

# **Solutions of Minimal Period for a Class of Convex Hamiltonian Systems**

Antonio Ambrosetti<sup>1</sup> and Giovanni Mancini<sup>2</sup>\*

- 1 International School for Advanced Studies, Strada Costiera 11, I-34014 Trieste, Italy
- 2 Istituto Matematico, Università di Bologna, I-40100 Bologna, Italy

#### 0. Introduction

In this paper we deal with the existence of periodic solutions of Hamiltonian systems with *n* degree of freedom:

$$(H) \quad \dot{p}_k = -\frac{\partial H}{\partial q_k}, \quad \dot{q}_k = \frac{\partial H}{\partial p_k}, \quad k = 1, ..., n.$$

Recently Rabinowitz [16] has shown that if H is "superquadratic" then for all T>0 (H) has nontrivial T-periodic solutions. He left open the question to find a solution having T as minimal period.

The results we are able to prove here are just a contribution to the solution of such a problem. One of these results is valid for a class of convex, superquadratic Hamiltonians, to which belong, for example, the H homogeneous of degree greater than 2: for every T>0 (H) possesses a solution having T as minimal period. A second result is concerned with the case in which H''(0) is a positive definite symmetric matrix. This situation is different from the previous one because it is known by examples (cf. [16]) that the minimal period of the solutions of (H) cannot be arbitrarly large. We show that it is possible to find a solution of minimal

period T, provided  $T < \frac{2\pi}{\omega_n}$ , the smallest fundamental pulsation of the linear part.

This result is proven in the particular case in which (H) can be transformed in a second-order system. Even if we expect the result to be true for (H), we are not able to handle such general case.

The method of the proof consists in two steps. First, using a device introduced by Clarke [21], we transform the original system (H) into an equivalent one by means of the Legendre-transform G of H. This new dual system is solved using the calculus of variation in the large, namely finding the stationary points of a functional f on a Banach space E. Unfortunately, since H is superquadratic, f is not bounded from above nor from below and the stationary points of f are saddle points (cf. Ekeland [11], where is given an elegant proof of the Rabinowitz result).

Supported by C.N.R.

On the other side, it is natural to expect that solutions of minimal period should correspond to some "minimal" property of these stationary points. Then, unlike [11], we use the following device: the search of the stationary points of f on E is substituted with an equivalent problem of critical points of f on a suitable manifold M: it turns out that f has a minimum on M, which allows to find a solution of (H) having T as minimal period. Such a device has been used by several people (Nehari [14], Coffman [10], Hempel [12, 13], [1, 3]) for the purpose of the existence of solutions of elliptic equations. While those results can be found in greater generality using direct variational arguments (see, for example Clark [7], [5, 2]), the new feature here is that the method is employed to obtain stationary points satisfying additional properties (for another application to a free boundary problem arising in fluid dynamics, see [4]).

The paper is divided into 6 sections. Section 1 contains the abstract framework, while in Sect. 2, 4, and 6 are stated the existence results. The relationships between the periods and the amplitudes of the orbits are investigated in Sect. 3. Section 5 is devoted to obtain wider classes to which apply the above results: with perturbation arguments we find solutions of large minimal period and small amplitude.

While many papers deal with the existence of periodic solutions of Hamiltonian systems (see, for example [16, 21, 11], Amann and Zehnder [19] and references therein), on the contrary, we know only one result concerning the existence of solutions of (H) with prescribed minimal period: the work by Clarke and Ekeland [8]. They assume H convex and subquadratic: in this case, unlike then in our situation, the dual functional is bounded from below and has a minimum to which correspond a solution with given minimal period.

## 1. Abstract Setting: Minimal Critical Points

Let E be a real Banach space with dual E'; denote by  $\|\cdot\|$  the norm in E and by  $\langle \cdot, \cdot \rangle$  the pairing between E and E'. Let us consider a functional  $f: E \to \mathbb{R}$ , which throughout in the following will be assumed to possess a continuous Frechét derivative f'(u). Motivated by the applications in the following sections, we take f of the form:

$$f(u) = -\frac{1}{2}a(u, u) + b(u)$$
,

where  $a: E \times E \rightarrow \mathbb{R}$  and  $b: E \rightarrow \mathbb{R}$  satisfy to

- $(I_1)$  a is a continuous, symmetric, bilinear form;
- $(I_2)$  there exist  $\alpha$ ,  $1 < \alpha < 2$  and constants  $c_1$ ,  $c_2 > 0$  such that

$$c_1 \|u\|^{\alpha} \le \langle b'(u), u \rangle \le c_2 \|u\|^{\alpha} \quad \forall u \in E;$$

 $(I_3)$  there exists  $\theta > \frac{1}{2}$  such that

$$b(u) \ge \theta \langle b'(u), u \rangle \quad \forall u \in E;$$

 $(I_4)$  set  $d(u) = \langle b'(u), u \rangle$ , then  $d \in C^1(E, \mathbb{R})$  and  $\langle d'(u), u \rangle < 2d(u)$ .

Under the above assumptions (indeed under much weaker ones) it is known that, if a is positive somewhere and condition (P-S) holds, then f has a stationary point  $v \neq 0$ , i.e. f'(v) = 0, which can be found using minimax arguments [5]. But, in view of our applications, we are interested in finding stationary points veryfying additional properties. To this purpose, we are going to expose a variational principle which will enable us to find stationary points as minima. Set

$$h(u) = \langle f'(u), u \rangle$$

and

$$M = \{u \in E, u \neq 0 : h(u) = 0\}.$$

If f'(u) = 0 for some  $u \in E$ ,  $u \neq 0$ , then  $u \in M$  and is a critical point of  $f_{/M}$ , the restriction of f to M. Conversely we have:

**Proposition 1.1.** Suppose  $f, h \in C^1(E, \mathbb{R})$  and

(1.1)  $\langle h'(u), u \rangle \neq 0 \quad \forall u \in M$ .

Then f'(u) = 0,  $u \neq 0$ , iff u is a critical point of  $f_{/M}$ .

*Proof.* First of all, let us note that (1.1) implies that M is a  $C^1$  manifold of codimension 1 in E. Let  $\overline{u} \in M$  be such that  $f'_{/M}(\overline{u}) = 0$ . This means that  $\overline{u} \neq 0$  and there exists  $\lambda \in \mathbb{R}$  such that  $f'(\overline{u}) = \lambda h'(\overline{u})$ . Then it follows

$$(1.2) \quad \langle f'(\overline{u}), \overline{u} \rangle = \lambda \langle h'(\overline{u}), \overline{u} \rangle.$$

Since  $\langle f'(\bar{u}), \bar{u} \rangle = h(\bar{u}) = 0$ , while  $\langle h'(\bar{u}), \bar{u} \rangle \neq 0$  by assumption, (1.2) implies  $\lambda = 0$ , and thus  $f'(\bar{u}) = 0$ .

In view of our existence result (Theorem 1.5 below) we first state some preliminary lemmas.

**Lemma 1.2.** Assume  $(I_1)$  and  $(I_4)$ . Then  $h \in C^1(E, \mathbb{R})$  and  $\langle h'(u), u \rangle < 0 \ \forall u \in M$ .

