

## FINITE MINKOWSKI PLANES IN WHICH EVERY CIRCLE-SYMMETRY IS AN AUTOMORPHISM

### 1. INTRODUCTION

Our main result is as follows: a finite Minkowski plane in which every circle admits an automorphism fixing it pointwise is either a 'Miquelian' plane or a plane associated to a non-projective sharply 3-transitive group.

1.1. DEFINITIONS. Let  $M$  be a set of *points* provided with a family  $\mathcal{C}$  of subsets called *circles* and two other families  $\mathcal{L}_1, \mathcal{L}_2$  of subsets called *lines* (or more precisely  $\mathcal{L}_1$ -*lines* and  $\mathcal{L}_2$ -*lines*, respectively). We say that two circles are *tangent* if their intersection is a unique point. The quadruple  $(M, \mathcal{L}_1, \mathcal{L}_2, \mathcal{C})$  is a *Minkowski plane* (Heise and Karzel [6]) if the following axioms hold:

- (M1)  $\mathcal{L}_1$  and  $\mathcal{L}_2$  are partitions of  $M$ ;
- (M2) every  $\mathcal{L}_1$ -line meets every  $\mathcal{L}_2$ -line in a unique point;
- (M3) there is a circle containing at least three points;
- (M4) through three distinct points, such that no two of them are on a common line, there is a unique circle;
- (M5) every circle intersects every line in a unique point;
- (T) given a circle  $C$ , a point  $p \in C$  and a point  $p' \notin C$ , with  $p$  and  $p'$  not on a line, there is one and only one  $C'$  through  $p'$ , such that  $C \cap C' = \{p\}$ .

If a Minkowski plane is finite—i.e. the set of points is finite—all its lines and all its circles have the same number of points  $q + 1$ , where  $q \geq 2$  is called the *order* of the plane. Actually, in finite planes, the 'tangency axiom' (T) is a consequence of the preceding conditions (Heise and Karzel [6], Percsy [13]).

Two Minkowski planes  $M$  and  $M'$  are called *isomorphic* if there exists an *isomorphism* from  $M$  onto  $M'$ , i.e. a one-to-one mapping preserving lines and circles. An isomorphism from  $M$  on  $M$  is called an *automorphism* of  $M$ .

### 1.2. Examples of Minkowski Planes

A classical example of Minkowski plane (the 'Miquelian' plane) is given by the geometry of a ruled quadric  $Q$  in a three-dimensional projective space:  $M$  is the set of points of  $Q$ ,  $\mathcal{L}_1$  and  $\mathcal{L}_2$  are the two families of lines contained in  $Q$ , and the circles are the non-degenerate plane sections of  $Q$ .

In view of this example, we say that a Minkowski plane is *embeddable* in a three-dimensional projective space  $P$  if it is isomorphic to a plane  $(M, \mathcal{L}_1, \mathcal{L}_2, \mathcal{C})$ , where  $M$  is a set of points of  $P$ ,  $\mathcal{L}_1$  and  $\mathcal{L}_2$  are families of lines of  $P$  contained in  $M$ , and each element of  $\mathcal{C}$  is the intersection of  $M$  and of a plane of  $P$ .

Given a finite field  $F_q$  of order  $q$ , there is a unique (up to isomorphism) Minkowski plane that is embeddable in  $PG(3, q)$ : this plane is isomorphic to the geometry of a ruled quadric in  $PG(3, q)$  and will be denoted by  $Mn(F_q)$ . This property is a consequence of Segre's results on 'reguli' [16] (Percsy [15]).

By using Benz's method ([1] or [2, p. 296]),<sup>1</sup> a non-embeddable Minkowski plane can be obtained from any sharply 3-transitive set of permutations, containing the identity, that is not a projective group. For instance, there is a Minkowski plane associated with every non-projective sharply 3-transitive group. Such a group has degree  $q = p^{2m} + 1$ , where  $p$  is an odd prime and  $m$  a positive integer (Passman [11, p. 261]) and the corresponding Minkowski plane will be denoted by  $Mn(N_q)$ .<sup>2</sup>

According to Pedrini [12], and contrarily to a guess of Heise and Sørensen [7], there exists also finite sharply 3-transitive sets of permutation, containing the identity, that are not groups.<sup>3</sup> Recently, Wilbrink [18] obtained independently a slight generalization of Pedrini's construction. By a short and neat proof, he establishes the existence of (at least) one Minkowski plane of order  $p^n$  for each odd prime  $p$  and each integer  $n \geq 3$ , which is not isomorphic to  $Mn(F_q)$  or  $Mn(N_q)$ .

### 1.3. A Common Description of all known Finite Models

We give briefly a common description of all known finite Minkowski planes in a manner that differs slightly from that of Wilbrink.

We first introduce the following (right distributive) quasi-field. Let  $S$  be the multiplication subgroup of all (non-zero) squares of  $F = GF(q)$ , for  $q$  odd, and let  $\sigma$  be a non-trivial field automorphism of  $F$ . A new multiplication is defined in  $F$  by

$$x \circ y = \begin{cases} xy & \text{if } y \in S; \\ x^\sigma y & \text{if } y \notin S. \end{cases}$$

The set  $F$ , provided with its original addition and the new multiplication  $\circ$ , becomes a quasi-field, which is not a field, and which will be denoted by  $Q_q^\sigma$ . The proof follows easily from Dembowski [3, (4), p. 222], for instance, by using the property that  $S$  is a subgroup of index 2 and  $S^\sigma = S$ . The particular case  $\sigma^2 = 1$  leads to the regular nearfield  $N_q$  of rank 2 over its kernel and

<sup>1</sup> Let us recall that in the finite case, Axiom (T) is not needed, so Benz's result applies.

<sup>2</sup> This notation will be explained in 1.3.

<sup>3</sup> Moreover, the geometry called '3-rete'—obtained by Pedrini from such a set—is actually a Minkowski plane (Axiom (T) is not needed).

odd order  $q$  (or  $N(2, \sqrt{q})$  according to the notation of Dembowski [3, p. 33]).

