

Einstein-Kähler V -Metrics on Open Satake V -Surfaces with Isolated Quotient Singularities

Ryoichi Kobayashi

Mathematical Institute, Tohoku University, Sendai 980, Japan

Dedicated to the memory of the late Professor Takehiko Miyata

0. Introduction

In [A] and [Y1], Aubin and Yau proved the existence of a Ricci-negative Einstein-Kähler metric on compact complex manifolds with ample canonical bundles. The purpose of this paper is to generalize the Aubin-Yau theorem to the category of open Satake V -surfaces with isolated quotient singularities. We note that this category includes as a special case the logarithmic canonical models of minimal surfaces of logarithmic general type which are treated in [S, K1, K2, K2 Added in Proof]. In this paper, we use the terminology “Satake V -manifolds” in the following sense. A complex space X is called a (Satake) V -manifold if it has at worst isolated quotient singularities. Let X be a V -manifold and ds^2 a Riemannian metric defined in the regular part of X . ds^2 is called a V -metric if we obtain it by pushing down a smooth metric defined in local uniformizations. Therefore a V -metric looks like a smooth metric in terms of local uniformizing coordinates. Two-dimensional V -manifolds are called V -surfaces. Our main result is:

Theorem 1. *Let X be a compact complex surface with at worst isolated quotient singularities and C a divisor which lies in the regular part of X with at worst normal crossings. Let $\bar{X} \rightarrow X$ be the minimal resolution of X and $D = \sum_i D_i$ its exceptional divisor. We can determine the nonnegative rational numbers μ_i by requiring $K_{\bar{X}} + \sum_i \mu_i D_i$ to be trivial near D . Assume the following conditions are satisfied:*

- (1) $(K_{\bar{X}} + C) \cdot C_j \geq 0$ for every irreducible component C_j of C ;
- (2) the divisor consisting of C_j 's with $(K_{\bar{X}} + C) \cdot C_j > 0$ has at worst simple normal crossings;
- (3) there is a no (-1) -curve (exceptional curve of the first kind) which meets $\text{Supp}(C)$ at most one point;
- (4) for every (-2) -curve $E \notin \text{Supp}(D)$ which meets D , there exists a component D_i of D meeting E with $\mu_i > 0$.

If $\kappa(K_{\bar{X}} + D + C) = 2$, then there exists a complete Ricci-negative Einstein-Kähler V -metric on $X - C$ with finite volume, which is unique up to multiplication by positive numbers.

Let B^2 be the open unit ball in \mathbb{C}^2 and Γ a discrete subgroup of $PSU(2, 1)$ acting on B^2 properly discontinuously with at worst isolated fixed points. The ball-metric is Einstein-Kähler whose holomorphic sectional curvature is negative and constant, which is invariant under the action of $PSU(2, 1)$. Assume the volume of $X' = \Gamma \backslash B^2$ is finite. Then we can compactify X' by adding a finite number of cusp points. Let X be the partial minimal resolution of X' over the cusp points and C the exceptional divisor. C consists of disjoint elliptic curves. (X, C) with the ball-metric yields an example of Theorem 1. Hirzebruch-Mumford's proportionality theorem tells us that the following equality

$$\left(K_{\bar{X}} + \sum_i \mu_i D_i + C\right)^2 = 3 \left\{ e(\bar{X}) - e(C) - e(D) + \sum_p (1/|G_p|) \right\}$$

holds, where $\{p\}$ runs over the quotient singularities of X . We obtain the following uniformization theorem by integrating the pointwise deviation of the canonical Einstein-Kähler V -metric in Theorem 1 from the ball-metric (cf. the proof of Theorem 2 in [K2]). It is a converse of the proportionality theorem.

Theorem 2. *Let X, C, \bar{X}, D be as in Theorem 1. If we assume $\kappa(K_{\bar{X}} + D + C) = 2$ and conditions (1) (2) (3) (4) in Theorem 1, then we have the following inequality*

$$\left(K_{\bar{X}} + \sum_i \mu_i D_i + C\right)^2 \leq 3 \left\{ e(\bar{X}) - e(C) - e(D) + \sum_p (1/|G_p|) \right\},$$

where $\{p\}$ runs over the quotient singularities of X and G_p is the finite subgroup of $U(2)$ corresponding to p . The equality holds if and only if the universal covering of the regular part of $X - C$ is biholomorphic to the open unit ball B^2 in \mathbb{C}^2 minus a discrete set of points. In other words, the equality occurs if and only if there exists a discrete subgroup Γ of $PSU(2, 1)$ which acts on B^2 properly discontinuously with at worst isolated fixed points such that $\bar{X} - C$ is biholomorphic to the minimal resolution of $\Gamma \backslash B^2$.

The inequality part of Theorem 2 was first proved in [M] using algebraic geometry. The advantage of our differential geometric proof of Theorem 2, whose original is Yau's method in the proof of Calabi's conjecture [Y 1], gives us a point of view to regard the inequality as the precise deviation of the canonical Einstein-Kähler V -metric from the ball-metric.

1. Remarks and Examples

1. If $C = \emptyset$ and D contains only (-2) -curves, Theorem 1 implies the existence of the canonical Einstein-Kähler V -metric on the canonical model X of \bar{X} , where \bar{X} is a minimal surface of general type (cf. [K1]). The first Chern class $c_1(\bar{X})$ of \bar{X} is represented by the Kähler form of the canonical Einstein-Kähler V -metric in the current sense. In this case, the inequality in Theorem 2 becomes

$$0 \leq 3 \left\{ \sum_p e(D_p) - (1/|G_p|) \right\} \leq 3c_2(\bar{X}) - c_1^2(\bar{X}),$$

where p runs over the rational double points on X and D_p is the corresponding exceptional set. The values of the invariant $k(p) = e(D_p) - (1/|G_p|)$ is $n(n+2)/(n+1)$, $(4m^2 - 4m - 9)/4(m-2)$, $167/24$, $383/48$, $1079/120$, according as p (or D_p) is of type

A_n, D_m, E_6, E_7, E_8 , respectively. Therefore the first inequality becomes equality if and only if $K_{\bar{X}}$ is ample and the second inequality becomes equality if and only if there exists a discrete group $\Gamma \subset PSU(2, 1)$ which acts on B^2 properly discontinuously with at worst isolated fixed points such that \bar{X} is biholomorphic to the minimal resolution of $\Gamma \backslash B^2$. The following example is due to Hirzebruch: Let X' be a surface in $P_4(\mathbb{C})$ defined by $\sum_{i=0}^4 Z_i^5 = 0$ and $\sum_{i=0}^4 Z_i^{15} = 0$. X' has 50 singularities each of which is resolved in a smooth curve of genus 6 with self-intersection number -5 and 1875 rational double points of type A_4 . Let X be the partial minimal resolution over the former 50 singularities and \bar{X} the full minimal resolution. Then \bar{X} is of general type and X is its canonical model. It is shown that $3c_2(\bar{X}) - c_1^2(\bar{X}) = 27,000$ and $k(A_4) = 24/5$. The middle term of the above inequality is $3 \times 1875 \times (24/5) = 27,000$. Hence we obtain X by taking the quotient variety of B^2 with respect to some discrete subgroup Γ of $PSU(2, 1)$ with isolated fixed points of type A_4 .