Proof. It results

$$h(u) = \langle f'(u), u \rangle = -a(u, u) + d(u).$$

Hence  $h \in C^1(E, \mathbb{R})$  and

$$(1.3) \quad \langle h'(u), u \rangle = -2a(u, u) + \langle d'(u), u \rangle.$$

If  $u \in M$ , then a(u, u) = d(u) and hence, substituting in (1.3) and using  $(I_4)$  we get

$$(1.4) \quad \langle h'(u), u \rangle = \langle d'(u), u \rangle - 2d(u) < 0 \quad \forall u \in M.$$

**Lemma 1.3.** Assume  $(I_1)$ ,  $(I_2)$ , and  $(I_3)$ . Then:

- (i) there exists  $\varrho > 0$  such that  $\forall u \in E$ ,  $0 < ||u|| < \varrho$ , it results h(u) > 0. In particular,  $||u|| \ge \varrho \ \forall u \in M$ .
  - (ii)  $\forall u \in M$  it results  $f(u) \ge c ||u||^{\alpha}$ , c > 0 constant.

*Proof.* Using  $(I_1)$  and  $(I_2)$  we obtain

(1.5) 
$$h(u) = -a(u, u) + \langle b'(u), u \rangle \ge c_1 ||u||^{\alpha} - c_3 ||u||^2$$
.

Here and throughout in the following, we denote by  $c_1, c_2, \ldots$  (possibly different) constants. Since  $\alpha < 2$ , the right hand side in (1.5) is positive for  $0 < \|u\| < \varrho$ , with  $\varrho$  small enough, and this proves (i).

For all  $u \in M$  it results  $a(u, u) = \langle b'(u), u \rangle$  and hence f has the form

$$f(u) = b(u) - \frac{1}{2} \langle b'(u), u \rangle$$
.

Using  $(I_3)$  it follows

$$f(u) \ge (\theta - \frac{1}{2}) \langle b'(u), u \rangle \quad \forall u \in M$$

and by  $(I_2) f(u) \ge c_4 ||u||^{\alpha}$ ,  $\forall u \in M$ .

**Lemma 1.4.** Assume  $(I_1)$  and  $(I_4)$ . If  $u \in E$  then for all s > 0 it results:

$$(1.6) \quad \frac{d}{ds} \left[ \frac{h(su)}{s^2} \right] < 0;$$

$$(1.7) \quad \frac{d}{ds}[f(su)] = \frac{1}{s}h(su).$$

*Proof.* Set  $\tilde{h}(s) = s^{-2}h(su)$ . From the expression of h it follows:

$$\tilde{h}(s) = -a(u, u) + s^{-2}d(su).$$

Differentiating and setting su = v, we have:

$$\tilde{h}'(s) = s^{-3} \left\lceil \langle d'(v), v \rangle - 2d(v) \right\rceil.$$

Thus  $\tilde{h}'(s) < 0 \ \forall s > 0$  by  $(I_a)$ .

As for (1.7), it is enough to calculate the derivative of

$$f(su) = -\frac{1}{2}s^2a(u, u) + b(su)$$
.

We are now in position to state our main abstract result:

**Theorem 1.5.** Assume  $(I_1-I_4)$ . Moreover suppose:

- (1.8) there exists  $\bar{u} \in E$  such that  $\bar{a} = a(\bar{u}, \bar{u}) > 0$ ; and the pair (f, M) satisfies:
- (P-S) every sequence  $u_n \in M$  such that  $f(u_n)$  is bounded and  $f'/M(u_n) \rightarrow 0$ , has a converging subsequence.

Then  $f_{/M}$  assumes its minimum in a point  $v \in M$ . Such v is a stationary point of f on E, i.e. f'(v) = 0, and has the following property:

(\*) 
$$a(v, v) = \max \{a(u, u) : u \in E, u \neq 0, b(su) \leq b(sv) \forall s > 0, \\ \langle b'(u), u \rangle \leq \langle b'(v), v \rangle \}.$$

*Proof.* First of all, let us show that  $M \neq \emptyset$ . In fact one has

$$h(s\bar{u}) = -s^2\bar{a} + \langle b'(s\bar{u}), s\bar{u} \rangle$$
.

By  $(I_2)$  it follows

$$h(s\bar{u}) \leq c_2 s^{\alpha} \|\bar{u}\|^{\alpha} - s^2 \bar{a}$$
.

Since  $\bar{a}>0$  and  $\alpha<2$ , then  $h(s\bar{u})<0$  for s>0 large enough. On the other hand, we know by (i) of Lemma 1.3, that  $h(s\bar{u})>0$  for s small: hence there exists  $\bar{s}>0$  such that  $h(\bar{s},\bar{u})=0$ , i.e.  $\bar{s}\bar{u}\in M$ . Let us remark that such  $\bar{s}$  is unique in view of (1.6): this will be useful later in the proof. Next, by Lemma 1.2, we have that (1.1) holds and, in particular (see the proof of Proposition 1.1), M is a  $C^1$  manifold in E. Moreover, combining (i) and (ii) of Lemma 1.3, we deduce that f is bounded from below on M. Using (P-S) it is well known (see for example Rabinowitz [15]) that  $f_{/M}$  takes its minimum in a point  $v\in M$ . By Proposition 1.1 such a v is a stationary point of f on E. It remains to prove that property (\*) holds. By contradiction, let  $u\in E$ ,  $u\neq 0$ , be such that

$$(1.9) \quad b(su) \leq b(sv) \quad \forall s > 0 \quad \text{and} \quad \langle b'(u), u \rangle \leq \langle b'(v), v \rangle;$$

$$(1.10)$$
  $a(u, u) > a(v, v)$ .

Using (1.9) and (1.10) and the fact that  $v \in M$ , we get

$$0 = h(v) > -a(u, u) + \langle b'(u), u \rangle = h(u).$$

This implies that there exists a unique  $\bar{s}$ ,  $0 < \bar{s} < 1$ , such that  $\bar{s}u \in M$ : in fact, it is sufficient to repeat the arguments exposed above to prove  $M \neq \emptyset$ . Using again (1.9) and (1.10) we have

$$(1.11) \quad f(\overline{s}u) = -\frac{1}{2}\overline{s}^2 a(u,u) + b(\overline{s}u) < -\frac{1}{2}\overline{s}^2 a(v,v) + b(\overline{s}v) = f(\overline{s}v).$$

On the other hand, by (1.6) and (1.7) and because  $0 < \overline{s} < 1$ , it results  $f(v) > f(\overline{s}v)$ . Lastly, using (1.11), we obtain  $f(v) > f(\overline{s}u)$ , a contradiction, because  $\overline{s}u \in M$  and  $f(v) = \min\{f(u) : u \in M\}$ .

### 2. Periodic Solutions for a Class of Convex Hamiltonians

Let  $p = (p_1, ..., p_n)$ ,  $q = (q_1, ..., q_n) \in \mathbb{R}^n$  and  $H \in C^2(\mathbb{R}^n \times \mathbb{R}^n, \mathbb{R})$ . We will deal with the Hamiltonian system

$$(H) \quad \dot{p}_k = -\frac{\partial H}{\partial q_k}, \quad \dot{q}_k = \frac{\partial H}{\partial p_k}, \quad k = 1, ..., n$$

which will be also written in the compact form

$$\dot{z} = JH'(z)$$
 or  $-J\dot{z} = H'(z)$ ,

where  $z = (p, q) \in \mathbb{R}^{2n}$ ,  $J = \begin{pmatrix} 0 & -I \\ I & 0 \end{pmatrix}$  with I identity in  $\mathbb{R}^n$ , and H' indicates the gradient of H.

