

Simple C^* -Algebras Generated by Isometries

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Abstract. We consider the C^* -algebra \mathcal{O}_n generated by $n \geq 2$ isometries S_1, \dots, S_n on an infinite-dimensional Hilbert space, with the property that $S_1 S_1^* + \dots + S_n S_n^* = \mathbf{1}$. It turns out that \mathcal{O}_n has the structure of a crossed product of a finite simple C^* -algebra \mathcal{F} by a single endomorphism scaling the trace of \mathcal{F} by $1/n$. Thus, \mathcal{O}_n is a separable C^* -algebra sharing many of the properties of a factor of type III_λ with $\lambda = 1/n$. As a consequence we show that \mathcal{O}_n is simple and that its isomorphism type does not depend on the choice of S_1, \dots, S_n .

A C^* -algebra is simple if it contains no non-trivial closed two-sided ideals. We call a simple C^* -algebra with unit infinite if it contains an element X such that $X^*X = \mathbf{1}$ and $XX^* \neq \mathbf{1}$. While non-separable algebras of this type are well known (e.g. the Calkin algebra or type III factors on a separable Hilbert space) there is to my knowledge no explicit example of a separable simple infinite C^* -algebra. The existence of such algebras was proved by Dixmier in [9, 2.1] by the following argument. Let S_1, S_2 be two isometries ($S_i^* S_i = \mathbf{1}$, $i = 1, 2$) on an infinite-dimensional Hilbert space \mathcal{H} such that $S_1 S_1^* + S_2 S_2^* = \mathbf{1}$. Since the C^* -algebra $C^*(S_1, S_2)$ generated by S_1 and S_2 has a unit, it contains a maximal proper two-sided ideal \mathcal{I} . The quotient $C^*(S_1, S_2)/\mathcal{I}$ is separable, simple and infinite. One of the results of the present paper is that $C^*(S_1, S_2)$ itself is already simple (thus answering the question of Dixmier to this effect). More generally, we study the C^* -algebra generated by

$n \geq 2$ isometries S_1, \dots, S_n satisfying $\sum_{i=1}^n S_i S_i^* = \mathbf{1}$ (this condition implies in particular that the range projections $S_i S_i^*$ are pairwise orthogonal). We include the case $n = \infty$. We note incidentally that J. Roberts, motivated by investigations on superselection sectors, has studied closed linear spaces generated by isometries with this property [15]. These spaces are in fact Hilbert spaces and $C^*(S_1, \dots, S_n)$ is from this point of view the C^* -algebra generated by a Hilbert space.

We construct a faithful conditional expectation of $C^*(S_1, \dots, S_n)$ onto a C^* -subalgebra \mathcal{F} and show that $C^*(S_1, \dots, S_n)$ is the crossed product of \mathcal{F} by a single endomorphism Φ (in a sense to be made precise in Section 2). If n is finite, then \mathcal{F} is a

UHF-algebra in the sense of Glimm [12] of type n^∞ and Φ scales the trace of \mathcal{F} by $1/n$. Thus we have here the C^* -analogue of a factor of type III_λ with $\lambda = 1/n$ (cf. [6]). We use this description of $C^*(S_1, \dots, S_n)$ to show that the isomorphism class of $C^*(S_1, \dots, S_n)$ does not depend on the choice of S_1, \dots, S_n —that is, if $\hat{S}_1, \dots, \hat{S}_n$ is a second family of isometries satisfying $\sum_{i=1}^n \hat{S}_i \hat{S}_i^* = \mathbf{1}$ then $C^*(\hat{S}_1, \dots, \hat{S}_n)$ is canonically isomorphic to $C^*(S_1, \dots, S_n)$. We denote in the following (the isomorphism class of) $C^*(S_1, \dots, S_n)$ by \mathcal{O}_n .

It is then easy to see that \mathcal{O}_n is simple. What is more, \mathcal{O}_n is simple in a very strong sense—for every $0 \neq X \in \mathcal{O}_n$ there are $A, B \in \mathcal{O}_n$ such that $AXB = \mathbf{1}$. Among infinite simple C^* -algebras the algebras \mathcal{O}_n play a universal role comparable to that which UHF-algebras play among antiliminary C^* -algebras. Any simple infinite C^* -algebra \mathcal{A} with unit $\mathbf{1}$ contains, given $n = 2, 3, \dots, \infty$, a C^* -subalgebra \mathcal{A}_n with $\mathbf{1} \in \mathcal{A}_n$ such that a quotient of \mathcal{A}_n is isomorphic to \mathcal{O}_n . For $n = \infty$ the subalgebra \mathcal{A}_∞ can even be chosen in such a way that \mathcal{A}_∞ itself is isomorphic to \mathcal{O}_∞ .

Since the algebras \mathcal{O}_n represent quite a new type of C^* -algebras they give rise to a number of counterexamples. From the representation as a crossed product it becomes clear by the recent results in [7], [4] that \mathcal{O}_n is nuclear. On the other hand we show that \mathcal{O}_n can not be an inductive limit of C^* -algebras of type I. This answers to the negative a question which arose naturally in the recent development of the theory of nuclear C^* -algebras (cf. [3]). J. Rosenberg after reading this article showed that \mathcal{O}_n is even amenable [16]. Since \mathcal{O}_n is clearly not strongly amenable this solves a problem of Johnson [13, 10.2].

C^* -algebras generated by isometries have been studied before by various authors. Curiously enough, it usually turns out that the isomorphism class of these C^* -algebras does not depend on the choice of the isometries—but only on their algebraic relations. The difference between the present paper and investigations such as [2, 5, 11] lies in the fact that the isometries considered here are in every respect non-commutative.

We remark further that O. Bratteli has recently shown that the crossed product of the CAR-algebra by a gauge automorphism is simple [1]. However, these automorphisms do not scale the trace, so the algebras obtained are finite.

1. The Algebras \mathcal{O}_n

In the following we fix $n = 2, 3, \dots, \infty$ and a (finite or infinite) sequence $\{S_i\}_{i=1}^n$ of isometries (i.e. $S_i^* S_i = \mathbf{1}$) on a Hilbert space \mathcal{H} . If n is finite we assume that $\sum_{i=1}^n S_i S_i^* = \mathbf{1}$. If n is infinite we assume that $\sum_{i=1}^r S_i S_i^* \leq \mathbf{1}$ for every $r \in \mathbb{N}$. We are going to determine the structure of the C^* -algebra $C^*(S_1, \dots, S_n)$ (we use this notation also if n is infinite) generated by $\{S_i\}_{i=1}^n$.

1.1. Given $k \in \mathbb{N}$, let W_k^n be the set of all k -tuples (j_1, \dots, j_k) , with $j_i \in \{1, \dots, n\}$ ($i = 1, \dots, k$) if n is finite, or $j_i \in \mathbb{N}$ if n is infinite. Further let $W_0^n = \{0\}$ and $W_\infty^n = \bigcup_{k=0}^\infty W_k^n$. We write $S_0 = \mathbf{1}$ and, given $\alpha = (j_1, \dots, j_k) \in W_k^n$, we denote by S_α the isometry $S_\alpha = S_{j_1} S_{j_2} \dots S_{j_k}$. Let $\ell(\alpha) = k$ be the length of α and $\ell(0) = 0$.