2. In [H2], Hirzebruch constructed the following example. There is a sequence $X_n (n=2, 3, \dots)$ of minimal surfaces of general type with the following properties: $c_2(X_n) = n^7$, $3c_2(X_n) - c_1^2(X_n) = 4n^5$. X_n carries $4n^4$ smooth disjoint elliptic curves C_n . The pair $(X, C) = (X_n, C_n)$ satisfies the assumptions in Theorem 2 and the equality

$$(K_{X_n} + C_n)^2 = 3e(X_n - C_n) = 3n^7$$

holds. Therefore the universal covering of $X_n - C_n$ is biholomorphic to B^2 .

3. Hirzebruch presented the following example in his lecture at Osaka University, 1984. Let $L = \bigcup_{j=1}^{21} L_j$ be a line configuration on $P_2(\mathbb{C})$ such that L has 28 triple points and 21 quadruple points. Write $l_j = 0$ for the defining equation of L_j . Let X' be a surface obtained by blowing up all r -ple points ($r \geq 3$) in the line configuration. Let $\bar{X} \rightarrow X$ be the Abelian covering of order 2^{20} obtained from the Abelian extension

$$\mathbb{C}(P_2(\mathbb{C}))(\sqrt{l_2/l_1}, \dots, \sqrt{l_{21}/l_1})/\mathbb{C}(P_2(\mathbb{C})).$$

It is shown that \bar{X} contains $28 \cdot 2^{17}$ disjoint (-2) -curves D_i and $21 \cdot 2^{16}$ disjoint elliptic curves C_i with $C_i \cdot C_i = -4$. Let X be obtained by contracting these $28 \cdot 2^{17}$ (-2) -curves. Then $((X, C_i), \bar{X}, D_i)$ satisfies the assumptions in Theorem 2. The numerical invariants of \bar{X} are

$$3c_2(\bar{X}) - c_1^2(\bar{X}) = 21 \cdot 2^{20} \quad (\text{using Höfer's formula [Hö]})$$

$$\sum_i C_i \cdot C_i = (-4) \cdot 21 \cdot 2^{16},$$

$$\sum_i e(D_i) - (1/|G_i|) = (2 - 1/2) \cdot 28 \cdot 2^{17} = 21 \cdot 2^{18}.$$

Therefore

$$\begin{aligned} & \left(K_{\bar{X}} + \sum_i C_i \right)^2 - 3 \left\{ c_2(\bar{X}) - \sum_i e(C_i) - \sum_i e(D_i) + (1/|G_i|) \right\} \\ &= -21 \cdot 2^{20} + 4 \cdot 21 \cdot 2^{16} + 3 \cdot 21 \cdot 2^{18} = 0. \end{aligned}$$

It follows from Theorem 2 that $\bar{X} - C_i$ is biholomorphic to the minimal resolution of $\Gamma \backslash B^2$ for some discrete subgroup $\Gamma \subset PSU(2, 1)$ which acts on B^2 properly discontinuously with isolated fixed points of type A_1 .

2. Zariski Decomposition

Let D be a rational divisor $\in \text{Div}(X) \otimes \mathbb{Q}$ on a smooth surface X . D is a formal sum $\sum_{i=1}^{\infty} d_i D_i$, where $d_i \in \mathbb{Q}$ and $\{D_i\}_{i \in \mathbb{Z}}$ a locally finite sequence of irreducible curves on X . We call D effective if all d_i 's are non-negative and not all zero. Assume $D \in \text{Div}(X) \otimes \mathbb{Q}$ is effective. Then the Zariski Decomposition Theorem is:

Theorem A (Theorem 7.7 in [Z]). *There exists one and only one effective rational divisor N having the following properties:*

- (1) *Either $N = 0$ or the intersection matrix of the curve in $\text{Supp}(N)$ is negative definite;*
- (2) *$D - N$ is numerically effective, i.e., the intersection number with every irreducible curve is non-negative;*
- (3) *$(D - N) \cdot N = 0$;*

Furthermore we have necessarily $P := D - N$ is an effective rational divisor.

The decomposition $D = P + N$ is called the Zariski decomposition. We call P and N the positive and negative part, respectively. We shall use the following result due to Zariski (cf. p. 612 of [Z]):

Theorem B [Z]. *Let D be an effective divisor with the Zariski decomposition $D = P + N$. If B_n denotes the fixed component of $|nD|$, then under the assumption that $\dim |hD| > 0$ for some h , the divisor $\bar{B}_n = B_n - nN$ is effective and is bounded from above in n , i.e., the number of irreducible curves which appears in \bar{B}_n is bounded in n and the coefficients of these curves are bounded in n . If in addition D is numerically effective, then B_n is bounded above in n .*

We collect some Lemmas on effective divisors on a compact smooth surface.

Lemma 1. *Let L be an effective divisor and $L = P + N$ the Zariski decomposition. Then if $\kappa(L) \geq 1$, we have $\kappa(L) = \kappa(P)$.*

Proof. Let B_m be the fixed component of $|mL|$. It follows from Theorem B that $B_m = mN + \bar{B}$, where \bar{B} is an effective divisor. Thus we get a natural isomorphism $H^0(X, \mathcal{O}(mL)) \cong H^0(X, \mathcal{O}(mP))$ if mP is an integral divisor. Q.E.D.

Lemma 2. *If L is an effective divisor and B the fixed component of $|L|$. Then $(L - B) \cdot B \geq 0$.*

Proof. Let $B = \sum_{i=1}^r B_i$ be the decomposition into irreducible components. For every i , there is a holomorphic section s_i of $[L - B]$ such that B_i is not a component of (s_i) . Pick a point p_i from $B_i \setminus (\bigcup_{j \neq i} B_j)$ so that $s_i(p_i) \neq 0$. Clearly we can choose complex numbers a_i for $i = 1, \dots, r$ such that $\sum_{i=1}^r a_i s_i(p_j) \neq 0$ for every j . Let $E = \left(\sum_{i=1}^r a_i s_i \right) \in |L - B|$. Then no B_i is a component of E . Thus $(L - B) \cdot B = E \cdot B \geq 0$. Q.E.D.