Following Wilbrink [18], we define a set of permutations on  $F \cup \{\infty\}$  (where  $\infty \notin F$ ), as follows:

$PGL(Q_q^\sigma) = G_1 \cup (G_2\bar{\sigma})$ , where  $G_1 = PSL(2, q)$  and  $G_2 = PGL(2, q) \setminus G_1$  in their natural action on  $F \cup \{\infty\}$ , and  $\bar{\sigma}$  is the permutation fixing  $\infty$  that coincides with  $\sigma$  on  $F$ .

We thus obtain a sharply 3-transitive set on  $F \cup \{\infty\}$  (Pedrini [12] for a particular value of  $\sigma$  and Wilbrink [18] for the general case). If  $Q_q^\sigma = N_q$ , we obtain the non-projective sharply 3-transitive group of degree  $q + 1$  (described in Passman [11, p. 261], for instance). Accordingly, we shall write  $PGL(F_q)$  instead of  $PGL(2, q)$  (here  $q$  may be even).

Now let  $K, +, \circ$  be either the field  $F_q$  of arbitrary order  $q$ , or the regular nearfield  $N_q$  of rank 2 over its kernel and odd order  $q$ , or the (proper) quasi-field  $Q_q^\sigma$  of odd order  $q$ , where  $\sigma^2 \neq 1$ . The *Minkowski plane*  $Mn(K)$  over  $K$  is defined as follows:

- (i)  $M = \bar{K} \times \bar{K}$ , where  $\bar{K} = K \cup \{\infty\}$  and  $\infty \notin K$ ;
- (ii)  $\mathcal{L}_1 = \{(k, x_2) \mid x_2 \in \bar{K} \mid k \in \bar{K}\}$   
and  $\mathcal{L}_2 = \{(x_1, k) \mid x_1 \in \bar{K} \mid k \in \bar{K}\}$ ;
- (iii)  $\mathcal{C} = \{(x_1, x_2) \mid x_2 = \phi(x_1)\} \mid \phi \in PGL(K)\}$ .

Finally, let us mention a useful description of  $PGL(K)$ —or, equivalently, of the circles of  $Mn(K)$ . It is well known that the elements of  $PGL(F_q)$  can be written in the form  $x \mapsto (ax + b)/(cx + d)$  (for  $a, b, c, d \in F_q$ , with  $ad - bc \neq 0$ ). We generalize this property by considering a natural involutory automorphism  $\alpha$  of the multiplicative loop of  $Q_q^\sigma$ : for a non-zero  $a \in Q_q^\sigma$ ,  $a^\alpha$  is the inverse of  $a$  with respect to the multiplication of the associated field  $F_q$ . Now  $PGL(Q_q^\sigma)$  is the set of all permutations  $\phi$  of  $Q_q^\sigma \cup \{\infty\}$  of one of the following forms:

- (i)  $\phi(x) = \begin{cases} x \circ a + b, & \text{if } x \in Q_q^\sigma; \\ \infty, & \text{if } x = \infty; \end{cases}$
- (ii)  $\phi(x) = \begin{cases} (x + b)^\alpha \circ a + c, & \text{if } x \in Q_q^\sigma - \{-b\}; \\ \infty, & \text{if } x = -b; \\ c, & \text{if } x = \infty; \end{cases}$

where  $a, b, c \in Q_q^\sigma$  and  $a \neq 0$ .

This description explains better the notation  $PGL(Q_q^\sigma)$ . Also, by using it, one proves immediately that the residual affine plane at  $(\infty, \infty)$  (see 2.1) of  $Mn(K)$  is a translation plane which can be coordinatized over  $K$ .

#### 1.4. Circle-Symmetries

There is a natural way to define, in a Minkowski plane, a *symmetry with respect to each circle*  $C$ ; it is a permutation  $\sigma_C$  of  $M$ , fixing all points of  $C$ ,

and mapping each  $p \notin C$  on the unique point  $p' \notin C$  such that the lines through  $p'$  meet  $C$  in the same points as the lines through  $p$  (see Axioms M1, M2, M5). So  $\sigma_C$  is an involutory permutation of  $M$ , preserving lines, whose set of fixed points is  $C$ ; it is not necessarily an automorphism of the plane.

For the finite Minkowski planes  $Mn(K)$  introduced in 1.3, we have the following precise result, proved in 2.8:

**THEOREM A.** (i) *If  $K = F_q$  or  $N_q$ , every symmetry with respect to a circle is an automorphism.*

(ii) *If  $K = Q_q^\sigma$ , where  $\sigma^2 \neq 1$ , none of the symmetries with respect to a circle is an automorphism.*

Our main result states that the property involved in Theorem A actually characterizes the planes over  $F_q$  or  $N_q$  (i.e. the planes associated to a sharply 3-transitive group):

**THEOREM B.** *A finite Minkowski plane in which every symmetry with respect to a circle is an automorphism is isomorphic to a plane over  $F_q$  or  $N_q$ .*

Let us note that a non-trivial automorphism of a Minkowski plane fixing a circle  $C$  pointwise must be the symmetry  $\sigma_C$  (Dienst [4, §2]). Theorem B can thus be stated in the following way:

**COROLLARY.** *A finite Minkowski plane in which every circle admits a non-trivial automorphism fixing it pointwise is isomorphic to a plane over  $F_q$  or  $N_q$ .*

The proof of Theorem B is based especially on the Hering–Kantor–Seitz–Shult classification of finite groups with a split  $BN$ -pair of rank 1 [8]. Let us recall that, for even order Minkowski planes, there is a stronger result than Theorem B (Heise [5], Percsy [13]): an even order plane is isomorphic to  $Mn(F_q)$  for some even prime power  $q$ . But our proof works also in that case, and we did not omit it *a priori*. Let us also remark that some of our results hold for infinite Minkowski planes; this is briefly discussed in 4.9.

Finally, we list here some information about the group  $\Sigma$  generated by all symmetries with respect to a circle in a Minkowski plane of finite order  $q$ , in which all these symmetries are automorphisms.

