

## Energy Levels and Interaction between $\text{Eu}^{3+}$ -ions at Lattice Sites of Symmetry $C_2$ and Symmetry $C_{3i}$ in $\text{Y}_2\text{O}_3$

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Besides the known energy levels of  $\text{Eu}^{3+}$  at lattice sites of symmetry  $C_2$  in  $\text{Y}_2\text{O}_3$ , some transitions of this ion at lattice sites of symmetry  $C_{3i}$  in the same host crystal have been found and it was possible to construct the energy level scheme in part. Evidence for an energy transfer from  $\text{Eu}^{3+}(C_{3i})$  to  $\text{Eu}^{3+}(C_2)$  is given, the strength of which is measured as a function of the  $\text{Eu}_2\text{O}_3$  concentration. The results lead to the assumption of a fixed distance  $R_0$  for the interaction responsible for the energy transfer. A temperature-independent value of  $R_0=8.7 \text{ \AA}$  was found. The full explanation of the underlying quantum mechanical mechanism requires further experiments.

### Introduction

There are two crystallographically different  $\text{Y}^{3+}$  lattice sites in the diamagnetic host crystal  $\text{Y}_2\text{O}_3$ , which possess the point symmetries  $C_2$  and  $C_{3i}$ <sup>1,2</sup>. It is well known from paramagnetic resonance studies, that the trivalent rare earth ions occupy both the  $C_2$  and  $C_{3i}$  lattice sites with nearly equal probability<sup>3-5</sup>. Thus  $\text{Y}_2\text{O}_3$  doped with  $\text{Eu}_2\text{O}_3$  provides two ion systems, which will be called  $\text{Eu}^{3+}(C_2)$  and  $\text{Eu}^{3+}(C_{3i})$ .

Since there is no center of symmetry at lattice sites of symmetry  $C_2$ , the parity of the  $4f^6$  electron wave functions is not defined. The intensities of the optical transitions within the  $4f^n$  configuration are mainly due to electric dipole transitions  $E1$ , which are forced by the odd parity parts of the crystalline electric field. These  $E1$  type transitions are in general far stronger than the magnetic dipole transitions  $M1$ , despite the fact that the latter are allowed in the free ion case. Furthermore, there are no strict angular momentum selection rules for  $E1$  type tran-

1 Gschneidner, K. A.: Rare-earth alloys, p. 242. Princeton, N. J.: D. van Nostrand 1961.

2 Pauling, L., Shappell, M. D.: Z. Kristallographie 75, 128 (1930).

3 Mandel, M.: Appl. Phys. Letters 2, 197 (1963).

4 Schäfer, G.: Phys. kond. Materie 9, 359 (1969).

5 Pastor, R. C., Kimura, H., Podoksik, L., Cernichiari, O., Pearson, M. A.: J. Chem. Phys. 48, 3830 (1968).

sitions in systems with low symmetries, so that for the system  $\text{Eu}^{3+}(C_2)$  all transitions should be observable.

At lattice sites of symmetry  $C_{3i}$  the parity of the electron wave functions is an exact quantum number (for  $4f^6$  it is even). In this case only magnetic dipole transitions  $M1$ , electric quadrupole transitions  $E2$  or higher multipole radiation of even parity should be observed. But since we find that the selection rule

$$\Delta J = 0, \pm 1 \quad (J=0 \rightarrow J=0 \text{ forbidden}) \quad (1)$$

always holds, we can be sure that pure magnetic dipole transitions are being observed. This selection rule for  $M1$  type transitions of the free ion holds in a crystalline environment as well if  $J$  is a good quantum number, i.e. if the crystal-field splitting is small compared with the spin-orbit splitting. This condition is always true in the case of rare earth ions in crystalline electric fields.

It has been proved by Deutschbein<sup>6</sup> that  $\text{Eu}^{3+}$  exhibits very intense magnetic dipole radiation in the  ${}^7F \rightarrow {}^5D$  transitions, so that some fluorescence and absorption transitions of this ion should be observable at lattice sites which possess a center of symmetry. In their absorption spectrum of  $\text{Y}_2\text{O}_3/\text{Eu}_2\text{O}_3$  Chang and Gruber<sup>7</sup> have found within the  ${}^7F_0 \rightarrow {}^5D_1$  transitions two extra lines in addition to the three expected for the system  $\text{Eu}^{3+}(C_2)$  alone. This can be readily explained by supposing that two lines belong to  $\text{Eu}^{3+}(C_{3i})$  and three lines to  $\text{Eu}^{3+}(C_2)$ , which are the correct numbers for  $J=1$  states under the symmetries  $C_{3i}$  and  $C_2$  respectively.

Forest and Ban<sup>8</sup> have shown how to distinguish which of these five absorption lines are  ${}^7F_0 \rightarrow {}^5D_1$   $\text{Eu}^{3+}(C_{3i})$  and which are  ${}^7F_0 \rightarrow {}^5D_1$   $\text{Eu}^{3+}(C_2)$  transitions. Furthermore they found two fluorescence lines which they assigned as  ${}^5D_0 \rightarrow {}^7F_1$   $\text{Eu}^{3+}(C_{3i})$  transitions. This can be done by comparing the fluorescence decay times of corresponding transitions of both ion types. Of the two possible radiative decay mechanisms  $E1$  and  $M1$  only the latter will occur at lattice sites with symmetry  $C_{3i}$  thus leading to a longer fluorescence lifetime for  $\text{Eu}^{3+}(C_{3i})$ . This is in accordance with the experiments where we have found a lifetime of  $(7.77 \pm 0.1)$  msec for the  ${}^5D_0$   $\text{Eu}^{3+}(C_{3i})$  fluorescence and  $(1.00 \pm 0.01)$  msec for  ${}^5D_0$   $\text{Eu}^{3+}(C_2)$ , both at  $T=77^\circ\text{K}$ . These values agree well with theoretical predictions<sup>9</sup>.

The aim of this work is twofold: 1. to construct the energy level scheme of  $\text{Eu}^{3+}(C_{3i})$  from the spectroscopic data available, and 2. to

6 Deutschbein, O.: Ann. Phys. (5), **36**, 183 (1939).

7 Chang, N. C., Gruber, J. B.: J. Chem. Phys. **41**, 3227 (1964).

8 Forest, H., Ban, G.: J. Electrochem. Soc. **116**, 474 (1969).

9 Weber, M. J.: Phys. Rev. **171**, 283 (1968).

investigate quantitatively the energy transfer from  $\text{Eu}^{3+} (C_{3i})$  to  $\text{Eu}^{3+} (C_2)$  which has already been stated qualitatively by Forest and Ban<sup>8</sup>. The system  $\text{Y}_2\text{O}_3/\text{Eu}_2\text{O}_3$  seems quite appropriate for such investigations because here one is dealing with well defined systems of similar acceptors and donors.