For an effective divisor L we define a rational map $\Phi_{|L|}$ of X into $P_N(\mathbb{C})$ by $\Phi_{|L|}(x) = (\sigma_0(x) : \dots : \sigma_N(x)) \in P_N(\mathbb{C})$, where $\{\sigma_0, \dots, \sigma_N\}$ is a \mathbb{C} -basis for $H^0(X, \mathcal{O}(L))$ and $N = \dim|L| + 1$.

Lemma 3. *Let L be a divisor which is effective and numerically effective. Then $\kappa(L) = 2$ if and only if $L^2 > 0$.*

Proof. If part follows from the Riemann-Roch formula. To prove only-if part, let B_m be the fixed component of mL . It follows from Theorems A and B that B_m is bounded above in m . Since $h^0(X, \mathcal{O}(mL - B_m)) = h^0(X, \mathcal{O}(mL)) \rightarrow \infty$ as $m \rightarrow \infty$, we must have $(mL - B_m)^2 \geq 0$. Since L is numerically effective, we have $L \cdot B_m \geq 0$. It follows from Lemma 2 that $(mL - B_m) \cdot B_m \geq 0$. Now suppose $L^2 = 0$. Then $0 = (mL)^2 = (mL) \cdot B_m + (mL - B_m)^2 + (mL - B_m) \cdot B_m \geq 0$. Hence we must have $(mL - B_m)^2 = 0$. This implies that $|mL - B_m|$ has no base points. Therefore $\Phi_{|mL - B_m|}$ is a holomorphic mapping into some $P_N(\mathbb{C})$. Since $\kappa(mL - B_m) = \kappa(mL) = 2$, we see that $(mL - B_m)^2 =$ "the volume of $\Phi_{|mL - B_m|}(X)$ with respect to the Fubini-Study metric" > 0 . Contradiction. Hence we get $L^2 > 0$. Q.E.D.

Now let X, C, \bar{X}, D be as in Theorem 1. We assume the conditions (1) to (4) in Theorem 1. Furthermore we assume $\kappa(K_{\bar{X}} + D + C) = 2$, i.e., $h^0(\bar{X}, \mathcal{O}(K_{\bar{X}} + D + C))$ grows like m^2 . Let $K_{\bar{X}} + D + C = P + N$ be the Zariski decomposition. We decompose D into irreducible components D_i ($1 \leq i \leq s$). It is well known that each D_i is $P_1(\mathbb{C})$ (cf. [B]). Let $\mu_i \in \mathbb{Q}$ be defined by requiring $K_{\bar{X}} + \sum_{i=1}^s \mu_i D_i$ should be trivial near D . μ_i 's are uniquely determined and $0 \leq \mu_i < 1$. It is justified in the following argument: Let $\pi : (Y, D) \rightarrow (X, p)$ be the minimal resolution of a quotient singularity $(X, p) = (G \backslash B, G \cdot 0)$ where B is a ball and G is a finite subgroup of $U(2)$ with only one fixed point 0. Set $h = |G|$. Then $(dx \wedge dy)^h$ is a G -invariant h -ple holomorphic 2-form on B . Thus there exists a h -ple holomorphic 2-form ω on $Y - D$. On the other hand, since $h^1(Y, \mathcal{O}) = \dim R^1 \pi_* \mathcal{O} = 0$ and $H^2(Y, \mathcal{O}) = 0$, we have $H^1(Y, \mathcal{O}^*) = H^2(Y, \mathbb{Z}) = \mathbb{Z}^s$. Thus every element of $H^1(Y, \mathcal{O}^*)$ can be written as $\sum_{i=1}^s \lambda_i D_i$ for some rational numbers λ_i . Hence ω must be a h -ple meromorphic 2-form on Y . Since ω is locally $L^{2/h}$ integrable at D , the order of the pole along D is at most $h - 1$. Hence, if $(\omega) = \sum_{i=1}^s \lambda_i D_i$, we have $-h < \lambda_i$. μ_i 's corresponding to $K_{\bar{X}}$ are determined in the following way: Set $a_i = K_{\bar{X}} \cdot D_i$, $a_{ij} = D_i \cdot D_j$. Then $a_i = \sum_{j=1}^s a_{ij} \lambda_j$. It is easily shown that if every $a_i \geq 0$, then every $\lambda_i \leq 0$. Here, we have used the fact that (a_{ij}) is symmetric and negative definite with $a_{ij} > 0$ for $i \neq j$ and $a_{ii} < 0$. Since every D_i is a rational curve with self-intersection number -2 , we have $a_i \geq 0$. Thus $-1 < \lambda_i/h = \mu_i \leq 0$.

Lemma 4. *Let $K_{\bar{X}} + D + C = P + N$ be the Zariski decomposition. Then*

$$P = K_{\bar{X}} + \sum_{i=1}^s \mu_i D_i + C$$

and

$$N = \sum_{i=1}^s (1 - \mu_i) D_i.$$

where every μ_i is a non-negative rational number less than one and is determined uniquely by requiring that $K_{\bar{X}} + \sum_i \mu_i D_i$ should be trivial near D .

Proof. Set $N = \sum_{i=1}^s (1 - \alpha_i) D_i + \sum_{j=1}^r \beta_j B_j$, where α_i, β_j are rational numbers with $\alpha_i \leq 1, \beta_j \geq 0$ and B_j are irreducible curves on \bar{X} . We rewrite this as $N = \sum_{i=1}^s (1 - \mu_i) D_i + E - F + G$. Then $P = K_{\bar{X}} + \sum_{i=1}^s \mu_i D_i + C - E + F - G$, where E, F, G are defined by $\sum_{\mu_k > \alpha_k} (\mu_k - \alpha_k) D_k, \sum_{\mu_l < \alpha_l} (\alpha_l - \mu_l) D_l, \sum_{j=1}^r \beta_j B_j$, respectively. Since P is numerically effective, we have $P \cdot \left(\sum_i (1 - \mu_i) D_i + E + G \right) \geq 0$. Since $K_{\bar{X}} + \sum_i \mu_i D_i \sim 0$ near $D, C \cap D = \emptyset$ and the intersection matrix of $\text{Supp}(D)$ is negative definite, we get $P \cdot F \leq F^2 \leq 0$. But $P \cdot \left(\sum_i (1 - \mu_i) D_i + E - F + G \right) = P \cdot N = 0$. Thus we get $\mu_i \geq \alpha_i$ for all i . Hence P can be written as $P = K_{\bar{X}} + \sum_i \mu_i D_i + C - \sum_i (\mu_i - \alpha_i) D_i - \sum_j \beta_j B_j$ with $\alpha_i \leq \mu_i$ and $\beta_j \geq 0$. We claim that $P' = K_{\bar{X}} + \sum_i \mu_i D_i + C$ is numerically effective. Note that P' is effective. If E is a curve contained in $\text{Supp}(C + D)$, we have $P' \cdot E \geq 0$ by our assumption. If E is a curve which is not a component of $\text{Supp}(C + D)$, then $P' \cdot E$ must be non-negative. Indeed, if otherwise, we have $K_{\bar{X}} \cdot E < 0$ and $E^2 < 0$. Thus E is a (-1) -curve with $C \cdot E = 0$ which has been excluded by our assumption. Therefore P' is numerically effective. From the uniqueness of the Zariski decomposition, $P = P'$. Q.E.D.