**THEOREM C.** *The subset of all elements of  $\Sigma$  stabilizing  $\mathcal{L}_1$  and  $\mathcal{L}_2$  is a normal subgroup  $\Sigma^+$  of index 2. It contains a normal subgroup  $T$ , isomorphic to the direct product  $T_1 \times T_2$ , where  $T_i$  is the subgroup of  $\Sigma$  of all elements stabilizing every  $\mathcal{L}_i$ -line ( $i = 1, 2$ );  $T$  has index 2 in  $\Sigma^+$  or  $T$  equals  $\Sigma^+$  according to whether  $q$  is odd or even.<sup>4</sup>*

*Moreover,  $T_1$  and  $T_2$ , acting on an arbitrary  $\mathcal{L}_1$ -line or  $\mathcal{L}_2$ -line, respectively, are isomorphic to  $PSL(2, q)$  in its usual representation over  $PG(1, q)$ .*

<sup>4</sup> The elements of  $T$  (resp.  $T_i$ ) are called *translations* (resp.  $\mathcal{L}_i$ -*translations*) for their remarkable properties (see 3.2, 3.3).

The orbits of the groups  $T$  and  $\Sigma$  on the set of circles  $\mathcal{C}$  are the equivalence classes with respect to the equivalence relation generated by the tangency relation.<sup>5</sup> There are one or two orbits according to whether  $q$  is even or odd.

## 2. AUTOMORPHISMS

2.1. Let  $(M, \mathcal{L}_1, \mathcal{L}_2, \mathcal{C})$  be an arbitrary Minkowski plane. The *residual plane*  $M_p$  with respect to a point  $p \in M$  is the set  $M - (L_1 \cup L_2)$ , where  $L_1, L_2$  are the lines through  $p$ , provided with all non-void subsets  $K \cap M_p$  for  $K \in \mathcal{L}_1 \cup \mathcal{L}_2 \cup \mathcal{C}$ . Such a subset  $K \cap M_p$  is called a *hyperbol* of  $M_p$  if  $K$  is a circle of  $M$  not containing  $p$ ; it is called a *line* if  $K$  is a circle through  $p$  or a line of  $M$  (distinct from  $L_1, L_2$ ). More precisely, if  $K \in \mathcal{L}_1 \cup \mathcal{L}_2$  ( $K \neq L_1, L_2$ ),  $K \cap M_p$  is an *isotropic line*.

2.2. RESULT (Heise and Karzel [6]). *The residual plane  $M_p$  at a point  $p$  of a Minkowski plane  $M$ , provided with its lines, is an affine plane.*

Let us note that  $K \cap M_p$  and  $K' \cap M_p$  are parallel iff either  $K$  and  $K'$  are tangent circles at  $p$  or they both belong to  $\mathcal{L}_i$ , for  $i = 1$  or  $2$ .

2.3. As a consequence of 2.2, each residual  $M_p$  can be extended to a projective plane  $\bar{M}_p$ . Let us denote by  $M_p^\infty$  the ideal line of  $M_p$  and by  $\infty_i$  the ideal point of the isotropic lines corresponding to  $\mathcal{L}_i$ -lines of  $M$  ( $i = 1$  or  $2$ ). It is easily checked that, for each hyperbol  $H$ ,  $H \cup \{\infty_1, \infty_2\}$  is an oval in the projective plane  $\bar{M}_p$ .

2.4. Clearly, every automorphism of the Minkowski plane  $M$ , fixing a point  $p$ , induces a collineation in  $M_p$  (resp. in  $\bar{M}_p$ ) preserving isotropic lines and hyperbols (resp. stabilizing  $\{\infty_1, \infty_2\}$  and preserving hyperbols). The converse is true:

2.5. RESULT (Percsy [15]). *A collineation  $\alpha$  of  $M_p$ , mapping each hyperbol on a hyperbol and each isotropic line on an isotropic line, induces an automorphism  $\hat{\alpha}$  of the Minkowski plane  $M$  fixing  $p$ .*

The proof is straightforward; we only mention how  $\hat{\alpha}$  acts on the lines  $L_1, L_2$  through  $p$ . By Axioms M1 and M2 there is a natural one-to-one mapping  $\sigma$  from  $(L_1 \cup L_2) - \{p\}$  on the set of isotropic lines: for every point  $a \in L_i - \{p\}$ ,  $a^\sigma$  is the line  $L_a \in \mathcal{L}_{i+1}$  containing it ( $i \equiv 1$  or  $2 \pmod{2}$ ). Since  $\alpha$  induces a (one-to-one) mapping on the isotropic lines, we obtain, by using  $\sigma$ , a permutation of  $L_1 \cup L_2$ , which is precisely the action of  $\hat{\alpha}$  on this set (obviously, we define  $\hat{\alpha}(p) = p$ ).

<sup>5</sup> Two circles  $C$  and  $C'$  are equivalent in that sense iff there are circles  $C_1, \dots, C_n$  such that  $C_1 = C$ ,  $C_n = C'$  and  $C_i$  is tangent to  $C_{i+1}$  for  $i = 1, \dots, n - 1$ .

2.6. Let us denote by  $\text{Aut}(M)$  the full automorphism group of an arbitrary Minkowski plane  $M$ . We use the following notation:

- (i)  $\text{Aut}^+(M)$  (resp.  $\text{Aut}^-(M)$ ) is the set of all automorphisms of  $M$  preserving (resp. interchanging)  $\mathcal{L}_1$  and  $\mathcal{L}_2$ .
- (ii)  $\text{Aut}_i(M)$  (for  $i = 1$  or  $2$ ) is the subgroup of  $\text{Aut}^+(M)$  of all automorphism stabilizing each  $\mathcal{L}_i$ -line.

Clearly,  $\text{Aut}^+(M)$  is either a normal subgroup of index 2 in  $\text{Aut}(M)$  or  $\text{Aut}(M)$  itself.