We look for periodic solutions z(t) of (H) having a given number T>0 as the *minimal* period. Denote by  $(\cdot,\cdot)$  the Euclidean scalar product in  $\mathbb{R}^{2n}$  and by  $|\cdot|$  the corresponding norm.

On the Hamiltonian H we assume, first of all:

 $(H_1)$   $H \in C^2(\mathbb{R}^{2n}, \mathbb{R})$  and there exist  $c_1, c_2, c_3 > 0$  and  $\beta > 2$  such that

$$c_1|z|^{\beta} \le H(z) \le c_2|z|^{\beta} \quad \forall z \in \mathbb{R}^{2n}$$

$$(H''(z)\zeta,\zeta) \ge c_3|z|^{\beta-2} \quad \forall z \in \mathbb{R}^{2n} \quad \text{and} \quad \forall \zeta \in \mathbb{R}^{2n}; \quad |\zeta| = 1,$$

 $(II_2) \quad \beta H(z) \! \leqq \! (H'(z),z) \quad \forall z \! \in \! \mathbb{R}^{2n}.$ 

Remark 2.1. (i) From the hypotheses above it follows that H is strictly convex and super-quadratic at zero and infinity.

(ii) Condition (II<sub>2</sub>) and the first inequality of (II<sub>1</sub>) are related in the sense that the former implies  $H(z) \ge c_1 |z|^{\beta}$  as  $z \to \infty$  and  $H(z) \le c_2 |z|^{\beta}$  as  $z \to 0$ .

Before stating our results, we have to introduce the Legendre transform G of H, defined on  $\mathbb{R}^{2n}$  by

$$G(u) = \sup \{(u, z) - H(z) : z \in \mathbb{R}^{2n} \}.$$

From  $(II_1-II_2)$  several properties of G can be deduced [11]:

(G1) G is everywhere defined, finite,  $G \in C^2(\mathbb{R}^{2n} \setminus \{0\}, \mathbb{R})$ , is strictly convex and G(0) = G'(0) = 0.

Recall also that

(2.1) 
$$G'(u) = z$$
 iff  $H'(z) = u$ .

(G2) Let 
$$\alpha = \beta(\beta - 1)^{-1}$$
. Then

$$c_4|u|^{\alpha} \leq G(u) \leq c_5|u|^{\alpha} \quad \forall u \in \mathbb{R}^{2n}$$
.

(G3) 
$$G(u) \ge \theta(G'(u), u), \quad \theta = \frac{1}{\alpha}, \quad \forall u \in \mathbb{R}^{2n}.$$

Furthermore, from the properties of the Legendre transform and (G2) we get

$$(G4) \quad (G'(u), u) \ge c_4 |u|^{\alpha} \quad \forall u \in \mathbb{R}^{2n},$$

$$(G5) \quad c_6|u|^{\alpha-1} \leq |G'(u)| \leq c_7|u|^{\alpha-1} \quad \forall u \in \mathbb{R}^{2n}.$$

Lastly, from  $(II_1)$  and (G5) it follows

(G6) 
$$||G''(u)|| \le c_8 |u|^{\alpha-2} \quad \forall u \in \mathbb{R}^{2n}$$
.

We are now ready to state:

**Theorem 2.2.** Assume  $(II_1-II_2)$ , and

(II<sub>3</sub>) there exists 
$$\mu \in ]0,1[$$
 such that  $\forall u \in \mathbb{R}^{2n}$ ,  $u \neq 0$ , it results

$$(G''(u)u, u) \leq \mu(G'(u), u)$$
.

Then for all T>0, (H) has a periodic solution having T as minimal period.

We remark that, in terms of the original Hamiltonian, the assumption  $(II_3)$  can be stated:  $(K(z)u, u) \le \mu(z, u) \forall u$ , where u = H'(z) and  $K(z) = (H''(z))^{-1}$ . Examples of Hamiltonians verifying  $(II_3)$  will be given at the end of this section, as well as in Sects. 4 and 5.

The proof of the theorem is carried out by substituting the system (H) with a dual one, and studying this latter by means of the variational arguments of Sect. 1.

First we introduce some notations and the functional framework.

Let A be the operator defined in

$$\operatorname{dom} A = \{ z \in W^{1,\alpha}(0, 2\pi; \mathbb{R}^{2n}) : z(0) = z(2\pi) \}$$

by

$$(2.2) \quad Az = -J\dot{z}.$$

Remark that  $Ker A = \mathbb{R}^{2n}$ . Set

$$E = \left\{ u \in L^{\alpha}(0, 2\pi; \mathbb{R}^{2n}) : \int_{0}^{2\pi} u = 0 \right\}$$

and denote by  $\|\cdot\|$  the norm in  $L^{\alpha}(0, 2\pi; \mathbb{R}^{2n})$ . For all  $u \in E$  there exists  $z \in \text{dom } A$ such that Az = u; such a z is unique, provided  $\int z = 0$  (here and in the following all the integrals are between 0 and  $2\pi$ ). Setting Lu=z, it turns out that L, as an

operator from E to  $L^{\beta}(0, 2\pi; \mathbb{R}^{2n})$  is compact. Let  $\sigma = \frac{2\pi}{T}$  and consider for  $u \in E$ :

(2.3) 
$$f_{\sigma}(u) = -\frac{1}{2\sigma} \int (u, Lu) + \int G(u)$$
.

From (G2) and (G5) it follows that  $f_{\sigma} \in C^1(E, \mathbb{R})$ ; if  $f'_{\sigma}(v) = 0$  for some v in E, then there is  $\xi \in \mathbb{R}^{2n}$  such that

$$-\frac{1}{\sigma}Lv+G'(v)=\xi.$$

Setting  $z = \sigma^{-1}Lv + \xi$ , then  $\sigma Az = v$  and G'(v) = z. From (2.1) we get  $\sigma Az = H'(z)$ . Hence, in view of (2.2), we conclude that  $z(\sigma t)$  defines, by continuation, a T-periodic solution of (H). This is the so called dual action principle [8, 11, 6]. Clearly, T is the minimal period of z iff  $2\pi$  is the minimal period of v. In the remainder of the section, since  $\sigma$  plays no rôle in the arguments, we will take  $\sigma = 1$ . In order to use the results of Sect. 1, we set, for  $u \in E$ :

$$a(u, u) = \int (u, Lu), \quad b(u) = \int G(u)$$

and hence (2.3) becomes

$$f(u) = -\frac{1}{2}a(u, u) + b(u)$$
.

We will use the notations introduced in Sect. 1. First we state:

## **Lemma 2.3.** f satisfies $(I_1-I_4)$ .

*Proof.* It is clear that  $(I_1)$  holds. Due to (G2) and (G5),  $b \in C^1(E, \mathbb{R})$ . It results:

$$\langle b'(u), u \rangle = d(u) = \int (G'(u), u)$$

and hence, from (G4-G5), we deduce that  $(I_2)$  holds.  $(I_3)$  follows directly from (G3). As for  $(I_4)$ , we note that the mapping  $u \to (G^{\bar{i}}(u), u)$  is  $C^{\bar{i}}(\mathbb{R}^{2n}, \mathbb{R})$ ; in view of (G5-G6)we get  $d \in C^1$ . Lastly from  $(II_3)$  it follows:

(2.4) 
$$\langle d'(u), u \rangle = \int (G''(u)u, u) + \int (G'(u), u)$$
  
 $\leq (1 + \mu) \int (G'(u), u) < 2d(u).$ 

In (2.4) we mean that G''(u)u=0 for u=0.

