

## OVOIDS AND SPREADS OF FINITE CLASSICAL POLAR SPACES

**ABSTRACT.** Let  $P$  be a finite classical polar space of rank  $r, r \geq 2$ . An ovoid  $O$  of  $P$  is a pointset of  $P$ , which has exactly one point in common with every totally isotropic subspace of rank  $r$ . It is proved that the polar space  $W_n(q)$  arising from a symplectic polarity of  $PG(n, q)$ ,  $n$  odd and  $n > 3$ , that the polar space  $Q(2n, q)$  arising from a non-singular quadric in  $PG(2n, q)$ ,  $n > 2$  and  $q$  even, that the polar space  $Q^-(2n+1, q)$  arising from a non-singular elliptic quadric in  $PG(2n+1, q)$ ,  $n > 1$ , and that the polar space  $H(n, q^2)$  arising from a non-singular Hermitian variety in  $PG(n, q^2)$ ,  $n$  even and  $n > 2$ , have no ovoids.

Let  $S$  be a generalized hexagon of order  $n (\geq 1)$ . If  $V$  is a pointset of order  $n^3 + 1$  of  $S$ , such that every two points are at distance 6, then  $V$  is called an ovoid of  $S$ . If  $H(q)$  is the classical generalized hexagon arising from  $G_2(q)$ , then it is proved that  $H(q)$  has an ovoid iff  $Q(6, q)$  has an ovoid. There follows that  $Q(6, q)$ ,  $q = 3^{2h+1}$ , has an ovoid, and that  $H(q)$ ,  $q$  even, has no ovoid.

A regular system of order  $m$  on  $H(3, q^2)$  is a subset  $K$  of the lineset of  $H(3, q^2)$ , such that through every point of  $H(3, q^2)$  there are  $m (> 0)$  lines of  $K$ . B. Segre shows that, if  $K$  exists, then  $m = q + 1$  or  $(q + 1)/2$ . If  $m = (q + 1)/2$ ,  $K$  is called a hemisystem. The last part of the paper gives a very short proof of Segre's result. Finally it is shown how to construct the 4-(11, 5, 1) design out of the hemisystem with 56 lines ( $q = 3$ ).

### 1. OVOIDS AND SPREADS

Let  $P$  be a finite classical polar space of rank (or index)  $r, r \geq 2$  [3]. An ovoid  $O$  of  $P$  is a pointset of  $P$ , which has exactly one point in common with every totally isotropic subspace of rank  $r$ . A spread  $S$  of  $P$  is a set of maximal totally isotropic subspaces, which constitutes a partition of the pointset.

We shall use the following notation:

- $W_n(q)$             the polar space arising from a symplectic polarity of  $PG(n, q)$ ,  $n$  odd;
- $Q(2n, q)$         the polar space arising from a non-singular quadric  $Q$  in  $PG(2n, q)$ ;
- $Q^+(2n+1, q)$    the polar space arising from a non-singular hyperbolic quadric  $Q^+$  [7] in  $PG(2n+1, q)$ ;
- $Q^-(2n+1, q)$    the polar space arising from a non-singular elliptic quadric  $Q^-$  [7] in  $PG(2n+1, q)$ ;
- $H(n, q^2)$         the polar space arising from a non-singular Hermitian variety  $H$  [7] in  $PG(n, q^2)$ .

The following results are known:

- (a)  $W_n(q)$ ,  $n$  odd, has always a (regular) spread (the proof given in [16] for  $n = 5$ , extends to any odd  $n$ ).  $W_3(q)$  has an ovoid iff  $q$  is even [19]; every ovoid of  $W_3(q)$ ,  $q$  even, is an ordinary ovoid of  $PG(3, q)$  and every ordinary ovoid of  $PG(3, q)$ ,  $q$  even, is an ovoid of some  $W_3(q)$  [18].