Proposition 1. Under the assumption of Theorem 1, P has the following properties:

- (1) $P^2 > 0$;
- (2) P is numerically effective;
- (3) For every irreducible curve E on $\bar{X}, P \cdot E = 0$ holds only if E is a component of $\text{Supp}(D + C)$.

Proof. From Lemmas 1, 3, and 4, we have $P^2 > 0$. (2) is clear. If E is an irreducible curve which is not a component of $\text{Supp}(D + C)$ and $P \cdot E = 0$, then $K_{\bar{X}} \cdot E \leq 0$ and $E^2 < 0$. Thus E is a (-1) -curve with $C \cdot E \leq 1$ or a (-2) -curve which is not contained in $\text{Supp}(D)$. But both have been excluded by our assumption. Q.E.D.

Let m be a positive integer such that mP is an integral divisor. We write \mathcal{E} for the union of all irreducible curves E with $P \cdot E = 0$, and $\mathcal{E} = \sum \mathcal{E}_\nu$ the decomposition into connected components in the usual topology.

Proposition 2 (cf. Theorem (5.8) in [S]). Under the assumption of Theorem 1, there exists a positive number $n_0 = n_0(\bar{X}, D, C)$ with the following properties: for any integer $n > n_0$, (i) $|nmP|$ has no base points; (ii) If $N + 1 = h^0(\bar{X}, \mathcal{O}(nmP))$ and $\{\sigma_0, \dots, \sigma_N\}$ is a \mathbb{C} -basis for $H^0(\bar{X}, \mathcal{O}(nmP))$, then the map $\Phi = \Phi_{|nmP|} : \bar{X} \rightarrow P_N(\mathbb{C})$ is a holomorphic map whose restriction to $\bar{X} - \mathcal{E}$ is a biholomorphic map onto its image and $\Phi^{-1}\Phi(z)$ is equal to either z or \mathcal{E}_ν , according to $z \in \bar{X} - \mathcal{E}$ or $z \in \mathcal{E}_\nu$, respectively.

Proof. The proof is almost the same as that of Theorem (5.8) in [S]. In 105–110 of [S], Sakai proved a sequence of Lemmas concerning $m\Gamma$ where Γ is the adjoint divisor of a semi-stable curve C . It is easy to modify these Lemmas into suitable forms to our purposes. Roughly speaking, these Lemmas remain valid if we replace Γ by our mP , where $P = K_{\bar{X}} + \sum_i \mu_i D_i + C$. Q.E.D.

3. Proof of Theorem 1

From Proposition 2, we get the following:

Lemma 5. *Under the assumption of Theorem 1, there exists a real closed $(1, 1)$ form γ representing $c_1(mP)$ in the de Rham cohomology having the following properties:*

(i) γ is positive definite outside of \mathcal{E} ; (ii) For any irreducible component E of \mathcal{E} , $i_E^* \gamma$ vanishes where i_E is the inclusion of E into \bar{X} .

Proof. Set $n\gamma = \Phi^*$ [the Fubini-Study metric form on $P_N(\mathbb{C})$] for large m . Then γ has the required properties. Q.E.D.

From here on, we regard X as a Satake V -surface.

Definition. Let p be a singular point of X , and G the finite subgroup of $U(2)$ corresponding p . There is a strictly pseudo-convex neighborhood U of p such that $(U, p) \cong (G \setminus B, G \cdot 0)$, where B is a ball with center 0. We call the quotient map $B \rightarrow U$ with respect to G a *local uniformization* around p . Let T be a smooth tensor field on the regular part of X . We call T a $V - C^\infty$ tensor field if we obtain T by pushing down a C^∞ tensor field in terms of local uniformizations.

Let $D = \sum_\mu D_\mu$, $C = \sum_\beta C_\beta$ be the decomposition into connected components, and $D = \sum_i D_i$, $C = \sum_m C_m$ the decomposition into irreducible components. For each i, m , let s_i and t_m be the holomorphic sections of $[D_i]$ and $[C_m]$ such that $(s_i) = D_i$ and $(t_m) = C_m$. There exist a smooth volume form Ω on \bar{X} , Hermitian metrics for $[D_i]$ and $[C_m]$ such that the singular volume form $\Omega' = \Omega / \prod_{i,m} |s_i|^{2\mu_i} |t_m|^2$ satisfies $\gamma = -\text{Ric} \Omega'$, where γ is as in Lemma 5 and $\text{Ric} V = -\sqrt{-1} \partial \bar{\partial} \log v$ for a volume form $V = v \prod_i (\sqrt{-1} dz^i \wedge d\bar{z}^i)$. Now let $(U, p) \cong (G \setminus B, G \cdot 0)$ be a neighborhood of a singular point of X and (\tilde{U}, D_μ) its minimal resolution. Since the usual flat volume element is $U(2)$ invariant, we can push this down to $U - \{p\} = \tilde{U} - D_\mu$. We write v_μ for the resulting volume form on $U - \{p\}$. From the arguments just before Lemma 4, we see that there is a C^∞ function \tilde{h}_μ defined in \tilde{U} such that $\Omega' = \tilde{h}_\mu v_\mu$ on \tilde{U} . We define a C^∞ function h_μ on $B - \{0\}$ by $\pi^*(\tilde{h}_\mu | U - \{p\})$, where $\pi: B \rightarrow U$ is the quotient map. On the other hand, let \hat{h}_μ be a local Kähler potential for the Fubini-Study form on $P_N(\mathbb{C})$. Thus we get a C^∞ function $h'_\mu = \pi^* \Phi^* \hat{h}_\mu$ on B . Since $\partial \bar{\partial} h_\mu = \partial \bar{\partial} h'_\mu$ on $B - \{0\}$, $h'_\mu \in C^\infty(B)$, and $h_\mu \in C^\infty(B - \{0\})$, we must have $h_\mu \in C^\infty(B)$. Summing up, we get the following:

Lemma 6. $\Omega' = \Omega / \prod_{i,m} |s_i|^{2\mu_i} |t_m|^2$ is a $V - C^\infty$ volume form on $X - C$.