### Experimental

$\text{Y}_2\text{O}_3$  belongs to be cubic space group  $T_h^7 (1,2)$ . The unit cell is a cube and contains 16 formula units. Eight of the 32  $\text{Y}^{3+}$  lattice sites possess the point symmetry  $C_{3i}$  with the threefold axes parallel to the body diagonals of the unit cell, and the remaining 24 possess the point symmetry  $C_2$  with the twofold axes parallel to the edges of the unit cell.

Our Verneuil-grown crystals were optically clear and about 10 mm long and 3 to 4 mm in diameter. The starting materials were 99.9999% pure  $\text{Y}_2\text{O}_3$  and 99.999% pure  $\text{Eu}_2\text{O}_3$ . Fluorescence and absorption spectra were recorded photoelectrically with a 1 m Czerny-Turner spectrograph of Jarrell-Ash, equipped with a grating with 1,180 grooves/mm. For the observation of the fluorescence spectra a high pressure 1,000 Watt xenon lamp served as wide band excitation source in conjunction with appropriate UV filters. Absorption spectra were recorded using a 150 Watt quartz-iodine lamp as the light source. The excitation spectra were recorded by passing the exciting light through the 1 m Czerny-Turner spectrograph and observing the fluorescence through a 0.2 m monochromator with a grating with 2,160 grooves/mm. The crystals were immersed in liquid nitrogen or liquid helium for the low temperature measurements.

### Energy Levels of $\text{Eu}^{3+} (C_{3i})$

Only at concentrations  $c \leq 0.1$  mol%  $\text{Eu}_2\text{O}_3$ , when the  $\text{Eu}^{3+}$ -ions are remote enough from each other so that no interaction takes place between them, does there really exist two independent ion systems  $\text{Eu}^{3+} (C_{3i})$  and  $\text{Eu}^{3+} (C_2)$ .

Provided that this is true, and that the single ion model holds, one can separate the transitions of the two systems as follows. At symmetry sites  $C_2$  all  $2J+1$  crystal field components of the  ${}^7F_0 \rightarrow {}^5D_J$  transitions should be observable, but on lattice sites of symmetry  $C_{3i}$  only the two  ${}^7F_0 \rightarrow {}^5D_1$  lines should appear according to the selection rule (1). This is indeed the case since nowhere else more than  $2J+1$  lines were found in absorption from the  ${}^7F_0$  ground state to any other  ${}^5D_J$  level. For the sake of separating the five  ${}^7F_0 \rightarrow {}^5D_1$  absorption lines into  $C_{3i}$  and  $C_2$  transitions one has to compare the fluorescence spectra taken under monochromatic excitation into each of these lines separately. Only  $C_{3i}$  lines should appear in the fluorescence spectrum when one is exciting

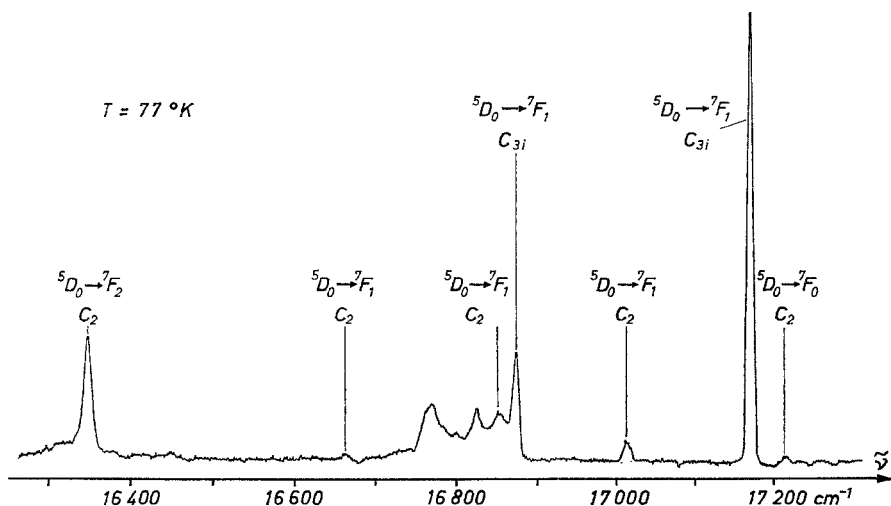


Fig. 1. Fluorescence spectrum of a crystal with 0.5 mol%  $\text{Eu}_2\text{O}_3$  in  $\text{Y}_2\text{O}_3$  at  $T = 77^\circ\text{K}$  recorded under narrow band excitation into  ${}^7F_0 \rightarrow {}^5D_1$   $\text{Eu}^{3+}(\text{C}_{3i})$  at  $\tilde{\nu} = 18,991 \text{ cm}^{-1}$ , showing the two  ${}^5D_0 \rightarrow {}^7F_1$   $\text{Eu}^{3+}(\text{C}_{3i})$  fluorescence lines. The broad lines at  $16,800 \text{ cm}^{-1}$  are vibronic transitions of  $\text{Eu}^{3+}(\text{C}_{3i})$

into a  $\text{Eu}^{3+}(\text{C}_{3i})$  transition. In this way one can not only distinguish between the  ${}^7F_0 \rightarrow {}^5D_1$  absorption lines but also between fluorescence lines of the two ion types. In agreement with Forest and Ban<sup>8</sup> we found only two  $\text{Eu}^{3+}(\text{C}_{3i})$  fluorescence lines, which are assigned as  ${}^5D_0 \rightarrow {}^7F_1$  transitions because of their long fluorescence decay times [ $(7.77 \pm 0.1)$  msec at  $77^\circ\text{K}$ , for both lines].

Fig. 1 shows the fluorescence spectrum of a crystal doped with 0.5 mol%  $\text{Eu}_2\text{O}_3$ , recorded at  $77^\circ\text{K}$  under monochromatic excitation into the  $\text{C}_{3i}$  absorption line with  $\tilde{\nu} = 18,991 \text{ cm}^{-1}$ . The two  $\text{Eu}^{3+}(\text{C}_{3i})$  fluorescence lines are more prominent than in Fig. 2 where the same spectrum is recorded under UV excitation, in which case both ion-types are simultaneously excited.