1.2. With this notation we have the following lemma.

Lemma. a) Let $\mu, \nu \in W_\infty^n$ and $\ell(\mu) = \ell(\nu)$. Then $S_\mu^* S_\nu = \delta_{\mu\nu} \mathbf{1}$.

b) Let $\mu, \nu \in W_\infty^n$ and let P, Q be the range projections of S_μ, S_ν respectively. Suppose $S_\mu^* S_\nu \neq 0$.

If $\ell(\mu) = \ell(\nu)$ then $S_\mu = S_\nu$ and $P = Q$.

If $\ell(\mu) < \ell(\nu)$ then $S_\nu = S_\mu S_{\mu'}$ with $\mu' \in W_{\ell(\nu) - \ell(\mu)}^n$ and $P > Q$.

If $\ell(\mu) > \ell(\nu)$ then $S_\mu = S_\nu S_{\nu'}$ with $\nu' \in W_{\ell(\mu) - \ell(\nu)}^n$ and $P < Q$.

Proof. a) follows easily from the relation $S_i^* S_j = \delta_{ij} \mathbf{1}$.

b) The first assertion follows immediately from a). To prove the second assertion write $S_\nu = S_\alpha S_{\mu'}$ where $\ell(\alpha) = \ell(\mu)$ and $\ell(\mu') = \ell(\nu) - \ell(\mu)$. By a) we have $S_\mu^* S_\alpha S_{\mu'} = \delta_{\mu\alpha} S_{\mu'}$, whence $\alpha = \mu$. Finally $Q = S_\nu S_\nu^* = S_\alpha (S_\mu S_{\mu'}^*) S_\alpha^* < S_\alpha S_\alpha^* = P$.

1.3. Lemma. Let $M \neq 0$ be a word in $\{S_i\} \cup \{S_i^*\}$. Then there are two unique elements $\mu, \nu \in W_\infty^n$ such that $M = S_\mu S_\nu^*$.

Proof. Let $M = X_1 \dots X_r$ where $X_j \in \{S_i\} \cup \{S_i^*\}$ ($j = 1, \dots, r$). In this expression we may cancel out every term of the form $X_i X_{i+1}$ with $X_i X_{i+1} = \mathbf{1}$. After finitely many such eliminations we get an expression for M in lowest terms $M = Y_1 \dots Y_s$ where $Y_i Y_{i+1} \neq \mathbf{1}$ ($i = 1, \dots, s-1$). Since $S_i^* S_j = \delta_{ij} \mathbf{1}$ and $M \neq 0$, the Y_i must satisfy the following

$$Y_j \in \{S_i\} \Rightarrow Y_{j-1} \in \{S_i\} \quad (j = 2, \dots, s).$$

Thus, if j_0 is the largest number between 0 and s such that $Y_{j_0} \in \{S_i\}$, we have $Y_j \in \{S_i\}$ for $1 \leq j \leq j_0$ and $Y_j \in \{S_i^*\}$ for $j_0 + 1 \leq j \leq s$. This shows that there are $\mu, \nu \in W_\infty^n$ such that $M = S_\mu S_\nu^*$. Assume that $\alpha, \beta \in W_\infty^n$ are such that $M = S_\alpha S_\beta^*$. Then obviously $S_\mu^* S_\alpha \neq 0$ (since $M^* M \neq 0$) and $S_\mu S_\mu^* = M M^* = S_\alpha S_\alpha^*$. Thus the range projections of S_μ and S_α coincide and according to Lemma 1.2b) we get $S_\mu = S_\alpha$. The same argument applied to M^* shows $S_\nu = S_\beta$.

1.4. Let $\mathcal{F}_0^n = \mathbf{C}\mathbf{1}$ and let \mathcal{F}_k^n be the C^* -algebra generated by the set $\{S_\mu S_\nu^* \mid \mu, \nu \in W_k^n\}$. We denote by \mathcal{M}_r the star algebra of $r \times r$ complex matrices and by \mathcal{K} the algebra of compact operators on an infinite dimensional separable Hilbert space.

Proposition. If n is finite then \mathcal{F}_k^n is star isomorphic to \mathcal{M}_{n^k} and $\mathcal{F}_k^n \subset \mathcal{F}_{k+1}^n$ ($k = 0, 1, 2, \dots$). If n is infinite then \mathcal{F}_k^n is star isomorphic to \mathcal{K} for all $k > 0$.

Proof. According to 1.2a), for $\mu, \mu', \nu, \nu' \in W_k^n$, we have

$$(S_\mu S_\nu^*)(S_{\mu'} S_{\nu'}^*) = \delta_{\nu\nu'} S_\mu S_{\mu'}^*.$$

Since also $(S_\mu S_\nu^*)^* = S_\nu S_\mu^*$ this shows that $\{S_\mu S_\nu^* \mid \mu, \nu \in W_k^n\}$ is a self-adjoint system of matrix units generating \mathcal{F}_k^n . If n is finite, then

$$S_\mu S_\nu^* = \sum_{i=1}^n S_\mu S_i S_i^* S_\nu^*$$

is in \mathcal{F}_{k+1}^n since each summand on the right hand side is in \mathcal{F}_{k+1}^n .

1.5. Let \mathcal{F}^n be the C^* -algebra generated by the union of all \mathcal{F}_k^n ($k = 0, 1, 2, \dots$). Proposition 1.4 shows that \mathcal{F}^n is a UHF-algebra of type n^∞ , if n is finite. If n is infinite \mathcal{F}^∞ is not a UHF-algebra but an AF-algebra.

1.6. We are now going to describe the algebra \mathcal{P} generated algebraically by $\{S_i\}_{i=1}^n$ and $\{S_i^*\}_{i=1}^n$. We take and fix one of the S_i , say S_1 . To emphasize the special role of

S_1 , we will write V for S_1 and V^{-1} for S_1^* . Let $M = S_\mu S_\nu^*$ be a word in $\{S_i\}$ and $\{S_i^*\}$. Let $r = \ell(\mu)$, $s = \ell(\nu)$ and $k = r - s$.

- If $k > 0$ set $\hat{M} = S_\mu S_\nu^* S_1^{*k}$. Then $\hat{M} \in \mathcal{F}_r^n$ and $M = \hat{M} V^k$.
- If $k < 0$ set $\tilde{M} = S_1^{-k} S_\mu S_\nu^*$. Then $\tilde{M} \in \mathcal{F}_s^n$ and $M = V^k \tilde{M}$.
- If $k = 0$ then $M \in \mathcal{F}_r^n = \mathcal{F}_s^n$.

Since any $A \in \mathcal{P}$ is a linear combination of words, A can be written in the form

$$A = \sum_{i=-N}^{-1} V^i A_i + A_0 + \sum_{i=1}^N A_i V^i$$

where the A_i are in \mathcal{F}^n . We write $A_i = F_i(A)$.

1.7. Proposition. *The elements $A_i = F_i(A)$ are uniquely determined by the construction described above (they do not depend on the special representation of A as a linear combination of words). We have $\|F_i(A)\| \leq \|A\|$.*

For the proof of this proposition we first need a lemma. Let n be finite and let $\{\varepsilon_i\}_{i \in \mathbb{N}}$ with $\varepsilon_i \in \{1, \dots, n\}$ be a sequence which is aperiodic in the sense that there is no $i_0 > 0$ such that $\{\varepsilon_i\}_{i \geq i_0}$ becomes periodic. Given $r \in \mathbb{N}$, write $U_r = S_{\varepsilon_1} \dots S_{\varepsilon_r}$ and $P_r = U_r U_r^*$.