(b)  $Q^+(3, q)$  has spreads and ovoids (trivial). Since  $Q(4, q)$  is the dual of  $W_3(q)$  [18], the polar space  $Q(4, q)$  has always an ovoid, and has a spread iff  $q$  is even.  $Q^-(5, q)$  has no ovoids [2] (see also Section 7). Since  $Q^-(5, q)$  is the dual of  $H(3, q^2)$  ([1], [20]) the polar space  $Q^-(5, q)$  has always spreads (see (c)).  $Q^+(5, q)$  has always ovoids (if we consider  $Q^+$  as the Klein quadric, these ovoids correspond to the ordinary spreads of  $PG(3, q)$ ).  $Q^+(7, q)$  has a spread [25], from which it easily follows (by triality) that  $Q^+(7, q)$  has an ovoid.  $Q^+(4n+1, q)$  has no spreads [8].  $Q(2n, q)$ ,  $Q^-(4n+1, q)$ ,  $Q^+(m, q)$  and  $Q^-(m, q)$ ,  $q$  even,  $m$  odd and  $m \neq 4n+1$ , have always spreads [8].

(c)  $H(3, q^2)$  has ovoids (any Hermitian curve on  $H$  is an ovoid of  $H(3, q^2)$ ). Since  $H(3, q^2)$  is the dual of  $Q^-(5, q)$  ([1], [20]), the polar space  $H(3, q^2)$  has no spread (see also Section 7).

We shall frequently use the following theorem [17]:

*The only pointsets of  $PG(m, q)$ ,  $m > 2$ , having exactly 1 or  $n (> 1)$  distinct points in common with every hyperplane and having one point in common with at least one hyperplane, are the lines of  $PG(m, q)$  and the (ordinary) ovoids of  $PG(3, q)$ .*

## 2. THE POLAR SPACES $Q(2n, q)$ , $q$ EVEN, AND $W_n(q)$

**THEOREM.**  $W_n(q)$ ,  $n$  odd and  $n > 3$ , has no ovoid.

*Proof.* Suppose  $O$  is an ovoid of  $W_n(q)$ ,  $n$  odd and  $n > 3$ . We consider the intersection of  $O$  and a hyperplane  $PG(n-1, q)$  of  $PG(n, q)$ . Let  $x$  be the image of  $PG(n-1, q)$  with respect to the symplectic polarity  $\pi$  defining  $W_n(q)$  ( $x$  is a point of  $PG(n-1, q)$ ). Suppose  $x \in O$  and assume there is a point  $y \in O$ ,  $y \in PG(n-1, q)$ ,  $x \neq y$ . There is a maximal totally isotropic subspace containing  $x$  and  $y$ , a contradiction since  $O$  is an ovoid. So  $|PG(n-1, q) \cap O| = 1$ . Next we suppose that  $x \notin O$ , and let  $y \in O \cap PG(n-1, q)$  ( $y$  exists since any maximal totally isotropic subspace contained in  $PG(n-1, q)$ , i.e. containing  $x$ , has one point in common with  $O$ ). Then there is a maximal totally isotropic subspace containing  $x$  and  $y$ . Now we count in two ways the number of ordered pairs (maximal totally isotropic subspace  $R$  contained in  $PG(n-1, q)$ ,  $y \in R \cap O$ ). Then we obtain: number of maximal totally isotropic subspaces containing  $x$  (i.e. contained in  $PG(n-1, q)$ ) =  $|PG(n-1, q) \cap O| \times$  (number of maximal totally isotropic subspaces containing  $x$  and  $y$ ,  $y \in O \cap PG(n-1, q)$ ). Hence  $|PG(n-1, q) \cap O| =$  (number of maximal totally isotropic subspaces of a  $W_{n-2}(q)$ ) / (number of maximal totally isotropic subspaces of a  $W_{n-4}(q)$ ) =  $(1+q)(1+q^2) \dots (1+q^{(n-1)/2}) / (1+q)(1+q^2) \dots (1+q^{(n-3)/2}) = 1+q^{(n-1)/2}$ . So we have always  $|PG(n-1, q) \cap O| = 1$  or  $1+q^{(n-1)/2}$ , and there is at least one

hyperplane for which  $|PG(n-1, q) \cap O| = 1$ . From Section 1 it follows that  $n = 3$ , a contradiction.