Since f verifies  $(I_1-I_4)$ , we know (cf. Lemma 1.2) that  $\langle h'(u), u \rangle < 0 \ \forall u \in M$ . Actually, a bit sharper result can be proven:

$$(2.5) \quad \exists c > 0 : \forall u \in M - \langle h'(u), u \rangle \ge c.$$

In fact, from (2.4) and (1.4) we have

$$-\langle h'(u), u \rangle = 2d(u) - \langle d'(u), u \rangle \ge (1 - \mu)d(u)$$
.

Then, from  $(I_2)$ , it follows:

$$-\langle h'(u), u \rangle \geq (1-\mu)c_A ||u||^{\alpha}.$$

But,  $u \in M$  implies [cf. (i) of Lemma 1.3] that  $||u|| \ge \varrho > 0$  and thus (2.5) holds. Next we prove:

**Lemma 2.4.** The pair (f, M) satisfies (P-S).

*Proof.* Let  $u_n \in M$  be such that

(2.6)  $f(u_n)$  is bounded;

$$(2.7) f'_{/M}(u_n) = f'(u_n) + a_n h'(u_n) \equiv w_n \to 0 (a_n \in R) .$$

From (2.6) and (ii) of Lemma 1.3, it follows that  $||u_n|| \le c_1$ . Multiplying (2.7) by  $u_n$ , and taking into account that  $u_n \in M$ , i.e.  $\langle f'(u_n), u_n \rangle = 0$ , we find

(2.8) 
$$a_n \langle h'(u_n), u_n \rangle = \langle w_n, u_n \rangle$$
.

In (2.8)  $\langle w_n, u_n \rangle$  tends to zero, because  $w_n \to 0$  and  $||u_n|| \le c_1$ . By (2.5),  $\langle h'(u_n), u_n \rangle$  is bounded away from zero and hence  $a_n \to 0$ . From (2.7) and since h' is bounded on bounded sets, we infer that  $f'(u_n) \to 0$ . The argument is now as in [11]. We sketch it here for completeness. So, it results that  $f'(u_n) = -Lu_n + b'(u_n) + \xi_n$  (with  $\xi_n \in \mathbb{R}^{2n}$ ) tends to zero. Since  $\xi_n$  is bounded and L is compact, we deduce (passing to a subsequence) that  $b'(u_n) = z_n$  is convergent. On the other side  $G'(u_n) = z_n$  is equivalent to  $H'(z_n) = u_n$ ; since H' is continuous from  $L^{\beta}$  to  $L^{\alpha}$ , we conclude that  $u_n$  has a converging subsequence.

We are now in position to prove Theorem 2.2.

Proof of Theorem 2.2. We apply Theorem 1.5 to f. We have already shown in Lemmas above that the assumptions  $(I_1-I_4)$  hold, as well as that (f,M) satisfies (P-S). Moreover, choosing  $\bar{u}=(\bar{\xi}\sin t,\bar{\xi}\cos t)$  with  $\bar{\xi}\in\mathbb{R}^n$ ,  $\bar{\xi}\neq 0$ , it results  $L\bar{u}=\bar{u}$  and thus  $a(\bar{u},\bar{u})=2\pi|\bar{\xi}|^2>0$ , so that (2.8) holds, too. Let us denote by v the critical point of f given by Theorem 1.5. We complete the proof showing how property (\*) implies that  $2\pi$  is the minimal period of v. In fact, if v has period  $\frac{2\pi}{m}$  for some

integer m>1, we take  $v^*(t)=v\left(\frac{t}{m}\right)$ . It is immediate to verify that  $v^*\in E$  and that

$$b(sv^*) = b(sv) \quad \forall s > 0, \text{ and } \langle b'(v^*), v^* \rangle = \langle b'(v), v \rangle.$$

On the other hand, by a direct computation it results:

$$a(v^*, v^*) = ma(v, v)$$
.

Lastly, recalling that a(v,v) > 0 (indeed this is true for all  $u \in M$  because for those u it is  $a(u,u) = \langle b'(u),u \rangle$ ) we conclude that  $a(v^*,v^*) > a(v,v)$ , in contradiction with (\*).

Remark 2.5. It is clear that the solution found above is nonconstant.

Remark 2.6. From the proof of Theorem 2.2 we see readly that in the right hand side of (G2) and (G5) we could take:

$$G(u) \le c_1 |u|^{\alpha} + c_2$$
$$|G'(u)| \le c_3 |u|^{\alpha - 1} + c_4.$$

Analogously, in (G6) we could assume

$$|G''(u)u| \le c_5 |u|^{\alpha-1} + c_6$$
.

As an example of Hamiltonian system to which Theorem 2.2 can be applied, we may consider a H(z) which is strictly convex and homogeneous of degree  $\beta > 2$ , namely such that  $H(sz) = s^{\beta}H(z) \, \forall s > 0$ . In this case it is easy to verify that G is homogeneous of degree  $\alpha = \beta(\beta-1)^{-1}$ , and hence  $(II_1-II_3)$  hold. However, in such a case, the existence of a periodic solution with minimal period  $T = 2\pi$  (say), can be obtained more directly. In fact, let us consider the level surface

$$S = \{u \in E : \int G(u) = 1\}.$$

It is easy to see that the action a(u, u) has a positive maximum  $\bar{v}$  on S. This  $\bar{v}$  has  $2\pi$  as minimal period and satisfies

$$L\overline{v} = \lambda G'(\overline{v}) - \xi$$

for some  $\lambda > 0$  and  $\xi \in \mathbb{R}^{2n}$ . Since G is  $\alpha$ -homogeneous, setting  $w = \lambda^{\frac{1}{\alpha-1}} \overline{v}$ , we get

$$Lw = G'(w) - \tilde{\xi}, \qquad \tilde{\xi} = \lambda^{\frac{1}{\alpha - 2}} \xi.$$

Then  $z = Lw + \tilde{\xi}$  is a solution of (H), with minimal period  $2\pi$ .

## 3. Energy Estimates

Given  $T = 2\pi\sigma^{-1}$ , we indicate with  $v_{\sigma}$  the solution of (H) which has T as minimal period. Our purpose, now, is to investigate the dependence of  $v_{\sigma}$  on  $\sigma$ . Apart from the possible interest in itself, this will be useful in perturbations (see Sect. 5).

First we state:

**Lemma 3.1.** Assume  $(II_1-II_3)$ . Then there exist c', c''>0 such that

$$c'\sigma^{\frac{\alpha}{2-\alpha}} \ge f_{\sigma}(v_{\sigma}) \ge c''\sigma^{\frac{\alpha}{2-\alpha}}.$$

*Proof.* We set  $f_{\sigma}^{(i)}(u) = -\frac{1}{2\sigma} \int (u, Lu) + c_i \int |u|^{\alpha}$  (i = 1, 2), where  $c_1$  and  $c_2$  are the constants which appear in (G2). In correspondence of  $f_{\sigma}^{(i)}$  we will consider  $h_i$  and  $M_i$ . From (G2) it follows

(3.1) 
$$f_{\sigma}^{(1)}(u) \leq f_{\sigma}(u) \leq f_{\sigma}^{(2)}(u)$$
.