1.8. Lemma. *Let M_1, \dots, M_m be words in S_1, \dots, S_n and S_1^*, \dots, S_n^* and let k be a natural number. Suppose that each M_i has the form $M_i = S_\mu S_\nu^*$ where $\ell(\mu) \neq \ell(\nu)$. Then there is $r \in \mathbb{N}$ such that*

$$P_r S_\alpha^* M_i S_\beta P_r = 0$$

for $i = 1, \dots, m$ and for all $\alpha, \beta \in W_k^n$.

Proof. If $M_i = S_\mu S_\nu^*$ where $\ell(\mu) \neq \ell(\nu)$, then $S_\alpha^* M_i S_\beta = 0$ or we have after cancellation $S_\alpha^* M_i S_\beta = S_\gamma S_\delta^*$ in lowest terms where $\ell(\gamma) - \ell(\delta) = \ell(\mu) - \ell(\nu)$ (cf. 1.3). This shows that $S_\alpha^* M_i S_\beta$ also satisfies the hypothesis on M_i of the Lemma for any $\alpha, \beta \in W_k^n$. Thus it suffices to show that for any finite collection $M_1, \dots, M_{m'}$ of words of the form $M_i = S_{\mu_i} S_{\nu_i}^*$ with $\ell(\mu_i) \neq \ell(\nu_i)$, there is $r \in \mathbb{N}$ such that $P_r M_i P_r = 0$ ($i = 1, \dots, m'$). It suffices to prove this for the case $m' = 1$.

Let $\ell(\mu_1) = p$ and $\ell(\nu_1) = q$. Then, for $r > p, q$, the expression $L_r = U^{*r} M_1 U^r$ can be non-zero only if $S_{\mu_1} = U_p$ and $S_{\nu_1} = U_q$ (1.2b)). Thus $L_r = S_{\varepsilon_r}^* \dots S_{\varepsilon_{p+1}}^* S_{\varepsilon_{q+1}} \dots S_{\varepsilon_r}$. But then L_r must be zero for sufficiently large r since by assumption $p \neq q$ and since $\{\varepsilon_i\}$ is aperiodic.

Proof of Proposition 1.7. Since for $i \geq 0$, by construction $F_{i+1}(A) = F_i(AV^*)$ and for $i \leq 0$, $F_{i-1}(A) = F_i(VA)$, it suffices to prove the assertions for $F_0(A)$.

We consider first the case that n is finite. Choose an aperiodic sequence $\{\varepsilon_i\}$ as in the preceding lemma. Let k be so large that $F_0(A)$ is in \mathcal{F}_k^n . Using Lemma 1.8 we find $r \in \mathbb{N}$, $r > k$ such that $P_r S_\alpha^* V^j A_j S_\beta P_r = 0$ for $j = -N, \dots, -1$ and $P_r S_\alpha^* A_j V^j S_\beta P_r = 0$ for $j = 1, \dots, N$ and for all $\alpha, \beta \in W_k^n$. We set

$$Q = \sum_{\alpha \in W_k^n} S_\alpha P_r S_\alpha^*.$$

Then $QV^j A_j Q = 0$ for $j = -N, \dots, -1$ and $Q A_j V^j Q = 0$ for $j = 1, \dots, N$. On the other hand Q commutes with every $X \in \mathcal{F}_k^n$ and $X \mapsto QXQ$ is an isomorphism of \mathcal{F}_k^n onto

$Q\mathcal{F}_k^n Q$. In fact, $QS_\alpha S_\beta^* = S_\alpha S_\beta^* Q = S_\alpha P_r S_\beta^*$ and the set $\{S_\alpha P_r S_\beta^* | \alpha, \beta \in W_k^n\}$ is a self-adjoint system of matrix units generating $Q\mathcal{F}_k^n Q$. Thus

$$\|F_0(A)\| = \|QF_0(A)Q\| = \|QAQ\| \leq \|A\|.$$

Consider now the case $n = \infty$. There is a finite subset \mathbb{I} of \mathbb{N} such that A is a linear combination of words in $S_i, S_i^* (i \in \mathbb{I})$. We assume that $C^*(S_1, S_2, \dots)$ is represented on Hilbert space and choose an isometry \hat{S} such that $\hat{S}^* \hat{S} = \mathbf{1}$ and

$$\hat{S} \hat{S}^* = P = \mathbf{1} - \sum_{i \in \mathbb{I}} S_i S_i^*.$$

We may assume that $1 \in \mathbb{I}$ and define $\hat{F}_i(X)$ for X in the star algebra $\hat{\mathcal{P}}$ generated algebraically by $S_i, i \in \mathbb{I}$ and \hat{S} , as above with respect to $V = S_1$. Then $\hat{F}_0(A) = F_0(A)$ since A is an expression in S_i, S_i^* only. We know already from above that there is a projection Q in $\hat{\mathcal{P}}$ such that $Q A Q = Q \hat{F}_0(A) Q$ and $\|Q \hat{F}_0(A) Q\| = \|\hat{F}_0(A)\|$. Hence

$$\|F_0(A)\| = \|\hat{F}_0(A)\| = \|Q \hat{F}_0(A) Q\| = \|Q A Q\| \leq \|A\|.$$

Since in the finite and in the infinite case the mapping $F_0(A) \mapsto QF_0(A)Q$ is an isomorphism, we finally see that $F_0(A)$ is uniquely determined by $QF_0(A)Q$, hence by A .

1.9. Suppose that $\{\hat{S}_i\}_{i=1}^n$ is a second family of isometries satisfying $\sum_{i=1}^n \hat{S}_i \hat{S}_i^* = \mathbf{1}$ and let $\hat{\mathcal{P}}$ be the star algebra generated algebraically by this family. It follows from 1.4 that $\mathcal{F}^n \cap \mathcal{P}$ and $\hat{\mathcal{F}}^n \cap \hat{\mathcal{P}}$ are algebraically isomorphic. Since these algebras are inductive limits of finite-dimensional C^* -algebras, they carry a unique C^* -norm. We may therefore identify \mathcal{F}^n and $\hat{\mathcal{F}}^n$. With this identification, if $A \in \mathcal{P}$ and \hat{A} is the corresponding linear combination of words in $\hat{\mathcal{P}}$, then $F_i(A) = F_i(\hat{A})$ for all $i \in \mathbb{Z}$. In particular, $A = 0$ if and only if $\hat{A} = 0$. This shows that \mathcal{P} and $\hat{\mathcal{P}}$ are algebraically star isomorphic. We equip \mathcal{P} with the largest C^* -norm

$$\|X\|_0 = \sup\{\|Q(X)\| \mid Q \text{ is a star representation of } \mathcal{P} \text{ on a separable Hilbert space}\}.$$

Let \mathcal{L} be the $\|\cdot\|_0$ -completion of \mathcal{P} . Since $\|\cdot\|_0$ is a C^* -norm which majorizes the initial norm on \mathcal{P} , the C^* -algebra $C^*(S_1, \dots, S_n)$ is a quotient of \mathcal{L} . We shall show that $\mathcal{L} \cong C^*(S_1, \dots, S_n)$. This will imply

$$C^*(S_1, \dots, S_n) \cong \mathcal{L} \cong \hat{\mathcal{L}} \cong C^*(\hat{S}_1, \dots, \hat{S}_n)$$

1.10. The mappings $F_i: \mathcal{P} \rightarrow \mathcal{F}^n (i \in \mathbb{Z})$ extend according to Proposition 1.7 to normdecreasing linear mappings $F_i: C^*(S_1, \dots, S_n) \rightarrow \mathcal{F}^n$ and $F_i: \mathcal{L} \rightarrow \mathcal{F}^n$ (the use of the same notation for both mappings will not cause confusion). F_0 is a conditional expectation [17, p. 101].