From the system  $\text{Eu}^{3+}(\text{C}_{3i})$  no further spectroscopic data other than the two  ${}^7F_0 \rightarrow {}^5D_1$  absorption lines at  $\tilde{\nu} = 18,991 \text{ cm}^{-1}$  and  $\tilde{\nu} = 19,080 \text{ cm}^{-1}$  and the two fluorescence transitions  ${}^5D_0 \rightarrow {}^7F_1$  at  $\tilde{\nu} = 16,873 \text{ cm}^{-1}$  and  $\tilde{\nu} = 17,170 \text{ cm}^{-1}$  were available. To construct the level scheme one of the following energy differences is needed to locate the  ${}^5D_0$  or equivalently the  ${}^7F_1$  level (see Fig. 4):

$${}^5D_0 - {}^5D_1; \quad {}^7F_1 - {}^5D_1 \quad \text{or} \quad {}^7F_0 - {}^7F_1.$$

Since the  ${}^7F_1 \rightarrow {}^5D_0$   $\text{Eu}^{3+}(\text{C}_{3i})$  transition with  $\tilde{\nu} = 17,170 \text{ cm}^{-1}$  is also seen in absorption between room temperature and approximately  $100^\circ\text{K}$

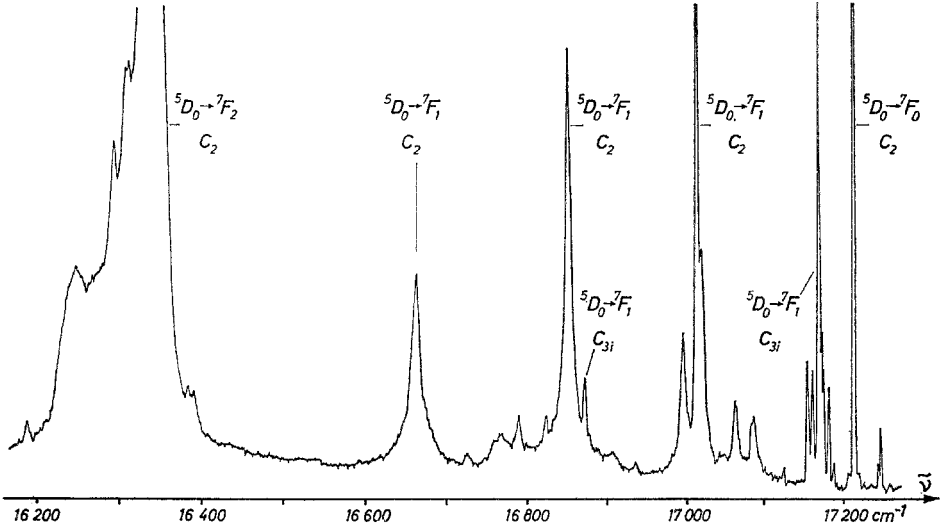


Fig. 2. Fluorescence spectrum of a crystal with  $c=0.5$  mol%  $\text{Eu}_2\text{O}_3$  in  $\text{Y}_2\text{O}_3$  at  $T=77$  °K recorded under UV excitation, where  $\text{Eu}^{3+}(\text{C}_2)$  and  $\text{Eu}^{3+}(\text{C}_{3i})$  are simultaneously excited

we have determined the  ${}^7F_0 - {}^7F_1$  energy distance  $\Delta W_1$  by measuring the temperature dependence of the absorption intensity of this line which is given by the product of the occupation number of the  ${}^7F_1$  initial state and the transition probability. Assuming that the transition probability has a temperature-independent value  $w^*$ , one expects a Boltzmann-type temperature dependence

$$I_{\text{Abs}}(T) \sim w^* \cdot \frac{1}{Z(T)} \cdot \exp\left(-\frac{\Delta W_1}{kT}\right)$$

where  $Z(T)$  is the partition function. Since it is more convenient to compare the intensities of two lines than to measure their absolute intensities, we have compared the intensity of the  $\text{C}_{3i}$  line at  $\tilde{\nu}=17,170 \text{ cm}^{-1}$  with the intensity of the corresponding line of the system  $\text{Eu}^{3+}(\text{C}_2)$  which originates from an excited level  $201 \text{ cm}^{-1}$  above the ground state and has an energy of  $\tilde{\nu}=17,014 \text{ cm}^{-1}$ . Thus the ratio of the two intensities is given by

$$\frac{I_{\text{Abs}}(\text{C}_{3i})}{I_{\text{Abs}}(\text{C}_2)} \sim \frac{Z(\text{C}_2)}{Z(\text{C}_{3i})} \cdot \exp\left(-\frac{\Delta W_1(\text{C}_{3i}) - \Delta W_1(\text{C}_2)}{kT}\right). \quad (2)$$

The unknown energy  $\Delta W_1(\text{C}_{3i})$  was determined by an iterative procedure because  $Z(\text{C}_{3i})$  is also unknown.

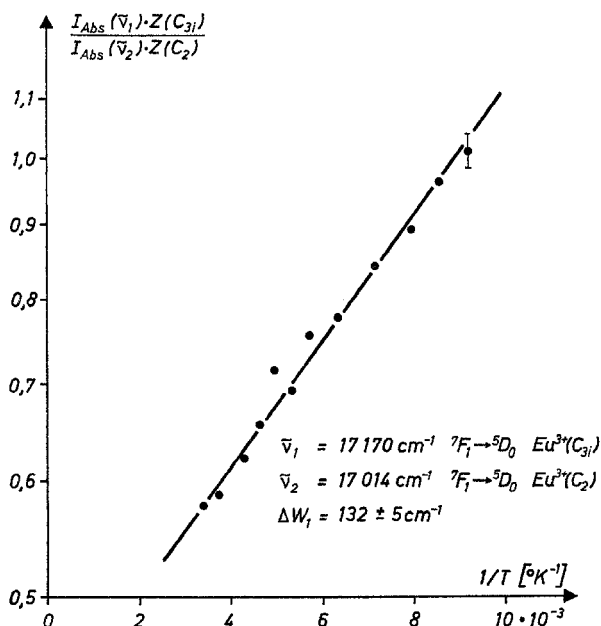


Fig. 3. Determination of the energy  $\Delta W_1$  of the first excited state  ${}^7F_1$  of  $\text{Eu}^{3+}(\text{C}_{3i})$  by comparison of the temperature dependence of the intensities of two absorption lines. The degeneracy of the energy level with  $\Delta W_1 = 132 \text{ cm}^{-1}$  is twofold.  
 $c = 5.0 \text{ mol} \% \text{ Eu}_2\text{O}_3 \text{ in } \text{Y}_2\text{O}_3$

For the first step only the first term ( $=1$ ) in the partition functions of both ion types was retained

$$Z = \sum_{i=0}^{\infty} g_i e^{-\frac{\Delta W_i}{kT}}, \quad g_0 = 1, \quad \Delta W_0 = 0$$

where  $g_i$  is the degeneracy of the  $i$ -th level.