**COROLLARY.** *The polar space  $Q(2n, q)$ ,  $n > 2$  and  $q$  even, has no ovoids.*

*Proof.* Suppose  $O$  is an ovoid of  $Q(2n, q)$ ,  $n > 2$  and  $q$  even. Let  $p$  be the nucleus [14] of  $Q$  and let  $PG(2n-1, q)$  be a hyperplane which does not contain  $p$ . If we project  $Q(2n, q)$  from  $p$  onto  $PG(n-1, q)$ , then there arises a polar space  $W_{2n-1}(q)$ , and the projection of  $O$  is an ovoid of  $W_{2n-1}(q)$ , a contradiction.

### 3. THE POLAR SPACE $Q^-(2n+1, q)$

**THEOREM.**  $Q^-(2n+1, q)$ ,  $n > 1$ , has no ovoid.

*Proof.* Suppose  $O$  is an ovoid of  $Q^-(2n+1, q)$ ,  $n > 1$ . We consider the intersection of  $O$  and a hyperplane  $PG(2n, q)$  of  $PG(2n+1, q)$ . Let  $x$  be the pole of  $PG(2n, q)$  with respect to the quadric  $Q^-$ . Suppose  $x \in O$  (then  $x \in PG(2n, q)$ ) and assume there is a point  $y \in O$ ,  $y \in PG(2n, q)$ ,  $x \neq y$ . Then there is a maximal totally isotropic subspace containing  $x$  and  $y$ , a contradiction since  $O$  is an ovoid. So  $|PG(2n, q) \cap O| = 1$ . Next we suppose that  $x \notin O$ ,  $x \in Q^-$  (then  $x \in PG(2n, q)$ ). Now we count in two ways the number of ordered pairs (maximal totally isotropic subspace  $R$  contained in  $PG(2n, q)$ ,  $y \in R \cap O$ ). Then we obtain: number of maximal totally isotropic subspaces containing  $x = |PG(2n, q) \cap O| \times$  (number of maximal totally isotropic subspaces containing  $x$  and  $y$ ,  $y \in O \cap PG(2n, q)$ ). Hence  $|PG(2n, q) \cap O| =$  (number of maximal totally isotropic subspaces of a  $Q^-(2n-1, q)$ ) / (number of maximal totally isotropic subspaces of a  $Q^-(2n-3, q)$ )  $= \prod_{i=2}^n (q^i + 1) / \prod_{i=2}^{n-1} (q^i + 1) = q^n + 1$ . Finally, let  $x \notin Q^-$ . Then  $Q^- \cap PG(2n, q)$  is a non-singular quadric  $Q$  in  $PG(2n, q)$ . So  $|PG(2n, q) \cap O| =$  (number of maximal totally isotropic subspaces of  $Q(2n, q)$ ) / (number of maximal totally isotropic subspaces of  $Q(2n, q)$  containing a given point)  $= \prod_{i=1}^n (q^i + 1) / \prod_{i=1}^{n-1} (q^i + 1) = q^n + 1$ . So we have always  $|PG(2n, q) \cap O| = 1$  or  $q^n + 1$ , and there is at least one hyperplane for which  $|PG(2n, q) \cap O| = 1$ . From Section 1 it follows that  $n = 1$ , a contradiction.

### 4. THE POLAR SPACE $H(n, q^2)$ , $n$ EVEN

**THEOREM.**  $H(n, q^2)$ ,  $n$  even and  $n > 2$ , has no ovoid.

*Proof.* Suppose  $O$  is an ovoid of  $H(n, q^2)$ ,  $n$  even and  $n > 2$ . We consider the intersection of  $O$  and a hyperplane  $PG(n-1, q^2)$  of  $PG(n, q^2)$ . Let  $x$  be the image of  $PG(n-1, q^2)$  with respect to the unitary polarity  $\pi$  defining  $H$ . Suppose  $x \in O$  (then  $x \in PG(n-1, q^2)$ ) and assume there is a