Proposition. *Let $X \in \mathcal{L}$. If $F_i(X) = 0$ for all $i \in \mathbb{Z}$, then $X = 0$.*

Proof. We use an argument which appears in [14, 1.2.5]. Let \mathcal{H} be faithfully represented on \mathcal{H} . By definition of the norm on \mathcal{L} the mapping $Q_\lambda: S_i \mapsto \lambda S_i (i = 1, \dots, n)$ extends, for every $\lambda \in \mathbb{C}$ with modulus 1 to a continuous star representation Q_λ of \mathcal{L} on \mathcal{H} . Note that $Q_\lambda(X) = X$ for every $X \in \mathcal{F}^n$.

Given $\xi, \eta \in \mathcal{H}$ with $\|\xi\| = \|\eta\| = 1$, let f be the function on the unit circle \mathbb{T} in \mathbb{C} which is defined by

$$f(\lambda) = (\varrho_\lambda(X)\xi|\eta) \quad (\lambda \in \mathbb{T}).$$

Let $\{A_k\}$ be a sequence in \mathcal{P} which converges in \mathcal{L} to X . Consider the functions

$$h_k(\lambda) = (\varrho_\lambda(A_k)\xi|\eta) \quad (\lambda \in \mathbb{T}).$$

Since $\|\varrho_\lambda(X) - \varrho_\lambda(A_k)\|_0 \leq \|X - A_k\|_0$, the functions h_k tend to f uniformly on \mathbb{T} . We have

$$\begin{aligned} h_k(\lambda) &= \sum_{i=-N}^{-1} (\lambda^i V^i F_i(A_k)\xi|\eta) \\ &\quad + (F_0(A_k)\xi|\eta) + \sum_{i=1}^N (F_i(A_k)\lambda^i V^i \xi|\eta) = \sum_{i=-N}^N a_{ik}\lambda^i. \end{aligned}$$

The i -th Fourier-coefficient a_{ik} of h_k converges to the i -th Fourier-coefficient f_i of f as $k \rightarrow \infty$.

But $\lim_{k \rightarrow \infty} |a_{ik}| \leq \lim_{k \rightarrow \infty} \|F_i(A_k)\|_0 = 0$ by assumption for all $i \in \mathbb{Z}$ so that $f = 0$ and $X = 0$, since ξ, η were arbitrary.

Remark 1. The idea of the proof of 1.10 really consists in interpreting $F_i(X)$ as i -th Fourier coefficient of the function $\lambda \mapsto \varrho_\lambda(X)$ ($\lambda \in \mathbb{T}$). In fact, the equation $F_i(X) = \int_{\mathbb{T}} \varrho_\lambda(X)\lambda^{-i} d\lambda$ holds for every $X \in \mathcal{L}$.

Remark 2. Let $A_k \in \mathcal{P}$ converge to $X \in \mathcal{L}$. Since

$$F_0(X * X) = \lim_{k \rightarrow \infty} \left[\sum_{i < 0} F_i(A_k) * F_i(A_k) + F_0(A_k) * F_0(A_k) + \sum_{i > 0} V^{-i} F_i(A_k) * F_i(A_k) V^i \right]$$

we see from the proposition that F_0 is faithful in \mathcal{L} .

This fact and Proposition 1.10 itself could have been derived in a slightly different approach from the general theory of crossed products [18]. We preferred the proof given above because it is very elementary and fits exactly into the framework of this paper.

1.11. Proposition. \mathcal{L} is canonically isomorphic to $C^*(S_1, \dots, S_n)$.

Proof. The identity mapping $\pi: \mathcal{P} \rightarrow \mathcal{P}$ extends to a continuous star homomorphism π of \mathcal{L} onto $C^*(S_1, \dots, S_n)$. We show that π is injective. We obviously have $F_i \circ \pi = \pi \circ F_i$ [after identification of \mathcal{F}^n and $\pi^{-1}(\mathcal{F}^n)$]. If $\pi(X) = 0$ then $F_i(\pi(X)) = 0$ whence $\pi(F_i(X)) = F_i(X) = 0$ for all $i \in \mathbb{Z}$.

1.12. Theorem. If $\{\hat{S}_i\}_{i=1}^n$ is a second family of isometries satisfying $\sum_{i=1}^n \hat{S}_i \hat{S}_i^* = \mathbf{1}$

(or $\sum_{i=1}^r \hat{S}_i \hat{S}_i^* \leq \mathbf{1}$ for every $r \in \mathbb{N}$, if $n = \infty$), then $C^*(\hat{S}_1, \dots, \hat{S}_n)$ is canonically isomorphic to $C^*(S_1, \dots, S_n)$ (i.e. the map $\hat{S}_i \rightarrow S_i$ extends to an isomorphism from $C^*(\hat{S}_1, \dots, \hat{S}_n)$ onto $C^*(S_1, \dots, S_n)$).

Proof. This follows from 1.9 and 1.11. Note that in 1.9 all isomorphisms are canonical.

In view of this it makes sense to write \mathcal{O}_n for $C^*(S_1, \dots, S_n)$ since the isomorphism class of \mathcal{O}_n does not depend on the choice of $\{S_i\}_{i=1}^n$. We remark that Theorem 1.12 also shows that \mathcal{O}_n is simple. In fact, let \mathcal{I} be a maximal ideal in $\mathcal{O}_n = C^*(S_1, \dots, S_n)$ and $\pi: \mathcal{O}_n \rightarrow \mathcal{O}_n/\mathcal{I}$ the canonical projection mapping. Then, by Theorem 1.12, the simple C*-algebra $\mathcal{O}_n/\mathcal{I} = C^*(\pi(S_1), \dots, \pi(S_n))$ is isomorphic to \mathcal{O}_n . But we are now going to show that \mathcal{O}_n has a property which is much stronger than simplicity (in [8] we raised the question if every infinite simple C*-algebra with unit has this property).

1.13. Theorem. *Let n be finite and let X be a non-zero element of \mathcal{O}_n . Then there are $A, B \in \mathcal{O}_n$ such that $AXB = 1$.*

Proof. By 1.10 we have $F_0(X^*X) \neq 0$. Without loss of generality assume that $\|F_0(X^*X)\| = 1$. Let $Y \in \mathcal{P}$ be a positive element such that $\|X^*X - Y\| < \varepsilon \leq 1/4$. Then $\|F_0(Y)\| \geq 1 - \varepsilon$ (1.7). In the proof of Proposition 1.7 we constructed a projection $Q \in \mathcal{F}^n \cap \mathcal{P}$ such that $\|QF_0(Y)Q\| = \|F_0(Y)\|$ and $QYQ = QF_0(Y)Q$. Let k be so large that $QF_0(Y)Q$ is in \mathcal{F}_k^n . Since \mathcal{F}_k^n is a finite-dimensional C*-algebra, QYQ has the form $QYQ = \sum_{i=1}^s \lambda_i R_i$ where R_i are minimal projections in \mathcal{F}_k^n and λ_i are positive real numbers. There is $i_0, 1 \leq i_0 \leq s$ such that $\lambda_{i_0} \geq 1 - \varepsilon$ and there is a partial isometry U in \mathcal{F}_k^n such that $U^*U = R_{i_0}$ and $UU^* = S_1^k S_1^{*k}$ (note that $S_1^k S_1^{*k}$ is a minimal projection in \mathcal{F}_k^n). Then with $A = S_1^{*k} U Q$ we have $AYA^* = \lambda_{i_0} 1$ and

$$\|AX^*XA^* - 1\| \leq \|AX^*XA^* - AYA^*\| + \|AYA^* - 1\| \leq 2\varepsilon$$

(since $\|A\| = 1$ and $1 - \varepsilon \leq \lambda_{i_0} \leq 1 + \varepsilon$). This shows that AX^*XA^* is invertible and we are done.