From this first step an approximate value of  $\Delta W_1(\text{C}_{3i})$  of  $120 \text{ cm}^{-1}$  was found, by plotting (2) on a logarithmic scale. Then the known  $Z(\text{C}_2)$  partition function was inserted in (2) and  $Z(\text{C}_{3i})$  was approximated by

$$Z(\text{C}_{3i}) = 1 + g_1 e^{-\frac{\Delta W_1}{kT}} \quad (3)$$

for determining the degeneracy  $g_1$  of the first excited state of  $\text{Eu}^{3+}(\text{C}_{3i})$ . Taking only the low temperature values, where (3) is a good approximation one gets  $g_1 = 2$ . Thus, knowing the splitting of the  $\text{Eu}^{3+}(\text{C}_{3i})$   ${}^7F_1$  level from fluorescence data to be  $297 \text{ cm}^{-1}$ , one can also construct  $Z(\text{C}_{3i})$  with the upper  ${}^7F_1$  level being a singlet and taking into account only the contributions from the ground state  ${}^7F_0$  and the excited state

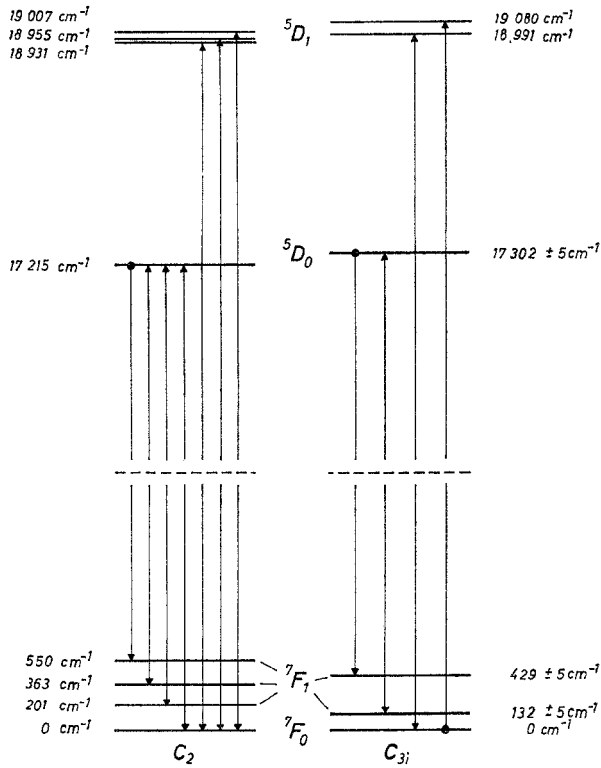


Fig. 4. Energy levels of corresponding states of  $\text{Eu}^{3+}(C_2)$  and  $\text{Eu}^{3+}(C_{3i})$  in  $\text{Y}_2\text{O}_3$

${}^7F_1$ . Therefore plotting

$$\frac{I_{\text{Abs}}(C_{3i}) \cdot Z(C_{3i})}{I_{\text{Abs}}(C_2) \cdot Z(C_2)} \sim e^{-\frac{\Delta W_1(C_{3i}) - \Delta W_1(C_2)}{kT}} \quad (4)$$

on a logarithmic scale one can improve the value  $\Delta W_1(C_{3i})$  and reevaluate  $Z(C_{3i})$  until convergence is reached. The final value obtained is

$$\Delta W_1(C_{3i}) = 132 \pm 5 \text{ cm}^{-1}$$

belonging to a twofold level. Fig. 3 shows the logarithmic plot of (4) as a function of the inverse absolute temperature and Fig. 4 the energy levels of the corresponding states of both ion types.

#### Energy Transfer from $\text{Eu}^{3+}(C_{3i})$ to $\text{Eu}^{3+}(C_2)$

To check the energy level scheme of Fig. 4 one can use the excitation spectra of the  ${}^5D_0$  fluorescence, which show the variation of the fluorescence intensity of a given line as a function of the wavelength of the

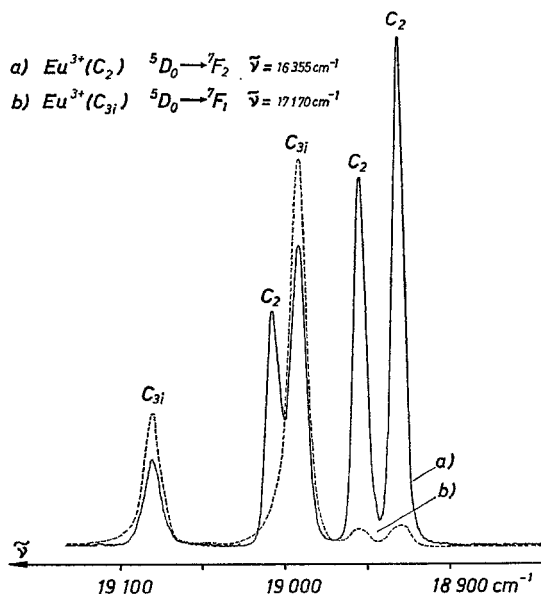


Fig. 5. The  ${}^7\text{F}_0 \rightarrow {}^5\text{D}_1$  transitions in the excitation spectra of the a)  $\text{Eu}^{3+}(\text{C}_2)$  fluorescence  ${}^5\text{D}_0 \rightarrow {}^7\text{F}_2$ ;  $\bar{\nu} = 16,355 \text{ cm}^{-1}$ . b)  $\text{Eu}^{3+}(\text{C}_{3i})$  fluorescence  ${}^5\text{D}_0 \rightarrow {}^7\text{F}_1$ ;  $\bar{\nu} = 17,170 \text{ cm}^{-1}$ .  $T = 77 \text{ }^\circ\text{K}$ ,  $c = 5.0 \text{ mol } \%$   $\text{Eu}_2\text{O}_3$  in  $\text{Y}_2\text{O}_3$