2.7. PROPOSITION. *In a Minkowski plane  $M$ , the following properties hold for  $i = 1$  and  $2$ :*

- (i)  $\text{Aut}_i(M)$  is a normal subgroup of  $\text{Aut}^+(M)$ ;
- (ii) if  $\alpha \in \text{Aut}_i(M)$  fixes a point  $x$ , it fixes the  $\mathcal{L}_{i+1}$ -line through  $x$  pointwise ( $i \equiv 1, 2 \pmod{2}$ );
- (iii)  $\text{Aut}_i(M)$  acts semi-regularly on  $\mathcal{C}$ ;<sup>6</sup>
- (iv) a non-trivial element of  $\text{Aut}_i(M)$  fixes at most two points on each  $\mathcal{L}_i$ -line;
- (v) each element of  $\text{Aut}_1(M)$  commutes with each element of  $\text{Aut}_2(M)$ .

*Proof.* The proof is straightforward. For (iv) and (v), we use the property that an  $\alpha \in \text{Aut}_i(M)$ , fixing a point  $p$ , induces a central collineation  $\bar{\alpha}$  in  $\bar{M}_p$  of centre  $\infty_i$ .

2.8. *Proof of Theorem A.* According to the notations of 1.3, let  $C$  be a circle  $y = \phi(x)$ , where  $\phi \in PGL(K)$ . Clearly, the symmetry  $\sigma_C$  with respect to  $C$  is the mapping

$$(x_1, x_2) \mapsto (\phi^{-1}(x_2), \phi(x_1)), \quad (1)$$

where  $\phi \in PGL(K)$ .

By Benz [2, Satz 1.2, p.297], (1) is an automorphism iff for all  $\psi \in PGL(K)$ ,

$$\phi\psi^{-1}\phi \in PGL(K). \quad (2)$$

The latter condition is obviously satisfied if  $K = F_q$  or  $N_q$  for  $PGL(K)$  is then a group. If  $K = Q_q^\sigma$ , it is easily checked that it is not satisfied (we use the definition of  $PGL(Q_q^\sigma)$  in 1.3 and the property that  $\bar{\sigma}G_i\bar{\sigma}^{-1} = G_i$  for  $i = 1, 2$ ).

### 3. TRANSLATIONS

The following result shows the importance, for our problem, of a certain kind of automorphism, called *translation* (Definition 3.2). The study of these

<sup>6</sup> As usually, this means that, given  $C, C'$  in  $\mathcal{C}$ , there is at most one element of  $\text{Aut}_i(M)$  mapping  $C$  on  $C'$ . In other words, only the identity stabilizes a given circle.

automorphisms leads to Theorem 3.6, which is proved by using the Hering–Kantor–Seitz–Shult classification [8].

**3.1. RESULT (Dienst [4]).** *Let  $M$  be a (not necessarily finite) Minkowski plane in which every symmetry with respect to a circle is an automorphism; denote by  $\Sigma$  the group generated by all circle-symmetries. Then, for all  $p \in M$ ,  $M_p$  is a translation plane and every translation of  $M_p$  induces (in the sense of 2.5) an automorphism of  $M$  contained in  $\Sigma$ .*

The second part of this result does not appear explicitly in [4], but the author uses a theorem of Pickert which implies the entire property 3.1.

**3.2. DEFINITION.** An automorphism  $\alpha$  of an (arbitrary) Minkowski plane  $M$  is called a *translation* if there exists a point  $p$ , fixed by  $\alpha$ , such that  $\alpha$  induces a translation in  $M_p$ . A translation belonging to  $\text{Aut}_i(M)$  is an  $\mathcal{L}_i$ -translation ( $i = 1, 2$ ).

**3.3. PROPOSITION.** *For an automorphism  $\alpha$ , the following properties are equivalent ( $i \equiv 1, 2 \pmod{2}$ ):*

- (i)  $\alpha$  is an  $\mathcal{L}_i$ -translation;
- (ii)  $\alpha$  fixes a point  $q$  and induces a translation in  $M_q$  fixing  $\infty_i$ ;
- (iii) the fixed points of  $\alpha$  are all the points on a line  $K \in \mathcal{L}_{i+1}$ ;
- (iv)  $\alpha$  is in  $\text{Aut}_i(M)$  and there is an  $\mathcal{L}_i$ -line admitting a unique fixed point  $p$ .

*Proof.* (i)  $\Rightarrow$  (ii). This is a consequence of Definition 3.2.

(ii)  $\Rightarrow$  (iii).  $\alpha$  stabilizes each  $\mathcal{L}_i$ -line not containing  $q$ ; so the line  $L_i \in \mathcal{L}_i$  through  $q$  is also fixed and the line  $L_{i+1} \in \mathcal{L}_{i+1}$  through  $q$  must be fixed pointwise (Axiom M2). There are no fixed point in  $M_q$ , nor on  $L_i - \{q\}$  (for no  $\mathcal{L}_{i+1}$ -line, except  $L_{i+1}$ , is fixed, see 2.7(ii)).

(iii)  $\Rightarrow$  (iv). Since  $K$  is fixed,  $\alpha$  belongs to  $\text{Aut}^+(M)$ . Consequently every  $\mathcal{L}_i$ -line must be fixed, since it contains a unique fixed point.

(iv)  $\Rightarrow$  (i). In  $\bar{M}_p$ ,  $\alpha$  induces a central collineation of centre  $\infty_i$  and fixing  $\infty_{i+1}$ . This collineation must be a translation of  $M_p$  otherwise there would be an  $\mathcal{L}_{i+1}$ -line pointwise fixed, meeting  $L$  in a second fixed point (2.7(ii)).

**3.4. COROLLARY.** *Let  $p$  be a point and  $L_i \in \mathcal{L}_i$  a line through  $p$  such that, for all  $a, b \in L_i - \{p\}$ , there exists an  $\mathcal{L}_i$ -translation fixing  $p$  and mapping  $a$  on  $b$ . Then the set  $\mathcal{T}_i$  of all  $\mathcal{L}_i$ -translations fixing  $p$  is a group acting regularly on  $L_i - \{p\}$ . Moreover, if the hypotheses hold for  $i = 1$  and  $i = 2$ , the groups  $\mathcal{T}_i$  are abelian.*

*Proof.* Note that by 3.3(iii), all elements of  $\mathcal{T}_i$  fix a point  $q$  not on  $L_i$ . The first assertion follows thus from 3.3(ii) and from similar properties of translations in affine planes (Dembowski [3, p.131 and no.15, p.122]).