Remark. If in the situation of the preceding theorem $X \geq 0$ and $\|F_0(X)\| = 1$, then it is obvious from the proof given above that A and B can be chosen such that $\|A\|, \|B\| \leq 1 + \varepsilon$, for any given $\varepsilon > 0$. (Moreover A, B can be chosen such that $B = A^*$.) We will use this in Section 3 where we will prove a version of Theorem 1.13 for \mathcal{O}_∞ . A different proof of 1.13 for the case $n = \infty$ could also be given using methods similar (but more complicated) to those employed in the proof above.

2. Representation of \mathcal{O}_n as a Crossed Product

2.1. Let $n \geq 2$ be finite and let $j \in \mathbb{Z}$. Then \mathcal{F}^n can be represented as an infinite tensor product [17, 1.23.11]

$$\mathcal{F}^n = \bigotimes_{i=j}^\infty \mathcal{N}_i = \mathcal{A}_j \quad \text{where} \quad \mathcal{N}_i \cong \mathcal{M}_n \quad \text{for all } i.$$

Define embeddings

$$\mathcal{A}_0 \hookrightarrow \mathcal{A}_{-1} \hookrightarrow \mathcal{A}_{-2} \hookrightarrow \dots$$

by $\mathcal{A}_j \ni X \mapsto e_{11} \otimes X \in \mathcal{A}_{j-1} = \mathcal{M}_n \otimes \mathcal{A}_j$, where $\{e_{ij} | i, j = 1, \dots, n\}$ denotes a self-adjoint system of matrix units in \mathcal{M}_n . If we take the C*-inductive limit [17, 1.23] of this sequence we get a C*-algebra \mathcal{C}_n isomorphic to $\mathcal{K} \otimes \mathcal{F}^n$. We may, of course,

continue the above sequence of embeddings to positive integers

$$\dots \hookrightarrow \mathcal{A}_2 \hookrightarrow \mathcal{A}_1 \hookrightarrow \mathcal{A}_0 \hookrightarrow \mathcal{A}_{-1} \hookrightarrow \dots$$

in the same way by $\mathcal{A}_j \ni X \mapsto e_{11} \otimes X \in \mathcal{A}_{j-1}$ ($j \in \mathbb{Z}$). Since all \mathcal{A}_j are isomorphic we may consider the automorphism Φ of \mathcal{C}_n which is induced by the shift to the left, mapping an element in \mathcal{A}_j to the corresponding element in \mathcal{A}_{j+1} . One may express the action of Φ somewhat informally by $\Phi(X) = e_{11} \otimes X \in e_{11} \otimes \mathcal{A}_j \cong \mathcal{A}_j$ for $X \in \mathcal{A}_{j-1}$.

Let the crossed product $C^*(\mathcal{C}_n, \Phi)$ be faithfully represented on the Hilbert space \mathcal{H} . Then there is a unitary U on \mathcal{H} such that $\Phi(X) = UXU^*$ ($X \in \mathcal{C}_n$) and $C^*(\mathcal{C}_n, \Phi)$ is the closure of the set of finite sums of the form $A = \sum_{i=-N}^N X_i U^i$ ($X_i \in \mathcal{C}_n$). With $\tilde{X}_i = U^{-i} X_i U^i$ this expression becomes

$$A = \sum_{i < 0} U^i \tilde{X}_i + X_0 + \sum_{i > 0} X_i U^i \quad (\tilde{X}_i, X_i \in \mathcal{C}_n).$$

Let P be the unit of $\mathcal{A}_0 \subset C^*(\mathcal{C}_n, \Phi)$. Since $UPU^* = e_{11} \otimes P \in \mathcal{A}_0 = \mathcal{M}_n \otimes \mathcal{A}_1$ we have $UP = PUP$ and $PX_i U^i P = (PX_i P)(UP)^i$ for $i > 0$ and $PU^i \tilde{X}_i P = (UP)^{*-i} P \tilde{X}_i P$ for $i < 0$. With $V = UP$ we get

$$PAP = \sum_{i < 0} V^i P \tilde{X}_i P + PX_0 P + \sum_{i > 0} P X_i P V^i.$$

Thus $\mathcal{E}_n = PC^*(\mathcal{C}_n, \Phi)P$ is generated by $\mathcal{A}_0 = P\mathcal{C}_n P$ together with V .

Let $S_i = (e_{11} \otimes P)V$ ($i = 1, \dots, n$). Then $S_i^* S_i = P$ and $\sum_{i=1}^n S_i S_i^* = P$. Further \mathcal{A}_0 is generated by all elements of the form $S_\mu S_\nu^*$ where $\mu, \nu \in W_\infty^n$ and $\ell(\mu) = \ell(\nu)$. In fact, if $\mu = (j_1, \dots, j_k)$ and $\nu = (i_1, \dots, i_k)$, then $S_\mu S_\nu^* = e_{j_1 i_1} \otimes e_{j_2 i_2} \otimes \dots \otimes e_{j_k i_k} \otimes P \in \mathcal{A}_0 = \mathcal{M}_n \otimes \dots \otimes \mathcal{M}_n \otimes \mathcal{A}_k$. Hence $\mathcal{E}_n = C^*(S_1, \dots, S_n) \cong \mathcal{O}_n$.

Let P_k be the unit of \mathcal{A}_k ($k \leq 0$). Then $C^*(\mathcal{C}_n, \Phi)$ is the inductive limit of $P_k C^*(\mathcal{C}_n, \Phi) P_k$ ($k \rightarrow -\infty$). It is not hard to see that $P_{k-1} C^*(\mathcal{C}_n, \Phi) P_{k-1}$ is generated by $P_k C^*(\mathcal{C}_n, \Phi) P_k$ together with $\{e_{ij} \otimes P_k \mid 1 \leq i, j \leq n\} \subset \mathcal{A}_{k-1}$ and that, consequently, $C^*(\mathcal{C}_n, \Phi)$ is isomorphic to $\mathcal{K} \otimes \mathcal{O}_n$.