point  $y \in O$ ,  $y \in PG(n-1, q^2)$ ,  $x \neq y$ . Then there is a maximal totally isotropic subspace containing  $x$  and  $y$ , a contradiction since  $O$  is an ovoid. So  $|PG(n-1, q^2) \cap O| = 1$ . Next we suppose that  $x \in H$ ,  $x \notin O$  (then  $x \in PG(n-1, q^2)$ ). Now we count in two ways the number of ordered pairs (maximal totally isotropic subspace  $R$  contained in  $PG(n-1, q^2)$ ,  $y \in R \cap O$ ). Then we obtain: number of maximal totally isotropic subspaces containing  $x = |PG(n-1, q^2) \cap O| \times$  (number of maximal totally isotropic subspaces containing  $x$  and  $y$ ,  $y \in O \cap PG(n-1, q^2)$ ). Hence  $|PG(n-1, q^2) \cap O| =$  (number of maximal totally isotropic subspaces of a  $H(n-2, q^2)$ )/(number of maximal totally isotropic subspaces of a  $H(n-4, q^2)$ )  $= (q^3 + 1) \times (q^5 + 1) \dots (q^{n-1} + 1)/(q^3 + 1)(q^5 + 1) \dots (q^{n-3} + 1) = q^{n-1} + 1$ . Finally let  $x \notin H$  (then  $x \notin PG(n-1, q^2)$ ). Then  $H \cap PG(n-1, q^2)$  is a non-singular Hermitian variety of  $PG(n-1, q^2)$ . So  $|PG(n-1, q^2) \cap H| =$  (number of maximal totally isotropic subspaces of  $H(n-1, q^2)$ )/(number of maximal totally isotropic subspaces of  $H(n-1, q^2)$  containing a given point)  $= (q+1)(q^3+1) \dots (q^{n-1}+1)/(q+1)(q^3+1) \dots (q^{n-3}+1) = q^{n-1} + 1$ . So we have always  $|PG(n-1, q^2) \cap O| = 1$  or  $q^{n-1} + 1$ , and there is at least one hyperplane for which  $|PG(n-1, q^2) \cap O| = 1$ . From Section 1 and  $n > 3$  follows a contradiction.

##### 5. THE POLAR SPACES $Q(2n, q)$ , $q$ ODD, $H(n, q^2)$ , $n$ ODD, AND $Q^+(2n+1, q)$

Concerning ovoids we do not have an existence or non-existence theorem in the cases of the polar spaces  $Q(2n, q)$  ( $n > 2$ ),  $q$  odd,  $H(n, q^2)$  ( $n > 3$ ),  $n$  odd, and  $Q^+(2n+1, q)$  ( $n > 3$ ). We only know that  $Q(4, q)$ ,  $H(3, q^2)$ ,  $Q^+(5, q)$  and  $Q^+(7, q)$  have ovoids. So the first cases to consider are  $Q(6, q)$ ,  $q$  odd,  $H(5, q^2)$  and  $Q^+(9, q)$ . The following remark may be useful: if the polar space  $Q(2n, q)$  (resp.  $H(n, q^2)$ , resp.  $Q^+(2n+1, q)$ ) has an ovoid  $O$ , then also the polar space  $Q(2n-2, q)$  (resp.  $H(n-2, q^2)$ , resp.  $Q^+(2n-1, q)$ ) has an ovoid (easy by considering the maximal totally isotropic subspaces of  $Q(2n, q)$  (resp.  $H(n, q^2)$ , resp.  $Q^+(2n+1, q)$ ) containing a fixed point  $x \notin O$  of  $Q$  (resp.  $H$ ,  $Q^+$ )).

##### 6. THE POLAR SPACE $Q(6, q)$ AND THE CLASSICAL GENERALIZED HEXAGON (OF ORDER $q$ ) ARISING FROM $G_2(q)$

*Introduction.* A generalized hexagon [5] of order  $n$  ( $\geq 1$ ) is an incidence structure  $S = (P, B, I)$ , with an incidence relation satisfying the following axioms.