Set  $m'(\sigma) = \min\{f_{\sigma}^{(1)}(u) : u \in M_1\}$  and  $m''(\sigma) = \min\{f_{\sigma}^{(2)}(u) : u \in M_2\}$ , and let us denote by  $\lambda'$  (resp.  $\lambda''$ ) the value of  $\lambda$  such that  $\lambda v_{\sigma} \in M_1$  (resp.  $M_2$ ). From Lemma 1.4 and (3.1) it follows

$$f_{\sigma}^{(1)}(\lambda' v_{\sigma}) \leq f_{\sigma}(\lambda' v_{\sigma}) \leq f_{\sigma}(v_{\sigma}) \leq f_{\sigma}^{(2)}(v_{\sigma}) \leq f_{\sigma}^{(2)}(\lambda'' v_{\sigma}).$$

Hence

$$(3.2) \quad m'(\sigma) \leq f_{\sigma}(v_{\sigma}) \leq m''(\sigma).$$

To evaluate m', let  $B^+ = \{w \in B : ||w|| = 1, \int (w, Lw) > 0\}$ .

We have that  $w \in M_1$  iff  $w \in B^+$  and

$$\frac{\lambda^2}{\sigma}\int(w,Lw)=\lambda^{\alpha}\alpha c_1\int|w|^{\alpha}.$$

Hence we deduce

(3.3) 
$$\lambda^{2-\alpha} = \sigma \alpha c_1 \frac{\int |w|^{\alpha}}{\int (w, Lw)}.$$

Since on  $M_1$  the functional  $f_{\sigma}^{(1)}$  has the form

$$f_{\sigma}^{(1)}(u) = \left(1 - \frac{\alpha}{2}\right) \int |u|^{\alpha}$$

taking  $u = \lambda w$ ,  $w \in B^+$ , we get

$$f_{\sigma}^{(1)}(\lambda w) = \left(1 - \frac{\alpha}{2}\right) \lambda^{\alpha} \int |w|^{\alpha},$$

where  $\lambda$  is given by (3.3). Then from the continuity of  $\int (w, Lw)$  we infer that  $\exists c'' > 0$  such that

$$(3.4) \quad m'(\sigma) \ge c'' \sigma^{\frac{\alpha}{2-\alpha}}.$$

Next, we bound from above m''. Fixed  $\bar{w} \in B^+$ , the same calculations show that  $\bar{\lambda} \bar{w} \in M_2$  iff

$$\bar{\lambda}^{2-\alpha} = \sigma \alpha c_2 \frac{\int |\bar{w}|^{\alpha}}{\int (\bar{w}, L\bar{w})}.$$

Hence  $\exists c' > 0$  such that

$$f_{\sigma}^{(2)}(\bar{\lambda}\bar{w}) = \left(1 - \frac{\alpha}{2}\right)\bar{\lambda}^{\alpha} \int |\bar{w}|^{\alpha} = c' \sigma^{\frac{\alpha}{2 - \alpha}}.$$

We then conclude:

$$(3.5) \quad m''(\sigma) \le c' \sigma^{\frac{\alpha}{2-\alpha}}$$

From (3.2), (3.4), and (3.5) the lemma follows.

Set  $z_{\sigma}(t) = G'(v_{\sigma}(t))$ . The behaviour of the energy  $C_{\sigma} = H(z_{\sigma}(t))$  is given in the following

**Proposition 3.2.** Assume  $(II_1-II_3)$ . Then  $C_{\sigma}\to 0$  (resp.  $\infty$ ) as  $\sigma\to 0$  (resp.  $\infty$ ). As consequence  $\|z_{\sigma}\|_{L^{\infty}}\to 0$  (resp.  $\infty$ ) as  $\sigma\to 0$  (resp.  $\infty$ ).

*Proof.* Using Lemma 3.1 we have that  $f_{\sigma}(v_{\sigma}) \rightarrow 0$  for  $\sigma \rightarrow 0$ . From (ii) of Lemma 1.3 we deduce that  $||v_{\sigma}|| \rightarrow 0$  for  $\sigma \rightarrow 0$ . Hence  $C_{\sigma} = H(G'(v_{\sigma}(t))) \rightarrow 0$  and, from  $(II_1)$   $||z_{\sigma}||_{L^{\infty}} \rightarrow 0$  as well.

Analogously, from  $f_{\sigma}(v_{\sigma}) \leq \int G(v_{\sigma}) \leq c \|v_{\sigma}\|^{\alpha}$  and Lemma 3.1, it follows that  $\|v_{\sigma}\|$ ,  $C_{\sigma}$ , and  $\|z_{\sigma}\|_{L^{\infty}}$  diverge as  $\sigma \to \infty$ .

Remark 3.3. Similar arguments as in Lemma 3.1 and Proposition 3.2 yield energy bounds for solutions of given period of a family of convex Hamiltonians. More precisely, let  $H_n$ , H satisfy the assumptions of Theorem 2.2 (or weaker ones as in Remark 2.6) with  $\inf \beta_n > 2$ . Let us fix  $\sigma = 1$ . Then, if  $(G'_n(u), u) < (G'(u), u) \ \forall u$ , it results  $\sup_n C_n < +\infty$ , where  $C_n \equiv H_n(z_n(t))$ , and  $z_n$  is the solution given by Theorem 2.2. In fact, as in (3.1), we have, with obvious notations  $f_n(v_n) \leq f(v)$  and hence

$$\begin{split} 2\pi C_n \left( \frac{\beta_n}{2} - 1 \right) &= \left( \frac{\beta_n}{2} - 1 \right) \int H_n(z_n(t)) \leq \frac{1}{2} \int (H'(z_n), z_n) - \int H_n(z_n) \\ &= -\frac{1}{2} \int (G'_n(v_n), v_n) + \int G_n(v_n) = f_n(v_n) \leq f(v) \,. \end{split}$$

## 4. Hamiltonians with Positive-Definite Quadratic Form

This section is devoted to study the existence of periodic solutions of Hamiltonian systems when H''(0) is no longer zero. More precisely, instead of considering the general equation (H), we will deal with the second order system:

(4.1) 
$$-\ddot{x} = Qx + U'(x), \quad x = (x_1, ..., x_n) \in \mathbb{R}^n,$$

where Q and U satisfy to:

(III) Q is a positive definite, symmetric matrix with eigenvalues  $\omega_j^2$ ,  $0 < \omega_1^2 \le ...$   $\le \omega_n^2$ ;  $U: \mathbb{R}^n \to \mathbb{R}$  verifies the same conditions of H in  $(II_1-II_3)$ , with obvious modifications.

In particular, as seen in Sect. 2, convex  $\beta$ -homogeneous  $U(\beta > 2)$  are allowed. Let us note that now, unlike than in our Remark after Theorem 2.2, it does not seem easy to find solutions of (4.1) with prescribed minimal period in the same straightforward manner.

It is known [16, Remark 2.56] that, the minimal period of the solutions of (4.1) cannot be arbitrarly large.

Our result is:

**Theorem 4.1.** Assume (III) and let  $\sigma = \frac{2\pi}{T} > \omega_n$ . Then (4.1) has a (nonconstant) solution  $v_{\sigma}$  of minimal period T. Moreover, as  $T \uparrow \frac{2\pi}{\omega_n}$  then  $\|v_{\sigma}\|_{L^{\infty}} \to 0$ , while if  $T \downarrow 0$  then  $\|v_{\sigma}\|_{L^{\infty}} \to \infty$ .