2.2. Let now $n = \infty$. For $j \in \mathbb{N}$ let \mathcal{A}_j be the C^* -subalgebra of \mathcal{O}_∞ defined by $\mathcal{A}_j = S_1^j \mathcal{F}^\infty S_1^{*j}$. Then $\mathcal{A}_{j-1} \cong \mathbf{C1} \oplus (\mathcal{K} \otimes \mathcal{A}_j)$. On the other hand we also have $\mathcal{A}_i \cong \mathcal{A}_0 = \mathcal{F}^\infty$ for all $i \in \mathbb{N}$. Define \mathcal{A}_j for negative j inductively by $\mathcal{A}_{j-1} = \mathbf{C1} \oplus (\mathcal{K} \otimes \mathcal{A}_j)$. We fix a minimal projection R in \mathcal{K} and consider the sequence of embeddings

$$\mathcal{A}_0 \hookrightarrow \mathcal{A}_{-1} \hookrightarrow \mathcal{A}_{-2} \hookrightarrow \dots$$

defined by $\mathcal{A}_j \ni X \mapsto R \otimes X \in \mathcal{K} \otimes \mathcal{A}_j \subset \mathcal{A}_{j-1}$. Let \mathcal{C}_∞ be the inductive limit of this sequence. Clearly \mathcal{C}_∞ is an AF -algebra. If as above we let Φ be the automorphism of \mathcal{C}_∞ which is induced by the shift to the left on the above sequence (continued to positive integers) then $\mathcal{O}_\infty \cong PC^*(\mathcal{C}_\infty, \Phi)P$ where P is the unit of $\mathcal{A}_0 \subset C^*(\mathcal{C}_\infty, \Phi)$.

2.3. We have seen that \mathcal{O}_n ($n = 2, \dots, \infty$) is isomorphic to the crossed product of an AF -algebra by a single automorphism, cut down by a projection. By recent results of Connes [7, 6.8, 6.5, Theorem 6] and Choi and Effros [4, Corollary 3.2] this

proves that \mathcal{O}_n is nuclear. I am indebted to A. Connes and S. Sakai who called my attention to this fact. We show now that \mathcal{O}_n can not be obtained as an inductive limit of type I C*-algebras.

Proposition. *Let n be finite and let S_1, \dots, S_n be isometries on a Hilbert space \mathcal{H} satisfying $\sum_{i=1}^n S_i S_i^* = P \leq 1$. Suppose that $\mathcal{A} \subset \mathcal{L}(\mathcal{H})$ is a C*-algebra containing elements A_1, \dots, A_n such that $\|A_i - S_i\| < \varepsilon$. If ε is sufficiently (depending on n) small then there are $\tilde{A}_1, \dots, \tilde{A}_n \in \mathcal{A}$ such that $\tilde{A}_i^* \tilde{A}_i = 1$ and $\sum_{i=1}^n \tilde{A}_i \tilde{A}_i^* \leq 1$. If $P = 1$ then $\tilde{A}_1, \dots, \tilde{A}_n$ can be chosen such that the sum of the range projections of \tilde{A}_i equals 1.*

Proof. Let $\varepsilon < 1/10$. We have

$$\|A_i^* A_i - 1\| \leq \|A_i^* A_i - A_i^* S_i\| + \|A_i^* S_i - S_i^* S_i\| \leq (1 + \varepsilon)\varepsilon + \varepsilon < 3\varepsilon.$$

Hence $A_i^* A_i$ is invertible and

$$\|A_i - A_i(A_i^* A_i)^{-\frac{1}{2}}\| \leq \|A_i\| \|1 - A_i^* A_i^{-\frac{1}{2}}\| < (1 + \varepsilon)3\varepsilon < 4\varepsilon.$$

Now $V_i = A_i(A_i^* A_i)^{-\frac{1}{2}}$ is an isometry and

$$\|V_i V_i^* - S_i S_i^*\| \leq \|V_i V_i^* - S_i V_i^*\| + \|S_i V_i^* - S_i S_i^*\| < 5\varepsilon + 5\varepsilon = 10\varepsilon.$$

Further

$$\begin{aligned} \|(V_i V_i^*)(V_j V_j^*)\| &\leq \|(S_i S_i^*)(S_j S_j^*)\| + \|(S_i S_i^* - V_i V_i^*)S_j S_j^*\| \\ &\quad + \|V_i V_i^*(V_j V_j^* - S_j S_j^*)\| < 20\varepsilon \quad \text{for } i \neq j. \end{aligned}$$

Given $\delta > 0$, by [12, 1.7], if ε is sufficiently small there is a family of pairwise orthogonal projections E_1, \dots, E_n in \mathcal{A} such that $\|E_i - V_i V_i^*\| < \delta$. Then $\|E_i V_i - V_i\| < \delta$. Thus $V_i^* E_i V_i$ is invertible for small δ and the elements $\tilde{A}_i = (E_i V_i)(V_i^* E_i V_i)^{-\frac{1}{2}}$ are isometries. Moreover the elements $\tilde{A}_i \tilde{A}_i^* = E_i$ are pairwise orthogonal projections and $Q = \sum_{i=1}^n \tilde{A}_i \tilde{A}_i^*$ is a projection such that

$$\|Q - P\| = \left\| \sum_{i=1}^n (E_i - S_i S_i^*) \right\| \leq n(\delta + 10\varepsilon).$$

In particular $Q = 1$ if $P = 1$ and ε and δ are sufficiently small.

Corollary 1. *Let \mathcal{A} be a C*-subalgebra of \mathcal{O}_n (n finite) containing elements A_1, \dots, A_n such that $\|A_i - S_i\| < \varepsilon$. If ε is sufficiently (depending on n) small then any such \mathcal{A} must contain a C*-subalgebra which is isomorphic to \mathcal{O}_n .*

Corollary 2. *An infinite simple C*-algebra \mathcal{B} with unit can not be an inductive limit of type I C*-algebras.*

Proof. By [8, 2.2] \mathcal{B} contains isometries V_1, V_2 such that $V_1 V_1^* + V_2 V_2^* \leq 1$. Let \mathcal{A} be a C*-subalgebra of \mathcal{B} containing elements A_1, A_2 such that $\|A_j - V_j\| < \varepsilon$. If ε is sufficiently small, then \mathcal{A} contains isometries \tilde{A}_1, \tilde{A}_2 such that $\tilde{A}_1 \tilde{A}_1^* + \tilde{A}_2 \tilde{A}_2^* \leq 1$. Since a quotient of $C^*(\tilde{A}_1, \tilde{A}_2)$ is isomorphic to \mathcal{O}_2 (3.1) and \mathcal{O}_2 is clearly not of Type I, \mathcal{A} can not be of type I.

2.4. As \mathcal{O}_n is simple, so is $\mathcal{K} \otimes \mathcal{O}_n$. But $\mathcal{K} \otimes \mathcal{O}_n$ is even algebraically simple (i.e. has no non-trivial not necessarily closed two-sided ideals). This follows from the following general theorem.

Theorem. *Let \mathcal{A} be a simple C^* -algebra with unit. Then $\mathcal{K} \otimes \mathcal{A}$ is algebraically simple if and only if there is $k \in \mathbb{N}$ such that $\mathcal{M}_k \otimes \mathcal{A}$ is infinite.*

Proof. “Only if part”. We use the notation of [8]. Assume that $\mathcal{M}_k \otimes \mathcal{A}$ is finite and let P be a projection of dimension r and Q a projection of dimension 1 in \mathcal{M}_k . Then $(P \otimes \mathbf{1} / Q \otimes \mathbf{1}) = r$ in $\mathcal{M}_k \otimes \mathcal{A}$. In fact, we have $a = (P \otimes \mathbf{1} / Q \otimes \mathbf{1}) \leq r$. On the other hand $a < r$ would imply $(P \otimes \mathbf{1} / R \otimes \mathbf{1}) = 1$ for any projection $R \leq P$ of dimension a in \mathcal{M}_k . Since $P \otimes \mathbf{1}$ is a finite projection in $\mathcal{M}_k \otimes \mathcal{A}$ [8, 2.4], this is impossible [8, 2.1]. Assume now that $\mathcal{M}_k \otimes \mathcal{A}$ is finite for any $k \in \mathbb{N}$. If P is a projection of dimension r and Q a projection of dimension 1 in \mathcal{K} then $(P \otimes \mathbf{1} / Q \otimes \mathbf{1})$ in $\mathcal{K} \otimes \mathcal{A}$ equals $(P \otimes \mathbf{1} / Q \otimes \mathbf{1})$ in $(P \otimes \mathbf{1}) (\mathcal{K} \otimes \mathcal{A}) (P \otimes \mathbf{1}) \cong \mathcal{M}_r \otimes \mathcal{A}$ hence equals r (we may assume $Q \leq P$). Let P_1, P_2, \dots be a sequence of one-dimensional orthogonal projections in \mathcal{K} and let $H = \sum_{i=1}^{\infty} \lambda_i P_i$ where $\lambda_i > 0$ and $\lambda_i \rightarrow 0$.