exciting radiation. When the two ion types are isolated from each other, the excitation spectrum of the fluorescence of each species should contain only transitions due to this type. This is the fact only at concentrations with  $c \leq 0.1 \text{ mol } \%$   $\text{Eu}_2\text{O}_3$ . At higher concentrations  $\text{Eu}^{3+}(\text{C}_{3i})$  transitions appear in the excitation spectrum of  ${}^5\text{D}_0$   $\text{Eu}^{3+}(\text{C}_2)$ . This is illustrated in Fig. 5, where the excitation spectrum of the strongest  ${}^5\text{D}_0$   $\text{Eu}^{3+}(\text{C}_2)$  fluorescence line at  $\bar{\nu} = 16,355 \text{ cm}^{-1}$  is shown (by the solid line) in the region of the  ${}^7\text{F}_0 \rightarrow {}^5\text{D}_1$  absorption transitions ( $c = 5 \text{ mol } \%$   $\text{Eu}_2\text{O}_3$ ,  $T = 77 \text{ }^\circ\text{K}$ ).

In this spectrum the  ${}^7\text{F}_0 \rightarrow {}^5\text{D}_1$   $\text{Eu}^{3+}(\text{C}_{3i})$  transitions also appear, indicating that one can excite the  ${}^5\text{D}_0$   $\text{Eu}^{3+}(\text{C}_2)$  fluorescence by irradiating into the system  $\text{Eu}^{3+}(\text{C}_{3i})$ . This can only be explained by virtue of an energy transfer from  $\text{Eu}^{3+}(\text{C}_{3i})$  to  $\text{Eu}^{3+}(\text{C}_2)$ . In the opposite direction from  $\text{Eu}^{3+}(\text{C}_2)$  to  $\text{Eu}^{3+}(\text{C}_{3i})$  the energy transfer is much weaker, as can be seen from the excitation spectrum of the  ${}^5\text{D}_0$   $\text{Eu}^{3+}(\text{C}_{3i})$  fluorescence shown in Fig. 5 by the dotted line. The net energy transfer is therefore from  $\text{Eu}^{3+}(\text{C}_{3i})$  to  $\text{Eu}^{3+}(\text{C}_2)$ , the former being the donors and the latter being the acceptors.

The intensity of the two  ${}^7\text{F}_0 \rightarrow {}^5\text{D}_1$   $\text{Eu}^{3+}(\text{C}_{3i})$  transitions in the excitation spectrum of the  $\text{Eu}^{3+}(\text{C}_2)$  fluorescence is a direct measure



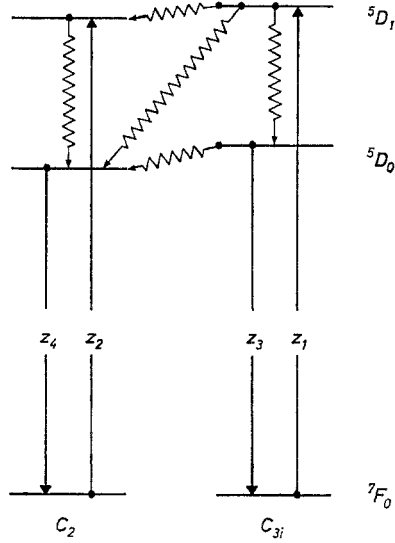


Fig. 6.  $z_1$  number of photons absorbed in the  ${}^5D_1$  level by the donors  $\text{Eu}^{3+}(C_{3i})$ .  $z_2$  number of photons absorbed in the  ${}^5D_1$  level by the acceptors  $\text{Eu}^{3+}(C_2)$ .  $z_3$  number of photons emitted from the  ${}^5D_0$  of  $\text{Eu}^{3+}(C_{3i})$ .  $z_4$  number of photons emitted from the  ${}^5D_0$  of  $\text{Eu}^{3+}(C_2)$

of the probability for energy transfer  $w_T$  which will be defined according to Fig. 6 as:

$$w_T = \frac{z_4}{z_1} \Big|_{z_2=0} \quad (5)$$

$w_T$  is therefore the quantum efficiency for the  ${}^5D_0$   $\text{Eu}^{3+}(C_2)$  fluorescence by pumping via the  $\text{Eu}^{3+}(C_{3i})$  donors.

For practical reasons it is more convenient to define a new quantity  $w'_T$  which is easier to obtain by the experiment

$$w'_T = \frac{z_4}{z_1} \Big|_{z_2=0} \cdot \frac{1}{\frac{z_4}{z_2} \Big|_{z_1=0}} = \frac{z_4}{z_4} \Big|_{z_2=0} \cdot \left( \frac{z_1}{z_2} \right)^{-1} \quad (6a)$$

or using the definitions of quantum efficiencies of donors ( $d$ ) and acceptors ( $a$ )

$$\eta_d = \frac{z_3}{z_1} \Big|_{z_2=0}, \quad \eta_a = \frac{z_4}{z_2} \Big|_{z_1=0} \quad (7)$$

one gets

$$\eta_a \cdot w'_T = w_T \quad (6b)$$

According to (6a)  $w'_T$  is the ratio of the fluorescence intensity of  ${}^5D_0$   $\text{Eu}^{3+}(C_2)$  obtained by exciting only into  ${}^5D_1$   $\text{Eu}^{3+}(C_{3i})$  divided by the intensity of the same fluorescence obtained by exciting only into  ${}^5D_1$   $\text{Eu}^{3+}(C_2)$  and normalized to the ratio of the  ${}^5D_1$  absorption intensities of both ion types.

To determine  $w'_T$  experimentally one has to compare the areas under the two  ${}^5D_1$   $\text{Eu}^{3+}(C_{3i})$  lines with the areas under the three  ${}^5D_1$   $\text{Eu}^{3+}(C_2)$  lines in the excitation spectrum of the  ${}^5D_0$   $\text{Eu}^{3+}(C_2)$  fluorescence (Fig. 5) and to divide this ratio by the ratio of the intensities of the same lines in the absorption spectrum.

This is possible because the intensity of the strongest  ${}^5D_0$   $\text{Eu}^{3+}(C_2)$  fluorescence line observed here ( ${}^5D_0 \rightarrow {}^7F_2$ ;  $\tilde{\nu} = 16,355 \text{ cm}^{-1}$ ) is proportional to the entire  ${}^5D_0$  fluorescence intensity to within a constant which is independent of the excitation conditions.