*Proof.* As for the existence, we indicate the differences with respect to the proof of Theorem 2.2. Set  $E = L^{\alpha}(0, 2\pi; \mathbb{R}^n)$  and let  $A_{\sigma}$  be the densely defined operator given by  $A_{\sigma}u = -\sigma^2\ddot{u} - Qu$ . Since  $\sigma > \omega_n$ , then  $A_{\sigma}$  is invertible with compact inverse  $L_{\sigma}$ . For  $u \in E$  we set

$$f_{\sigma}(u) = -\frac{1}{2} \int (u, L_{\sigma}u) + \int G(u),$$

where G is the Legendre transform of U. In order to apply Theorem 1.5, we need only to find  $\hat{u} \in E$  such that  $\int (\hat{u}, L_{\sigma}\hat{u}) > 0$ : the remainder is the same as in Theorem 2.2 [let us remark that the form  $a(\cdot, \cdot)$  was not assumed to be definite]. Let  $\xi_n$  be the unit eigenvector of Q associated to  $\omega_n^2$ . Taking

$$\hat{u} = A_{\sigma}(\xi_n \sin t) = (\sigma^2 - \omega_n^2) \xi_n \sin t,$$

we obtain  $\int (\hat{u}, L_{\sigma}\hat{u}) = \pi(\sigma^2 - \omega_n^2) > 0$ .

Next, to take advantage of property (\*), we state:

**Lemma 4.2.** Let  $\sigma > \omega_n$  and  $u \in E$ ,  $u = \sum_{k \in \mathbb{Z}} u_k e^{ikt}$ ,  $u_{-k} = \overline{u}_k \in \mathbb{C}^n$ . Then

$$\begin{split} \int (u, L_{\sigma}u) &= -2\pi (u_0, Q^{-1}u_0) + \sum_{k \neq 0} \frac{1}{(\sigma k)^2} |u_k|^2 \\ &+ \sum_{k \neq 0} \frac{1}{(\sigma k)^4} (u_k, Qu_k) + \sum_{k \neq 0} \frac{1}{(\sigma k)^6} (u_k, Q^2u_k) + \dots \end{split}$$

*Proof.* The Fourier-coefficients of  $z = L_{\sigma}u$  satisfy

$$((\sigma k)^2 I - Q)z_k = u_k, \quad k \in \mathbb{Z}$$

Since, for  $k \neq 0$ ,  $\sigma k > \omega_n$ , and  $\omega_n^2$  is the largest eigenvalue of Q, we have, for those k:

$$((\sigma k)^2 I - Q)^{-1} = \frac{1}{(\sigma k)^2} \left( I + \frac{1}{(\sigma k)^2} Q + \frac{1}{(\sigma k)^4} Q^2 + \ldots \right).$$

Taking the scalar product  $(u, z) = (u, L_{\sigma}u)$  and integrating, the lemma follows.

Proof of Theorem 4.1 Completed. Let  $v = v_{\sigma}$  be the minimum of  $f_{\sigma}$  on  $M_{\sigma}$  given by Theorem 1.5. If v is  $\frac{2\pi}{m}$ -periodic for some m > 1 integer, we set  $v = \sum_{k \in \mathbb{Z}} v_{mk} e^{imkt}$  and

 $v^* = \sum_{k \in \mathbb{Z}} v_{mk} e^{ikt}$ . Using Lemma 4.2 and taking into account that Q is symmetric and positive definite, we readily conclude that  $\int (v, L_{\sigma}v) < \int (v^*, L_{\sigma}v^*)$ , in contradiction with property (\*).

As for the asymptotic behaviour, we will use the same arguments of Lemma 3.1 and Proposition 3.2 with the following modifications. Since the quadratic part of  $f_{\sigma}$  is now  $\int (u, L_{\sigma}u)$ , we need to evaluate m' and m'' in a fairly different way.

Let  $B_{\sigma}^+ = \{w \in E : ||w|| = 1, \int (w, L_{\sigma}w) > 0\}$ . Note that  $\hat{u} \in B_{\sigma}^+$  for all  $\sigma > w_n$ . As in Lemma 3.1, we have

(4.2) 
$$f_{\sigma}^{(1)}(\lambda w) > c_1 \lambda^{\alpha}$$
,

where  $\lambda$  satisfies

(4.3) 
$$\lambda^{2-\alpha} = \frac{c_2}{\int (w, L_{\sigma}w)}$$
 for some constant  $c_2$ .

From Lemma 4.2, we obtain for all w, ||w|| = 1

(4.4) 
$$\int (w, L_{\sigma}w) = -2\pi(w_0, Q^{-1}w_0) + \varrho(\sigma)$$

where  $\varrho(\sigma) = O\left(\frac{1}{\sigma^2}\right)$  uniformly with respect to w in  $\{w \in E : \|w\| = 1\}$ . In (4.4) the right-hand side tends to  $-2\pi$  ( $w_0, Q^{-1}w_0$ )<0, as  $\sigma \to \infty$ . On the other hand we have  $\int (w, L_\sigma w) > 0$  for every w in  $B_\sigma^+$ . Hence we can conclude that  $\sup\{\int (w, L_\sigma w) : w \in B_\sigma^+\} \to 0$  as  $\sigma \to \infty$ . Then, from (4.2) and (4.3) it follows that  $m'(\sigma) \to \infty$  as  $\sigma \to \infty$ . This estimate substitute the (3.4) of Lemma 3.1 and allows to show that  $\|z_\sigma\|_{L^\infty} \to \infty$  as  $T \to 0$ .

Lastly, if we take  $\hat{w} = \xi_n \sin t$ , it results

$$(4.5) \quad \int (\hat{w}, L_{\sigma}\hat{w}) = \frac{\pi}{\sigma^2 - \omega_n^2}.$$

For  $\sigma \to \omega_n$  the right-hand side in (4.5) tends to infinity, and this implies  $m''(\sigma) \to 0$ , in substitution of (3.5). Then  $||z_{\sigma}||_{L^{\infty}} \to 0$  as  $\sigma \to \omega_n$ , and the proof is complete.

Remark 4.3. The proof of Theorem 4.1 could be done, alternatively, transforming, by a change of coordinates, the system 4.1 into another one, where the quadratic part is in diagonal form.

We do not know if Theorem 4.1 holds under the weaker assumption  $\sigma > \omega_1$ ,  $k\sigma + \omega_j$ , j = 1, ..., n (non resonant case). Let us remark that in the example of [16] it is  $\omega_1 = \omega_2 = 1$  (n = 2) and the minimal period must be smaller than  $2\pi$ .

Moreover, we expect that results as in Theorem 4.1 can be obtained for more general Hamiltonian systems, but this would require additional arguments involving, for example, better estimates than in Lemma.

## 5. Perturbations and Oscillations of Large Periods and Small Amplitude

In this section we consider the case in which the Hamiltonian H is a perturbation of a homogeneous one: this will provide a wider class of systems to which apply the results of the previous sections. More precisely we consider:

(5.1) 
$$H(z) = \bar{H}(z) + R(z)$$
,

where

$$(IV_1)$$
  $\bar{H}(z) \in C^2(\mathbb{R}^{2n}, \mathbb{R})$  is strictly convex and homogeneous of degree  $\beta > 2$ ;

$$(IV_2)$$
  $R \in C^2(\mathbb{R}^{2n}, \mathbb{R}), R(0) = R'(0) = 0 \text{ and } ||R''(z)|| = o(|z|^{\beta - 2}), \text{ as } z \to 0.$ 

To take advantage of the asymptotic condition  $(IV_2)$ , we will look for solutions of (H) of small amplitude (namely near zero). Actually, we will find them as solutions of large minimal period.