Then for any $r \in \mathbb{N}$ and for any one-dimensional projection Q in \mathcal{K} we have

$$H \approx \sum_{i=1}^r P_i = A, \quad \text{and} \quad (H \otimes \mathbf{1} / Q \otimes \mathbf{1}) \geq (A, \otimes \mathbf{1} / Q \otimes \mathbf{1}) = r.$$

This shows that the ideal generated algebraically by $Q \otimes \mathbf{1}$ in $\mathcal{K} \otimes \mathcal{A}$ does not contain $H \otimes \mathbf{1}$.

“If part”. The proof is essentially contained already in [10, 3.1.4]. We have only to combine Dixmier’s argument with [8, 2.2]. We may assume that \mathcal{A} itself is infinite. Let E_1, E_2, \dots be a sequence of pairwise orthogonal one-dimensional projections in \mathcal{K} such that the sequence $\{H_k\}_{k=1}^{\infty}$, defined by $H_k = \sum_{i=1}^k E_i$, is an approximate identity for \mathcal{K} . It is easy to see that $H_k \otimes \mathbf{1}$ is an approximate identity for $\mathcal{K} \otimes \mathcal{A}$ (it is enough to check this for the algebraic tensor product of \mathcal{K} and \mathcal{A}).

Let \mathcal{J} be a non-zero ideal of $\mathcal{K} \otimes \mathcal{A}$. If $X \neq 0$ is in \mathcal{J} then there is k such that $(H_k \otimes \mathbf{1}) X (H_k \otimes \mathbf{1}) \neq 0$ hence there are $i, j, 1 \leq i, j \leq k$ such that $(E_i \otimes \mathbf{1}) X (E_j \otimes \mathbf{1}) \neq 0$. If $E_{ij} \in \mathcal{K}$ is a partial isometry with support projection E_j and range projection E_i then $(E_i \otimes \mathbf{1}) X (E_{ij} \otimes \mathbf{1})^*$ is in \mathcal{J} and is non-zero. Thus $\mathcal{J} \cap E_i \otimes \mathcal{A}$ is non-zero, hence equals $E_i \otimes \mathcal{A}$ since $\mathcal{A} \cong E_i \otimes \mathcal{A}$ is algebraically simple.

From [8, 2.2] using induction we get the existence of infinitely many pairwise orthogonal projections F_i and elements V_i in \mathcal{A} such that $V_i^* V_i = \mathbf{1}$ and $V_i V_i^* = F_i$ ($i = 1, 2, \dots$). We have $E_1 \otimes F_i \sim E_1 \otimes \mathbf{1} \sim E_i \otimes \mathbf{1}$ in $\mathcal{K} \otimes \mathcal{A}$. Let U_i be a partial isometry in $\mathcal{K} \otimes \mathcal{A}$ with range projection $E_1 \otimes F_i$ and support projection $E_i \otimes \mathbf{1}$. With $G_k = \sum_{i=1}^k F_i$ and $Y_k = \sum_{i=1}^k U_i$ we have $Y_k Y_k^* = E_1 \otimes G_k$ and $Y_k^* Y_k = H_k \otimes \mathbf{1}$.

To complete the proof it is enough to show that any positive element X of $\mathcal{K} \otimes \mathcal{A}$ is in \mathcal{J} . Since $(H_k \otimes \mathbf{1}) X^{\frac{1}{2}}$ is a Cauchy sequence also $Y_k X^{\frac{1}{2}}$ is a Cauchy sequence converging to an element Y of $\mathcal{K} \otimes \mathcal{A}$. Since $(E_1 \otimes \mathbf{1}) Y = Y$ and $E_1 \otimes \mathbf{1} \in \mathcal{J}$ we have $Y, Y^* \in \mathcal{J}$. Therefore $Y^* Y = X$ is in \mathcal{J} .

Remark. Let $A, B \in \mathcal{K} \otimes \mathcal{O}_n$ and $B \neq 0$. There are $i, j \in \mathbb{N}$ such that $(E_i \otimes \mathbf{1})B(E_j \otimes \mathbf{1}) \neq 0$. Let $C = (E_{i_1} \otimes \mathbf{1})(E_i \otimes \mathbf{1})B(E_j \otimes \mathbf{1})(E_{j_1} \otimes \mathbf{1})$ (E_{ij} = partial isometry in \mathcal{K} with support projection E_j and range projection E_i). Then $C \neq 0$ and $C \in E_1 \otimes \mathcal{O}_n$. There are F, G in \mathcal{O}_n such that $(E_1 \otimes F)C(E_1 \otimes G) = E_1 \otimes \mathbf{1}$ (1.13, 3.4).

Further there are X_1, \dots, X_r and Y_1, \dots, Y_r in $\mathcal{K} \otimes \mathcal{O}_n$ such that $A = \sum_{i=1}^r X_i(E_1 \otimes \mathbf{1})Y_i$ (the ideal generated by $E_1 \otimes \mathbf{1}$ in $\mathcal{K} \otimes \mathcal{O}_n$ consists exactly of all finite sums of this form). Let V_1, \dots, V_r be isometries in \mathcal{O}_n such that $V_1V_1^*, \dots, V_rV_r^*$ are pairwise orthogonal projections in \mathcal{O}_n . Then

$$A = \left(\sum_{i=1}^r X_i(E_1 \otimes V_i^*) \right) (E_1 \otimes \mathbf{1}) \left(\sum_{i=1}^r (E_1 \otimes V_i) Y_i \right).$$

Together this shows that there are $X, Y \in \mathcal{K} \otimes \mathcal{O}_n$ such that $A = XBY$.

3. Extensions of \mathcal{O}_n

3.1. Proposition. *Let V_1, \dots, V_n be isometries on a Hilbert space \mathcal{H} such that $\sum_{i=1}^n V_iV_i^* \leq \mathbf{1}$ (n finite). Then the projection $P = \mathbf{1} - \sum_{i=1}^n V_iV_i^*$ generates a closed two-sided ideal \mathcal{I} in $C^*(V_1, \dots, V_n)$ which is isomorphic to \mathcal{K} and contains P as a minimal projection. The quotient $C^*(V_1, \dots, V_n)/\mathcal{I}$ is isomorphic to \mathcal{O}_n .*

Proof. Define, given $\mu \in W_\infty^n$, an isometry V_μ in the same way S_μ was defined in Section 1. The closure of the set \mathcal{J} of all linear combinations of elements of the form $V_\mu P V_\nu^*$ ($\mu, \nu \in W_\infty^n$) is clearly a two-sided ideal in $C^*(V_1, \dots, V_n)$. On the other hand \mathcal{J} is contained in every two-sided ideal containing P .