The connected components \mathcal{E}_v of \mathcal{E} are classified in the following:

Lemma 7 (cf. Lemma 1 of [K2]). *Each \mathcal{E}_v is one of the following five types:*

- (1) $\mathcal{E}_v = D_\mu$ for some μ ;
- If \mathcal{E}_v is contained in C , \mathcal{E}_v is one of the followings:
 - (2) a smooth elliptic curve with negative self-intersection number;
 - (3) a cycle of smooth rational curves with self-intersection numbers ≤ -2 and some of them ≤ -3 ;
 - (4) a rational curve with a node with negative self-intersection number;
 - (5) a chain of smooth rational curves with self-intersection numbers ≤ -2 .

Proof. See the proof of Lemma 1 in [K2]. Q.E.D

Lemma 8. *Let $(Y, A) \rightarrow (X, p)$ be the minimal resolution of an isolated 2-dimensional singularity where A is a smooth elliptic curve with self-intersection number $-b < 0$. Then by replacing X by an appropriate neighborhood of p if necessary, we can express $X - \{p\}$ as an orbit space in the following way: There exist a positive number a , real numbers α, β and a lattice \mathcal{L} in \mathbb{C} defined by $\{m + n\omega; m, n \in \mathbb{Z}, \text{Im}(\omega) = a\}$ such that*

$$Y - A = X - \{p\} \cong \Gamma \backslash W,$$

where $W = \{(u, v) \in \mathbb{C}^2; \text{Im}(u) - |v|^2 > L\}$ for some positive number L ,

$$\Gamma = \left\{ \begin{pmatrix} 1 & 2i\bar{v} & i|\gamma|^2 - 2h(\gamma) \\ 0 & 1 & \gamma \\ 0 & 0 & 1 \end{pmatrix}; \begin{array}{l} \gamma \text{ runs over } \mathcal{L}, \text{ and for each } \gamma = m + n\omega, \\ h(\gamma) \text{ runs over the class of } m\alpha + n\beta - mna \\ \text{modulo } (2a/b)\mathbb{Z}. \end{array} \right\}.$$

The action of the above matrix is to send (u, v) into $(u + 2i\bar{v}v + i|\gamma|^2 - 2h(\gamma), v + \gamma)$.

Proof. See the proof of Lemmas 4 and 5 in [K2]. Q.E.D.

Since $\mathcal{S} = \{(u, v) \in \mathbb{C}^2; \text{Im}(u) - |v|^2 > 0\}$ is biholomorphic to B^2 , \mathcal{S} admits a complete Kähler metric form with constant holomorphic curvature. The Kähler potential of this metric form is given by $\log F$, where $F(u, v) = (\text{Im}(u) - |v|^2)^{-1}$. Since $F(u, v)^{-1}$ is invariant under the action of Γ , this projects down to a function f_A on $X - \{p\}$. Thus we obtain in a canonical way the function f_A having the property that $-\sqrt{-1} \partial\bar{\partial} \log f_A$ is the ball metric near A . Let $\{\mathcal{E}_{v(1)}\}$ be the union of connected components of \mathcal{E} of type (2) in Lemma 7. For each $v(1)$, we have got in a canonical way the function $f_{v(1)}$ defined in a neighborhood of $\mathcal{E}_{v(1)}$ minus $\mathcal{E}_{v(1)}$ whose $-\sqrt{-1} \partial\bar{\partial} \log$ is the ball-metric near $\mathcal{E}_{v(1)}$.

Lemma 9. *Let $(Y, B) \rightarrow (X, p)$ be the minimal resolution of an isolated 2-dimensional singularity where B is a cycle $\sum_{i=1}^{r-1} B_i$ of \mathbb{P}^1 's with $-B_i \cdot B_i = b_i \geq 2$, some $b_i \geq 3$ and $r \geq 2$ or a rational curve B_0 with a node with $-B_0 \cdot B_0 = b_0 \geq 1$. Let w_k be an irrational quadratic number defined by $\lim_{s \rightarrow \infty} \{q_k - (q_{k-1} - (\dots - (q_{s-1} - q_s^{-1})^{-1} \dots)^{-1})^{-1}\}$, where $\{q_k\}$ is a periodic sequence with period r defined by $q_k = b_k$ for $0 \leq k \leq r-1$ when $r \geq 2$ or $q_0 = b_0 + 2$ when $r = 1$. Let $\{R_k\}_{k \in \mathbb{Z}}$ be a sequence defined by $R_{-1} = w_0, R_0 = 1, q_k R_k = R_{k-1} + R_{k+1}$ for $k \in \mathbb{Z}$. Let M be a free \mathbb{Z} -module of rank 2 generated*

by 1 and w_0 , V the infinite cyclic multiplicative group generated by R_r . Then by replacing X by an appropriate neighborhood of p if necessary, we obtain

$$Y - B = X - \{p\} \cong \Gamma \backslash W,$$

where $W = \{(z_1, z_2) \in \mathbb{C}^2; y_1 > 0, y_2 > 0, y_1 y_2 > L, \text{ where } z_i = x_i + \sqrt{-1} y_i\}$, for some positive number L , and

$$\Gamma = \left\{ \begin{pmatrix} \varepsilon & \mu \\ 0 & 1 \end{pmatrix}; \varepsilon \in V, \mu \in M \right\}.$$

The action of the above matrix is to send (z_1, z_2) into $(\varepsilon z_1 + \mu, \varepsilon' z_2 + \mu')$, where $'$ means to take the conjugate over \mathbb{Q} .

Proof. See [H1]. Q.E.D.

The product metric of the Poincaré metric on $H^2 = \{(z_1, z_2) \in \mathbb{C}^2; \text{Im}(z_1) > 0, \text{Im}(z_2) > 0\}$ is given by $\bar{i} \partial \bar{\partial} \log F$, with $F(z_1, z_2) = (\text{Im}(z_1) \text{Im}(z_2))^{-1}$ which is invariant under the action of Γ , we obtain in a canonical way the function f_B defined on $X - \{p\}$ whose $\bar{i} \partial \bar{\partial} \log$ is the H^2 -metric near B . Let $\{\mathcal{E}_{v(2)}\}$ be the union of \mathcal{E}_v of type (3) or (4) in Lemma 7. For each $v(2)$, we have got in a canonical way the function $f_{v(2)}$ defined in a neighborhood of $\mathcal{E}_{v(2)}$ minus $\mathcal{E}_{v(2)}$ whose $-\bar{i} \partial \bar{\partial} \log$ is the H^2 -metric near $\mathcal{E}_{v(2)}$.