**Theorem 5.1.** Assume that  $H(z) = \overline{H}(z) + R(z)$  and that  $(IV_1 - IV_2)$  hold. Then there exists  $T_0 > 0$  such that for all  $T > T_0$ , (H) has a solution having T as minimal period. The amplitude of such a solution tends to zero for  $T \to \infty$ .

The proof of the theorem is carried out in some steps. The first one consists in modifying the Hamiltonian. Let  $\chi \in C^{\infty}(\mathbb{R}^+, \mathbb{R}^+)$  be such that

$$\chi(s) = 1$$
 for  $0 \le s \le \frac{1}{2}$ ,  $\chi(s) = 0$  for  $s \ge 1$ ,  $\chi'(s) \le 0$ ,  $s > 0$ .

Put 
$$R_{\delta}(z) = R(\chi_{\delta}(|z|^2)z)$$
, where  $\chi_{\delta}(s) = \left(\frac{s}{\delta}\right)$ , and  $H_{\delta}(z) = \bar{H}(z) + R_{\delta}(z)$ .

**Lemma 5.2.** If  $\delta$  is sufficiently small then  $H_{\delta}$  is convex and satisfies  $(II_1-II_3)$ .

*Proof.* The arguments consist in standard (but long) calculations. For brevity, we indicate only the outline of the proof of  $(II_3)$ .

Suppose that  $\delta$  is so small that  $H_{\delta}$  verifies  $(II_1-II_2)$ . The following notations will be used:  $G_{\delta}$  is the Legendre transform of  $H_{\delta}$  as well as  $\bar{G}$  that of  $\bar{H}$ ; B is  $\bar{H}''^{-1}$  and  $B_{\delta}$  is  $H_{\delta}''^{-1}$ . From  $(IV_2)$  it follows that, given  $\varepsilon > 0$ , then

(5.2) 
$$|R''_{\delta}(z)v| < \varepsilon |z|^{\beta-2} |v| \quad \forall z, v \in \mathbb{R}^{2n}$$

provided  $\delta$  is small. Set  $S_{\delta} = B \circ R_{\delta}^{"}$ . Since  $\bar{H}^{"}$  is  $(\beta - 2)$ -homogeneous, then  $\|B(z)\| \le c|z|^{2-\beta}$ . Thus, using (5.2), it follows  $\|S_{\delta}(z)\| < \varepsilon$  for  $\delta$  small. Hence  $B_{\delta} = (I + S_{\delta})^{-1} \circ B = (I + \tilde{S}_{\delta}) \circ B$ , where  $\tilde{S}_{\delta} = -S_{\delta} + S_{\delta}^{2} - \ldots$  and  $\|\tilde{S}_{\delta}\| \le \varepsilon$  ( $\delta$  small). Let  $\alpha - 1 < \mu < 1$  and take  $0 < \varepsilon < \alpha(\mu - \alpha + 1)$ . In correspondence of such  $\varepsilon$  let  $\delta$  be such that all the above inequalities hold. We evaluate:

$$(G''_{\delta}(u)u, u) = (B_{\delta}(z)u, u)$$
  
$$(G'_{\delta}(u), u) = (z, H'_{\delta}(z)),$$

where  $z = G'_{\delta}(u)$  and thus  $u = H'_{\delta}(z)$ . It results

$$(5.3) \quad (B_{\delta}(z)u, u) = (B(z)u, u) + (\tilde{S}_{\delta} \circ B(z)u, u).$$

Since  $H_{\delta}$  satisfies  $(II_1)$ , it follows that  $|u| \le c|z|^{\beta-1}$ , for  $\delta$  small. Hence, this fact jointly with  $||B(z)|| \le c|z|^{2-\beta}$  implies

$$|(\tilde{S}_{\delta}B(z)u, u)| \leq \varepsilon ||B(z)|| |u|^2 \leq \varepsilon |z|^{\beta}$$
.

As for the first addendum in the right hand side of (5.3), setting  $w_0 = \overline{H}'(z)$  and  $w_{\delta} = R'_{\delta}(z)$ , it results  $u = w_0 + w_{\delta}$  and

$$(5.4) \quad (B(z)u, u) = (B(z)w_0, w_0) + (B(z)w_0, x_\delta) + (B(z)w_\delta, u).$$

In (5.4) the second and third addendum are bounded, for  $\delta$  small, by  $\varepsilon |z|^{\beta}$ . Moreover, we have

$$(z, H'_{\delta}(z)) = (z, w_0) + (z, w_{\delta}) \leq (\bar{G}'(w_0), w_0) + \varepsilon |z|^{\beta}.$$

Combining these inequalities, we have, for  $\delta$  small enough:

$$\begin{split} (G_{\delta}''(u)u, u) - (G_{\delta}'(u), u) &\leq (B(z)w_0, w_0) - (w_0, \bar{G}'(w_0)) + \varepsilon |z|^{\beta} \\ &= (\bar{G}''(w_0)w_0, w_0) - \mu(w_0, \bar{G}'(w_0)) + \varepsilon |z|^{\beta} \\ &= (\alpha(\alpha - 1) - \mu\alpha)\bar{G}(w_0) + \varepsilon |\bar{G}'(w_0)|^{\beta} \leq (\alpha(\alpha - 1) - \mu\alpha + \varepsilon)c|w_0|^{\alpha} < 0. \end{split}$$

*Proof of Theorem 5.1.* Let  $\delta$  be such that Lemma 5.2 holds. By Theorem 2.2 we know that for all T>0 the system

$$\dot{z} = JH'_{\delta}(z)$$

has a solution  $z_{\sigma}$  with  $T = \frac{2\pi}{\sigma}$  as minimal period. By Proposition 3.2 we infer that there exists  $T_0 > 0$  such that for  $T > T_0 \|z_{\sigma}\|_{\infty} \le \delta$ . Since for  $|z| \le \delta$ , H(z) coincides with  $H_{\delta}(z)$ , we conclude that the found solution is actually a solution of (H).

Remark. If H is subquadratic, the existence of a T-periodic solution (with T minimal period), T large enough, has been proved by Brezis and Coron [20] by means of perturbation arguments, but in the framework of the paper by Clarke and Ekeland [8].

An interwinning of our techniques and those of Clarke and Ekeland [9] yields to prove a result concerning the existence of subharmonics like Theorem 2.34 of Rabinowitz [17]. We will not carry over the details.

#### 6. A Further Existence Result

In this section we expose another existence theorem where we can eliminate the growth restrictions in  $(II_1)$ . For brevity, we will omit below some details, which either are similar to the arguments already discussed or are essentially known results of convex analysis (see for example Rockafeller [18]). We assume:

- $(III_1)$   $H \in C^2(\mathbb{R}^{2n}; \mathbb{R})$ , H is strictly convex,  $H(z) \ge 0$ ,
- (III<sub>2</sub>)  $\beta H(z) \leq (H'(z), z) \quad \forall z \in \mathbb{R}^{2n}$ ,
- $(III_3) \quad |H'(z)| \leq c \|H''(z)\| \cdot |z| \quad \forall z \in \mathbb{R}^{2n},$
- $(III_A)$   $(H''(z)\zeta,\zeta) \ge c \|H''(z)\| \forall \zeta, z \in \mathbb{R}^{2n}, |\zeta| = 1,$
- $(III_5) \quad \exists \mu ]0,1[:(G''(u)u,u) \leq \mu(G'(u),u) \quad \forall u \in \mathbb{R}^{2n} \setminus \{0\}.$

In  $(III_1-III_5)$  we have used the same notations as above. We remark (see Ekeland [11]) that  $(III_2)$  is equivalent to assume that