The second part is a consequence of 3.3(ii) (where  $q = p$ ) and Dembowski [3, p.131 and no.11, p.121].

3.5. Let us note that, for all  $p, a, b \in M$ , such that  $a, b$  are not on a line through  $p$ , there is at most one translation fixing  $p$  and mapping  $a$  on  $b$ . In view of Definition 3.2, this follows from a similar property of translations in affine planes (Dembowski [3, p.131 and no.15, p.122]).

According to 3.1, we are interested in Minkowski planes having all possible translations:

3.6. THEOREM. *Let  $M$  be a Minkowski plane such that for all  $a, b, p \in M$ , with  $a$  and  $b$  not on a line through  $p$ , there exists a translation fixing  $p$  and mapping  $a$  on  $b$ . Then, the automorphism group generated by all translations of  $M$  is the normal subgroup  $T_1 \times T_2$  of  $\text{Aut}(M)$ , where  $T_i$  denotes the group generated by all  $\mathcal{L}_i$ -translations, for  $i = 1, 2$ .<sup>7</sup>*

*Moreover, if  $M$  has finite order  $q$ , then  $q$  is a prime power and for  $i = 1, 2, T_i$ , as a permutation group acting on an arbitrary  $\mathcal{L}_i$ -line, is isomorphic to  $\text{PSL}(2, q)$  in its usual representation over a projective line.*

3.7. LEMMA. *The conjugate, in  $\text{Aut}(M)$ , of an  $\mathcal{L}_i$ -translation is an  $\mathcal{L}_j$ -translation, for some  $j$ .*

*Proof.* This follows immediately from Proposition 3.3(iii) which provides an intrinsic geometric characterization of  $\mathcal{L}_i$ -translations.

3.8. *Proof of the First Part of 3.6.* By 3.2 and the well-known properties of translations in affine planes (see, for instance, Dembowski [3, p. 131]), every translation of  $M$  is a product of an  $\mathcal{L}_1$ - and an  $\mathcal{L}_2$ -translation. Consequently, the group generated by all translations must be  $T_1 \cdot T_2$  (see also 2.7(v)). Moreover,  $T_1$  and  $T_2$  are normal subgroups in  $\text{Aut}^+(M)$  (by 3.7 and 2.7(i)) and, clearly,  $T_1 \cap T_2$  is the identity. Thus  $T_1 \cdot T_2$  is actually a direct product and, by 3.7, must be normal in  $\text{Aut}(M)$ .

The proof of the second assertion of Theorem 3.6 is based on the following part of the Hering–Kantor–Seitz–Shult classification of groups with a split  $BN$ -pair of rank 1. It is a consequence of Lemmas 3.10 to 3.13.

3.9. RESULT (Hering–Kantor–Seitz [8]). *Let  $G$  be a finite group doubly transitive on a set  $\Omega$ , such that for each  $a \in \Omega$ , the stabilizer  $G_a$  of  $a$  has a normal subgroup regular on  $\Omega - \{a\}$ .*

*Then  $G$  has a normal subgroup which acts on  $\Omega$  as one of the following groups in its usual 2-transitive representation on  $q + 1$  points:*

- (I) *a sharply 2-transitive group;*
- (II)  *$\text{PSL}(2, q)$ ;*

<sup>7</sup>  $T_1 \times T_2$  denotes a direct product.



- (III)  $Sz(\sqrt{q})$ ;
- (IV)  $PSU(3, \sqrt[3]{q})$ ;
- (V) a group of Ree type.

Moreover, each of these groups satisfies the above hypotheses.

3.10. LEMMA. *The group  $T_i$ , defined in 3.6, acting on an arbitrary  $\mathcal{L}_i$ -line  $L$ , is isomorphic to one of the groups listed in 3.9 ( $i = 1$  or  $2$ ).*

*Proof.* By Corollary 3.4, the subgroup of all the  $\mathcal{L}_i$ -translations fixing a given point  $p$  on  $L$  is regular on  $L - \{p\}$ . Moreover, it is normal in the subgroup of  $T_i$  stabilizing  $p$ , for, by 3.3(iv), it is the set of all the elements of  $T_i$  fixing only the point  $p$ . Moreover,  $T_i$  is clearly doubly transitive, and result 3.9 therefore applies:  $T_i$  contains a normal subgroup  $N$  which is one of the groups (I)–(V).

Since each of these groups satisfies the hypothesis of 3.9, for all  $p$ ,  $N_p$  contains a normal regular subgroup  $S(p)$ . The semi-regularity of  $S(p)$  implies that it contains only  $\mathcal{L}_i$ -translations (Proposition 3.3(iv)) and its transitivity implies that every  $\mathcal{L}_i$ -translation fixing  $p$  belongs to it (Corollary 3.4). Therefore all  $\mathcal{L}_i$ -translations are in  $N$ .

Since  $T_i$  is generated by these translations,  $T_i$  must be  $N$ .

3.11. LEMMA.  *$T_i$  cannot be (V). If it is (IV), it is also (I).*

*Proof.*  $T_i$  contains no element fixing more than two points (Proposition 2.7(iv)). Therefore, it cannot be a Ree-type group (Ward [17, (5), p. 63]). Nor can it be  $PSU(3, \sqrt[3]{q})$ , unless it be  $PSU(3, 2)$ , which is sharply 2-transitive (see, for instance, Huppert [9, no. 10.12, p. 242 and no. 10.14, p. 245]).

3.12. LEMMA.  *$T_i$  is not (III).*

*Proof.* Assume that  $T_i$  is isomorphic to  $Sz(\sqrt{q})$ ; then  $q$  must be even. Consequently, all  $\mathcal{L}_i$ -translations have order 2: this follows from 3.1 and from the well-known property that, in a translation plane, all translations have the same prime order (see, for instance, Dembowski [3, no. 13, p. 190]).