- (i) each point (resp. line) is incident with  $n+1$  lines (resp. points);
- (ii)  $|P| = |B| = 1 + n + n^2 + n^3 + n^4 + n^5 = v$ ;

- (iii) 6 is the smallest positive integer  $k$  such that  $S$  has a circuit consisting of  $k$  points and  $k$  lines.

As usual the distance of two elements  $\alpha, \beta \in P \cup B$  is denoted by  $\lambda(\alpha, \beta)$  or  $\lambda(\beta, \alpha)$  [5].

If  $V$  is a set of points (resp. lines) such that  $\lambda(x, y) = 6$  (resp.  $\lambda(L, M) = 6$ ) for all distinct  $x, y \in V$  (resp.  $L, M \in V$ ), then  $|V| \leq v/(n^2 + n + 1)$  or  $|V| \leq n^3 + 1$ . If  $|V| = n^3 + 1$ , then we say that  $V$  is an ovoid (resp. spread) of the hexagon  $S$  [5].

In [5] we remarked that the classical generalized hexagon  $H(q)$  (of order  $q$ ) arising from  $G_2(q)$  has always a spread. We also proved that a generalized hexagon  $S$  of order  $q$  has an ovoid (resp. spread) if  $S$  admits a polarity. J. Tits informed us that it is possible to prove that the classical hexagon  $H(q)$  of order  $q$ ,  $q = 3^{2h+1}$ , admits a polarity [22].

In the presentation of J. Tits of the classical generalized hexagon of order  $q$ , the set  $P$  is the pointset of  $Q(6, q)$  and the set  $B$  is a subset of the lineset of  $Q(6, q)$  [21]. Moreover, for  $x, y \in P$ ,  $x \neq y$ , we have  $\lambda(x, y) \leq 4$  iff  $x$  and  $y$  are on a line of the polar space  $Q(6, q)$  (see also [24]).

**THEOREM.**  $H(q)$  has an ovoid iff  $Q(6, q)$  has an ovoid.

*Proof.* Suppose that  $O$  is an ovoid of  $H(q)$ . If  $x, y \in O$ ,  $x \neq y$ , are in a plane of  $Q(6, q)$ , then  $xy$  is a line of  $Q$  and so  $\lambda(x, y) \leq 4$  in  $H(q)$ , a contradiction. So every plane of  $Q(6, q)$  has at most one point in common with  $O$ . Since  $|O| = q^3 + 1$ , every plane of  $Q(6, q)$  has exactly one point in common with  $O$ , and hence  $O$  is an ovoid of  $Q(6, q)$ .

Conversely, suppose that  $O$  is an ovoid of  $Q(6, q)$ . If  $x, y \in O$ ,  $x \neq y$ , are at distance at most 4 in  $H(q)$ , then  $xy$  is a line of  $Q(6, q)$  and so  $x$  and  $y$  are in a plane of  $Q(6, q)$ , a contradiction. Hence for all  $x, y \in O$ ,  $x \neq y$ , we have  $\lambda(x, y) = 6$  in  $H(q)$ . Since  $|O| = q^3 + 1$ ,  $O$  is an ovoid of  $H(q)$ .

**COROLLARY 1.**  $Q(6, q)$ ,  $q = 3^{2h+1}$ , has an ovoid.

*Proof.*  $H(q)$ ,  $q = 3^{2h+1}$ , admits a polarity and consequently has an ovoid. Hence  $Q(6, q)$ ,  $q = 3^{2h+1}$ , has an ovoid.

**COROLLARY 2.**  $H(q)$ ,  $q$  even, has no ovoid.

*Proof.* Suppose  $O$  is an ovoid of  $H(q)$ ,  $q$  even. Then  $O$  is an ovoid of  $Q(6, q)$ ,  $q$  even. This is in contradiction with Section 2.

