give rise to differential expression of protease and peptidase activities. ClpA and ClpP interact in the presence of ATP to form the active Clp protease. This reaction is similar to that observed in formation of the 26S protease from the proteasome and two soluble proteins in the presence of ATP^{39,44}. The complex of the proteasome and the other proteins converts the enzyme into an ATP-dependent protease. Thus, both Clp and the 26S protease employ a similar enzymatic strategy in assembling multiple proteolytic active sites in a complex with an ATP-dependent regulatory subunit.

One difference in the two systems is that, whereas ClpA undergoes a self-association in the presence of ATP and the associated ClpA interacts with ClpP, the regulatory components of the 26S protease do not self-associate nor do they associate with each other in the presence of ATP. Also, none of the components of the eukaryotic system has ATPase activity in the absence of the other components. The 26S protease can degrade ubiquitin-conjugated proteins and thus has no need to recognize protein substrates directly but should have a binding site for ubiquitin and a mechanism to present the substrate portion of the conjugates to the proteolytic active sites. Clp can degrade proteins without modifications, and therefore must have a site for interaction with protein substrates directly. The substrate recognition portion of ClpA might function analogously to the E3 protein of the ubiquitin conjugating system¹⁸⁴. Instead of presenting the protein for conjugation, however, ClpA would present the substrate directly in the proteolytic active site. Given the major differences between Clp and the 26S protease, it seems unlikely that the proteases are closely related or homologous. At the same time, the similarity

Table 4. Comparison of Lon and Clp proteases

Properties	Clp	Lon
Subunit size	A: 83,000 P: 21,500	87,000
Native size	A plus ATP: > 450,000 P: 240,000 P ₁₂ A ₆ : ≥ 700,000 (multimeric state requires ATP)	450,000 (stable tetramer)
Protease active site	Serine protease	Probably serine protease
ATP binding sites	2 per A subunit (similar affinities)	1 per subunit (2 strong, 2 weak per tetramer)
ATPase activity	Substrate activated	Substrate activated
ATP/peptide bond	6–10	2–4
Peptidase activity	P only; no nucleotide required	Requires nucleotide, but not ATP hydrolysis; activated by proteins
Protease activity	Activated by ATP	Activated by ATP for protein hydrolysis; AMPPNP stimulates cleavage of N protein
In vivo substrates	Some fusion proteins; few abnormal proteins	Sul A; Rcs A; N protein; many abnormal proteins
Regulation	A: high cell densities P: heat shock (σ_{32})	Heat shock (σ_{32}) and other stresses

in basic biochemical properties between the two proteases promises that information gained from either system will be instructive in understanding the other.

Clp protease is highly conserved in all organisms, but no Lon homologs have yet been found outside of enteric bacteria. Catalytic properties of Clp and Lon in vitro are somewhat similar (table 4) but the structures of the proteins are quite different. The sequence similarities between ClpA and Lon are restricted to the ATP-binding consensus sequences, with domain 2 of ClpA having slightly more similarity in predicted structure for that region. There does not appear to be much sequence similarity between ClpP and Lon, and in particular the sequences around the active site serine and histidine in ClpP are not found in Lon.

Other bacteria have ClpA homologs with the same short spacer region between ATP domains (fig. 3) and are surely components of ATP-dependent proteases. The plant homologs have a longer spacer region that is not the same as that found in ClpB. Given the chloroplast transit sequence found at the amino terminal part of the tomato ClpA and the presence of ClpP in the chloroplast, it is highly probably that the Clp homologs constitute a functional protease in plants. The other eukaryotic homologs of ClpA are more similar to ClpB, since they have the longer spacer region and show marginally greater conservation of amino acids⁵⁸. ClpB has recently been reported to be a heat shock protein in *E. coli*^{80a, 154a} and to have homology with the yeast heat shock protein, HSP104^{126a}. *E. coli* ClpB has not yet been shown to have proteolytic activity alone or in combination with another protein, but there should be little doubt that ClpB and the related eukaryotic proteins are parts of ATP-dependent proteases. It may be that ClpA and ClpB direct degradation of different proteins, and it will be interesting to see if more classes of ClpA-like proteins will be found in *E. coli* and in other cells.

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- 184 **Note in proof:** Recently, Varshavsky and colleagues have shown that a modified N-end rule applies to degradation of aminoterminally mutated β -galactosidase in *Escherichia coli* also. Proteins unstable in wild-type cells were stabilized by *clpA* mutations, further suggesting an analogous function for ClpA and the E3 protein of the ubiquitin system, which may be a mediator of the N-end rule in eukaryotic cells. Tobias, J. W., Shrader, T. E., Rocap, G., and Varshavsky, A., The N-end rule in bacteria. Science 254 (1991) 1374–1377.

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The role of proteolytic processing in the morphogenesis of virus particles

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Abstract. Proteinases are encoded by many RNA viruses, all retroviruses and several DNA viruses. They play essential roles at various stages in viral replication, including the coordinated assembly and maturation of virions. Most of these enzymes belong to one of three (Ser, Cys or Asp) of the four major classes of proteinases, and have highly substrate-selective and cleavage specific activities. They can be thought of as playing one of two general roles in viral morphogenesis. Structural proteins are encoded by retroviruses and many RNA viruses as part of large polyproteins. Their proteolytic release is a prerequisite to particle assembly; consequent structural rearrangement of the capsid domains serves to regulate and direct association and assembly of capsid subunits. The second general role of proteolysis is in assembly-dependent maturation of virus particles, which is accompanied by the acquisition of infectivity.

Key words. Virus polyprotein; virus assembly; virus maturation; retrovirus; picornavirus; cysteine proteinase; aspartic proteinase.

Introduction

The replication of many viruses is entirely dependent on proteolytic processing. Virus-encoded proteinases play essential roles at various stages in viral replication, such as the separation of structural and non-structural proteins, the activation of specific enzymes, and the coordinated assembly and maturation of virions^{52, 67, 68}. The high substrate selectivity and the exquisite specificity of cleavage of these enzymes enable viruses to regulate successive stages in the replication and encapsidation of

their genomes. Virus assembly is the penultimate step in replication, immediately preceding viral release from infected cells, and is a complex process that may be catalyzed by a combination of host- and virus-encoded proteinases. Host-encoded proteinases cleave viral glycoprotein precursors in a conventional manner after the precursor polypeptides have been transported to vesicular compartments. In contrast, cleavages catalyzed by virus-encoded proteinases are more complex. They occur