Therefore, the group  $S$  of all  $\mathcal{L}_i$ -translations fixing a given point  $p$  on  $L$ , is a 2-group. Since  $S$  is regular on  $L - \{p\}$  (Corollary 3.4), its order equals the order  $q$  of a 2-Sylow subgroup in  $Sz(\sqrt{q})$  (Lüneburg [10, p. 26]). Then  $S$  is actually a 2-Sylow, and its centre must be of order  $\sqrt{q}$  (Lüneburg [10, (4.1.b), p. 26]). This is a contradiction, for  $S$  is abelian by Corollary 3.4.

3.13. LEMMA. *Let  $M_0$  be a finite Minkowski plane and assume that  $\text{Aut}_i(M_0)$  (for  $i = 1$  or  $2$ ) contains a subgroup  $G$  that is sharply 2-transitive on an  $\mathcal{L}_i$ -line  $L$ . Then  $M_0$  is either  $Mn(F_2)$  or  $Mn(F_3)$  and  $G$ , acting on  $L$ , is isomorphic to  $PSL(2, 2)$  or  $PSL(2, 3)$ , resp., in their usual 2-transitive representation.*

*Proof.* We may assume  $i = 1$ . Since  $G$  is sharply 2-transitive, one can associate to it an affine plane  $A$  by the following well-known method (Dembowski [3, p. 140]):

- (i)  $A$  is the set  $L \times L$ ;
- (ii) two parallel classes of lines of  $A$  are given by the sets  $\{a\} \times L$  and  $L \times \{b\}$ , for  $a, b \in L$ ;
- (iii) all other lines are subsets  $\{(x^\alpha, x) \mid x \in L\}$ , for some  $\alpha \in G$ .

We make use of another well-known method (Benz [2, p. 296]) to identify  $A$  with the set of points of  $M_0$ . Let  $C$  be a circle; a point  $x \in M_0$  is associated to the point  $(a, a') \in A$  obtained as follows:  $a$  (resp.  $a'$ ) is the intersection of  $L$  with the line through  $x$  (resp.  $x'$ ) meeting it, where  $x'$  is the unique point on  $C$  such that  $x$  and  $x'$  are on a line not intersecting  $L$ . Thus the lines  $\{b\} \times L$  and  $L \times \{b'\}$ , for  $b, b' \in L$ , coincide with the lines of  $M_0$ , and all other lines of  $A$  correspond to the circles of  $M_0$  that are in the orbit of  $C$  under  $G$ . The latter assertion follows from the hypothesis that  $G$  is contained in  $\text{Aut}_1(M_0)$ .

Let  $p$  be a point not on  $C$ . Since  $A$  is an affine plane of order  $q + 1$ , there are  $q$  circles through  $p$ , besides the  $\mathcal{L}_i$ -lines through it, that coincide with (affine) lines of  $A$ . Any two of them meet only in  $p$ , and only one of them is parallel to  $C$  (as a line of  $A$ ). Thus we get  $q - 1$  circles, pairwise tangent at  $p$ , any of which meet  $C$  in a unique point.

Now consider the projective plane  $(M_0)_p$  of order  $q$  (see 2.3).  $C$  corresponds to an oval in this plane, admitting exactly  $q - 1$  tangent lines through a point on  $(M_0)_p$ . By a result of Qvist (see Dembowski [3, no. 23, p. 148]),  $q - 1$  must be less than 3; therefore, either  $q = 2$ , or  $q = 3$ .

In these cases,  $M_0$  is isomorphic to  $Mn(F_2)$  or  $Mn(F_3)$ , resp. This is a consequence from the unicity of the Minkowski planes of order 2 and 3: the number of circles in a Minkowski plane is  $(q + 1)q(q - 1)$  (Heise and Karzel [6]) and the number of 'possible circles' (i.e. subsets of  $q + 1$  points meeting every line in a unique point) is  $(q + 1)!$ . The two numbers are equal if  $q = 2$  or 3. Moreover, any sharply 2-transitive group acting on three or four points is isomorphic to the symmetric group on three points or the alternating group on four points. These groups are isomorphic, respectively, to  $PSL(2, 2)$  and  $PSL(2, 3)$ .

It is easily checked that both groups may actually happen as automorphisms groups of Minkowski planes in the way described in our Lemma 3.13.

#### 4. PROOF OF THEOREMS B AND C

4.1. We need the following result, which is a corollary, in the finite case, of a characterization of Minkowski planes over a Tits nearfield (Percsy [14, Lemma 2 and Result 1]).

4.2. RESULT (Percsy [14]). *Let  $M$  be a finite Minkowski plane and let  $i$  be either 1 or 2. If  $\text{Aut}_i(M)$  is transitive on the set of circles, then  $M$  is isomorphic to  $Mn(F_q)$  or  $Mn(N_q)$ .*

*Proof.* Actually, the proof of this particular case does not appear in [14]; but as a consequence of [14, Lemma 2] and of Benz [2, p. 299], such a plane is associated to a finite sharply 3-transitive group. Thus, in view of 1.3, it must be either  $Mn(F_q)$  or  $Mn(N_q)$ .

4.3. From now on, let  $(M, \mathcal{L}_1, \mathcal{L}_2, \mathcal{C})$  be a finite Minkowski plane in which every circle-symmetry is an automorphism. By 3.1 and 3.6,  $\text{Aut}_i(M)$  (for  $i = 1$  and 2) contains a subgroup  $T_i$  isomorphic, as a permutation group acting on an arbitrary  $\mathcal{L}_i$ -line, to  $PSL(2, q)$ , where  $q$  equals the order of  $M$ .