## 7. HEMISYSTEMS OF THE POLAR SPACE $H(3, q^2)$

*Introduction.* A regular system of order  $m$  on  $H(3, q^2)$  is a subset  $K$  of the lineset of  $H(3, q^2)$ , such that through every point of  $H$  there are  $m$  ( $> 0$ )

lines of  $K$ . B. Segre shows that, if  $K$  exists, then either  $K$  is the set of all lines of  $H(3, q^2)$  or  $m = (q + 1)/2$  [15]. In the latter case,  $K$  consists of  $(q + 1)(q^3 + 1)/2$  lines and is called a hemisystem [15]. The proof is restricted to  $q$  odd, but in [1] A. A. Bruen and J. W. P. Hirschfeld remark that with their definition of a quadric permutable with  $H$ , it also holds for  $q$  even. So, for  $q$  even, there are no regular systems on  $H(3, q^2)$  other than the set of all lines. Another corollary is that  $H(3, q^2)$  has no spread. In what follows we give a very short proof of Segre's result.

Let  $K$  be a regular system of order  $m$ ,  $0 < m < q + 1$ , of  $H(3, q^2)$ . If  $\theta$  is an anti-isomorphism of  $H(3, q^2)$  onto  $Q^-(5, q)$ , then  $K^\theta$  is a pointset of  $Q^-$  which has exactly  $m$  points in common with every line of  $Q^-(5, q)$ . Now we define the incidence structure  $S = (P, B, I): P = Q^- - K^\theta$ ,  $B$  is the lineset of  $Q^-(5, q)$ , and  $I$  is the incidence of  $Q^-(5, q)$ .

**THEOREM.**  $S = (P, B, I)$  is a partial quadrangle [4] with parameters  $s = q - m$ ,  $t = q^2$ ,  $\mu = q^2 + 1 - m(q + 1)$ .

*Proof.* Every line of  $B$  is incident with  $q - m + 1$  points of  $P$ , and every point of  $P$  is incident with  $q^2 + 1$  lines of  $B$ . If  $M \in B$ ,  $x \in P$ ,  $x \notin M$ , then  $M$  contains at most one point which is collinear (in  $S$ ) with  $x$ . Finally, let us consider two non-collinear points  $x$  and  $y$  of  $S$ , and call  $\mu$  the number of points of  $S$  collinear with both. The  $q^2 + 1$  points of  $Q^-$  collinear (in  $Q^-(5, q)$ ) with  $x$  and  $y$  are the points of an elliptic quadric in a  $PG(3, q)$ . Now we consider the  $q + 1$  hyperplanes  $PG(4, q)$  containing  $PG(3, q)$ , and their intersections with  $K^\theta$ . We obtain

$$\begin{aligned} & 2((q^2 + 1)m - (q^2 + 1 - \mu)) \\ & + (q - 1) \left( \frac{(q^2 + 1)(q + 1)m}{q + 1} - (q^2 + 1 - \mu) \right) \\ & + (q^2 + 1 - \mu) = |K^\theta| = \frac{m(q^2 + 1)(q^3 + 1)}{q^2 + 1} \end{aligned}$$

or  $\mu = q^2 + 1 - m(q + 1)$  (and so  $m \leq q - 1$ ). Hence  $S$  is a partial quadrangle with parameters  $s = q - m$ ,  $t = q^2$ ,  $\mu = q^2 + 1 - m(q + 1)$ .

**THEOREM.** If  $K$  is a regular system of order  $m$ ,  $0 < m < q + 1$ , then  $m = (q + 1)/2$ . So the corresponding partial quadrangle has parameters  $s = (q - 1)/2$ ,  $t = q^2$ ,  $\mu = (q - 1)^2/2$ .

*Proof.* Let  $K$  be a regular system of order  $m$ ,  $0 < m < q + 1$ , and let  $S = (P, B, I)$  be the corresponding partial quadrangle. Then

$$\begin{aligned} |P| = v &= 1 + (t + 1)s(1 + ts/\mu) \\ &= 1 + (q^2 + 1)(q - m)(1 + q^2(q - m)/(q^2 + 1 - m(q + 1))) \end{aligned}$$

[4]. Since  $v = (q^3 + 1)(q + 1 - m)$ , there results  $m = (q + 1)/2$ .