Lemma 10. Let $(Y, E) \rightarrow (X, p)$ be the minimal resolution of an isolated 2-dimensional singularity where $E = \sum_{k=1}^r E_k$ is a chain of \mathbb{P}^1 's with $b_k = -E_k \cdot E_k \geq 2$. Let n/q be the irreducible representation of $b_1 - (b_2 - (\dots (b_{r-1} - b_r^{-1})^{-1} \dots)^{-1})^{-1}$ and Γ the cyclic group generated by $\begin{pmatrix} \xi & 0 \\ 0 & \xi^q \end{pmatrix}$, where $\xi = \exp(2\pi i/n)$. Then have $(X, p) \cong (\Gamma \backslash B, \Gamma \cdot 0)$ by replacing X by an appropriate neighborhood of x if necessary.

Proof. See for example [B]. Q.E.D.

The product metric of the Poincaré metrics on the unit punctured disk on D^{*2} has a Kähler potential $F(z_1, z_2) = (\log|z_1|^{-2})^{-1} (\log|z_2|^{-2})^{-1}$, which is invariant under the action of Γ . Thus we get in a canonical way the function f'_E whose $-\bar{i} \partial \bar{\partial} \log$ is the D^{*2} -metric near E . Let E_0 and E_{r+1} be the irreducible components of $C - \mathcal{E}_{v(3)}$ intersecting E_1 and E_r , respectively, where $E = \mathcal{E}_{v(3)}$, one of the \mathcal{E}_v of type (5) in Lemma 7. We can extend f'_E to the function f_E defined in a deleted neighborhood of $E_0 \cup E \cup E_{r+1}$ which is written as $\log|\sigma_0|^2$ and $\log|\sigma_{r+1}|^2$ in the newly added domain of definition of f_E near E_0 and E_{r+1} , respectively.

Definition. Let V be a domain in \mathbb{C}^m . Let X be an m -dimensional complex manifold and ϕ a holomorphic map of V into X . ϕ is called a *quasi-coordinate map* if ϕ is of maximal rank everywhere. In this case, (V, ϕ) is called a *local quasi-coordinate* of X .

Definition. Let X be a complex V -manifold with at worst isolated quotient singularities and ω a complete Kähler V -metric on X . We say that (X, ω) has *bounded geometry* if the following conditions hold: There exist a system of quasi-coordinates $\mathcal{V} = \{(V_\alpha, v_\alpha^i)\}$ and a neighborhood $U(p)$ of each quotient singularity p

such that $(U(p), p) \cong (G_p \setminus B, G_p \cdot 0)$ and $U(p) \cap U(q) = \emptyset$ having the following properties:

- (i) $\bigcup_{\alpha} (\text{Image of } V_{\alpha}) \cup \bigcup_p U(p) = X$;
- (ii) there are positive numbers ε and δ independent of α such that $B(\varepsilon) \subset V_{\alpha} \subset B(\delta)$;
- (iii) there are positive constants c and \mathcal{A}_k ($k=0, 1, \dots$) such that $c^{-1}(\delta_{ij}) < (g_{\alpha ij}) < c(\delta_{ij})$ and

$$|\partial^{|p|+|q|} g_{\alpha ij} / \partial v_{\alpha}^p \partial \bar{v}_{\alpha}^q| < \mathcal{A}_{|p|+|q|}$$

for all multi-indices p, q where $\omega = ig_{\alpha ij} \bar{d}v_{\alpha}^i d\bar{v}_{\alpha}^j$ in terms of (v_{α}^i) for all α , and the same inequalities hold for all lifted metrics from $U(p)$'s.

Definition. Let u be a function of class $V-C^{\infty}$. For a nonnegative integer k and $\alpha \in (0, 1)$ the $V-C^{k, \alpha}(X)$ norm of u is defined by

$$|u|_{V, k, \alpha} = \max(A, B)$$

with

$$\begin{aligned} A = \sup \left\{ \sup_{\zeta \in B} \sum_{|p|+|q| \leq k} |\partial^{|a|+|b|} \pi_p^* u(\zeta) / \partial \zeta^a \partial \bar{\zeta}^b| \right. \\ \left. + \sup_{\substack{\zeta, \zeta' \in B \\ \zeta \neq \zeta'}} \sum_{|a|+|b|=k} |\zeta - \zeta'|^{-\alpha} \right. \\ \left. \cdot \left| (\partial^k \pi_p^* u(\zeta) / \partial \zeta^a \partial \bar{\zeta}^b) - (\partial^k \pi_p^* u(\zeta') / \partial \zeta^a \partial \bar{\zeta}^b) \right| \right\}, \end{aligned}$$

$$\begin{aligned} B = \sup_{\alpha} \left\{ \sup_{z \in V_{\alpha}} \sum_{|a|+|b| \leq k} |\partial^{|a|+|b|} u(z) / \partial v_{\alpha}^a \partial \bar{v}_{\alpha}^b| \right. \\ \left. + \sup_{\substack{z, z' \in V_{\alpha} \\ z \neq z'}} \sum_{|a|+|b|=k} |z - z'|^{-\alpha} \right. \\ \left. \cdot \left| \left(\partial^k u(z) / \partial v_{\alpha}^a \partial \bar{v}_{\alpha}^b \right) - \left(\partial^k u(z') / \partial v_{\alpha}^a \partial \bar{v}_{\alpha}^b \right) \right| \right\}, \end{aligned}$$

where $\pi_p : B \rightarrow U(p)$ is the quotient map around p .

Definition. For a nonnegative integer k and $\alpha \in (0, 1)$, the function space $V-C^{k, \alpha}(\bar{X}-C)$ is the Banach space obtained as the completion of $\{u \in V-C^{\infty}(X); |u|_{V, k, \alpha} < \infty\}$ with respect to the norm $|\cdot|_{V, k, \alpha}$.

Let \mathcal{E}'_{ν} be defined by:

- (1) If \mathcal{E}_{ν} is of type (1), then $\mathcal{E}'_{\nu} = \emptyset$;
- (2) If \mathcal{E}_{ν} is of type (2) or (3) or (4), then $\mathcal{E}'_{\nu} = \mathcal{E}_{\nu}$;
- (3) If \mathcal{E}_{ν} is of type (5), then \mathcal{E}'_{ν} is the union of \mathcal{E}_{ν} and the irreducible curves of C intersecting \mathcal{E}_{ν} .