The number of photons absorbed by a crystal ( $z_1, z_2$ ) is proportional to

$$\int [I_0 - I(\tilde{\nu})] d\tilde{\nu} \quad (8)$$

where the integral is over the absorption line.  $I_0$  is the incident intensity and  $I(\tilde{\nu})$  is the transmitted intensity with

$$I(\tilde{\nu}) = I_0 e^{-k(\tilde{\nu}) \cdot d}$$

where  $d$  is the thickness of the crystal and  $k(\tilde{\nu})$  the absorption constant. Only in the case of low optical thickness ( $k_{\max} \cdot d \ll 1$ ) there is a direct proportionality between (8) and the integrated absorption probability

$$\int k(\tilde{\nu}) d\tilde{\nu} = \int n \cdot \sigma(\tilde{\nu}) d\tilde{\nu} \quad (9)$$

where  $n$  is the density of ions and  $\sigma(\tilde{\nu})$  the absorption cross-section.

We have measured the integrated absorption probabilities for the  ${}^7F_0 \rightarrow {}^5D_1$  transition of both ion types and found a concentration-independent ratio at 77 °K of

$$\left. \frac{\int k(\tilde{\nu}) d\tilde{\nu}(C_{3i})}{\int k(\tilde{\nu}) d\tilde{\nu}(C_2)} \right|_{{}^7F_0 \rightarrow {}^5D_1} = 0.36 \pm 0.01.$$

Since there are three times more  $C_2$  lattice sites than  $C_{3i}$  lattice sites and the radiative transition probability of the  $\text{Eu}^{3+}$  ions at the former is expected to be greater than at the latter one would expect a ratio smaller than  $\frac{1}{3}$ .

This is contrary to the observation and may indicate that the  $\text{Eu}^{3+}$  ions favour the  $C_{3i}$  lattice sites slightly more than the  $C_2$  lattice sites.

A second quantity which can characterize the energy transfer is the ratio of the  ${}^5D_0$   $\text{Eu}^{3+}(C_{3i})$  fluorescence intensity to the  ${}^5D_0$   $\text{Eu}^{3+}(C_2)$

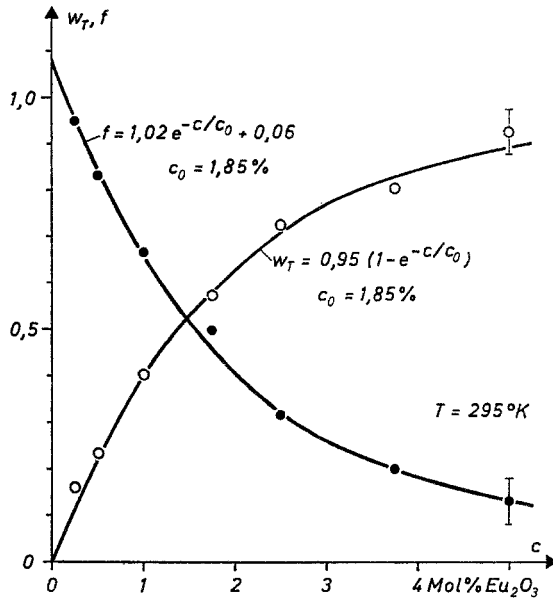


Fig. 7a.  $w_T$  probability for energy transfer from  $\text{Eu}^{3+}(C_{3i})$  to  $\text{Eu}^{3+}(C_2)$ .  $f$  ratio of the quantum efficiencies of the  ${}^5D_0$  fluorescence of  $\text{Eu}^{3+}(C_{3i})$  and  $\text{Eu}^{3+}(C_2)$  by exciting into  ${}^5D_1$

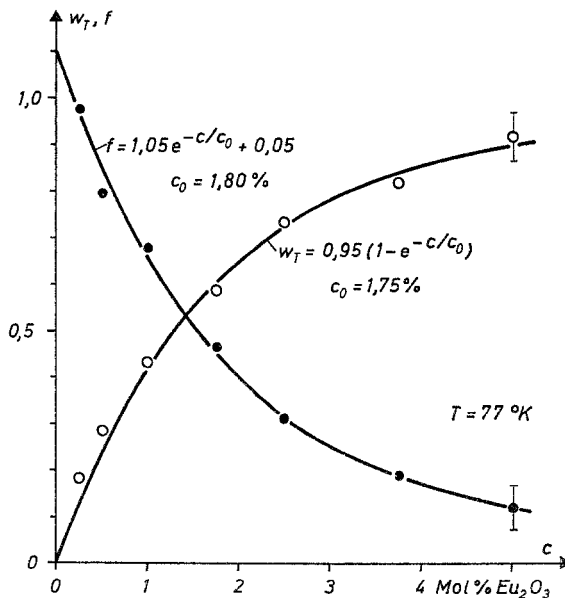


Fig. 7b.  $w_T$  probability for energy transfer from  $\text{Eu}^{3+}(C_{3i})$  to  $\text{Eu}^{3+}(C_2)$ .  $f$  ratio of the quantum efficiencies of the  ${}^5D_0$  fluorescence of  $\text{Eu}^{3+}(C_{3i})$  and  $\text{Eu}^{3+}(C_2)$  by exciting into  ${}^5D_1$

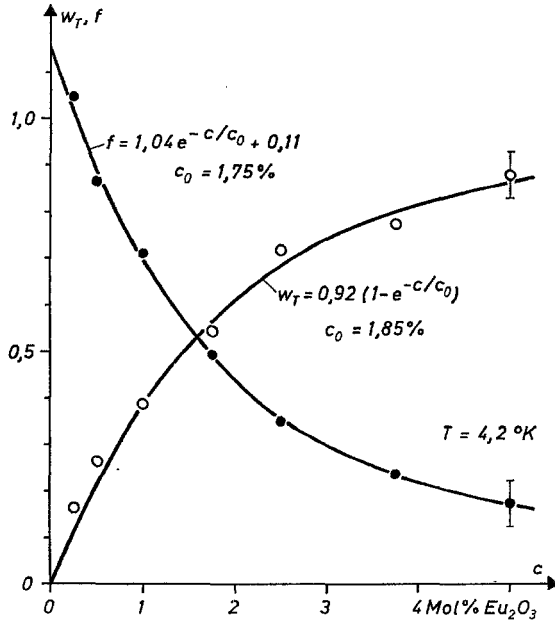


Fig. 7c.  $w_T$  probability for energy transfer from  $\text{Eu}^{3+}(C_{3i})$  to  $\text{Eu}^{3+}(C_2)$ .  $f$  ratio of the quantum efficiencies of the  ${}^5D_0$  fluorescence of  $\text{Eu}^{3+}(C_{3i})$  and  $\text{Eu}^{3+}(C_2)$  by exciting into  ${}^5D_1$

fluorescence intensity normalized to the ratio of the corresponding absorption intensities of the exciting transition  ${}^7F_0 \rightarrow {}^5D_1$  by simultaneous excitation of both ion types (Fig. 6).

$$f = \frac{z_3}{z_4} \cdot \frac{z_2}{z_1} = \frac{\eta_d}{\eta_a}. \quad (10)$$

The quantity  $f$  is equal to the ratio of the quantum efficiencies of both ion types.