*Some Properties of the Pointset  $K^\theta$ , with  $m = (q + 1)/2$*

(a) Let  $PG(4, q)$  be a hyperplane of  $PG(5, q)$ . If  $PG(4, q)$  is not a tangent hyperplane of  $Q^-$ , then  $|PG(4, q) \cap K^\theta| = (q + 1)(q^2 + 1)/2$ ; if  $PG(4, q)$  is tangent to  $Q^-$  at  $x \in K^\theta$ , then  $|PG(4, q) \cap K^\theta| = ((q^2 + 1)(q - 1)/2) + 1$ ; if  $PG(4, q)$  is tangent to  $Q^-$  at  $x \notin K^\theta$ , then  $|PG(4, q) \cap K^\theta| = (q^2 + 1)(q + 1)/2$ . So  $|PG(4, q) \cap K^\theta|$  takes only two values.

(b) Let  $PG(3, q)$  be a three-space of  $PG(5, q)$ . If  $PG(3, q) \cap Q^- = E$  is hyperbolic, then  $|PG(3, q) \cap K^\theta| = (q + 1)^2/2$ ; if  $E$  is degenerate, with vertex on  $K^\theta$ , then  $|PG(3, q) \cap K^\theta| = (q^2 + 1)/2$ ; if  $E$  is degenerate, with vertex not on  $K^\theta$ , then  $|PG(3, q) \cap K^\theta| = (q + 1)^2/2$ ; if  $E$  is elliptic and if all the points of  $E$  are collinear (in  $Q^-(5, q)$ ) with two points of  $K^\theta$ , then  $|PG(3, q) \cap K^\theta| = (q - 1)^2/2$  ( $\mu$  of the preceding theorem); if  $E$  is elliptic and if there is no point on  $K^\theta$  which is collinear with every point of  $E$ , then  $|PG(3, q) \cap K^\theta| = (q + 1)^2/2$ ; if  $E$  is elliptic and if all the points of  $E$  are collinear with exactly one point of  $K^\theta$ , then  $|PG(3, q) \cap K^\theta| = (q^2 + 1)/2$  (considering the  $q + 1$  hyperplanes containing  $PG(3, q)$ , and their intersections with  $K^\theta$ , we obtain

$$\begin{aligned} & \left( \frac{(q^2 + 1)(q - 1)}{2} + 1 - v \right) + \left( \frac{(q^2 + 1)(q + 1)}{2} - v \right) \\ & + (q - 1) \left( \frac{(q^2 + 1)(q + 1)}{2} - v \right) + v = \frac{(q + 1)(q^3 + 1)}{2}, \end{aligned}$$

with  $v = |PG(3, q) \cap K^\theta|$ . So  $|PG(3, q) \cap K^\theta|$  takes only three values.

(c) Let  $C$  be an irreducible conic on  $Q^-$ , and let  $|C \cap K^\theta| = \gamma$ . If  $\pi$  is the plane of  $C$  and if  $\pi'$  is the polar plane of  $\pi$ , then the irreducible conic  $\pi' \cap Q^-$  is denoted by  $C'$ . Further, let  $|C' \cap K^\theta| = \gamma'$ . By considering the  $q^2 + q + 1$  three-spaces containing  $\pi$ , and their intersections with  $K^\theta$ , we obtain

$$\begin{aligned} & \gamma' \left( \frac{q^2 + 1}{2} - \gamma \right) + (q + 1 - \gamma') \left( \frac{(q + 1)^2}{2} - \gamma \right) \\ & + \frac{q(q - 1)}{2} \left( \frac{(q + 1)^2}{2} - \gamma \right) + \frac{\gamma'(\gamma' - 1)}{2} \left( \frac{(q - 1)^2}{2} - \gamma \right) \\ & + \frac{(q + 1 - \gamma')(q - \gamma')}{2} \left( \frac{(q + 1)^2}{2} - \gamma \right) + \gamma'(q + 1 - \gamma') \\ & \times \left( \frac{q^2 + 1}{2} - \gamma \right) + \gamma = \frac{(q + 1)(q^3 + 1)}{2}, \end{aligned}$$

or  $\gamma + \gamma' = q + 1$ .