Lemma 11. *There exist a smooth volume form Ω on \bar{X} , Hermitian metrics for $[D_i]$ and $[C_m]$, a $V-C^{\infty}$ function h on \bar{X} and $V-C^{\infty}$ functions q_{ν} on $\bar{X} - \mathcal{E}'_{\nu}$ such that*

$$\Psi = h\Omega / \prod_{i,m} |s_i|^{2\mu_i} |t_m|^2 \prod' g_{\nu} \prod'' (\log |s_m|^{-2})^2$$

(where \prod' means to take the product over v such that \mathcal{E}_v is contained in C and \prod'' means to take the product over m such that C_m is disjoint from \mathcal{E}). Is a $V-C^\infty$ volume form on $\bar{X}-C$ with the following properties:

- (i) $\omega = -\text{Ric}\Psi$ is a complete Kähler V -metric on $\bar{X}-C$ with finite total volume;
- (ii) $(\bar{X}-C, \omega)$ has a quasi-coordinate system with bounded geometry;
- (iii) The function $\log(\Psi/\omega^2)$ is of class $V-C^{k,\alpha}(\bar{X}-C)$ for any k, α with finite $V-C^{k,\alpha}$ norm.

Sketch of the Proof (detailed discussion is given in Lemma 5 of [K2]). If p is a singular point of X with $(X, p) (G \setminus B, G \cdot 0)$, then h should be the push down of $1-|Z|^2$ defined in B . Hence $\omega = -\text{Ric}\Psi$ is essentially the push down of the restriction of the Bergman metric of B^2 to B . Let \mathcal{E}_v be a connected component of \mathcal{E} contained in C . We have shown the existence of the canonical function f_v whose $-\partial\bar{\partial}\log$ gives an Einstein-Kähler metric coming from the ball-metric or the H^2 -metric in a deleted neighborhood of \mathcal{E}_v . Let g_v be a $V-C^\infty$ function on $\bar{X}-\mathcal{E}_v$ which is equal to f_v^3 or f_v^2 according as \mathcal{E}_v is of type (2) or of types (3) and (4) near \mathcal{E}_v . Then ω is essentially the canonical metric coming from the ball-metric or the H^2 -metric. Thus to consider $-\text{Ric}\Psi$ is to make $-\text{Ric}\Omega'$ more positive by adding the ball-metric or the H^2 -metric of cusps near \mathcal{E}_v and by adding the Poincaré metric of the transversal punctured disk to C_m disjoint from \mathcal{E} . It is a straightforward computation to verify that $\log(\Psi/\omega^2)$ belongs to $V-C^{k,\alpha}(\bar{X}-C)$ for any k, α . Q.E.D.

We expect that ω is a good approximation of the desired Einstein-Kähler metric. Hence we deform ω into $\omega + \sqrt{-1}\partial\bar{\partial}u = \tilde{\omega}$ such that $\tilde{\omega}$ is Einstein-Kähler.

Proof of Theorem 1. Theorem 1 is a direct consequence of Lemma 11 and the following general result:

Theorem C. *Let X be a complex n -dimensional V -manifold with a $V-C^\infty$ volume form Ψ such that $\omega = -\text{Ric}\Psi$ is a complete Kähler V -metric with bounded geometry and the function $f = \log(\Psi/\omega^n)$ belongs to $V-C^{k,\alpha}(X)$ for any k, α . Then there is a unique solution u of*

$$(\omega + \sqrt{-1}\partial\bar{\partial}u)^n = \exp(u + f)\omega^n$$

belonging to $\mathcal{U} = \{u \in V-C^\infty; c^{-1}\omega < \omega + \sqrt{-1}\partial\bar{\partial}u < c\omega$ for some positive constant $c\}$. The resulting $\tilde{\omega} = \omega + \sqrt{-1}\partial\bar{\partial}u$ is a Ricci-negative complete Einstein-Kähler V -metric on X .

Proof. This is a direct consequence of the following:

Theorem D. *Let M be a V -manifold endowed with a complete Kähler V -metric of class $V-C^{k,\alpha}$ with $k \geq 5$. If (M, ω) has bounded geometry, then for any $f \in V-C^{k-2,\alpha}(M)$, there is a unique solution u to*

$$(\omega + \sqrt{-1}\partial\bar{\partial}u)^n = \exp(u + f)\omega^n$$

belonging to $\{u \in V-C^{k,\alpha}(M); c^{-1}\omega < \omega + \sqrt{-1}\partial\bar{\partial}u < c\omega$ for some positive number $c\}$.

Proof. See [K1, K2] and [C, Y]. Q.E.D.

4. Inequality for Chern Numbers

Let M be a V -surface with at worst isolated quotient singularities. Let g be a Riemannian V -metric. Let \bar{M} be the minimal resolution of M and g_0 a smooth Riemannian metric on \bar{M} . Then we have

$$\int_M e(g) = e(\bar{M}) - \sum_p (e(E_p) - 1/|G_p|),$$

where $e(g)$ is the Euler form of g and p runs over the singularities of M . To prove this, we recall the Gauss-Bonnet Theorem for manifolds with boundary:

Gauss-Bonnet Theorem. *Let (M, g) be a $2k$ -dimensional compact oriented Riemannian manifold with boundary N and assume that, near N , it is isometric to a product of N and an interval. Then*

$$\int_M e(g) = e(M).$$

Let U be $G \setminus B$ where G is a finite subgroup of $U(2)$ and g a Riemannian metric on U which is isometric to a product near the boundary and is a flat V -metric near the singularity $G \cdot 0$. Let \bar{U} be the minimal resolution of U and g_0 a Riemannian metric which is isometric to a product near the boundary and is smooth near E , where E is the exceptional set. Then we apply the Gauss-Bonnet to get

$$\begin{aligned} |G|^{-1} &= \int_U e(g) = \int_U e(g_0) + \lim_{r \rightarrow 0} \int_{\partial W(r)} Q, \\ \int_U e(g_0) &= e(U) = e(U \setminus E) + e(E) = e(E), \end{aligned}$$

where $W(r) = U - \pi(B(r))$ and π is the projection. Q is the universal polynomial of the difference of the connection forms and the respective curvature forms. Thus we have

$$\lim_{r \rightarrow 0} \int_{\partial W(r)} Q = -(e(E) - |G|^{-1}).$$

To prove the desired formula, let g be a V -metric on M which is flat near the singularities and g_0 a smooth Riemannian metric on \bar{M} . Then we have

$$\int_M e(g) - \int_{\bar{M}} e(g_0) = \lim_{r \rightarrow 0} \int_{\partial W(r)} Q = -\sum_p (e(E_p) - |G_p|^{-1}).$$

Let X, C, \bar{X}, D be as in Theorem 1. Then there exists a unique complete Einstein-Kähler V -metric $\tilde{\omega}$ on $X - C$ such that $\text{Ric}(\tilde{\omega}) = -\tilde{\omega}$. Let \tilde{c}_i be the i -th Chern form of the Hermitian connection of $\tilde{\omega}$. Set $\omega = -\text{Ric} \Psi$, where Ψ is as in Lemma 11.