Fig. 7a, 7b and 7c show the concentration dependence of the quantities  $w_T$  and  $f$  at the three temperatures 295, 77 and 4.2 °K.

The solid lines are optimum fits according to empirical functions of the form

$$w_T = A(1 - e^{-\frac{c}{c_0}}) \quad \text{and} \quad f = B e^{-\frac{c}{c_0}} + 1 - A \quad (11)$$

with the indicated values of the parameters  $A$ ,  $B$  and  $c_0$ . How one gets  $w_T$  from the measured quantity  $w'_T$  will be explained in the following section.

### Discussion of the Results

To explain the empirical relation (11) we propose a model in which a fixed range  $R_0$  is adopted for the interaction responsible for the energy transfer. Whenever an acceptor ion is nearer to an excited donor ion than  $R_0$ , energy transfer will take place with a certain finite probability. This probability is assumed to be independent of the relative distance of both ions within  $R_0$ . If the nearest acceptor ion is farther than  $R_0$  from an excited donor ion, no energy transfer will occur.

In this case the concentration dependence of  $w_T$  is determined by the probability of finding an acceptor ion within a sphere with volume  $\frac{4\pi}{3} R_0^3$  around a donor ion.

Taking a Poisson-type distribution, which means that one disregards the discrete lattice of the crystal and deals with a continuum with the same mean ion density, one gets

$$w_0 = e^{-\frac{4\pi}{3} R_0^3 \cdot n(C_2)}$$

for the probability of finding no  $\text{Eu}^{3+}(C_2)$  acceptor ion within this sphere, where  $n(C_2)$  is the density of the  $\text{Eu}^{3+}(C_2)$  ions. The complementary probability of finding one or more  $\text{Eu}^{3+}(C_2)$  ions in this sphere is therefore given by

$$w_1 = 1 - e^{-\frac{4\pi}{3} R_0^3 \cdot n(C_2)}$$

Expressing the range of the interaction  $R_0$  by an equivalent  $\text{Eu}^{3+}(C_2)$  ion density according to

$$n_0(C_2) = \frac{1}{\frac{4\pi}{3} R_0^3}$$

one gets

$$w_1 = 1 - e^{-\frac{n}{n_0}} = 1 - e^{-\frac{c}{c_0}}$$

where  $c$  is the molar concentration of  $\text{Eu}_2\text{O}_3$  in  $\text{Y}_2\text{O}_3$ . The probability for energy transfer  $w_T$  is then simply

$$w_T = A w_1 = A \left(1 - e^{-\frac{c}{c_0}}\right)$$

which is of the form (11) assumed empirically, where the constant  $A$  has to be determined by experiment.

To explain the concentration dependence of the quantity  $f$  one can make the following ansatz for the quantum efficiencies of the donors (index  $d$ ) and acceptors (index  $a$ )

$$\eta_d = \eta_{d0} \cdot e^{-\frac{c}{c_0}} + \eta_{d\infty} \left(1 - e^{-\frac{c}{c_0}}\right); \quad \eta_a = \eta_{a0} e^{-\frac{c}{c_0}} + \eta_{a\infty} \left(1 - e^{-\frac{c}{c_0}}\right).$$

The quantum efficiencies of the donors and acceptors are  $\eta_{d0}$  and  $\eta_{a0}$  respectively when  $c \rightarrow 0$  or when donors and acceptors are farther away from each other than  $R_0$  (which has been shown to be realized with a probability of  $e^{-\frac{c}{c_0}}$  for a  $\text{Eu}_2\text{O}_3$  concentration of  $c$ ). The quantum efficiencies are  $\eta_{d\infty}$  and  $\eta_{a\infty}$  for high concentrations, when both ion types certainly interact, or when they are within a distance smaller than  $R_0$ , (which has been shown to be realized with a probability of  $1 - e^{-\frac{c}{c_0}}$  for a  $\text{Eu}_2\text{O}_3$  concentration of  $c$ ). In our case, the energy transfer is only from  $\text{Eu}^{3+}(C_{3i})$  to  $\text{Eu}^{3+}(C_2)$ . The quantum efficiency of the acceptors is therefore independent of concentration because this system can not lose excitation energy by transfer processes. Therefore we have for the acceptors  $\text{Eu}^{3+}(C_2)$ :

$$\eta_a = \eta_{a0} \quad \text{since} \quad \eta_{a\infty} = \eta_{a0}.$$

The quantum efficiency of the donors will decrease with increasing  $\text{Eu}_2\text{O}_3$  concentration because this system will lose more and more excitation energy non-radiatively to the acceptors. Therefore we have for the donors  $\text{Eu}^{3+}(C_{3i})$ :  $\eta_d = \eta_{d0} e^{-\frac{c}{c_0}} + \eta_{d\infty} (1 - e^{-\frac{c}{c_0}})$ .

In general  $\eta_{d\infty} \ll \eta_{d0}$  will hold.

For the quantity  $f$  defined in (10) we get

$$f = \frac{\eta_d}{\eta_a} = \frac{\eta_d}{\eta_{a0}} = \frac{\eta_{d0} - \eta_{d\infty}}{\eta_{a0}} e^{-\frac{c}{c_0}} + \frac{\eta_{d\infty}}{\eta_{a0}}. \quad (12)$$

This expression has just the form introduced empirically in (11)

$$f = B e^{-\frac{c}{c_0}} + 1 - A \quad \text{with} \quad A = 1 - \frac{\eta_{d\infty}}{\eta_{a0}}. \quad (13)$$

If the energy transfer to the acceptors represents the only mechanism which decreases the quantum efficiency of the donors, then one can define the probability for energy transfer by

$$w_T = \frac{\eta_{d0} - \eta_d}{\eta_{d0}} = \left(1 - \frac{\eta_{d\infty}}{\eta_{a0}}\right) (1 - e^{-\frac{c}{c_0}}). \quad (14)$$

This is just the form of the expression (11), found empirically for  $w_T$  with a second value for  $A = 1 - \frac{\eta_{d\infty}}{\eta_{a0}}$ .