*The case  $q = 3$*

$q = 3$  is the only value where the set  $K^\theta$  is known to exist. Here  $K^\theta$  is the 56-cap of R. Hill [11] (a  $k$ -cap is a set of  $k$  points, no three of which are collinear). Moreover, in  $PG(5, 3)$  there is no 57-cap, and any 56-cap is necessarily of the type described above [12]. The partial quadrangle  $S = (P, B, I)$  has parameters  $s = 1$ ,  $t = 9$ ,  $\mu = 2$  and is essentially the graph of Gewirtz [9]. If  $S^* = (P, B^*, I^*)$ , with  $B^* = \{L_x \parallel x \in P\}$ , where  $L_x$  is the set of all points of  $P$  collinear (in  $S$ ) with  $x$ , and  $I^*$  the natural incidence relation, then  $S^*$  is the 2-(56, 11, 2) design first mentioned as a design by Hall, Lane and Wales [10]. We remark that the 56-cap of R. Hill and the corresponding hemisystem of  $H(3, 9)$  were also studied by A. A. Bruen and J. W. P. Hirschfeld in [1].

Finally we obtain as follows the unique 4-(11, 5, 1) design of Witt [23]. We consider  $L_x$ , with  $x \in P$  ( $L_x$  is contained in a  $PG(4, 3)$ ). Call  $B^{**}$  the set of all three-spaces having at least four points in common with  $L_x$ , and  $I^{**}$  the natural incidence relation.

**THEOREM.**  $S^{**} = (L_x, B^{**}, I^{**})$  is the presentation of H. S. M. Coxeter [6] of the unique 4-(11, 5, 1) design.

*Proof.* Let  $L_x = \{x, x_1, \dots, x_{10}\}$ . Evidently no four points  $x, x_i, x_j, x_k$ ,  $i, j, k$  distinct, are coplanar. Now let us suppose that  $x_i, x_j, x_k, x_l, i, j, k, l$  distinct, are in a plane  $\pi$ . Then  $x_i, x_j, x_k, x_l$  are the four points of an irreducible conic  $C$  on  $Q^-$ . If  $\pi'$  is the polar plane of  $\pi$ , and if  $C' = \pi' \cap Q^-$ , then  $x \in C'$ . So we have  $|C \cap K^\theta| + |C' \cap K^\theta| \leq 3$ , in contradiction with property (c) of the set  $K^\theta$ . Consequently no four points of  $L_x$  are coplanar.

Let  $PG(3, 3)$  be a three-space containing at least four points of  $L_x$  ( $PG(3, 3)$  is contained in the  $PG(4, 3)$  defined by  $L_x$ ). If  $PG(3, 3)$  contains  $x$ , then  $PG(3, 3) \cap Q^-$  is degenerate, and so  $|PG(3, 3) \cap L_x| = 5$ . If  $PG(3, 3)$  does not contain  $x$ , then  $PG(3, 3) \cap Q^-$  is elliptic (since  $PG(3, 3) \subset PG(4, 3)$  and  $Q^-$  is elliptic). As  $|PG(3, 3) \cap P| \geq 4$  and as  $x$  is collinear with every point of  $PG(3, 3) \cap Q^-$ , there is no other point of  $P$  collinear with all points of  $PG(3, 3) \cap Q^-$ . Now from property (c) of the set  $K^\theta$  it follows that  $|PG(3, 3) \cap P| = |PG(3, 3) \cap L_x| = 5$ . Hence every three-space containing at least four points of  $L_x$  contains exactly five points of  $L_x$ . We conclude that  $S^{**}$  is the presentation of H. S. M. Coxeter of the unique 4-(11, 5, 1) design [6].

*Remark.* The presentations of H. S. M. Coxeter of the unique 5-(12, 6, 1) design and the unique 4-(11, 5, 1) design were rediscovered by G. Pellegrino [13].

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