It is well known that  $PSL(2, q)$  has order  $\varepsilon\alpha$ , where  $\alpha = (q + 1)q(q - 1)$  and  $\varepsilon$  is either 1 or  $\frac{1}{2}$ , according to whether  $q$  is even or odd. Since  $\alpha$  is also the number of circles in  $M$  (Heise and Karzel [6] or Percsy [13]), we can deduce the following from 2.7(iii): if  $q$  is even,  $T_i$  acts regularly on  $\mathcal{C}$ ; if  $q$  is odd,  $T_i$  has two orbits on  $\mathcal{C}$  of length  $\alpha/2$ . In the even case, Theorem B is a consequence of 4.2.

4.4. Accordingly, we may suppose  $q$  odd. Then, it is easily shown that  $T_1$  and  $T_2$  have the same orbits on  $\mathcal{C}$ . For if there is an  $\mathcal{L}_i$ -translation from a circle  $C$  on a circle  $C'$ , by 3.3(ii), 3.3(iii) and 2.2,  $C$  and  $C'$  are tangent; the converse follows from 3.1 and 3.3(ii). Consequently,  $C$  and  $C'$  are in the same orbit under  $T_i$  iff there are  $C_1, \dots, C_n \in \mathcal{C}$ , such that  $C_1 = C$ ,  $C_n = C'$  and  $C_i$  is tangent to  $C_{i+1}$  for  $i = 1, \dots, n - 1$ .

Let  $\mathcal{C}_1, \mathcal{C}_2$  be the same orbits of  $T_1$  and  $T_2$  and let  $U$  be a given circle in  $\mathcal{C}_1$ . For every circle  $C$ , we define two permutations  $\alpha_i^C$  and  $\alpha_2^C$  of  $M$ :

- (i) if  $x \in U$ ,  $\alpha_i^C(x)$  is the intersection of  $C$  and the  $\mathcal{L}_i$ -line through  $x$  ( $i = 1, 2 \pmod{2}$ );
- (ii) if  $x \notin U$ , the  $\mathcal{L}_{i+1}$ -line through  $x$  meets  $U$  in  $y$ ;  $\alpha_i^C(x)$  is the intersection of the  $\mathcal{L}_i$ -line through  $x$  and the  $\mathcal{L}_{i+1}$ -line through  $\alpha_i^C(y)$ .

4.5. LEMMA. (i) *For any  $C \in \mathcal{C}$ , if  $\alpha_i^C$  maps every circle on a circle, then it belongs to  $\text{Aut}_i(M)$ .*

(ii) *If  $C \in \mathcal{C}_1$ ,  $\alpha_i^C$  belongs to  $T_i$ . Conversely, every  $\alpha \in T_i$  is the mapping  $\alpha_i^D$ , where  $D = U^\alpha$ .*

(iii) *For any  $C, C'$ , the mappings  $\alpha_1^C$ , and  $\alpha_2^{C'}$  commute.*

(iv) *For any  $C, C'$ ,  $\alpha_1^C(C') = \alpha_2^{C'}(C)$ .*

(v) *For any  $C \in \mathcal{C}$ :  $\alpha_1^C \alpha_2^C = \alpha_2^C \alpha_1^C = \sigma_C \sigma_U$ .<sup>8</sup>*

*Proof.* (i) By definition,  $\alpha_i^C$  stabilizes every  $\mathcal{L}_i$ -line and maps an  $\mathcal{L}_{i+1}$ -line on an  $\mathcal{L}_{i+1}$ -line.

<sup>8</sup> According to 1.4,  $\sigma_U$  and  $\sigma_C$  denote the symmetries with respect to  $U$  and  $C$ .

- (ii) By 2.7(iii),  $\alpha_i^C$  must be the member of  $T_i$  that maps  $U$  on  $C$ .
- (iii) If  $x$  is a point,  $x_1 = \alpha_1^C(x)$  is on the  $\mathcal{L}_1$ -line through  $x$  and  $x_2 = \alpha_2^C(x)$  is on the  $\mathcal{L}_2$ -line through  $x$ . Since  $\alpha_i^C$  maps an  $\mathcal{L}_{i+1}$ -line on an  $\mathcal{L}_{i+1}$ -line,  $\alpha_1^C(x_2) = \alpha_2^C(x_1)$ .
- (iv) This follows from (iii), for

$$\alpha_1^C(C') = \alpha_1^C \alpha_2^{C'}(U) = \alpha_2^C \alpha_1^C(U) = \alpha_2^C(C).$$

(v) Let  $x$  be a point and let  $x', x''$  denote  $\sigma_U(x)$  and  $\sigma_C \sigma_U(x)$  respectively. Furthermore, let  $u_i$  and  $c_i$  be the intersections of  $U$  and  $C$ , respectively, with the  $\mathcal{L}_i$ -line through  $x'$  ( $i = 1, 2$ ). (All these points need not be distinct.)

Clearly,  $\alpha_i^C(u_i) = c_i$ . Since  $x = \sigma_U(x')$ , the point  $y = \alpha_1^C(x)$  is the intersection of the  $\mathcal{L}_1$ -line  $L_1$  through  $x$  and the  $\mathcal{L}_2$ -line  $L_2$  through  $c_1$ , and  $L_1$  contains  $u_2$ . Similarly,  $x'' = \sigma_C(x')$  implies that  $x''$  is on  $L_2$  and on the  $\mathcal{L}_1$ -line through  $c_2$ . Also  $x'' = \alpha_2^C(y)$ , for  $c_2 = \alpha_2^C(u_2)$ ; therefore,  $\alpha_2^C \alpha_1^C = \sigma_C \sigma_U$ .

The remaining part of (iv) follows from (iii).

4.6. LEMMA. For any  $C \in \mathcal{C}_2$ ,  $\alpha_i^C$  maps every circle on a circle.

*Proof.* (1) We claim that  $\alpha_i^C$  maps  $\mathcal{C}_1$  onto  $\mathcal{C}_2$ .<sup>9</sup> Let us prove this for  $i = 1$ ; the second case is similar. If  $D \in \mathcal{C}_1$ ,  $\alpha_2^D$  is an automorphism (Lemma 4.5(ii)) and  $\alpha_1^C(D) = \alpha_2^D(C)$  by 4.5(iv). So  $\alpha_1^C(D)$  is a circle. Conversely, for each  $E \in \mathcal{C}_2$  there is an  $\alpha \in T_2$  mapping  $C$  on  $E$  (see 4.4). But  $\alpha = \alpha_2^D$  for some  $D \in \mathcal{C}_1$  (4.5(ii)) and  $E = \alpha_2^D(C) = \alpha_1^C(D)$  by 4.5(iv).