Both values for  $A$  are identical, if the difference between  $\eta_{d\infty}/\eta_{a0}$  and  $\eta_{d\infty}/\eta_{d0}$  can be neglected. It was not possible to differentiate between these two values by our experiments, since they have an error of  $\pm 5\%$ , and  $\eta_{d\infty}$  is of the order 0.05 and  $\eta_{a0}$  and  $\eta_{d0}$  are both of the order of

one. Indeed, one can take  $\eta_{a0}=1$  as a good approximation if two conditions are fulfilled: first, the  ${}^5D_1 \text{Eu}^{3+}(C_{3i})$  must decay solely by non radiative transitions to  ${}^5D_0 \text{Eu}^{3+}(C_{3i})$ , and, second, the  ${}^5D_0 \text{Eu}^{3+}(C_{3i})$  must decay solely by radiative processes, i.e. must have a radiative efficiency of one.

Both can be assumed since no  ${}^5D_1 \text{Eu}^{3+}(C_{3i})$  fluorescence could be found and the lifetime of 7.7 msec of  ${}^5D_0 \text{Eu}^{3+}(C_{3i})$  agrees well with the calculated value for  $M1$  transitions of 9.0 msec<sup>9</sup> indicating a radiative efficiency of nearly 100% for  ${}^5D_0 \text{Eu}^{3+}(C_{3i})$ . This is in contrast to  $\text{Eu}^{3+}(C_2)$  where  ${}^5D_1$  fluorescence can be observed clearly leading to  $\eta_{a0} < 1$ . In this approximation one gets

$$w'_T = \frac{w_T}{\eta_{a0}} = \frac{1 - \eta_{d\infty}}{\eta_{a0}} (1 - e^{-\frac{c}{c_0}}),$$

$$f = \frac{1 - \eta_{d\infty}}{\eta_{a0}} e^{-\frac{c}{c_0}} + \frac{\eta_{d\infty}}{\eta_{a0}}.$$

Extrapolating  $f$  for  $c \rightarrow 0$  one gets the value  $\eta_{a0}$  needed for evaluating  $w_1$  plotted in the figures from  $w'_T$  obtained by experiment (Eq. (6b)). The following relations hold between  $w'_T$ ,  $w_T$  and  $f$ :

$$w'_T + f = \frac{1}{\eta_{a0}} \quad \text{or} \quad w_T + \eta_{a0} \cdot f = 1.$$

These have been proved by the experiments to be valid at all three temperatures.

From the temperature independent parameter  $c_0 = (1.80 \pm 0.05) \text{ mol} \% \text{Eu}_2\text{O}_3$  one gets the interaction range  $R_0$

$$R_0 = \sqrt[3]{\frac{1}{\pi c_0 \cdot N_K}} = 8.7 \text{ \AA},$$

where  $N_K = 2.68 \cdot 10^{22} \text{ cm}^{-3}$  is the density of  $\text{Y}^{3+}$  cation lattice sites. Within this sphere of radius  $R_0$  there are 56  $\text{Y}^{3+}(C_2)$  lattice sites. The value of  $R_0$  is comparable with the length of half the body diagonal of the unit cell (9.2 \AA), and is more than twice as large as the shortest  $\text{Y}^{3+}(C_{3i}) - \text{Y}^{3+}(C_2)$  distance (3.52 \AA).

The relatively small value of  $R_0 = 8.7 \text{ \AA}$  may be an indication that one is dealing with an exchange interaction, which must be phonon-assisted, since the interacting electronic levels are not in resonance. The latter is supported by the temperature dependence of the excitation spectrum of the  ${}^5D_0 \text{Eu}^{3+}(C_{3i})$  fluorescence (Fig. 8). It shows the  $\text{Eu}^{3+}(C_2)$  transitions more clearly at elevated temperatures indicating an increase of the reverse energy transfer from  $\text{Eu}^{3+}(C_2)$  to  $\text{Eu}^{3+}(C_{3i})$

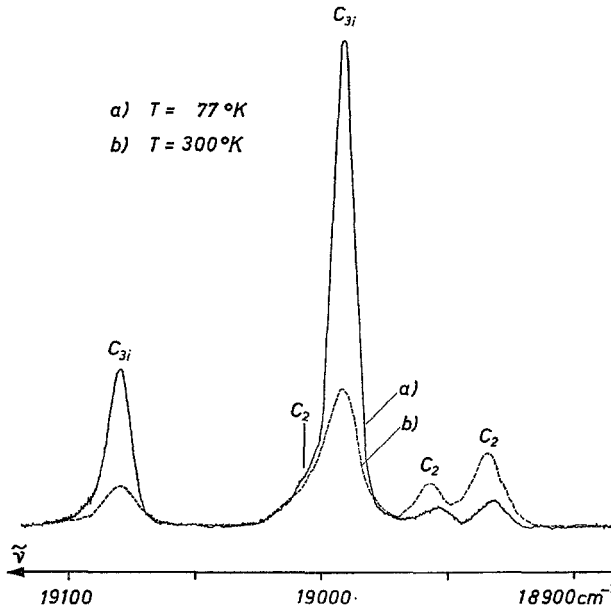


Fig. 8. Excitation spectra of the  ${}^5D_0$   $\text{Eu}^{3+}$  ( $C_{3i}$ ) fluorescence line  $\tilde{\nu} = 17,170 \text{ cm}^{-1}$ .  
 a)  $T = 77 \text{ }^\circ\text{K}$ ; b)  $T = 300 \text{ }^\circ\text{K}$ ;  $c = 5.0 \text{ mol } \% \text{ Eu}_2\text{O}_3$  in  $\text{Y}_2\text{O}_3$

with increasing temperature which can take place only by phonon absorption.

In order to clarify the quantum mechanical process governing the energy transfer and deciding whether the transfer is between the  ${}^5D_0$  or  ${}^5D_1$  states of both ions, further experiments are needed, especially time resolved measurements of the fluorescence decay, the results of which will be published soon.

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