Consider the product $X = (V_\mu P V_\nu^*) (V_\alpha P V_\beta^*)$ ($\mu, \nu, \alpha, \beta \in W_\infty^n$). After cancellation we have $V_\nu^* V_\alpha = V_\gamma V_\delta^*$ ($\gamma, \delta \in W_\infty^n$) in lowest terms (1.3). But $P V_\gamma V_\delta^* P \neq 0$ if and only if $V_\gamma V_\delta^* = \mathbf{1}$, since $P V_i = 0$ ($i = 1, \dots, n$). Thus $X \neq 0$ if and only if $P V_\nu^* V_\alpha P \neq 0$ if and only if $\nu = \alpha$ (1.2). Hence

$$(V_\mu P V_\nu^*) (V_\alpha P V_\beta^*) = \delta_{\nu\alpha} V_\mu P V_\beta^*$$

and

$$(V_\mu P V_\nu^*)^* = V_\nu P V_\mu^*.$$

In other words the set $\{V_\mu P V_\nu^* | \mu, \nu \in W_\infty^n\}$ is a self-adjoint system of matrix units generating \mathcal{J} . Therefore \mathcal{J} can be mapped isomorphically onto a dense star subalgebra of \mathcal{K} which is an inductive limit of finite-dimensional C^* -algebras, hence carries a unique C^* -norm. This mapping must be isometric and extends to an isomorphism of $\mathcal{J} = \overline{\mathcal{J}}$ onto \mathcal{K} .

Remark 1. It seems to be interesting to study more general extensions of \mathcal{O}_n by the compacts.

Remark 2. In the situation of the proposition, given i ($1 \leq i \leq n$) and $\mu, \nu \in W_\infty^n$, there is $k \in \mathbb{N}$ such that $V_i^{*k} V_\mu P V_\nu^* V_i^k = 0$. This shows that $V_i^{*k} A V_i^k$ tends to zero as $k \rightarrow \infty$ for each $A \in \mathcal{J}$.

3.2. Let \mathcal{A} be a simple C^* -algebra with unit. It follows by induction from [8, 2.2] that \mathcal{A} contains a sequence V_1, V_2, \dots of isometries satisfying $\sum_{i=1}^k V_i V_i^* \leq 1$ for every $k \in \mathbb{N}$. We know already from Section 1 that $C^*(V_1, V_2, \dots) \cong \mathcal{O}_\infty$. From 3.1 we see that $C^*(V_1, \dots, V_n)$ ($n \geq 2$ finite) contains a closed two-sided ideal \mathcal{J} such that $C^*(V_1, \dots, V_n)/\mathcal{J} \cong \mathcal{O}_n$. Therefore \mathcal{O}_∞ is contained (with the same unit) in \mathcal{A} and \mathcal{O}_n is for any finite $n \geq 2$ contained up to quotients in \mathcal{A} .

3.3. Consider $\mathcal{O}_2 = C^*(S_1, S_2)$. We put $\hat{S}_1 = S_1^2, \hat{S}_2 = S_1 S_2$, and $\hat{S}_3 = S_2$. Then $\hat{S}_i^* \hat{S}_i = 1$ and $\sum_{i=1}^3 \hat{S}_i \hat{S}_i^* = 1$ so that $\mathcal{O}_3 \cong C^*(\hat{S}_1, \hat{S}_2, \hat{S}_3) \subset \mathcal{O}_2$. By induction we get the following chain of inclusions

$$\mathcal{O}_2 \supset \mathcal{O}_3 \supset \mathcal{O}_4 \supset \dots \supset \mathcal{O}_\infty.$$

3.4. We use 3.1 to prove a version of 1.13 for \mathcal{O}_∞ .

Theorem. *Let X be a non-zero element of \mathcal{O}_∞ . Then there are $A, B \in \mathcal{O}_\infty$ such that $AXB = 1$.*

Proof. We may assume that $X \geq 0$ and $\|F_0(X)\| = 1$. Let Y be a positive element of the star algebra generated algebraically by S_1, S_2, \dots such that $\|X - Y\| < \varepsilon < 1/4$. Without loss of generality we may assume that $\|F_0(Y)\| = 1$.

There is a finite subset \mathbb{I} of \mathbb{N} such that Y is a linear combination of words in S_i, S_i^* ($i \in \mathbb{I}$). We assume that \mathcal{O}_∞ is represented on the Hilbert space \mathcal{H} and choose an isometry \hat{S} on \mathcal{H} such that $\hat{S}\hat{S}^* = 1 - \sum_{i \in \mathbb{I}} S_i S_i^*$. Further we fix $i_0 \in \mathbb{N}$ such that $i_0 \notin \mathbb{I}$. We consider the C^* -algebras \mathcal{A}_1 , generated by S_i ($i \in \mathbb{I}$) together with \hat{S} , and \mathcal{A}_2 , generated by S_i ($i \in \mathbb{I}$) together with S_{i_0} . The projection $P = 1 - \sum_{i \in \mathbb{I}} S_i S_i^* - S_{i_0} S_{i_0}^*$ generates a non-trivial closed two-sided ideal \mathcal{J} in \mathcal{A}_2 (3.1) and $\mathcal{A}_2/\mathcal{J}$ is canonically isomorphic to \mathcal{A}_1 (1.12).

We may assume that $1 \in \mathbb{I}$ and define \hat{F}_i in \mathcal{A}_1 with respect to S_1 and \tilde{F}_i in $\mathcal{A}_2/\mathcal{J}$ with respect to $q(S_1)$ (where $q: \mathcal{A}_2 \rightarrow \mathcal{A}_2/\mathcal{J}$ is the canonical mapping) in the same way in which F_i was defined in Section 1. Then $\hat{F}_0(Y) = F_0(Y)$ since Y is an expression in S_i, S_i^* ($i \in \mathbb{I}$) only. Therefore

$$\|\tilde{F}_0(q(Y))\| = \|\hat{F}_0(Y)\| = \|F_0(Y)\| = 1.$$

By the remark in 1.13 there are $A, B \in \mathcal{A}_2/\mathcal{J}$ such that $Aq(Y)B = 1$ and $\|A\|, \|B\| < 1 + \varepsilon$. Then A, B can be lifted to elements \tilde{A}, \tilde{B} in \mathcal{A}_2 such that $\|\tilde{A}\|, \|\tilde{B}\| < 1 + 2\varepsilon$. We have $\tilde{A}Y\tilde{B} = 1 + K$ with $K \in \mathcal{J}$. By Remark 2 in 3.1 we get $S_i^{*k}(\tilde{A}Y\tilde{B})S_i^k \rightarrow 1$ as $k \rightarrow \infty$ for each $i \in \mathbb{I}$. Since

$$\|S_i^{*k}(\tilde{A}X\tilde{B})S_i^k - S_i^{*k}(\tilde{A}Y\tilde{B})S_i^k\| < (1 + 2\varepsilon)^2 \varepsilon < 1$$

this shows that $S_i^{*k}(\tilde{A}X\tilde{B})S_i^k$ is invertible for sufficiently large k .

Acknowledgements. I am indebted to A. Connes, S. Sakai, and H. Behncke for very useful discussions and to the referee for several suggestions. I also wish to thank for their kind hospitality R. Kadison and the members of the Department of Mathematics at the University of Pennsylvania, where the last part of this work has been completed.

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Communicated by H. Araki

Received April 25, 1977; in revised form August 29, 1977