Lemma 12. *Under the above situation, the following equalities hold:*

$$\begin{aligned} \int_X \tilde{c}_1^2 &= \left(K_{\bar{X}} + \sum_i \mu_i D_i + C \right)^2, \\ \int_X \tilde{c}_2 &= e(\bar{X}) - e(D) - e(C) + \sum_p |G_p|^{-1}. \end{aligned}$$

Proof. The proof is the same as that of Lemma 9 in [K2] except determining the contribution of the singularities of X . Since the proof of the first equality is exactly the same as the corresponding part of the proof of Lemma 9 in [K2], we prove the second equality. Let g_1, g_2 , and g_3 be three Riemannian metrics on the regular part of X . They are distinguished from each other according to their behavior at the singularities and C . g_1 is equal to the canonical cusp metric near C and is a flat V -metric near each singular point. g_2 is a smooth Hermitian metric for $\Omega_X^1(\log C)^*$ and is a smooth flat V -metric near the singularities. g_3 is a smooth Hermitian metric for $\Omega_X^1(\log C)^*$. Let $W(r) = X - \left(\bigcup_p \pi_p(B(r))\right)$, where π_p is the projection from B onto a neighborhood of a singular point p . Then we have

$$\begin{aligned} \int_X \tilde{c}_2 &= \int_X c_2(g_1) = \int_X c_2(g_2) \quad (\text{by the proof of Lemma 9 in [K2]}) \\ &= \lim_{r \rightarrow 0} \int_{W(r)} c_2(g_3) + dQ \\ &= c_2(\Omega_X^1(\log C)) + \lim_{r \rightarrow 0} \int_{\partial W(r)} Q \\ &= e(\bar{X}) - e(C) - e(D) + \sum_p |G_p|^{-1}. \quad \text{Q.E.D.} \end{aligned}$$

Proof of Theorem 2. Since $\tilde{\omega}$ is Einstein-Kähler, we have a point-wise inequality $0 \leq 3\tilde{c}_2 - \tilde{c}_1^2$. Integrating this inequality over X and applying Lemma 11 yields the desired inequality. Since $3\tilde{c}_2 - \tilde{c}_1^2$ measures the point-wise deviation of $\tilde{\omega}$ from the ball-metric, the equality in Theorem 2 occurs if and only if $\tilde{\omega}$ is the ball-metric. The rest is the same as the corresponding part of Theorem 2 in [K2]. Q.E.D.

As an application of Theorem 2, we prove a rigidity theorem. Let $\Gamma \subset PSU(2, 1)$ be a discrete group of automorphisms of B^2 acting freely. Assume the volume of $X'' = \Gamma \backslash B^2$ is finite. Then X'' is compactified to X' if we add a finite number of cusp points. Let X be the minimal resolution of X' over the cusp points and C the exceptional curve. C consists of mutually disjoint elliptic curves C_i with $C_i^2 < 0$.

Theorem 3. *Let (X, C) be as above and let (Y, D) be a pair of a compact smooth surface Y and a reduced curve D with normal crossings. If there is an oriented homotopy equivalence between (X, C) and (Y, D) , then $Y - D$ is biholomorphic to $X - C$.*

Proof. It follows from the assumption that the tubular neighborhood of D is diffeomorphic to that of C . We can identify the connected component D_i of D , which is a torus, with the corresponding component C_i . We have also $K_Y \cdot D_i = D_i^2 = C_i^2 = K_X \cdot C_i$. Since $e(Y) = e(X)$ and $\text{Sign}(Y) = \text{Sign}(X)$, we have $K_Y^2 = 2e(Y) + 3 \text{Sign}(Y) = 2e(X) + 3 \text{Sign}(X) = K_X^2$. Therefore $(K_Y + D)^2 = (K_X + C)^2 = 3(e(Y) - e(D))$. We want to show that the logarithmic Kodaira dimension $\bar{\kappa}$ of (Y, D) is 2. To prove this, we need to recall the notion of the minimal model of (Y, D) (see pp. 89–90 of [S] for precise definitions). If C is a (-1) -curve on Y which intersects D at most one point, C is called a D -exceptional curve. Since $X - C$ is a $K(F, 1)$ -space, so is $Y - D$. Since $(K_Y + D)^2 > 0$, Y is projective algebraic. Therefore, there are no rational curves on $Y - D$. If E is a D -exceptional curve with $D \cdot E = 1$ and $p: (Y, D) \rightarrow (Y', D')$ is the blowing down of E , then $p^*(L) = L$, where $L = K_Y + D$

and $L' = K_Y + D'$. By a finite number of blowing downs of D -exceptional curves, we arrive at the minimal model (Y_0, D_0) of (Y, D) , which has no D_0 -exceptional curves. If $\bar{\kappa} = -\infty$, it follows from Sakai [S] that (Y_0, D_0) must be (elliptic ruled surface, a section). Thus $\Gamma = \pi_1(X - C) = \pi_1(Y - D) = \mathbb{Z} \oplus \mathbb{Z}$. This is a contradiction, since Γ is not abelian. If $\bar{\kappa} = 0$ or 1 , then L_0 must be numerically effective. Therefore, since $L_0^2 = (K_X + C)^2 > 0$, we have $h^2(Y_0, \mathcal{O}(-mL_0 - D_0)) = 0$ for $m > 0$. By the Riemann-Roch formula, $h^0(Y_0, \mathcal{O}(mL_0)) = \mathcal{O}(m^2)$ when $m \rightarrow \infty$. But this contradicts the assumption. Therefore we deduce $\bar{\kappa} = 2$. Since (Y_0, D_0) satisfies the condition of Theorem 1, we get the following inequality:

$$L^2 = L_0^2 \leq 3(e(Y_0) - e(D_0)) = 3(e(Y) - b - e(D)),$$

where b is the number of the blow downs in $Y \rightarrow Y_0$. Hence we have $b = 0$, i.e., $(Y, D) = (Y_0, D_0)$. It follows from Theorem 2 that the universal cover of $Y - D$ is biholomorphic to B^2 . By the rigidity theorem of Gerland-Raghubathan-Prasad [P], $Y - D$ is in fact biholomorphic to $X - D$. Q.E.D.

Note. In [Y2], Yau proved the rigidity theorem for compact quotients of B^2 : *Let X be a compact smooth surface that is covered by B^2 . Then any compact smooth surface that is oriented homotopic to X is biholomorphic to X .*

Acknowledgement. The author heartily thanks Professor Dr. F. Hirzebruch for his interest in this work.

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