(6.1) 
$$H(sz) \ge s^{\beta} H(z) \quad \forall z, \forall s \ge 1$$

as well as (6.1) jointly with

(6.2) 
$$|H'(sz)| \ge s^{\beta-1} |H'(z)| \quad \forall s \ge 1, \forall z$$

implies  $(III_3)$ . However, we do not know if  $(III_3)$  implies (6.1). We have introduced assumptions  $(III_3-III_4)$  to prove:

Lemma 6.1. Assume (III<sub>1</sub>-III<sub>4</sub>). Then

$$|G''(u)u| \leq c_1 + c_2 |u|^{\alpha - 1} \quad \forall u \in \mathbb{R}^{2n}.$$

*Proof.* Set z = G'(u). It results by  $(III_4)$ :

$$|G''(u)u| \le \frac{|u|}{\min_{|\zeta|=1} (H''(z)\zeta,\zeta)} \le c \frac{|u|}{\|H''(z)\|}.$$

Using  $(III_3)$  we get  $|G''(u)u| \le c|z|$ .  $(III_2)$  and the convexity of G imply that  $|z| = |G'(u)| \le c|u|^{\alpha-1}$ , provided  $|z| \ge 1$ . If  $|z| \le 1$  we have  $|G''(u)u| \le c$ . Set

(6.3) 
$$H_n(z) = \inf_{y \in \mathbb{R}^{2n}} \left\{ H(z-y) + \frac{n}{\beta} |z|^{\beta} \right\}.$$

It is well known that  $H_n$ , the inf-convolution of H and  $\frac{n}{\beta}|z|^{\beta}$ , is convex and its dual  $G_n(u)$  coincides with  $G(u) + \frac{1}{\alpha n^{\alpha-1}}|u|^{\alpha}$ . Then, using also Lemma 6.1, it is easy to see that  $G_n$  satisfies all the assumptions G1-G6, with the modifications allowed in Remark 2.6. Furthermore  $H'_n \to H'$  uniformly on bounded sets. We are ready to state:

**Theorem 6.2.** Assume  $(III_1-III_5)$ . Then, for all T>0, (H) has a solution, having T as minimal period.

*Proof.* Fix  $T = 2\pi$ . Due to the preceding remarks, we can apply Theorem 2.2 to get a solution  $z_n$  of

$$(H_n) \quad -J\dot{z}_n = H'_n(z_n);$$

 $z_n$  has  $2\pi$  as minimal period. We first show that  $z_n$  converges in  $C^1$  to a solution z of (H). Indeed, by Remark 3.3 [with  $H_n$  given by (6.3) and  $H \equiv H_1$ ] it follows that  $[C_n \equiv H_n(z_n)$  and thus]  $\|z_n\|_{L^\infty} \le c$ . From  $(H_n)$  it follows that also  $\|\dot{z}\|_{L^\infty} \le c$ . Hence we can extract a subsequence  $z_n \to z$  uniformly. Equation  $(H_n)$  implies that  $z_n \to z$  in  $C^1$  and  $-J \cdot \dot{z} = H'(z)$ .

It remains to prove that z has  $2\pi$  as minimal period.

We set  $v = H'(z) = \lim_{n} H'_{n}(z_{n})$ , and prove that v has minimal period  $2\pi$ . Set

$$\hat{M} = \{ u \in L^{\infty} : \int (u, Lu) = \int (G'(u), u) \}.$$

It is easy to see that v (belongs to  $\hat{M}$  and) minimizes  $f(u) = -\frac{1}{2}\int (Lu, u) + \int G(u)$  on  $\hat{M}$  [note that, due to  $(III_2)$  and the convexity of G, it results  $G(v) \ge c|v|^{\alpha}$  for  $v \in \mathbb{R}^{2n}$ ,  $|v| \le 1$ ]. Now, if  $\tilde{v}(t) = v\left(\frac{t}{k}\right)$  is  $2\pi$ -periodic for some k > 1, from  $\delta \tilde{v} \in \hat{M}$  for a  $\delta < 1$ , and  $f(\delta \tilde{v}) < f(\delta v) < f(v)$  we get a contradiction, so the proof is complete.

Acknowledgement. The A. A. wish to thank I. Ekeland for the useful discussions.

## References

- Ambrosetti, A.: Esistenza di infinite soluzioni per problemi non lineari in assenza di parametro. Atti Accad. Naz. Lincei Rend. Cl. Sci. Fis. Mat. Nat. 52, 402-409 (1972)
- Ambrosetti, A.: On the existence of multiple solutions for a class of nonlinear boundary value problems. Rend. Sem. Mat. Univ. Padova 49, 195-204 (1973)
- Ambrosetti, A.: A perturbation theorem for superlinear boundary value problems. M.R.C. Techn. Rep. 41, No. 41 (1974)
- 4. Ambrosetti, A., Mancini, G.: Remarks on some free boundary problems. To appear on "Contributions to nonlinear partial differential equations". Ed. H. Berestycki, H. Brezis, Pittman
- Ambrosetti, A., Rabinowitz, P.H.: Dual variational methods in critical point theory and applications. J. Functional Analysis 14, 349-381 (1973)
- 6. Brezis, H., Coron, J.M., Nirenberg, L.: Free vibrations for a nonlinear wave equation and a theorem of P. Rabinowitz (to appear)
- 7. Clark, D.C.: A variant of the Lusternik-Schnirelman theory. Ind. Univ. Math. J. 22, 65-74 (1972)
- Clarke, F., Ekeland, I.: Hamiltonian trajectories having prescribed minimal period. Comm. Pure Appl. Math. 33, 103-116 (1980)

- 9. Clarke, F., Ekeland, I.: Nonlinear oscillations and boundary value problems for Hamiltonian systems (to appear)
- Coffmann, C.V.: A minimum-maximum principle for a class of nonlinear integral equation. J. Analyse Math. 22, 391-419 (1969)
- 11. Ekeland, I.: Periodic solutions of Hamiltonian equations and a theorem of P. Rabinowitz. J. Differential Equations 34, 523-534 (1979)
- 12. Hempel, J.A.: Superlinear variational boundary value problems and nonuniqueness. Thesis, Univ. New England, Aus., 1970
- 13. Hempel, J.A.: Multiple solutions for a class of nonlinear boundary value problems. Ind. Univ. Math. J. 20, 983-996 (1971)
- 14. Nehari, Z.: Characteristic values associated with a class of nonlinear second-order differential equations. Acta Math. 105, 141-175 (1961)
- 15. Rabinowitz, P.H.: Variational methods for nonlinear eigenvalue problems, in Eigenvalues of nonlinear problems (C.I.M.E.). Ed. Cremonese
- Rabinowitz, P.H.: Periodic solutions of Hamiltonian systems. Comm. Pure Appl. Math. 11, 157– 184 (1978)
- 17. Rabinowitz, P.H.: On subharmonic solutions of Hamiltonian systems (to appear)
- 18. Rockafellar, R.: Convex analysis. Princeton: Princeton University Press 1970
- 19. Amann, H., Zehnder, E.: Periodic solutions of asymptotically linear Hamiltonian systems. Manuscripta Math. 32, 149–189 (1980)
- 20. Brezis, H., Coron, J.M.: Periodic solutions of nonlinear wave equations and Hamiltonian systems (to appear)
- 21. Clarke, F.: Periodic solutions to Hamiltonian inclusions. To appear in J. Differential Equations

Received November 25, 1980