(2) Now let  $D$  be an element of  $\mathcal{C}_2$ : we have  $\alpha_1^C(D) = \alpha_2^C \alpha_1^C (\alpha_2^C)^{-1}(D)$  (by 4.5(iii)). But  $(\alpha_2^C)^{-1}(D)$  is a circle of  $\mathcal{C}_1$  (by (1)), and  $\alpha_2^C \alpha_1^C = \sigma_C \sigma_U$  is an automorphism (4.5(iv)), so  $\alpha_1^C(D)$  must be a circle. One proves similarly that  $\alpha_2^C$  maps  $\mathcal{C}_2$  on  $\mathcal{C}_1$ .

4.7. *End of Proof of Theorem B.* It follows from 4.6, 4.5(i) and 4.5(ii) that all mappings  $\alpha_i^C$  defined in 4.4 are elements of  $\text{Aut}_1(M)$ . Therefore, result 4.2 applies.

4.8. *Proof of Theorem C.* (i) First we note that  $\Sigma^+$  is the set of all products of an even number of circle-symmetries. It is therefore a normal subgroup of index 2 in  $\Sigma$ .

(ii) By 3.1 and 3.6 there is a normal subgroup  $T = T_1 \times T_2$  in  $\Sigma$  such that  $T_i$  is isomorphic to  $PSL(2, q)$  and is contained in  $\text{Aut}_i(M)$ .

(iii) Given two circles  $C$  and  $U$ , we have

$$\alpha_1^C \alpha_2^C = \sigma_C \sigma_U,$$

where  $\alpha_1^C, \alpha_2^C$  are the mappings defined in 4.4. For odd order planes this

<sup>9</sup> The property that  $\alpha_i^C$  is 'onto' is obvious here since the plane is finite, but in view of the generalization in § 5, we prove this lemma without the finiteness assumption.

follows from Lemma 4.5(v); the proof 4.5(v) also applies to even order planes without any change.

(iv) If the order of the plane is even,  $T_i$  is transitive on  $\mathcal{C}$  (by 4.3) and it must be equal to  $\text{Aut}_i(M)$  (see 2.7(iii)). Thus,  $\alpha_i^C$  is in  $T_i$  for every  $C \in \mathcal{C}$  and, by (iii) and (i),  $T$  coincides with  $\Sigma^+$ . Theorem C is now obvious.

(v) From now on, suppose that the plane has odd order. By 4.7,  $\text{Aut}_i(M)$  is transitive on  $\mathcal{C}$ . So  $T_i$  has index 2 in it (see 4.3 and 2.7(iii)). It follows from 4.5(ii), 4.6 and 4.5(i) that, for any  $C \in \mathcal{C}$ ,  $\alpha_1^C \alpha_2^C$  belongs to  $\bar{T} = T_1 T_2 \cup T_1^* T_2^*$ , where  $T_i^* = \text{Aut}_i(M) - T_i$ . Clearly,  $\bar{T}$  is a group, which contains  $T$  as a subgroup of index 2; by (i) and (ii),  $\bar{T} = \Sigma^+$ . Moreover, this implies that  $\Sigma^+ \cap \text{Aut}_i(M) = T_i$ , i.e.  $T_i$  is the set of all the elements of  $\Sigma$  stabilizing every  $\mathcal{L}_i$ -line.

(vi) By 4.4 we know that  $T$  has two orbits  $\mathcal{C}_1, \mathcal{C}_2$  on  $\mathcal{C}$ . Since  $\mathcal{C}_1, \mathcal{C}_2$  are equivalence classes with respect to the equivalence relation generated by the tangency (4.4), they are sets of imprimitivity of  $\text{Aut}(M)$ . But a symmetry  $\sigma_C$ , for  $C \in \mathcal{C}_1$  for instance, fixes  $C$ , and thence, preserves  $\mathcal{C}_1$  and  $\mathcal{C}_2$ . Therefore  $\Sigma$  is not transitive on  $\mathcal{C}$ , and this ends the proof.

## 5. WHAT ABOUT INFINITE MINKOWSKI PLANES?

The proof of Theorem B is based on the existence of sufficiently many translations (result 3.1) and on the characterization of Minkowski planes associated to sharply 3-transitive groups as planes in which  $\text{Aut}_i(M)$  is sufficiently large (Theorem 4.2). Both results hold for infinite Minkowski planes.

The crucial step, in the finite case (see 3.6), is to prove that the translations actually generate a 'half' of the group needed in 4.2. Unfortunately, only the first part of Theorem 3.6 is valid in general; clearly, the construction of  $PSL(2, q)$  is not. But Lemmas 4.5 and 4.6 apply also to infinite planes; therefore our proof holds if we add the following axiom, which enables us to avoid the second part of 3.6:

**THEOREM D.** *Let  $M$  be a (possibly infinite) Minkowski plane in which every circle-symmetry is an automorphism. Assume that there are at most two equivalence classes with respect to the equivalence relation generated by the tangency relation. Then  $M$  is isomorphic to a Minkowski plane associated to a sharply 3-transitive group.*

In other words,  $M$  is a well-known plane: it can be described by means of coordinates over a Tits nearfield in a way very similar to 1.3 (see Percsy [14] or [15]).

Let us note finally that the hypotheses of Theorem D actually occur in infinite Minkowski planes: the number of the above-mentioned equivalence classes of  $Mn(K)$  is one if  $K$  is, for instance, a perfect field of characteristic 2

(this follows from condition (OT) in Percsy [13, Theorem 4]) and two if  $K$  is the field of real numbers.

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*Author's address:*

N. Percsy  
 Université de l'Etat à Mons  
 Avenue du Champ de Mars 24  
 B-7000 Mons  
 Belgium.

(Received July 26, 1978)